

1 **Title:** Real time Deep Learning Inversion of Seismic Full Waveform Data for CO₂ Saturation and
2 Uncertainty in Geological Carbon Storage Monitoring

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4 Short Running Title: DL FWI for estimating CO₂ saturation and uncertainty

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19 **Conflicts of Interest**

20 The authors declare no conflicts of interest in preparing this manuscript.

21

1 **Abstract**

2 Deep learning inversion has recently drawn attention in geological carbon storage research due to its
3 potential of imaging and monitoring carbon storage in real time, significantly improving efficiency and
4 safety of carbon storage operations. We present a deep-learning full waveform inversion method that after
5 the neural network has been trained can image CO₂ saturation and its uncertainty in real time. Our deep
6 learning inversion method is based on the U-Net architecture with the neural network trained on pairs of
7 synthetic seismic data and CO₂ saturation models. Accordingly, our training establishes a mapping
8 relationship between seismic data and CO₂ saturation models and once fully trained directly estimates
9 CO₂ saturation as a function of subsurface location. We further quantify uncertainties of CO₂ saturation
10 estimates using the Monte Carlo dropout method and a bootstrap aggregating method. For this proof-of-
11 concept study, the CO₂ training models and data are derived from the Kimberlina 1.2 model, a
12 hypothetical 3D geological carbon storage model that is constructed based on various geological and
13 hydrological data from the Southern San Joaquin Basin, California. We perform deep-learning inversion
14 experiments using noise-free and noisy training and test data sets and compare the results. Our modeling
15 experiments show that 1) the deep-learning inversion can estimate 2D distributions of CO₂ fairly well
16 even in the presence of Gaussian random noise and 2) both CO₂ saturation imaging and uncertainty
17 quantification can be done in real time. Our results suggest that the deep-learning inversion method can
18 serve as a robust real-time monitoring tool for geological carbon storage and/or other time varying
19 reservoir/aquifer properties that result from injection, extraction, and/or other subsurface transport
20 phenomena.

21 Keywords: Full Waveform, Inversion, Monitoring

22 **Data Availability Statement**

23 The geophysical models and data used in this work will be available to the public via U.S. Department
24 of Energy’s NETL EDX system, <https://edx.netl.doe.gov/>.

1 **Introduction**

2 Carbon capture and geological carbon storage (GCS) are a viable technology for reducing CO₂ emission
3 into the atmosphere (Metz et al., 2005; Benson and Cole, 2008; Davis et al., 2019; Ringrose, 2020). High
4 concentrations of CO₂ are captured from large-scale industrial sources such as factories and power plants
5 and then injected to deep depleted oil/gas reservoirs or saline aquifers for permanent storage. The large-
6 scale injection of CO₂ into a deep reservoir over a long period changes geomechanical and hydrological
7 states inside and around the reservoir (Rutqvist, 2012). For successful and safe GCS operations, therefore,
8 it is imperative to improve subsurface monitoring methods for tracking CO₂ plumes, evaluating cap rock
9 integrity and early detecting CO₂ leak from a reservoir.

10 Seismic methods have been widely used for monitoring CO₂ plume migration and detecting leakage.
11 For example, 3D surveys are repeatedly performed at an interval of a few years to detect changes in
12 migrated reflection events (e.g., travel times and amplitudes) associated with CO₂ saturation (Chadwick et
13 al., 2010; Lumley, et al., 2010). Geophysical inversion (Tarantola, 2005; Sen and Stoffa, 2013; Aster et
14 al., 2018) provides a quantitative way to construct a subsurface velocity model that explains recorded
15 seismic data. For example, first-arrival travel time inversion is commonly applied to crosswell seismic
16 data for constructing seismic velocity models (Lazaratos and Marion, 1997; Ajo-Franklin et al., 2013).
17 Time-lapse analysis of these data types allows us to quantitatively monitor changes in seismic velocity
18 related to CO₂ saturation. Seismic full waveform inversion (FWI) is one of the most advanced seismic
19 tomography methods and has been recently applied to CO₂ monitoring problems (Arts et al., 2003; Zhang
20 et al., 2012; Quei ber et al., 2013; Dupuy et al., 2017; Egorov et al., 2017). Compared to partial waveform
21 inversion such as first arrival travel time inversion, FWI minimizes misfits between recorded and
22 predicted full seismograms in an iterative manner (Virieux and Operto, 2009; Fichtner 2010; Virieux et
23 al., 2017), reconstructing a high-resolution velocity model. Time-lapse FWI is capable of monitoring
24 subtle changes in reservoir properties associated with CO₂ migration and leakage (Raknes et al., 2015; Li
25 et al., 2021).

1 Despite the advantages in resolution that they provide over other geophysical techniques, seismic
2 imaging methods have their own drawbacks. In general, the conventional seismic imaging methods for
3 CO₂ monitoring require a significantly large amount of time and labor for processing 3D seismic data
4 (Arogunmati and Harris, 2012). Running FWI on the data is further involved from a computational
5 standpoint. For example, FWI is highly non-linear and often gets stuck within local minima (Shin and
6 Cha, 2008; Shin and Cha, 2009). FWI also requires a significant amount of computer resource and time
7 especially for 3D problems because it updates a velocity model in the course of inversion by repeatedly
8 solving a full wave equation which requires fine temporal and/or spatial discretization (Fichtner 2010;
9 Um et al. 2011; Petrov and Newman, 2012).

10 Statistical methods play an important role in GCS studies because they can provide probability
11 distribution of CO₂ saturation given geophysical monitoring data and quantify uncertainties associated
12 with CO₂ plume imaging (Grana et al., 2021). Applying traditional uncertainty quantification methods
13 such as Markov Chain Monte Carlo methods to large-scale seismic imaging problems is often challenging
14 because of significant computational cost and time (Hunziker et al., 2019; Zhao and Sen, 2021), and
15 because of this, 3D seismic inversion methods tend to remain deterministic at this time. The large data-
16 processing and computational cost mentioned above makes traditional seismic imaging methods less
17 practical as a real-time monitoring and uncertainty analysis tool.

18 In recent years, deep learning (DL) inversion has drawn attention in seismic inversion as it overcomes
19 some of the drawbacks of the traditional FWI mentioned above (Araya-Polo et al., 2018; Yang and Ma,
20 2018; Wu and Lin, 2019; Zhang and Alkhalifah, 2019; Colombo et al., 2020; Oh et al., 2020; Mosser et
21 al., 2020; Zhang and Lin, 2020, Kaur et al., 2021; Kazei et al., 2021; Li and Yang, 2021). DL inversion
22 requires training a neural network using realistic subsurface models and data. It is still computationally
23 challenging to build a number of realistic velocity models and solve a full wave equation for each model.
24 However, once the training process is completed, the trained network can be applied to recognize
25 complex non-linear correlations between the models and the data in nearly real time. The network can

1 instantaneously predict a velocity model from newly acquired and unprocessed seismic data. Recent
2 advances in DL research also make it possible to perform a certain type of uncertainty quantification
3 analysis without significant computational burdens as will be shown in this paper. More specifically,
4 compared to the traditional FWI inversion, the DL inversion requires most of high computational costs in
5 advance before new data are collected thus allowing for near-real time imaging since selecting and
6 evaluating a candidate GCS site can require extensive flow and geophysical modeling work. The resulting
7 models and data can be used for training a neural network for DL inversion. These characteristics makes
8 DL inversion well suited for imaging and monitoring GCS in real or near real time.

9 DL inversion for monitoring GCS is relatively new but has been an active area of research in recent
10 years. For example, Puzyrev (2019) trains a fully convolutional network (FCN) using CO₂ storage models
11 with numerically computed time-lapse electromagnetic (EM) data and show that the deep learning EM
12 inversion can track the movement of a CO₂ plume. Li et al. (2021) trains an FCN using time-lapse seismic
13 difference data and reservoir velocity differences, showing that the trained network can monitor velocity
14 changes in a reservoir due to CO₂ injection. Using FCN and long short-term memory networks, Zhou et
15 al. (2019) show that DL inversion can establish a direct mapping relationship between seismic data and
16 CO₂ leakage mass, providing an end-to-end detection approach.

17 Estimating the uncertainty associated with a CO₂ image is another active open GCS problem and deep
18 neural networks can be used for the purpose. For example, Grana et al. (2020) develop an inversion
19 approach of multiphysics data that combines geostatistical methods, stochastic optimization and DL (i.e.,
20 the deep convolutional auto-encoder) methods for predicting CO₂ saturation and its uncertainty. Kaur et
21 al. (2020) derives CO₂ saturation and velocity models from a range of porosity and permeability values
22 expected for a CO₂ reservoir and subsequently train deep neural networks (i.e., generative adversarial
23 networks) on pairs of the CO₂ models and seismic data to predict CO₂ saturation and estimate its
24 uncertainty. Tang et al. (2021) propose an efficient GCS forecasting workflow that updates reservoir
25 properties including CO₂ saturation and predicts their associated uncertainties using an ensemble

1 smoother with multiple data assimilation framework and deep neural networks (i.e., U-Net) as a surrogate
2 model.

3 In this paper, we present a DL-based full waveform inversion for monitoring GCS. Our DL inversion
4 utilizes a U-Net architecture (Ronneberger et al., 2015). The U-Net was originally developed for
5 biomedical image segmentation and has been also used in geophysical imaging research (Yang and Ma,
6 2018; Colombo et al., 2020; Oh et al., 2020). In this work, the neural network is trained on pairs of
7 seismic full waveform data and CO₂ saturation models rather than pairs of synthetic seismic data and
8 velocity models, thus directly imaging the distribution of CO₂ saturation inside a storage. We further
9 quantify uncertainties associated with a CO₂ saturation image using the Monte Carlo dropout method (Gal
10 and Ghahramani, 2016; Zhu et al., 2022) and the bootstrap aggregating (bagging) method (Friedman et
11 al., 2016; James et al., 2021) and compare the two uncertainty quantification results.

12

13 **Deep Neural Network**

14 Based on the U-Net, we build a deep neural network for predicting a CO₂ saturation model (**Figure 1**).
15 The network consists of a contraction section, a bottom section and an expansion section. The contraction
16 section repeatedly applies a 2D convolution operation followed by batch normalization, rectified linear
17 activation (ReLU) and max-pooling operations. The convolution operation consists of many convolution
18 filters and extracts feature maps that highlight regions of the input layer that resembles each filter (James
19 et al., 2021). In contrast, the max pooling operation down-samples the feature maps and reduces a large
20 feature map to smaller summary map. The batch normalization normalizes the output maps using the
21 mean and variance and improves the gradient propagation. Our choice of activation function is ReLU,
22 which allows better gradient propagation because the function is not saturated when an input value is
23 large.

1 After each max-pooling operation, the spatial information is reduced by half and the feature information
2 is doubled, allowing the network to effectively learn complex structures. The contraction section repeats
3 the same procedures four times. The number of repetitions for successful U-Net performance depends on
4 the specific problem being addressed, and are determined through network design experiments. The
5 bottom section consists of two convolution blocks without a max-pooling operation and connects the
6 contraction section to the expansion path. Similar to the contraction section, the expansion section
7 consists of four repetitions. After each inverse convolution, the spatial information is doubled and the
8 feature information is reduced by half. As the network is symmetric, the expansion section can
9 concatenate its feature maps with those from the contraction section, improving information flow between
10 the two paths. For details on the operations mentioned above, the reader is referred to Goodfellow et al.
11 (2016), Chollet (2017), Oh et al. (2020) and James et al. (2021).

12 To predict a CO₂ saturation model using seismic full waveform data, we make several changes to the
13 original U-Net as described below. First, we use a sigmoid activation function (Chollet, 2017) in the final
14 layer and constrain an output CO₂ saturation value between 0 and 1. Second, the channel of the input
15 layer is also changed from 3 (i.e., red, green and blue in a color image) to N which is the number of
16 common-shot gathers within the data. Third, we add a dropout layer (Srivastava et al., 2014; Goodfellow
17 et al., 2016; James et al., 2021) in each block. The dropout method is a regularization technique for
18 mitigating overfitting a neural network to training data. When the dropout layer is turned on during
19 training, it randomly sets some output features of the layer to zero. In contrast, the dropout layers are
20 disabled during prediction. Thus, the entire network takes a part in predicting a model to improve the
21 accuracy. This approach reduces the co-adaptation between the network and training data, reducing
22 overfitting. A higher dropout rate can better mitigate overfitting but may deteriorate the network
23 accuracy. A proper dropout rate is selected by trial and error.

24 We also use the dropout method for quantifying uncertainty in a deep neural network using the Monte
25 Carlo (MC) dropout method of Gal et al. (2016). They show a theoretical link between Gaussian

1 processes and dropout, which makes it possible to extract the information without increasing either the
2 computational cost or prediction accuracy. For this purpose, the neural network is trained in the same way
3 as previously described except that during the prediction phase, the dropout layers are not disabled but
4 rather are activated. This operation is used for approximating variational inference in Bayesian neural
5 networks. In other words, the network generates a different predicted model at every DL inversion pass
6 for the same test data because some parts of the trained network are randomly dropped during the
7 prediction phase. After a sufficient number of DL inversion runs, we have a distribution on CO₂ saturation
8 at each cell of a predicted model and can calculate a mean CO₂ saturation and its standard deviation.

9 Last, we implement a ‘bagging’ method (Friedman et al., 2016; James et al., 2021) by adding a
10 bootstrap layer to the input layer of **Figure 1**. This algorithm is an ensemble-learning technique and was
11 originally developed for improving predictions by reducing variance. The bagging method is particularly
12 useful in a relatively small training data set environment such as those found in geophysical applications.
13 The method can also be used for quantifying the uncertainty associated with a given estimator, which in
14 this paper is the neural network. The bootstrap creates distinct pairs of training models and data by
15 repeatedly sampling pairs from the original set with replacement. Sampling with replacement means that
16 some pairs of models and data can be drawn more than once in a bootstrap data set, while some are never
17 drawn. The bagging method repeats creating a distinct bootstrapped training data set and training a neural
18 network with the bootstrapped data. During each training phase, the pairs of models and data that are not
19 drawn from the original training set are used for validating the current results. Finally, each trained neural
20 network independently predicts a CO₂ model. Using the ensemble of models, we calculate a mean CO₂
21 saturation and its standard deviation.

22

23 As described above, both methods provide uncertainty estimation but work differently. For the MC
24 dropout method, we train only one full neural network and then repeatedly predict a CO₂ model for the
25 same test data using a different ‘sub-network’ out of the parent network. The MC dropout method

1 estimates uncertainty related to the neural network model error, and this method does not require much in
2 the way of additional computational costs and complexity. In contrast, the bagging method uses
3 bootstrapping as a sampling method and create many unique ‘sub-data sets’ out of the original data sets.
4 Thus, we separately train neural networks using the unique sub-data sets. Finally, we perform prediction
5 in those networks in parallel. Therefore, compared to the MC dropout method, the bagging method
6 requires much larger computational cost and is often difficult to scale up to very large DL inversion
7 problems.

8

9 **Kimberlina Velocity Models**

10 One major challenge for DL geophysical inversion is to create a large number of realistic subsurface
11 models (i.e., labels) and simulate their geophysical responses (i.e., data) for training purposes. For
12 realistic DL inversion and uncertainty quantification experiments in this paper, we derive training models
13 and data from the 3D Kimberlina 1.2 CO₂ reservoir model (Birkholzer et al., 2011; Zhou and Birkholzer,
14 2011, Wainwright et al., 2013). The Kimberlina reservoir model was built to simulate a potential
15 commercial-scale GCS in the Southern San Joaquin Basin of California, 30 km northwest of Bakersfield,
16 CA, USA. For realistic modeling of CO₂ movement and leakage, the models are constructed based on
17 various geologic and hydrogeologic data obtained from many oil wells in the region. TOUGH2 (Pruess et
18 al., 1999), a modeling software for nonisothermal flows of multicomponent, multiphase fluids in porous
19 and fractured media was used for simulating CO₂ injection and flow in the Kimberlina model.

20 The Kimberlina flow model we employed for training consists of a single case from 300 different
21 realizations that were run. Note that a single realization outputs a 3D volume of simulated hydrologic
22 properties at 35 different time steps from 0 to 200 years. The simulations were run with a single CO₂
23 injection well operating for 50 years at an injection rate of 5 Mt per year, and a post-injection period of
24 150 years. The hydrological and petrophysical properties of the 35 reservoir time-stamps are converted to
25 P wave velocity models (Alumbaugh et al., 2021) using a conversion workflow described in Wang et al.

1 (2018) and Yang et al. (2019) and petrophysical relationships (Mavko et al., 2020). As a proof of concept
2 and to make the computational requirements tractable, we performed these initial DL inversion
3 experiments using 2D models and data. This involved slicing the 3D models at 100m intervals in the x
4 direction to create 53 2D velocity and CO₂ saturation models at each time step. Thus, the one Kimberlina
5 realization produces 1855 pairs of 2D velocity and CO₂ models. **Figure 2** shows several pairs of velocity
6 and CO₂ models over time.

7 Each 2D velocity model is 6 km in the horizontal direction and 3.5 km in the vertical direction, with a
8 grid size of 10m by 10m. 6 sources are located on the surface with a spacing of 1 km (i.e., 0, 1, 2, 3, 4 and
9 5 km), and traces are recorded by 600 receivers spaced at an interval of 10 m. We chose a Ricker wavelet
10 with a peak frequency of 25 Hz as the source function to generate seismic waves due to its empirical
11 success in processing seismic fields (Fichtner, 1993). The seismic data were simulated using the finite
12 difference approximation (Alford et al, 1974) to the acoustic wave equation. An example of common shot
13 gathers for a single 2D velocity model is given in Figure 3. The total recording time is 5 s with a timestep
14 of 0.0005 s. In the training of the network, the common shot gathers and label CO₂ models are down-
15 sampled to (512, 256) due to the memory and computational cost issues. The threshold level of down-
16 sampling depends on the nature of the data and label models and should be carefully chosen by trial and
17 error. Without further data processing, the down-sampled common shot gathers are directly fed into the
18 neural network for training and prediction. This simple data processing further helps us to quickly prepare
19 the input data for real-time imaging as will be demonstrated later.

20 As a final note, the governing physics of CO₂ flow and seismic wave propagation is not explicitly
21 implemented inside the DL inversion network (Figure 1). Instead, the governing physics is implicitly
22 embedded into the training seismic data and their corresponding CO₂ label models because they are
23 generated using the realistic flow and seismic modeling algorithms described above. In other words, the
24 network is trained on the sets of training data and label models that honor the governing physics and thus
25 is indirectly informed about the physics. As a side note, one can further integrate the governing physics

1 into a neural network by embedding a partial differential equation of the governing physics and its
2 boundary and/or initial conditions into the loss function of a deep neural network. For details, the reader
3 is referred to Raissi et al. (2019), Waheed et al. (2021) and Karniadakis et al. (2021). This physics-
4 informed network is required for inverting seismic data from the real world. In contrast, the traditional
5 FWI honors the physics of wave propagation by solving a wave equation at each inversion iteration. This
6 is one major difference between traditional geophysical inversion and our DL inversion.

7

8 **Deep Neural Network Training**

9 For implementation, the U-Net algorithm has been written in Python using Keras library that includes
10 various neural-network building blocks such as layers, activation functions and optimization tools. During
11 the training phase, the U-Net solves an optimization problem for minimizing a misfit between training
12 and predicted CO₂ saturation models. This is another interesting difference between DL and traditional
13 inversion because traditional inversion minimizes a misfit between predicted and recorded ‘data’. The
14 misfit between the two models is measured using a loss function. A proper loss function is different for a
15 given problem and is chosen by trial and error. After testing several loss functions, we choose to use a
16 mean squared error (MSE) for our problem:

$$17 \quad MSE = \frac{1}{n} \sum_{i=1}^n (m^{training} - m^{predicted})^2$$

18 where $m^{training}$ and $m^{predicted}$ are a training and predicted CO₂ saturation model, respectively.

19 Before training the neural network, the pairs of data and models (i.e., labels) are split into three groups:
20 test, training and validation sets. The data and models for year 20 are selected and reserved for the test
21 sets as a sufficient amount of CO₂ is observed inside the reservoir after 20 years of injection (Figure 2).
22 These data and models are used only for evaluating the performance of the neural network ‘after’ training
23 is completed. For the MC dropout method, 80% of the remaining data and models are randomly selected

1 for training the neural network and 20% for validating the neural network ‘during’ training. The
2 validation data set provides an initially unbiased evaluation of a model fit on the training set while tuning
3 the neural networks. The evaluation becomes biased over iterations because the validation data set is
4 gradually incorporated into the neural network. **Figure 4a** shows the training and validation losses history
5 using the Adam optimizer (Kingma and Ba, 2015) with a batch size of 32, a learning rate of 1×10^{-4} and
6 the dropout rate of 0.5. Note, the learning rate is a settable parameter inside an optimizer that determines a
7 step size when the optimizer attempts to move toward the minimum of a misfit function. Both learning
8 and dropout rate are determined by trial and error. Once fully trained, the neural network repeatedly
9 predicts a CO₂ saturation model using the same test data, and we generate 400 predicted models for each
10 test data. The predicted models are different from each other because the dropout layers are activated
11 during prediction. To present the final results we calculate a mean CO₂ value and a standard deviation
12 within each cell of the 2D section.

13 For the bagging method, a training set is randomly sampled from the original training set with
14 replacement. The size of the bootstrapped training set is the same as that of the original set. With this
15 resampling, some pairs of seismic data and models are drawn more than once and some are never drawn.
16 On average, each bootstrapped training set makes use of about two-thirds of the original training set
17 (Friedman et al., 2016, James et al., 2021). The remaining roughly one-third of the training set are
18 referred to as the out-of-bag (OOB) set. The OOB data set is then used for validation during training.
19 Because each neural network is trained on its own unique bootstrapped training set, the number of epochs
20 required for the convergence varies for each training as shown in **Figure 4b**.

21 Training individual neural networks for the bagging method are embarrassingly parallel. Thus, several
22 networks can be trained simultaneously when a GPU cluster is available. In our case, it took
23 approximately 80 hours to fully train 400 neural networks for the bagging method on eight NVIDIA Tesla
24 P100-PCIE GPUs. Nonetheless, the total computational cost is significantly more expensive than that for
25 the MC dropout method that requires training only one neural network. Therefore, it appears less

1 attractive to use the bagging method for large-scale DL inversion problems. However, the bagging results
2 are used here as a benchmark to evaluate the uncertainty estimated by the MC dropout method. As a side
3 note, once fully trained, both the MC dropout and bagging methods can make a single prediction of CO₂
4 saturation in a few seconds. The bagging uncertainty estimation which is based on 400 trained neural nets
5 and resulting predictions can be completed in a few minutes.

6 For comparison purposes, we implement and train a single U-Net without an uncertainty quantification
7 method. This is the simplest form of the U-Net for predicting a CO₂ model when seismic data are
8 provided. **Figure 4c** shows its training and validation-loss history. The neural network is trained using the
9 same stopping criterion and dropout rate used earlier. The dropout layers are activated for regularization
10 during training but are deactivated during prediction in order to improve the prediction accuracy. The
11 predicted CO₂ saturation models are deterministic and do not provide an uncertainty estimate.

12

13 **Estimating CO₂ Saturation and Uncertainty**

14 To evaluate the performance of the DL inversion methods, we predict CO₂ saturation using the MC
15 dropout, bagging and single U-Net method and compare imaging results to the true Kimberlina model. In
16 the first set of DL inversion examples, the neural networks are trained on a noise-free training data set and
17 predicts CO₂ saturation models using the noise-free test data set. **Figure 5** compares the true and
18 predicted CO₂ saturation models at three different y - z sections. For the single U-Net method (the 2nd
19 column of **Figure 5**), the predicted models show deterministic CO₂ saturation estimates after a single
20 inversion run. For the MC dropout and bagging method (the 3rd and 4th column of **Figure 5**), the predicted
21 models show the mean values of 400 predicted CO₂ saturation models.

22 The first y - z section ($x=-1$ km) is extracted along the edge of the injected 3D CO₂ plume while the other
23 two sections ($x=0$ and 1 km) were extracted from the central part of the injected volume. As expected
24 since the imaging algorithms are trained using the same set of CO₂ saturation models, the three different

1 neural networks show consistent imaging results. For example, the thickness of the CO₂ plume is
2 consistent as is the vertical resolution of the three different layers of CO₂ that represent the three different
3 high porosity layers within the reservoir and are recovered fairly well. At the edge of the plume (the 1st
4 row of **Figure 5**), the single U-Net and the bagging method best resolve the three layers but the MC
5 dropout method less clearly images the 2nd layer of CO₂, at least using the color scales applied here. For
6 more detailed observation of the recovered CO₂ images, **Figure 6** compares 1D CO₂ saturation profiles
7 between the true model and the three recovered models at selected locations shown in **Figure 5e-h**. In
8 general, the recovered CO₂ saturation profiles are impressively close to the true profile.

9 **Figure 7** shows the uncertainty estimates associated with the predicted CO₂ saturation models in terms
10 of the standard deviation. Both uncertainty quantification methods generate standard deviation maps that
11 are comparable to each other though the uncertainties using the bagging method are slightly higher than
12 those produced by the MC dropout method. Thus, at least for case of noise free input data, the MC
13 dropout method is a computationally efficient alternative to the bagging. The non-zero standard deviation
14 values are found inside and around the recovered CO₂ plume rather than randomly scattered on the cross
15 section which is a product of the neural networks being trained with a set of reservoir models that have
16 CO₂ concentrations only within a given reservoir zone. The standard deviation values are roughly
17 bounded between 0.04 and 0.08, which is approximately 10-15% of the estimated CO₂ saturation.

18 To evaluate the effects of data uncertainty due to noise on the DL inversion methods, we perform extra
19 training and prediction experiments. First, the seismic test data sets at 20 years after injection start are
20 contaminated with Gaussian noise with zero mean and standard deviation of 10%. This level of assumed
21 noise is commonly found in both traditional and DL inversion work (Commer and Newman, 2008; Yang
22 and Ma, 2018; Puzyrev, 2019; Colombo et al., 2020; Um et al., 2020). The noisy test data are then input
23 into the networks that are trained using the noise-free training data sets. **Figures 8 and 9** display the
24 resulting predicted mean CO₂ saturation distributions which are comparable to those predicted in the
25 absence of noise (**Figures 5 and 6**), demonstrating the robustness of these deep-learning imaging

1 techniques. The corresponding standard deviation maps in **Figure 10** are somewhat different from the
2 corresponding noise-free examples shown in **Figure 7**. For example, the distribution of non-zero standard
3 deviation values has a slightly higher magnitude and greater lateral spread. In general, the modeling
4 results show that the presence of noise in the data set does not necessarily meaningfully deteriorate the
5 overall prediction performance of the network.

6

7 **Conclusions and Discussion**

8 In this paper, we have presented a DL-based FWI method for monitoring GCS in real time. Our DL
9 inversion technique is based on the U-Net architecture and here has been trained on pairs of synthetic
10 seismic data and subsurface CO₂ saturation models. Accordingly, the DL inversion is capable of directly
11 imaging CO₂ saturation. Using the MC dropout and bagging methods, the DL imaging quantifies
12 uncertainties associated with CO₂ saturation images in terms of standard deviation. Our numerical
13 modeling experiments demonstrate that the DL inversion can provide realistic estimates of CO₂ model
14 fairly well even when the data sets are contaminated with random noise. For the given problem, the two
15 uncertainty quantification results are comparable to each other. Our DL inversion experiments indicates a
16 possibility that the proposed DL inversion method can be used to continuously monitor CO₂ saturation
17 and provide estimates of uncertainty in near-real time when seismic sensors are permanently installed at a
18 GCS site and their data are continuously fed into the DL inversion.

19 The 2D examples presented here serve merely as a proof of concept of the DL imaging technique.
20 These results have been generated using vertical profiles of hydrologic properties extracted from 3D
21 volumes of saturation at 35 different simulation times after the start of injection for one realization of the
22 Kimberlina 1.2 model. To provide the required number of training data sets for full 3D imaging as we
23 envision it will require employing multiple 3D reservoir simulations where the underlying reservoir
24 models are different-statistical realizations of a base reservoir model. Another level of simplicity present

1 in this study is that we have assumed that we exactly know the rock/ petrophysical transformations
2 between the flow-model parameters and the geophysical property models that are used to generate the
3 synthetic training data. In reality these transformations are not exactly known and can be stochastic in
4 nature requiring the analysis of rock physics measurements made on core samples/and or petrophysical
5 analysis of well log data to estimate. This will introduce increased levels of uncertainty that we will be
6 exploring in future studies. In terms of moving this imaging technology to an operating field site we also
7 recognize that real geophysical field data always contain levels of complication and sources of noise that
8 are not easily duplicated in synthetic data sets such as those we have employed here. Our future plans
9 involve applying this imaging technology on field data to test its robustness in real-world situations.

10

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24

1 **Figure Captions**

2 **Figure 1.** The U-Net architecture used for the DL inversion

3 **Figure 2.** Cross-sectional (y-z plane) views of the Kimberlina CO₂ saturation models (left column) and
4 their corresponding P-wave velocity models (right column).

5 **Figure 3.** Examples of common shot gathers with a 2D velocity model.

6 **Figure 4.** Training and validation loss comparison for Training the U-Net. (a) The MC dropout method.
7 (b) The bagging method. (c) The plain single U-Net method.

8 **Figure 5.** Comparison of the Kimberlina CO₂ saturation model (the 1st column) and the mean values of
9 CO₂ as recovered from the three different DL methodologies (the 2nd to 4th columns). The networks are
10 trained and tested on noise-free seismic data. The recovered CO₂ saturation values are compared to the
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2 (the left column) and the bagging method (the right column). The networks are trained on the noise-free
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4

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Figures

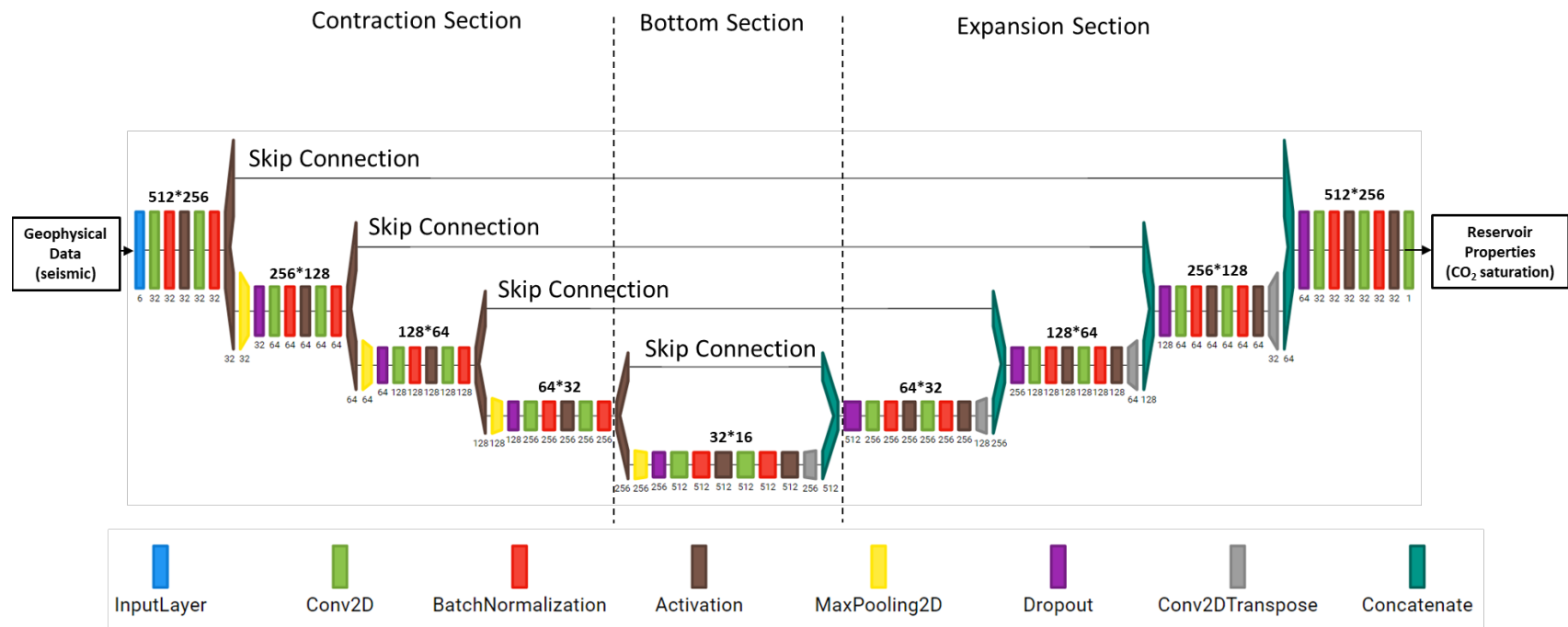


Figure 1. The U-Net architecture used for the DL inversion. The x-y size of the data/feature maps is shown at the top of the layers. The number of channels of data/feature maps is shown at the bottom of the layers.

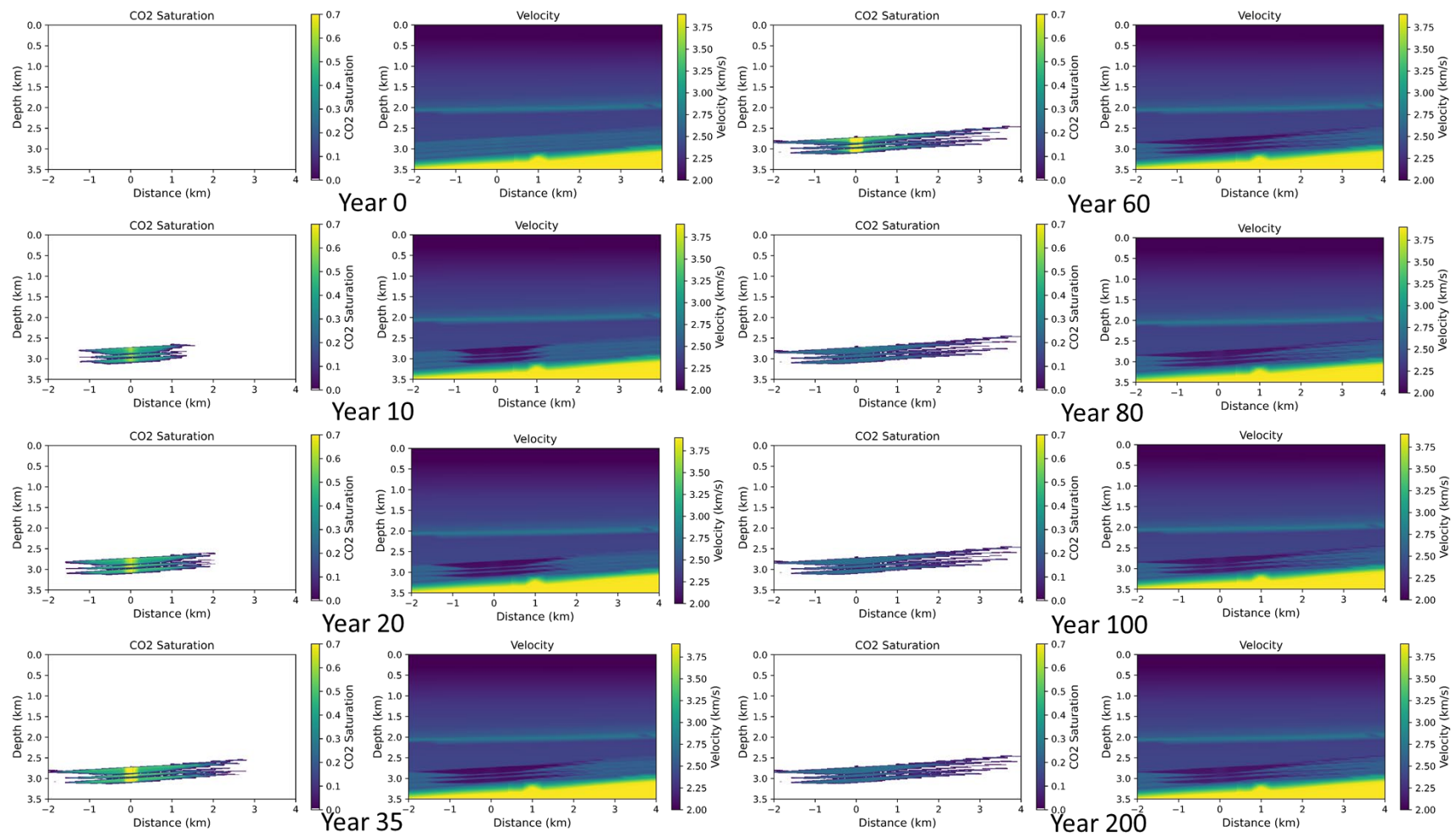


Figure 2. Cross-sectional (y - z plane) views of the Kimberlina CO₂ saturation models (left column) and their corresponding P-wave velocity models (right column).

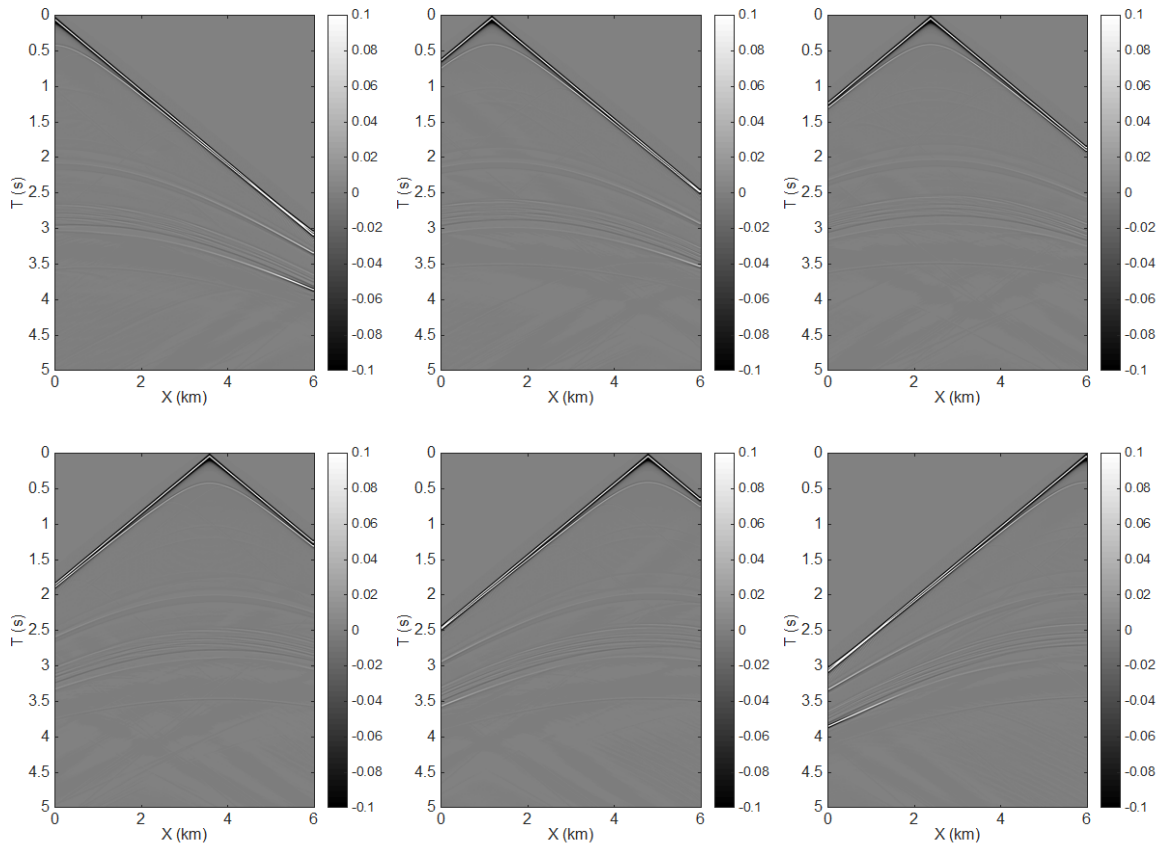
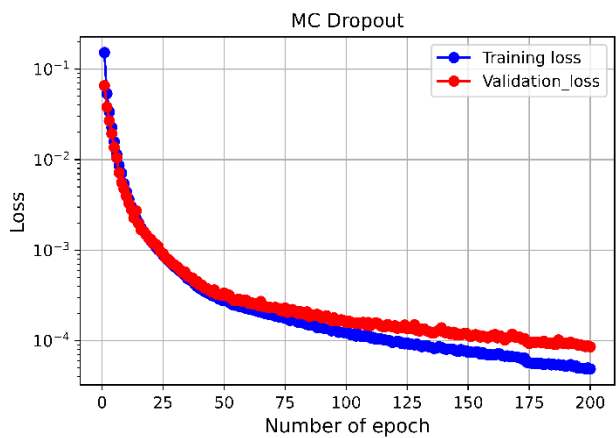
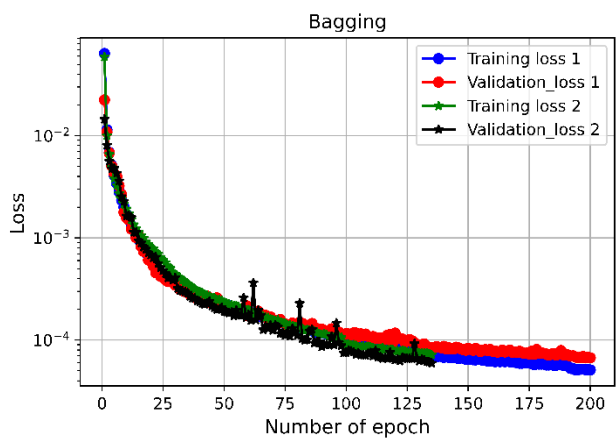


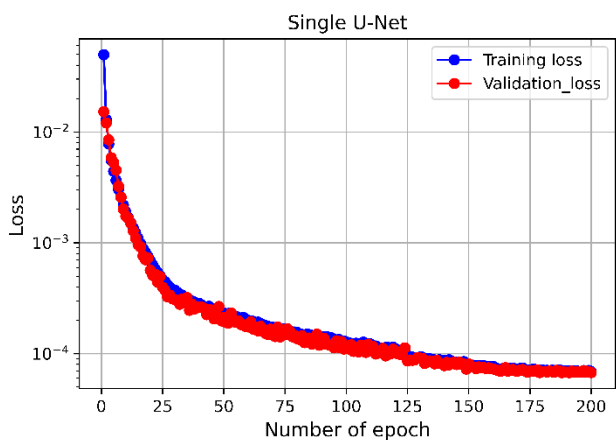
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(a)



(b)



(c)

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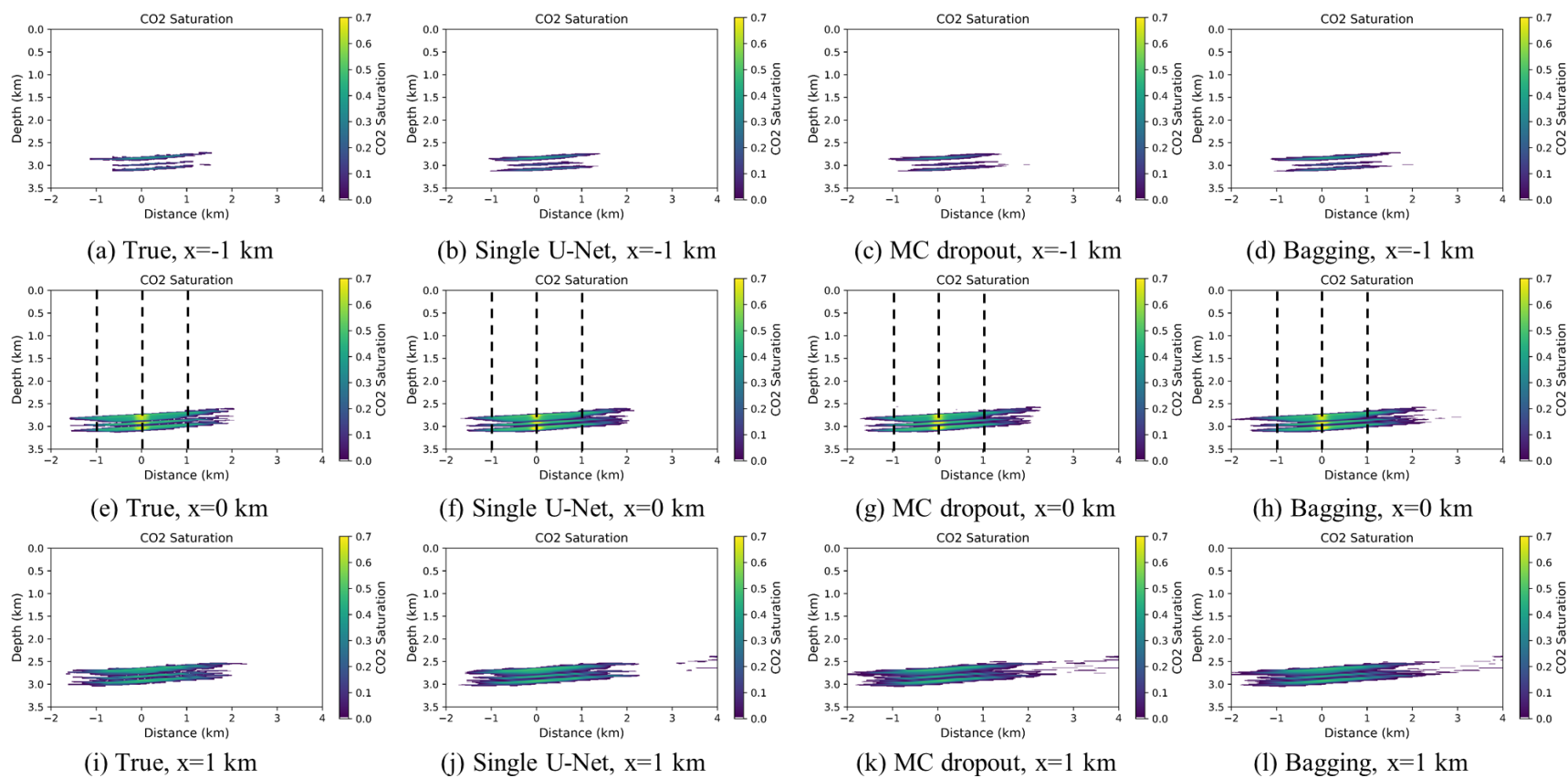


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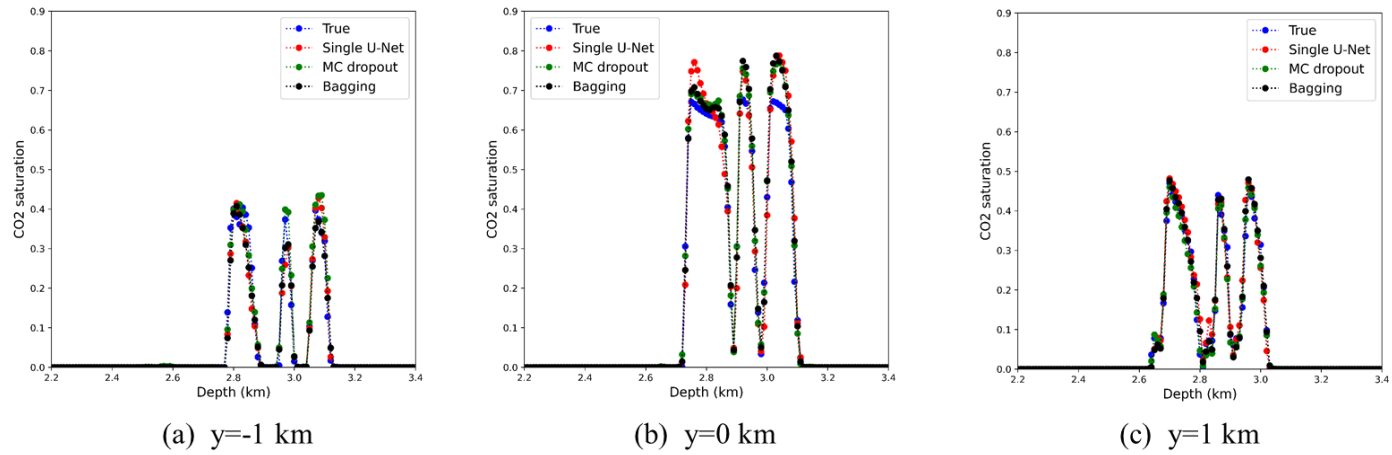
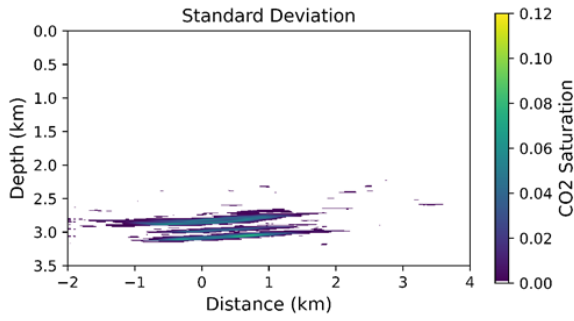
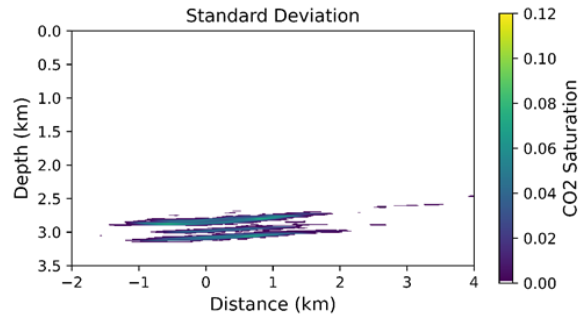


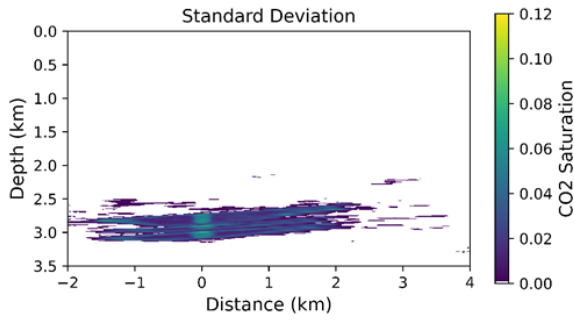
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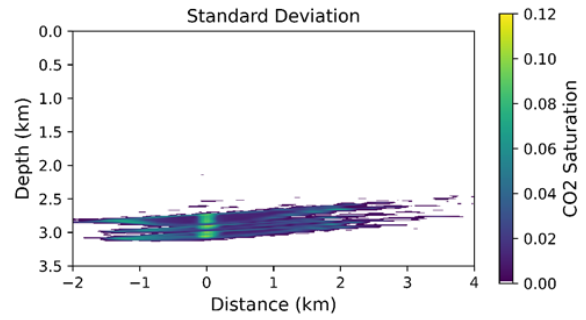
(a) MC dropout, $x=-1$ km



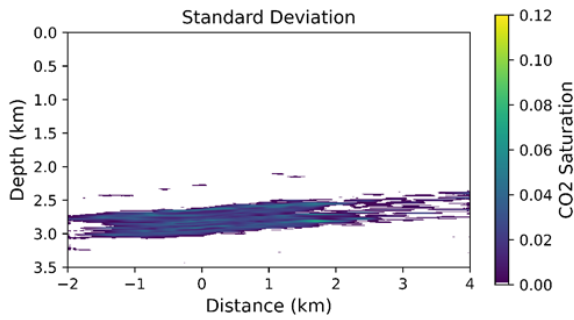
(b) Bagging, $x=-1$ km



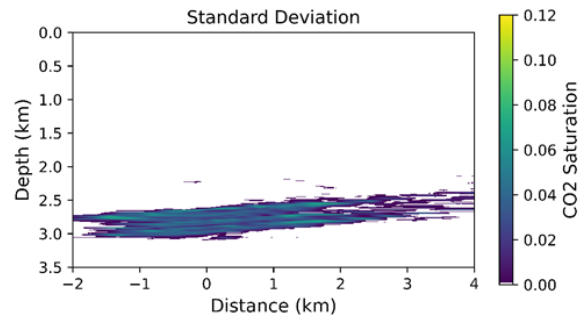
(c) MC dropout, $x=0$ km



(d) Bagging, $x=0$ km



(e) MC dropout, $x=1$ km



(f) Bagging, $x=1$ km

Figure 7. Cross-sectional views of standard deviation of CO₂ saturation from the MC dropout method (the left column) and the bagging method (the right column).

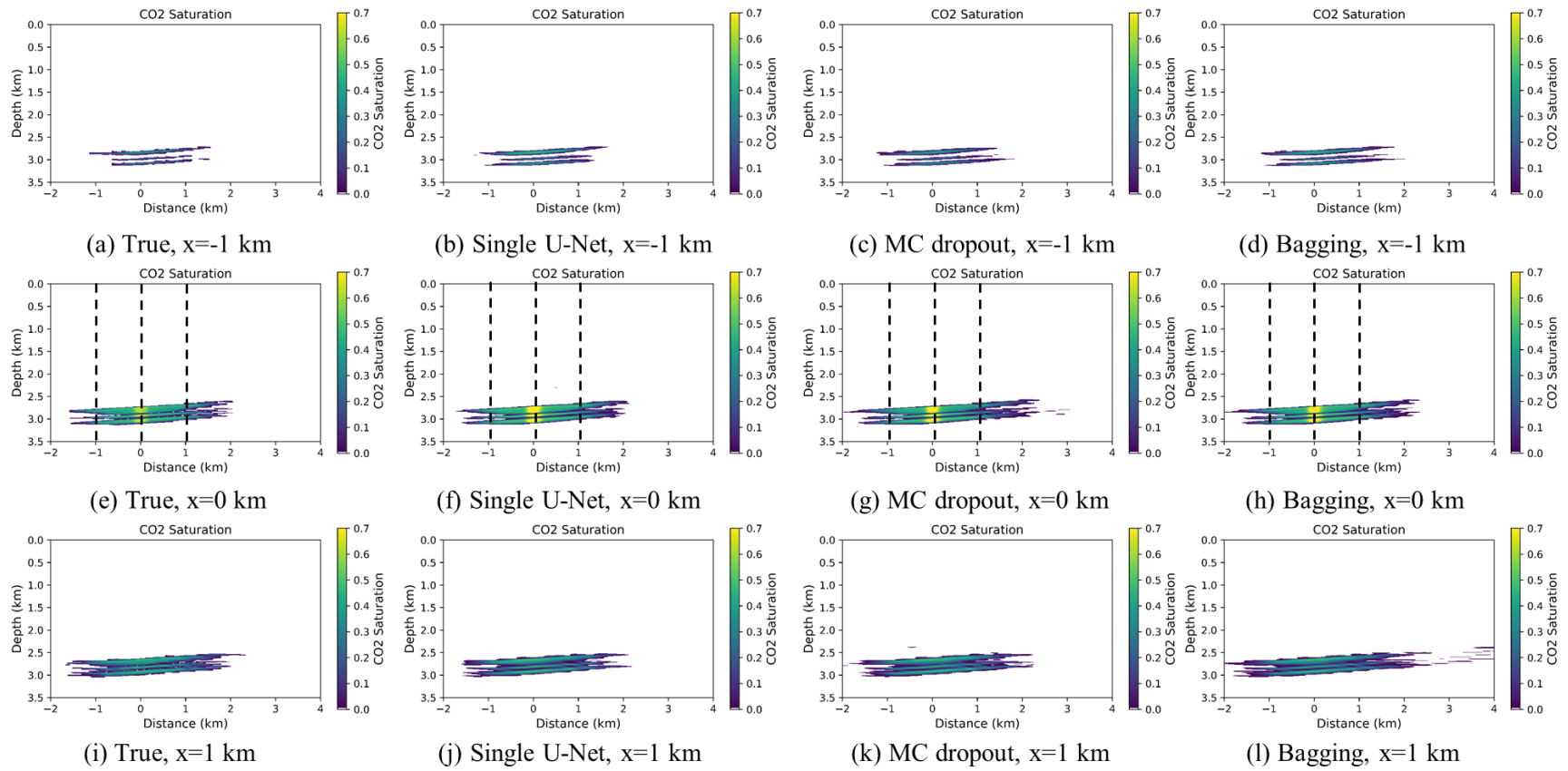


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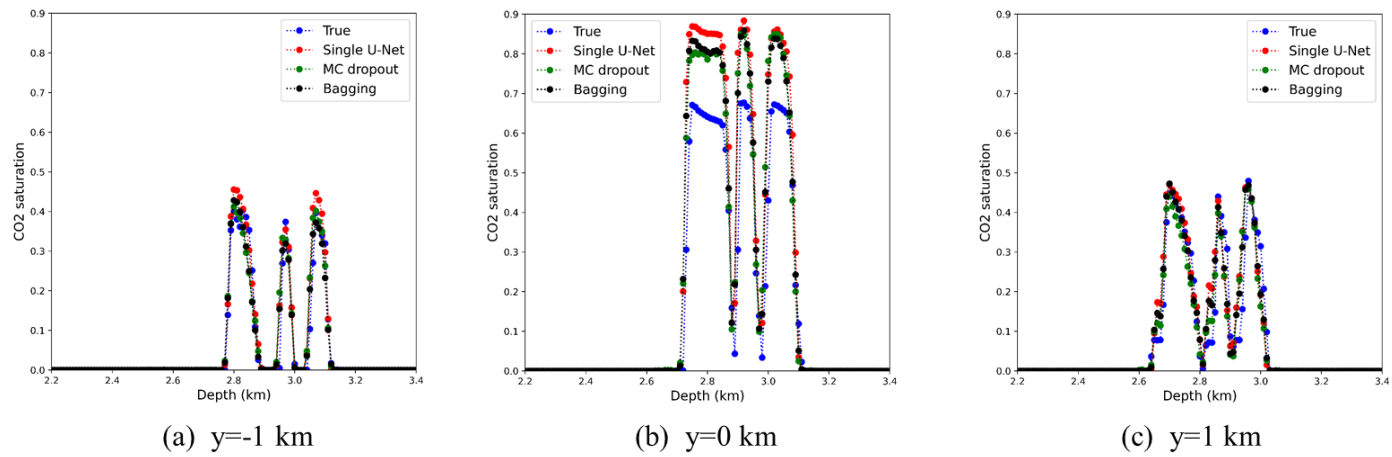
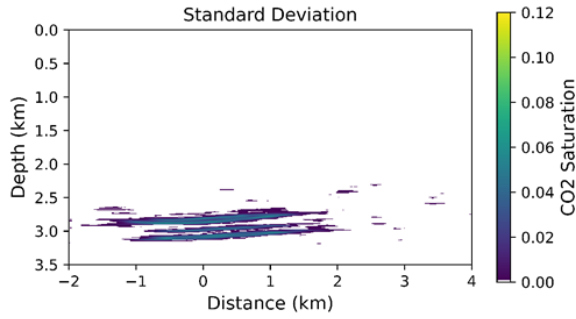
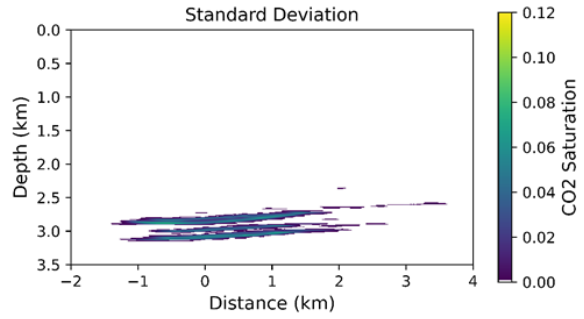


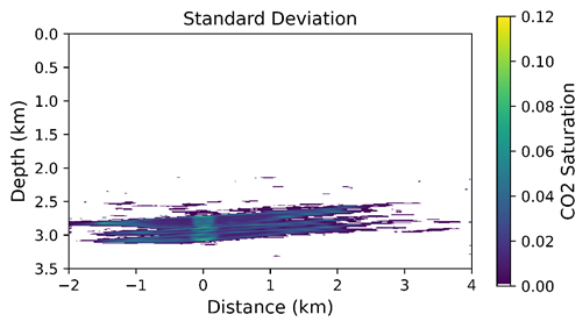
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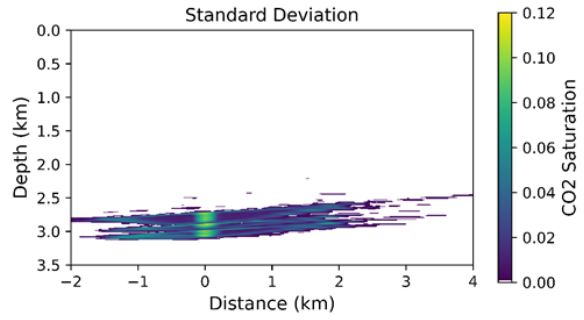
(a) MC dropout, $x=-1$ km



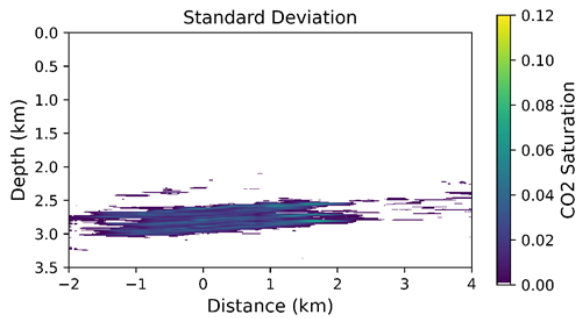
(b) Bagging, $x=-1$ km



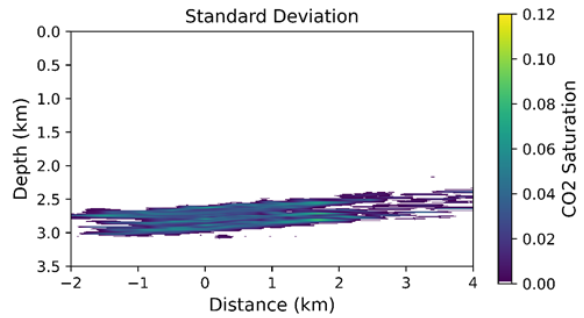
(c) MC dropout, $x=0$ km



(d) Bagging, $x=0$ km



(e) MC dropout, $x=1$ km



(f) Bagging, $x=1$ km

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