#### Prenatal Drug Exposure Moderates the Association between

Stress Reactivity and Cognitive Function in Adolescence

Running head: Prenatal Drug Exposure and Stress Reactivity in Adolescence

Stacy Buckingham-Howes, PhD<sup>1,4</sup>

Samantha P. Bento, BA<sup>1</sup>

Laura A. Scaletti, MA<sup>2</sup>

James I. Koenig, PhD<sup>3</sup>

Douglas A. Granger, PhD<sup>4,5</sup>

Maureen M. Black, PhD<sup>1</sup>

Correspondence:

Maureen M. Black, Ph.D.

Email: mblack@peds.umaryland.edu

737 W. Lombard St. Ste. 161

Baltimore, MD. 21201 Tel: (410) 706-2136 Fax: (410) 706-5090

This study was supported by the National Institute on Drug Abuse (R01-DA07432, R01-DA021059, and 1F32DA036274-01). In the interest of full disclosure, we note that DAG is founder and Chief Scientific and Strategy Advisor at Salimetrics (Carlsbad, CA) and SalivaBio (State College, PA), and these relationships are managed by the policies of the committee on conflict of interest at the Johns Hopkins School of Medicine and the Office of Research Integrity and Adherence at Arizona State University.

Key words: Hypothalamic-Pituitary-Adrenal axis, cortisol, psychobiology

<sup>&</sup>lt;sup>1</sup>Department of Pediatrics, University of Maryland School of Medicine

<sup>&</sup>lt;sup>2</sup>Department of Psychology, University of Maryland Baltimore County

<sup>&</sup>lt;sup>3</sup>National Institute of Neurological Disorders and Stroke, Rockville, MD

<sup>&</sup>lt;sup>4</sup>Institute for Interdisciplinary Salivary Bioscience Research, Arizona State University

<sup>&</sup>lt;sup>5</sup>Johns Hopkins University School of Nursing, and Bloomberg School of Public Health

1 Abstract

2	Prenatal drug exposure (PDE) can undermine subsequent health and development. In a
3	prospective longitudinal study we examine whether PDE moderates the link between stress
4	reactivity and cognitive functioning in adolescence. Participants were 76 prenatally drug exposed
5	and 61 non-exposed (NE) community comparison African American youth (50% male, mean age
6	14.17 years) living in an urban setting. All participants completed neuropsychological and
7	academic achievement tests (Children's Memory Scales, the California Verbal Learning Test-
8	Children's Version, and the Wide Range Achievement Test 4) over the course of one day in a
9	laboratory setting. Two mild stressors (Balloon Analogue Risk Task-Youth and Behavioral
10	Indicator of Resilience to Distress) were administered with saliva samples (assayed for cortisol)
11	collected pre and post stress task. A higher percentage in the NE group, compared to the PDE
12	group [26% vs. 12%, $\chi^2(df=1, N=137)=4.70$ , $p=.03$ )], exhibited task-related increases in salivary
13	cortisol. PDE moderated the association between stress reactivity and 11 of 15 cognitive
14	performance scales. In each case, the NE-stress reactive group had better cognitive performance
15	than either the NE-lower cortisol reactive group or the PDE group regardless of stress reactivity
16	status. Stress-related reactivity and regulation of the hypothalamic-pituitary-adrenal axis in
17	adolescence may be disrupted by PDE, and the disruption may be linked to lower cognitive
18	performance.

Prenatal drug exposure (PDE; cocaine/heroin) is a recognized public health problem with more than 4% of women between the ages of 15 and 44 reporting drug use while pregnant [1]. Recent developmental theories suggest that prenatal stressors such as PDE may impact the building blocks of adult health and well-being through their influence on early brain development and the hypothalamic-pituitary-adrenal axis (HPA) [2-5]. In both human and animal studies, stress in various forms (e.g., psychopathology, natural disasters, pharmacological treatments, etc.) experienced by pregnant females increases activation of the HPA axis. Prolonged exposure to the chemical products (i.e., glucocorticoids) released by the HPA axis has the potential to alter fetal neurological and cognitive development [6,7], impacting brain regions that are involved in the development and regulation of the HPA axis (e.g., hippocampus, amygdala, and frontal cortex) and resulting in possible functional deficits in memory, learning, and executive functioning that can last a lifetime [3,4,6,8]. The association between stress and cognitive function is viewed as an inverted U-shape with moderate stress, versus low or high levels, as optimal [9], but chronic stress during the prenatal and postnatal period may lead to prolonged, repeated elevations in glucocorticoids resulting in the down-regulation of the HPA axis response. These disruptions may prevent an expected stress response, resulting in a blunted cortisol or atypical response to stress over time [10,11]. This process has been demonstrated in maltreated and deprived/neglected children [10-13] and in children with early life stress (e.g., harsh parenting, poverty) [14-16]. PDE has subtle, measurable consequences on children's behavior and development

19

20

21

22

23

24

25

26

27

28

29

30

31

32

33

34

35

36

37

38

39

40

41

through adolescence [17,18]. There is preliminary evidence that PDE is associated with compromised memory performance and academic achievement in adolescence [18]. In four of five recent studies, adolescents with PDE demonstrated worse performance on memory tasks

[19-21] or were more likely to have an individualized education plan (be enrolled in special education) [22] than non-exposed adolescents. The fifth study found no association between cognitive functioning and PDE [23]. Several of these studies considered mechanisms, including psychopathology [22], neural connections [20], growth [19], and gender [21], but none examined the potential role of individual differences in the psychobiology of the stress response.

There is evidence that PDE may result in disruption of the HPA axis [24-27]. Two studies involving adolescents with PDE who were also exposed to domestic violence [25] or maltreatment [24], found a blunted cortisol response to stress, and a third study demonstrated a blunted cortisol increase in the overnight pattern [27]. All three suggest that PDE dulled the adolescents' sensitivity to stress. Conversely, a fourth study found that adolescents with PDE had higher cortisol concentrations than non-exposed adolescents before and after exposure to stress [26].

Contemporary theories emphasize the environmental impact on biological systems that play a role in development [2-5]. The current study examines the environmental challenge of PDE and stress reactivity and the impact on adolescent development. Since evidence suggests that stress-related reactivity of the HPA axis maybe disrupted by PDE [25,28], it is plausible that this dysregulation may be a mechanism explaining some portion of the effects of PDE on cognitive performance in adolescents. This study examines the hypothesis that PDE disrupts the relationship between stress reactivity and adolescent performance on cognitive/memory tasks, such that among non-exposed adolescents, stress reactivity predicts high scores on cognitive/memory tasks, and among adolescents with PDE, stress reactivity is not related to cognitive/memory performance.

64 Method

### **Participants**

65

66

67

68

69

70

71

72

73

74

75

76

77

78

79

80

81

82

83

84

85

86

87

One hundred and thirty seven adolescents were recruited from a longitudinal investigation of the effects of PDE. The participants were a mean age of 14.17 (SD=1.17; minmax=11.93-16.64 yrs), were evenly divided by gender with 50% male, and were 99% African American. Adolescents with PDE were significantly more likely than non-exposed adolescents to have been prenatally exposed to alcohol [54% vs. 18%,  $\chi^2(df=1, N=137) = 18.54$ , p<.01] and tobacco [79% vs. 21%,  $\chi^2(df=1, N=137) = 45.16$ , p<.01]. In the PDE sample, 33% were exposed to cocaine only, 13% to heroin only, and 54% to both cocaine and heroin. This study used existing data from a randomized, controlled trial of a home-based intervention for substance abusing women and their infants recruited at delivery from an urban University Hospital that catered to a largely African American population [29]. Eligibility criteria included gestational age > 32 weeks, birth weight > 1,750g, no admission to the neonatal intensive care unit, and positive maternal and/or infant urine toxicology (cocaine and/or heroin) at delivery and/or maternal self-report of cocaine and/or heroin use during pregnancy. The study was conducted during a time when toxicology screens were conducted routinely during delivery. The study was approved by the University's Institutional Review Board. Seventy-two percent of potentially eligible mothers (N=265) agreed to participate [30]. Two groups of non-exposed (NE) children and their caregivers were recruited to serve as community comparisons. The first group was recruited at age 5, (N=70) [29,31] and the second group was recruited in early adolescence (N=24). All NE participants were recruited from a primary care clinic serving the University Hospital. Medical records were reviewed to identify children delivered at the University Hospital at the same time period as children from the PDE group who had negative toxicology screens and no evidence of substance use. Participants in the

NE group resided in the same community as participants in the PDE group and were matched for socioeconomic status (e.g., maternal education), maternal age at first pregnancy, and child age, gender, and race [31].

#### **Procedures**

Participating families were re-recruited for assessments in adolescence. In the intervening years, there was a gap in funding, and many families were assigned to other health care providers through changes in Medicaid Managed Care, and there was significant housing relocation/demolition in the area. Families lost to follow up did not differ from retained families on birth weight, maternal education, maternal age at first pregnancy, maternal age at the target child's birth, neonatal abstinence scores, child gender, or receipt of public assistance.

The adolescent protocol took place in a university-based laboratory where each caregiver and adolescent completed a comprehensive protocol that included questionnaires, neuropsychological and cognitive tasks, and three assessments of cortisol (collected over a 4.5-6 hour period). The adolescents fasted for three hours prior to their appointment, as cortisol can be influenced by glucose levels [32]. Participants and their caregivers were scheduled for morning appointments (85% arrived at 10:30 am or earlier). Experimenters established rapport, discussed consent forms, and collected the first cortisol sample (pre task; *M*=9:41am, *SD*=.85 hrs). Participants then were presented with mild stressors on the computer and a questionnaire. Approximately 30 minutes after the mild stressors, the second cortisol sample was collected (post task; *M*=10:42 am, *SD*=.86 hrs). Both cortisol samples were collected before noon (99% of pre and 97% of post task collections before noon). After the completion of the pre and post task cortisol collections, adolescents received breakfast, completed structured tasks and assessments, had lunch, and completed more structured tasks. The third cortisol collection occurred at the end

of the visit. Since the focus of this investigation is the response to stress, only cortisol collections at pre and post task are used in the analyses.

#### Measures

Mild stressors. Two computer tasks were meant to impose mild stress and provoke an individual difference in stress reactivity measured by the change in salivary cortisol. Both tasks are impossible to complete at times. The first, the Balloon Analogue Risk Task-Youth (BART-Y) [33] was designed to measure risk-taking propensity from a cognitive decision making perspective, in a mildly stressful task. To earn a prize, the BART-Y requires respondents to inflate a computerized balloon over multiple trials to become as large as possible without breaking. Accumulated points are lost if the balloon explodes, and the balloon can explode at any time, making a loud bursting noise. Participants always received at least one small prize. The second task, the Behavioral Indicator of Resiliency to Distress (BIRD) [34] was developed based on the adult computerized distress tolerance task. Ten numbered boxes (1-10) are presented on a computer screen. To earn a prize, respondents use the computer's mouse to click a numbered box when a green dot appears above it, but before the green dot jumps to another box. The green dot moves quickly between the boxes, seemingly at random, and frequently changes speed.

**Prenatal alcohol and tobacco exposure.** For the PDE group, alcohol and tobacco exposure were determined through maternal self-report at delivery. In the NE group, alcohol and tobacco exposure were determined through retrospective self-report at recruitment. Youth received a "0" if they were not exposed and a "1" if they were exposed.

**Salivary cortisol.** Following Granger and colleagues [35,36], whole saliva samples were collected by passive drool and frozen at -20° C until transported on dry ice via overnight

delivery to Salimetrics Laboratories (State College, PA). Saliva samples were assayed in duplicate using a commercially available immunoassay specifically designed for use with saliva without modification to the manufacturers recommended protocol. Test volume was 25 ul and range of sensitivity was from .007 to 3.0 µg/dL. On average, intra- and inter-assay coefficients of variation were less than 5% and 15% respectively. All samples were assayed in duplicate and the average of the duplicate tests was used in the analyses. As expected, salivary cortisol values were skewed and kurtotic; therefore, pre and post task values were subjected to ln transformation.

California Verbal Learning Test-Children's Version (CVLT-C). The CVLT-C measures strategies and processes involved in learning and recalling verbal material. Participants were asked to remember a shopping list of 15 items (List A). The same list was recited to participants for 5 consecutive trials, and they were asked to recall words after each presentation. An interference list (List B), was then presented, and participants were asked to recall words from List B. Participants were then asked to recall List A words without an additional presentation of List A. The 15 words on List A were categorized as fruits, clothing, or toys. For the final recall, these categories were used as cues to elicit words from List A. This assessment resulted in measures of immediate recall (List A–Trial 1), learning (List A–Trial 5), proactive interference (List B and percent change from List A–Trial 1 to List B–Trial 1), free recall (short-delay free recall), and cued recall (short delay cued recall and semantic and serial clustering) [37]. Higher scores are optimal on all subscales except serial clustering.

Children's Memory Scales (CMS). Memory was evaluated using CMS Stories subscale. The CMS measures learning and memory across a variety of memory dimensions to assess free recall and recognition of story narratives [38]. Experimenters read two short stories to participants who were asked to recall them immediately and after a 15-minute delay. This

assessment resulted in measures of immediate and delayed recall of verbatim and thematic information as well as delayed recognition. The authors report adequate reliability coefficients (Cronbach's alpha=0.76-0.81) for children ages 11 to 16 [38].

Wide Range Achievement Test 4 (WRAT). The WRAT measures basic skills in reading and arithmetic [39]. The Word Reading and Math Computation subscales were administered to adolescents. Raw scores are converted into standard scores (M=100, SD=15). The WRAT 4 is correlated with the Wechsler Individual Achievement Test II and the Woodcock Johnson III. Authors report the reliability coefficients for this test as high for 11 to 16 year-olds (Word Reading: 0.96-0.97; Math Computation: 0.94-0.95). Higher scores are optimal.

## **Analytic Strategy**

Two cortisol reactivity variables were calculated, one continuous (a change score) and one dichotomous. Duplicate samples were assayed with "reactivity" defined following Granger and colleagues' methods [35]. First, a 10% difference between pre and post task cortisol levels was required because this is twice the intra-assay coefficient of variation (i.e., the error inherent in the assay when comparing results from the same samples assayed twice). Second, an absolute difference of at least 0.02 µg/dL between pre task and post task cortisol collections was required (i.e., the lower limit of salivary cortisol assay sensitivity). If participants met both conditions, they were coded as "reactive;" otherwise they were coded "less reactive." In psychological science, the use of mild to moderate stressors typically produces 20-30% of participants who have a salivary cortisol increase from time 1 to time 2 of at least 10% [35]. The dichotomous variable representing reactive (1) or less reactive (0) was used to determine participant reactivity to the mild stressors and to describe the sample. To test whether adolescents with PDE are less

reactive to mild stressors than NE adolescents, a chi square analysis was conducted using the dichotomous cortisol stress reactivity variable.

Following data transformation, a continuous cortisol stress response change score was calculated for each participant by subtracting pre task cortisol from post task cortisol so that a higher positive change score indicates a larger salivary cortisol reaction to the mild stressors. Using this variable, we tested whether PDE modified the association between cortisol stress and cognitive performance during adolescence by following procedures for testing interactions described in Aiken and West [40] and Holmbeck [41], adjusting for covariates. After the cortisol change variable was centered [40], each criterion variable was regressed upon the predictor, the moderator variable, the covariates, and the interaction term of the predictor and the moderator [40,41]. When the interaction term was statistically significant (p<.05) or marginally significant (p<.10), the outcome variables were plotted in bar graphs by PDE status and reactivity status [40,41].

Covariates were selected based on their theoretical and statistical associations to cortisol. There is a wide range of ages in this sample, and adolescents with PDE were significantly more likely than NE adolescents to have been prenatally exposed to alcohol and tobacco. Also, the time of the first cortisol sample collection varied (see Procedures), and the time of day saliva is collected can affect cortisol concentrations because cortisol follows a diurnal rhythm over the course of a day [35]. Finally, there is a theoretical difference between males and females in their responses to stress and their cortisol response [42,43]. In this sample, males were significantly more reactive to the stressor than females [25% vs. 12%,  $\chi^2(df=1, N=137)=3.80, p=.05)$ ]. Therefore, covariates for all regression analyses were gender, adolescent age, prenatal tobacco exposure, prenatal alcohol exposure, and time of first cortisol collection (pre task).

202 Results

## **Cortisol Production and Stress Reactivity**

There were no group differences in cortisol levels at pre or post task. For pre task, the NE group mean cortisol value was 0.23  $\mu$ g/dL (SD=0.16) and for the PDE group was 0.23  $\mu$ g/dL (SD=0.19). For post task, the mean was 0.19  $\mu$ g/dL (SD=0.20) for the NE group and 0.15  $\mu$ g/dL (SD=0.12) for the PDE group. Overall, 19% of the sample demonstrated a measurable reaction to the mild stressors. A higher percentage in the NE group exhibited stress reactivity, compared to the PDE group [26% vs. 12%,  $\chi^2$ (df=1, N137)=4.70, p=.03).

#### **Cognitive Performance**

Similar to findings in Riggins et al. [20] using the same participants as the current study, compared to the NE group, adolescents with PDE scored significantly to marginally lower on 7 of 15 cognitive tests in raw comparisons (Table 1). After the inclusion of covariates, only the CVLT-C list B to A percent change score remained significant, F(1,129)=5.83, p=.02. Across both groups, most cognitive test mean scores were low. CMS scores were at the  $25^{th}$  percentile and WRAT math computation scores were at near the  $35^{th}$  percentile (Table 1). For the PDE group, WRAT mean word reading scores were at the  $35^{th}$  percentile, with slightly higher scores for the NE group (Table 1).

## PDE Moderates the Association between Stress Reactivity and Cognitive Functioning

PDE either significantly or marginally moderated the association between stress reactivity and 11 of the 15 analyses of cognitive performance. In each case, stress reactivity predicted academic achievement and memory performance, but the findings and direction varied by PDE status (Table 2). In academic achievement, the stress reactivity and PDE interaction significantly predicted word reading and math computation scores on the WRAT 4 (Table 2;

Figure 1). In memory performance, the interaction significantly predicted immediate recall, delayed recognition, immediate thematic memory, and delayed thematic memory on the CMS (Table 2; Figure 2). Finally, the stress reactivity and PDE interaction significantly predicted the short delay and cued recall scores on the CVLT, and marginally predicted performance on list A trials 1-5 and serial clustering (Table 2; Figure 3). In Figure 3, a constant of 10 was added to the cued recall, delayed recall, and serial clustering scores for graphing clarity. Interactions were probed to examine the effects of stress reactivity on cognitive performance in each drug exposure group.

**Probing the interactions**. In the NE group, stress reactivity (versus NE less reactivity) significantly predicted higher CMS immediate recall (b=4.36, p=.01), delayed recall (b=4.03, p=.02), delayed recognition (b=5.11, p=.002), immediate thematic (b=4.89, p=.002), delayed thematic (b=3.47, p=.03), and CVLT short delay cued recall (b=1.22, p=.01) memory scales. No other associations were detected in the NE group. In the PDE group, cortisol stress reactivity (versus PDE less reactivity) predicted lower recall scores on trials 1-5 (b=-10.81, p=.04), lower short delay free recall (b=-.96, p=.05), and higher (less optimal) serial clustering (b=.89, p=.03) on the CVLT, and marginally predicted lower WRAT 4 math computation (b=-13.48, p=.06). No other associations were detected in the PDE group.

242 Discussion

Our observations suggest that PDE and stress-related change in the activity of the HPA axis interact to predict cognitive performance. The findings are particularly noteworthy given the effects of PDE were observed when individuals reached adolescence, and that the nature of the effects manifested across a range of cognitive performance scales. Small but significant

differences in the effects of PDE on adolescent cognitive performance may, at least partially, be attributable to the effects of PDE on individual differences in biological sensitivity to context. Previous reviews have identified the need to explore the distal effects of PDE on functioning, with an emphasis on examining mechanisms [18,44,45]. PDE is an established prenatal teratogen, but the process of how it affects later development is not fully understood. It is thought that stressors during pregnancy, such as PDE, alter the fetal development of physiological systems such as the HPA axis, which may influence later stress reactivity [2-7]. In the current study, although there were no differences between the PDE and NE groups on cortisol levels at pre or post task, there were differences in reaction to the presentation of mild stressors, with more adolescents in the NE group demonstrating the expected reactivity, compared to the PDE group. Together with the lack of association between stress reactivity and cognitive performance in the PDE group, the results support the findings of earlier studies [24,25,27] and suggest the possibility of dysregulation of the HPA axis in adolescents with PDE. These findings also support current developmental theories [2-5] and expand them to include the special population of youth with PDE.

247

248

249

250

251

252

253

254

255

256

257

258

259

260

261

262

263

264

265

266

267

268

269

The dysregulation of the HPA axis has serious consequences for development throughout the lifespan. Prior research suggests that early life stressors compound the effects of prenatal stressors by chronically over-activating physiological systems such as the HPA axis, eventually leading to down-regulation of the response until an individual demonstrates a blunted response [10-16]. Two recent studies found that the effects of poverty, financial instability, and caregiver instability accumulated over time resulting in a decline in cortisol [14] and time in poverty along with household chaos were associated with a flattened cortisol change trajectory [16]. PDE is often associated with multiple stressors such as non-supportive or absent caregivers, few

financial resources, neighborhood and/or home violence exposure, continued caregiver drug use, multiple out-of-home placements, and increased likelihood of various forms of abuse [46]. Therefore, the significant stressors often associated with PDE may have compounded the prenatal effects of PDE. Future research could address this possibility as an additional explanatory mechanism.

270

271

272

273

274

275

276

277

278

279

280

281

282

283

284

285

286

287

288

289

290

291

292

In the brain, an extensive circuitry coordinates the HPA axis in response to stressors with the hippocampus, amygdala, and prefrontal cortex playing major parts. These areas of the brain are also integral to functions such as cognition, emotion, and impulse control because they help to interpret events on the basis of prior experience, determining whether an event is, in fact, stressful [8]. When there is repeated activation of this circuitry, glucocorticoid levels increase which can disrupt the functioning of the hippocampus (i.e., glucocorticoid neurotoxicity) [8,43]. Both the CMS and CVLT were designed to assess skills that are regulated by the hippocampus, amygdala, and prefrontal cortex (e.g., memory and attention) [37,38]; thus, disruption to the HPA axis should be detectable. Furthermore, the WRAT 4 assesses academic achievement which is dependent upon skills such as memory and attention. In previous research on this sample, hippocampal volume in the PDE group was larger compared to the NE group, and this was associated with poorer memory performance [20] and is consistent with other research on hippocampal volume and memory performance [47]. The findings in the current study suggest that PDE, acting as a stressor, may have an effect on brain development (as measured through assessments such as the CMS, CVLT, and WRAT 4) that has lasting psychobiological and cognitive consequences.

Examination of the cortisol response across the cognitive functioning domains revealed that the NE stress reactive group performed better on each cognitive task than the NE less

reactive group and the PDE groups, regardless of reactivity. Three cognitive tests with a total of 15 subscales were examined measuring rote memorization with immediate and delayed recall and recognition (CVLT), recall of stories and story themes (CMS), and academic skills (WRAT 4), and the pattern held across each type of cognitive performance. In the PDE group, there were no consistent patterns with the scores varying between the two reactivity groups, possibly reflecting individual variability in the development of stress-related reactivity and regulation of the HPA axis. Findings are similar to those of children from other types of disadvantaged backgrounds [10,11]. Because these findings indicate a robust association between a dysregulated HPA axis and multiple domains of cognitive functioning, there is an implication for the impacts on other areas of functioning. A dysregulated HPA axis has been associated with negative physical health consequences [43,48] and poor performance on memory and cognitive tasks [9]. Over time, individuals with a dysregulated HPA axis often experience increased risk of metabolic and cardiovascular diseases as well as lowered life expectancy and cognitive impairments [49]. These associations have negative biomedical and quality of life implications.

This study has several limitations to acknowledge. Future research could examine the temporality of dysregulated stress reactivity and subsequent memory and cognitive functioning to develop a greater understanding of the specificity of effects on these functions in a PDE sample. The sample size is small and racially homogenous, limiting generalizability.

Additionally, we did not collect data regarding participant waking time, and this information should be collected in future research to control for the natural diurnal rhythm. Finally, findings may be influenced by the poverty present in both groups [50]. Poverty and associated stresses have been shown to impact both the development of the HPA axis and cognitive development

[6,7]. Performance scores for both groups were extremely low with means below the 50<sup>th</sup> percentile and some as low as the 25<sup>th</sup> percentile [37,38].

This study has several strengths to note. First, this multi-method study and its hypotheses were informed by current developmental theories [2-5] and examined the psychobiological mechanisms underlying cognitive performance among a high risk sample of adolescents followed since birth. The findings support the hypothesized association between stress reactivity and cognitive performance, and the extension to an additional at-risk population, children with PDE. Second, findings were replicated across three objective measures of cognitive functioning, yielding similar patterns within the stress reactivity groups. This replication indicates robustness in the association between stress reactivity and cognitive function. Finally, the current study provides a psychobiological explanation for the individual differences in cognitive functioning, particularly memory, that may extend to other areas of functioning.

In conclusion, this investigation demonstrated dysregulation of the HPA axis in a sample of adolescents with PDE and an association with poor cognitive performance. Due to the negative consequences of a dysregulated HPA axis and PDE, further investigations of protective mechanisms that may reduce either the dysregulation of the HPA axis or the consequences of dysregulation are warranted.

Table 1. Comparison of Raw Means (Standard Deviations) of Adolescent Memory and Academic Performance

		Non-Drug			
	Overall	Drug Exposed	Exposed	p	
School Achievement					
WRAT Math Computation	91.02 (14.47)	90.36 (14.60)	91.81 (14.04)	ns	
WRAT Word Reading	93.83 (15.06)	91.47 (13.80)	96.56 (16.09)	.04	
Memory Performance					
CMS Immediate Recall	7.99 (3.05)	7.50 (2.68)	8.61 (3.38)	.03	
CMS Delayed Recall	7.60 (2.98	7.09 (2.63)	8.21 (3.30)	.03	
CMS Delayed Recognition	7.33 (3.29)	7.11 (3.30)	7.59 (3.31)	ns	
CMS Immediate Thematic	7.28 (2.99)	6.70 (2.76)	8.00 (3.14)	.01	
CMS Delayed Thematic	7.11 (2.99)	6.69 (2.80)	7.62 (3.17)	.06	
CVLT Trials 1-5	46.39 (10.66)	46.22 (11.16)	46.61 (10.08)	ns	
CVLT Trial 5	-0.37 (1.11)	-0.34 (1.17)	-0.41 (1.05)	ns	
CVLT B vs. A % change	-7.06 (39.20)	-13.99 (35.77)	1.57 (41.83)	.02	
CVLT List B	-0.50 (1.08)	-0.70 (1.05)	-0.25 (1.08)	.02	
CVLT Short Delay Free Recall	-0.38 (.95)	-0.36 (1.01)	-0.42 (0.87)	ns	
CVLT Short Delay Cued Recall	-0.40 (1.04)	-0.45 (1.07)	-0.33 (1.02)	ns	
CVLT Serial Cluster	-0.45 (0.79)	-0.39 (0.87)	-0.53 (0.69)	ns	
<b>CVLT Semantic Cluster</b>	0.25 (1.06)	0.30 (1.07)	0.19 (1.07)	ns	

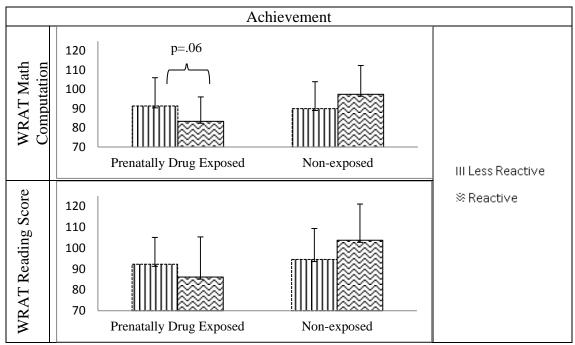
Note. WASI=Wechsler Scales of Intelligence; IQ=intelligence quotient; WRAT=Wide Range Achievement Test; CMS=Children's Memory Scales; CVLT=California Verbal Learning Test

Table 2. Prenatal Drug Exposure Interacts with Cortisol Change from Pre task to Post task to Predict Cognitive Outcomes

Outcome Variable of PDE by Cortisol Change	b	t	p	Effect Size	
Score Interaction				$(f^2)$	
School Achievement					
WRAT Math Computation	28.15	2.98	.003	.07	
WRAT Reading Score	22.99	2.28	.02	.04	
Memory Performance					
CMS Immediate Recall	6.25	3.12	.002	.08	
CMS Delayed Recall	5.41	2.76	.01	.06	
CMS Delayed Recognition	4.97	2.27	.03	.04	
CMS Immediate Thematic	5.68	2.92	.004	.07	
CMS Delayed Thematic	5.52	2.76	.01	.06	
CVLT Trials 1-5	-13.38	-1.92	.06	.03	
CVLT Trial 5	-0.34	-0.47	ns		
CVLT B vs. A % change	-10.08	-0.39	ns		
CVLT List B	-1.01	-1.45	ns		
CVLT Short Delay Free Recall	-1.37	-2.21	.03	.04	
CVLT Short Delay Cued Recall	-1.88	-2.72	.01	.06	
CVLT Serial Cluster	0.92	1.74	.08	.02	
CVLT Semantic Cluster	-0.26	-0.36	ns		

Note. PDE = Prenatal Drug Exposure; WASI=Wechsler Scales of Intelligence; IQ=intelligence quotient; WRAT=Wide Range Achievement Test; CMS=Children's Memory Scales. All regression equations included gender, adolescent age, prenatal tobacco exposure, prenatal alcohol exposure, and time of first cortisol sample collection as covariates.

# Figure 1. Prenatal Drug Exposure Moderates the Association between Stress Reactivity and Academic Achievement

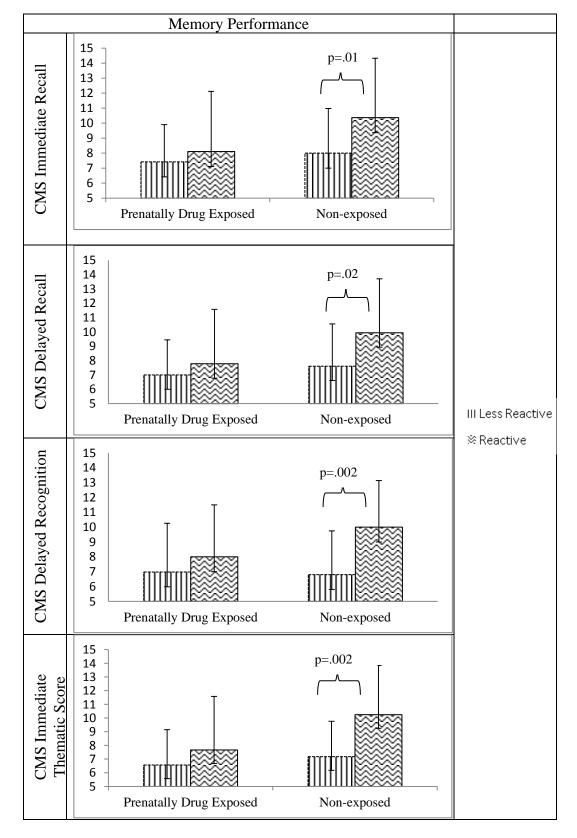


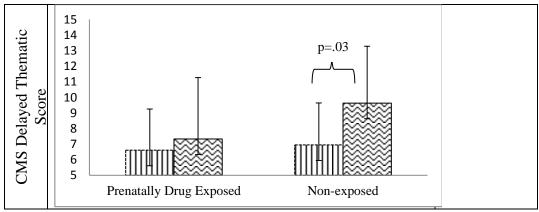
Note. WRAT = Wide Range Achievement Test

332

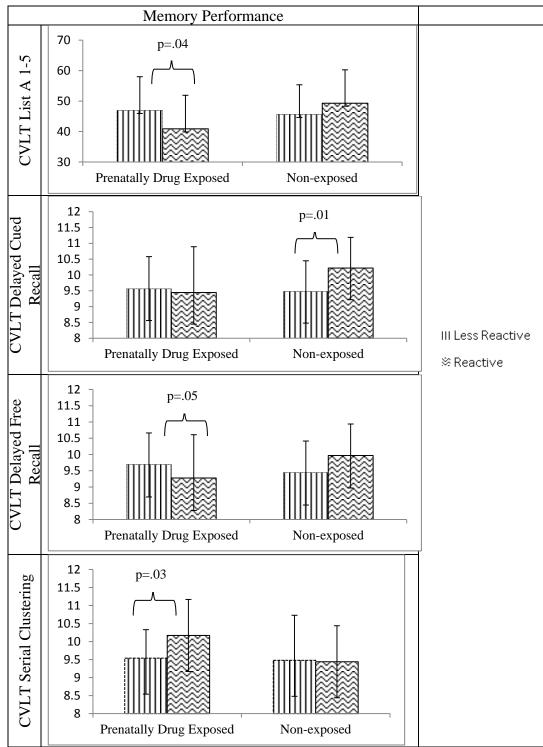
333

335336





Note. CMS = Children's Memory Scales



Note: CVLT = California Verbal Learning Test; A higher score is optimal for Lists 1-5, cued and delayed recall while a lower score is optimal for serial clustering; A constant of 10 was added to the cued recall, delayed recall and serial clustering scores for graphing purposes to enhance clarity.

References References

- 1. Substance Abuse and Mental Health Services Administration. Results from the 2010 national survey on
- drug use and health: Summary of national findings. . 2011;NSDUH Series H-41, HHS Publication No.
- 347 (SMA) 11-4658.
- 2. Shonkoff JP. Leveraging the biology of adversity to address the roots of disparities in health and
- development. Proc Natl Acad Sci U S A. 2012;109 Suppl 2:17302-17307. doi: 10.1073/pnas.1121259109;
- 350 10.1073/pnas.1121259109.
- 351 3. Shonkoff JP, Garner AS, Committee on Psychosocial Aspects of Child and Family Health, Committee
- on Early Childhood, Adoption, and Dependent Care, Section on Developmental and Behavioral
- 353 Pediatrics. The lifelong effects of early childhood adversity and toxic stress. *Pediatrics*.
- 354 2012;129(1):e232-46. doi: 10.1542/peds.2011-2663.
- 4. Shonkoff JP. Building a new biodevelopmental framework to guide the future of early childhood
- policy. Child Dev. 2010;81(1):357-367. doi: 10.1111/j.1467-8624.2009.01399.x; 10.1111/j.1467-
- 357 8624.2009.01399.x.
- 5. Johnson SB, Riley AW, Granger DA, Riis J. The science of early life toxic stress for pediatric practice
- and advocacy. *Pediatrics*. 2013;131(2):319-327. doi: 10.1542/peds.2012-0469; 10.1542/peds.2012-0469.
- 360 6. Lupien S, McEwen B, Gunnar MR, Heim C. Effects of stress throughout the lifespan on the brain,
- behaviour and cognition. *Nature reviews.Neuroscience*, 2009;10(6):434. doi: 10.1038/nrn2639.
- 362 7. Davis EP, Sandman CA. Prenatal exposure to stress and stress hormones influences child development.
- 363 Infants and young children. 2006;19(3):246. doi: 10.1097/00001163-200607000-00008.
- 8. McEwen BS, Gianaros PJ. Stress- and allostasis-induced brain plasticity. *Annu Rev Med.* 2011;62:431-
- 365 445. doi: 10.1146/annurev-med-052209-100430; 10.1146/annurev-med-052209-100430.
- 9. Schwabe L, Wolf O. Stress and multiple memory systems: From 'thinking' to 'doing'. *Trends Cogn Sci*
- 367 (*Regul Ed* ). 2013;17(2):60. doi: 10.1016/j.tics.2012.12.001.
- 10. Loman M, Gunnar MR. Early experience and the development of stress reactivity and regulation in
- 369 children. *Neuroscience & biobehavioral reviews*. 2010;34(6):867. doi: 10.1016/j.neubiorev.2009.05.007.
- 370 11. Gunnar MR, Vazquez DM. Low cortisol and a flattening of expected daytime rhythm: Potential
- indices of risk in human development. Dev Psychopathol. 2001;13(3):515-538.
- 12. Bruce J, Gunnar MR, Pears K, Fisher PA. Early adverse care, stress neurobiology, and prevention
- 373 science: Lessons learned. *Prevention science*. 2013;14(3):247. doi: 10.1007/s11121-012-0354-6.
- 13. Cicchetti D, Rogasch F, Toth S, Sturge-Apple M. Normalizing the development of cortisol regulation
- in maltreated infants through preventive interventions. *Dev Psychopathol.* 2011;23(3):789. doi:
- 376 10.1017/S0954579411000307.
- 14. Blair C, Raver C, Granger DA, Mills-Koonce R, Hible L. Allostasis and allostatic load in the context
- of poverty in early childhood. *Dev Psychopathol*. 2011;23(3):845. doi: 10.1017/S0954579411000344.
- 379 15. Goldman-Mellor S, Hamer M, Steptoe A. Early-life stress and recurrent psychological distress over
- the lifecourse predict divergent cortisol reactivity patterns in adulthood. *Psychoneuroendocrinology*.
- 381 2012;37(11):1755. doi: 10.1016/j.psyneuen.2012.03.010.
- 382 16. Blair C, Berry D, Mills-Koonce R, Granger DA. Cumulative effects of early poverty on cortisol in
- young children: Moderation by autonomic nervous system activity. *Psychoneuroendocrinology*. 2013.
- 17. Lester BM, Lagasse LL. Children of addicted women. *Journal of Addictive Diseases*. 2010;29(2):259-
- 385 276. doi: http://dx.doi.org/10.1080/10550881003684921.
- 18. Buckingham-Howes S, Berger SS, Scaletti LA, Black MM. Systematic review of prenatal cocaine
- exposure and adolescent development. *Pediatrics*. 2013;131:e1917-e1936.
- 388 19. Betancourt LM, Yang W, Brodsky NL, et al. Adolescents with and without gestational cocaine
- exposure: Longitudinal analysis of inhibitory control, memory and receptive language. *Neurotoxicol*
- 390 *Teratol.* 2011;33(1):36-46. doi: http://dx.doi.org/10.1016/j.ntt.2010.08.004.
- 391 20. Riggins T, Cacic K, Buckingham-Howes S, Scaletti LA, Salmeron BJ, Black MM. Memory ability
- and hippocampal volume in adolescents with prenatal drug exposure. Neurotoxicol Teratol.
- 393 2012;34(4):434-441. doi: 10.1016/j.ntt.2012.05.054.

- 394 21. Minnes S, Singer LT, Min MO, et al. Comparison of 12-year-old children with prenatal exposure to
- cocaine and non-exposed controls on caregiver ratings of executive function. *Journal of youth and*
- 396 adolescence. 2013.
- 397 22. Levine TP, Lester B, Lagasse L, et al. Psychopathology and special education enrollment in children
- with prenatal cocaine exposure. J Dev Behav Pediatr. 2012;33(5):377-386. doi:
- 399 10.1097/DBP.0b013e3182560cd9.
- 400 23. Hurt H, Betancourt LM, Malmud EK, et al. Children with and without gestational cocaine exposure:
- 401 A neurocognitive systems analysis. *Neurotoxicol Teratol.* 2009;31(6):334-341.
- 402 24. Fisher PA, Kim HK, Bruce J, Pears KC. Cumulative effects of prenatal substance exposure and early
- adversity on foster children's HPA axis reactivity during a psychosocial stressor. *Int J Behav Dev.*
- 404 2012;36(1):29-35. doi: 10.1177/0165025411406863.
- 405 25. Lester BM, LaGasse LL, Shankaran S, et al. Prenatal cocaine exposure related to cortisol stress
- 406 reactivity in 11-year-old children. *J Pediatr*. 2010;157(2):288-295.
- 407 26. Chaplin TM, Freiburger MB, Mayes LC, Sinha R. Prenatal cocaine exposure, gender, and adolescent
- stress response: A prospective longitudinal study. *Neurotoxicol Teratol*. 2010;32(6):595-604.
- 409 27. Bauer CR, Lambert BL, Bann CM, et al. Long-term impact of maternal substance use during
- 410 pregnancy and extrauterine environmental adversity: Stress hormone levels of preadolescent children.
- 411 *Pediatr Res.* 2011;70(2):213-219. doi: 10.1203/PDR.0b013e3182291b13.
- 412 28. Eiden RD, Veira Y, Granger DA. Prenatal cocaine exposure and infant cortisol reactivity. *Child Dev*.
- 413 2009;80(2):528-543. doi: 10.1111/j.1467-8624.2009.01277.x.
- 414 29. Schuler ME, Nair P, Black MM. Ongoing maternal drug use, parenting attitudes, and a home
- intervention: Effects on mother-child interaction at 18 months. J Dev Behav Pediatr. 2002;23(2):87-94.
- 416 30. Schuler ME, Nair P, Black MM, Kettinger L. Mother-infant interaction: Effects of a home
- 417 intervention and ongoing maternal drug use. *J Clin Child Psychol*. 2000;29(3):424-431. doi:
- 418 10.1207/S15374424JCCP2903\_13.
- 419 31. Nair P, Black MM, Ackerman JP, Schuler ME, Keane VA. Children's cognitive-behavioral
- 420 functioning at age 6 and 7: Prenatal drug exposure and caregiving environment. Ambul Pediatr.
- 421 2008;8(3):154-162. doi: 10.1016/j.ambp.2008.02.002.
- 422 32. Gonzalez-Bono E, Rohleder N, Hellhammer DH, Salvador A, Kirschbaum C. Glucose but not protein
- or fat load amplifies the cortisol response to psychosocial stress. *Horm Behav.* 2002;41(3):328-333.
- 424 33. Lejuez CW, Aklin W, Daughters S, Zvolensky M, Kahler C, Gwadz M. Reliability and validity of the
- 425 youth version of the balloon analogue risk task (BART-Y) in the assessment of risk-taking behavior
- 426 among inner-city adolescents. J Clin Child Adolesc Psychol. 2007;36(1):106-111. doi:
- 427 10.1080/15374410709336573.
- 428 34. Lejuez CW, Daughters S, Danielson CW, Ruggiero K. The behavioral indicator of resiliency to
- distress (BIRD). Unpublished manual. 2006.
- 430 35. Granger DA, Fortunato CK, Beltzer EK, Virag M, Bright MA, Out D. Focus on methodology:
- 431 Salivary bioscience and research on adolescence: An integrated perspective. J Adolesc. 2012;35(4):1081-
- 432 1095. doi: 10.1016/j.adolescence.2012.01.005; 10.1016/j.adolescence.2012.01.005.
- 433 36. Granger DA, Kivlighan KT, Fortunato C, et al. Integration of salivary biomarkers into developmental
- and behaviorally-oriented research: Problems and solutions for collecting specimens. *Physiol Behav*.
- 435 2007;92(4):583-590. doi: 10.1016/j.physbeh.2007.05.004.
- 436 37. Delis DC, Kramer JH, Kaplan E, Ober BA. California verbal learning test second edition. San
- 437 Antonio, TX: Pearson; 2000.
- 438 38. Cohen M. Children's memory scale: Manual. San Antonio, TX: Harcourt; 1997.
- 439 39. Wilkinson GS, Robertson GJ. Wide range achievement test 4: Professional manual. Lutz, FL:
- 440 Psychological Assessment Resources, Inc.; 2006.
- 40. Aiken LA, West SG. Multiple regression: Testing and interpreting interactions. Sage; 1991.
- 41. Holmbeck GN. Toward terminological, conceptual, and statistical clarity in the study of mediators and
- 443 moderators: Examples from the child-clinical and pediatric psychology literatures. *J Consult Clin*
- 444 *Psychol.* 1997;65(4):599-610.

- 42. Taylor SE, Klein LC, Lewis BP, Gruenewald TL, Gurung RA, Updegraff JA. Biobehavioral
- responses to stress in females: Tend-and-befriend, not fight-or-flight. *Psychol Rev.* 2000;107(3):411-429.
- 43. Sapolsky RM. Why zebras don't get ulcers: The acclaimed guide to stress, stress-related diseases, and
- coping. 3rd ed. New York, NY: St. Martin's Griffin; 2004.
- 449 44. Frank DA, Augustyn M, Knight WG, Pell T, Zuckerman B. Growth, development, and behavior in
- early childhood following prenatal cocaine exposure: A systematic review. JAMA. 2001;285(12):1613-
- 451 1625.
- 45. Ackerman JP, Riggins T, Black MM. A review of the effects of prenatal cocaine exposure among
- 453 school-aged children. *Pediatrics*. 2010;125(3):554-565. doi: 10.1542/peds.2009-0637.
- 454 46. The National Center on Addiction and Substance Abuse at Columbia University. Family matters:
- Substance abuse and the american family. . 2005.
- 47. Van Petten C. Relationship between hippocampal volume and memory ability in healthy individuals
- across the lifespan: Review and meta-analysis. *Neuropsychologia*. 2004;42:1394–413.
- 48. McEwen BS. Protective and damaging effects of stress mediators. *N Engl J Med*. 1998;338(3):171.
- 459 doi: 10.1056/NEJM199801153380307.
- 49. Juster RP, McEwen BS, Lupien SJ. Allostatic load biomarkers of chronic stress and impact on health
- and cognition. *Neurosci Biobehav Rev.* 2010;35(1):2-16. doi: 10.1016/j.neubiorev.2009.10.002.
- 462 50. Farah MJ, Shera DM, Savage JH, et al. Childhood poverty: Specific associations with neurocognitive
- development. *Brain Res.* 2006;1110(1):166-174. doi: 10.1016/j.brainres.2006.06.072.