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1 2	Extreme Water Vapor Transport during the March 2021 Sydney Floods in the Context of Climate Projections	
3	rious in the context of chinate riojections	
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5		
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12		
13	Abstract	
14	During March 2021, large regions of Eastern Australia experienced prolonged heavy rainfall	
15	and extensive flooding. The maximum daily mean column integrated water vapor transport	
16	(IVT) over Sydney during this event was within the top 0.3% of all days since 1980, and the	
17	10-day mean IVT was in the top 0.2% . In this study, we have examined the change in	
18	frequency of extreme IVT events over Sydney in sixteen climate models from the Coupled	
19	Model Intercomparison Project 6 under two Shared Socioeconomic Pathways (SSP245 and	
20	SSP585). Generalized Extreme Value modelling was used to further analyze the change in	
21	frequency of extreme IVT events. We found the probability of long duration high IVT events	
22	is projected to increase by 80% at the end of the century, but the future change in IVT is	
23	correlated to the rate of global and regional warming in each model.	
24		

25 Plain Language Summary

26	During March 2021, large regions of Eastern Australia experienced prolonged heavy rainfall
27	and extensive flooding. This was associated with strong horizontal water vapor transport over
28	this region that persisted for approximately 10 days. The amount of water vapor transported
29	over Sydney during this event was extreme and within the top 0.3% of all days since 1980. In
30	this study, we used climate models to project how much more often events such as these may
31	occur by the end of the 21st Century under two greenhouse gas emission scenarios. We found
32	that the probability of long duration high water vapor transport over Sydney, as in March
33	2021, may increase by 80%.
34 35	Key Points
36 37	Sydney's March 2021 floods were associated with persistent high water vapor transport.
38	The probability of long-duration high IVT events should increase by the end of the 21st
39	Century.
40	
41	Differences in climate sensitivity within the CMIP6 ensemble may increase the spread of
42	moisture flux projections.
43 44	Introduction
45	Between the 17 th and 24 th of March 2021, much of eastern Australia experienced heavy
46	rainfall and wide-spread flooding. During that period, the entire coastline of New South
47	Wales (NSW) received more than 200mm of rain and in some locations more than 400mm of
48	rain fell (The Bureau of Meteorology, 2021). Sydney (Observatory Hill Automatic Weather
49	Station) recorded 212.4mm of rain between the 19 th -21 st of March 2021 with 110.4mm falling
50	on the 21 st .

52 The March 2021 event was associated with a blocking anticyclone in the Tasman Sea; the 53 easterly flow advected moist air over the east coast (Fig 1a) followed by the convergence of 54 moisture from two regions (Fig1b-c). Most of the rainfall records occurred on the 23rd of 55 March when the intense Atmospheric River moved over the east coast. This event received 56 much media attention and public commentary about the potential role of climate change in 57 this event. However, weather events like this are not necessarily uncommon. For example, in 58 January 2012, a blocking high in the Tasman followed by strong southward moisture flux led 59 to wide-spread flooding over eastern NSW (The Bureau of Meteorology, 2012). For both 60 events the strength and duration of the moisture flux is likely of critical importance. The 61 purpose of this paper is to place this recent event in the context of the prior observational 62 record and future climate projections by examining the water vapor transport.

63

Atmospheric rivers (ARs) are associated with localized regions of high integrated vapor
transport (IVT), which is defined as the column-averaged horizontal transport of water vapor
mass. A northwesterly AR impacted Sydney between the 21st-23rd of March. However, there
was high IVT over Sydney in the days prior which does not continuously satisfy the criteria
for an AR. For this reason, we focus on IVT throughout this study.

69

It has been established that IVT is a strong predictor for precipitation (Lavers & Villarini, 2015) and Rutz et al., (2014) showed that the spatial extent of IVT correlated more strongly with precipitation than total column water vapour over land. Lavers et al., (2014) found that large scale horizontal moisture transport is more predictable than precipitation and could be used to extend the forecast lead time of extreme precipitation by up to 3 days in some locations over Europe. There is a large body of literature documenting the association of IVT, 76 extreme rainfall, flooding and ARs worldwide (e.g. Corringham et al., 2019; Gimeno et al.,
77 2016; Reid et al., 2021; Viale et al., 2018).

78

79 Understanding extreme rainfall and flooding events around Sydney is important due to its 80 large population and economic value (Nicholls, 2006), but precipitation, and especially extreme precipitation, is poorly simulated in global climate models (Stephens et al., 2010). 81 82 Given the strong relationship between IVT and precipitation, the prominent role of IVT 83 during the March 2021 (and previous) East Australian flooding, and the higher predictability 84 of IVT compared to extreme precipitation (Lavers et al., 2014), understanding changes to 85 IVT in a warmer world is necessary for preparing for future hydrological extremes in this 86 region. Hence, the aim of this paper is to understand IVT over Sydney in the context of 87 climate projections. 88 89 Warner et al., (2015) analysed IVT projections along the West Coast of the USA in the 90 Coupled Model Intercomparison Project (CMIP) 5 models under Representative 91 Concentration Pathway (RCP) 8.5 and found significant increases in water vapor transport as 92 anthropogenic greenhouse gas concentrations rise. Studies of Southern Hemisphere ARs have 93 suggested a poleward shift in ARs in recent decades, and hence regions of enhanced IVT, due 94 to changes in the location of the westerly jet (Ma et al., 2020; Swart et al., 2015). However, 95 projections of IVT over Australia have not been adequately assessed. 96 97 In this paper, we evaluated the CMIP6 multi-model ensemble of IVT over Sydney 98 (Australia's most populated city) and used the best performing models to project the end-of-99 century IVT response to 'middle-of-the-road' and 'business-as-usual' climate change

- scenarios using simulations from the ScenarioMIP (Eyring et al., 2016). We also use
 Generalized Extreme Value (GEV) modelling to estimate the change in likelihood of extreme
 IVT events that are a similar duration and intensity to the March 2021 event under global
 warming. Finally, we assessed the role that the rate of global and regional warming in models
 may contribute to uncertainty in IVT projections.
- 105



- **107** *Figure 1: Integrated Water Vapour Transport from ERA5 at a) 0000z 18th March, b) 1800z*
- **108** 21st March and c) 0000z 23rd March 2021. Colors show the magnitude and vectors show the
- 109 *direction of IVT. The H symbol indicates the quasi-stationary high pressure.*
- 110

111 Methods

- 112 We used daily IVT (determined from hourly data) between 1980-2014 (end of the historical
- 113 model runs) from ERA5 Copernicus Climate Change Service (Hersbach et al., 2019) along a
- 114 transect of the East Australian Coast (28°S to 38°S) to evaluate the climatology of IVT in this

115 region and determine how unusual the March 2021 event was. We also used daily 116 atmospheric surface temperature, specific humidity, and horizontal winds from sixteen 117 CMIP6 models (Supplementary Table 1) and evaluated IVT by calculating the mass-118 weighted integral of the product of specific humidity and horizontal winds between 300hPa 119 and 1000hPa (or the surface where topography exceeded the 1000hPa level). Only the first 120 ensemble member was used, as in Warner et al., (2015), to avoid biasing towards models 121 with more ensemble members. We used the historical (1980-2014) run for model evaluation 122 and our climate baseline, and the Shared Socioeconomic Pathways (SSP) SSP245 and 123 SSP585 future scenarios (2080-2100; O'Neill et al., 2016). We chose to focus on the period 124 between 2080 and 2100 to maximise the warming signal and better understand the climate 125 change influence on IVT.

126

127 All models and the ERA5 reanalysis were regridded to a 2°x2° horizontal resolution using 128 bilinear interpolation. We used an initial domain of 150°E-154°E longitude, and 28°S to 38°S 129 latitude. The IVT was averaged across the longitude dimension to produce a transect of IVT 130 approximately parallel to the East Coast of Australia. We then focussed on the grid centered 131 on 34°S, which includes Sydney. Sixteen CMIP6 models were chosen based on data 132 availability. We used quantile-quantile analysis and calculated the root mean squared error 133 (RMSE) of the historical model runs versus ERA5 quantiles (Supplementary Table 1) and the 134 reference line (ERA5 versus ERA5) similar to Perkins et al., (2007). We calculated the 135 RMSE for all quantiles and for just the upper quartile. We then selected the best eight models 136 based on which models produced the lowest RMSE over the whole distribution and the upper 137 quartile, which happened to be the same models. Model evaluation is discussed further in the 138 results section.

140	We calculated the March-April-May (MAM) maximum of the 10-day average IVT
141	(IVTx10day) for each model as that was the approximate duration for the March 2021 event.
142	We fit a non-stationary GEV to the IVTx10day combined data from the historical and
143	SSP245 simulations and historical and SSP585 simulations, modelling the location parameter
144	(μ) as varying linearly in time (t) as in Risser and Wehner, (2017): $\mu(t) = c_{\mu}t + \mu_0$. The
145	posterior distribution was sampled using a Bayesian approach and the Emcee package
146	(Foreman-Mackey et al., 2019). The quasi-uniform prior restricts the posterior distribution to
147	Type I (Gumbel) and Type II (Fréchet) distributions, based on the prior assumptions that the
148	distribution of IVTx10day should be positively skewed. Ten Markov Chain Monte Carlo
149	(MCMC) chains for 11,000 steps were run retaining only the final 100 samples. This
150	calculation was repeated on each of the CMIP6 models. This results in 1,000 estimates i of
151	the GEV model parameters $c_{\mu}^{i,m}$, $\mu_0^{i,m}(location \lor mean), \sigma^{i,m}(scale \lor variance)$,
152	$\xi^{i,m}(shape \lor skewness)$ for each CMIP6 model <i>m</i> .
153	
154	We do a similar GEV fit to ERA5 data and calculate the percentile of the March 2021 event;
155	we use the mode of the percentiles as the 2021 reference value from which to calculate
156	probability ratios. We then determine the percentile value of IVT, X_b in the year 2021 from
157	the model-based MCMC samples. We refer to these IVT values as $IVT_0^{i,m}$. We calculate the

158 Probability Ratio (PR) of such an IVTx10day value occurring in the years 2080-2100 as:

160
$$PR^{i,m} = \frac{P\left(IVT > IVT_{0}^{i,m} \middle| t = 2021, c_{\mu}^{i,m}, \mu_{0}^{i,m}, \sigma^{i,m}, \xi^{i,m}\right)}{P\left(IVT > IVT_{0}^{i,m} \middle| t = 2100, c_{\mu}^{i,m}, \mu_{0}^{i,m}, \sigma^{i,m}, \xi^{i,m}\right)}$$

(1)

162
$$i \frac{1 - X_t / 100}{P(IVT > IVT_0^{i,m} | t \in (2080, 2100), c_\mu^{i,m}, \mu_0^{i,m}, \sigma^{i,m}, \xi^{i,m})}$$

164 Climatology and Context

165





168 Figure 2: Histograms of a) daily mean IVT over Sydney and b) 10-day mean IVT between

169 1980-2014 from ERA5. The red lines indicate the peak daily (a) and 10-day mean (b) IVT

170 during the March 2021 flooding event and the values above the red lines are the percentiles

171 *of that IVT value relative to the climatology.*

173 Figure 2 shows the distribution of IVT values over Sydney between 1980-2014 for all

- 174 seasons. Extreme IVT events are not limited to MAM so we include all seasons for the
- analysis in Figures 2 and 3. The climatology of IVT indicates that water vapor flux during

176 this flooding event was unusual in both peak intensity and persistence (indicated by the 10-177 day mean IVT). Over the Sydney region, the maximum daily mean IVT was in the top 0.3%178 and the 10-day mean IVT was the 3rd highest since 1980 (Figure 2b). The two 10-day mean 179 IVT events that exceeded the March 2021 event were also associated with widespread heavy 180 rainfall and flooding. Similar, to the 2021 event, both events were associated with a large-181 scale cloudband extending from the northwest (satellite imagery; not shown). The rank of the 182 March 2021 IVT in the climatology indicates that this was indeed an extreme IVT event as 183 well as an extreme rainfall and flooding event. The next section of this paper looks at how 184 IVT over these locations may change with anthropogenic climate change. We note that we do 185 not observe a trend in IVT over the historical period in ERA5 (1980-2014) for this domain 186 and there is strong interannual variability in annual mean and maximum IVT over Sydney.

187

188 Future Projections of IVT



192

193 Figure 3: Quantile-quantile plot of ERA5 IVT and historical model IVT [Kgm⁻¹s⁻¹] for the 194 worst 8 (a) and best 8 (b) models at 34S. The black line represents the ERA5 IVT quantiles 195 plotted against itself which serves as the reference line. c) Semi-log probability distribution 196 of multimodel mean IVT at 34S in the historical 1980-2014 (purple), SSP245 2080-2100 197 (yellow) and SSP585 2080-2100 (orange) model runs, and ERA5 IVT distribution 1980-2014 (blue dashed line). d) Consecutive days exceeding the 85th percentile IVT in the historical run 198 199 of each model for the historical (purple), SSP245 (yellow) and SSP585 (orange) scenarios. 200 Bar values are the multimodel mean while error bars represent the model spread. All 201 multimodel means only include the top 8 models. 202 203 We evaluated the model simulations of IVT over Sydney by comparing the probability 204 distributions of each model to the probability distribution of IVT in ERA5. Figures 3a-b show 205 the quantile-quantile plot of ERA5 IVT versus each model. The black line is the ERA5 206 distribution plotted against itself which serves as a reference line. The closer the models are 207 to this reference line the better they simulate the observed distribution of IVT over Sydney. 208 The eight best-performing models are displayed in Figure 3b. The model spread and 209 displacement from the black reference line is considerably smaller in Figure 3b compared to 210 Figure 3a. The multimodel mean values calculated in subsequent figures only incorporate the 211 best eight models. 212 213 The models typically have a negative IVT bias at lower values. However, the models perform

214 well above the commonly used IVT threshold for defining ARs (250Kg $m^{-1} s^{-1}$ [Shields et al.

215 2018]), which is when we expect to observe more impactful rain events. The worst 216 performing model deviates from the ERA5 99th percentile IVT by 130Kg m⁻¹ s⁻¹ (BCC-217 CSM2-MR), while the best performing model deviates by only 15.5Kg m⁻¹ s⁻¹ (EC-Earth3). 218 We note that we did also examine the projections in all models, including the models that 219 performed poorly in our historical evaluation, and found the sign of future IVT change was 220 consistent across all models though the magnitude varied considerably. The range of IVT 221 magnitude projections narrowed when we excluded the weaker models. We include versions 222 of Figures 3C and 4 that incorporate all models in the Supplementary Material.

223

224 After evaluating the models, we could then analyze their IVT projections with some 225 confidence. Figure 3c shows the multimodel mean normalized probability distribution of IVT 226 for the historical period (1980-2014) and future (2080-2100) under SSP245 and SSP585. The 227 probability distribution is shown as a semi-log plot so that the relative difference in frequency 228 at the extreme end of the distribution is clearer. The distribution in ERA5 is also shown in 229 blue. We see a positive shift in both future distributions with respect to the historical period. 230 Above about 300 Kg m⁻¹ s⁻¹, the difference in frequency between the historical and future IVT 231 values exceeds the difference between the frequency in the historical and ERA5 distributions. 232 This indicates that there is a robust projected increase in extreme IVT by the end of the 233 century under both SSPs, however the magnitude of change in the median IVT is much more 234 uncertain. The question of when a climate change signal in IVT emerges over this region is a 235 potential avenue for future research as we found a minimal shift in the IVT probability 236 distribution between 2030-2050, but a stronger signal between 2080-2100 (Supplementary 237 Figure 3).

238

239 The duration of high IVT over a region is an important factor that determines the severity of 240 extreme hydrological events and was particularly notable during the March 2021 floods. 241 Therefore, we examined the projected future changes to the duration of high IVT events in 242 Figure 3d. High IVT events were defined using a relative threshold to account for differences 243 in the water vapor content of the models as recommended by Rutz et al., (2019). We used the 244 85th percentile of IVT in the historical run of each model because this value is often used to 245 define the boundary of ARs (Shields et al., 2018) and is approximately 250 Kg m⁻¹ s⁻¹ in 246 ERA5. We then calculated the consecutive number of days exceeding this threshold in the 247 historical and future projections. Our results indicate that the frequency of multiday high IVT 248 events is likely to increase under SSP245 and SSP585. We see a robust increase in the frequency of 2-day high IVT events of about 40%, as indicated by the model range (error 249 250 bars) of the historical period not overlapping with the range in the future scenarios, and a less 251 robust increase in 3-day and 4-day events. Although, we cannot confidently draw conclusions 252 about the highest impact 5-day and longer events likely due to a very limited sample size.



- Figure 4: a) Range of return period and b) probability ratio of an IVTx10day event of
 equivalent magnitude to the March 2021 event under historical (1980-2014) and future
 (2080-2100) SSP245 and SSP585 conditions.
- 258

259 Given the high uncertainty in the most extreme IVT events due to the small sample size, we 260 undertook a GEV modelling analysis to further understand how the likelihood of extreme 261 IVT events may change in a warmer climate. The return period of IVTx10day equivalent to 262 the March 2021 event almost halves between the historical and future periods under both 263 SSPs. We observe a strong reduction in the return periods (Figure 4a) indicating that events 264 akin to that of March 2021 would become more regular under the projected scenarios. While 265 the end-of-century return periods are significantly lower than the historical return periods, the 266 differences between return periods projected by the two scenarios are statistically 267 indistinguishable. The probability ratio of IVTx10day has a large uncertainty range under 268 both scenarios but is consistently greater than one indicating that all CMIP6 models indicate 269 an increase in probability of events as rare as the observed March 2021 event.

- 270
- 271



273 *Figure 5: a) Quantile-quantile plot of historical (1980-2014) versus SSP585 (2080-2100) IVT*

274 for each of the 16 models. Yellow lines indicate models where the difference in global mean

temperature between the pre-industrial period (1850-1880) and end of 21st Century (2080-

- 276 2100) was below 4K, while red lines are models where the change in global mean
- 277 temperature exceeded 4K. The black dashed line is the one-to-one reference. b) Scatter plot
- 278 of the change in global (blue) and regional (orange; 80-180E, 50-0S) mean temperature
- 279 under SSP585 in each model versus the change in the 85th percentile of IVT between the

280 *historical and future periods. Scorr is the Spearman Rank Correlation Coefficient and both of*

- **281** *these were statistically significant* (p < 0.05).
- 282

283 Given the range in climate sensitivity within the CMIP6 ensemble (Meehl et al., 2020;

284 Zelinka et al., 2019) we hypothesized that differences in climate sensitivity may affect out

results. To test this, we calculated the change in global mean temperature between the pre-

industrial period (1850-1880) and end of 21^{st} Century (2080-2100). We found that the models

287 with a faster rate of warming all projected higher IVT increases (Figure 5a) except for one

288 model which we discuss in the following paragraphs. This is an important result as it

289 indicates that uncertainty of climate sensitivity may increase the spread of projections of

290 moisture flux and therefore affect projected changes in precipitation extremes.

291

Moreover, we found a strong relationship between the global and regional mean temperature
change and the value of the 85th percentile of IVT which is commonly used to define
Atmospheric Rivers (Figure 5b). Regional here refers to between 80°E-180°E longitude and
50°S-0°S latitude. IVT appears to be slightly more correlated to regional temperatures than

296 global temperatures, suggesting that studies of moisture flux and climate change should297 consider the rate of global and regional warming in the models.

298

299 Model representation of the jet stream and associated storm tracks likely affects IVT 300 projections. Simpson et al., (2020) evaluated CMIP6 representation of midlatitude 301 phenomena and found that the majority of CMIP6 models positioned the Southern 302 Hemisphere (SH) jet too far equatorward. We note that the ensemble member that 303 overestimated IVT the most in the historical model run (BCC-CSM2-MR) also considerably 304 overestimates the speed of the SH jet (Simpson et al., 2020). Furthermore, despite a relatively 305 fast rate of warming in AWI-CM-1-1-MR, that model projects the smallest IVT increase over 306 Sydney by the end of the 21st Century, whereas across the other models there is a strong 307 relationship between rate of warming and IVT increase. However, according to Simpson et 308 al. (2020), AWI-CM-1-1-MR is one of the best models at simulating both the position and 309 speed of the SH jet. Global circulation changes such as the position and speed of the jet and 310 frequency of blocking are likely sources of uncertainty in future precipitation changes 311 (Nishant & Sherwood, 2021)

312

313 Conclusion

314 IVT is a useful tool for understanding how hydrological extremes may change in the future.
315 IVT is often better represented in models than precipitation and is highly correlated to
316 precipitation. Extreme multi-day rainfall and flooding in Sydney occurred in March 2021
317 during a period of persistent and intense water vapor transport over the region. We have
318 examined the IVT for this event, placed it in the context of the observational record, and
319 evaluated how the distribution of IVT changes in a warmer climate using CMIP6 models.

320 The maximum daily IVT during the event was the top 0.3% of daily IVT since 1980 and the 321 3rd highest 10-day IVT mean. We found that both the intensity of IVT and the frequency of 322 persistent IVT events will likely increase under SSP245 and SSP585, with SSP585 leading to 323 stronger increases. The probability of events of a similar magnitude to the March 2021 event 324 will increase by approximately 80% with the interquartile range of estimates varying from 325 50-100% (Figure 4) by the end of the 21st Century over Sydney under both moderate and high 326 emissions scenarios. Lastly, we found that the change in IVT is proportional to the rate of 327 warming. Models with faster warming tend to project larger increases in IVT. We have not 328 considered, and there has been limited research to date on, the weather systems that produce 329 these extreme IVT events and their representation in climate models over this region. The 330 analysis herein has demonstrated that the IVT associated with this event was extreme, but not 331 unprecedented. However, the balance of evidence from the considered climate projections 332 suggests that intense and persistent IVT events like this will occur more often in the future.

333

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- 359
- **360 Data Availability:**
- 361 The ERA5 (<u>10.24381/cds.adbb2d47</u>) and CMIP6
- 362 (<u>https://esgf-node.llnl.gov/projects/cmip6/</u>) datasets used in this study are publicly available.
 363
- 364
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