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CLINICAL TRIAL RESULT

Impact of frailty on mortality and quality of life in patients with a history of cancer undergoing transcatheter aortic valve replacement

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Abstract

Background: Transcatheter aortic valve replacement (TAVR) is increasingly offered for aortic stenosis (AS) treatment in patients with a history of cancer. The impact of frailty on outcomes in this specific patient population is not well described.

Hypothesis: Frailty is associated with mortality and poorer quality of life (QOL) outcomes in patients undergoing TAVR with a history of cancer.

Methods: This retrospective single center cohort study included AS patients who underwent TAVR from August 1, 2012 to May 15, 2020. Frailty was measured using serum albumin, hemoglobin, gait speed, functional dependence, and cognitive impairment. The primary outcome was a composite of all-cause mortality and QOL at 1 year. A poor primary outcome was defined as either all-cause mortality, Kansas City Cardiomyopathy Questionnaire overall summary (KCCQ-OS) score <45 or a KCCQ-OS score decline of ≥10 points from baseline. Regression analysis was used to determine the impact of frailty on the primary outcome.

Results: The study population was stratified into active/recent cancer (n = 107), remote cancer (n = 85), and non-cancer (n = 448). Univariate analysis of each cohort showed that frailty was associated with the primary outcome only in the non-cancer cohort (p = .004). Multivariate analysis showed that cancer history was not associated with a poor primary outcome, whereas frailty was (1.7 odds ratio, 95% confidence interval [CI]: 1.1–2.8; p = .028).
1 | INTRODUCTION

Aortic stenosis (AS) disproportionately affects older adults in developed countries, a phenomenon driven primarily by an increased prevalence of degenerative calcific aortic valve stenosis with age.\(^1\)\(^2\) Other common predisposing factors for cancer and cardiovascular disease such as diet, exercise, body mass index, and smoking have also contributed to an estimated prevalence of cancer in severe AS patients ranging from 19.2% to 26.6%.\(^3\)\(^4\) Pivotal randomized controlled trials show transcatheter aortic valve replacement (TAVR) to be either non-inferior or superior to surgical aortic valve replacement for patients with symptomatic severe AS across the spectrum of surgical risk.\(^5\)\(^{–}\)\(^{10}\) TAVR may also be preferable in patients with active cancers and unclear prognoses, to mitigate cardiovascular complications while undergoing cancer-directed treatment.\(^11\) A recent meta-analysis of outcomes in patients with a history of cancer undergoing TAVR showed that a cancer history was not associated with poorer short- or long-term survival.\(^12\) However, these previous studies did not look at frailty, a known major determinant of outcomes post-TAVR.\(^13\)\(^{–}\)\(^{15}\) Since TAVR patients are often older and have multiple comorbidities, it is important to consider both survival and quality of life (QOL) when assessing outcomes.\(^13\)\(^{–}\)\(^{16}\)\(^{–}\)\(^{17}\) Given that frailty is known to impact outcomes in treatments related to cardiology and to oncology patients, we sought to assess the impact of frailty on a composite outcome of mortality and QOL and on readmission rates in the AS population with a history of cancer undergoing TAVR.\(^18\)\(^{–}\)\(^{19}\) We hypothesized that with significant metrics of frailty in patients with a history of active or remote cancer, frailty would be associated with increased mortality, decreased QOL, and increased readmission rates in this population.

2 | METHODS

2.1 | Study design, sample, and data

This was a single center retrospective cohort study comparing outcomes of patients with (1) active cancer to those of patients with a (2) remote history of cancer to those of patients with (3) no history of cancer who underwent TAVR for AS. All patients were cared for at the University of California, Los Angeles (UCLA) Ronald Reagan Medical Center between August 1, 2012 to May 15, 2020. This study was approved by the Institutional Review Board (IRB#16-000680). UCLA is an academic quaternary care hospital with a National Cancer Institute-designated cancer center. Study subjects were included in the ACC Transcatheter Valve Therapy (TVT) registry which includes several key patient characteristics, procedure details, and post-procedural outcomes. Patients with a predicted overall survival of <12 months or for whom minimal improvement in QOL was anticipated were disqualified from TAVR as per 2020 ACC/AHA guidelines. Eight hundred and thirty-seven consecutive patients were identified from the study period. Inclusion criteria included undergoing a TAVR for severe AS. Exclusion criteria included unsuccessful device implantation (i.e., aborted or converted to open heart surgery), valve-in-valve procedures, incomplete follow-up, or unverifiable cancer status. The final cohort for analysis consisted of 640 patients (Supporting Information: Figure 1).

Patient demographics, baseline metrics and health characteristics, Kansas City Cardiomyopathy Questionnaire (KCCQ) scores, frailty metrics, readmission data, and outcome data were extracted from the TVT registry. STS scores from the TVT registry were designated into three categories: (1) high-risk (STS ≥ 8%), (2) intermediate-risk (STS ≥ 4% and < 8%), and (3) low-risk (STS < 4%) to facilitate regression analysis. Frailty metrics obtained from the TVT registry included serum albumin, hemoglobin, and gait speed. Per the TVT registry, readmissions were categorized as either being valve-related readmissions or valve-related readmissions. Cancer history, treatment history, and additional frailty metrics such as cognitive impairment and functional dependence were obtained through chart review of the electronic health record (EHR). Cancer staging that was not explicitly documented in the EHR by an oncologist was verified using two-physician review. Patient data were entered into a deidentified Research Electronic Data Capture (REDCap, Vanderbilt University, Nashville, TN, USA) database hosted at UCLA.\(^20\)\(^{–}\)\(^{21}\)

2.2 | Cancer stratification, frailty assessment, and readmission rates

Patients were categorized into three cohorts: (1) active or recent history of cancer (achieved remission <5 years ago), (2) remote...
history of cancer (achieved remission ≥5 years ago), and (3) no history of cancer. A composite frailty score (CFS) was created using serum albumin, hemoglobin, gait speed, cognitive impairment, and functional dependence. These five markers were chosen as a modified Essential Frailty Toolset (EFT), with an addition of functional dependence and gait speed used in lieu of chair rise.14,22 The CFS was derived accordingly: (1) lowest quartiles of gait speed, serum albumin, and hemoglobin were each assigned a value of 1; (2) presence of cognitive impairment and functional dependence were each assigned a value of 1. A CFS of 0 was considered the least frail and a 5 was considered the most frail. Patients were dichotomized to high frailty (CFS 3–5) and low frailty (CFS 0–2) to facilitate multivariate analysis. Readmission rates were defined as the percent of patients who were readmitted between procedure date and either their 30-day or 1-year follow-up date. Additional details can be found in the Supplement.

2.3 | Evaluation of outcomes

The KCCQ is a patient-reported, disease-specific health status survey and the primary health status instrument for the TVT registry.17,23 The KCCQ overall summary (KCCQ-OS) score ranges from 0 to 100 with higher scores translating to less symptom burden and better QOL.17 A KCCQ-OS score <45 correlates to a New York Heart Association (NYHA) functional classification of III/IV.24–26

The primary outcome of this study was defined as a composite outcome of both all-cause mortality and QOL at 1 year. A poor QOL outcome was defined as a KCCQ-OS score <45 at the time of follow-up, or a decrease in KCCQ-OS score by ≥10 points from baseline.13,23,27 Secondary outcomes included (1) the composite outcome at 30 days, (2) all-cause mortality at 30 days and 1 year, (3) QOL at 30 days and 1 year, and (4) TVT-reported all-cause readmission rates at 30 days and 1 year. Of note, the 30-day and 1-year follow-up timepoints coincided with mandated follow-up as per TVT registry requirements.

2.4 | Statistical analysis

Baseline characteristics were presented as mean ± SD for continuous variables and as frequency (percentage) for categorical variables. ANOVA test was used to compare continuous variables and the χ² test was used to compare categorical variables. Univariate logistic regressions stratified by cancer status were done with the CFS and individual frailty markers, followed by multivariate logistic regressions to show relations. All tests were two-sided and p < .05 was considered statistically significant. All analyses were performed with SAS v9.4 (The SAS Institute).

3 | RESULTS

3.1 | Baseline characteristics

In this study of 640 patients, 107 had active/recent cancer, 85 had remote cancer, and 448 had no history of cancer. Baseline demographic and clinical characteristics stratified by cancer status are presented in Table 1 and Supporting Information: Table 1. The remote cancer patients were significantly older than the active/recent cancer patients and the non-cancer patients (82.7 ± 8.9 vs. 80.8 ± 9.7 and 79.7 ± 10.9%, respectively, p = .043). Of note, diabetes and a history of atrial fibrillation/flutter were also significantly different between the three cohorts (p < .050). As expected, active/recent cancer patients were more immunocompromised—defined as taking immunosuppressive medication therapy such as systemic steroid therapy, anti-rejection medications, and/or chemotherapy—than remote cancer and non-cancer patients (16.8% vs. 4.7% and 5.1%, respectively, p < .001). There were no significant differences between the three cohorts when comparing other baseline characteristics including STS scores, hypertension, NYHA functional class, or KCCQ-OS scores. Specific data regarding baseline malignancy characteristics of the active/recent cancer and remote cancer cohorts are summarized in Table 2.

3.2 | Outcomes

One-year outcome data are depicted in Table 3a and Table 3b and the Central Illustration 1. The all-cause mortality was 11.4% in the active/recent cancer cohort, 10% in the remote cancer cohort, and 9.5% in the non-cancer cohort (p = .829). The percent of patients with a KCCQ-OS score <45 or with a ≥10 point drop in KCCQ-OS score from baseline was 12.5% in the active/recent cancer cohort, 8.5% in the remote cancer cohort, and 9.6% in the non-cancer cohort (p = .739). Accordingly, there was no significant difference in the primary composite outcome between the three cohorts. However, readmission rates were significantly lower in the non-cancer cohort when compared to the active/recent and remote cancer groups (25.2% vs. 36.5% and 35.3%, respectively, p = .022). Most of the readmissions in all cohorts were unrelated to TAVR complications (Supporting Information: Table 3).

Figure 1 shows the univariate and multivariate regression analysis for the 1-year composite outcome. These models included age, sex, race, STS score, frailty, and cancer history. With univariate analysis, high frailty (CFS 3–5) and high-risk STS scores were significantly associated with a poor composite outcome at 1 year for the overall population (p = .003 and p < .00, respectively). Notably, active/recent cancer was not significantly associated with outcomes (1.3 OR, 95% CI: 0.8–2.3; p = .326) nor was remote cancer history (1.0 OR, 95% CI: 0.5–1.9; p = .646). In the multivariate regression, frailty continued to be independently associated with outcomes, with 1.7 times the odds of meeting the primary composite outcome (95% CI: 1.1–2.8; p = .028). High-risk STS scores had the strongest
<table>
<thead>
<tr>
<th>Table 1: Baseline patient characteristics stratified by cancer status</th>
</tr>
</thead>
<tbody>
<tr>
<td><strong>Active/Recent cancer (n = 107)</strong></td>
</tr>
<tr>
<td>Age, years (mean)</td>
</tr>
<tr>
<td>Female</td>
</tr>
<tr>
<td>White race</td>
</tr>
<tr>
<td>Weight, kg (mean)</td>
</tr>
<tr>
<td>Hypertension</td>
</tr>
<tr>
<td>Dyslipidemia</td>
</tr>
<tr>
<td>Prior myocardial infarction</td>
</tr>
<tr>
<td>Prior CABG surgery</td>
</tr>
<tr>
<td>Prior peripheral arterial disease</td>
</tr>
<tr>
<td>Prior stroke</td>
</tr>
<tr>
<td>Atrial fibrillation/flutter</td>
</tr>
<tr>
<td>Permanent pacemaker</td>
</tr>
<tr>
<td>Diabetes mellitus</td>
</tr>
<tr>
<td>Left ventricular ejection fraction, %</td>
</tr>
<tr>
<td><strong>NYHA functional class</strong></td>
</tr>
<tr>
<td>Class I</td>
</tr>
<tr>
<td>Class II</td>
</tr>
<tr>
<td>Class III</td>
</tr>
<tr>
<td>Class IV</td>
</tr>
<tr>
<td><strong>Chronic lung disease</strong></td>
</tr>
<tr>
<td>None</td>
</tr>
<tr>
<td>Mild</td>
</tr>
<tr>
<td>Moderate</td>
</tr>
<tr>
<td>Severe</td>
</tr>
<tr>
<td>Home oxygen</td>
</tr>
<tr>
<td>Current/recent smoker (within 1 year)</td>
</tr>
<tr>
<td>Platelets, microliters (mean)</td>
</tr>
<tr>
<td>Creatinine, mg/dl (mean)</td>
</tr>
<tr>
<td>Current dialysis</td>
</tr>
<tr>
<td>Immunocompromised</td>
</tr>
<tr>
<td>STS risk score, % (mean)</td>
</tr>
<tr>
<td><strong>STS risk score, categorical</strong></td>
</tr>
<tr>
<td>Low risk (STS &lt; 4%)</td>
</tr>
<tr>
<td>Intermediate risk (STS ≥ 4% and &lt;8%)</td>
</tr>
<tr>
<td>High risk (STS ≥ 8%)</td>
</tr>
<tr>
<td>Baseline KCCQ score (mean)</td>
</tr>
</tbody>
</table>

*Denotes statistically significant p values (p ≤ .05).

Abbreviations: CABG, coronary artery bypass graft; KCCQ, Kansas City Cardiomyopathy Questionnaire; NYHA, New York Heart Association; STS, Society of Thoracic Surgeons.
correlation with the composite outcome at 1 year (4.0 OR, 95% CI: 2.0–8.0; p < .001).

Given that frailty was significantly associated with the primary composite outcome in the overall population in both the univariate and multivariate analysis, further univariate analysis was conducted.
to see which components of the CFS were most impactful. The
estimated odds ratio of the CFS as well as that of each individual
frailty marker on 1-year outcomes in each cohort are shown in
Supporting Information: Tables 2a–d and the Central Illustration 1.

Notably, a high CFS was not significantly related to any outcome in
either the active/recent or remote cancer cohorts. Low hemoglobin
significantly correlated with mortality in the active/recent cancer
group, while poor cognition correlated with readmission rates in the

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**TABLE 3a** One-year outcomes stratified by cancer status

<table>
<thead>
<tr>
<th></th>
<th>Total population (n = 640)</th>
<th>Active/Recent cancer (n = 107)</th>
<th>Remote cancer (n = 85)</th>
<th>No cancer (n = 448)</th>
<th>p value</th>
</tr>
</thead>
<tbody>
<tr>
<td>Mortality</td>
<td>61 (9.9%)</td>
<td>12 (11.4%)</td>
<td>8 (10%)</td>
<td>41 (9.5%)</td>
<td>0.829</td>
</tr>
<tr>
<td>KCCQ-OS Score (mean)</td>
<td>83.0 ± 20.7</td>
<td>81.2 ± 20.4</td>
<td>79.3 ± 22.1</td>
<td>84.1 ± 20.5</td>
<td>0.258</td>
</tr>
<tr>
<td>Patients with KCCQ-OS &lt; 45</td>
<td>26 (7.2%)</td>
<td>6 (9.4%)</td>
<td>3 (6.4%)</td>
<td>17 (6.8%)</td>
<td>0.700</td>
</tr>
<tr>
<td>Patients with KCCQ-OS Drop &gt; 10</td>
<td>21 (6.1%)</td>
<td>5 (8.6%)</td>
<td>3 (6.5%)</td>
<td>13 (5.5%)</td>
<td>0.608</td>
</tr>
<tr>
<td>Readmission rate</td>
<td>182 (28.4%)</td>
<td>39 (36.5%)</td>
<td>30 (35.3%)</td>
<td>113 (25.2%)</td>
<td>0.022*</td>
</tr>
<tr>
<td>Patients with poor quality of life</td>
<td>36 (10.0%)</td>
<td>8 (12.5%)</td>
<td>4 (8.5%)</td>
<td>24 (9.6%)</td>
<td>0.739</td>
</tr>
<tr>
<td>Patients with poor primary composite outcomes</td>
<td>97 (15.7%)</td>
<td>20 (19.1%)</td>
<td>12 (14.8%)</td>
<td>65 (15.0%)</td>
<td>0.574</td>
</tr>
</tbody>
</table>

*Denotes statistically significant p values (p ≤ .05).

Abbreviation: KCCQ-OS, Kansas City Cardiomyopathy Questionnaire overall summary.

**TABLE 3b** One-year outcomes comparing patients with cancer history to non-cancer patients

<table>
<thead>
<tr>
<th></th>
<th>Active/Recent cancer versus No cancer OR (95% CI) p value</th>
<th>Remote cancer versus No cancer OR (95% CI) p value</th>
</tr>
</thead>
<tbody>
<tr>
<td>Mortality</td>
<td>1.2 (0.6–2.5) p = .623</td>
<td>1.1 (0.5–2.4) p = .916</td>
</tr>
<tr>
<td>Poor QOL</td>
<td>1.4 (0.6–3.2) p = .442</td>
<td>0.9 (0.3–2.7) p = .620</td>
</tr>
<tr>
<td>Composite outcome (mortality or poor QOL)</td>
<td>1.3 (0.8–2.3) p = .326</td>
<td>1.0 (0.5–1.9) p = .646</td>
</tr>
<tr>
<td>Readmission rate</td>
<td>1.7 (1.1–2.7) p = .020*</td>
<td>1.6 (1.0–2.7) p = .056</td>
</tr>
</tbody>
</table>

*Denotes statistically significant p values (p ≤ .05).

Abbreviations: CI, confidence interval; OR, odds ratio; QOL, quality of life.

**FIGURE 1** (A) Correlation of baseline factors to composite outcome at 1 year. With univariate analysis, the CFS (p = .003) and high-risk STS scores (p < .000) were significantly associated with the composite outcome at 1 year for the overall TAVR population. (B) Multivariate model of baseline factors correlating with the composite outcome at 1 year. In the multivariate analysis, the CFS was 1.7 times more likely to be independently associated with the composite outcome (95% CI 1.1–2.8; p = .028), while high-risk STS scores continued to be the strongest correlate with the composite outcome at 1 year (4.0 OR, 95% CI 2.0–8.0; p < .001). Neither active/recent cancer nor remote cancer history was significantly associated with the primary outcome. *Denotes statistically significant p values (p ≤ .05). CFS, composite frailty score; CI, confidence interval; OR, odds ratio; STS, Society of Thoracic Surgeons.
active/recent and remote cancer groups. In the non-cancer cohort, a high CFS correlated with a poor composite outcome (2.3 OR, 95% CI: 1.3–3.9; \( p = .004 \)), mortality (2.0 OR, 95% CI: 1.0–3.8; \( p = .045 \)), poor QOL (2.8 OR, 95% CI: 1.2–6.7; \( p = .018 \)), and higher readmission rates (2.5 OR, 95% CI: 1.6–3.9; \( p < .001 \)). Thirty-day outcome data are depicted in Supporting Information: Tables 4, 5a–d, and 6 and Supporting Information: Figure 2.

4  |  DISCUSSION

Multidisciplinary Heart Teams in TAVR programs may have heterogeneous patient populations with varying assessments of patient candidacy based on comorbidities and institutional experience. It is important to evaluate specific metrics of whether cancer history and frailty influence outcomes to discern those who would benefit most from TAVR. To our knowledge, our study is the first analysis of frailty metrics in patients with a history of cancer undergoing TAVR. In this single institution retrospective cohort study, we demonstrated that cancer history by itself does not affect frailty-adjusted mortality and QOL outcomes at 1 year, while the CFS is significantly related to these outcomes in the overall population. However, when the cohort was stratified by cancer status, the CFS was not associated with outcomes in the active/recent cancer and remote cancer cohorts. Additionally, we observed that a history of cancer was associated with increased readmission rates at 1 year.

4.1  |  Impact of frailty on outcomes

In patients undergoing TAVR, frailty, as measured by various metrics, has been associated with increased mortality, increased disability, and
decreased QOL. A multicenter, multinational study, the FRAILTY-AVR study, compared seven frailty scales and found that the EFT, which includes chair rises, cognitive impairment, hemoglobin, and serum albumin, outperformed other frailty scales in predicting death and disability at 1 year. In a substudy of the PARTNER trial, which did not mention cancer prevalence, the authors found that the frail cohort had significantly increased mortality and a higher rate of the poor outcome (defined as death, KCCQ-OS score <60, or a decrease of ≥10 points in the KCCQ-OS score from baseline) 1 year after TAVR. Our study utilized a modified EFT, substituting gait speed for chair rises and incorporating functional dependence. Using a KCCQ-OS score cutoff of 45 due to its correlation with NYHA functional class III/IV, we found similar rates of poor outcomes in our frail cohort. In accordance with the literature, our results show that patients in the higher frailty group had lower mean KCCQ-OS scores and a greater percentage of KCCQ-OS scores <45 at 30 days and 1 year.

In addition, our study found that a high CFS and high-risk STS scores were associated with the composite outcome in the overall study population. This finding is in part explained by the positive correlation between STS scores and frailty at baseline since STS scores incorporate components of frailty. Further analysis illustrated that most individual frailty markers in this study failed to correlate with outcomes in either of our cancer cohorts. This may be due to selection bias, in which patients with a cancer history are more likely to be excluded due to perceived frailty and/or poor prognoses. Additionally, this could be due to the small sizes of our active/recent and remote cancer cohorts in comparison to our non-cancer cohort. At our institution, most patients with a cancer history had chronic malignancies rather than fast-growing cancers such as acute leukemia. Patients with chronic malignancies may not experience the same rate of declining ability to deal with stressors as patients with acute cancers and, therefore, may be similar to patients without a history of cancer in regards to TAVR related outcomes. Thus, it may be appropriate to presume that frailty has a similar effect on this patient population as the non-cancer population and that effect may be seen in a larger cohort of patients.

Our study demonstrates that frailty should play a major factor in assessing candidacy in the general AS population for TAVR procedures; however, a history of cancer—even the presence of active malignancy—should not be an absolute contraindication. To ensure selection of appropriate candidates with a cancer history for TAVR, multidisciplinary input regarding overall cancer status and prognosis from oncology, cardio-oncology, and geriatric specialists is imperative. TAVR should be considered for patients with an oncologic history after careful evaluation and optimization of their frailty as well as other comorbidities.

### 4.2 Limitations

Selection bias was present when considering our patient population and its retrospective nature. This was a single center study and as such, influenced by local procedural experience, selection bias, and referral pattern bias. Another limitation is that there is no frailty scale that is accepted as the gold standard. To address this, we used a modified version of the EFT, a frailty score which Afilalo et al. found to outperform other scores when identifying geriatric patients at high risk for poor TAVR outcomes. Additionally, we were limited by a subjective description of cognitive impairment and functional dependence when Katz scores or a MMSE/MOCA were not available in the EHR. Finally, there was significant variability on when the 30-day and 1-year follow-up visits occurred for each patient. The mean number of days to the first follow-up was $32.9 \pm 11.7$ and to the second follow-up was $363.6 \pm 51.0$. While we had robust follow-up data at 30 days, there were more missing values at 1 year especially regarding KCCQ data.

### 5 Conclusions

As the population of active and prior cancer patients continues to grow, there is increasing interest in understanding the impact of cancer and its treatments on cardiovascular disease. The prognostic value of cancer status, its associated frailty, and other comorbidities on outcomes following TAVR is a highly relevant topic which will likely represent a growing proportion of eligible patients for this intervention. Our study demonstrated that cancer status is not associated with mortality and QOL outcomes following TAVR, while increased frailty is strongly associated with worse outcomes in the overall TAVR population. We also found that frailty specifically within the cancer population was not associated with poorer outcomes, although selection bias and small cohort sizes may have played a role in this. Further investigation with greater numbers of active and prior cancer patients is warranted to elucidate whether frailty leads to poorer outcomes in patients with cancer undergoing TAVR.

### Acknowledgments

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### Conflict of Interest

Eric H. Yang reports receiving research grants from CSL Behring, Boehringer Ingelheim and Eli Lilly, and consulting fees from Pfizer.

### Data Availability Statement

The data that supports the findings of this study are available in the manuscript and supplementary material of this article.

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REFERENCES


SUPPORTING INFORMATION

Additional supporting information can be found online in the Supporting Information section at the end of this article.