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# The Cost of Interventions to Increase Influenza Vaccination: A Systematic Review

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#### Abstract

**Context**—Influenza vaccination rates remain below *Healthy People 2020* goals. This project sought to systematically review economic evaluations of healthcare-based quality improvement interventions for improving influenza vaccination uptake among general populations and healthcare workers.

**Evidence acquisition**—The databases MEDLINE, Econlit, Centre for Reviews & Dissemination, Greylit, and Worldcat were searched in July 2016 for papers published from January 2004 to July 2016. Eligible studies evaluated efforts by bodies within the healthcare system to encourage influenza vaccination by means of an organizational or structural change. For each study, program costs per enrollee and per additional enrollee vaccinated were derived (excluding vaccine costs, standardized to 2017 U.S. dollars). Complete economic evaluations were examined when available.

**Evidence synthesis**—Of 2,350 records, 18 articles were eligible and described 29 unique interventions. Most interventions improved vaccine uptake. Among 23 interventions in general populations, the median program cost was \$3.27 (interquartile range, \$0.82–\$11.53) per enrollee and \$50.78 (interquartile range, \$27.85–\$124.84) per additional enrollee vaccinated. Among ten complete economic evaluations in general populations, three studies reported net cost savings, four reported costs <\$50,000 per quality-adjusted life year, and three reported costs <\$60,000 per life

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saved. Among six interventions in healthcare workers, the median program cost was \$8.09 (interquartile range, \$5.03–\$10.31) per worker enrolled and \$125.24 (interquartile range, \$96.06–\$171.38) per additional worker vaccinated (there were no complete economic analyses).

**Conclusions**—Quality improvement interventions for influenza vaccination involve per-enrollee costs that are similar to the cost of the vaccine itself (\$11.78–\$36.08/dose). Based on limited available evidence in general populations, quality improvement interventions may be cost saving to cost effective for the health system.

#### CONTEXT

Seasonal influenza causes substantial morbidity and mortality and imposes a large economic burden. In recent years, influenza infection-related hospitalizations in the U.S. ranged from a low of 140,000 (2011–2012) to a high of 710,000 (2014–2015) and influenza-associated deaths were as high as 56,000 per influenza season (2012–2013).<sup>1</sup> The annual cost of influenza, including direct medical care and lost earnings, has been estimated at \$26.7 billion (2003 U.S. dollars).<sup>2</sup>

Accordingly, the Centers for Disease Control and Prevention's (CDC) Advisory Committee on Immunization Practices recommends annual influenza vaccination for all individuals aged 6 months and older, noting that vaccination is particularly important for children aged 6 months to 5 years, people aged 50 years and older, pregnant women, and individuals with clinical risk factors.<sup>3</sup> Vaccination is also strongly advised for healthcare workers to prevent transmission to patients.<sup>4</sup> Despite these recommendations, influenza vaccination rates are often suboptimal, with fewer than half of Americans receiving the vaccine annually.<sup>5,6</sup> In the U.S., major healthcare-based initiatives have established influenza vaccination rates as two measures of the quality of healthcare. For example, the Centers for Medicare and Medicaid Services publicly reports adherence to these measures for hospitals, physicians, home health agencies, and long-term care facilities and in some cases, links adherence to payment.<sup>7–9</sup> Influenza vaccination rates among general populations are also included as a measure in The National Committee for Quality Assurance's Healthcare Effectiveness Data and Information Set, which compares the performance of private health plans.<sup>10</sup>

To increase vaccine coverage, hospitals, physician groups, and public and private payers can implement diverse healthcare-based quality improvement (QI) interventions. A QI intervention has been defined as "an effort to change/improve the clinical structure, process, or outcomes of care by means of an organizational or structural change."<sup>11</sup> QI interventions focus on improving care in routine clinical practice, rather than supplementing such care through vaccination initiatives in nonclinical settings.

Health authorities have recommended certain QI interventions for general populations and others for healthcare workers. For general populations, the Community Preventive Services Task Force recommends multicomponent QI interventions that enhance access to vaccination services (such as reduced out-of-pocket spending or expanded access in healthcare settings) and that increase vaccination prescribing and uptake (such as standing orders, audit, and feedback systems that notify practitioners of their patients' vaccination rates, patient reminders, and patient education).<sup>12</sup> In addition, the National Vaccine

Advisory Committee has called for improved accessibility to vaccinations in both clinical and nonclinical locations, such as medical homes, workplaces, and community sites.<sup>13</sup> To increase uptake among healthcare workers, these two bodies along with the Joint Commission recommend active promotion and provision of free vaccinations at the worksite.<sup>14–16</sup> The National Vaccine Advisory Committee goes further, acknowledging that influenza vaccination as a condition of employment can be effective but recommending it only after other measures have been exhausted.<sup>14</sup> Several published systematic reviews examining the effectiveness of QI interventions to promote influenza vaccination in both the general population and healthcare workers have found them to be generally successful.<sup>17–19</sup>

Prior reviews assessing the economic impact of influenza vaccination have found that vaccination itself is cost effective to cost saving in a variety of populations and settings.<sup>20–24</sup> A 2017 review reported that influenza vaccination is usually cost saving in children and costs less than \$50,000 per quality-adjusted life year (QALY) among the elderly and pregnant women.<sup>24</sup> However, it is important to consider not only the cost of vaccination itself but also costs associated with QI interventions designed to increase vaccine uptake, such as start-up and maintenance costs.

Accordingly, this project sought to systematically review evaluations of the cost and cost effectiveness of QI interventions for improving systems of care, such that influenza vaccination is delivered more consistently in routine practice. Two target groups are included: general populations and healthcare workers. Peer-reviewed and non-peer-reviewed literature were searched to identify partial or complete economic evaluations that also reported clinical effectiveness. The nature of the interventions, their clinical effectiveness, the associated costs, and the quality of complete economic evaluations are examined.

#### **EVIDENCE ACQUISITION**

This systematic review is reported in accordance with the Preferred Reporting Items for Systematic Reviews and Meta-analyses (PRISMA) guidelines.<sup>25</sup> The study protocol is posted on the Prospero registry (CRD42015014950).<sup>26</sup> An eight-member technical expert panel provided input during key phases of the project.

#### **Data Sources and Searches**

A reference librarian developed search terms related to influenza, and expanded on previously published terms related to economic evaluation (Appendix Text 1).<sup>27</sup> Databases included MEDLINE, Econlit, and the Centre for Reviews & Dissemination Economic Evaluations. To identify grey literature, Greylit and Worldcat were searched and expert panelists were invited to suggest non-peer-reviewed analyses. The search was last performed in July 2016 and was limited to English-language publications or unpublished analyses from January 1, 2004 to July 26, 2016; the research team relied on a hand search of prior systematic reviews to identify earlier articles.

#### **Study Selection**

Studies were eligible if they represented original investigations, compared clinical effectiveness between alternatives (e.g., vaccine uptake in intervention versus status quo),

and involved either partial or complete economic evaluations of one or more QI interventions. Partial economic evaluations included analyses that reported only program costs of interventions; complete economic evaluation analyses considered both program and influenza infection-related costs. Program costs were defined as costs associated with implementing the intervention, such as startup and maintenance costs. Influenza infection-related costs incorporated downstream costs associated with influenza infection, such as clinic visits, medications, and hospitalizations. Studies from low- to middle-income countries were excluded because of differences in care practices and cost structures.<sup>28</sup> All types of clinical evaluation study designs, economic evaluation approaches, analytic perspectives, and time horizons were included.

Interventions had to be implemented by bodies within the healthcare system, including public or private healthcare payers, hospitals, physician practices, pharmacies, and nursing homes. This analysis also included government-initiated interventions that targeted publicly insured populations. Vaccination programs at worksites or schools were not considered to be QI interventions, unless they were implemented by a healthcare body.

Two reviewers independently examined titles, abstracts, and full-text publications to determine eligibility; discrepancies were resolved by consensus, or when necessary, through discussion with the research team.

#### **Data Extraction and Quality Assessment**

A second pair of investigators with training in economic evaluation extracted data. Discrepancies were resolved as described above.

For each study, reviewers extracted data related to the target populations (general populations and healthcare workers), nature of the intervention, context, study design, reporting of the clinical evaluation, funding source, and findings. Reviewers placed interventions that targeted general populations into two categories: 1) efforts by provider entities to promote vaccination among the patients they treat and 2) efforts by healthcare payers to promote vaccination among beneficiaries.

Contextual variables included the sponsoring institution's academic status and location. Clinical study designs included RCT, non-RCT, controlled before–after analysis, uncontrolled before–after analysis, interrupted time series, and decision analytic models. Reviewers extracted vaccination rates in intervention and comparison groups. The reporting of the clinical evaluation was assessed using elements from the Minimum Quality Criteria Set (items 3–7, 10–11, 13), a tool for critically appraising the reporting of QI interventions. <sup>29</sup> Funding sources included government, nonprofit, commercial, and none. Where applicable, potential bias in primary studies evaluating clinical effectiveness was assessed using the Cochrane Collaboration Tool for randomized controlled trials and the Newcastle-Ottawa Scale for nonrandomized studies.<sup>30,31</sup> Bias assessments were not applied to decision model-based studies that were not based on a single primary study.

Investigators with training in economic evaluations extracted the economic evaluation approach (cost analyses including cost-consequences and business-case analyses versus

cost-effectiveness, cost-benefit, and related analyses), entity bearing cost (i.e., perspective), time horizon, discount rate, year and currency of cost data, and program costs. For complete economic evaluations, reviewers also extracted the following: types of infection-related costs included, costs related to influenza infections, total incremental net costs, incremental cost-effectiveness ratios, and assumed vaccine efficacy.

The quality of economic evaluations for articles with complete economic analyses was assessed by applying a modified version of the Quality of Health Economics Studies Checklist (mQHES), which has demonstrated validity and reliability (Appendix Table 1).  $^{32-34}$  Questions address issues such as whether the study objective is clear, cost and effectiveness estimates are from the best sources, and effects of uncertainty and variability are described. Each question was divided into subparts for easier scoring; two questions related to the adequacy of competing alternatives and credibility of the analysis overall were added. To calculate the total mQHES score (scale 0–115) for each study the percentage of "yes" responses to subparts of each question was determined, multiplied by each question's assigned weight, and then summed.

#### **Data Standardization**

To facilitate comparisons of costs across studies, this analysis examined the effectiveness and costs separately (i.e., a cost-consequences analysis was performed) for each study. The key clinical outcome was the difference in vaccination rates between control and intervention groups. When studies did not report the difference, it was calculated using rates in intervention and control groups. Because several studies had more than one intervention arm, the unit of analysis was the intervention rather than the individual study.

Key economic outcomes included the incremental program cost per enrollee and the incremental program cost per additional enrollee vaccinated. Program costs and when reported, infection-related and net costs were standardized per influenza season in 2017 U.S. dollars. This involved applying currency conversion and inflation factors. When standardizing program costs, costs related to efforts to increase uptake and deliver the vaccine were included. However, the cost of the vaccine itself was excluded because this varied across studies. When the cost of the vaccine was included in the program cost but the exact amount was not reported in the article, the CDC Influenza Price List<sup>35</sup> was referenced.

Because of the number and diversity of eligible studies, a formal meta-analysis was not performed. To summarize overall effects, the median and interquartile range (IQR, the difference between the third and first quartiles in the distribution of values) were calculated for key outcome measures.

Subanalyses for key outcome measures were also performed, stratified on whether the authors of the studies had measured or modeled the outcomes because the former may be more accurate. Measured outcomes included those resulting from primary data collection methods, such as internal databases, accounting records, surveys, and chart reviews. Modeled outcomes reflected those produced by models built on assumptions from prior studies and outside literature.

#### **Role of the Funding Source**

The study was funded by the Agency for Healthcare Research and Quality (R01 HS22644-01), which did not participate in the study design, literature search, assessment of eligibility, data extraction and analysis, or interpretation of results.

#### **EVIDENCE SYNTHESIS**

#### **Study Selection**

The search identified 2,350 records, selecting 94 for full-text review. Eighteen articles met all eligibility criteria and together reported 29 unique interventions.<sup>36–53</sup> Twelve articles reported on one intervention,<sup>36–42,46,49–51,53</sup> three articles reported on two interventions, <sup>43,52</sup> one article reported on three interventions,<sup>47</sup> and two articles reported on four interventions.<sup>44,45</sup> Twenty-three interventions focused on general populations<sup>36–44,46–48,50–52</sup> and six on healthcare workers.<sup>45,49,53</sup> Searches of grey literature did not identify any eligible articles. Figure 1 represents the PRISMA Flow Diagram.

#### **Study Characteristics and Quality Assessment**

Table 1 lists the interventions. Eighteen of the 23 interventions for general populations involved efforts by provider entities to promote vaccination among the patients they treat. <sup>36,37,40,41,43,44,46,47,50–52</sup> These included pre-printed orders, physician reminders, standing order programs,<sup>44,47</sup> patient reminders,<sup>36,44,52</sup> telephone appointments offered by receptionists in general practitioners' clinics,<sup>37</sup> pharmacist-led vaccine programs,<sup>40,51</sup> feedback to practitioners regarding vaccine rates,<sup>44</sup> patient risk assessment and counseling services in physician practices,<sup>50</sup> and routine vaccination of patients receiving care at hospitals.<sup>41,43</sup> Five interventions represented efforts by public or private healthcare payers to promote vaccination among beneficiaries.<sup>38,39,42,48</sup> These included promotional mailings<sup>42,48</sup> and reduction of out-of-pocket costs in the form of federal subsidies<sup>38</sup> and reduction of copayments.<sup>39</sup> A majority of the interventions included strategies recommended by major health authorities.<sup>44,52</sup>

Six interventions described in three articles represented efforts to increase vaccination rates among healthcare workers.<sup>45,49,53</sup> One intervention included a multicomponent nationwide campaign to promote vaccination in all German hospitals.<sup>49</sup> The intervention incorporated newsletters/bulletins, promotional materials, and information packages sent to hospitals. Another article tested four different interventions that included combinations of promotional materials, free vaccination clinics, feedback to hospital leaders, financial incentives for vaccinated healthcare workers, and mobile vaccine carts.<sup>45</sup> In the final intervention, practitioners were required to be vaccinated or complete a vaccination declination form.<sup>53</sup> All six interventions incorporated strategies recommended by either the Community Preventive Services Task Force or the CDC.<sup>45,49,53</sup> None of the interventions included mandatory vaccination as a condition of employment.

Twenty-two of the 29 interventions were based in the U.S.,<sup>40–48,52,53</sup> three in the United Kingdom,<sup>36,37,51</sup> two in Japan,<sup>38,39</sup> one in Switzerland,<sup>50</sup> and one in Germany.<sup>49</sup>

Twenty-four of the 29 interventions were implemented in a healthcare provider setting (such as hospitals, physician practices, and pharmacies)<sup>36,37,40,41,43–47,49–53</sup> whereas five were implemented in a payer setting.<sup>38,39,42,48</sup> Fourteen interventions targeted older adults, <sup>37–39,42–44,46,48,50</sup> eight focused on adults of any age,<sup>36,40,47,51,52</sup> six on healthcare workers, <sup>45,49,53</sup> and one on children.<sup>41</sup> The comparator group for clinical and cost evaluations in 26 of 29 interventions was the status quo<sup>36–39,41–51,53</sup>; other comparator groups included status quo plus postcard reminder<sup>52</sup> and no vaccination.<sup>40</sup>

To evaluate clinical effectiveness studies the authors employed diverse designs. Nine interventions were assessed using decision analytic modeling techniques, <sup>38,40,41,43,44</sup> eight using RCTs, <sup>36,37,42,48,50,52</sup> and eight using uncontrolled before–after designs.<sup>45,46,49,51,53</sup> For three interventions, studies compared results to published literature.<sup>47</sup> One study employed a cross-sectional design.<sup>39</sup>

For 25 of the 29 interventions, authors compared vaccination rates between intervention and control groups.<sup>36,37,39,41,42,44–53</sup> For interventions that targeted general populations, the median difference in the vaccination rate between intervention and control groups was 6.1% (IQR, 1.6% to 16%).<sup>36,37,39,41,42,44,46–48,50–52</sup> Among the ten interventions in the general population for which vaccination rates were measured (versus modeled or assumed by authors) the median difference in vaccination rate was 2.2% (IQR, 0.8% to 6.1%). <sup>36,37,42,46,48,50–52</sup> For interventions that focused on healthcare workers, the median difference in the vaccination rate between intervention and control groups was 6.5% (IQR, 4.5% to 12.7%; Table 1).<sup>45,49,53</sup> Additional outcome measures used in studies included influenza episodes averted,<sup>43</sup> QALYs,<sup>44</sup> years of life saved,<sup>38</sup> and lives saved.<sup>39,43</sup>

Responses to Minimum Quality Criteria Set items, funding source, and bias assessments are given in the Appendix (Appendix Tables 2–4). Many studies were at risk of bias because of incomplete outcome data and uncontrolled confounding.

Table 2 describes program costs as reported by authors, standardized program costs, and economic evaluation methods for all 29 interventions.<sup>36–53</sup> Fifteen interventions were subjected to cost analyses,<sup>36,45,47,48,50–53</sup> three to business-case analyses,<sup>37,42,46</sup> ten to cost-effectiveness analyses,<sup>38,40,41,43,44,49</sup> and one to a cost-benefit analysis.<sup>39</sup> The entity bearing program costs varied between interventions: the healthcare system bore the cost in 11 interventions,<sup>36,40,44,45,51</sup> hospitals in seven interventions,<sup>41,43,47,53</sup> payers or the government in six interventions,<sup>38,39,42,48,49</sup> clinics or physician practices in two interventions,<sup>37,50</sup> integrated healthcare systems in two interventions,<sup>52</sup> and an assisted living facility in one intervention.<sup>46</sup> For 26 interventions, authors evaluated costs during one influenza season,<sup>36–39,41–48,51–53</sup> two studies adopted a two-year time horizon,<sup>49,50</sup> and one study used a 1-year timeframe.<sup>40</sup>

Program costs were standardized for 25 interventions, including 21 for general populations<sup>36–44,46–48,52</sup> and four for healthcare workers (Appendix Table 5).<sup>45</sup> Four interventions were unable to be standardized because of missing information,<sup>49,51</sup> small sample size and low response rates,<sup>53</sup> and inclusion of unrelated costs.<sup>50</sup>

Figure 2 shows differences in vaccination rates and standardized program costs per enrollee per influenza season. Dashed lines represent hypothetical thresholds (\$20 and \$100) for what the health system might be willing to pay per additional enrollee vaccinated. For general populations (circles), the median program cost was \$3.27 (IQR, \$0.82–\$11.53) per enrollee and \$50.78 (IQR, \$27.85–\$124.84) per additional enrollee vaccinated.<sup>36–44,46–48,52</sup> Limited to the eight interventions in general populations for which program costs were measured by authors, the median program cost was \$0.88 (IQR, \$0.73–\$2.79) per enrollee and \$33.66 (IQR, \$19.20–\$129.80) per additional enrollee vaccinated.<sup>36,37,42,46,48,52</sup> Interventions with the lowest cost per additional enrollee vaccinated varied widely and included: pharmacist-delivered vaccinations,<sup>40</sup> reductions in influenza vaccination copayments for those aged 65 years, direct phone calls to patients offering vaccination appointments,<sup>37</sup> and mass mailings encouraging vaccination.<sup>48</sup>

For healthcare workers (triangles), the median program cost was \$8.09 (IQR, \$5.03–\$10.31) per worker and \$125.24 per additional worker vaccinated (IQR, \$96.06–\$171.38).<sup>45</sup> The low number of healthcare worker interventions made drawing conclusions regarding their relative value difficult.

For ten interventions that focused on general populations, authors performed complete economic analyses that incorporated both program and influenza infection-related costs (Appendix Tables 6 and 7).<sup>38,40–44</sup> The influenza infection-related costs included varied: ten economic evaluations included outpatient visits<sup>38,40–44</sup>; ten included hospitalizations<sup>38,40–44</sup>; eight included productivity losses because of illness, disability, or death<sup>40,41,44</sup>; seven included vaccine adverse events<sup>40,43,44</sup>; three included medications<sup>38,40,41</sup>; and one included laboratory and diagnostic testing.<sup>40</sup> The assumed vaccine efficacy ranged from 50% to 69%, <sup>38,40–44</sup> except for in one study where a more conservative estimate of 29% was used.<sup>38</sup> Overall, the quality of the economic evaluations that examined these ten interventions was moderate to high, with mQHES scores ranging from 105 to 115.

Among the ten interventions, three yielded net cost savings,<sup>40–42</sup> four yielded costs below \$50,000 per QALY,<sup>44</sup> and three yielded costs <\$60,000 per life saved (Appendix Table 7). <sup>38,43</sup> The three interventions that reported net cost savings were diverse in terms of intervention components, context, and target population. The interventions assessed in these studies included promotional mailings sent to older adults by a payer,<sup>42</sup> a pharmacist-led vaccine program for the general population,<sup>40</sup> and a hospital-based vaccine program for pediatric inpatients with asthma.<sup>41</sup>

No studies on healthcare workers had complete economic evaluations.

#### DISCUSSION

In this systematic review, the cost of implementing 23 diverse QI interventions designed to increase seasonal influenza vaccination rates among the general population and six among healthcare workers was examined. The interventions were generally aligned with recommendations by major health authorities<sup>12–16</sup> and effective relative to the status quo. The median program cost per additional individual vaccinated was \$50.78 for general

populations and \$125.24 among healthcare workers. These estimates exclude vaccine costs, which range from \$11.78 to \$36.08 per dose. Using a cost-effectiveness threshold of \$100,000 to \$150,000 per QALY (i.e., a year lived in perfect health),<sup>54</sup> the ten interventions with complete economic evaluations were cost saving to cost effective relative to the status quo with three reporting net cost savings, four reporting costs below \$50,000 per QALY, and three reporting costs under \$60,000 per life saved.

Although results were limited to studies that reported costs, and costs may be more likely assessed when studies are effective, the effectiveness estimates of the interventions studied in this review were similar to those reported in previous systematic reviews in both general populations and healthcare workers. In fact, in a systematic review of 57 RCTs focused on older adults, relative changes in vaccination rates ranged from no effect to an 8-fold increase.<sup>55</sup> In a prior meta-analysis of interventions to improve influenza and pneumococcal vaccination rates among community-dwelling adults, relative changes in vaccination rates ranged from no effect to a 3-fold increase.<sup>18</sup> In this analysis, relative changes in vaccination rates ranged from no effect to a 5-fold increase. In a prior systematic review of 12 RCTs focused on healthcare workers, the authors reported absolute changes in vaccination rates ranging from a 13.0% decline to a 26.0% increase.<sup>19</sup> The studies in this analysis reported absolute increases in vaccination rates among healthcare workers of 3.0% to 23.9%.

Prior literature has generally reported that influenza vaccination itself is cost effective to cost saving in a variety of populations including older adults, children, and pregnant women.<sup>20-24</sup> In particular, a 2017 review assessed the cost effectiveness of influenza vaccination in any setting and found it to be generally cost effective. However, the studies examined only the cost of the vaccination itself and in some cases, the cost of vaccine administration.<sup>24</sup> By contrast, this review examined the additional costs associated with implementing QI interventions to increase vaccination rates, including not only the cost of administration but any costs associated with start-up and maintenance of programs, such as costs related to: tracking who has been vaccinated, conducting outreach to target populations, creating standardized order sets, and setting up special situations in which patients and healthcare workers can be vaccinated. This review found that the program costs per additional patient vaccinated were generally higher, sometimes several-fold higher, than the cost of the vaccine itself (\$11.78-\$36.08/dose), particularly among healthcare workers. Among general populations, intervention strategies with low program costs per additional patient vaccinated included: pharmacist-delivered vaccine programs, reductions in copayments, direct phone calls offering vaccination appointments, and mass mailings to encourage vaccination uptake. Among healthcare worker interventions, hospital-wide vaccination campaigns with incentives were relatively low cost per additional worker vaccinated.45

Although program costs per additional enrollee vaccinated were large relative to vaccine costs, a smaller number of studies with complete economic analyses found that QI interventions in general populations were either cost saving or cost effective relative to the status quo (at a threshold of \$100,000–\$150,000 per QALY)<sup>54</sup> indicating they may be good value to the healthcare system. Influenza infection-related costs can include hospitalizations, outpatient visits, diagnostic tests, medications, and productivity losses. Medical costs can range from \$90 to \$989 per clinic visit and from \$2,428 to \$50,620 per hospitalization,

<sup>38,40–44</sup> depending on age and the risk of severe complications. Costs can be even higher for high-risk infants, young children, and older adults.<sup>56–59</sup> However, because the QI interventions are only rarely cost saving to the health system and third-party payers often accrue any savings associated with reduced clinic visits and hospitalizations, the costs of these interventions may be a barrier to implementation.

This review of interventions among healthcare workers was based on a few studies that were not complete evaluations, which made it difficult to make comparisons and draw conclusions. Notably, none of the studies described the cost of mandatory influenza vaccination among healthcare workers, which is an increasingly common, extremely effective, and yet often controversial strategy.<sup>60–65</sup> In fact, 18 U.S. states have established influenza vaccination requirements for healthcare workers, eight of which necessitate hospitals ensure their workers are vaccinated; local laws can be even stricter.<sup>66</sup> For example, the state of California requires that all acute care hospitals offer free onsite influenza vaccinations to all workers and requires a signed declination if a worker elects not to be vaccinated.<sup>66</sup> In 2013, Los Angeles mandated all healthcare personnel in acute care hospitals, long-term care facilities, and intermediate-care facilities be vaccinated against influenza, or wear a protective mask.<sup>67</sup> Stricter still, some individual hospitals prohibit nonvaccinated healthcare workers from performing patient-related duties during the influenza season. Although mandatory influenza vaccination programs often achieve near-total compliance, the financial cost and buy-in from hospital leadership may be barriers to implementation. In addition to costs associated with voluntary vaccination programs, such as the hiring of additional nurses for administration of the vaccination and the vaccination dose itself, mandatory programs further require the establishment of administrative processes to ensure worker receipt of vaccination. These commonly include: electronic monitoring systems, engagement with hospital human resources, employee health, and communications departments, and establishment of exemption committees to evaluate exemption requests. Given the well-documented effectiveness of these mandatory programs, understanding and quantifying the additional financial costs of such programs remains an important and unanswered question.

#### Limitations

First, because of heterogeneity in study design, meta-analyses were not feasible. Second, because the unit of analysis was the individual intervention, studies containing multiple interventions were overrepresented. Next, most of the studies included program costs only and omitted costs related to influenza infection, thereby limiting the ability to examine the complete economic implications of vaccine programs. Further, program costs considered may have varied across studies thereby limiting the comparability between studies. Finally, future QI interventions may have somewhat different costs than observed in this review because of variation in organizational context, intervention scale, and vaccine efficacy, and thus generalizability may be a concern.

### CONCLUSIONS

QI interventions for influenza vaccination in general populations involve program costs per enrollee that are similar to the cost of the vaccine itself and program costs per additional enrollee vaccinated that are somewhat higher than the vaccine cost. Based on limited available evidence, such interventions may be cost saving to cost effective to the health system. For interventions targeting healthcare workers, less data on cost is available. The cost and cost effectiveness of mandatory vaccination programs for healthcare workers are a high priority for future study.

#### **Supplementary Material**

Refer to Web version on PubMed Central for supplementary material.

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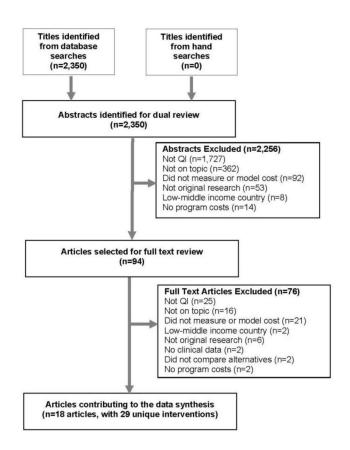
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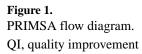
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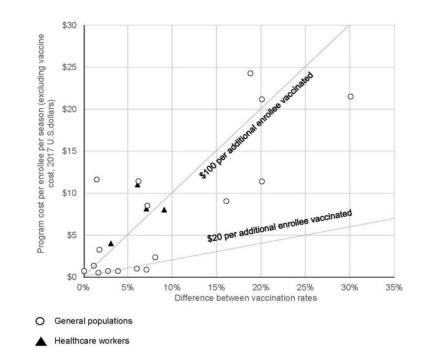
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#### Figure 2.

Standardized program costs per enrollee per season and difference between vaccination rates.

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## Table 1

Study Characteristics of Healthcare-based Quality Improvement Interventions to Increase Seasonal Influenza Vaccination (n=29)

Author	Description of intervention (Matches recommendations by health authorities)	Setting	Population	Clinical study design, comparator	Vaccination rate in comparison group	Difference in vaccination rates (unadjusted)
Interventions focused on general populations	neral populations					
Efforts by provider entiti-	Efforts by provider entities to promote vaccination among th	among the patients they treat				
Hull 2002	Receptionists at practices called intervention households and offered appointments at a nurse-run immunization clinic (N/A)	3 general practice clinics in England	1,318 low-risk adults aged >65 years	RCT, status quo	44%	+6.0%
Honeycutt 2007A	Standing orders: Non- physician personnel screened for contraindications and then delivered vaccines without patient-specific orders (N/A)	3 rural and 1 urban hospital in U.S.	Adult inpatients at high risk	Compare d site and published data, status quo	1.8% (literature)	+7.1% (range 3.2%-11.7%)
Honeycutt 2007B	Pre-printed orders: Staff placed unsigned orders for vaccination in locations where physicians could sign them (CPSTF)	2 rural and 1 urban hospital in U.S.	Adult inpatients at high risk	Compare d site and published data, status quo	1.8% (literature)	+1.4% (range 1.0%-1.9%)
Honeycutt 2007C	Physician reminders: Staff placed notes in patient records to prompt physicians to assess eligibility and order vaccination (CPSTF)	3 rural hospitals in U.S.	Adult inpatients at high risk	Compared site and published data, status quo	1.8% (literature)	+6.1% (range 1.0%-16.0%)
Lam 2008	Pharmacist-conducted vaccine service: Pharmacists and nurses reviewed charts to screen for contraindications and administered vaccines (NVAC)	Assisted living facility in Seattle	70 indigent, older Asian adults	UCBA, status quo	64.2%	+18.7%
Teufel 2008	Hospital vaccinations: Registered nurse screened patients for eligibility, communicated with families, and administered vaccine (NVAC)	Hypothetical acute care hospitals in the U.S.	Hospitalized children with asthma	Model based on published data, status quo	29% (literature)	+30% (with 100% screening)
Prosser 2008	Pharmacy vaccinations: Pharmacists delivered vaccines in an outpatient pharmacy (NVAC)	5 pharmacies in U.S.	U.S. adults	Model based on site and published data, no vaccination	Not reported	NR
Patterson 2012A	Emergency department staff vaccinated older adults	Hypothetical emergency	U.S. adults aged >50 years	Model based on published data, status quo	66% (literature)	80.7% receive vaccine in emergency department

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Author	Description of intervention (Matches recommendations by health authorities)	Setting	Population	Clinical study design, comparator	Vaccination rate in comparison group	Difference in vaccination rates (unadjusted)
Stuck 2015	Health risk assessment, computer generated feedback to patients and primary care providers, and connseling to promote multiple primary prevention and screening behaviors (N/A)	19 primary care clinics in Switzerland	2,284 community-dwelling adults aged >65 years	RCT, status quo	59.2%	+6.6%
Atkins 2015	City-wide vaccination program administered by pharmacists (NVAC)	1,230 pharmacies in London	Adults	UCBA, status quo	60.4% (year prior)	+0.01%
Efforts by public or priva	Efforts by public or private payers to promote vaccination among beneficiaries	nong beneficiaries				
Berg 2008	Two waves of mailings sent by payer that described the benefits of vaccination particularly for high risk groups, the timing of vaccination, and the benefits of hand-washing (CPSTF)	Preferred provider organization in 5 U.S. states	107,927 households of federal employee s aged >65 years	RCT, status quo	20.76%	-0.02%
Terrell-Perica 2001A	Influenza mailer: State send mailings encouraging beneficiaries to take advantage of Medicare coverage for influenza vaccination (CPSTF)	Department of Health, Hawaii	6,528 newly eligible Medicare beneficiaries aged >65 years	3-arm RCT with 2 intervention groups, status quo	17.1%	+2.7%
Terrell-Perica 2001B	Influenza/pneumococcal mailer: State send mailings encouraging beneficiaries to take advantage of Medicare coverage for both influenza and pneumococcal vaccination (CPSTF)	Department of Health, Hawaii	6,528 newly eligible Medicare beneficiaries aged >65 years	3-arm RCT with 2 intervention groups, status quo	17.1%	+3.8%
Ohkusa 2005	A national immunization program reduced patient copayments by subsidizing a portion of the cost of vaccination; copayments vary across cities (CPS TF)	13 large cities in Japan	Elderly adults	Cross-sectional analysis of variation in vaccination rate with size of copayment, status quo	29.7%	+7.0% per \$8 increase in subsidy
Hoshi 2007	A national immunization program reduced patient copayment by subsidizing 71% of the cost of vaccination (hypothetical intervention) (CPSTF)	Japan	Adults aged >65 years	Model based on country-specific data, status quo (zero subsidy)	Normal risk individual 7,6%, High risk individual 9.5%	+38.7% among both normal and high risk individuals
Interventions focused on healthcare workers	althcare workers					
Leitmeyer 2006	Nationwide campaign that engaged stakeholders and sent promotional and training	2,000 hospitals in Germany	German healthcare workers	UCBA, status quo	21% (based on 20 hospitals)	+5% (based 20 hospitals)

Author	Description of intervention (Matches recommendations by health authorities) materials to hospitals (CPSTF, NVAC)	Setting	Population	Clinical study design, comparator	Vaccination rate in comparison group	Difference in vaccination rates (unadjusted)
Lin 2012A	Education and publicity: promotional materials, free mass vaccination clinics, feedback to hospital leaders (no incentives or carts) (CPSTF, NVAC, JC)	2 of 11 hospitals in a health system in Pennsylvania	2,016 personnel other than physicians and trainees	UCBA, status quo	34.3% (derived)	+3% (37.3% vaccinated in follow-up group)
Lin 2012B	Mobile vaccine carts: In addition to education and publicity, an emergency medical technician visited all clinical units during all shifts over 2 months (CPSTF, NVAC, JC)	2 of 11 hospitals in a health system in Pennsylvania	3.961 personnel other than physicians and trainees	UCBA, status quo	31.5% (derived)	+7% (38.5% vaccinated in follow-up group)
Lin 2012C	Incentives: In addition to education and publicity, vaccinated personnel received incentives (\$10 gift card, lottery for paid time off, or party) (CPSTF, NVAC, JC)	4 of 11 hospitals in a health system in Pennsylvania	7,029 personnel other than physician s and trainees	UCBA, status quo	32.2% (derived)	+9% (41.2% vaccinate d in follow-up group)
Lin 2012D	Incentives and mobile vaccine carts: In addition to education and publicity, both incentives and vaccine carts were used (CPSTF, NVAC, JC)	3 of 11 hospitals in a health system in Pennsylvania	14,227 personnel other than physicians and trainees	UCBA, status quo	33.4% (derived)	+6% (39.4% vaccinate d in follow-up group)
LaVela 2015	Influenza declination form program for healthcare workers (NVAC)	2 spinal cord injury centers in Veterans Affairs hospitals	173 healthcare workers	UCBA, status quo	53.5%	+23.9% (worker self-report)

NR, not reported; N/A, not applicable; UCBA, uncontrolled before-after analysis; CPSTF, Community Preventative Services Task Force; NVAC, National Vaccine Advisory Committee, U.S. DHHS; JC, The Joint Commission; COPD, chronic obstructive pulmonary disease

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# Table 2

Program Costs of Healthcare-based Quality Improvement Interventions to Increase Season Influenza Vaccination (n=29)

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Entity bearing program cost		Physician practice	Hospital	Hospital	Hospital
Economic evaluation method		Business case	Cost analysis	Cost analysis	Cost analysis
Standardized program costs per enrollee / per additional enrollee (excluding vaccina cost, 2017 USD)		\$1.02 / \$16.95	\$8.52 / \$119.64	\$11.63 / \$854.07	\$11.42 / \$186.32
s Year of costs		2000	2004	2004	2004
Program cost reported by authors includes vaccine?	reat	°Z	°N	°Z	No
Program cost as reported by authors	amono the natients they treat	£98.21 per 16 £98.21 per 16 individuals vaccinated (£72.65 receptionist tabor, £12.25 talephone calls, £13.31 nurse labor)	\$57.60 per additional individual vaccinated vaccinated vaccinated vaccinated vaccine) administer vaccine)	\$411.18 per additional individual vaccinated vaccinated vaccinated vaccinated vaccine) administer vaccine)	\$89.70 per additional individual vaccinated
Setting	cination	3 general practice clinics in England	3 rural and 1 urban hospital in U.S.	2 rural and 1 urban hospital in U.S.	3 rural hospitals in U.S.
Time horizon	general population ities to promote v	1 season	1 season	1 season	1 season
Author	Interventions focused on general populations Efforts by neovider entities to momote vaccination	Hull 2002	Honeycutt 2007A	Honeycutt 2007B	Honeycutt 2007C

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Anderson et al.

Entity bearing program cost	Assisted living facility	Hospital	Healthcare system	Hospital	Hospital
Economic evaluation method	Business case	CEA	CEA	CEA	CEA
Standardized program costs per enrollee / per additional enrollee vaccinated (excluding vaccine cost, 2017 USD)	\$24.28 / \$129.80	\$21.52 / \$71.72	NR / \$6.26	NR / \$38.81	NR / \$38.81
s Year of costs	2004	2006	2004	<i>a</i> 2008	<i>a</i> 2008
Program cost reported by authors includes vaccine?	°N	Yes, \$6.75 per dose	Yes, \$7.48 per dose	Yes, not reported <sup>a</sup>	Yes, not reported <sup>a</sup>
Program cost as reported by authors (\$1,840- \$27,926 per hospital to asses eligibility and administer vaccine)	<ul> <li>\$1,110 per 13 additional individuals vaccinated (\$990 pharmacist labor, \$90 medical assistant labor, \$30 supplies)</li> </ul>	\$13.66 per patient screened (registered nurse labor, supplies, vaccine)	+\$11.57 per additional individual vaccinated (promotion of vaccine, labor, vaccine, supplies, overhead)	\$34.19 per additional individual vaccinated (vaccine administration and vaccine)	\$34.19 per additional individual
Setting	Assisted living facility in Seattle	Hypothetical acute care hospitals in the U.S.	5 pharmacies in U.S.	Hypothetical emergency departments in the U.S.	Hypothetical emergency
Time horizon	l season	l season	l year	l season	1 season
Author	Lam 2008	Teufel 2008	Prosser 2008	Patterson 2012A	Patterson 2012B

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Entity bearing program cost		Healthcare system	Healthcare system	Healthcare system
Economic evaluation method		Cost analysis	CEA	CEA
Standardized program costs per enrollee / per additional enrollee vaccinated (excluding vaccine cost, 2017 USD)		\$3.27 / \$191.48	\$2.38 / \$29.69	\$9.05 / \$56.56
Year of costs		2013	2011	2011
Program cost reported by authors includes vaccine?		°Z	No	°Z
Program cost as reported by authors	vaccinated (vaccine administration and vaccine)	£98,370 per 51,151 patients enrolled (£15,600 i(£15,600 i(£15,600 i(£15,600 i(£15,600 i(£15,600 i(£15,600 i(£15,600 general practices, £1,200 letters, £1,200 software support, £23,770 £23,770 £24,100 data costs)	\$2.00 per targeted patient per year	\$7.62 per targeted patient per year (\$2.00 patient reminders, \$5.62 standing orders)
Setting	departments in the U.S.	156 general practices in the U.K.	Hypothetical outpatient clinics in the U.S.	Hypothetical outpatient clinics in the U.S.
Time horizon		l season	10 years	10 years
thor		Herrett 2015	Michaelidis 2013A	Michaelidis 2013B

Author

Healthcare system

\$21.19 / \$105.94 CEA

2011

°N N

\$17.84 per targeted patient per year (\$2.00 patient

Hypothetical outpatient clinics in the U.S.

Michaelidis 2013D 10 years

Healthcare system

CEA

\$11.41 / \$57.07

2011

°N

Hypothetical outpatient clinics in the U.S.

Michaelidis 2013C 10 years

\$9.61 per targeted patient per year (\$2.00 patient reminders, 5.62 standing orders, \$1.99 audit and feedback)

Entity bearing program ation method cost		Integrated healthcare system	Integrated healthcare system	Clinic	Healthcare system
Economic evaluation method		Cost analysis	Cost analysis	Cost analysis	Cost analysis
Standardized program costs per enrollee / per additional enrollee (excluding vaccine cost, 2017 USD)		\$0.53 / \$33.66	\$1.36 / \$126.57	NR / NR	NR / NR
Year of costs		2011	2011	2014	2013
Program cost reported by authors includes vaccine?		°N	No	No	Yes, £7.37 per dose
Program cost as reported by authors	reminders, \$5.62 standing orders, \$1.99 audit and feedback, and \$8.23 vaccination champion)	\$0.78 per enrolled patient with postcard- only, \$1.23 per enrolled patient with interactive voice response system	\$0.78 per enrolled patient with postcard- only, \$1.93 per enrolled patient with interactive voice response system and reminder postcard	\$1,017 per participant per 2 years (\$961 labor, \$56 administering questionnaires and feedback reports)	£17.13 per dose for usual care vs. £14.88 per dose for pharmacy vaccination delivery derverment
Setting		Integrated healthcare organization in the U.S.	Integrated healthcare organization in the U.S.	19 primary care clinics in Switzerland	1,230 pharmacies in London
Time horizon		October of 1 season	October of 1 season	2 years	l season
Author		Shoup 2015A	Shoup 2015B	Stuck 2015	Atkins 2015

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Entity bearing program cost	Payer	Governmental body	Governmental body	Governmental body
Economic evaluation method	Business case	Cost analysis	Cost analysis	CBA
Standardized program costs per enrollee / per additional enrollee vaccinated (excluding vaccine cost, 2017 USD)	\$0.74 / Dominate d by the status quo	\$0.73 / \$27.24	\$0.73 / \$19.20	\$0.90 / \$12.82
Year of costs	2003	1997	1997	2003
Program cost reported by authors includes vaccine?	s. S	°	°N	Yes, \$36 cost to patient
Program cost as reported by authors and vaccine administratio	<ul> <li>among beneficiarie</li> <li>+\$16,000 per</li> <li>26,474</li> <li>household s</li> <li>with 1.3</li> <li>with 1.3</li> <li>with 1.3</li> <li>with 1.3</li> <li>with and a single seach</li> <li>(to produce and mail materials)</li> </ul>	+\$0.36 per letter, or + \$2.350 across 6,528 study 6,528 study 6,528 study 6,528 study 6,7 letters fanderials, for letters that recommend influenza vaccination	+\$0.36 per letter, or + \$2,350 across 6,528 study subjects (about matrials, for letters that recommend both influenza and pneumococcal vaccination	+\$8 subsidy per individual vaccinated equates to \$50.4 million per population of 120 million nationally
Setting	prote vaccination Preferred provider organization in 5 U.S. states	Department of Health, Hawaii	Department of Health, Hawaii	13 large cities in Japan
Time horizon	rivate payers to pro 1 season	1 season	1 season	l season
Author	Efforts by public or private payers to promote vaccination among beneficiaries Berg 2008 1 season Preferred +\$16,000 per 1 provider 26,474 0 organization household s in 5 U.S. with 1.3 states members each (to produce and mail materials)	Terrell - Perica 2001A	Terrell - Perica 2001B	Ohkusa 2005

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Entity bearing program cost	Governmental body		Governmental body	Healthcare system	Healthcare system	Healthcare system	Healthcare system
Economic evaluation method	CEA		CEA	Cost analysis	Cost analysis	Cost analysis	Cost analysis
Standardized program costs per enrollee / per additional enrollee varcilated varcinated varcine cost, 2017 USD)	NR / \$45.00		NR / NR	\$4.02 / \$134.08	\$8.15 / \$116.40	\$8.04 / \$89.29	\$11.03 / \$183.81
Year of costs	2002		2004	2007	2007	2007	2007
Program cost reported by authors includes vaccine?	Yes, \$38 cost to patient		°Z	Yes, \$14.42 per dose including supplies	Yes, \$14.42 per dose including supplies	Yes, \$14.42 per dose including supplies	Yes, \$14.42 per dose including supplies
Program cost as reported by authors	+\$27 subsidy per additional individual vaccinated		\$45,000 across 2,000 German hospitals (to produce and distribute materials, plus 2 months labor of Public Health Scientist (cost not described)	\$13,537– \$15,471 across 2 hospitals, including oversight, clinic, vaccine, and supplies	\$35.392– \$40,448 across 2 hospitals, including oversight, oversight, varcine, and supplies	\$59,869– \$74,116 across 4 hospitals, including oversight, incentives, clinic, vaccine, and supplies	\$137,691– \$170,668 across 3
Setting	Japan	s	2,000 hospitals in Germany	2 of 11 hospitals in a health system in Pennsylvania	2 of 11 hospitals in a heatth system in Pennsylvania	4 of 11 hospitals in a health system in Pennsylvania	3 of 11 hospitals in a health
Time horizon	l season	on healthcare worker	2 years	l season	l season	l season	l season
Author	Hoshi 2007	Interventions focused on healthcare workers	Leitmeyer 2006	Lin 2012A	Lin 2012B	Lin 2012C	Lin 2012D

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standaruzeu program costs per enrollee / per additional enrollee vaccinated (excluding vaccine cost, 2017 USD)		NR / NR
Year of costs		2013
Program cost reported by authors includes vaccine?		°N
Program cost as reported by authors	hospitals, including oversight, incentives, carts, clinic, vaccine, and supplies	\$2,098 per site, with 2 sites (\$2,093 staff time, <\$5.00 printed materials)
Setting	system in Pennsylvania	2 spinal cord injury centers in Veterans Affairs hospitals
Time horizon Setting		l season
Author		LaVela 2015

<sup>a</sup>When calculating standardized program cost per enrollee and per additional enrollee vaccinated excluding vaccine cost, the 2008 Centers for Disease Control (CDC) Influenza Price List was used to estimate a vaccine private sector cost of \$13.25/dose (in 2008 USD).

CEA, cost-effectiveness analysis; CBA, cost benefit analysis; NR, not reported