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Socioeconomic conditions and fossil fuel $CO₂$ in the Metropolitan Area of Rio de Janeiro

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ABSTRACT

Worldwide monitoring of fossil fuel carbon dioxide (FFCO₂) has been fragmented, and mostly devoted to developed countries. Here we compare a previously published FFCO₂ dataset with socio-economic characteristics in order to better tailor $FFCO₂$ urban point-sources for a megacity of the Global South, the Metropolitan Area of Rio de Janeiro (MARJ), Brazil. Evaluations were performed by superimposing maps of the $FFCO₂$ measurements on urban data acquired from the Brazilian Institute of Geography and Statistics, the latest Origin-Destination Survey of the MARJ, and correlation and regression analyses between $FFCO₂$ and socioeconomic variables. While we confirmed that population density and the transportation sector are important drivers of $FFCO₂$ concentrations, the centrality of urban activities within MARJ also creates undesirable clustered zones (e.g., the city centers and the main intercity bridge). At the intra-urban scale, both highand low-income residents play important roles in $FFCO₂$ levels. For instance, higher-income populations tend to produce more carbon pollution at their own residential areas, where most urban activities are located. Low FFCO₂ levels were found in low-income areas with poor infrastructure. However, distance from the city center, age distribution, job availability, lack of basic services, and car ownership force low-income populations to commute through high-traffic areas, adding high FFCO₂ levels to the same already clustered places. By integrating FFCO₂ monitoring with many socioeconomic variables, we believe that we capture its spatial distribution as well as better understand the causes of its emission patterns. Therefore, future $CO₂$ monitoring and assessment studies conducted in megacities can benefit from the insights and discussions presented in this study.

1. Introduction

It is widely known that approximately 75% of global $CO₂$ emissions originate in urban areas ([Seto et al., 2014\)](#page-13-0). In recent years, there has been a push to better characterize CO₂ concentrations from those spaces, especially fossil fuel carbon dioxide (FFCO₂) and its sources, to help policymakers to better mitigate it.

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Cumulative $CO₂$ emissions and fossil fuel usage can be added up in data inventories, enabling updated budget assessments per energy sector and city. Direct measurements of trace gases, including CO₂, through established monitoring networks (NOAA: [www.](http://www.esrl.noaa.gov) [esrl.noaa.gov\)](http://www.esrl.noaa.gov) produce a more realistic picture of greenhouse gas (GHG) concentrations at local sites. Fossil fuel-originating CO₂ can be isolated and determined when trace gas measurements are coupled with isotopic analyses of 13 C and 14 C [\(Miller et al., 2012](#page-13-0); [Basu et al., 2020](#page-12-0)). Alternatively, FFCO₂ information at regional or urban scales can be derived by isotopic measurements of ¹⁴C from annual plants. Still, $^{14}CO_2$ measurements from either air samples or plant-integrated sources are mostly being used as FFCO₂ surface proxy [\(Battipaglia et al., 2010](#page-12-0); [Rakowski, 2011](#page-13-0); [Varga et al., 2019](#page-14-0)), or to show correlations of FFCO₂ with general topographical features, wind patterns, and seasonality ([Hsueh et al., 2007,](#page-12-0) [Turnbull et al., 2015](#page-14-0), [Park et al., 2015](#page-13-0), [Santos et al., 2019](#page-13-0)). In some cases, FFCO2 local point-sources (e.g., proximity to roads, industrial clusters, cement complexes, and/or diesel- and coal-powered plants) have been identified ([Quarta et al., 2007](#page-13-0); [Santos et al., 2019](#page-13-0); [Varga et al., 2019](#page-14-0)), or were associated with population density and per capita gross domestic product growth [\(Xi et al., 2013](#page-14-0), [Varga et al., 2020](#page-14-0), [Hou et al., 2020](#page-12-0)).

Although the science of using ^{14}C for FFCO₂ attribution and mapping has made remarkable progress toward refining its estimates [\(Miller et al., 2012](#page-13-0); [Basu et al., 2020\)](#page-12-0), FFCO₂ information derived from isotopes has been highly fragmented (spatially and temporarily) and not necessarily useful in terms of policy change. Decade-long research observations at Salt Lake County, Utah, USA, on air-¹⁴CO₂ measurements show that major CO₂ increases were attributed to population growth, but urban excesses were not as linear as expected ([Mitchell et al., 2018\)](#page-13-0). Moreover, FFCO₂ from emerging economies and developing countries has been mostly overlooked [\(Marland, 2008](#page-13-0)). Nonetheless, studies forecast that by 2030 over 80% of the world's megacities will be located in developing economies, where massive urbanization (i.e., sprawling) already has been taking shape in a manner distinct from most developed countries. Currently, such growth patterns can be found in most cities in the Global South, but specially in São Paulo and Rio de Janeiro, in Brazil, as well as cities in Africa and Asia (Lagos, Nigeria, and Shanghai, China, respectively). In addition, this type of growth pattern is interconnected with socioeconomic and environmental problems, including increased GHG emissions [\(Ou et al., 2013\)](#page-13-0). Therefore, for policy-relevant responses, [Polloni-Silva et al. \(2021\)](#page-13-0) suggest that assessment of CO₂ shifts should be followed by in-depth study of the region studied, such as its socio-economic circumstances. It is thus clear that to ensure effective FFCO2 monitoring and interpretation, so that results can be translated into actions for its mitigation, studies on FFCO₂ information acquisition and socioeconomic-related emissions should be linked. Cities that tend to invest in adequate infrastructure, service, and policy plans [\(Lee and Lim, 2018\)](#page-13-0), followed by nature-based solutions to land use ([Pan et al., 2021](#page-13-0); [Seddon et al., 2020](#page-13-0)), tend to show economic efficiency and improved urban resilience ([Larbi et al., 2021;](#page-13-0) [Yao et al., 2022](#page-14-0)), and overall reduced GHG emissions ([Ou et al., 2013](#page-13-0)). The aforementioned points call for a more in-depth, multi-criteria analysis of FFCO₂ as it relates to public variables impacting urban spaces, and particularly where such an analysis is lacking.

In 2009, Brazil established its National Policy of Climate Change (PNMC). Under this policy, Brazil pledged to reduce GHG emissions by 36.1%–38.9% by 2020, compared to 2005 data. The pledge also included Brazilian urban spaces, where it was estimated that the majority of GHG emissions came from the energy sector (i.e., $CO₂$ emissions from road transport (39%) and the industrial subsector (27%)). In 2020, metrics on total CO₂ emissions were still at levels similar to those of 2006/2007, slightly over 1300 t/year. Therefore, any reduction would imply stabilization of the share of renewable energy sources in the energy matrix, followed by a decrease in fossil fuel usage [\(Carvalho et al., 2020](#page-12-0)). To identify opportunities for reductions, municipalities must first determine the amounts, sources, and causes of emissions. But direct monitoring of FFCO₂ at Brazilian cities is scarce [\(Santos et al., 2019](#page-13-0) and references therein) and rarely addresses possible drivers (topography, socioeconomic status, mobility conditions, etc.).

Here, we took advantage of a recent work of [Santos et al. \(2019\)](#page-13-0), which provided readings of FFCO₂ across the Rio de Janeiro state through 14 C measurements of Ipê leaves during 2015 (the first of its kind in all of South America). We focused on investigating trends in socio-economic patterns that could be associated with the FFCO₂ spikes observed just at the Metropolitan Area of Rio de Janeiro (MARJ). The MARJ alone has already reached the megacity status, with more than 10 million people spread out in a disorganized urban mosaic, similar to many other emerging urban megacities in developing economies.

To better identify FFCO₂ urban point-sources and drivers, we use two approaches. First, we visually evaluate socioeconomic conditions in the population across the region with the FFCO₂ data by superimposing multiple thematic maps, so as to capture first impressions of the relationships among variables. Socioeconomic information was acquired from the Brazilian Institute of Geography and Statistics ([IBGE, 2010](#page-13-0)) and the latest Origin-Destination (OD) Survey of the MARJ [\(OD Survey, 2015](#page-13-0)). Afterwards, we ran a spatial regression model for FFCO₂ concentrations, controlling for physical, socioeconomic, and mobility factors, to capture potential underlying patterns that can directly or indirectly influence FFCO₂ excesses. Thus, we present our findings considering the population's socioeconomic conditions across the region, as well as its infrastructure and mobility factors. Finally, the paper is summarized by a conclusion and perspectives on our work. In this section, we make brief comparisons with other cities in the Brazilian territory (i.e., Curitiba and São Paulo) as well as other examples worldwide.

2. Study site characteristics

The MARJ has a population of approximately 13 million inhabitants and a population density of 1750 inhabitants/m², a high conurbation level and equally high vehicular activity (estimates from the Brazilian Institute of Geography and Statistics, IBGE, for 2021^2). Besides including the main Rio de Janeiro (RJ) city, with approximately 6.3 million people ([Malta and Marques da Costa,](#page-13-0)

¹ https://plataforma.seeg.eco.br/total_emission (choose CO₂ to single out this pollutant from other GHGs). 2 https://ftp.ibge.gov.br/Estimativas de Populacao/Estimativas 2021/estimativa dou 2021.pdf

 2021), this urbanized area has already incorporated another 21 municipalities over the years ([Fig. 1\)](#page-4-0). Thus, it is the second largest urban conglomeration in South America, after the Metropolitan Area of São Paulo, also located in the southeast region of Brazil.

The MARJ's poor infrastructure planning and urban sprawl (a sixfold population increase in just three decades; [Da Silveira Pereira](#page-12-0) [et al., 2021](#page-12-0)) have led to severe social gaps and socio-environmental vulnerabilities ([Malta and Marques da Costa, 2021](#page-13-0)). Urban sprawl occurred from its urban centers to the Southern Zone (along the Atlantic coastline at both sides of the Guanabara Bay; [Fig. 1](#page-4-0)), and later to the Western Zone (along the RJ city seafront, and the swamplands between Pedra Branca and the Tijuca Massifs; [Fig. 2](#page-5-0)A). Urban sprawl promoted the coexistence of richer districts (facing the Atlantic Ocean, with a distinct pattern of segmentation and fragmentation; [Glebbeek and Koonings, 2016\)](#page-12-0) side-by-side with several *favelas* or shantytowns (i.e., 763 in RJ city alone; [IBGE, 2010](#page-13-0)). RJ *favelas* can differ significantly from each other. Public policies from the late '80s and '90s implemented street paving and basic services in many surrounding wealthy vicinities [\(Borba, 2005\)](#page-12-0), allowing for an explosive density growth and greater vehicular traffic.

The region termed Baixada Fluminense ([Fig. 1\)](#page-4-0) has not caught up with other parts of the MARJ. It has over 2 million people sprawled within just six municipalities, characterized overall by low-income householders and a lack of access to basic infrastructure, such as land use management and good transportation options, as well as health care and education, green areas, and job opportunities. In contrast, RJ city and the Metropolitan East present a higher socioeconomic status, while Petrópolis city presents a different profile: it is agricultural and somewhat disconnected from MARJ.

The MARJ also presents a complex terrain configuration, with several mountains, hills, valleys, lagoons, and swamplands. The excess of topographical features creates densely populated areas and road corridors [\(De Farias and de Oliveira, 2013](#page-12-0)), increasing both air pollutant concentrations ([Gioda et al., 2016;](#page-12-0) [De Figueiredo et al., 2019;](#page-12-0) [Ventura et al., 2019](#page-14-0)) and FFCO₂ [\(Santos et al., 2019\)](#page-13-0).

51% of jobs in the whole MARJ are located in the Central Business District of RJ city, an area which concentrates just 19% of its population [\(Casa Fluminense, 2017\)](#page-12-0). Monthly income also varies substantially in the MARJ [\(Table 1](#page-6-0)), with a large fraction of its population living under poverty conditions [\(World Bank 2017\)](#page-14-0).

Public mobility and transport sustainability are also very poorly resolved at the MARJ. When comparing the RJ city metro system (42 km) to other developing cities (Beijing, 3727 km; and Mexico City, 4225 km) we observe its insufficient length and overall concentration in just a few neighborhoods. Similar issues have been observed for the newer Bus Rapid Transit (BRT) and Light Rail Transit (LRT) systems. They also tend to run across the richest neighborhoods, favoring these income populations [\(Pereira et al., 2019](#page-13-0)).

Population characteristics of MARJ areas are summarized in [Table 1.](#page-6-0) Overall, the MARJ population has a high proportion of females, youth, and young adults (*<* 32 years-old), as well as Indigenous and Afro-descendant peoples. High levels of illiteracy and low income remain startling. Moreover, the MARJ still shows a high percentage of unpaved streets, open sewage, and lack of street greenery for a city of this size. Population inequality layers across MARJ's regions and municipalities are summarized in [Table 2.](#page-6-0) In sum, gender, age, income, the unequal distribution of opportunities, and lack of proper infrastructure, together with the MARJ's complex topographic features, tend to result in poor urban mobility conditions (longer commutes and increased traffic jams) [\(Tables 1](#page-6-0) [and 2\)](#page-6-0).

Concerning fuel usage, light- and heavy-duty vehicles (commercial, privately owned cars and trucks, or motorbikes) can run on pure hydrous ethanol (E100), gasoline blended with ethanol proportions between 20 and 25% (E20-E25), diesel blended mix (B7 and B10), natural gas (NG) from petroleum wells, or biogas from sugarcane vinasse and bagasse or anaerobic digestion of biomass. These fuels are also used in the city's public transportation: i.e., vans and shuttles, BRT-type (RJ city) or regular buses (remaining MARJ districts), boats, and ferries ([Pinguelli et al., 2013;](#page-13-0) [ANFAVEA, 2015](#page-12-0)). In addition to E100 or 100% biogas, all other types of fuels (even those that are blended) are still highly depleted in ^{14}C ([Dijs et al., 2006](#page-12-0); [Culp et al., 2014\)](#page-12-0) due to the presence of petro-carbon byproducts (Δ^{14} C of approximately -1000%), and therefore can directly impact local FFCO₂ levels.

Fossil fuel consumption in the MARJ is not limited to the vehicular sector. MARJ has five thermoelectric plants: one in RJ city (Santa Cruz neighborhood), and the remaining four in the Baixada Fluminense (two in Duque de Caxias district, and another two in Seropédica; [Fig. 1](#page-4-0)). These thermoelectric plants are NG-powered ([Mendes and Sthel, 2018\)](#page-13-0), thus creating stationary sources of FFCO₂ (approximately 28% of total CO₂ emissions; [Rio de Janeiro, 2015\)](#page-13-0). Liquefied petroleum gas (LPG) is another source of FFCO₂, and it is used for cooking and/or household heating ([Bruce et al., 2017](#page-12-0)). At RJ state, LPG usage is about 50% [\(Coelho et al., 2018; Butera et al.,](#page-12-0) [2019\)](#page-12-0), with wood or charcoal as secondary energy sources [\(Jakob et al., 2019](#page-13-0)). Other fossil fuel stationary sources are the petrochemicals, metallurgical producers, and refineries.

Given fuel-type usage in RJ state alone, it is safe to say that over 55% of it is still from fossil fuel sources, where most of it is destined for the transportation sector ([Silva et al., 2020;](#page-14-0) [SEEG, 2021](#page-13-0)). Therefore, due to fossil fuels' devastating effects on the environment and health ([Seto et al., 2014](#page-13-0)), there is a need to better characterize it in the MARJ, particularly when it comes to its spatial distribution (where most transport-related emissions in the RJ state occur). Moreover, identifying underlying drivers (e.g., socioeconomic factors) affecting FFCO₂ would allow policymakers to specifically target its reduction.

3. Methodology

*3.1. Fossil fuel CO2 distribution map based on 14CO2 derived from Ip*ˆ*e leaves*

A FFCO₂ distribution map was obtained from a 2015 ¹⁴C time-integrated mapping of Ipê leaves, sampled from deciduous perennial

³ <https://www.beijing-visitor.com/beijing-travel/beijing-subway-system>4 <https://mapa-metro.com/en/Mexico/Mexico%20City/Mexico%20City-Metro-map.htm>

Fig. 1. Metropolitan Area of Rio de Janeiro (with its position in the Brazilian coast – smaller map in bottom right), with its 22 municipalities and subsectors (Rio de Janeiro City, Baixada Fluminense and Metropolitan East). The cities of Cachoeiras de Macacu and Rio Bonito were included in the MARJ in 2013, and Petrópolis in 2018. Source: [IBGE \(2010\)](#page-13-0).

trees (*Tabebuias*) across RJ state, as detailed in [Santos et al. \(2019\).](#page-13-0) On this statewide analysis, FFCO₂ levels were calculated using relatively coarse-scale ¹⁴C data for better coverage of the space studied. Sampling was higher at urban centers and scattered toward inland and coastal areas, as recommended by others [\(Park et al., 2015](#page-13-0)). Moreover, vegetation sampling occurred mostly away from highways and known fossil fuel stationary point sources. This measure was performed mostly in open areas, but was nearly impossible at urbanized spaces (an issue also reported by others; [Riley et al., 2008](#page-13-0)).

In the MARJ area (subset reported in this study: [Section 4.1](#page-7-0), [Fig. 2A](#page-5-0)), Ipê leaf sampling took place at public gardens (i.e., university campuses, natural areas, and city parks), private residences, and sidewalks alongside unpaved and paved roads and close to parking lots. Sampling was impractical in remote locations (with difficult terrain) and in most of the *favelas*. Mature living Ipˆe leaves (sampled just before abscission and set to dry) were packed in plastic bags and shipped to the W.M. Keck Carbon Cycle Accelerator Mass Spectrometry Facility at the University of California, Irvine (KCCAMS/UCI) for 14 C dating by accelerator mass spectrometry (AMS) analysis and other techniques [\(Santos et al., 2007\)](#page-13-0). Upon ¹⁴C-AMS data normalization and corrections (including background), precision and accuracy yield was 2‰. A time-integrated atmospheric FFCO₂ map for MARJ state was then derived based on the measurement of $\Delta^{14}C$ (‰) values of Ipê leaves from both urban and cleaner areas. The formulae breakdown and parameter assumptions can be summarized as follows: a Δ^{14} C shift from 27.1‰ (clean-air background) of approximately 2.5‰ toward negative values corresponds to an input of 1 ppm of FFCO₂. The global annual concentration of CO₂ (ppm) for 2015 was obtained from NOAA ([www.esrl.](http://www.esrl.noaa.gov) [noaa.gov\)](http://www.esrl.noaa.gov) as 400.36 ppm.

3.2. Map production

The database from the latest available Demographic Census of the Brazilian Institute of Geography and Statistics [\(IBGE, 2010\)](#page-13-0) was obtained for the entire MARJ.⁵ Data from the latest Origin-Destination survey in the MARJ (OD Survey of 2015) was obtained through

⁵ [https://www.ibge.gov.br/estatisticas/sociais/populacao/9662-censo-demografico-2010.html?edicao](https://www.ibge.gov.br/estatisticas/sociais/populacao/9662-censo-demografico-2010.html?edicao=10410&t=resultados)=10410&t=resultados

Fig. 2. FFCO₂ and socioeconomic data: A) top left: FFCO₂ concentrations (bright orange), RJ-Niterói Bridge (purple) and main topographical features in the MARJ; B) top right: average number of people per household; C) center left: average personal income (US\$); D) center right: average traveling time of produced motorized trips; E) bottom left: number of households composed of one person with vehicle ownership; F) bottom right: number of households composed of four or more persons without vehicle ownership. Pale blue denotes bodies of water (i.e., Guanabara and Sepetiba Bays as well as the Atlantic Ocean). Source: [IBGE \(2010\)](#page-13-0) and OD [Survey \(2015\)](#page-13-0). (For interpretation of the references to colour in this figure legend, the reader is referred to the web version of this article.)

personal communication with the Rio de Janeiro State Government Office. Census data was aggregated to OD zone levels (average population per household and average personal income), allowing us to compare population and income data to mobility data from the OD survey at the same scale. The following variables were then plotted using choropleth thematic classifications ([Section 4.1](#page-7-0), Figs. 2B-F): number of households with one car and one resident, households with no cars and with four or more residents, and average traveling time of produced motorized trips (trips which start in a given OD zone).

Table 1

Population characteristics and potential drivers of FFCO₂.

^a Mean value for each OD zone (weighted by population size if applicable); ^b [IBGE, 2010](#page-13-0); ^c [Santos et al., 2019;](#page-13-0) ^d OD [Survey, 2015;](#page-13-0) N/A – Not available; dash in description column implies same as first column.

Table 2

Socioeconomic characterization of MARJ householders by subsector.

* Personal monthly income. Data for 2010, converted to US dollars in 2021 currency. There is no data for Petrópolis city because it was included in the MARJ in 2018; Sources: [IBGE, 2010,](#page-13-0) [Casa Fluminense \(2017\).](#page-12-0)

3.3. Spatial statistical modeling

We fit a spatial regression model to quantify the relationship between FFCO₂ concentration, urban space characterization variables, and socioeconomic variables. Spatial regression is a regression method for studying multiple simultaneous effects from distinct covariates over an outcome (here, FFCO2) when the variables involved exhibit spatial correlation, which typically occurs when data have geographic attributes. The spatial regression models incorporate such inherent spatial correlations, presenting more consistent estimates of the effect of the covariates on the outcome. The interpretation of the coefficients related to the effects of the covariates on the outcome is done in exactly the same way as in linear regression.

We use the Spatial Error Model (SEM), which emerges from the presence of spatial dependence between error terms of neighboring observations, suggesting the hypothesis that unobservable factors that influence the dependent variable are spatially correlated. A Lagrange Multiplier Test for spatial autocorrelation ([Arbia, 2014](#page-12-0)) showed that the SEM is sufficient to remove spatial dependency, so more complex specifications were discarded. The SEM can be mathematically stated as follows:

$$
y = X\beta_1 + u,\tag{1}
$$

$$
u = \lambda W u + \varepsilon,\tag{2}
$$

$$
\varepsilon N\big(0, \sigma_{\varepsilon}^2 I_N\big). \tag{3}
$$

Here, *X* is the matrix of independent variables, and *β*₁ corresponds to their effect on the dependent variable (FFCO₂). The error terms *u* have a spatial autoregressive specification with a scale parameter *λ* with |*λ*| *<* 1. *W* is a weight matrix, such that *Wu* forms a linear combination of the error terms. We assume that *ε* follows a multivariate normal distribution with zero mean and a constant

diagonal variance matrix $\sigma_e^2 I_N$, where I_N represents the *N*-dimensional identity matrix and *N* is the number of observations.

For the weight matrix, we use inverse distance weighting, with weights for neighbor's *i* and *j* given by $w_{ij} = 1/d_{ij}^{\alpha}$, where d_{ij} is the distance between locations *i* and *j*, and *a* is the decay parameter. We test $\alpha = 1$ and $\alpha = 0.25$, corresponding to what we could respectively call *short-* and *long-*range correlations, accounting for qualitatively different situations.

We depart from 17 potentially relevant independent variables [\(Table 1](#page-6-0)) and fit all the 131,071 possible specifications (i.e., distinct combinations of the set of independent variables), including up to 17 covariates for each choice of *α*. Selection rationale is as follows: population density and income have long-term effects on urban GHG emissions ([Patarasuk et al., 2016](#page-13-0); [Baiocchi et al., 2015](#page-12-0); [Olaniyan](#page-13-0) [et al., 2018; Luo et al., 2021](#page-13-0)), including FFCO₂ [\(Xi et al., 2013](#page-14-0), [Wang et al., 2019, Varga et al., 2020,](#page-14-0) [Hou et al., 2020\)](#page-12-0). Some positive or negative drivers of income are illiteracy, age, gender, and race, all of which may impact FFCO₂ levels. Altitude (elevation) may be less relevant to urban CO_2 increases due to the relative unlikelihood of its proximity to urban development [\(Wang et al., 2019](#page-14-0)). However, we added this variable based on the MARJ urban expansion history, particularly regarding the occupation of *favelas* on hillsides [\(section 2\)](#page-2-0). The transportation sector and its main factors (high-density urban road, vehicle ownership, commuting patterns), which are known to exert significant effects on GHG emissions ([Wang et al., 2017, 2019](#page-14-0); [Xu et al., 2015\)](#page-14-0), were also added. Last, the number of jobs was included, since work is an activity that drives transportation GHG emissions ([Reckien et al., 2007\)](#page-13-0). All the independent variables were measured at the OD zone level.

Considering the limited sample size (72 FFCO₂ unique observation sites were used from within MARJ; results from similar geo-locations among the 118 measurements shown in [Fig. 2A](#page-5-0) were averaged), the best fit according to the Akaike Information Criterion⁶ [\(Arbia, 2014](#page-12-0)) was chosen. Moreover, although MARJ Ipê leaf collection took place mostly inside residential areas, away from freeways and known fossil fuel stationary point sources, we could not explicitly control for these factors at all urban areas (as mentioned in [Section 3.1\)](#page-3-0). The absence of potentially relevant variables as independent variables in the model could cause what the statistical theory calls endogeneity, implying potential bias to the estimators of the remaining covariates. In the present case, endogeneity cannot be ruled out, and the parameters could indirectly reflect the effect of relevant variables not explicitly controlled, with which they may be associated.⁷ Even so, the estimation of parameters can be very useful, if their interpretation does not neglect the influence that such unnoted factors can exert on the coefficients [\(Section 4.2\)](#page-8-0).

4. Results and discussions

4.1. FFCO2 and socioeconomic maps

In [Fig. 2](#page-5-0)A, we show the FFCO₂ levels derived from $\Delta^{14}C$ (‰) of Ipê leaves in MARJ. This is a subset from [Santos et al. \(2019\)](#page-13-0). It incorporates both the highest $\Delta^{14}C$ data of 27.1‰ (i.e., zero FFCO₂ contribution: our background for clean air of Petrópolis city), and the lowest Δ^{14} C value of -43.6% (i.e., an excess of FFCO₂ equivalent to 27.6 ppm), from RJ city center. The latter FFCO₂ estimate is slightly higher than that attained for the center of the Los Angeles metropolis (15.1 \pm 5.5 ppm) during 2005 and 2006 ([Riley et al.,](#page-13-0) [2008\)](#page-13-0), and it is equivalent to the locally added FFCO₂ in urban Beijing during May to September of 2009 (25.2 \pm 1.0 ppm). Thus, a local contribution of 27.6 ppm to MARJ in 2015 implies that fossil fuel usage remains strong, even though biofuels are largely available to the Brazilian transportation sector ([Section 2](#page-2-0)).

Greater FFCO₂ levels ([Fig. 2](#page-5-0)A) were detected in the south-central region of the MARJ, at both sides of Guanabara Bay. These regions show lower average population ([Fig. 2](#page-5-0)B), as well as high income per household (Fig. 2C). They represent the business, education, and entertainment centers of the MARJ, and receive a large number of commuters. Therefore, we believe that we have captured the mobility flow associated with transportation needs [\(Pereira et al., 2019](#page-13-0)). After the RJ-Niterói bridge [\(Fig. 2A](#page-5-0)) opening in the '70s, four of the seven municipalities at the Metropolitan East side of Guanabara Bay (Maricá, Itaboraí, Tanguá, and São Gonçalo; [Fig. 1](#page-4-0)) experienced intense growth (221% population increase between 1970 and 2010) and urbanization in the form of car-based suburbs [\(Barandier Jr, 2015](#page-12-0)).

Similar to RJ city center, Niterói's business center holds a large percentage of formal jobs (more than 30%), universities, and main transportation hubs, such as ferry and bus stations (with more than 300,000 commuters). It is assumed that at least a half million people pass through Niterói's and São Gonçalo's central areas daily [\(Fig. 2](#page-5-0)D). Average traveling time for motorized trips seems to show a very heterogeneous pattern in [Fig. 2](#page-5-0)D. But the average commuting time of one-way trip is 39 min [\(Table 1\)](#page-6-0). This implies that the MARJ population spends a considerable amount of time in traffic jams, particularly for those in the Baixada Fluminense subsector [\(Fig. 1\)](#page-4-0), where commutes longer than one hour can reach 54% of total trips ([Table 2\)](#page-6-0). While overall MARJ's commuters still seem to show a high level of daily public transportation usage (71.5%) versus private cars (28.5%), corroborating our findings [\(Figs. 2E](#page-5-0) and F), [Barandier Jr \(2015\)](#page-12-0) pointed out that motorization by private cars had already increased as much as 27% in just nine years.

Regarding marine traffic, Guanabara Bay operates many marine stations. They all use diesel or crude oil as their main fuel. Marine

⁶ The Akaike Information Criterion (AIC) is a popular measure for the goodness of fit of a statistical model, providing a criterion for comparing candidate models. The AIC is based on the likelihood function and the number of model parameters, rewarding models that provide high values of the likelihood function (which roughly indicate how well the model reproduces the observed data) and penalizing the number of parameters entered in the model, favoring simpler models and avoiding overfitting. 7 Traditional endogeneity tests (such as the Hausman test) rely on the existence of an adequate *instrumental variable,* which is not always possible

to find; details on the procedure can be found in [Wooldridge \(2016\).](#page-14-0) That is probably the reason that such a test is seldom presented. Instead, it is rather more common to argue about the presence/absence of endogeneity, as we did.

traffic emission has been estimated as 6701.4 tons of $CO₂$ based on ship engine type, load factor, and fuel usage, and it affects mostly the business centers of RJ and Niterói cities ([Cepeda et al., 2018\)](#page-12-0). All the aforementioned factors can be observed by the excess of $FFCO₂ detected in the RJ and Niterói business centers (Fig. 2A), as both sides of the Guanabara Bay show basically the same $FFCO₂$$ $FFCO₂ detected in the RJ and Niterói business centers (Fig. 2A), as both sides of the Guanabara Bay show basically the same $FFCO₂$$ $FFCO₂ detected in the RJ and Niterói business centers (Fig. 2A), as both sides of the Guanabara Bay show basically the same $FFCO₂$$ levels, although the Metropolitan East population (seven cities combined) is at least threefold lower than RJ city alone.

[Fig. 2](#page-5-0)F shows that the high proportion of households composed of four or more persons without vehicle ownership are located mostly in the Baixada Fluminense. Those also coincide with the commuters facing longer traveling times [\(Table 2\)](#page-6-0). Commuting patterns are probably associated with either unemployment or underemployment conditions, and lack of basic education and career opportunities near the workers' residences ([Pereira et al., 2019\)](#page-13-0). Over 2 million people commute to work within RJ city and from its surrounding municipalities ([Pacheco et al., 2017](#page-13-0); [Table 2](#page-6-0)).

The highest-income region is located at the MARJ southwest (a thin seafront strip at RJ city; [Fig. 2C](#page-5-0)), which shows average to low population per household ([Fig. 2](#page-5-0)B). This small area also shows a high proportion of households composed of one person owning a vehicle ([Fig. 2E](#page-5-0)), and somewhat lower FFCO₂ concentrations [\(Fig. 2](#page-5-0)A). It is characterized by high-income gated residential areas of high-rise buildings [\(Glebbeek and Koonings, 2016\)](#page-12-0) with medium to low urban activity.

Regions with many houses composed of four or more persons without a vehicle are mostly located in areas near lower FFCO2 concentrations. These regions coincide with low-income householders ([Fig. 2C](#page-5-0)) and longer traveling times [\(Fig. 2D](#page-5-0)), suggesting that poorer populations produce lower per capita $FFCO₂$, at least in their own zones [\(Fig. 2A](#page-5-0)).

4.2. FFCO2 and statistical modeling

[Table 3](#page-9-0) shows the correlation matrix⁸ for the selected variables from [Table 1](#page-6-0). Next, we draw some significances between socioeconomic and physical variables with FFCO2. [Table 3](#page-9-0) shows two distinct groups of variables, negatively and positively correlated to income. High-income zones have a higher proportion of single-person households with a car, and a higher percentage of households on streets with trees, of women, and of households on paved streets, with greater demographic density and areas that show more job offers. The correlation coefficients between these variables and income range between 0.38 and 0.84 (average 0.65). Zones with lower income are those with the highest percentage of Black, brown, or Indigenous residents, of households with four or more residents and no cars, and young residents, and illiterate people, with a higher percentage of streets with open sewage, more distant from the city center, with a greater number of students, and located at higher altitudes. The correlation coefficients between these variables and income range from − 0.96 (percentage of Black, brown, or Indigenous residents) and − 0.34 (log altitude), and their average is − 0.69, showing the magnitude of these associations.

FFCO2 is positively correlated with the first group (variables positively correlated with income) and negatively correlated with the second (variables negatively correlated with income). It is more strongly correlated with population density (0.5), followed by the availability of jobs (0.43), income (0.28), and the variables paved streets (0.34) and number of households with one car and one resident (0.29). This may imply that some high-income residents live in areas with equally high urban activity (closer to the city center, with more services, more culture, and better infrastructure and employment options). This pattern is in line with the maps shown in [Fig. 2,](#page-5-0) where at some residential areas (i.e., close to center busiest RJ area), specific high-income dwellers tend to be more vehicularly oriented ([Figs. 2](#page-5-0)C and E), which could be associated with higher $FFCO₂$ concentrations ([Fig. 2A](#page-5-0)).

Overall, our correlations support the fact that higher urban activity (intense commerce, transportation demands, etc.) tends to increase FFCO₂ levels. In fact, the most important sector for CO₂ emissions in RJ city and state is transportation (about 35% of total CO₂ emissions in the city; [Rio de Janeiro, 2015, 2017\)](#page-13-0). However, correlations between urban mobility variables (average traveling time and number of trips) and FFCO₂ concentrations are not as strong $(0.2$ and 0.08 , respectively) as those related to income and FFCO₂. Here, traffic flow was not used to determine point-by-point fossil fuel emissions, a dataset not yet available in this region. Thus, it is difficult to determine how traffic flow influences specific OD zones that show either high or low FFCO₂ levels.

Physical variables such as distance to the city center and altitude show negative correlations to FFCO₂: i.e., -0.52 and -0.6 , respectively. The impact of the zones outside the city center is not completely unexpected. However, flat areas away from the MARJ city centers are densely occupied (*>* 3 residents per household; [Fig. 2](#page-5-0)B), including its hillsides, implying that population density alone is not a main driver of FFCO₂ concentrations. Households with no cars (–0.24), high illiteracy (–0.39), and open-air sewers (–0.52) showed negative correlations with FFCO₂, suggesting that these dwellers are living in less vehicularly oriented areas, as opposed to the high-income populations, as mentioned above.

Regarding the results of the spatial regression model for $FFCO₂$ (details in [Section 3.3\)](#page-6-0), we chose the best fit from all the possible configurations including up to 17 variables (for both choices of the decay parameter). The best fit, presented in [Table 4](#page-9-0), resulted from decay parameter $\alpha = 0.25$, suggesting long-range spatial correlation. We note that variables not included in the final model should be considered statistically nonsignificant under the presence of the variables in the model.

Wald and LR test the significance of the spatial dependence coefficient in the Spatial Error Model. [Table 4](#page-9-0) shows that this coefficient is considered nonsignificant by both tests. Considering that those tests are subject to the "type II error"^{,9} keeping the spatial coefficient should help to avoid bias in the estimation of the remaining coefficients. For assessing robustness of the estimates, we evaluate the

⁸ Variables with markedly skewed distributions (such as income, population counts and geographic measurements) typically require logarithmic transformation in regression modeling. The models were fitted using the logarithmic form of the following variables: FFCO₂, income, number of jobs, altitude, number of students and distance from the city center. For this reason, we also use this form in calculating correlation coefficients.
⁹ A type II error occurs when a test fails to reject a null hypothesis

Table 3

Correlation (derived from matrix between socioeconomic variables from the Census and the OD research and physical variables, including FFCO₂ from MARJ (derived from [Santos et al., 2019\)](#page-13-0).

Sources: [IBGE, 2010;](#page-13-0) [OD Survey, 2015](#page-13-0), [Santos et al., 2019](#page-13-0).

Table 4

Estimates of the spatial and linear error model coefficients.

Note:**p <* 0.1; ***p <* 0.05; ****p <* 0.01.

results of an ordinary linear model as well. Results show that coefficient estimates from the ordinary linear model are very close to the coefficients estimates deriving from the spatial specification (Table 4). Computed standard deviations of the spatial model are also comparable to the linear model ones. Fitting a linear regression is also convenient for it allows assessing the R-squared statistic, which is not generally valid for contexts other than the linear regression. Table 4 shows the R-squared is considerably high (Adjusted Rsquared $= 0.698$).

Also, the interpretation of the parameters depends on the functional form of the regression equation. The dependent variable is in logarithmic form, and we have both log- and level-independent variables. For log-log, we have constant elasticity of *y* with respect to *xi*: increasing *xi* in 1% causes an expected percentual change of magnitude approximately equal to *βi* in *y*; for log-level, the expected percentual change corresponds to $100 \times \beta_i$ for each additional unity of x_i . These approximations are not so precise for β_i far from zero (|*βi*| *>* 0.2). In this case, one can compute the exact percentage change by (*e ^βi* − 1) for the elasticity model and 100(*e ^βi* − 1) for the semielasticity. See [Wooldridge \(2016\)](#page-14-0) for details.

We calculated the effect of demographic density (*log number of residents per km²*) changes (holding all other predictors constant) on

 $FFCO₂$ and found that for each 1% demographic density increase, $FFCO₂$ should increase approximately 0.2%. This finding somewhat agrees with general expectations ([Seto et al., 2014](#page-13-0)), but alone does not help to determine local FFCO₂ contributions. Population density has a complex relationship with FFCO₂ emissions. In general, a compact city structure can reduce per capita emissions when it is supported by a good public transport network, mixed land use, and other measures in urban planning [\(Wang and Li, 2021](#page-14-0); [Xu et al.,](#page-14-0) [2019\)](#page-14-0). Unfortunately, this is not the case for the MARJ, which shows similar problems as less developed regions in China [\(Liu et al.,](#page-13-0) [2017\)](#page-13-0). Note that here, our evaluations assume that this megacity will continue growing under a business-as-usual scenario. MARJ has a unique landscape, punctuated by a series of hills, and therefore channels most of the traffic to the same routes ([Fig. 2A](#page-5-0)). Without an efficient urban transportation system, the excess of daily traffic jams can just continue increasing transport-related FFCO₂ concentrations.

The percentage of residents aged \leq 32 years also has an increasing impact on FFCO₂ levels: e.g., a 1% rise yields a 5.3% FFCO₂ increase, which is consistent with young adults' daily activity patterns ([Matz et al., 2015\)](#page-13-0). For instance, young adults often drive their young to school and other activities, as well as themselves to work, school, or recreational places. Therefore, neighborhoods with a greater proportion of younger residents may show higher FFCO₂ levels compared to the same neighborhoods with fewer youth and young adults. Moreover, activities at MARJ tend to be concentrated in a few locations ([Pereira et al., 2019](#page-13-0)), creating some mobility demands.

Despite FFCO2 being positively correlated with a younger population, the percentage of illiterate people is ceteris paribus nega-tively associated with FFCO₂ [\(Table 4](#page-9-0)). Territories with high percentages of illiterate people showed 17.8% lower FFCO₂ concentrations, while OD zones with open sewers showed 69.6% lower $FFCO₂$ than places with proper sewage disposal. These correlations may also imply that illiterate people tend to live and stay away from the business and high-income areas. Lack of basic infrastructure and overall autonomy (such as being able to obtain a driver's license), as well as the lower likelihood of low-income populations spending additional funds on leisure activities, may explain a lower FFCO₂ impact at their residential zones.

Average travel time (i.e., average travel time that departs or arrives in the OD zone) shows increasing effects on FFCO2. Hence, a 1% increase on average travel time represents 1.7% more FFCO₂. Traffic jam conditions tend to increase pollutant emissions [\(Ou et al.,](#page-13-0) 2013), but the average travel time at each OD Zone cannot translate automatically into high local FFCO₂ concentrations. As previously stated, our work does not include traffic flow data, and therefore, the variable "average travel time" just means that people who live in that OD zone spend extra time in some type of vehicular transport to reach their destination.

Regarding jobs, increasing job offers by 1% should imply an increase of FFCO₂ levels by 0.13%. Urban areas with high job availability tend to attract more commuters ([Manduca, 2021](#page-13-0)), which is clearly related to transportation demands in a given zone [\(Pereira et al., 2019](#page-13-0)). Finally, for every extra 1% of households with just one person owning a car, the concentration of FFCO₂ decreases by 12.6%. This result is somewhat unexpected; especially if those new drivers chose to favor nonrenewable fuels (such as gasoline, diesel, or NG), an increase of FFCO₂ would be expected [\(Fig. 2A](#page-5-0) and E). On the other hand, locations with intense vehicle use and with populations from lower socioeconomic conditions tend to show high rates of poorly maintained, aged vehicles, which in turn present worse emission factors that can impact pollutant concentration in these locations [\(Park et al., 2016;](#page-13-0) [Alvim et al., 2017](#page-12-0); [Helmers](#page-12-0) [et al., 2019\)](#page-12-0). Since income is highly correlated with households with one person and vehicle use in the MARJ (0.84; [Table 3](#page-9-0)), the association captured in our results could stem from the fact that higher-income populations tend to own newer vehicles in good maintenance condition, and therefore with overall better emission factors ([CONAMA, 2018](#page-12-0)). Although MARJ could significantly benefit from lower FFCO₂ if all households manage to purchase newer vehicles, this scenario is unlikely to occur anytime soon, due to the ongoing high prices of fuel-efficient vehicles ([Bauer et al., 2021\)](#page-12-0).

5. Conclusion and perspectives

In rapidly changing complex urban spaces, finding commonalities that affect GHG emissions (or just FFCO₂ concentrations) is challenging. It is difficult to differentiate from a government database alone the consumption of fuel types within political and administrative boundaries ([Parshall et al., 2010\)](#page-13-0).

In this work, we combined existing methodologies to better understand the relationships between socioeconomic variables (obtained from official governmental reports) and FFCO₂ concentrations (measured by time-integrated $14CO_2$ content in samples of Ipê leaves) across a megacity of the Global South: i.e., MARJ, in Brazil. Fast urbanization processes, and a lack of proper integrated urban planning and policies have brought socioeconomic gaps to MARJ ([Malta and Marques da Costa, 2021](#page-13-0); [Da Silveira Pereira et al., 2021](#page-12-0)). The topographic features of the MARJ also have led to complicated mobility conditions and unintended traffic concentration [\(Pereira](#page-13-0) [et al., 2019\)](#page-13-0), leading to high FFCO₂ levels at specific zones. Hence, centralized job-house zones can potentially increase on-road FFCO₂, an issue that has been identified by others through public database studies of global cities ([Song and Gurney, 2020](#page-14-0)). In this study, we identified high FFCO₂ concentrations occurring around the Guanabara Bay, city centers, and the expanded areas near the RJ-Niterói Bridge exits ([Fig. 2A](#page-5-0)), narrow areas (between the mountains and the ocean) with large-scale daily traffic activities. We determined that FFCO2 patterns are location-dependent (a function of centrality) as well as income-related. A large fraction of the MARJ population works away from their residential zones [\(Table 2\)](#page-6-0), and therefore some type of transportation mode and distance travelled are responsible for higher or lower $FFCO₂$ levels ([Fig. 2A](#page-5-0), C-F).

High-income residents [\(Fig. 2](#page-5-0)C), while in low numbers ([Fig. 2B](#page-5-0)), are somewhat moderate to stronger FFCO₂ polluters when high income is related to high urban activity (higher FFCO₂ levels were also found in the central/richer districts: the thin seafront strip at RJ city, and central business, educational, and cultural areas of the MARJ districts; [Pereira et al., 2019\)](#page-13-0). We also determined that illiterate people and areas with open sewage tend to produce less FFCO₂ ([Table 4](#page-9-0)) than the opposite. Nonetheless, lack of basic sanitation and waste management at these areas do not preclude the production of methane (another powerful GHG) at MARJ ([Cotovicz Jr et al.,](#page-12-0)

[2016\)](#page-12-0). It is desirable for low-income dwellers to eventually improve their quality of life and education, without entailing a significant net increase in FFCO₂ concentrations [\(Galvin and Healy, 2020\)](#page-12-0). Without significant infrastructure changes, and decentralization of jobs and services, our regression analysis reveals the following relationship: For every 1% increase in the population density at MARJ, a 0.2% increase in FFCO2 levels should be observed. This is worrisome, and calls for immediate action.

During the 1960s to 1980s, Brazilian government officials designed plans for urban development in several cities that were experiencing rampant growth, including Rio de Janeiro, São Paulo, and Curitiba. However, only Curitiba city moved forward with its public transportation and land use plans [\(Follador et al., 2022\)](#page-12-0). It is not within the scope of this study to address why this was the case. However, Curitiba city (4062/km² population density; [IBGE, 2010](#page-13-0)) became a worldwide example of sustainable development and urban resilience thanks to an efficient urban planning and bus system [\(Larbi et al., 2021\)](#page-13-0). Most residents are kept close to amenities and services, and to major bus lines. In addition, Curitiba has invested in expanding urban green spaces, while keeping its overall fuel consumption much lower [\(Dulal and Akbar, 2013](#page-12-0)). For instance, rather than gasoline-powered lawn mowers, city parks use animals as lawn mowers.

While it would be difficult for the MARJ to just mimic the Curitiba infrastructure and transportation plans without a proper evaluation (both cities have very different landscape and infrastructure characteristics), evidence shows that urban growth and environmental sustainability are possible once opportunities for and/or constraints against urban sprawling are first identified, and stronger policies to restrict the release of CO_2 are set in motion [\(Carvalho et al., 2012](#page-12-0)). Moreover, decentralization efforts regarding jobs and overall services have been implemented in existing cities elsewhere (e.g., in Canada, [Duquet and Brunelle, 2020](#page-12-0); in Spain, [Díaz-Lanchas and Mulder, 2021;](#page-12-0) and in Indonesia, [Trimurni and Mansor, 2020](#page-14-0)). While the overall results of decentralization attempts have been mixed, successes and pitfalls have been heavily attributed to other factors (e.g., investments and local governance). It is then reasonable to assume that a better spatial distribution, at least of jobs, in the MARJ [\(Pereira et al., 2019\)](#page-13-0) would decrease transportation demands and lead to lower FFCO₂ contributions, as has been found for São Paulo city [\(Chiquetto et al., 2021](#page-12-0)). Eventually, better spatial distribution of basic services, schools, commerce, and recreation options, thus affecting all journeys, should follow. Adoption of polycentric development models (e.g., [Holden and Norland, 2005](#page-12-0); [Ou et al., 2019](#page-13-0)) should help curb overall GHG emissions and also bring a higher quality of life for those living in the MARJ, as well as to others living in megacities of the Global South facing similar issues

As a spinoff of this study, we have revealed some key factors, especially in regard to the implementation phase and analysis, that may help to improve FFCO₂ information acquisition (using isotopes and/or other data collection methods) and statistical modeling. [Fig. 2](#page-5-0)A shows 118 FFCO₂ measurements across the MARJ; however, since many results were from similar geolocations, modeling used just 72 FFCO₂ unique observations (as sites). Knowing where to implement measurements to optimize $CO₂$ monitoring will certainly yield better CO_2 metadata (and possibly FFCO₂), potentially leading to more accurate interpretation of its increase, which in turn can contribute to the urban policy debate on how to curb FFCO₂ concentrations. Regarding the identification of potential FFCO₂ drivers for modeling, prior knowledge of population characteristics and regional attributes is paramount. If available, and for a better characterization of FFCO₂ data, traffic flow and known fossil fuel stationary sources should be included as well. As mentioned, a traffic flow dataset is not yet available in this region, while known fossil fuel stationary sources were identified just for the large FFCO₂ RJ state map of [Santos et al. \(2019\),](#page-13-0) but difficult to obtain for the MARJ alone. For future studies, in this region or elsewhere, we also suggest the addition of meteorological models, which may add important information on pollutant dynamics. Nonetheless, our results could prove to be very useful for policymakers, not only for controlling FFCO₂ levels, but also for guiding policies regarding specific urban management sectors and population sectors toward mitigation options. To the best of our knowledge, these are the first results of this kind obtained for a megacity in South America.

Finally, the significant FFCO₂ contribution to urbanized Brazilian megacities is, nevertheless, concerning. Even though Brazilian biofuels are widely used in the transportation sector, fossil fuel emissions from urban spaces remain a challenge that needs to be addressed. This calls for an integrated approach that could be implemented in parallel by combining decentralization of jobs and services, improved public transportation systems, cleaner fuel technologies, newer on-road vehicles, and stronger land use/transportation policies.

Credit author statement

Guaciara Macedo dos Santos: Conceptualization, and acquisition of funding **Guaciara Macedo dos Santos, Júlio Barboza Chiquetto, Alexandre Ribeiro Leichsenring:** Methodology, Formal analysis and interpretation, Writing—original draft, visualization, literature synthesis and discussion.

Declaration of Competing Interest

The authors declare no conflict of interest.

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