# **UCSF**

# **UC San Francisco Previously Published Works**

# **Title**

Dialectical Behavior Therapy in Juvenile Correctional and Detention Facilities: A Scoping Review.

# **Permalink**

https://escholarship.org/uc/item/6bc5j25n

# **Journal**

Journal of Correctional Health Care, 29(5)

# **Authors**

Yang, Phillip Folk, Johanna Lugosi, Selena et al.

# **Publication Date**

2023-10-01

# DOI

10.1089/jchc.22.05.0041

Peer reviewed

Journal of Correctional Health Care Volume 29, Number 5, 2023 © The Author(s) 2023 DOI: 10.1089/jchc.22.05.0041



Open camera or QR reader and scan code to access this article and other resources online.



# LITERATURE REVIEW

# Dialectical Behavior Therapy in Juvenile Correctional and Detention Facilities: A Scoping Review

Phillip Yang, MD,<sup>1\*</sup> Johanna B. Folk, PhD,<sup>2</sup> Selena I. Lugosi, BS,<sup>1</sup> Zeba Bemat, MD,<sup>1</sup> Anne Thomas, PhD,<sup>3</sup> and Barbara Robles-Ramamurthy, MD<sup>4</sup>

#### **Abstract**

Dialectical behavior therapy (DBT) has shown preliminary success in the treatment of youth in forensic settings. However, the implementation of DBT varies considerably from facility to facility. A scoping review was conducted to detail DBT intervention protocols in juvenile correctional and detention facilities. We described eight works' treatment setting, study design, youth characteristics, staff training, DBT approach, DBT skills modules, and main findings. All works involved DBT skills sessions, but few incorporated other DBT components such as individual therapy or skills coaching. Outcomes included reducing problematic behaviors such as aggression, improving mental health, and largely positive feedback regarding the DBT intervention from youth and staff. Our results consolidate the existing literature regarding DBT intervention in forensic settings for youth and inform future implementation and research of DBT in such facilities.

**Keywords:** dialectical behavior therapy, juvenile justice facility, justice-involved youth, descriptive review, systematic review

#### Introduction

Youth impacted by the juvenile legal system, particularly those incarcerated in correctional facilities, have complex mental health treatment needs. Detained youth have a lifetime prevalence of up to 95% for mental health disorders and 96% for substance use disorders (Borschmann *et al.*, 2020). However, only approximately 30% of detained youth receive treatment (Underwood & Washington, 2016).

Social determinants of health, such as structural inequity, family poverty, community violence, educational inequity, and trauma, exacerbate factors that contribute

to youth incarceration and recidivism (Anoshiravani, 2020; Hughes *et al.*, 2020). Secure facilities offer highly structured settings to provide mental health treatment, particularly comprehensive programs such as dialectical behavior therapy (DBT).

## **Dialectical Behavior Therapy**

DBT was initially developed to treat borderline personality disorder by empowering clients to engage with inner conflict, problem-solve, and enact change toward more appropriate behaviors (Linehan, 1993, 2015). Since its development, DBT has been used to manage various

<sup>&</sup>lt;sup>1</sup>Joe R. and Teresa Long School of Medicine, UT Health San Antonio, San Antonio, Texas, USA.

<sup>&</sup>lt;sup>2</sup>Department of Psychiatry and Behavior Sciences, University of California San Francisco, San Francisco, California, USA.

<sup>&</sup>lt;sup>3</sup>Bexar County Juvenile Probation Department, San Antonio, Texas, USA.

<sup>&</sup>lt;sup>4</sup>Department of Psychiatry and Behavioral Sciences, UT Health San Antonio, San Antonio, Texas, USA.

<sup>\*</sup>Address correspondence to: Phillip Yang, MD, Department of Psychiatry and Behavioral Sciences, University of California, San Francisco, 675 18th Street, San Francisco, CA 94143, USA, Email: phillip.yang@ucsf.edu

356 YANG ET AL.

clinical expressions of emotional dysregulation and has been applied in juvenile forensic settings (Kenny *et al.*, 2020; Linehan, 2015; Nelson-Gray *et al.*, 2006).

The standard comprehensive DBT program consists of weekly group skills training, weekly individual therapy, DBT phone coaching, and weekly therapist consultation team meetings for 1 year (Linehan, 1993). The four core DBT skills modules, practiced in groups, are mindfulness, distress tolerance, emotion regulation, and interpersonal effectiveness. Individual therapy helps clients apply the learned skills within the context of their overall clinical needs. Clients use phone coaching for real-time skills coaching during challenging situations. Therapy consultation team meetings support therapists emotionally and educationally throughout the program. Program homework, such as diary cards, helps clients identify emotions and practice DBT skills in daily life.

The standard DBT program is comprehensive and requires effective therapists, positive environmental milieus, and strong patient—therapist relationships. Formal DBT training and supervision for therapists and staff are critical for proper DBT implementation (Linehan & Wilks, 2015).

An adaptation for DBT in correctional settings (DBT–Corrections Modified) has been described and utilized with benefit to emotion and behavior regulation and recidivism for incarcerated youth (Nyamathi *et al.*, 2018; Shelton *et al.*, 2011; Trestman *et al.*, 2004). DBT–Corrections Modified adaptations include modifying the manual vocabulary and examples to be more appropriate to the client's education level and experiences. The total duration of the program is also flexible to accommodate a facility's duration of incarceration.

Tomlinson (2018) detailed DBT modifications in forensic settings worldwide using the risk-need-responsivity (RNR) model for reducing recidivism risk. The RNR model outlined the importance of increased DBT intensity for high-risk populations (e.g., people charged with violent offenses), altered programs to target "criminogenic needs" (e.g., antisocial attitudes), and tailored for individuals' strengths (e.g., motivation). Tomlinson found that alterations of DBT in forensic settings are common due to each facility's unique population needs and organizational policies. They advised facilities to adhere to the RNR model, which is consistent with best practices in rehabilitation.

DBT has also been adapted for adolescents (DBT-A; Rathus & Miller, 2014) to include as needed family therapy, multifamily skills training group, and middle path skills (e.g., finding balance between two seemingly opposites) that coincide with the mental health treatment needs of incarcerated youth.

Incarcerated youth are in a developmentally critical period and require special program modifications to improve mental health outcomes and mitigate further legal system involvement. During adolescence, there are rapid changes in brain areas associated with executive functions such as response inhibition, risk-taking behavior, and emotion regulation (Steinberg, 2005). Although adolescents are more likely to engage in risky and delinquent behaviors, they are likely to grow out of delinquent behavior as they transition into adulthood (Boyer, 2006; Fagan & Western, 2005; Steinberg *et al.*, 2015). Thus, timely rehabilitation of children in juvenile forensic facilities is paramount.

#### **Current Study**

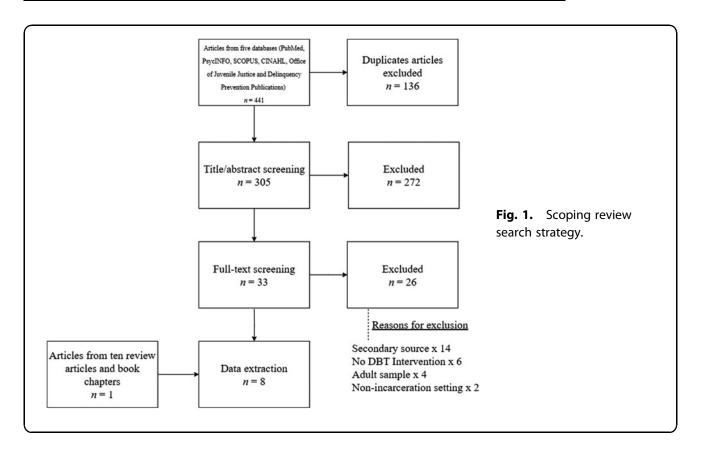
Implementation of DBT in juvenile forensic settings may be challenging due to underresourced facilities, lack of staff, or uncertainty about how best to implement the program (Fox & Whitt, 2008; Restum, 2005). Nonetheless, juvenile correctional and detention facilities have adapted DBT for their settings with varying success. Understanding the implementation science—the "study of methods to promote adoption and integration of evidence-based practices, interventions, and policies into routine health care and public health settings to improve the impact on population health"—of these adaptations is critical (National Cancer Institute, 2022).

This systematic narrative review of DBT programs in juvenile forensic settings aims to understand implementation challenges and successes, and highlight its utility in improving mental health and legal outcomes to inform future work in this area.

#### Method

The PRISMA extension for scoping reviews (PRISMA-ScR) was used to design and implement a systematic search for publications evaluating DBT in juvenile forensic settings (Tricco *et al.*, 2018). The preliminary protocol was preregistered on the Open Science Framework repository (https://osf.io/mrqb3/). A research librarian searched query terms (Appendix A1) in five databases (PsycINFO, PubMed, SCOPUS, CINAHL, Office of Juvenile Justice and Delinquency Prevention Publications). Both peer-reviewed and nonpeer-reviewed publications (gray literature) were eligible for inclusion.

The study screening process was documented in a PRISMA flow chart (Fig. 1). Our literature search yielded 441 articles, of which 136 were removed as duplicates. Three authors (P.Y., S.I.L., Z.B.) screened titles and abstracts of the remaining 305 articles. To ensure reliability, the authors first collectively screened 10 articles. The remaining articles were randomly assigned to the three authors for independent screening to determine whether they met inclusion criteria for full-text review. Inclusion criteria included (a) sample of youth in a secure forensic facility; (b) evaluation of DBT; and (c) examination of mental, behavioral, or physical health outcomes. Articles in languages other than English were excluded (n=3).



When independent screeners were uncertain, articles were collaboratively screened by at least two of the three screeners. Of the 302 articles, 269 did not meet inclusion criteria. Thirty-three articles (11%) were identified for full-text review by the same three authors. To ensure reliability, all coders collectively reviewed three articles. The remaining articles were randomly assigned to two coders each for review. Full texts were reviewed independently by two coders and discrepancies were resolved collaboratively.

Twenty-six articles did not meet inclusion criteria (secondary source n = 14; DBT not evaluated n = 6; sample did not contain youth n = 4; setting not a secure facility n = 2). Seven (21%) articles met inclusion criteria. Bibliographies of 10 secondary sources (systematic reviews, book chapters) identified during the search process were reviewed, yielding one additional article for inclusion. The overall total for the final analysis was eight articles.

#### **Results**

## **Study Characteristics**

All eight studies were conducted in the United States and published between 2002 and 2020 (Table 1). Six studies involved long-term juvenile correctional facilities for adjudicated delinquent youth and two involved short-term juvenile detention facilities for preadjudicated youth. Study designs were 13% cross-sectional and 87%

longitudinal. Sample sizes ranged from 4 to 1,031; 75% of studies had a sample size of less than 50.

Two studies compared DBT with the facility's standard treatment; the other six studies did not include a comparison condition. Participating youth ranged from 11 to 21 years old. Three studies included only females, three only males, and two included both sexes. Consistent with the disproportionate representation of racial and ethnic minoritized youth in the juvenile legal system, Black and Hispanic participants were overrepresented in study samples compared with the general population (Harris *et al.*, 2009). A summary of key DBT components and assessed outcomes can also be found in Table 2.

# **DBT Program Characteristics**

All studies incorporated DBT models modified in timing and/or components. All studies incorporated DBT skills groups ranging from 30 to 90 minutes weekly to biweekly for 12 to 40 weeks. Fox *et al.* (2020) did not specify the length of intervention. Walden *et al.* (2019) implemented self-contained skills training due to the average youth detention period of 3 weeks.

Six of the seven studies that listed skills modules included at least the four core modules: mindfulness, emotional regulation, interpersonal effectiveness, and distress tolerance. Only Fox *et al.* (2020) implemented the DBT-A "middle path" skill. Trupin *et al.* (2002) implemented the "self-management" skill. Fasulo *et al.* (2015)

Table 1. Selected Article Characteristics

Authors (year)	Treatment setting	Study design	Youth characteristics	Racial/ethnic composition	DBT staff training	DBT intervention approach	DBT skills modules	Main findings
Banks <i>et al.</i> (2015)	State of Tennessee juvenile correctional facility	Pre-post and cross-sectional qualitative assessment	Sample size  N = 9  % Male  0%  Mean age years  (range)  16 (14–18)	19% Black, 11% Hispanic, 70% White	During the intervention, staff received weekly supervised group preparation.	Participants received weekly 90-minute skills group for 12 weeks. Participants recorded a daily diary card on feelings, behaviors, and skills use. Participants received individual andor family therapy from DBT skillstraining group leaders or clinicians not involved with skills training group leaders or clinicians not involved with skills training groups.	Mindfulness, Emotion Regulation, Interpersonal Effectiveness, and Distress Tolerance	Youth mental health There was a significant improvement in Ohio Youth Scales Problems, Satisfaction, and Depression subscales, but not the hope or functioning subscales. Youth behaviors Within the Problems subscales, there was significant improvement imternalizing behaviors but not externalizing behaviors.  Staff DBT feedback Treatment providers described successful implementation of
Fasulo <i>et al.</i> (2015)	Long-term juvenile detention facility in a southeastern city	Cohort qualitative assessment	Sample size $N=4$ % Male $100\%$ Range age years $15-17$	50% Black, 25% Hispanic, 25% White	Clinicians are clinical psychology graduate students. They received weekly supervision by a licensed psychologist and an academic	Participants received twice weekly, 1-hour sessions over 12 weeks.	Managing the Moment, Building Coping Strategies, and Enhancing Resiliency	DBT skills groups. DBT skills use Session summaries and participant quotes showed acceptance and growth toward each of the three skills.
Fox et al. (2020)	Washington State juvenile rehabilitation residential facilities	Cross-sectional	Sample size  N=1,031  % Male  88.9%  Mean age years  (range)  17.1 (11-21)	56% White, 54% youth of color (not specified)	Prior to intervention, staff attend a 2-day training on DBT.  During the intervention, staff attended biweekly team consultation meetings.	Participants received weekly individual sessions and weekly skills group.	Mindfulness, Interpersonal Effectiveness, Emotion Regulation, Distress Tolerance, and Middle Path	Youth behaviors Increased environmental adherence led to a significant reduction in the odds of any recidivism and in the odds of felony

Table 1. (Continued)	(tinued)							
Authors (year)	Treatment setting	Study design	Youth characteristics	Racial/ethnic composition	DBT staff training	DBT intervention approach	DBT skills modules	Main findings
								recidivism, but not misdemeanor recidivism within 18 months of release. The rate of counseling sessions and skills groups was unrelated to recidivism within
Shelton et al. (2011)	Connecticut state correctional facility	Pre-post	Sample size  N = 26  % Male  100%  Mean age years  (range)  17.9 (16–19)	39% Black, 35% Hispanic, 4% Other, 23% White	Did not mention in article.	Participants received 16-week DBT-CM intervention, frequency unknown.	Mindfulness, Interpersonal Effectiveness, Distress Tolerance, and Emotion Regulation	Is months of release. Youth behaviors Participants had a statistically significant reduction in one of the two physical aggression measures (Buss-Perry Aggression Questionnaire) and using distancing as a coping strategy (Ways of Coping Checklist). There was a significant decrease in disciplinary tickets. Youth mental health There was no difference in negative or positive affect measures (Positive and Negative Affect Schedule)
Shelton et al. (2009)	Connecticut state correctional facility	Pre-post	Sample size DBT group: $n=22$ No DBT/case management group: $n=16$ % Male	DBT group: 40% Black, 27% Hispanic, 27% Native Hawaiian/ Pacific Islander, 5% White	Unspecified staff training.	Participants received 16-week DBT-CM intervention with twice weekly skills groups	Unspecified DBT skills	Youth behaviors After the 16-week skills group, there was a significant decrease in physical aggression

in the mental health

intervention.

population unit:

unit: 0%

which was sustained across all groups but 12-months). During At the 6-month followdecrease in the DBT After the intervention, disciplinary tickets. significant decrease youth in the mental behavior problems. groups. During the intake, community Youth mental health (Brief Psychiatric Ninety days after health unit had a psychopathology the intervention, difference in the scores decreased up, there was a Main findings risk assessment Questionnaire), (unspecified if Youth behaviors no significant in severity of dn-wolloj uc there was no reduction in 6-months or (Buss-Perry Aggression significant number of Rating). DBT skills modules Core Mindfulness, Effectiveness, Interpersonal Management Regulation, Tolerance, and Self-Emotion Distress DBT coaching or minute individual minute individual case management 4 weeks per skill received once or DBT intervention daily diary card twice per week for 10 months. assignment to 60-90-minute on skills use. completed a group skills approach followed by for 8 weeks training for Participants weekly 30weekly 30-**Participants** random Prior to intervention, site instruction and general population mental health unit received 80 hours case consultation of DBT training. 1-2 hours of on-DBT staff training unit received 16 received weekly Staff from the throughout the staff from the training. Staff hours of DBT group: 6% Asian, Native Hawaiian/ 25% Black, 38% American Indian, 15% Black, 10% treatment general health unit: 15% Pacific Islander, No DBT/standard population unit: Hispanic, 13% Hispanic, 50% Hispanic, 50% Racial/ethnic DBT in general 9% American DBT in mental composition management Indian, 22% Black, 14% No DBT/case 19% White White Youth characteristics health unit: n = 22treatment general **OBT** group: 100% population unit: population unit: No DBT/standard DBT group: 17.9 health unit: 0% DBT in general Mean age years DBT in mental DBT in general group: 100% DBT in mental management management group: 18.2 No DBT/case No DBT/case population Sample size n = 23n = 45% Male Study design Pre-post Washington State Treatment setting Administration Rehabilitation residential treatment Juvenile cottages Authors (year) Trupin et al.

Table 1. (Continued)

scores, self-reported

anxiety scores, and

therapy and on-

site skills

individual

limited

instruction and a

of online

2-day workshop.

Fier 3 staff

suicide risk.

reported depression

reductions in self-

Participants had

psychotherapy.

received 20 hours

individual

received

implementation.

Tier 2 staff

to treatment

concerns

study across three

study time points,

there were significant

However, there was

not a significant

coaching.

2-day workshop as Fier 2. There were

received the same

In the first study across points, there were no anxiety scores, anger months, there was a reduction in mental months. During the during the 10 DBT increase in general previous non-DBT intervention, there Youth mental health risk. In the second depression scores, scores, or suicide Compared with the was a significant three study time health unit staff punitive actions. Main findings punitive actions population staff likely to access unit were more services in the differences in rehabilitative Staff outcomes institution. significant DBT skills modules Core Mindfulness, Effectiveness, and Emotion Interpersonal Regulation Tolerance, Distress training groups for 4 weeks for a total of 16 weeks. Participants with DBT intervention received twice mental health minute skills approach weekly 75significant **Participants** Prior to intervention, system. Tier 1 staff for 9 months prior received 80 hours staff were trained of instruction and weekly meetings DBT staff training Tech, LLC, in a by Behavioral three-tiered Biracial (Black Hispanic, 59% Racial/ethnic 9% American composition Indian, 23% Study 1: 88% Black, 13% and White), 58% Black, 40% White Study 2: 3% Black, 7% White White Youth characteristics Study 1: 16 (14-18) Study 2: 16 (13-18) treatment general treatment general health unit: 14.8 population unit: population unit: No DBT/standard No DBT/standard DBT in general Mean age years Mean age years DBT in mental Study 2: n = 38Study 1: n=8population Study 1: 0% Study 2: 0% Sample size unit: 0% n = 15.2(range) % Male Study design Pre-post Treatment setting 92-bed juvenile correctional facility Authors (year) Wakeman (2010)

Table 1. (Continued)

Table 1. (Coll.	(Continued)							
Authors (year)	Treatment setting	Study design	Youth characteristics	Racial/ethnic composition	DBT staff training	DBT intervention approach	DBT skills modules	Main findings
					an additional	completed diary		difference in staff-
					4 hour-and-a-half	cards on skills		reported depression
					trainings for staff	use, thoughts,		scores, staff-reported
					who did not	emotions, and		anxiety scores, self-
					patriciate in the	behaviors.		reported anger
					Tier 3 training.			scores, and staff-
					Staff received			reported anger
					weekly			scores.
					consultation team			Youth behaviors
					meetings.			In the second study,
								there was not a
								significant decrease
								in disciplinary
								charges between the
								first half and the
								second half of the
								intervention
								DBT skills use
								At the end of the
								second study, 36 of
								the 38 participants
								provided positive
								qualitative feedback
								about the Core
								Mindfulness skills
								group.
								Youth DBT feedback
								Throughout the
								intervention,
								participant skills
								group leaders and
								coleaders completed
								feedback surveys
								indicating
								dissatisfaction with
								the intervention as a
								form of treatment
								and did not believe it
								helped students, but
								did enjoy leading the
								groups.

Table 1. (Continued)

Table 1. (Continued)

,								
Authors (year)	Treatment setting	Study design	Youth characteristics	Racial/ethnic composition	DBT staff training	DBT intervention approach	DBT skills modules	Main findings
Walden et al.	Short-term juvenile	Ъ	Sample size	2% Asian, 58%	Prior to intervention, Participants	Participants	Mindfulness,	DBT skills use
(2019)	detention facility in a mid-sized	sectional qualitative	N=113 % Male	Black, 20% Unknown. 20%	statt received 6 hours of training	received self- contained weekly	Interpersonal Effectiveness.	From Week 1 to Week 3 and over
	midwestern city	assessment	%69	White	followed by	90-minute skills	Emotion	time, there was a
			Mean age years		additional trainings	training groups	Regulation, and	significant increase
			(range)		2, 6, and	for up to	Distress	in reported use of
			15.4 (11–18)		12 months after the	12 weeks.	Tolerance.	Mindfulness,
					intervention began.	Participants	Mindfulness was	Distress Tolerance,
						completed a	implemented in-	Emotion Regulation,
						daily diary on	between each	and Interpersonal
						behavior, skills	skill model	Effectiveness. At
						use, and		Weeks 1, 2, and 3,
						emotions.		use of Mindfulness
								skills was
								significantly higher
								than use of other-
								module skills. When
								asked about

CM, corrections modified; DBT, dialectical behavior therapy.

treatment buy-in at the end of the intervention, participants reported that engaging in therapy implied weakness and was

not practical.

participants were able to discuss their use of Mindfulness skills to help them consider the

engagement with skills at the end of the intervention,

consequences of their actions. Youth DBT feedback When asked about

Table 2. Summary of Articles: Key Dialectical Behavior Therapy Components and Assessed Outcomes

	Banks et al. (2015)	Fasulo et al. (2015)	Fox et al. (2020)	Shelton et al. (2009)	Shetton et al. (2011)	<i>Trupin</i> et al. (2002)	Wakeman (2010)	<i>Walden</i> et al. (2019)
Type of facility Length of program	Correctional Detention 12 weeks	Detention 12 weeks	Residential Throughout duration	Correctional 16 weeks group followed	Correctional 16 weeks	Residential 10 months	Correctional 16 weeks	Detention 12 weeks
Group DBT skills	Core skills	Managing the Moment, Building Coping Strategies, and Enhancing	Core skills+DBT-A middle path	by o weeks murruual Skills included but content not specified	Core skills	Core skills+ self-management	Core skills	Core skills+ mindfulness skills between subsequent
Individual DBT therapy	Yes	Kesinency	Yes	Yes (DBT or case management)			Limited to those with the highest risk for mental	modules
Team consultation/	Yes	Yes	Yes	Training provided but		Yes	Yes	Yes
uanning Diary card Real-time coaching Mental health	Yes Yes			content not specified Yes	Yes	Yes	Yes Yes Yes	Yes
outcome Behavior outcome Skills use Staff-related	Yes	Yes	Yes	Yes	Yes	Yes Yes		Yes
outcomes Implementation outcomes	Yes						Yes	Yes

Core skills include mindfulness, emotional regulation, interpersonal effectiveness, and distress tolerance. Blank cells represent that the article did not include or mention the component or outcome. DBT-A, DBT for adolescence.

self-defined three skills: managing the moment, building coping strategies, and enhancing resiliency. Shelton *et al.* (2009) did not specify which skills modules were used. In Walden *et al.* (2019), mindfulness modules were delivered in between every module.

Four studies (50%) incorporated individual therapy (Banks *et al.*, 2015; Fox *et al.*, 2020; Shelton *et al.*, 2009; Wakeman, 2010). Fox *et al.* (2020) involved weekly individual DBT therapy. Individual therapy in Banks *et al.* (2015) was part of the facility's standard treatment and did not necessarily involve a DBT therapist. In Shelton *et al.* (2009), individual therapy took place after the group skills, as opposed to concurrently as described in the DBT manual (Linehan, 1993). In Wakeman (2010), only a subset of youth, referred by staff, received individual therapy.

Seven studies (88%) described staff training or team consultation meetings; only Shelton *et al.* (2011) did not. Fox *et al.* (2020), Trupin *et al.* (2002), and Wakeman (2010) incorporated both preintervention training and weekly or biweekly consultation meetings. Walden *et al.* (2019) incorporated preintervention training and three additional trainings 2, 6, and 12 months after the intervention began. Banks *et al.* (2015) described weekly consultation meetings, but not preintervention training. In Fasulo *et al.* (2015), the clinicians were clinical psychology students and received weekly supervision by a licensed clinical psychologist and an academic faculty member. Shelton *et al.* (2009) indicated staff were trained but did not specify how.

Only Wakeman (2010) discussed phone coaching, which was replaced by on-site skills coaching. Four studies (50%) described the use of diary cards where participants recorded their daily DBT skills use and behaviors, emotions, or thoughts (Banks *et al.*, 2015; Trupin *et al.*, 2002; Wakeman, 2010; Walden *et al.*, 2019).

#### **Youth Outcomes**

Four studies assessed mental health-related outcomes (Banks *et al.*, 2015; Shelton *et al.*, 2009, 2011; Wakeman, 2010). Wakeman (2010) described two evaluations of a 16-week DBT skills intervention (Ns = 8 and 38). Significant reductions in self-reported depression, anxiety, and suicide risk scores, but not in staff-reported scores or self-reported anger scores, were observed from preto postintervention only in the second study (N = 38).

Shelton *et al.* (2009) found a significant decrease in the severity of psychopathy (Brief Psychiatric Rating scale; Overall & Gorham, 1962) in the intervention group (n=22) compared with the standard-of-care control group (n=16) from preintervention to the 6-month follow-up; no changes in psychopathy were observed from preintervention to immediately after the 16-week skills group or at the 12-month follow-up.

Banks *et al.* (2015) found significant improvement in depression subscales (Beck Depression Inventory-II; Beck *et al.*, 1996) after the 12-week intervention (N=9). Shelton *et al.* (2011) did not find a difference between negative or positive affect measures (Positive and Negative Affect Scales; Watson *et al.*, 1988).

Five studies assessed youth behavioral outcomes (Banks *et al.*, 2015; Fox *et al.*, 2020; Shelton *et al.*, 2009, 2011; Trupin *et al.*, 2002). Fox *et al.* (2020) showed that youth who were exposed to more supportive DBT milieu management, but not more individual therapy or skills group sessions, had reduced rates of recidivism within 18 months of release (N=1,031). Trupin *et al.* (2002) found a reduction in composite behavior problems in the mental health unit (n=22) but not in the general population unit (n=23) during the 10-month intervention. They also found a reduction in community risk assessment scores in both groups 90 days after intake.

Shelton *et al.* (2011) found a reduction in disciplinary tickets after the intervention compared with a period before the intervention (N=26). Shelton *et al.* (2009) also found a reduction in disciplinary tickets after the intervention compared with 12 months prior, but not 6 months after the intervention; the analysis sample included adolescents and adults, ages 16–59 years. Shelton *et al.* (2009, 2011) found reductions in physical aggression (Buss-Perry Aggression Questionnaire; Buss & Perry, 1992) from pre- to postintervention, which was sustained at follow-up (Shelton *et al.*, 2009). Banks *et al.* (2015) found a significant improvement in internalizing but not externalizing behaviors (Ohio Youth Scales; Ogles *et al.*, 2004) after the intervention.

Three studies assessed positive behaviors (Shelton *et al.*, 2009, 2011; Trupin *et al.*, 2002). In Trupin *et al.* (2002), youth in the mental health unit were more likely to access rehabilitative services, such as completing a general equivalency degree or drug and alcohol program, during the DBT intervention compared with the year prior. Shelton *et al.* (2011) found that participants were more likely to use distancing as a coping strategy (Ways of Coping Checklist; Folkman & Lazarus, 1988) immediately after the intervention, whereas Shelton *et al.* (2009) found that youth were more likely to use accepting responsibility (Ways of Coping Checklist) at follow-up.

Two studies assessed DBT skills use (Fasulo *et al.*, 2015; Walden *et al.*, 2019). Walden *et al.* (2019) found a significant increase in reported use of mindfulness, distress tolerance, interpersonal effectiveness, and emotion regulation skills between intervention week 1 and week 3 (N=113). They also reported significantly greater use of mindfulness skills compared with the other DBT skills during the same period. In qualitative interviews after the 12-week intervention, youth described situations where they might use DBT skills but expressed difficulty

366 YANG ET AL.

implementing the skills outside of group sessions. Fasulo *et al.* (2015) qualitatively described four youths' progression through and use of skills during 24 group sessions.

#### **Staff Outcomes**

One study evaluated the number of staff punitive actions (e.g., room confinement) during the intervention period in the DBT mental health unit and the DBT general population unit (Trupin *et al.*, 2002). A reduction in punitive action from mental health unit staff compared with previous nonintervention periods was observed. In the general population unit, they found an increase in staff punitive action during the intervention period.

# **Implementation Outcomes**

Outcomes of implementation research are operationalized based on Proctor *et al.* (2011). Three studies evaluated implementation outcomes of the adapted DBT intervention (Banks *et al.*, 2015; Wakeman, 2010; Walden *et al.*, 2019). In Banks *et al.* (2015), DBT implementation was considered feasible based on qualitative interviews with staff group leaders on organization factors, program factors, change agents, and staff factors. Treatment providers described the helpfulness of the structured DBT skills training manual and supervision.

In Walden *et al.* (2019), implementation suggested challenges in acceptability and appropriateness. Youth qualitatively described challenges with treatment buy-in at the end of the intervention. Themes implied that engaging in therapy was associated with weakness and utilization of DBT skills during emotionally intense settings, such as physical fights, was not practical.

Wakeman (2010) also highlighted challenges in implementation acceptability and appropriateness. Most participants (95%) provided positive qualitative feedback about the mindfulness skills groups, describing it as fun and helpful, but also as lasting too long (75 minutes); youth also noted skills were difficult to remember. Youth skills group leaders (n=6–10) completed Likert-scale feedback surveys throughout the treatment. Overall, leaders were dissatisfied with the intervention and did not believe it helped youth, but expressed that they enjoyed leading the groups.

# **Discussion**

This systematic narrative review adds to the scientific literature regarding effective mental health programs for incarcerated youth and highlights the dearth of evidence available about the use of DBT in youth correctional facilities. Youth incarceration is a window of opportunity for delivering much-needed mental health treatment, and it is imperative that we optimize the use of the current punitive correctional youth system while reducing the harm this system inflicts on children, families, and communities.

Our review shows promising results about the effectiveness of DBT in reducing mental health concerns among incarcerated youth, reducing rates of recidivism, and improving staff caregiving skills. Of note, Trupin *et al.* (2002) demonstrated a decrease in the use of punitive actions by staff during DBT use, though some studies did not show a reduction in disciplinary actions after DBT. Shelton *et al.* (2009, 2011) focused on youth with impulsive behavior issues and demonstrated a decrease in aggressive behaviors. Few programs incorporated a comprehensive DBT program with all four core components (i.e., group skills, individual therapy, peer consultation, coaching); however, benefits existed even for programs that implemented group skills only.

Interestingly, DBT dosage did not vary considerably between detention facilities and correctional facilities. The two reviewed detention facilities implemented 12-week programs (Fasulo *et al.*, 2015; Walden *et al.*, 2019). Most correctional facilities implemented 16-week programs, with one program implementing a 12-week program and one program implementing a 10-month program. Unlike community settings, adapting DBT in forensic settings requires consideration of the clients' average length of incarceration. One detention facility made each session self-contained due to the short average length of detention (approximately 3 weeks) at their facility so that even youth who attended one session had the potential to benefit (Walden *et al.*, 2019).

Adequate implementation of comprehensive DBT in correctional facilities, and not just as stand-alone individual or group skills services, offers an opportunity to address the interpersonal conflict that commonly occurs among youth and between youth and staff. Some of the studies included in our review highlight the importance of equipping correctional staff with effective strategies to support youth and minimize the use of restraints, encourage effective communication, and reduce the negative power dynamics that can arise in correctional facilities.

Shifting the focus from solely treating youths' mental health to also creating social change in the way that staff, clinicians, and other caregivers in these facilities interact with youth can produce additional benefits to the implementation of therapeutic interventions. For example, Baetz *et al.* (2021) found that implementation of traumainformed practices in juvenile detention was helpful in reducing the harm of incarceration only when (a) a threshold number of youth were trained and (b) when both youth and staff were trained.

DBT can empower correctional staff with nonpunitive and effective caregiving skills that can improve communication, collaboration, and consistency among correctional and clinical staff, ultimately empowering youth with clinical and communication skills to improve their self-advocacy abilities. The reviewed articles described challenges associated with implementing DBT in juvenile forensic settings as well as implementation strategies used to address challenges (Waltz *et al.*, 2015). Commonly noted challenges included cost of DBT implementation, facility/staff resources, youth buy-in, and postadjudication processes (e.g., transfer, early release). Three of the reviewed articles were led by graduate students and/or student clinicians (Banks *et al.*, 2015; Fasulo *et al.*, 2015; Wakeman, 2010). Lack of funding for DBT in the facilities may have led well-meaning graduate students to incorporate innovative DBT programs in these settings. However, this may have led to the implementation of novice therapy to incarcerated youth, who are some of the most vulnerable members of society.

Other programs also noted challenges in youth buy-in, which may have contributed to disruptive behavior during group sessions (Wakeman, 2010; Walden *et al.*, 2019). Using the "engage consumers" strategies, clinicians can integrate youth experiences, such as cultural identities and life experiences, to promote intervention buy-in (Kaput, 2018). Fasulo *et al.* (2015) qualitatively described the initial challenges in establishing rapport with the youth. The clinicians used the "adapt and tailor to context" strategy, for example by incorporating youth-requested music to facilitate mindfulness exercises. Clinicians also intentionally deemphasized change strategies due to the youths' history of resisting attempts from authority to change their behaviors and invalidate their experiences.

Few of the reviewed articles discussed quality metrics. Fox *et al.* (2020) described challenges in standardized quality control for individual counseling and skills groups due to limited staff resources. Trupin *et al.* (2002) described five times more DBT training to staff working in the mental health unit than in the general population unit, muddying the interpretation of results due to unequal staff training, but this may be an important reminder of the type of "adapt and tailor to the context" strategy needed in youth correctional settings.

In summary, our review's conclusions should be carefully examined as the existing evidence base is limited in that most studies had small sample sizes of youth in a single facility and used within-subjects designs without control or active comparison conditions. Fully powered samples and more rigorous designs (e.g., randomized control trials) are needed.

Future directions in research assessing the effectiveness of DBT in youth correctional facilities should incorporate additional layers of cultural and structural components that may be needed to increase the impact of this intervention. For example, ensuring that trauma is compassionately and effectively addressed is of utmost importance to reduce the behaviors that tend to result in aggression and recidivism (Kerig, 2019). Implementation of DBT must also take into consideration

that youth in correctional settings may have never been exposed to mindfulness or overall mental health awareness frameworks, as most children have not. Effective use of these skills requires culturally relevant applications that are codeveloped with youth voices.

Incorporating family members in the assessment and treatment of incarcerated youth is also strongly recommended by national advocacy efforts and is a component of DBT-A, yet our review found only one publication describing family involvement in treatment (Development Services Group, 2018). Finally, future research and policy must consider the social and monetary cost of implementing therapeutic models in correctional settings as opposed to nourishing communities with services that keep youth in the community, enhance public safety, and are less expensive than traditional services for this population (Dopp *et al.*, 2018).

## **Acknowledgment**

We thank Christine S. Gaspard, MSLS, librarian liaison to the School of Medicine at UT Health San Antonio for her assistance developing and administering the search strategy.

#### **Authors' Contributions**

P.Y.: conceptualization (lead), data curation (equal), formal analysis (lead), investigation (equal), methodology (lead), project administration (lead), visualization (lead), writing—original draft (equal), and writing review and editing (equal). J.B.F.: supervision (equal), validation (equal), visualization (supporting), writing original draft (equal), and writing—review and editing (equal). S.I.L.: data curation (equal), formal analysis (supporting), investigation (equal), visualization (supporting), and writing—review and editing (equal). Z.B.: data curation (equal), investigation (equal), and writing—review and editing (equal). A.T.: supervision (equal) and writing—review and editing (supporting). B.R.-R.: supervision (equal), validation (equal), visualization (supporting), writing—original draft (equal), and writing—review and editing (equal).

#### **Author Disclosure Statement**

The authors disclosed no conflicts of interest with respect to the research, authorship, or publication of this article.

## **Funding Information**

J.B.F. received grant support from the National Institute on Drug Abuse (K23DA050798).

#### References

Anoshiravani, A. (2020). Addressing the unmet health needs of justice system-involved youth. *The Lancet Public Health*, *5*(2), e83. https://doi.org/10.1016/S2468-2667(19)30251-8

368 YANG ET AL.

- Baetz, C. L., Surko, M., Moaveni, M., McNair, F., Bart, A., Workman, S., Tedeschi, F., Havens, J., Guo, F., Quinlan, C., & Horwitz, S. M. (2021). Impact of a trauma-informed intervention for youth and staff on rates of violence in juvenile detention settings. *Journal of Interpersonal Violence*, 36(17–18), NP9463–NP9482. https://doi.org/10.1177/ 0886260519857163
- Banks, B., Kuhn, T., & Blackford, J. (2015). Modifying dialectical behavior therapy for incarcerated female youth: A pilot study. *Journal of Juvenile Justice*, 4(1), 1–14. https://www.ojp.gov/pdffiles/251064.pdf
- Beck, A. T., Steer, R. A., Ball, R., & Ranieri, W. (1996). Comparison of beck depression inventories -IA and -II in psychiatric outpatients. *Journal of Personality Assessment*, 67(3), 588–597. https://doi.org/10.1207/ s15327752jpa6703\_13
- Borschmann, R., Janca, E., Carter, A., Willoughby, M., Hughes, N., Snow, K., Stockings, E., Hill, N. T. M., Hocking, J., Love, A., Patton, G. C., Sawyer, S. M., Fazel, S., Puljević, C., Robinson, J., & Kinner, S. A. (2020). The health of adolescents in detention: A global scoping review. *The Lancet Public Health*, *5*(2), e114–e126. https://doi.org/10.1016/S2468-2667(19)30217-8
- Boyer, T. W. (2006). The development of risk-taking: A multi-perspective review. *Developmental Review*, 26(3), 291–345. https://doi.org/10.1016/j.dr.2006.05.002
- Buss, A. H., & Perry, M. (1992). The aggression questionnaire. *Journal of Personality and Social Psychology*, 63(3), 452–459. https://doi.org/10.1037/0022-3514.63.3.452
- Development Services Group, Inc. (2018). Family engagement in juvenile justice (Report No. 251737). Office of Juvenile Justice and Delinquency Prevention. https://ojjdp.ojp.gov/model-programs-guide/literature-reviews/family\_engagement\_in\_juvenile\_justice.pdf
- Dopp, A. R., Schaeffer, C. M., Swenson, C. C., & Powell, J. S. (2018). Economic impact of multisystemic therapy for child abuse and neglect. *Administration and Policy in Mental Health*, 45(6), 876–887. https://doi.org/10.1007/s10488-018-0870-1
- Fagan, A. A., & Western, J. (2005). Escalation and deceleration of offending behaviours from adolescence to early adulthood. *Australian and New Zealand Journal of Criminology*, *38*(1), 59–76. https://doi.org/10.1375/acri.38.1.59
- Fasulo, S. J., Ball, J. M., Jurkovic, G. J., & Miller, A. L. (2015). Towards the development of an effective working alliance: The application of DBT validation and stylistic strategies in the adaptation of a manualized complex trauma group treatment program for adolescents in long-term detention. *American Journal of Psychotherapy*, 69(2), 219–239. https://doi.org/10.1176/appi.psychotherapy.2015.69.2.219
- Folkman, S., & Lazarus, R. S. (1988). Coping as a mediator of emotion. *Journal of Personality and Social Psychology*, *54*(3), 466–475. https://doi.apa.org/doi/10.1037/0022-3514.54.3.466
- Fox, A. M., Miksicek, D., Veele, S., & Rogers, B. (2020). An evaluation of dialectical behavior therapy for juveniles in secure residential facilities. *Journal of Offender Rehabilitation*, 59(8), 478–502. https://doi.org/10 .1080/10509674.2020.1808557
- Fox, K. C., & Whitt, A. L. (2008). Telemedicine can improve the health of youths in detention. *Journal of Telemedicine and Telecare*, 14(6), 275–276. https://doi.org/10.1258/jtt.2008.008002
- Harris, C. T., Steffensmeier, D., Ulmer, J. T., & Painter-Davis, N. (2009). Are Blacks and Hispanics disproportionately incarcerated relative to their arrests? Racial and ethnic disproportionality between arrest and incarceration. *Race and Social Problems*, 1(4), 187–199. https://doi.org/10 1007/s12552-009-9019-x
- Hughes, N., Ungar, M., Fagan, A., Murray, J., Atilola, O., Nichols, K., Garcia, J., & Kinner, S. (2020). Health determinants of adolescent criminalisation. *The Lancet Child & Adolescent Health*, 4(2), 151–162. https://doi.org/10.1016/S2352-4642(19)30347-5
- Kaput, K. (2018). Evidence for student-centered learning. Education Evolving. https://eric.ed.gov/?id=ED581111
- Kenny, T. E., Carter, J. C., & Safer, D. L. (2020). Dialectical behavior therapy guided self-help for binge-eating disorder. *Eating Disorders*, 28(2), 202– 211. https://doi.org/10.1080/10640266.2019.1678982
- Kerig, P. K. (2019). Linking childhood trauma exposure to adolescent justice involvement: The concept of posttraumatic risk-seeking. Clinical Psychology: Science and Practice, 26(3), e12280. https://doi.org/10.1111/ cpsp.12280
- Linehan, M. M. (1993). Skills training manual for treating borderline personality disorder (pp. xii, 180). Guilford Press.

- Linehan, M. M. (2015). *DBT*<sup>®</sup> skills training manual, 2nd ed (pp. xxiv, 504). Guilford Press.
- Linehan, M. M., & Wilks, C. R. (2015). The course and evolution of dialectical behavior therapy. American Journal of Psychotherapy, 69(2), 97–110. https://doi.org/10.1176/appi.psychotherapy.2015.69.2.97
- National Cancer Institute. (2022, August 4). About Implementation Science. https://cancercontrol.cancer.gov/is/about
- Nelson-Gray, R. O., Keane, S. P., Hurst, R. M., Mitchell, J. T., Warburton, J. B., Chok, J. T., & Cobb, A. R. (2006). A modified DBT skills training program for oppositional defiant adolescents: Promising preliminary findings. Behaviour Research and Therapy, 44(12), 1811–1820. https://doi.org/10 .1016/j.brat.2006.01.004
- Nyamathi, A., Shin, S. S., Smeltzer, J., Salem, B., Yadav, K., Krogh, D., & Ekstrand, M. (2018). Effectiveness of dialectical behavioral therapy on reduction of recidivism among recently incarcerated homeless women: A pilot study. *International Journal of Offender Therapy and Comparative Criminology*, 62(15), 4796–4813. https://doi.org/10.1177/0306624X18785516
- Ogles, B. M., Dowell, K., Hatfield, D., Melendez, G., & Carlston, D. L. (2004). The Ohio scales. In M. E. Maruish (Ed.), *The use of psychological testing for treatment planning and outcomes assessment: Instruments for children and adolescents, volume 2* (3rd ed., pp. 275–304). Lawrence Erlbaum Associates Publishers.
- Overall, J. E., & Gorham, D. R. (1962). The brief psychiatric rating scale. *Psychological Reports*, 10, 799–812. https://doi.org/10.2466%2Fpr0.1962 .10.3.799
- Proctor, E., Silmere, H., Raghavan, R., Hovmand, P., Aarons, G., Bunger, A., Griffey, R., & Hensley, M. (2011). Outcomes for implementation research: Conceptual distinctions, measurement challenges, and research agenda. *Administration and Policy in Mental Health*, *38*(2), 65–76. https://doi.org/10.1007/s10488-010-0319-7
- Rathus, J. H., & Miller, A. L. (2014). *DBT*<sup>®</sup> skills manual for adolescents (pp. xvi, 392). Guilford Press.
- Restum, Z. G. (2005). Public health implications of substandard correctional health care. *American Journal of Public Health*, *95*(10), 1689–1691. https://doi.org/10.2105/AJPH.2004.055053
- Shelton, D., Kesten, K., Zhang, W., & Trestman, R. (2011). Impact of a dialectic behavior therapy–corrections modified (DBT-CM) upon behaviorally challenged incarcerated male adolescents. *Journal of Child and Adoles*cent Psychiatric Nursing, 24(2), 105–113. https://doi.org/10.1111/j.1744-6171.2011.00275.x
- Shelton, D., Sampl, S., Kesten, K. L., Zhang, W., & Trestman, R. L. (2009). Treatment of impulsive aggression in correctional settings. *Behavioral Sciences & the Law*, 27(5), 787–800. https://doi.org/10.1002/bsl.889
- Steinberg, L. (2005). Cognitive and affective development in adolescence. *Trends in Cognitive Sciences*, *9*(2), 69–74. https://doi.org/10.1016/j.tics .2004.12.005
- Steinberg, L., Cauffman, E., & Monahan K. C. (2015). *Psychosocial maturity and desistance from crime in a sample of serious juvenile offenders* (report No: 029840). OJJDP Juvenile Justice Bulletin. https://ojjdp.ojp.gov/sites/g/files/xyckuh176/files/pubs/248391.pdf
- Tomlinson, M. F. (2018). A theoretical and empirical review of dialectical behavior therapy within forensic psychiatric and correctional settings worldwide. *International Journal of Forensic Mental Health*, *17*(1), 72–95. https://doi.org/10.1080/14999013.2017.1416003
- Trestman, R. L., Gonillo, C., & Davis, K. (2004). Dialectical Behavior Therapy—Corrections Modified (DBT-CM) skills training manual [Unpublished treatment manual]. University of Connecticut Health Center.
- Tricco, A. C., Lillie, E., Zarin, W., O'Brien, K. K., Colquhoun, H., Levac, D., Moher, D., Peters, M. D. J., Horsley, T., Weeks, L., Hempel, S., Akl, E. A., Chang, C., McGowan, J., Stewart, L., Hartling, L., Aldcroft, A., Wilson, M. G., Garritty, C., ... Straus, S. E. (2018). PRISMA extension for scoping reviews (PRISMA-SCR): Checklist and explanation. *Annals of Internal Medicine*, 169(7), 467–473. https://doi.org/10.7326/M18-0850
- Trupin, E. W., Stewart, D. G., Beach, B., & Boesky, L. (2002). Effectiveness of a dialectical behaviour therapy program for incarcerated female juvenile offenders. *Child and Adolescent Mental Health*, 7(3), 121–127. https://doi.org/10.1111/1475-3588.00022
- Underwood, L. A., & Washington, A. (2016). Mental illness and juvenile offenders. *International Journal of Environmental Research and Public Health*, *13*(2), 228. https://doi.org/10.3390/ijerph13020228
- Wakeman, E. E. (2010). Modified core mindfulness skills training in an adolescent female correctional sample [Doctoral thesis, University of Alabama Libraries]. https://ir.ua.edu/handle/123456789/961

Walden, A. L., Stancil, N., & Verona, E. (2019). Reaching underserved youth: A pilot implementation of a skills-based intervention in short-term juvenile detention. *Journal of Prevention & Intervention in the Community*, 47(2), 90–103. https://doi.org/10.1080/10852352.2019 .1582147

Waltz, T. J., Powell, B. J., Matthieu, M. M., Damschroder, L. J., Chinman, M. J., Smith, J. L., Proctor, E. K., & Kirchner, J. E. (2015). Use of concept mapping to characterize relationships among implementation strategies and

assess their feasibility and importance: Results from the Expert Recommendations for Implementing Change (ERIC) study. *Implementation Science*, *10*, 109. https://doi.org/10.1186/s13012-015-0295-0

Watson, D., Clark, L. A., & Tellegen, A. (1988). Development and validation of brief measures of positive and negative affect: The PANAS scales. *Journal of Personality and Social Psychology*, *54*(6), 1063–1070. https://doi.org/10.1037//0022-3514.54.6.1063

# **Appendix A1**

#### **Database Search Query Terms**

"dialectical behavioral therapy" OR "DBT" AND "juvenile detention" OR "juvenile justice" OR "juve-

nile corrections" OR "juvenile facility" OR "incarcerated youth" OR "juvenile offender" OR "youth detention"