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## *Embedded Questions and Sluicing in Georgian and Svan*<sup>1</sup>

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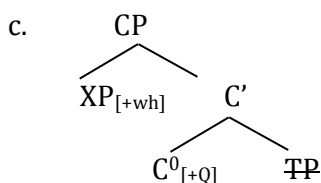
### **1. Introduction**

Although the subject of extensive research in theoretical syntax for decades, ellipsis is still little studied in the languages of the Caucasus. As for many other varieties of ellipsis, the discovery of SLUICING, that is, of ellipsis in embedded *wh*-questions, illustrated in 1a-b, as well as the coining of the term itself, is due to Ross (1969/2012). The “classical” sluicing has been defined and studied for languages that exhibit *wh*-fronting, primarily single *wh*-fronting. It is on the basis of data from such languages that standard tests for sluicing have been formulated. The now widely accepted analysis in the minimalist framework, introduced and argued at book length by Merchant (2001), is to posit that the *wh*-phrase is moved into Spec CP, while the complement of the CP is deleted, 1c. The deletion is triggered by a feature hosted by the interrogative C head.

- (1) a. Abby was reading something, but I don’t know **what**.  
b. Jack called, but I don’t know **when/how/why/where from**. Merchant (2008)

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Although the cross-linguistic breadth of sluicing studies has greatly increased in the course of the years that passed since the appearance of Merchant (2001), see Merchant & Simpson (2012) and more references in Erschler (2014), it is still worth the while to undertake such a study for yet a new language, especially when the latter exhibits a pattern of wh-question formation different from the canonical wh-fronting.

The presence of sluicing-like phenomena in languages with a different structure of wh-questions than the one observed in West Germanic can be puzzlement for movement *cum* deletion approaches: the analysis depicted in 1c cannot apply to them *verbatim*. However, constructions that at least superficially resemble sluicing are relatively common in languages that lack wh-movement into a sentence-initial position, as noticed already in Merchant (2001: 84-85).

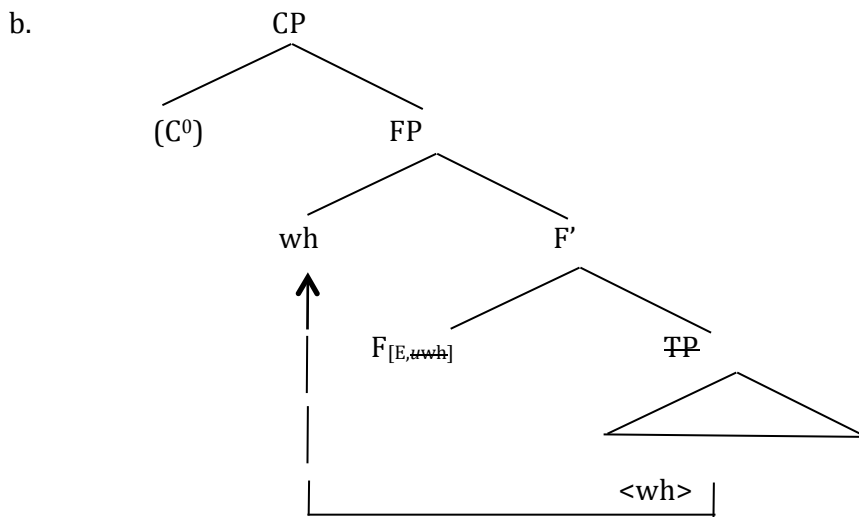
In the cases when wh-phrases still move, although not as high as into SpecCP, it can be reasonable to posit that the language under consideration possesses a high FocP (which is still below the CP), and that the sluicing deletes the complement of this FocP<sup>2</sup>. This, for instance, is implemented in Toosarvandani (2008) for Persian. This language is often assumed to exhibit wh in situ, see arguments for this assumption, as well as further references, in Karimi & Taleghani (2007), or, alternatively, adjunction of wh-words to vP at the very highest, as proposed by Kahnemuyipour (2001). However, wh-phrases in Persian are able to undergo focusing, which motivates Toosarvandani's (2008) analysis<sup>3</sup>, 2b.

- (2) a. kesi man-o hol dad  
 someone I-ACC<sup>4</sup> push s/he.gave  
 væli ne midunam (ke) ki  
 but NEG I.know COMP who  
 'Someone pushed me, but I don't know who.' (Dara Fourouzan, p.c.)

<sup>2</sup> An anonymous reviewer wonders why this projection needs to be the FocP. The point is well taken, and, indeed, it could be a different projection below the CP, although I am not familiar with analyses that would implement this idea for any language. At any rate, as we will see later, the FocP is indeed the most plausible choice in the case of Georgian.

<sup>3</sup> More precisely, Toosarvandani (2008) does not have the C layer in his tree, but given that his own data show that the complementizer *ki* can be present above the wh-phrases in embedded questions and sluices, I have taken the liberty of adding the CP to his tree.

<sup>4</sup> Glosses: ACC accusative; COMP complementizer; DAT dative; EMB embedded question marker; ERG ergative; GEN genitive; INS instrumental; LOC locative; MOD modal; NEG negation; NOM nominative; PL plural; PRS present; PST past; Q interrogative; Q.COMP interrogative complementizer; REL relativizer; SG singular.



Any analysis of sluicing that proposes that *wh*-phrases are hosted by a projection below the CP will predict that sluices will allow overt complementizers, should these be possible in embedded questions, a generalization borne out by the Persian data in 2b. Alternatively, sluicing-like constructions can arise via a mechanism other than ellipsis in embedded *wh*-questions. The matter is subject to cross-linguistic variation, see a systematic overview in Vicente (2014). Specifically, sluicing-like constructions can be either manifestations of a different type of ellipsis, for instance, stripping, as it is sometimes proposed for Japanese, see Fukaya and Hoji (1999) and Nakamura (2012). Yet another potential source for a sluicing-like construction could be embedded copular clauses with omitted copula, see, among others, Adams and Tomioka (2012) for Mandarin.

This paper will deal with sluicing in two South Caucasian languages without canonical *wh*-fronting, namely, Georgian and Svan. Sluicing in either of these languages has not been addressed in the literature so far. In Georgian, *wh*-phrases are placed into immediately preverbal position, which I identify with Spec FocP, 3a. In embedded questions, the interrogative complementizer *tu* can optionally precede *wh*-phrases, 3b. This complementizer can also be retained in sluices, 3c.

- (3) a. <p'ur-i>          vin          <\*p'ur-i>    iq'ida?  
 bread-NOM          who(ERG)                                    s/he.bought  
 'Who bought bread?'
- b. rezo mixvda                  (tu)          rat'om          c'avida          manana  
 Rezo s/he.realizedd    Q.COMP          why                    s/he.left          Manana  
 'Rezo realized why Manana left.'

- c. manana-m      gušin      rayac(a)      iq'ida,  
 Manana-ERG    yesterday something.NOM s/he.bought  
 magram    ar    vici      (tu)      ra  
 but          NEG I.know    Q.COMP    what.NOM  
 'Manana bought something yesterday, but I don't know what'

To account for these facts, I am going to develop an analysis similar to the one that Toosarvandani (2008) proposed for Persian, namely, to argue that sluices are derived by moving wh-phrases into specifiers of the FocP and subsequently deleting its complement.

The Svan facts diverge from the Georgian ones in two aspects: first, negative indefinites may intervene between the wh-phrases and the verb in a Svan wh-question, 4a, which indicates that the clause architecture in Svan is somewhat different from Georgian. Second, while the wide-purpose complementizer *er(e)* is possible in non-reduced embedded questions, 4b, it cannot be retained in a sluice, 4c.

(4) Upper Bal Svan

- a. jær-d      **dær-s**      **mamgweš**      laxwem?  
 who-ERG    nobody-DAT    nothing          gives  
 'Who didn't give anything to anyone?'
- b. gela-s      ču=xoxa,      [ere šoma=do      ænqdeni nino]  
 Gela-DAT    PRV=knows    COMP when=EMB    arrives    Nino  
 'Gela knows when Nino arrives.'
- c. manana-d      la:t      mo:le      anq'id  
 Manana-ERG    yesterday something bought  
 mare mam mixa      (\*ere)      mæj=do  
 but NEG I.know    COMP    what=EMB  
 'Manana bought something yesterday, but I don't know what.'

These facts indicate that a different analysis is required for the sluicing-like construction in Svan. For the time being, I only provide a descriptive account of sluicing in this language, leaving an analysis for future research.

The paper is organized as follows: section 2 provides some necessary background on South Caucasian grammar; section 3 introduces basic facts about sluicing; in section 4, various tests for sluicing are discussed and applied to Georgian sluicing-like constructions. In Section 5, I examine adposition drop under sluicing and show, that, although Georgian data at first glance contradict Merchant's (2001) generalization about the correlation between adposition stranding and adposition drop in sluices, there are separate factors in Georgian that confound the picture. In Section 6, I show that Georgian sluicing site can be embedded. In Section 7, I investigate compatibility of sluices with complementizers and patterns of complementizer placement in sluices. In

Section 8, I argue against analyzing Georgian sluicing as a reduced copular construction. In Section 9, I show that sluicing and stripping are separate phenomena in Georgian. In Section 10 I lay out my proposal for Georgian. In Section 11, I briefly present the parallel Svan data and discuss how they differ from their Georgian counterparts. Section 12 concludes.

## 2. Background on South Caucasian

Georgian and Svan belong to the small South Caucasian (also called Kartvelian) language family, Boeder (2005). They are mostly spoken in Georgia. Georgian has about 3 million speakers, whereas Svan is spoken by several tens of thousands and is endangered. In this paper, I use data from modern colloquial Georgian as spoken in Tbilisi, the capital of the country, and from the two Svan dialects of Upper Svaneti, Upper and Lower Bal. South Caucasian languages are notorious for their complex verb morphology, which is difficult to gloss. Given that the verb morphology does not seem to be relevant for my present purposes, I only provide simplified translations of the verbs in the example sentences.

### 2.1 Basics of Georgian Clause Structure

Georgian has fairly free word order in simple clauses, see, e.g. Harris (1981), Apridonidze (1986), Testeletis (1998), and Skopeteas et al. (2009). GB/Minimalist analyses of the simple sentence structure were proposed in Nash-Haran (1992), Nash (1995), and McGinnis (1995, 1997), but admittedly the evidence for structural priority between arguments is fairly elusive, as shown by Amiridze (2006), Wier (2011), and Wier (2014), and constituency tests are not particularly clear. However, for the purposes of this paper the finer internal structure of the extended VP is largely irrelevant, nor, as far as I am able to tell, do the matters discussed here impinge in any way upon the controversy over the structure of the lower domain of the Georgian clause. The language shows pro-drop and is famous for its complex splits in case-marking of main arguments, see, e.g., Harris (1981) for a thorough description. It is not clear whether Georgian has a DP: I am not aware of any evidence for the existence of covert D in Georgian, whereas overt articles are definitely not attested, see Bošković (2009) for arguments against positing the existence of a DP under such circumstances.

### 2.2 Wh-questions in Georgian

In Georgian simple clauses, wh-movement proceeds (descriptively) into the preverbal position, Harris (1981), which structurally corresponds to Spec FocP, Nash (1995). Only negation markers may (and actually must) intervene between the wh-phrase<sup>5</sup> and the verb: the sentence in 5b is ungrammatical, where the direct object *p'uri* 'bread' intervenes, while the sentence with a negative marker in 5c is grammatical.

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<sup>5</sup> Unless the wh-phrase is *rat'om* 'why', Harris (1984).

- (5) a. p'ur-i      vin            iq'ida?  
bread-NOM who(ERG) s/he.bought  
'Who has bought bread?'
- b. \*vin            p'ur-i      iq'ida?  
who(ERG) bread-NOM s/he.bought
- c. p'ur-i      vin            ar      iq'ida?  
bread-NOM who(ERG) NEG s/he.bought  
'Who hasn't bought bread?'

Multiple wh-movement is obligatory (see a discussion in Section 10 of the nature of this movement).

If wh-phrases are D-linked, it is marginally possible to put one of them in the postverbal focus position, see Skopeteas & Fanselow (2009) about the latter.

- (6) ??romel-ma      bič'-ma      garecxa      romel-i      mankana?  
which-ERG      boy-ERG      washed      which-NOM car.NOM  
'Which boy washed which car?'

Superiority constraints, that is, restrictions on the order of wh-phrases in a clause, do not seem to be operative<sup>6</sup> for many speakers of Georgian (*pace* Amiridze (2006: 64) and Harris (1981: 15), example 13e), 7. Pairs of sentences in 7a-b and 7c-d additionally show that the ordering of wh-phrases is not affected by animacy, unlike the situation that obtains in Ossetic, Erschler (2012).

- (7) a. ra            vis            ayizianebs?  
what.NOM who.DAT annoys  
'What annoys who?'
- b. vis            ra            ayizianebs?  
who.DAT what.NOM annoys  
*Idem*
- c. vin            ra            iq'ida?  
who(ERG) what.NOM bought  
'Who bought what?'
- d. ra            vin            iq'ida?  
what.NOM who(ERG) bought  
*Idem*

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<sup>6</sup> It well might be that a large-scale rating experiment, similar to one carried out for German by Featherston (2005) will discover asymmetries between different orders of wh-phrases in questions, but this needs to be left for future research.

Cross-linguistically, it is sometimes the case that sharper judgments for superiority can be obtained when *wh*-phrases are extracted from a dependent clause, see e.g. Grewendorf (2005: 38) for German<sup>7</sup>. However, as we will see in the next subsection, such data are not replicable in Georgian for a principled reason: extraction from dependent clauses is not possible in this language.

### 2.3 Embedded finite clauses

A wide variety of matrix verbs in Georgian allow or require a finite complement clause, Vamling (1989: 60). The default complementizer *rom*, 8a, which is used in complements, relative clauses, and some adverbial clauses (see more examples and discussion in Hewitt 1987), is ungrammatical in embedded questions, where the complementizer *tu* must be used instead, 8b.

- (8) a. rezo icis            rom manana    c'avida  
 Rezo knows        COMP Manana    left  
 'Rezo knows that Manana left.'
- b. rezo mixvda            (tu/\*rom) rat'om    c'avida    manana  
 Rezo s/he.realized    COMP        why        s/he.left    Manana  
 'Rezo realized why Manana left.'

The default position of a complement clause is sentence-final; the complementizer is then preferably clause-initial, 9. The behavior of the complementizer *rom* in the capacity of relativizer is subject to much more complex restrictions, Foley (2013).

- (9) manana            pikrobs    [rom rezo (\*rom)]    saxl-s    (?rom)    ašenebs]  
 Manana.NOM    thinks    COMP Rezo.NOM    house-DAT        builds  
 'Manana thinks that Rezo builds a house.'

However, when the dependent clause is preposed, the indicative complementizer may not occupy the clause-initial position.

- (10) [(rom)    rezo            (?rom)    saxl-s    (okrom)    ašenebs]  
 COMP        Rezo.NOM                            house-DAT                            builds  
 mxolod    manana            pikrobs  
 only        Manana.NOM    thinks  
 'That Rezo builds a house, only Manana thinks.'

Movement out of finite dependent clauses, except when the main clause is headed by certain modal verbs, is impossible in Georgian, Harris (1981).

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<sup>7</sup> I am obliged to Kyle Johnson for pointing out this publication to me.



- (11) a. gela-m tkva [rom manana c'avida kalak=ši]  
 Gela-ERG s/he.said COMP Manana.NOM s/he.left city=LOC  
 'Gela said that Manana left for the city.'
- b. \*gela-m sad tkva [rom manana t<sub>sad</sub> c'avida]?<sup>8</sup>  
 Gela-ERG where s/he.said COMP Manana.NOM t<sub>where</sub> s/he.left  
 'Where did Gela say that Manana left for?'

The matrix clause interpretation of a wh-phrase that is situated in a dependent clause is not possible either.

- (12) \*manana-s sdžera/ manana pikrobs [rezo  
 manana-DAT believe s/Manana.NOM thinks Rezo.NOM  
 rodis čamova]?  
 when s/he.will.arrive  
 Intended reading: 'When does Manana believe/think that Rezo t<sub>when</sub> will arrive?'

In embedded wh-questions, it is possible, although optional, to use the interrogative complementizer<sup>9</sup> *tu*. It must precede the wh-phrase, no matter where the wh-phrase is in the clause<sup>10</sup>.

- (13) a. rezo mixvda <tu> rat'om <\*tu> c'avida  
 Rezo.NOM s/he.realized Q.COMP why Q.COMP s/he.left  
 manana  
 Manana.NOM  
 'Rezo realized why Manana left.'
- b. tu rat'om ar c'avida manana rezo  
 Q.COMP why NEG s/he.left Manana.NOM Rezo.NOM  
 mixvda  
 s/he.realized  
 'Rezo realized why Manana did not leave.'

However, in embedded Y/N questions *tu* cannot be clause-initial.

<sup>8</sup> This sentence is grammatical on an (uninteresting) interpretation with the wh-phrase originating in the matrix clause: 'For which location x, did Gela say at x [that Manana left]?'

<sup>9</sup> Tschenkéli (1958: 199) reports that *tu* contributes to the meaning of the sentence: "Im indirekten Fragesatz wird vor das Fragewort oft ein თუ gesetzt, welches dazu dient, die Frage hervorzuheben oder zu verstärken. Im solchen Fällen lässt sich თუ etwa wiedergeben durch "eigentlich". I have not been able to assess this claim, but, at any rate, this does not seem to be important for the purposes of this paper, nor does this contradict my interpretation of *tu* as a complementizer.

<sup>10</sup> An anonymous reviewer inquires whether *tu* is a proclitic. This is plausible: I am not aware of situations where *tu* would be clause-final. However, this does not seem to be related to our analysis.

- (14) a. davit-s unda icodes <\*tu> axla <tu> tbila?  
 david-DAT wants s/he.would.know Q now Q is.warm  
 'David wants to know whether it's warm now.'
- b. ar vici <\*tu> ciq'vebi tu k'argad daprinaven  
 NEG I.know Q squirrels Q well they.would.fly  
 'I don't know whether squirrels fly well.'

Although the interrogative complementizer *tu* is most commonly placed in the second position of the embedded clause, it can occur further to the right, up to the immediately preverbal position – behavior analogous to that of floating complementizers in Ossetic, Erschler (2012). So far, I have not been able to discover any differences in the meaning that would depend on the specific placement of *tu*.

- (15) a. davit-s unda icodes bost'on-ši axla tu tbila  
 david-DAT wants s/he.would.know Boston-in now Q warm  
 'David wants to know whether it's warm in Boston now.'
- b. ar vici giorgi-m bavšv-s tu ač'ama  
 NEG I.know Giorgi-ERG child-DAT Q s/he.fed  
 'I don't know whether Giorgi fed the child.'

The same holds for preposed embedded questions. I do not consider non-finite dependent clauses in this paper.

### 3. The sluicing-like construction

Georgian exhibits a construction that is at least superficially similar to sluicing. Any *wh*-phrase, no matter whether it is an argument or an adjunct, may appear in this construction.

- (16) a. Argument  
 manana-m gušin rayac(a) iq'ida  
 Manana-ERG yesterday something.NOM s/he.bought  
 magram ar vici ra  
 but NEG I.know what.NOM  
 'Manana bought something yesterday, but I don't know what'
- b. Adjunct  
 šen sadyac(a) dat'ove gasayeb-i magram  
 you somewhere you.left key-NOM but  
 (me) ar daminaxavs sad  
 I NEG I.have.seen where  
 'You left the key somewhere, but I haven't seen where.'

In complete agreement with the fact that Georgian has obligatory multiple wh-movement, multiple wh-remnants in sluices are possible as well.

- (17) a. kuča=ši viyac(a) viyaca-s eloda  
 street=LOC someone.NOM someone-DAT s/he.waited  
 magram ar maxsovs vin vis  
 but NEG I.remember who.NOM who.DAT  
 ‘Somebody was waiting for somebody in the street, but I don’t remember who waited for who.’
- b. gušin viyac(a) viyaca-s=tan cekvavda  
 yesterday someone someone-DAT=with s/he.danced  
 magram ar maxsovs vin vis=tan  
 but NEG I.remember who.NOM who.DAT=with  
 ‘Yesterday somebody danced with somebody, but I don’t remember who with who.’

As in matrix questions, superiority constraints are inoperative in sluices; compare 18 and 7.

- (18) viyacas rayaca ayizianebs magram ar vici  
 someone.DAT something.NOM annoys but NEG I.know  
 a. ra vis  
 what who.ACC  
 b. vis ra  
 who.ACC what  
 ‘Something annoys somebody, but I don’t know what (annoys) who.’

The regular negative marker, *ar*, cannot be retained in a sluice, which can be explained either by the fact that NegP is deleted under sluicing or by *ar* being a proclitic<sup>11</sup> (because of which it would not be able to be sentence-final). Some speakers, however, allow to retain *ara* ‘no’ after the wh-phrase, (19). It is not clear whether *ara* is a just a phonologically strong negation marker or a verb proform. In the latter case, the sentence in (19) is not an instance of sluicing at all.

- (19) titkmis q’veლა bavšma šeč’ama tavisī sauzme  
 almost every child.ERG s/he.ate self’s breakfast.NOM  
 magram ar vici vin/romelma ara/\*ar  
 but NEG I.know who.ERG/which.ERG NEG  
 ‘Almost every child ate their breakfast, but I don’t know who didn’t.’

<sup>11</sup> I thank an anonymous reviewer and Yakov Testeletis for bringing up this issue.

However, some syntactic material may precede *wh*-phrases in sluices, cf. similar Hungarian data in van Craenebroek (2012: 42). I will call such constructions “extended sluices”. Their existences matches the observation made above in Section 2.2 that *wh*-phrases do not need to be sentence-initial in a matrix *wh*-question, compare 20 with 5a and 5c.

- (20) a.   guram-i           gušin       rezo-m    cema  
 Guram-NOM       yesterday Rezo-ERG  s/he.beat.up  
 ar   vici         dyes       vin  
 NEG I.know      today      who(ERG)  
 ‘Yesterday, Rezo beat up Guram. I don’t know who (beat him up) today.’
- b.   guram-i           rezo-m    cema  
 Guram-NOM       Rezo-ERG s/he.beat.up  
 ar   vici         zurabi    vin  
 NEG I.know      Zurab    who(ERG)  
 ‘Rezo beat up Guram. I don’t know who (beat up) Zurab.’

In both sentences in 20, the pre-*wh* material is arguably the contrastive topic. Finally, anaphors may appear there, see Amiridze (2006) for data showing that *tav*-anaphors indeed need to be bound in the minimal finite clause. The reflexives in 21a-b are coreferent with the subjects in the matrix clauses, but cannot be bound by them, therefore, there must be covert material in the dependent clauses that binds the anaphors.

- (21) a.   šaršan     bakar-ma<sub>i</sub> manana-s       xma misca.  
 last.year  Bakar-ERG Manana-DAT   voice s/he.gave  
 magram   ar   vici         **tavis tav-s**<sub>i</sub>    rodis  
 but       NEG I.know      self’s self-DAT   when  
 Last year Bakar voted for Manana, but I don’t know when (he voted)  
 for himself.
- b.   gušin       bakar-ma<sub>i</sub> manana-s p’ort’ret’-i daxat’a  
 yesterday  Bakar-ERG Manana-GEN   portrait  -NOM s/he.painted  
 magram   ar   vici         **tavisi    tavi**<sub>i</sub>    rodis  
 but       NEG I.know      self’s    self-NOM   when  
 ‘Yesterday Bakar painted a portrait of Manana, but I don’t know  
 when (he painted) himself.’

Given that Georgian reflexives need to be bound in the ambient finite clause, Amiridze (2006), these data provide evidence for the existence of deleted structure in extended sluices.

## 4. Tests for sluicing

### 4.1 Case connectivity

One very strong argument for a movement *cum* deletion analysis of sluicing is the cross-linguistically wide-spread requirement for the *wh*-phrase in a sluice to match its antecedent in case. This requirement is fully operative in Georgian. For instance, in 22a, the *wh*-remnant *ra* ‘what’ may only stand in the dative, like its antecedent *rayaca* ‘something’, whereas in 22b the same holds for the instrumental.

- (22) a. manana xval rayaca-s iq'idis,  
 Manana tomorrow something-DAT s/he.will.buy  
 magram ar v-ici ra-s/\*ra  
 but NEG 1SG.S-know what-DAT/what.NOM  
 ‘Manana will buy something tomorrow, but I don’t know what.’
- b. čveni dzayl-i rayac-it moic'amlā,  
 our dog-NOM something-INS got.poisoned  
 magram ver gaviget r-iti/\*ra  
 but MOD.NEG we. understood what-INS/what-NOM  
 ‘Our dog got poisoned with something, but we couldn’t understand what with.’

### 4.2 Availability of sprouting

As in “classical” sluicing languages, such as English or Dutch, Georgian exhibits sprouting, that is, the sluice does not need an overt antecedent.

- (23) a. Argument Sprouting  
 rezo č'ams, magram ar vici ra-s  
 Rezo.NOM eats but NEG I.know what-DAT  
 ‘Rezo eats but I don’t know what.’
- b. Adjunct Sprouting  
 rezo movida, magram ar vici vis=tan ertad  
 Rezo.NOM s/he.arrived but NEG I.know who.DAT=with together  
 ‘Rezo arrived, but I don’t know with whom.’

### 4.3 Island repair

Furthermore, Georgian sluicing repairs strong island violations<sup>12</sup>. As we have seen already, Georgian does not allow any movement out of finite clauses. However,

<sup>12</sup> Strictly speaking, the mechanism by which this occurs is still unknown. There exist proposals that argue for a non-isomorphic underlying structure for sluices whose antecedent is contained in an island, see e.g. Barros, Elliott & Thoms (2014) and Marušič & Žaucer (2013). However, given that

respective sluices are fully grammatical. Below, I illustrate alleviation of several of the classically known strong island constraints.

#### Complex NP Constraint

- (24) a. mat undoda-t rom vinme daekiravebinat  
 they.ERG they.wanted COMP someone they.will.rent  
 vin=c icis ertert-i evrop'ul-i ena,  
 who=REL knows some-NOM European-NOM language.NOM  
 magram ar vici romel-i ena(\*=a).  
 but NEG I.know which-NOM language.NOM=be.PRS.3SG  
 'They wanted to hire someone who knows one of European languages.  
 But I don't know which language (\*it is).'
- b. uča cxovrobs saxl=ši, sad=ac viyaca-m  
 Ucha lives house=LOC where=REL someone-ERG  
 viyac(a) mok'la, magram ar maxsovs  
 someone-NOM s/he.killed but NEG I.remember  
 vin vin  
 who(ERG) who(NOM)  
 'Ucha lives in a house where somebody killed somebody, but I don't  
 remember who (killed) who.'
- c. zurab-i modis cxen-it romeli=c viyac(a)  
 Zurab-NOM comes horse-INS which=REL someone  
 sxva-s ek'utvnis, magram ar vici vis  
 other-DAT belongs but NEG 1SG.S-know who.DAT  
 'Zurab is riding a horse that belongs to somebody else. But I don't know to  
 who.'

#### Coordinate Structure Constraint

- (25) a. tornik'e-m iq'ida gazet'-i da c'ign-i  
 Tornike-ERG s/he.bought newspaper-NOM and book-NOM  
 magram ar vici romel-i c'ign-i  
 but NEG I.know which-NOM book-NOM  
 'Tornike bought a newspaper and a book, but I don't know which book.'

---

island repair by sluicing is subject to cross-linguistic variation, see a.o. Nakamura (2012), I believe that it can be still used as a test for sluicing. Whatever mechanism is eventually found responsible for alleviation of island violations, this mechanism, or its slight modifications, will be operative in all languages that show standard sluicing.

## Adjunct Constraint

- (26)      tornik'e            c'avida      q'vavil-eb-is      saq'idlad  
 Tornike.NOM      s/he.left      flower-PL-GEN      for.buying
- imit'om      rom viyac(a)            gogo      mosc'ons,  
 because      COMP someone.NOM      girl.NOM      likes
- magram      ar      utkvams      romel-i      gogo(=a)  
 but            NEG s/he.said      which-NOM      girl.NOM(=be.PRS.3SG)
- 'Tornike went to buy flowers, because he likes some girl.  
 But he did not say which girl (it is).'

**4.4 Backward sluicing**

Sluicing, both "classical" and extended, can go backwards, that is, the sluicing site may linearly precede its antecedent.

- (27) a.      ar      vici            ra,            magram      manana-m  
           NEG I.know      what.NOM      but            Manana-ERG
- gušin      rayac(a)      iq'ida  
 yesterday something s/he.bought  
 'I don't know what, but Manana bought something yesterday.'
- b.      zurab-i      vin ar      vici,            magram      guram-i  
           Zurab-NOM who NEG I.know      but            Guram-NOM
- rezo-m      cema  
 rezo-ERG s/he.beat.up  
 Who (beat up) Zurab, I don't know, but Rezo beat up Guram.

To summarize, the sluicing-like construction in Georgian satisfies all the standard tests that the *bona fide* sluicing has been found to satisfy.

**5. Adposition drop in sluicing**

Famously, the movement *cum* deletion theory of sluicing makes the following prediction, Merchant (2001: 91): if the language does not allow adposition stranding in *wh*-questions, it will not allow adposition drop under sluicing either. This generalization is not free from exceptions, see, e.g. Sag & Nykiel (2011) and Leung (2014), and some effort has been spent to provide alternative derivations to sluicing-looking sentences that ostensibly violate it, see, a.o., Stjepanovic (2012) and Rodrigues et al (2009).

Provided that the Georgian sluicing-like construction is indeed derived by movement and deletion, Georgian is yet another example of a language that does not allow adposition stranding, but does show adposition drop under sluicing. However, as

we will see below, Georgian does not necessarily provide a counterexample to Merchant's generalization.

Modern Georgian has only postpositions, Hewitt (1995). In regular wh-questions, adposition stranding is ungrammatical:

- (28) a. vis=tan            ertad        midixar?  
           who.DAT=with together you.go  
           'Who are you(sg.) going with?'  
       b. \*vis=tan            midixar    ertad?  
           who.DAT=with you.go        together  
           *Idem*

Under sluicing, the picture is more complex. For monosyllabic postpositions which assign the dative to their complement and which are probably undergoing reanalysis into case markers in Modern Georgian, adposition drop is impossible.

- (29) manana    viyaca=ze        sasacilo    ist'oria-s    mohq'va  
       Manana    someone=on    funny        story-DAT    s/he.told  
       magram    (\*is)            damavic'q'da    vis\*(ze)  
       but        that.NOM    I.forgot        who.DAT=on  
       'Manana told a funny story about somebody, but I don't remember about who.'

However, adposition drop becomes at least marginally possible when phonologically heavy adpositions are taken into account. Sentences with dropped adpositions are still judged very informal, and not all the consultants acknowledge that they are grammatical.

- (30) a. k'at'a            imaleboda rayac-is            kveš  
           cat            s/he.hid something-GEN under  
           magram    ar    šemimčnevia    r-is            ?(kveš)  
           but        NEG I.am.sure        what-GEN under  
           'The cat hid under something, but I'm not sure what.'  
       b. guram-ma rayac-is            šesaxeb    ilap'arak'a  
           Guram-ERG something-GEN about        s/he.spoke  
           magram    ar    maxsovs            r-is            ?(šesaxeb)  
           but        NEG I.remember        what-GEN about  
           'Guram spoke about something, but I don't remember what.'



- c. rezo viyaca-s=tan ertad movida,  
 Rezo someone-DAT=with together s/he.arrived  
 magram ar vici vis=tan (ertad)  
 but NEG I.know who.DAT with  
 'Rezo arrived with somebody, but I am not sure who.'

Nevertheless, this circumstance might not be detrimental to Merchant's generalization, because postposition ellipsis is possible<sup>13</sup> in other environments as well.

- (31) a. coordinated structures  
 k'at'a imaleboda login-is kveš da dzayli magid-is  
 cat s/he.was.hiding bed-GEN under and dog table-GEN  
 'The cat was hiding under the bed and the dog (was hiding under) the table.'
- b. fragment answers  
 A: k'at'a imaleboda login-is kveš  
 cat s/he.was.hiding bed-GEN under  
 'The cat was hiding under the bed'  
 B: ara magid-is  
 no table-GEN  
 'No, (under the) table!'

Additionally, at least some such postpositions may occur without would-be complements, i.e. they show adverb-like behavior.

- (32) ertad arian  
 together they.are  
 'They are together.'

It should be noted that sentences like 31a are problematic for the idea that a Georgian postposition, say, *kveš* 'under' heads a phrase whose complement is the respective NP (or DP), and the standard feature-driven approach to ellipsis, introduced in Lobeck (1995) and adopted in Merchant's (2001) theory of sluicing: under their theoretical assumptions, it would be impossible to elide the head without eliding its complement. One possible solution is to assume that a postposition, like a possessor, occupies Spec NP, and, also like a possessor, is linearized to the left of its head. A systematic investigation of this issue is beyond the scope of this paper.

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<sup>13</sup> One of my consultants rejects postposition drop in any environment, including sluicing.

## 6. Embedded sluicing

One remarkable property of sluicing in English and other well-studied languages is that the sluicing site can find an antecedent no matter how deep the sluicing site is embedded.

(33) Mary cooked something and Jim suspects [that Sue realizes [that Jill knows what]]

This is one of the properties that distinguish sluicing from stripping in English<sup>14</sup>. Stripping is unable to find an antecedent when embedded.

(34) \*Mary cooked something and Sue realizes [that Jill too]]

Returning to Georgian, we see that sluicing is possible in embedded contexts. However, the complementizer is highly dispreferred in the ambient clause.

(35) a. [Antecedent clause] and/but [Ambient clause [(?<sup>\*</sup>COMP) [Main clause [Sluice]]]

- b. rezo-m    šeč'ama    rayac(a)    da    večvob,  
 Rezo-ERG   s/he.ate   something and   I.suspect  
 ?(rom)    rusudan-ma    icis    ra  
 COMP    Rusudan-ERG   knows   what.NOM  
 'Rezo ate something and I suspect that Rusudan knows what.'

This could in principle mean that what looks like an embedded clause in 35 is in actuality a quotation. However, as 36b shows, a sluice can be embedded in a relative clause — a fact that indicates that the odd behavior of complementizer in 35 might be an independent phenomenon.

(36) a. me    zust'ad    rva    saat=ze    c'aval  
 I    exactly    8    hour=on    I.will.leave  
 vera-vin    (ver)    unda    mixvdes    (tu) sad  
 NEG.MOD-who    NEG.MOD    should    s/he.would.realize    Q    where  
 'I will depart exactly at eight. No one would realize where.'

- b. yam-it    bneldeba.    axla me    čamovedi  
 night-INS   it.gets.dark    now I    I.arrived  
 iset kveq'ana=ši    [sad=ac    q'vela=m [    ici-s (tu) rat'om]]  
 such country=LOC    where=REL    everyone=ERG    knows    Q    why  
 'It gets dark at night. Now I have arrived in a country where everyone knows why'

<sup>14</sup> Cross-linguistically this is not necessarily so: in Russian, e.g., stripping embeds easily.

## 7. Sluicing and complementizers

To investigate the position of *wh*-phrases relative to *C*, we need to consider their behavior in non-reduced embedded questions and sluices. If complementizers precede *wh*-phrases, the latter may only occupy a position lower than Spec CP.

This is exactly what obtains in Georgian: As we have seen in section 2.3, example 13, embedded *wh*-questions allow the interrogative complementizer *tu*, which must precede the *wh*-phrase(s). The behavior of sluices turns out to be identical. *Wh*-phrases in a sluice are compatible with *tu*, the interrogative complementizer, but not with *rom*, a declarative complementizer, entirely analogously with non-reduced embedded *wh*-questions.

### (37) a. Argument

manana-m	gušin	rayac(a)	iq'ida,
Manana-ERG	yesterday	something.NOM	s/he.bought
magram	ar	vici (	tu/*rom) ra
but	NEG	I.know	Q/*COMP what.NOM

'Manana bought something yesterday, but I don't know what'

### b. Adjunct

šen	sadyac(a)	dat'ove	gasayeb-i,	magram
you	somewhere	you.left	key-NOM	but
(me)	ar	daminaxavs	(tu/*rom)	sad
I	NEG	I.saw	Q.COMP/COMP	where

'You've left the key somewhere, but I haven't seen where.'

### c. Multiple *wh*

gušin	viyac(a)	viyaca-s=tan	cek'vavda,		
yesterday	someone.NOM	someone-DAT=with	s/he.danced		
magram	ar	maxsovs	(tu/*rom)	vin	vis=tan
but	NEG	I.remember	Q.COMP/COMP	who.NOM	who.DAT=with

'Yesterday someone danced with someone, but I don't remember who (danced) with who.'

In the case of sluicing with multiple *wh*-phrases, *tu* can only precede the first of them, no matter whether they are coordinated or not<sup>15</sup>.

<sup>15</sup> This might serve as an argument against treating questions with coordinated *wh*-phrases as instances of CP-level coordination, *cf.* the discussion in Gribanova (2009) for Russian.

- (38) a. am zapxul-s q'vela sadyac(a) midis,  
 this.OBL summer-DAT all somewhere goes  
 magram ar vici (tu) vin (\*tu) sad  
 but NEG I.know Q who Q where  
 'This summer, everyone is going somewhere, but I don't know  
 who (is going) where.'
- b. rodisyac(a) rat'omyac(a) gamravleb-is t'abul-s  
 some.time f or.some.reason multiplication-GEN table-GEN  
 visc'avle magram ar maxsovs (tu) rodīs da  
 I.memorized but NEG I.remember Q when and  
 (\*tu) rat'om  
 Q why  
 'Sometime I learned the multiplication table for some reason, but I forget  
 when (and) why.'

In "extended sluices" the complementizer can only precede the wh-phrase. This serves as evidence that the material preceding the wh-phrases is not lower than in SpecCP, or occupies a position in the TopP that is located above the CP.

- (39) a. guram-i gušin rezo-m cema.  
 Guram-NOM yesterday Rezo-ERG s/he.beat.up  
 ar vici (\*tu) dyes (?tu) vin  
 NEG I.know COMP today COMP who  
 'Yesterday, Rezo beat up Guram. I don't know who (beat him up) today.'
- b. guram-i rezo-m cema.  
 Guram-NOM Rezo-ERG s/he.beat.up  
 ar vici (\*tu) zurabi (?tu) vin  
 NEG I.know COMP today COMP who  
 'Rezo beat up Guram. I don't know who (beat up) Zurab.'

Judgments about the possibility of the complementizer vary in the case of embedded sluicing.

- (40) rezo-m šeč'ama rayac(a) da večvob  
 Rezo-ERG s/he.ate something and I.suspect  
 rusudan-ma icis (\*?tu) ra  
 Rusudan-ERG knows COMP what.NOM  
 'Rezo ate something and I suspect that Rusudan knows what.'

### 8. Is Georgian sluicing a reduced copular construction?

Before attempting a deletion-based analysis, we need to check whether the hidden structure in a sluice is plausibly identical to that in the antecedent, or has a different provenance — the class of phenomena called NON-ISOMORPHIC SLUICING in Vicente (2014). In this section, I provide evidence against what seems to be the only possible alternative source, namely, a copular clause with omitted copula.

Given that the copula is not reconstructible in many of the contexts, the sluicing-like construction does not seem to be derivationally related to a cleft. Furthermore, cleft-like constructions do not seem to be attested elsewhere in Standard Georgian<sup>16</sup>.

- (41) manana-s          viyac-is          ešinia          magram          ar          vici  
 Manana-DAT          someone-GEN          fears          but          NEG          I.know

visi(\*=a)  
 who.GEN=be.3SG.PRS  
 ‘Manana fears somebody, but I don’t know who.’

The sentence in 42 illustrates the same point in an embedded context.

- (42) a. rezo-m          še-č’ama          rayac(a)          da  
 Rezo-ERG          PRV-ate          something.NOM          and  
 večvob          **rom**          rusudan-ma          icis          ra(\*=a).  
 I.suspect          COMP          Rusudan-ERG          knows          what=be.3SG.PRS  
 ‘Rezo ate something and I suspect that Rusudan knows what.’
- b.          šen          sadyac(a)          dadevi          gasayeb-i  
 you.SG          somewhere          you.put          key-NOM  
 vpikrob          [rom manana-m          dainaxa          sad          (\*iq’o          es)]  
 I.think          COMP Manan-ERG          s/he.saw          where          was          it  
 ‘You put the keys somewhere, and I think that Manana saw where.’

When the copula is judged possible, the *wh*-phrase in the sluice must always stand in the nominative rather than match the antecedent in case, compare the (highly artificial) embedded clause in 43 with the copula-less sluice in 41.

<sup>16</sup> The situation in Georgian dialects, especially those spoken in the west of the country, might well be different.

- (43) manana-s          viḡac-is          ešinia  
 Manana-DAT      someone-GEN    fears  
 magram    ar    vici          is          vin          aris  
 but          NEG I.know    it.NOM    who.NOM is  
 ‘Manana fears somebody, but I don’t know who this is.’

Consequently, it is impossible to assume that the sluicing-like construction is derived by copula drop — we need to assume a syntactic structure closely resembling that of the antecedent.

### 9. Can Georgian sluicing be reduced to stripping?

Classical sluicing is assumed to be a *sui generis* phenomenon. For the sake of theoretical elegance, however, it would be distinctly preferable to as much as possible reduce the taxonomic variety of ellipsis types. A natural candidate for unification with sluicing would be STRIPPING, the phenomenon first brought to the attention of syntacticians by Ross (1967) and defined by Hankamer & Sag (1976) as “a rule that deletes everything in a clause under identity with corresponding parts of the preceding clause, except for one constituent, (and sometimes a clause-initial adverb or negative).” Sentences in 44, taken from Lobeck (1995: 27), illustrate stripping in English.

- (44) a. Jane gave presents to John, but not to Geoff.  
 b. Jane loves to study rocks, and geography too.  
 c. Jane loves to study rocks, and John too.

Stripping exists in Georgian, 45a, and, as we will see below, it even shares many properties with sluicing, unlike in English. However, there is at least one reason to assume that they are two separate phenomena: namely, unlike sluicing, stripping in Georgian cannot go backwards.

- (45) a. rezo          ḡvino-s    svams          da    guram-i=c          agretve  
 Rezo.NOM wine-DAT drinks          and Guram-NOM=too also  
 ‘Rezo is drinking wine and Guram too.’  
 b. \*guram-i=c          agretve    da    rezo          ḡvino-s    svams  
 Guram-NOM=too also          and Rezo.NOM wine-DAT drinks  
 \*‘Guram too and Rezo drinks wine.’

On the other hand, unlike in English, embedded stripping is possible in Georgian.

- (46) rezo yvino-s svams da vpikrob  
 Rezo.NOM wine-DAT drinks and I.think  
 [rom guram-i=c ?(agretve)] / <sup>ok</sup>[rom agretve guram-i=c]  
 COMP Guram-NOM=too also COMP also Guram-NOM=too  
 'Rezo drinks/is drinking wine and I think that Guram (does so) too.'

Furthermore, like sluicing, embedded stripping escapes island constraints.

(47) Coordinate Structure Constraint

- manana-m gaak'eta yomi da k'idev rayac(a)  
 Manana-ERG s/he.made ghomi and additionally something  
 vpikrob (rom) baže  
 I.think COMP bazhe  
 'Manana cooked *ghomi* and something else. I think (that she cooked) *bazhe*<sup>17</sup>.'

(48) Complex NP constraint

- a. zurab-ma moit'ana ambav-i rom sopel=ši  
 Zurab-ERG s/he.brought news-NOM COMP village=in  
 viyac(a) dak'orcinda vpikrob (rom) guram-i  
 someone s/he.got.married I.think Guram-NOM  
 'Zurab brought the news that someone in the village is getting married. I think (that) Guram.'
- b. zurabi modis cxen-it romel-i=c sxva-s ek'utvnis  
 Zurab goes horse-INS which-NOM=REL other-DAT belongs  
 vpikrob rom rezo-s  
 I.think COMP rezo-DAT  
 'Zurab is riding a horse that belongs to somebody else. I think that (it belongs to) Rezo.'

To recapitulate, although stripping shares a number of properties with sluicing in Georgian, it still significantly differs from sluicing in that the ellipsis site may not precede its antecedent under stripping.

## 10. Towards an analysis

In the course of preceding sections, we have collected enough data to now venture upon an analysis of sluicing Georgian. The analysis I propose is essentially a slight modification of the approach developed in Merchant (2001).

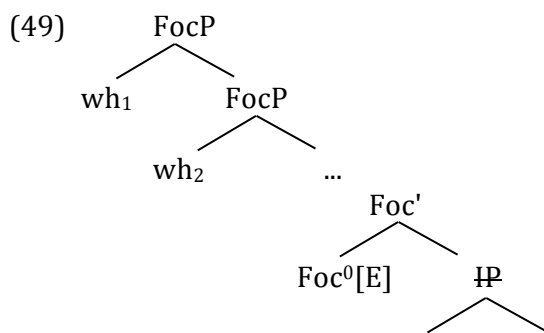
<sup>17</sup> *Ghomi* is a kind of porridge of corn (called *grits* in the Southern US); *bazhe* is a walnut sauce.

### 10.1 An overview

The preponderance of evidence suggests that, in Georgian, the sluicing-like construction comes about as the result of ellipsis in embedded *wh*-questions whose structure is maximally close to that of the antecedents, that is, Georgian sluicing is an instance of isomorphic sluicing in the sense of Vicente (2014). Accordingly, an analysis of sluicing in Georgian hinges upon an analysis of matrix and embedded *wh*-questions.

Potential analyses of displacement, to use a non-committal term, of *wh*-phrases in questions include movement to specifiers of some projection, formation of a cleft or pseudo-cleft, or scrambling, see a discussion in Potsdam & Polinsky (2011). A cleft-like or scrambling analysis does not appear to be applicable to Georgian. Alternative mechanisms of displacement of *wh*-phrases, clefting or scrambling, do not seem operative in Georgian. As we have seen in Section 8, cleft-like constructions are not attested in Standard Georgian. Furthermore, the fact that all *wh*-phrases must land in the preverbal position, as was discussed in Section 2.2, testifies against the scrambling scenario: scrambled items are typically much less constrained in their placement.

Accordingly, we need to establish the nature of the head to whose specifiers the *wh*-phrases are moved and of the feature that drives their movement. All the facts we have seen so far agree with the idea that *wh*-phrases in Georgian move into the specifiers of the FocP. Accordingly, I will take up the line of reasoning developed in Toosarvandani (2008) and Van Craenebroek & Lipták (2013) and assume that movement indeed proceeds into SpecFocP and that it is the sister of the phonologically null  $\text{Foc}^0$  that gets deleted. The feature [E] that licenses deletion is hosted by  $\text{Foc}^0$ , as in the proposals cited above. For expository purposes I use the IP label to denote the complement of the FocP. We will discuss the nature of this projection in more detail later in this section.



### 10.2 The structure of matrix *wh*-questions

The word order in Georgian *wh*-questions is subject to the following rigid constraints: first, only a negative marker may intervene between the *wh*-phrases and the verb, and, second, a focused XP may not precede the cluster of *wh*-phrases. To proceed with the analysis based on movement into multiple specifiers of the FocP we need to rule out its possible contenders, specifically



- (i) adjunction to a certain projection (plausibly the TP);
- (ii) movement of one wh-phrase into the SpecCP followed by focusing of the rest of the wh-phrases; and
- (iii) movement of all wh-phrases into multiple specifiers of the SpecCP.

I use here a more fine-grained classification than that in Richards (2001): in his approach, movement into multiple specifiers of the FocP and adjunction to the TP will be subsumed under the term IP-absorption.

To exclude scenario (i), observe that, unlike a movement-to-multiple-specifiers analysis, multiple adjunction does not require the cluster of wh-phrases to behave as a single constituent. In particular, adjunction to the TP predicts that wh-phrases will be able to be interspersed by some other types of TP adjuncts, e.g. time adverbials, a prediction that is not borne out. Additionally, the evidence that the multiple wh-phrases indeed occupy multiple specifiers of the same head (or, which is essentially equivalent, are all adjoined to a single specifier, as per the proposal of Rudin (1988: 480) for Bulgarian and Romanian, or are “tucked in”, according to Richards’ (2001) approach) comes from the fact that parentheticals cannot intervene between them, 50a, but rather can only precede the wh-phrases or follow the verb, 50b-c.

- (50) a. \*vin [šen-i azr-it] ra iq’ida?  
 who(ERG) your-NOM opinion-INS what.NOM bought  
 ‘Who by your opinion bought what?’ (intended meaning)
- b. [šen-i azr-it] vin ra iq’ida?  
 your-NOM opinion-INS who(ERG) what.NOM bought  
*Idem*
- c. vin ra iq’ida [šen-i azr-it] ?  
 who(ERG) what.NOM bought your-NOM opinion-INS  
*Idem*

Another test used by Rudin (1988) to distinguish between the behavior of multiple wh-phrases as a single constituent or as multiple constituents has to do with placement of second position clitics. Even abstracting away from potential conceptual problems — 2P clitic placement could be a post-syntactic phenomenon that is not sensitive to the syntactic constituency — this test is inapplicable in Georgian, because 2P clitics compatible with wh-questions do not exist in this language.

The analysis listed under (ii), the one that assumes that one, and only one, of wh-phrases moves into SpecCP (driven by [+wh]-feature rather than by a focus feature) predicts that, in a language with focus fronting, as Georgian is, focus-fronted items may other than wh-phrases may occur in wh-questions with only one wh-phrase. This is not

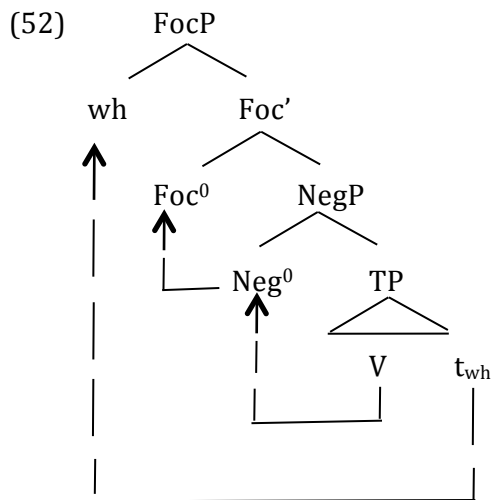
the case in Georgian. In 51, the DP *gela-m=ac k'i* 'even Gela' is associated with 'even'<sup>18</sup> and therefore focused. It cannot be placed to the left of the wh-phrase, as 51a shows, but when this DP is placed in a postverbal position, the ungrammaticality disappears. The same contrast holds in 51c-d: the focused phrase that is associated with *mxolod* 'only' may only follow the wh-phrase. This observation agrees with findings of Skopeteas & Fanselow (2009) who argue for the existence of preverbal and postverbal focus positions in Georgian.

- (51) a. \***gela-m=ac** **k'i** romel-i c'igni c'aik'itxa?  
 Gela-ERG=too PRT which-NOM book-NOM read
- b. romel-i c'igni c'aik'itxa **gela-m=ac** **k'i**?  
 which-NOM book-NOM read Gela-ERG=too PRT  
 'Which book did even Gela read?'
- c. \***mxolod** **ert-i** **c'ign-i** vis misca gela-m?  
 only one-NOM book-NOM who.DAT he.gave Gela-ERG  
 'Who did Gela give only one book to?'
- d. vis misca **mxolod** **ert-i** **c'ign-i** gela-m?  
 who.DAT s/he.gave only one-NOM book-NOM Gela-ERG  
 'Who did Gela give only one book to?'

We may conclude accordingly that the cluster of wh-phrases indeed occupies the multiple specifiers of a single head. What remains to rule out is the possibility that this head is C<sup>0</sup> and what we are dealing with is wh-movement of the usual kind, slightly obscured by the presence of the topic layer above the CP. The evidence for not taking the SpecCP as the locus of wh-movement in Georgian comes from the structure of embedded wh-questions: as we have seen in section 2.3, the interrogative complementizer *tu* may only precede wh-phrases, as illustrated by the sentences in 13. Had they been situated in its specifier(s), under the standard assumptions about linearization, we would expect the complementizer to follow the wh-cluster.

To account for the obligatory multiple wh-movement in Georgian, it is reasonable to assume that the movement proceeds into multiple specifiers of the FocP. Specifically, I advance the following proposal. In non-embedded wh-questions, wh-phrases move to the specifiers of the same FocP projection, whereas the material that precedes them topicalizes into Spec TopP. To derive the adjacency requirement between wh-phrases, negation, and the verb, I propose that the verb head-moves first, into Neg<sup>0</sup> (if the latter is merged), and afterwards, into Foc<sup>0</sup>. On its way from V<sup>0</sup>, the verb probably head-moves through T<sup>0</sup> and Asp<sup>0</sup>, but for the sake of simplicity I do not show this in (52).

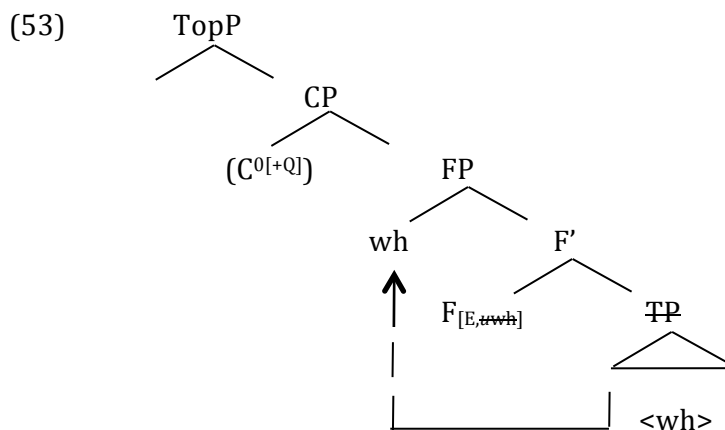
<sup>18</sup> Strictly speaking, Georgian lacks a direct translational equivalent of 'even', and in colloquial speech the Russian loanword *daže* (which means 'even' in Russian) is usually used for this purpose. The particle *k'i* has wider uses, see a discussion in Rostovtsev-Popiel (2012).



It may eventually be necessary to posit several Top layers in the spirit of Rizzi (1997) and the subsequent work, but I leave this issue for further research. The lack of superiority effects, as has been illustrated in 7 in section 2.2, can be attributed to unrestricted scrambling between specifiers of a single head. The fact that some speakers of Georgian do indeed have superiority constraints in their grammar (as the consultants of Harris (1981) and Amiridze (2006) did) can be explained by the inter-speaker variation in intraspecifier scrambling.

### 10.3 Embedded questions and sluicing

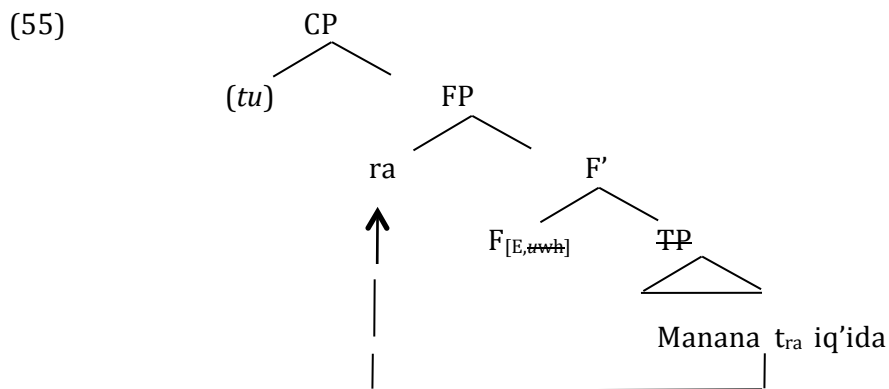
Now, as far as sluicing is concerned, Georgian facts are compatible with the clausal architecture of the embedded clause as depicted in (53). Modulo the admittedly delicate issue of configurationality in Georgian, this essentially agrees with the structure proposed in Wier (2014: 49).



Consider, for instance, the derivation of the sentence in 54.

- (54) manana-m      gušin      rayac(a)      iq'ida  
 Manana-ERG      yesterday something.NOM s/he.bought  
 magram      ar      vici      (tu) ra  
 but            NEG I.know      COMP what.NOM  
 'Manana bought something yesterday, but I don't know what'

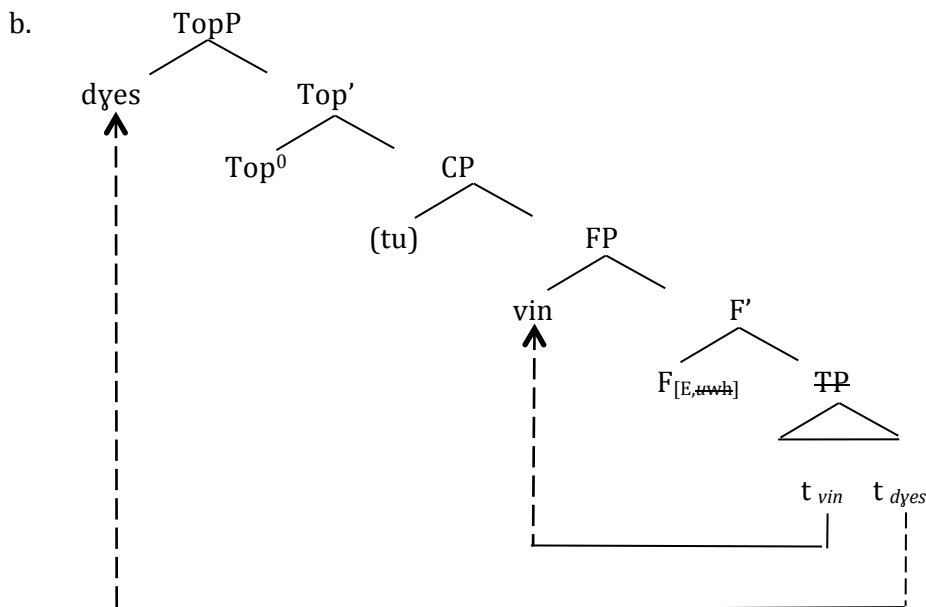
Here, the wh-phrase *ra* raises into Spec FocP, whereas Spec TopP remains empty (or, alternatively, is not merged at all).



The non-survival of the verb in  $\text{Foc}^0$  can be explained, for instance, by the assumption that sluicing bleeds verb movement, as argued, among others, in Merchant (2001: 73) and Van Craenebroeck, Lipták (2008).

A structure with a high TopP provides us with a natural way to derive “extended sluices” as well. For instance, to derive the sentence in 56, we need to assume that, alongside with the movement of the wh-phrase into Spec FocP, the adverb topicalizes.

- (56) a. guram-i      gušin      rezo-m      cema.  
 Guram-NOM      yesterday Rezo-ERG s/he.beat.up  
 ar      vici      dyes      (tu) vin  
 NEG I.know      today      COMP who  
 'Yesterday, Rezo beat up Guram. I don't know who (beat him up) today.'



#### 10.4 Remaining issues

Admittedly, it remains unclear why the complementizer *tu* cannot occupy the clause-initial position in embedded polar questions, as illustrated in 57.

- (57) ar vici (\*tu) ciq'vebi tu k'argad daprinaven  
 NEG I.know COMP squirrels COMP well they.would.fly  
 'I don't know whether squirrels fly well.'

A radical move would be to assume that we deal here with two homonymous complementizers, one for embedded Y/N questions and one for embedded wh-questions. Accordingly, in this case we can expect them to exhibit different behavior.

Given that we seem to need to posit separate lexical items for *tu* 'if'<sup>19</sup> and *tu* 'or' in interrogatives anyway, and that the complementizer is obligatory in Y/N questions,

<sup>19</sup> In Georgian statements and interrogatives, two different lexical items for 'or' are used, compare the sentences in (ia) and (ib). The interrogative 'or', *tu*, is homophonous with the interrogative complementizer, as illustrated in (i b).

- (i) a. A statement  
 xe-ze ciq'v-s an/\*tu tevz-s vnaxav  
 tree-on squirrel-DAT or fish-DAT I.will.see  
 'I will see a squirrel or a fish in the tree.'
- b. A question  
 es ciq'v-i=a tu/\*an tevz-i?  
 this squirrel-NOM=is or fish-NOM  
 'Is this a squirrel or a fish?'

while it is optional in *wh*-questions, as has been illustrated in 13, this move is not completely unwarranted, although one would like to keep the number of homonyms as low as possible<sup>20</sup>. I leave this issue for further research.

To conclude this section, it is perhaps worth remarking that the Georgian facts appear to be essentially parallel to those described for Hungarian in van Craenenbroeck, Lipták (2008), modulo the fact that, unlike in Hungarian, topicalized elements in Georgian appear higher than in C.

## 11. Embedded *wh*-questions and sluicing in Svan

Unlike Georgian, Svan syntax has not been addressed in theoretical literature so far. Descriptively, the syntax of Svan looks at a first approximation fairly similar to the syntax of Georgian. That pertains to *wh*-questions and finite subordinated clauses as well. However, some facts indicate that the two languages significantly differ structurally. My data come from Upper and Lower Bal Svan, the facts may well be somewhat different in the dialects of Lower Svaneti: Lentekhian, Lakhshian, and Cholurian.

### 11.1 *Wh*-questions in Svan

Like Georgian, Svan exhibits obligatory *wh*-movement into the preverbal position, a fact already observed by Tuite (1997). Analogously to Georgian, the *wh*-phrases must be immediately adjacent to the verb in a question, compare the grammatical sentences in 58a and 58c with their ungrammatical counterparts in 58b and 58d. The only possible interveners are *neg*-phrases, see 59 below.

- (58) a. nato-s jær laxk'axæn?  
Nato-DAT who kissed
- b. \*jær nato-s laxk'axæn?  
who Nato-DAT kissed  
'Who kissed Nato?' UB
- c. šoma esyri kalæk-te?  
when you.go city-ALL
- d. \*šoma kalæk=te esyri?  
when city-ALL you.go  
'When are you going to the city?' UB

Completely parallel to Georgian, negative markers, when present in a sentence, obligatorily intervene between the verb and the *wh*-phrases, 59a. Unlike in Georgian,

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<sup>20</sup> A possible direction for unification of different uses of complementizer-like and conjunction-like uses of 'or'-s cross-linguistically is outlined in Szabolcsi (2013).

however, negative indefinites may optionally intervene between the verb and the wh-phrases in a sentence, see the position of *dær-s* ‘nobody-DAT’ in 59b, of *dær-s mamgweš* nobody-DAT ‘nothing’ in 59c, and of *dem* ‘never’ in 59d.

- (59) a. jær-d      **mam/desa**      laxwem      dær-s      mamgweš?  
 who-ERG    NEG                    gave      nobody-DAT    nothing
- b. jær-d      **dær-s**              laxwem      mamgweš?  
 who-ERG    nobody-DAT      gave      nothing
- c. jær-d      **dær-s**              **mamgweš**      laxwem?  
 who-ERG    nobody-DAT      nothing      gives  
 ‘Who didn’t give anything to anyone?’ UB
- d. jær **dem**      izbi viskws?  
 who never      eats apple.DAT?  
 ‘Who never eats apples?’ UB

Otherwise, the word order in wh-questions is free: all the three sentences in 60 are fully acceptable.

- (60) a. jærd      laxwem      gela-s      jæræγ?  
 who.ERG    gave      Gela-DAT    weapon
- b. gela-s      jæræγ      jærd      laxwem?  
 Gela-DAT    weapon    who.ERG    gave  
 ‘Who gave a weapon to Gela?’ UB
- c. gela-s      jærd      laxwem      jæræγ?  
 Gela-DAT    who.ERG    gave      weapon  
 ‘Who gave a weapon to Gela?’ UB

In multiple wh-questions, all wh-phrases are required to move into the preverbal position. As in Georgian, for the majority of Svan speakers superiority effects are non-existent, nor does animacy play a role in the ordering of wh-phrases, 61.

- (61) a. jæs      mæj xaxəra?  
 who.DAT    what annoys
- b. mæj jæs      xaxəra?  
 what who.DAT    annoys  
 ‘What annoys who?’ UB
- c. jær-d      mæj anq’id?  
 who-ERG    what bought

- d. **mæj** jær-d anq'id?  
 what who-ERG bought  
 'Who bought what?' UB

### 11.2 Clause embedding

Within the Georgian descriptive tradition, clause embedding in Svan was addressed in Abesadze (1960). In Svan finite embedded clauses, interrogative and declarative alike, a wide-purpose complementizer *er(e)* is used. At present, I do not know what governs the disappearance of the final vowel of this complementizer.

- (62) a. gela-s xak'w ere nino anqes.  
 Gela-DAT wants COMP Nino arrives  
 'Gela wants Nino to arrive.' UB
- b. gela ačæd mayazija=te er oxq'idæn bordžom  
 Gela went shop=all COMP buys Borjomi  
 'Gela went to a shop to buy (some) Borjomi<sup>21</sup>.' UB

The complementizer may appear anywhere between the left edge and the verb, but not to the right of the verb.

- (63) mi ču=mixa <ere> dæwit <ere> esyri <\*ere> šwan-te  
 I PRV<sup>22</sup>=I.know COMP David goes Svaneti-ALL  
 'I know that David is going to Svaneti.' UB

Similar to Georgian, wh-phrases may move out of a finite dependent clause only for some modal matrix verbs:

- (64) a. jærd džak'u [ere t<sub>jærd</sub> atcindeds]?  
 who.ERG you.want COMP would.win  
 'Who do you want to win?' UB
- b. \*gela jær asko:re [ere t<sub>jær</sub> ænq'deni dešdwiš]  
 Gela who thinks COMP will.arrive Tuesday  
 'Who does Gela think that will arrive Tuesday?' (intended) UB

### 11.3 Embedded questions in Svan

In embedded wh-questions the use of this complementizer is optional. The same adjacency requirements as in matrix wh-questions need to be satisfied between the wh-phrases and the verb.

<sup>21</sup> A brand of mineral water.

<sup>22</sup> Rostovtsev-Popiel (2012) argues that *ču* here (and in 65b) has to be analyzed as an assertion-marking particle rather than as a preverb.



- (65) a. dæʃ                    xoxa,            [(ere)        dec imɣa=**do** li    jirʒi]  
 nobody.DAT        knows        COMP        sky why=EMB is    blue
- dæʃ                    xoxa,            [(ere)        imɣa=**do** li    dec jirʒi]  
 nobody.DAT        knows        COMP        why=EMB is    sky blue  
 ‘No one knows why sky is blue.’ UB
- b. gela-s        ču=xoxa,        [ere šoma=**do** ænqdeni nino]  
 Gela-DAT    PRV=knows    COMP when=EMB arrives    Nino  
 ‘Gela knows when Nino arrives.’ UB

Unlike in Georgian, embedded *wh*-questions in Upper and Lower Bal Svan carry overt morphological marking, the enclitic =*do*. No other contexts have been discovered so far where this particle would be used<sup>23</sup>.

- (66) a. mam mixa        manana-d        mæj=**do**    anq'id  
 NEG I.know        Manana-ERG    what=EMB bought  
 ‘I don’t know what Manana bought.’ UB
- b. mi        ču=mixa        dæwit        šoma=**do**    ɣəri    šwæn=te.  
 I        PRV=I.know    David        when=EMB goes Svaneti=to  
 ‘I know when David is going to Svaneti.’ LB

Only one copy of =*do* may be present in a clause, but it can encliticize to any of the *wh*-phrases. This supports the idea to treat =*do* as a clitic rather than as an affix.

- (67) ervale-d        mo:le        adkuču:re    mare    mam    mixa    ...  
 someone-ERG    something    broke        but    NEG    I.know
- a. jærd        mæj=**do**    adkuču:re  
 who.ERG    what=EMB broke
- b. jærd=**do**        mæj adkuču:re  
 who.ERG=EMB    what broke
- c. \*jærd=**do**        mæj=**do**    adkuču:re  
 who.ERG=EMB    what=EMB broke  
 ‘Someone broke something, but I don’t know who (broke) what.’ UB

#### 11.4 Sluicing in Svan

A sluicing-like construction is available in Svan. The marker =*do* is still obligatory in sluices.

<sup>23</sup> I thank Medea Saghlani for a discussion of this point.

- (68) manana-d la:t mo:le anq'id  
 Manana-ERG yesterday something bought  
 mare mam mixa mæj=do  
 but NEG I.know what=EMB  
 'Manana bought something yesterday, but I don't know what.' UB

Multiple wh-phrases are grammatical in sluices.

- (69) a. erved mo:le adkuču:re  
 someone something broke  
 mare mam mixa jærd mæj=do  
 but NEG I.know who.ERG what=EMB  
 'Somebody broke something, but I don't know who (broke) what. UB
- b. al lüp-s mæg imwala=te esyri,  
 this summer-DAT all somewhere=ALL goes  
 mare mam mixa im=te=do jær  
 but NEG I.know where=ALL=EMB who  
 'This summer everyone goes somewhere, but I don't know  
 who goes where.' UB

The sluice may precede its antecedent.

- (70) a. mam mixa im=do mare rezo imwa:le izbi  
 NEG I.know what=EMB but Rezo something eats  
 I don't know what, but Rezo is eating something. UB
- b. jærd=do mam mixa mare  
 who.ERG=EMB NEG I.know but  
 erwa:led nærxi ant'wa:re  
 someone.ERG light turned.on  
 'Who, I don't know, but someone turned on the light.' UB

Case-matching requirements are fulfilled as well. Additionally, 71 illustrates that, unlike in unreduced embedded questions, the complementizer is ungrammatical in sluices.

- (71) mišgwe žey imwanoš adc'əmlawæn  
 our dog something.INST got.poisoned  
 mare (\*ere) imnoš=do mam mixa  
 but COMP what.INST=EMB NEG I.know  
 'Our dog got poisoned with something, but we don't understand what with.'  
 UB

Similarly to Georgian, Svan wh-questions obey all the standard island constraints. However, parallel sluices are grammatical.

- (72) a. gela ačædjerwa:le dinæ: sačkwæri laq'idte  
 Gela left some girl gift to.buy  
 mare mi mam mixa iša=do  
 but I NEG I.know which=EMB  
 'Gela left to buy a gift for a girl, but I don't know which girl.' UB
- b. mi xwec'd ma:res xedwæjd mo:le ašxwin  
 I I.saw man.DAT who.REL.ERG something s/he.hid  
 mare mi deš xwec'd mæj=do  
 but I NEG.MOD I.saw what=EMB  
 'I saw a man who was hiding something, but I couldn't see what.' UB

Unlike Standard Georgian, Svan allows clefts in questions.

- (73) a. jær li edža ere gærgli=gar?  
 who be.PRS.3SG that COMP talks=only  
 'Who only talks?' UB
- b. jær li edža ere gelas bægid xalæt'?  
 who be.PRS.3SG that COMP Gela-DAT strong loves  
 'Who does Gela very much love?' UB

Nevertheless, the copula is not recoverable in sluices.

- (74) gela-d imwalawš adyape st'ol  
 Gela-ERG something.INS painted table  
 mare mi mam mixa imnoš=do (\*li)  
 but I NEG I.know what.INS=EMB be.PRS.3SG  
 'Gela painted the table with something, but I don't know what with.' UB

### 11.5 Differences between Georgian and Svan sluicing

To recapitulate, the differences between the Svan and Georgian facts are as follows: in Svan wh-questions, negative indefinites may intervene between the wh-phrases and the verb; Svan has a dedicated marker =do in embedded wh-questions, which is retained in sluices; Svan does not allow the default complementizer in sluices.

The import of these differences for a theoretical analysis is that, unlike in Georgian, we cannot assume an identical underlying structure for sluices and unreduced embedded questions in Svan. Had they been identical, the complementizer *er(e)*, which obligatorily precedes wh-phrases when used in embedded wh-questions, and accordingly is situated higher in the clause, would have survived the deletion. Additionally, the fact that any neg-items may intervene between wh-phrases and verbs

indicates that the structure of matrix wh-questions in Svan is different as well: wh-movement targets a different projection. I leave an appropriate analysis of Svan clause structure for further research.

## 12. Conclusion

The Georgian sluicing-like construction does not have an obvious alternative origin, such as stripping or a reduced cleft. It fits with the generalized sluicing pattern, as advanced in Toosarvandani (2008) for Persian and van Craenenbroeck, Lipták (2006, 2013) for Hungarian: wh-phrases move into the specifiers of a projection that is situated above the TP but below the CP, specifically, into the FocP. The head of this projection hosts the feature that licenses the deletion of the complement. The Svan counterpart of this construction also passes all the standard tests for sluicing, but the analysis must certainly be different from that of Georgian.

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