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1 2	Title Riffle-pool maintenance and flow convergence routing observed on a large gravel-bed river
3 4 5 6	Running title: Riffle-pool maintenance observed
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26	

27 Abstract

28 Geomorphologists have studied and debated over the processes responsible for natural 29 riffle-pool maintenance for decades. Most studies have focused on small wadable rivers, but 30 they lack much description of overbank flood conditions or a spatially explicit characterization 31 of morphodynamics. In this study, 1-m horizontal resolution digital elevation models were 32 collected from a riffle-pool-run sequence before and after an overbank flood with a 7.7-year recurrence interval on the relatively large gravel-bed lower Yuba River, California. Digital 33 34 elevation model differencing was used to quantify the magnitude and pattern of flood-induced morphodynamic change. Cross section based analysis and two dimensional hydrodynamic 35 modeling of flows ranging from 0.147-7.63 times bankful discharge were completed to evaluate 36 the hydraulic mechanisms responsible for the observed topographic changes. One key finding 37 38 was that riffle-pool relief increased by 0.42 m, confirming the occurrence of natural hydrogeomorphic maintenance. Spatially complex patterns of scour and deposition exceeding 39 0.15 m at the scale of subwidth morphological units were reasonably predicted by the two 40 dimensional mechanistic model that accounts for convective acceleration. The one dimensional 41 cross section based method underperformed the two-dimensional model significantly. 42 43 Consequently, multiple scales of channel nonuniformity and a dynamic flow regime caused the observed maintenance of the pool-riffle morphology through the mechanism of "flow 44 45 convergence routing" proposed by MacWilliams et al. (2006). 46 *Keywords: velocity reversal; pool-riffle sequence; hydrodynamic modeling; channel change;* fluvial geomorphology 47

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p. 2

49 **1. Introduction**

50

51	Riffle-pool sequences are important morphological characteristics of low to moderate
52	gradient gravel-bed streams. Local flow convergence and divergence in either freely formed
53	(i.e., cross channel flow or sediment transport) or forced (i.e., channel bends, obstructions)
54	channel patterns form such sequences (Lisle, 1986; Montgomery and Buffington, 1997). Pools
55	are topographic depressions covered with finer sediment, while riffles are topographic highs
56	covered with coarser bed material; these two features are defined relative to each other (O'Neill
57	and Abrahams, 1984; Montgomery and Buffington, 1997). Under low-flow conditions, vertical
58	variations in topography along the length of a river control hydraulics and sediment transport;
59	pools having slow, divergent flow, low water-surface slope, and low transport competence; and
60	riffles having faster, convergent flow, steep water-surface slope, and moderate transport
61	competence (Clifford and Richards, 1992). Riffle-pool morphology creates physical
62	heterogeneity, promoting habitat diversity for instream species (Gorman and Karr, 1978; Brown
63	and Brown, 1984; Palmer et al., 1997; Giller and Malmqvist, 1998; Woodsmith and Hassan,
64	2005).
65	Explanations for riffle-pool sequence maintenance have been debated for decades.
66	Geomorphologists historically observed a reversal in mean flow parameters (e.g., mean velocity,
67	near-bed velocity, and bed shear stress) as a possible explanation for riffle-pool maintenance in
68	gravel-bed rivers. The velocity reversal hypothesis states that "at low flow the bottom velocity is
69	less in the pool than in the adjacent riffles" and that "with increasing discharge the bottom
70	velocity in pools increases faster than in riffles" (Keller, 1971, p. 754). Gilbert (1914) first

71 described a reversal in bottom velocity but was unable to quantify this observation. Lane and

72 Borland (1954) later speculated that channel hydraulic conditions in riffle-pool sequences and 73 channel geometry both affect scour and deposition patterns during high flow events. Actual 74 velocity measurements were not taken to support these observations until Keller's (1969, 1971) studies on Dry Creek near Winters, California. Keller measured near-bed velocities at pool and 75 riffle cross sections during several safely wadable discharges. He showed that velocities became 76 77 similar as flow increased, but not that the near-bed velocity in the pool actually became higher than in the riffle. Thus, he coined the "hypothesis of velocity reversal" (Clifford and Richards, 78 79 1992; MacWilliams et al., 2006). 80 The velocity reversal hypothesis has been highly contentious in the scientific community. Uncertainty mainly arises from differing approaches to describing this phenomenon (Woodsmith 81 and Hassan, 2005). Early studies, such as Teleki (1971) and Whittaker and Jaeggi (1982), 82 83 refuted Keller's velocity reversal hypothesis because of inconsistency with hydraulic principles and insufficient description of water-sediment interface conditions. Other studies aimed to 84 describe the velocity reversal hypothesis using alternative parameters, such as mean boundary 85 shear stress (Lisle, 1979), section-averaged velocity (Clifford and Richards, 1992; Keller and 86 Florsheim, 1993) and section-averaged shear velocity (Carling, 1991). 87 88 Increasingly, field-validated hydrodynamic models are being used to describe and 89 evaluate hydraulic and geomorphic phenomena (Keller and Florsheim, 1993; MacWilliams et al., 90 2006; Pasternack et al., 2008). Complete morphodynamic models that simulate mass and 91 momentum conservation of water and sediment in dynamic gravel-bed rivers would be ideal, but 92 they have not been widely used and validated yet. Simplified morphodynamic models that 93 ignore momentum conservation violate observed interdependencies between depth and velocity 94 as a function of stage in rivers and are not accurate enough for the questions under investigation.

95 Conversely, significant limitations have been reported when only semi-analytical equations or 96 one-dimensional (1D) hydraulic models are used to evaluate gravel-bed river dynamics, because 97 these tools do not incorporate necessary hydrodynamic mechanisms (MacWilliams et al., 2006; 98 Brown and Pasternack, 2008b). It has been posited that two-dimensional (2D) and three-99 dimensional (3D) models yield a compromise at this time between the two unsatisfactory 100 endmembers in that they enable spatially detailed characterization of velocity and bed shear stress at high flows under which field measurements are impractical. In one such study, 101 102 MacWilliams et al. (2006) were able to determine that the velocity reversal hypothesis was not 103 adequate to describe processes responsible for riffle-pool maintenance on Dry Creek in a 104 reexamination of Keller's original study using 2D and 3D models. Instead of rejecting Keller's (1969, 1971) ideas, they proposed the concept of flow convergence routing as a "new working" 105 106 hypothesis" to describe these processes. It states that flow converges in riffles at low flows, causing armoring, gradual incision, and diminishing relief; but that during high magnitude, 107 infrequent floods, flow converges in pools, causing rapid scour that enhances relief. 108 109 MacWilliams et al. (2006) also reviewed all studies of velocity reversal (incorporating a range of flow parameters) and stated that these should be viewed as a "suite of multiple working" 110 111 hypotheses for explaining riffle-pool morphology" based on different maintenance mechanisms present in varying channel conditions. In this study, the flow convergence routing hypothesis is 112 113 further explored in conjunction with the velocity reversal hypothesis to qualify riffle-pool 114 maintenance mechanisms in a large, dynamic gravel-bed river system. 115 A key gap in the existing knowledge of riffle-pool maintenance is the lack of studies in 116 larger gravel-bed rivers, defined as those with a nondimensional base-flow width to median bed

117 material size ratio $> 10^3$ and a width too large to be spanned by the length of a fallen riparian

118 tree. Most previous studies sought to observe pool and riffle hydraulics over a wide range of 119 flows. This necessitates safe and practical wading conditions or a narrow channel that can be 120 spanned by a simple bridge for measuring hydraulic variables during floods (e.g., Keller, 1969, 121 1971; Richards, 1976a,b; Clifford and Richards, 1992), and therefore previous efforts have 122 focused on relatively small streams. In small streams, wood, boulders, and bedrock outcrops 123 often create channel constrictions and significantly alter channel hydraulics in small streams (Thompson et al., 1998, 1999). In such circumstances, pool geometry is controlled by 124 125 constrictions where flow and sediment convergence encourages scour and pool maintenance, while exit slopes control deposition at the pool tail (Thompson et al., 1998). However, such 126 localized features' impact on large gravel-bed rivers is unknown. 127 The overall goal of this study was to address this critical research gap by investigating the 128 129 mechanisms of natural riffle-pool maintenance on a large river meeting the above criteria. Two key elements enabled the characterization of riffle-pool response on a large river to an infrequent 130 flood: (i) a uniquely managed river basin (as described in section 2) in a Mediterranean climate 131 132 in a water year with two long periods of low flow punctuated by a single high-magnitude, short duration flood that enabled detailed pre- and post-flood channel characterization and (ii) a 133 134 pairing of field observation and high-resolution 2D hydrodynamic modeling that simulated the 135 effect of vertical and lateral channel nonuniformity on bed scour during the peak of the flood. 136 2D models have limitations as set forth below, but they can be used to explore hydrodynamic 137 mechanisms beyond what is possible from empirical equations or simpler 1D models. 138 The specific objectives of this study were to (i) measure channel change at an 139 ecologically important riffle-pool unit on a large dynamic river before and after an overbank 140 flood and determine if relief was maintained; (ii) quantify riffle-pool reversals in point-scale

141 depth-averaged velocity and bed shear stress as well as section-averages of those variables; (iii) 142 compare the abilities of one-dimensional cross section based hydraulic geometry analyses and 143 2D hydrodynamic modeling to predict channel conditions such as width, depth, velocity, and discharge-slope relations- these are two different analysis tools used by different groups of 144 practitioners, so it was helpful to use both to see what they reveal and then intercompare their 145 findings; (iv) relate the observed pattern of scour and deposition caused by the flood to 146 nondimensional shear stress predictions provided by a 2D hydrodynamic model and (v) reassess 147 148 whether the flow convergence routing hypothesis was suitable to describe processes responsible 149 for riffle-pool morphology maintenance for a large river. By combining observational field data, 150 cross section analyses, and mechanistic modeling, obtaining a new and unique perspective on riffle-pool maintenance for large rivers was possible. Although this study does not end 151 discussion about natural riffle-pool maintenance, it supported evidence of flow convergence 152 routing and geomorphic significance in a large gravel-bed river for the first time. 153

154

155 2. Study Area

156

The Yuba River basin (California) flows SW on the western slope of the Sierra Nevada in
northern California and drains a 3490-km² watershed in Sierra, Placer, Yuba and Nevada
counties (Fig. 1). The North, Middle, and South Forks of the Yuba River converge in a canyon
above Englebright Dam; and then Deer Creek, a sizable regulated tributary draining ~ 220 km²,
joins the Yuba ~ 1.9 km downstream in the canyon.

During the California Gold Rush (mid to late 1800's) gold-bearing Tertiary sediments
were hydraulically mined after in-channel deposits were exhausted. As a result of hydraulic

180

164 mining, mercury-laden hydraulic mine tailings from tributaries substantially increased the 165 sediment supply to the Yuba River. Before hydraulic mining, hillslope erosion naturally 166 dominated sediment production (James, 2005). According to Gilbert (1917), unlicensed hydraulic mining supplied ~ 522 million m³ of sediment to the Yuba River until the Sawyer 167 Decision of 1884 ended such large-scale operations (Curtis et al., 2005). 168 169 Englebright Dam (storage capacity of 82.6 million m³) was built in 1941 as a debris barrier on the main stem Lower Yuba River (LYR). In 1971, New Bullards Bar Reservoir 170 171 (storage capacity of 1.19 billion m³) was completed at a site ~ 28 km upstream from Englebright 172 on the North Fork Yuba River. Given that the Middle and South Forks do not have large reservoirs, large winter rainstorms and spring snowmelt commonly produce uncontrolled floods 173 that overtop Englebright. Historically, large natural interannual variations in discharge occurred 174 175 (Fig. 2), with rapid flow fluctuations in November through March from direct storm runoff, a sustained snowmelt flow from April through June, and a stable summer base flow from July to 176 October (LYRFTWG, 2005). Streamflow data are recorded at the U.S. Geological Survey 177 (USGS) Smartville gage (#11418000) 0.5 km downstream from Englebright Dam in the bedrock 178 canyon. During the period between the completion of Englebright Dam in 1942 and New 179

181 Smartville gage was 328.5 m³s⁻¹. In the period since 1971, the gage's Q_b is 159.2 m³s⁻¹.

Bullards Bar in 1971, the statistical bankful discharge ($Q_{\rm b}$, 1.5-year recurrence interval) at the

Present-day channel conditions are governed by past and present human activities. Dams, bank alteration, and in-channel mining often cause narrowing, incision, changes to channel pattern, and coarsening of bed sediments as a result of sediment supply reduction and increased transport capacity (Williams and Wolman, 1984; Kondolf, 1997). Even though Englebright Dam blocks all bedload replenishment to the LYR, high sediment supply–a legacy

187 of hydraulic mining– means that the LYR remains a wandering gravel-bed river with a valley-188 wide active zone. However, the absence of a bedload influx contributes to a rapid valley-wide 189 incision rate on the order of ~ 10 m over 65 years. Based on a comparison of photographs taken 190 by G. K. Gilbert in 1906 and a series of aerial and ground-based photographs taken from 1937 to 191 2006 (White, 2008), a sequence of pools and riffles has persisted for decades despite the rapid rate of long-term incision (Fig. 3). Other historical channel changes in the LYR include 192 193 significant anthropogenic bank and meander bend stabilization with large dredger tailing piles. channel activation and abandonment, riparian vegetation growth cycles, and natural levee 194 195 stabilization. In summary, the geomorphology of the modern LYR is heavily impacted, but an 196 abundant supply of coarse bed material and relatively natural flow regime (especially bedload-197 mobilizing flood flows) enabled riffle-pool sequence maintenance in the same locations for 30-198 100 years. A description of ecological conditions in the LYR, including details about the study site, is beyond the scope of this paper (see Moir and Pasternack (2008) and Pasternack (2008)). 199

200

201 2.1. Timbuctoo Bend study site

Downstream from Englebright Dam after the bedrock canyon ends, a valley-wide 202 203 wandering gravel-bed river exists (Fig. 1). This study focuses on a \sim 450-m-long by \sim 200-mwide riffle-pool-run unit of the LYR 6.25 river-km downstream from Englebright Dam at the 204 apex of a large meander bend in the valley called "Timbuctoo Bend" (39°13'56" N., 121°18'48" 205 206 W.). Timbuctoo Bend is characterized by active gravel bars, a well-connected floodplain, 207 secondary and tertiary flood channels, and nonuniform channel geometry. Specifically, the study 208 site has a large and dynamic island/bar complex that defines a riffle-pool-run morphology 209 (upstream to downstream). Below Q_b , a perennial side channel existed along the river-right bank

210	of the study site; above Q_b the island and part of the floodplain are submerged. The bankful
211	channel in 2004 and 2005 was defined by moderately steep alluvial banks lined by
212	nonencroaching, semipermanent, low-growing woody riparian vegetation (mostly Salix spp.)
213	(LYRFTWG, 2005). At ~ $2 \cdot Q_b$ locations with valley-wide flow exist, and then at ~ $3 - 4 \cdot Q_b$
214	valley-wide flow existed across the entire site. Isolated, streamlined bedrock outcrops with
215	localized scour holes exist on both sides of the valley in the study area. According to Moir and
216	Pasternack (2008), the bed material at the site was a gravel and cobble mixture (D_{50} of 60 mm
217	and D_{90} of 123 mm) with very little sand present near the bed surface and a heavily armored
218	riffle crest. The mean channel bed slope at Timbuctoo Bend in 2004 was 0.0054.
219	In May 2005 a flood occurred on the Yuba River caused by a large rainstorm beginning
220	on 15 May, which abated after 2:00 p.m. on 16 May and then resumed again after 6:00 p.m. on
221	17 May. Rainfall stopped at 5:00 p.m. on 19 May. In the upper Yuba watershed at Lake
222	Spaulding (1572 m above mean sea level), the total rainfall during the event was 218.19 mm,
223	with a peak intensity of 7.87 mm/hr on the evening of 18 May. Prior to the flood, the river was
224	at a base flow of ~ 30 m^3s^{-1} for 6 months with spring snowmelt elevating flows throughout April
225	2005. The flood peaked at 1215.8 m ³ s ⁻¹ during the night of 21 May 2005. Using log-normal
226	flood frequency analysis on the 1971-2004 dataset, this corresponded to a 7.7 year recurrence
227	interval. By 31 May the flow receded from the floodplain and evidence of channel change
228	warranted investigation. Three weeks later the flow receded to 85 m ³ s ⁻¹ .
229	

3. Methods

231

A high-resolution, feature-based topographic survey shortly before and shortly after the

233 May 2005 flood provided key data to characterize channel change at the study site. Digital 234 elevation models (DEMs) from these surveys were used to drive at-a-station hydraulic geometry 235 analysis, 2D hydrodynamic models, and DEM differencing. Hydraulic field data collected 236 before, during, and after the flood were used to prepare and validate the models. Four discharges were analyzed, the autumn low flow (23.4 m³s⁻¹), present-day Q_b (159.2 m³s⁻¹), the 1942-1971 237 $Q_{\rm b}$ (328.5 m³s⁻¹), and the peak of the 7.7-year event (1215.8 m³s⁻¹) that occurred during the 21 238 May 2005 flood. Together these four discharges represent the low to middle range of the natural 239 rone 240 flood hydrograph of the Yuba River at Timbuctoo Bend. 241 242 3.1. Field Methods 243

3.1.1. Topography 244

Topography was mapped in detail before and after the May 2005 flood. For the pre-245 flood condition, data were collected during the low flow period from September 2004 to March 246 247 2005, using methods similar to Brasington et al. (2000), Pasternack et al. (2004, 2006), and Elkins et al. (2007). A Trimble 5700 Real Time Kinematic GPS was used to perform static 248 249 surveys to establish three permanent benchmarks in geographic coordinates. Corpscon 6.0 was 250 used to convert those coordinates to the projected California State Plane Zone II (NAD83 datum) 251 coordinates and the NAVD88 vertical datum. Working from these benchmarks, a Topcon GTS-252 802A robotic total station measured bed positions on a staggered grid with supplemental points 253 as needed to resolve bed features (e.g., boulders, slope breaks, redd dunes, etc). The few 254 unwadable locations were mapped by total station using a long prism pole held over the side of a 255 small inflatable raft. After quality checks, the survey yielded 28,008 points with a mean

256 sampling density in the channel of 0.617 points/ m². A lower sampling density was used on the 257 relatively flat floodplain, yielding an overall sampling density for the whole study area of 0.418 258 points/m². Surveying accuracy was assessed using 98 control network checks and was found to 259 average 0.013 m in the horizontal and 0.011 m in the vertical, which is significantly smaller than 260 the natural error induced by the bed material, typically ranging in size between 0.05-0.2 m. 261 For the post-flood condition, site bathymetry was surveyed using a boat-based approach on the falling limb of the flood shortly after bedload transport had abated. The survey was 262 performed on 10-11 June 2005 over which period flows attenuated from 167 to 116 m³s⁻¹. A 263 264 private hydrography firm (Environmental Data Solutions, San Rafael, CA) was contracted to partner in this effort to produce a map meeting U.S Army Corps of Engineers' rigorous Class 1 265 standard (\pm 0.15m vertical accuracy; USACE, 2002). A customized 6-m long Boston Whaler 266 267 was outfitted with an Odom Hydrotrack survey-grade fathometer with a 3°, 200-kHz transducer. Geographic positions for the fathometer were collected using a Trimble 5700 real-time kinematic 268 GPS receiving corrections by radio from an on-site base station located on one of the 269 270 preestablished benchmarks. Both streams of data were integrated in real-time using Hypack Max 271 4.3 (Hypack, Inc., Middletown, CT). Where depth permitted, the boat made cross sections on \sim 272 3-m intervals and did six longitudinal transects approximately evenly spaced across the channel. 273 Because bathymetry was mapped during the falling limb of the flood to access as much of the river as possible by boat, it was necessary to account for changes in the water surface slope 274 275 through time to refine bathymetric mapping. Four Mini Troll 400 vented pressure transducers 276 (In-situ, Inc., Fort Collins, CO) recording water levels once every minute were placed in the river 277 along the study site in suitable hydraulic conditions at key water surface slope breaks and their 278 elevations were surveyed using a total station. An algorithm within Hypack (tide adjustments)

279 was used to interpolate water surface slopes based on the distance between the pressure 280 transducers. In post-processing, a radial filter was applied to the boat-based data to ensure 0.25-281 m spacing between points. Ouality assurance and quality control information beyond the scope 282 of this summary is on file with the contractor. The floodplain was subsequently surveyed with a Leica TPS 1200 robotic total station using the same approach as described above. In September 283 and October 2005 when flow was at its lowest, the Leica total station was used to map all 284 remaining gaps in the data set. In addition, two regions where the boat had been used were 285 286 resurveyed with the Leica total station as a quality check to compare the results of the two 287 methods. Accounting for both data collection methods and quality checks, a total of 48,914 points were collected to characterize the post-flood surface. The mean sampling density in the 288 channel was 1.14 points/m², and for the entire site including the floodplain was 0.73 points/m². 289 290 Topographic data from each survey were imported into Autodesk Land Desktop 3 to create a DEM of the study site pre- and post-flood using a standard TIN-based approach with breaklines 291 (Pasternack et al., 2004, 2006; Wheaton et al., 2004a; Elkins et al., 2007). 292

293

294 *3.1.2. Hydraulics*

295 Cross-sectional depth and velocity data were collected along three transects (Fig. 4) on 13 296 February, 2005 using standard methods appropriate for validating a 2D hydrodynamic model 297 (Pasternack et al. 2004, 2006; Wheaton et al., 2004a; Brown and Pasternack, 2008a). The only 298 modification of the method for this study (on a much wider river) was to use the Topcon GTS-299 802A to survey the exact position of each paired measurement of depth and velocity, which were 300 collected on average every 2.87-m along a transect. This allowed field data to be precisely 301 compared to model predictions at the same location. Transects 1 and 2 spanned the mainstem

302 channel and were also used to estimate total discharge (O), whereas transect 3 spanned only the side channel. Measurement errors were ± 1 cm for depth using a stadia rod and ± 33 mm s⁻¹ root 303 304 mean square for velocity using a Marsh-McBirney Flo-Mate 2000. Velocity was sampled at 30 305 Hz and averaged over 30 s at 0.6×depth from the water surface to obtain an approximate depthaveraged velocity (Moir and Pasternack, 2008). Studies of flow around individual large grains 306 307 and pebble clusters demonstrate that point measurements of velocity at arbitrary locations on a gravel-bed will be strongly influenced by these features at the 0.1-0.5 m scale (Paola et al., 1986; 308 Acarlar and Smith, 1987; Kirkbride and Ferguson, 1995; Buffin-Belanger and Roy, 1998; 309 310 Lawless and Robert, 2001a,b). Thus, one must acknowledge that field observations are inherently noisy across a section, while model simulations lacking subgrid scale details are 311 312 inherently smooth.

In addition, the water surface elevation (WSE) along the edge of the channel was mapped using the Topcon total station for three of the four discharges modeled in this study (23.4, 328.5, and 1215.8 m^3s^{-1}). Physical indicators of the 1215.8 m^3s^{-1} peak (delineated by bank scour and a line of debris) were surveyed with the Topcon total station the following day during the falling limb.

318

319 3.1.3. Sedimentary analysis

Sedimentary characteristics across the entire site were visually assessed and mapped prior to the flood (Moir and Pasternack, 2008). In this procedure, sediment character was defined in terms of the dominant and subdominant size classes (i.e., boulder > 256 mm, cobble 64-256 mm, gravel 2-64 mm, sand and finer < 2 mm, all sizes being intermediate axis diameter). In addition, the "Wolman-walk" procedure (Wolman, 1954) was used to conduct 32 pebble counts at the

325 study site in autumn 2004. Although data were collected at low discharge conditions, flows at 326 certain regions of the site were too deep and/or fast to permit sampling using this technique. 327 Visual assessment of those areas was performed. Thus, samples were not evenly distributed throughout the site or across all morphological units; they tended to be biased toward accessible 328 329 channel margin locations. At each location, a minimum of 100 particles (mean = 120, range = 330 100-219) were sampled across a $\sim 3 \text{ m} \times 3 \text{ m}$ section of the bed. Each sampling location's reci 331 central point was surveyed using the Topcon total station. 332 333 3.2. Scour Pattern Analysis 334 Whereas many previous studies have evaluated channel hydraulics over a range of

discharges to ascertain whether a velocity reversal existed, few have reported the details of 335 topographic change resulting from overbank floods, as recorded using comprehensive digital 336 337 elevation modeling and DEM differencing. In this study, the pre- and post-flood surveys enabled a comprehensive characterization of flood-induced channel change as well as interpretation of 338 the change in terms of any riffle-pool relief maintenance. Also, the depth and velocity 339 predictions from the 2D model of the flood's peak discharge along with the bed material data 340 enabled prediction of the Shields stress pattern of the river during the flood. A comparison of the 341 342 Shields stress pattern against the measured topographic changes allows for interpretation of the physical processes occurring during floods. 343

344

345 *3.2.1. Channel Change*

346 Pre- and post-flood DEMs were imported into ArcGIS 9.2 where a differencing analysis
347 was performed to characterize the spatial pattern of net scour and deposition from the May 2005

348 flood at Timbuctoo Bend. The DEM difference (Δz) was calculated by subtracting the 2004 349 surface from the 2005 surface. Coincident rasters (cell size 0.023 m²) were generated from 350 triangular irregular network (TIN) elevation models in 3D Analyst and then differenced using 351 Spatial Analyst. The raw differenced surface was then classified to identify areas of scour and 352 deposition. To assess uncertainty in DEM differencing caused by various sources of error, a 353 sensitivity analysis was performed in which different minimum thresholds (0, ± 0.0254 , ± 0.0508) , ± 0.15 , and ± 0.3 m) were set below which the difference values were forced to equal zero. The 354 355 zonal statistics tool was then used to calculate the gross and net volumetric difference between 356 the DEMs for each threshold value. To convert volumes to masses for this loose gravel and cobble, a density estimate of 1.645 tonnes m⁻³ was used based on the quarry tests of Merz et al. 357 358 (2006).

359 The spatial pattern of scour and deposition was inspected to determine whether there was any indication of riffle-pool maintenance. First, the pattern of channel change was evaluated 360 361 considering the whole domain of the river corridor to determine if there existed foci of change 362 and to qualitatively infer the mechanism responsible for the change. Second, at each cross section, the mean bed elevation of the modern bankful channel was calculated using the pre- and 363 364 post-flood cross-sectional data sets. Then the change in mean bankful bed elevation from the flood was computed for each cross section and the direction and magnitude of change were used 365 366 as the key test metrics. Based on the flow convergence routing hypothesis, maintenance would be confirmed by net scour in the upstream pool and net deposition in the riffle. Less 367 368 corroboration would be provided if the whole channel scoured, as might be expected in a reach 369 lacking sediment supply from upstream. Topographic change in other morphological units was 370 also assessed.

371

372 3.2.2. Shields Stress Prediction

373 Shear velocity (U^*) , bed shear stress (τ_b) , and nondimensional Shields stress (τ^*) were 374 calculated at each node in the 2D model according to

375
$$U^* = U / (5.7 \operatorname{Sog}(122H/2D_{90}))$$
(4)

 $\tau_b = \rho_w (U^*)^2 \tag{5}$

377
$$\tau^{*} = \tau_{b} / (\rho_{s} - \rho_{w}) g D_{50}$$
(6)

where *U* is depth-averaged velocity magnitude at a point, *H* is water depth, ρ_w is water density, ρ_s is bed particle bulk density, *g* is gravitational acceleration, and D_{90} and D_{50} are the bed material sizes that 90% and 50% of the bed material is smaller than, respectively (Pasternack et al., 2006). Shields stress values were categorized based on transport regimes defined by Lisle et al. (2000), where values of $\tau^* < 0.01$ correspond to negligible transport, $0.01 < \tau^* < 0.03$ correspond to intermittent entrainment, $0.03 < \tau^* < 0.06$ corresponds to partial transport (Wilcock et al., 1996), and $\tau^* > 0.06$ corresponds to full transport.

385 To evaluate the role of flood peak hydraulics on channel change, a comparison was made between 2D model τ^* results and DEM difference observations (Δz). Digital elevation model 386 difference values were interpolated to the 2D model's computational mesh nodes where τ^* values 387 388 had been computed to obtain spatially distributed pairs of $\{\tau^*, \Delta z\}$ at the same location. A scatter plot was made between Δz and τ^* to determine the nature of the relation between the data 389 390 sets. Also, a box and whisker plot was made to evaluate the distributions of τ^* for erosional (Δz < -0.15 m), no change (Δz within ±0.15 m), and depositional zones ($\Delta z > 0.15$ m). 391 392 Recognizing that hydraulics and channel change may vary between morphological units,

393 a separate analysis was done isolating the data at the pool, riffle, and run cross sections. Also, to 394 distinguish between in-channel and floodplain dynamics, the cross-sectional data was further 395 subdivided relative to the known bankful elevation. It was hypothesized that τ^* data extracted 396 from the 2D model that exceeded the threshold for partial transport ($\tau^* > 0.03$) should corresponded to observed scour locations. Conversely, locations with low transport capacity 397 (i.e., $\tau^* < 0.03$) should correspond to no change or deposition. This was assessed throughout the 398 whole study site at mesoscale morphological units that play a key role in integrating stream 399 400 ecology, geomorphology, and hydrology (Moir and Pasternack, 2008). 401

402 *3.3. At-a-station Analysis*

403 Traditionally, analyses of hydraulics and channel change at cross sections stand as the dominant method for characterizing fluvial geomorphology. This standard method was 404 employed here to promote comparison with historical studies and provide results for those 405 comfortable with the classic approach. WinXSPRO version 3.0, a one-dimensional (1D) 406 resistance equation-based cross section analyzer available through the U.S. Forest Service 407 408 (Hardy et. al., 2005), was used to obtain at-a-station hydraulic geometry relationships for these 409 cross sections over a wide range of flows. Pool, riffle crest, and run cross sections were extracted from the pre- and post- flood DEMs using Land Desktop 3 for cross section analysis 410 411 (Fig. 4). WinXSPRO and similar cross-section analyzers assume uniform flow so that bed slope, 412 water surface slope (S_w) , and the total energy grade line are parallel at the individual channel 413 cross section location (Hardy et. al., 2005). The program computes hydraulics at increments 414 between specified low and high WSEs. Data inputs for each range of flows investigated 415 included low and high WSE values along with their corresponding Manning's *n* roughness

coefficients and S_w values. Outputs included cross-sectional area (m), wetted perimeter (m),
width (m), hydraulic depth (m), water surface slope (S_w (m/m)), average velocity from
Manning's equation (ms ⁻¹), discharge (Q (m ³ s ⁻¹)), and shear stress (Pa). WinXSPRO outputs
were then used to calculate width, depth, and velocity at-a-station hydraulic geometry relations
for each cross section. Width, depth, velocity, and shear stress were non-dimensionalized using
D_{50} (Pitlick and Cress, 2002) to obtain comparable results across a wide range of spatial scales,
but are not reported because of similarities between dimensional and nondimensional results.
In order to take advantage of field-measured S _w observations at some stages and optimize
the performance of WinXSPRO, each cross section was analyzed incrementally in three sub-sets
by Q: (i) 0 to 159.2 m ³ s ⁻¹ , (ii) 159.2 m ³ s ⁻¹ to 328.5 m ³ s ⁻¹ , and (iii) 328.5 m ³ s ⁻¹ to 1,215.8 m ³ s ⁻¹ .
The values bounding these ranges relate to observational data and the geomorphically significant
discharges described in section 2 above. In each flow range, Manning's n values were selected
to match those from the calibrated 2D model simulations described later. First, the WSE at 0
m ³ s ⁻¹ and that estimated for 159.2 m ³ s ⁻¹ were specified along with a constant corresponding
Manning's n value of 0.043 for low discharge and 0.042 for high discharge (Moir and
Pasternack, 2008). The water surface slope for 159.2 m^3s^{-1} was fixed at 0.0047, but for 0 m^3s^{-1}
was adjusted to yield the field-observed water surface slope of 0.0055 at 23.4 m ³ s ⁻¹ . In
WinXSPRO, S_w decreases linearly as Q increases. Once the low- Q value of S_w was solved for,
the WSE for 159.2 m ³ s ⁻¹ was adjusted to yield a model-estimated discharge as close to 159.2
m^3s^{-1} as possible, while holding the S_w for that WSE constant. For the next Q increment (159.2
to 328.5 m ³ s ⁻¹), the obtained parameters for 159.2 m ³ s ⁻¹ were used as the low WSE values and
the S_w for 328.5 m ³ s ⁻¹ was set to the observed value of 0.003. Manning's <i>n</i> was set at 0.042 and
0.041 for the low and high discharges, respectively. The WSE for 328.5 m ³ s ⁻¹ was adjusted to

439 yield a Q as close to 328.5 m³s⁻¹ as possible. The same approach was repeated again for the 440 highest range of Q, given the observed S_w for 1215.8 m³s⁻¹. Manning's n was set at 0.041 and 441 0.039 for the low and high discharges, respectively. In summary, the semi-analytical cross-442 sectional analyzer WinXSPRO was used to calculate unmeasured hydraulic parameters from 443 observed field data.

444

445 3.3.1. WinXSPRO Validation

446 WinXSPRO assumes steady, uniform flow. Thus, the output data were compared against 447 2D hydraulics, which better represent nonuniform flow responsible for riffle-pool relief in gravel-bed rivers (MacWilliams et al., 2006). Details of the 2D modeling procedure are 448 presented in the next section. To obtain comparable cross-sectional averages, cross section 449 450 locations were imported into each 2D model, results were extracted at ~ 2-m intervals, and these values were averaged for each variable. Wetted widths for each cross section were obtained for 451 all discharges. The percent deviation between WinXSPRO and 2D model results was calculated 452 for each variable. Comparisons of both models and field observations were made using 453 hydraulic data though the size of the river and the danger posed by the flood limited the flow 454 455 range of that data.

456

457 *3.4. 2D Yuba Model*

Two-dimensional (depth-averaged) hydrodynamic models have existed for decades and are used to study a variety of hydrogeomorphic processes (Bates et al., 1992; Leclerc et al., 1995; Miller and Cluer, 1998; Cao et al., 2003). Recently, their use in regulated river rehabilitation emphasizing spawning habitat rehabilitation by gravel placement has been evaluated (Pasternack

et al., 2004, 2006; Wheaton et al., 2004a; Elkins et al., 2007). Two-dimensional models have 462 463 also been applied to better understand the relative benefits of active river rehabilitation versus 464 flow regime modification (Jacobson and Galat, 2006; Brown and Pasternack, 2008a) on regulated rivers. In this study, the long-established 2D model Finite Element Surface Water 465 Modeling System 3.1.5 (FESWMS), implemented within the Surface-water Modelling System 466 (SMS) graphical interface (Environmental Modeling Systems, Inc.), was used to predict 467 hydrodynamics and characterize mean and local velocity reversals at the described cross sections 468 469 using the preflood topography. FESWMS (or 2D model) solves the vertically integrated 470 conservation of momentum and mass equations to acquire depth-averaged 2D velocity vectors and water depths at each node in a finite element mesh (Froehlich, 1989). A mesh element is 471 "dry" when depth is below a user-defined threshold (set at $1 \times D_{90}$, ~ 0.12 m here); but to the 472 473 extent possible, the mesh edges were trimmed to closely match the observed wetted area. The 2D model is capable of simulating steady, unsteady, subcritical and supercritical flows. The full 474 equations and other details of the model have been widely reported in the past (Froehlich, 1989; 475 476 MacWilliams et al., 2006) and need not be reproduced here. Details on the validation procedure used to characterize model uncertainty in this study follow the explanation of model 477 478 development. 2D models such as FESWMS are not morphodynamic; they cannot explicitly simulate channel changes, such as longitudinal profile adjustments or bed material coarsening. 479 480 The interesting question is to see just what these models can achieve, as limited as they are. 481

482 3.4.1. 2D Model Development

483 Refined topographic point and breakline data from the pre-flood DEM were imported to 484 SMS for use in the 2D model. A unique computational mesh was developed for each flow

investigated and the density of computational nodes was higher relative to the density of the
2004 preflood topographic data used to run the models (Table 1). Each mesh was generated
using a built-in paving algorithm without reference to the independently located depth and
velocity measurement points. Elevations at nodes were interpolated from DEM elevations using
common TIN methods.

490 To run the 2D model, discharge at the upstream boundary, and water surface elevation at the downstream boundary are necessary model inputs. The base flow discharge was obtained by 491 velocity-area flow gaging, and flood discharges were determined by combining discharges from 492 493 the U.S. Geological Survey gaging stations on the Yuba River near Smartville (station #11418000) and on Deer Creek (station #11418500), the one significant tributary between 494 Englebright Dam and the study site. The gaging stations are too close together to necessitate 495 496 accounting for propagation time of the flood wave to the Deer Creek confluence. The water surface elevation at the downstream flow boundary of the study site was measured using the total 497 498 station described above.

499 The two primary model parameters in FESWMS include bed roughness as approximated using variable Manning's *n* for a gravel/cobble bed and isotropic kinematic eddy viscosity (*E*). 500 501 Roughness associated with resolved bedform topography (e.g., rock riffles, boulders, gravel bars, etc.) was explicitly represented in the detailed channel DEM. Two-dimensional model 502 503 predictions are highly sensitive to DEM inaccuracies (Bates et al., 1997; Hardy et al., 1999; Lane 504 et al., 1999; Horritt et al., 2006), requiring high-resolution topographic mapping as a data 505 collection method. For unresolved roughness, Manning's coefficient (n) was initially estimated 506 as 0.043 for the gravel bed with $D_{50} \sim 60$ mm. Alternately, n = 0.06 was estimated for the 507 armoured cobble/boulder bed located in the riffle crest high velocity zone using a standard linear

508 summation method (McCuen, 1989) and 2D modelling studies of similar gravel rivers 509 (Pasternack et al., 2004, 2006). The bed-roughness parameter can vary spatially in a 2D model 510 to account for variable bed sediment facies. However, small (< 0.005) local deviations are 511 expected relative to field-measurement accuracy in gravel-bed rivers comparable to the LYR. 512 The method of Freeman et. al (1998) was used to calculate *n* in fully submerged stands of willows (*Salix exigua*) on the floodplain lining the bankful channel. Manning's *n* in 513 unsubmerged willow stands was set at 0.1. After performing simulations at each discharge with 514 the initial *n* value, Manning's *n* in the bankful channel was calibrated in intervals of 0.001 for 515 516 each modeled discharge using the available field-measured WSE data (except 159.2 m³s⁻¹ for which there was no WSE data) to obtain the smallest deviation between observed and modeled 517 WSE longitudinal profiles. Two-dimensional models have been reported to be sensitive to large 518 519 (> 0.01) variations in *n* values (Bates et al., 1998; Lane and Richards, 1998; Nicholas and Mitchell, 2003), and the validation approach described in the next section would reveal that scale 520 521 of deficiency.

522 In a study of 2D model sensitivity for a bedrock channel, Miller and Cluer (1998) showed 523 that 2D models are particularly sensitive to the eddy viscosity parameterization used to cope with 524 turbulence. In the model used in this study, eddy viscosity (*E*) was a variable in the system of 525 model equations, and it was computed using the following standard additional equations 526 developed based on many studies of turbulence in rivers (Fischer et al., 1979; Froehlich, 1989): 527 $E = 0.6H \cdot u_* + E_0$ (1)

528 $u_* = U_{\sqrt{C_d}}$

529
$$C_d = 9.81 \frac{n^2}{H^{1/3}}$$
(3)

(2)

530	where H is water depth, u_* is shear velocity, U is depth-averaged water velocity, C_d is a drag
531	coefficient, <i>n</i> is Manning's <i>n</i> , and E_0 is a minimized constant (0.033 m ² s ⁻¹) necessary for model
532	stability. These equations allow E to vary throughout the channel, which yields more accurate
533	transverse velocity gradients. However, a comparison of 2D and 3D models for a shallow
534	gravel-bed river demonstrated that, even with this spatial variation, rapid lateral variations in
535	velocity are not simulated to the degree that occurs in natural channels, presenting a fundamental
536	limitation of 2D models like FESWMS (MacWilliams et al., 2006).
537	
538	3.4.2. 2D Model Validation
539	Two-dimensional models have inherent strengths and weaknesses, thus uncertainty in
540	modelled results needs to be understood and accepted (Van Asselt and Rotmans, 2002).
541	Previous studies using 2D hydrodynamic models for gravel-bed rivers comparable to the lower
542	Yuba River have validated the model for this application and provide valuable information
543	regarding model utility and uncertainty (Pasternack et al., 2004, 2006; Wheaton et al., 2004a;
544	MacWilliams et al., 2006; Elkins et al., 2007; Brown and Pasternack, 2008a). Manning's <i>n</i> was
545	calibrated to minimize the deviation between the observed and predicted longitudinal profile of
546	water surface elevation and final values were in the physically realistic realm. Predicted and
547	observed conditions at independent locations were compared to provide an assessment of model
548	capability and uncertainty.
549	Three different validation tests were used to evaluate model performance. First, to
550	validate model-calculated eddy viscosity (E) , these values were checked against field-based
551	estimates at 23.4 m^3s^{-1} (summer low flow) for the three observational cross sections.
552	Recognizing that E is not a real physical quantity but an artificial model parameter, the

553 difference between field-based estimates and model-calculated values is within the range 554 typically reported for this type of 2D model (MacWilliams et al., 2006; Pasternack et al., 2006). 555 Second, even though the field-measured WSE longitudinal profiles were used to calibrate 556 Manning's *n* for each simulation, the final deviations between observed and predicted profiles 557 were non-zero. Thus, the deviations between observed and predicted WSE profiles for the final 558 calibrated simulations were used as one metric to characterize the uncertainty in depths and 559 water surface slopes. 560 Third, recognizing that lateral and longitudinal variation in velocity in a river is highest at 561 low discharge and low during large floods (Clifford and French, 1998), model validation of depth and velocity on the LYR was performed at a low discharge of 23.4 m³s⁻¹ using observed 562 depths and velocities from cross sections 1, 2, and 3 (Fig. 4). Raw statistical metrics were 563 calculated using all data, and comparisons were made on a cross-sectional basis. Two-564 dimensional models should be viewed as presenting likely outcomes, but with uncertainty. In 565 combination with field-collected empirical data that helps characterize model uncertainty, such 566 567 models can help researchers obtain a process-based understanding of hydrogeomorphic 568 phenomena. 569 570 571 4. Results 572 573 The May 2005 flood caused significant geomorphic change to the study site. 574 Topographic mapping before and after the event characterized the change and revealed that 575 riffle-pool relief increased. According to both models, the locations of highest depth-averaged

576 velocity and τ^* shift multiple times with increasing discharge. To describe the shifts, results 577 from WinXSPRO (cross-section analyzer) and FESWMS (2D hydrodynamic model) will be 578 reported independently and without scrutiny and then the two will be compared. Finally, the Δz results will be related to the τ^* pattern predicted by the 2D model. The exact location in a 579 morphological unit with the local peak velocity and τ^* as predicted by the 2D model does not 580 581 necessarily occur on the cross section taken for that unit and used for the cross-section analysis. Cross sections were chosen morphologically, not on the basis of the 2D-model hydraulic results. 582 As a result, independent evaluations of peak magnitudes are necessary for the two methods. 583

584

585 4.1. Flood Scour And Deposition

586 On 21 May 2005, a high flow changed the topography of Timbuctoo Bend. An evaluation was made to determine if these changes yielded "maintenance" (i.e., pool scour and 587 riffle deposition) of the morphological units. The Δz between the 2004 and 2005 surfaces 588 589 resulted in six locations of major change (Table 3). Starting from upstream, the pool and pool exit (i.e., riffle entrance) units scoured up to ~ 1 m (location 1, Fig. 8). Downstream from that. 590 591 the horseshoe-shaped, armored crest of the riffle shifted upstream and incised, indicative of 592 knickpoint migration (location 2, Fig. 8). Up to 1.2 m of deposition occurred in the side channel on river right near the riffle migration point (location 4, Fig. 8). Deposition up to 2.3 m occurred 593 594 downstream from the island/bar complex, mostly along the right side of the main channel 595 (location 5, Fig. 8). Flanking the riffle on either side of the valley, local scour holes adjacent to 596 bedrock outcrops incised 1.8-2.4 m (location 3, Fig. 8). Deposition along the bankful channel 597 margins enhanced the relief of the natural levees already covered with willows prior to the flood. 598 This zone of deposition represented the largest combined area of deposition during the flood

599 (location 6, Fig. 8).

600 When the flood-induced bed-elevation change within the bankful channel was analyzed 601 on a cross-sectional basis, the pool was the only unit to show net scour. The mean bed elevation 602 changes for the pool, riffle, and run cross sections were -0.35 m (i.e., net scour), 0.07 m (i.e., net deposition), and 0.04 m (i.e., net deposition), respectively. The magnitude of net scour at the 603 604 pool cross section is a strong signal beyond the level of noise in the DEM differencing analysis, whereas the magnitudes of net deposition in the riffle and run are within the noise and thus can 605 606 only be regarded as indicative of no net change. Nevertheless, the relief between the riffle and 607 pool cross sections increased by 0.42 m.

608

609 4.2. WinXSPRO Results

610

WinXSPRO analyzed the pool, riffle, and run cross sections and produced at-a-station 611 hydraulic geometry relationships for all discharges 0-1218 m³s⁻¹ (Fig. 5). Five velocity reversals 612 were predicted by WinXSPRO among the three cross sections, as indicated by arrows on Fig. 613 5C. The key results of the analysis are described below. In this subsection, all hydraulic 614 615 variables are reported as cross-sectional averages.

616

4.2.1. Summer Low Flow to Modern Q_b 617

At discharges below the typical autumn salmon-spawning flow of 23.4 m³s⁻¹, 618

619 WinXSPRO predicted that the pool has the lowest velocity and τ^* as well as the widest and

620 shallowest cross section. Conversely, up to 23.4 m³s⁻¹, the model predicted that the highest

velocity and τ^* occurred at the run, where the river was the narrowest and deepest. A velocity 621

- reversal occurred at discharges $> 23.4 \text{ m}^3\text{s}^{-1}$, and at those highest flows pool velocity, depth, and 622 τ^* surpassed those of the riffle but not the run (Fig. 5C; Table 2). 623
- For all discharges between the typical autumn salmon-spawning flow of 23.4 m³s⁻¹ and 624 modern Q_b at 159.2 m³s⁻¹, the run continued to have the highest predicted velocity and τ^* . As 625 discharge approached modern $O_{\rm b}$, the run became wider. Also, the pool had a higher predicted 626 velocity than the riffle, but at Q_b the velocity and width at the riffle became slightly higher than 627 those at the pool yielding a slight reversal (Fig. 5C). Over a very narrow flow range, the velocity 628 629 and width at the riffle decreased as discharge increased thereafter, so the pool was restored as the wider and faster cross section after the brief range of riffle ascendancy. These fluctuations are 630 ~CC 631 minor responses to differential topography.
- 632
- 4.2.2. Modern Q_b to pre-Bullards Bar Dam Q_b 633

At discharges greater than present day $Q_{\rm b}$, the locations of velocity and τ^* peaks were 634 predicted by WinXSPRO to change, and two velocity reversals were predicted at the cross 635 sections analyzed in this study (Fig. 5). From 159.2 to 328.5 m³s⁻¹, the width at the run doubled 636 637 as flow expanded from bankful confinement leading to a slight decrease in average depth. At ~ 200 m³s⁻¹, the pool velocity and τ^* surpassed those of the run. At these discharges the pool had 638 639 the deepest cross section. A second reversal was predicted to occur at $\sim 300 \text{ m}^3\text{s}^{-1}$, at which point the velocity in the run became lower than the riffle. At this flow, the riffle had the widest 640 cross section. 641

642

4.2.3. Pre-Bullards Bar Dam Q_b to Peak Flood Flow 643

At all discharges above 328.5 m³s⁻¹, the pool cross section was predicted to have the 644

highest velocity magnitude (> 2 m s⁻¹), while the riffle had higher velocities than the run. The pool was deepest and the run shallowest, while the run became the widest cross section for all analyzed discharges above ~ 700 m³s⁻¹. Shields stress values for the three cross sections showed the same relative magnitudes and trends with increasing discharge as predicted for velocity.

649

650 *4.3. 2D Model Results*

The results of 2D modeling also show velocity reversals in Timbuctoo Bend on the lower Yuba River (Fig. 6; Table 2), but the velocity reversal patterns predicted by the 2D model differ significantly from those predicted by WinXSPRO (Fig. 5, points versus lines). In addition to characterizing shifts in the location of peak velocity on the rising limb of the 1215.8 m³s⁻¹ flood, the 2D model assisted in illustrating the relationship between hydraulics and sediment transport dynamics responsible for maintaining the topography at Timbuctoo Bend.

657

658 4.3.1. 2D Model Validation

Measured *E* values ranged from 0.001 to 0.043 m²s⁻¹, with a mean of 0.023 m²s⁻¹ (*SD* = 0.010 m²s⁻¹). The minimum value of E_0 that could achieve model stability was 0.0355 m²s⁻¹. Resulting modeled *E* values were higher than field estimates, ranging from 0.034 to 0.075 m²s⁻¹ with a mean of 0.057 m²s⁻¹ (*SD* = 0.010 m²s⁻¹). This shift to higher eddy viscosity values causes greater transference of momentum and more smoothing of velocity gradients across the channel (MacWilliams et al., 2006; Pasternack et al., 2006).

665 Manning's *n* values unique to each discharge and surface type were calculated and 666 calibrated, yielding the values reported next. For 23.4 m³s⁻¹, flow was entirely in the bankful 667 channel and a uniform *n* of 0.043 was used, except for a value of 0.06 in a small area of armored

bed on the riffle crest. At 328.5 m³s⁻¹, the bankful channel's *n* calibrated to 0.047, left bank 668 669 floodplain n calibrated to 0.045, and willow levee n was set at 0.1. For the flood peak discharge of 1215.8 m³s⁻¹, the bankful channel and floodplain *n* calibrated to 0.039. The Freeman et al 670 671 (1998) analysis of roughness in fully submerged willow stands yielded an *n* estimate of 0.057. The final comparison of predicted and observed water surface slopes yielded deviations 672 673 of < 0.15% error in water surface elevations showing overall good longitudinal predictions. To put these percentages into more meaningful absolute values, in model runs with calibrated 674 Manning's *n* values, mean absolute values of the deviations of predicted WSE at 23.4, 328.5, and 675 676 $1215.8 \text{ m}^3\text{s}^{-1}$ were 0.051 m (SD = 0.04 m), 0.07 m (SD = 0.05 m), and 0.10 m (SD = 0.09 m), respectively. However, mean raw WSE deviations (observed-modeled) were 0.031 m (SD = 677 0.06), 0.01 m (SD = 0.09), and -0.02 m (SD = 0.14), respectively for the above discharges. Thus, 678 679 at the two lower discharges the model slightly under predicted WSE and at the flood flow the model slightly over predicted WSE. The calibration process helped increase model performance 680 and resulted in physically realistic values with acceptable deviations from field-observed water 681 682 surface elevations. 683 Hydraulic measurements made at 83 points along three cross sections (Fig. 7) showed

moderately accurate model-predicted versus observed depth and velocity values at the low flow of 23.4 m³s⁻¹ (Fig. 7). A coefficient of determination of 0.929 for depth and 0.768 for velocity was observed for predicted versus observed values over all cross sections (p < 0.001 for both tests). Average absolute deviation between predicted and observed depth and velocity was 10% and 22%, respectively. One abnormally low velocity measurement at ~ 80 m in cross section 1 (Fig. 7) was excluded from the previous value. Cross section 1 showed that predicted depth and velocity closely matched the observed smoothed best-fit curve. At cross section 2, more lateral

691 variation in depth and velocity occurred, but the general pattern of predicted and observed 692 measurements remained intact. The 2D model under predicted depth and over predicted velocity 693 at cross section 3, but the patterns match. This validation was only performed at low flow 694 because high flow velocity measurements were not feasible or safe. However, as illustrated by 695 the model results, velocity fields at higher flows have less variability at high discharges (Fig. 6). 696 Model validation for Timbuctoo Bend highlighted the capabilities and limitations of a 2D model for this application as stated by previous studies (Lane et al., 1999; Pasternack et al., 697 2004, 2006; MacWilliams et al., 2006; Brown and Pasternack, 2008a,b; Moir and Pasternack, 698 699 2008). Predicted spatial patterns in depth and velocity can be considered accurate with 700 reasonable confidence, but a 3D model with a more sophisticated turbulence closure algorithm would best capture lateral velocity variations influenced by vertical mass and momentum fluxes. 701 702 However, the 2D model is practical for this application and valuable if the inherent uncertainties in the simulation process are acknowledged. Future morphodynamic models will go beyond what 703 704 is possible now.

705

706 *4.3.2. Model Predictions*

The 2D model predicted velocity and τ^* reversals at four discharges, gave results for comparison with WinXSPRO output at each cross section (Fig. 5), and provided a visual representation of the entire modeled reach to better understand spatial results. At summer low flow, the pool was the widest morphological unit and it had the greatest cross-sectional area (Table 2; Fig. 6A). Cross-sectional average velocity at the pool was low (0.36 ms⁻¹, *SD* ±0.10) and τ^* was negligible. The riffle cross section was divided by the mid-channel island (Fig. 6), with the highest velocity flow (mean column 1.12 ms⁻¹, *SD* = 0.58 ms⁻¹) located in the main

714	channel. Shields stress in the riffle at low flow (cross-sectional mean $\tau^*=0.04$, $SD = 0.010$) was
715	within the partial transport domain ($0.03 < \tau^* < 0.06$). The run cross section was narrow, with
716	moderately high velocity within the channel, but τ^* remained relatively low within the
717	intermittent transport range ($0.01 < \tau^* < 0.03$).
718	At present day Q_{b} , cross-sectional width and area began to converge at the pool and riffle
719	cross sections (Figs. 5A, 6B). The depth in the pool and riffle also converged at this discharge
720	(Table 2). The velocity in the riffle remained higher than that in the pool because of the
721	funneling effects of the island topography on the shallow flow over this cross section. However,
722	the run cross section concentrated flow through a relatively narrow cross section, so that location
723	had the highest velocity at present day Q_b , yielding a velocity reversal between the riffle and run
724	(Table 2). Even though a velocity reversal was predicted, τ^* was still slightly higher at the exact
725	location of the riffle cross section compared to that of the run (0.048 versus 0.044). However,
726	farther downstream in the run at the model outlet, the velocity and τ^* cross-sectional averages
727	were higher than at the riffle. Both the run and riffle mean τ^* values were within the partial
728	transport domain.
729	The Pre-Bullards Bar Dam Q_b model results showed that cross-sectional width had
730	mostly equalized between the pool and run units (Fig. 6C; Table 2). However, the width in the
731	run was still narrowest, so the constricted flow induced convective acceleration and yielded the
732	highest velocity there. The zone of highest velocity at the run extended farther upstream
733	compared to the present day $Q_{\rm b}$, so the selected cross section location better represented flow
734	conditions in the run at this discharge (Fig. 6C). Velocity remained higher in the run than in the
735	riffle, and τ^* paralleled velocity and was slightly higher in the run than riffle at this discharge –
736	though both were lower than their corresponding values at present day Q_b .

737 Finally, at the peak flood flow, valley walls constricted flow in the pool, so wetted width was narrowest there and a major velocity reversal occurred. Velocity (mean = 2.33 ms^{-1} , SD =738 739 0.081 ms⁻¹), and τ^* (mean = 0.041, SD = 0.020) were highest in the pool relative to other cross 740 sections (Table 2). Downstream at the run cross section, the floodplain was less constricted by 741 valley walls, allowing flow to spread out over the adjacent floodplain (Fig. 6D). Compared with 742 the lower discharges, the downstream velocity gradient was significantly lower, while the crosschannel velocity gradient was higher. As assumed in the experimental design for model 743 744 validation, much less local velocity variation exists at the peak flow compared with that at the - OT 745 lowest flow.

746

747 4.4. WinXSPRO versus 2D model

Overall, WinXSPRO overestimated values compared to 2D model predictions of width, 748 depth, velocity, and τ^* (Fig. 5). Given the theoretical assumptions described earlier, WinXSPRO 749 was unable to characterize backwater effects caused by topographic highs. In contrast, the 2D 750 model predicted deeper and slower conditions in the pool at low flows and in the run at high 751 flows as a result of lateral and vertical channel constrictions. At 23.4 m³s⁻¹, the 2D model 752 predicted depth 50% greater and velocity 149% slower than those predicted by WinXSPRO for 753 754 the pool cross section. While the riffle exhibited similarity in the predictions of the two methods suggesting approximately uniform flow conditions, the run showed a slight backwater effect with 755 756 a 4% higher depth and a 23% lower velocity in the 2D model (Fig. 5). At present day $Q_{\rm b}$, the 2D 757 model predicted a backwater effect in the pool, with a 28% higher depth and a 58% lower 758 velocity. However, a slight acceleration occurred at the riffle, while the run showed 759 approximately uniform conditions at modern $Q_{\rm b}$. Once again, the 2D model predicted velocity

760 40% lower than WinXSPRO in the pool at 328.5 m³s⁻¹, indicating the backwater effect of the 761 riffle crest and island width constriction on pool hydraulics. At this discharge, approximately uniform flow conditions existed at the riffle and run units. At 1215.8 m³s⁻¹, the trend was 762 763 reversed with the pool showing a slightly higher velocity in the 2D model relative to WinXSPRO. The riffle maintained approximately uniform flow conditions, while the 2D model 764 765 predicted velocity 15% lower than WinXSPRO in the run at this flow. An analysis of cross-sectional area, width and depth with increasing discharge can help 766 explain the velocity reversals evident at Timbuctoo Bend. On average WinXSPRO slightly 767 768 overestimated width by 7% compared to the 2D model. Recognizing that the 2D model turned off near-bank mesh elements where depth was < 0.12 m, this difference is not significant. On 769 average for both methods, the pool was $\sim 70\%$ and $\sim 130\%$ wider than the riffle and run cross 770 771 sections at 23.4 m³s⁻¹, respectively (Table 2). In addition, the pool had the greatest crosssectional area and the lowest velocity at summer low flow. At present day Q_b, WinXSPRO 772 predicted that mean width, depth, and velocity values in the riffle were similar to those in the 773 pool, but the run had the narrowest cross section. Also, the average velocity in the run peaked at 774 present day $Q_{\rm b}$ and thus was a function of a low width-to-depth ratio and the smallest relative 775 776 area of all cross sections (Table 2).

The 2D model deviated from the WinXSPRO estimates because it accounts for channel nonuniformity and the associated flow accelerations and backwater effects. According to the 2D model, the pool had the lowest predicted velocity at 328.5 m³s⁻¹, while WinXSPRO predicted that the pool and run had approximately the same cross-sectional area and velocity at this discharge (Fig. 5; Table 2). This is consistent with a backwater effect in the 2D model associated with vertical and lateral channel nonuniformity that is absent from WinXSPRO. At

783 1215.8 m³s⁻¹, WinXSPRO predicted that the run had the widest cross section with the largest 784 cross-sectional area. Both methods predicted that average velocity was lowest in the run and 785 highest in the pool, though they differed on the exact value (Fig. 5C; Table 2). According to the 786 2D model, velocity was greater in the pool than predicted by WinXSPRO, because of a smaller cross-sectional area. The pool had the narrowest, deepest cross section at this discharge (Fig. 5) 787 788 because it was resistant to widening bound by steep bedrock valley walls. The flow was fastest through the pool and then diverged and slowed down exiting the pool. This hydraulic effect was 789 790 primarily associated with lateral channel nonuniformity. 791 Shields stress predictions also varied between the two models, corresponding to the differences in velocity described above. For example, at summer low flow, WinXSPRO 792 overestimated velocity at the pool cross section because of the inability to predict backwater 793 794 effects. Shields stress at the pool exit was 0.020 as predicted by WinXSPRO and close to 0.000 (±0.001) for the 2D model (Table 2). The same occurred at the run, but WinXSPRO 795 796 underestimated τ^* on the riffle (0.026 compared to 0.040, SD = 0.010) at low flow. Shields stress 797 deviations between the two methods correspond to the difference between velocity predictions 798 for all cross sections (Table 2). Notably, τ^* was predicted to be the highest at the pool at peak

flood flow by both methods (Table 2; Fig. 5)

800

801 4.5. Accuracy of Sediment Transport Regime Predictions

802 A key objective of this study was to test the predictive ability of the 2D model to 803 characterize sediment transport capacity related to observed net scour and deposition patterns. A 804 regression analysis of raw Δz versus predicted τ^* at the flood's peak Q (n = 1001) yielded a 805 coefficient of determination (r^2) value of 0.03. When model-predicted τ^* data for the flood peak

806 were stratified by direction of channel change (i.e., scour, no change, or deposition), then 807 significant differences were apparent (Fig. 9). Areas of no significant change had the lowest 808 values for the 25th, 50th, 75th, and 90th percentiles of τ^* , while areas of significant scour had the 809 highest of all of those values. Areas of deposition had higher τ^* at the flood peak than those with 810 no significant topographic change.

811 Unlike the bulk analysis between raw τ^* and Δz , when stratified by morphological unit 812 (i.e., the pool, riffle, and run cross sections), scour and deposition showed a strong systemic response to model-predicted τ^* at the flood peak (Fig. 10). The observed pattern can be 813 814 explained based on the underlying mechanisms captured by the 2D model. Where the 2D model predicted $\tau^* > 0.045$, scour dominated (Fig. 10). Where the model predicted $\tau^* < 0.03$, 815 816 deposition dominated. In between those thresholds is the domain of partial transport in which both deposition or scour are possible, but in very small net amounts overall. The one exception 817 being that within the willows bordering the channel significant deposition took place during 818 819 partial transport because of the ability of the dense plant thicket to capture sediment (Fig. 10). The majority of the pool cross section was characterized by 0.15-0.5 m of scour and $\tau^* >$ 820 0.045 (Figs. 10A, 11A). The location of deepest scour (~ 1 m) along the left bank of the bankful 821 channel corresponded with a τ^* of 0.049 and decreased toward the bank. Some bank scour was 822 associated with intermediate τ^* , possibly facilitated by smaller particle sizes and bank 823 824 undercutting. In addition, deposition occurred on the vegetated floodplain adjacent to the pool's left cutbank in shallower areas (~ 2-3 m deep) with moderately low velocity (~ 1.5 m s⁻¹) and τ^* 825 826 (0.01-0.02) (Figs. 10A, 11A). Together these factors increased bank steepness and sharpened the 827 delineation between channel and floodplain (Figs. 8, 11). Equivalent bank scour did not occur 828 on river right since the bank there was composed of bedrock.

829	At the riffle cross section three distinct zones of matching bed change and τ^* existed
830	(Figs. 10B, 11B). Knickpoint migration of the horseshoe riffle crest scoured 0.15-1 m down
831	through the riffle, in which location the model-predicted τ^* was between 0.046-0.052. Over the
832	island and side channel (evident below contemporary bankful discharge), deposition occurred
833	where τ^* was between 0.02-0.034. The rest of the cross section showed no significant change in
834	bed elevation and had intermediate τ^* values of 0.034-0.045. Relative to the other two cross
835	sections, the floodplain adjacent to the riffle experienced no significant elevation change.
836	The run cross section was predominantly depositional, because of a wide, deep cross
837	section and corresponding low mean cross-sectional velocity during the flood peak. The mean
838	velocity including the delineated floodplain was the lowest at the run as predicted by both
839	modeling methods (Table 3), with an active mid-channel zone of relative highest velocity (Fig.
840	6D) and a local τ^* maximum of 0.04 (Fig. 11C) mid-channel. This cross section experienced
841	0.15-0.8 m of deposition, with the majority occurring along both vegetated banks (Fig. 11C)
842	where τ^* was 0.02-0.04. On the floodplain adjacent to the run, deposition occurred over the
843	vegetated levees where Shields stresses were ~ 0.04 (Figs. 8, 11). At these locations, floodplain
844	deposition occurred in relatively deep (up to ~ 4 m) and fast (up to ~ $2.5 \text{ m}^3\text{s}^{-1}$) water (Fig. 10).
845	Some scour also occurred on the floodplain south of the willow levee on river left (Fig. 8),
846	possibly caused by flow rerouting around vegetation. In summary, DEM differencing results
847	demonstrate a threshold-like differentiation of Shields stress values between areas dominated by
848	scour versus deposition when data are stratified by morphological unit.
849	

850 **5. Discussion**

851

852 *5.1. Riffle-Pool Maintenance*

853 An overbank flood with a 7.7-year recurrence interval occurred on the regulated, gravel-854 bed lower Yuba River causing geomorphically significant changes. High-resolution DEMs and 855 DEM differencing found that the upstream pool scoured, the riffle scoured and aggraded in 856 different subunits (e.g., knickpoint, exposed bar, and side channel features), the run aggraded, and the floodplain aggraded. Cross section analysis confirmed that the net channel change 857 858 caused by the flood accentuated pool-riffle relief by 0.42 m. That outcome is consistent with the 859 definition of "maintenance" of riffle and pool morphology; meaning that over time riffles remain topographically high and pools remain topographically low. Thus, the presence of maintenance 860 861 is confirmed at the study site for this one flood event.

A limitation of this study is that it focuses on evaluating the mechanisms of channel 862 863 maintenance during a single flood and does not evaluate interdecadal persistence of the rifflepool unit or what would promote that for decades and beyond. Further, the mechanisms 864 observed could be specific to unique local conditions that might not exist at other riffle-pool 865 units. To gain insight into the broader geomorphic context, aerial photos of the reach that this 866 site is located in spanning 1937-2008 were studied by White (2008). He confirmed that over 867 868 several decades a pool-riffle unit existed at the study site. The exact morphology and 869 longitudinal position of the riffle have changed within a narrow limit over decades, but the pool 870 remains a pool and the riffle remains a riffle. White (2008) used geomorphic analyses of aerial 871 photos and topographic maps of the whole Timbuctoo Bend river corridor to show that persistent 872 pools are located in valley width constrictions and persistent riffles in valley width expansions. 873 Figure 3 illustrates the persistence of fluvial forms, including riffle-pool units in Timbuctoo 874 Bend over ~100 years, despite flow regulation. This observational evidence is consistent with

p. 38

expected dynamics associated with flow convergence routing over a much wider range of flows than investigated in this study of a single site. Consequently, both detailed quantitative metrics over a single flood event and photo-based analysis spanning decades agree that the study site exhibits riffle-pool maintenance and that other riffle-pool units with diverse morphologies in the same reach also exhibit riffle-pool maintenance.

880

881 *5.2. Spatially Variable Sediment Competence*

882 It is commonly perceived that during low flows little to no sediment transport occurs in a 883 gravel-bed river and thus no significant channel change occurs. Further, a common postulation reads that a minimum threshold exists, commonly defined as $\tau^* = 0.03$ or 0.045, above which 884 "partial transport" occurs (Wilcock et al., 1996). When $\tau^* \ge 0.06$, a sheet of sediment is in 885 886 transport with a thickness of 1-2 times D_{90} (Lisle et al., 2000). Thus, the primary scientific goals in evaluating sediment transport and channel change are to determine (i) the Q at which sediment 887 transport begins, (ii) the "effective discharge" at which annualized sediment transport is 888 889 maximized in combinations with frequency distribution of the flow regime, and (iii) the *Q* that is 890 responsible for controlling channel morphology on the decadal timescale (Andrews and Nankervis, 1995). The new results from this study raise concerns about this conceptual 891 892 framework.

Previous studies have questioned the existence and measurability of a minimum threshold in τ^* before sediment transport begins. Paintal (1971) performed long-duration sedimenttransport flume experiments and found that "...a distinct condition for the beginning of movement does not exist" and that defining such an arbitrary threshold is of "no practical importance." Wilcock (1988) described the conundrum of significantly different threshold

values being obtained by different measurement methods. Using special bedload traps in gravelbed rivers, Bunte and Abt (2005) found a similar result as Paintal (1971) did in the flume in that
observed bedload transport rates were different depending on the duration of observation.

Finally, stable morphological units with simple cross sections and simple morphological controls yielding a simple, one-to-one functional relation between Q and τ^* are commonly investigated in bedload transport flume and field studies. The relevance of such simplicity to naturally complex

904 channels is highly debatable.

905 This study contributes an important new finding; in fact large gravel-bed rivers have 906 significant channel nonuniformity at multiple spatial scales, and consequently exhibit spatially variable sediment transport competence as a function of discharge (Fig. 6). Velocity and τ^* at 907 any point in a river generally increase as a function of discharge as long as the same morphologic 908 909 control governs hydraulics, as assumed by many sediment-transport studies. However, when the morphologic control at a site shifts from a smaller scale feature of channel nonuniformity to a 910 larger scale one, such as from riffle-pool elevation undulation to valley width undulation, then 911 the shape of the Q versus τ^* function changes and τ^* can decrease or stay the same, as exhibited 912 by the lines and points in Figure 5D. The stronger the channel nonuniformity and the more 913 scales over which it changes, the more spatially and temporally variable the sediment transport 914 915 function will become. Thompson et al. (1996, 1998) recognized the effects of higher local 916 velocity at a pool head from channel constriction. Also, Cao et al. (2003) noted that constricted 917 channel conditions could lead to competence reversal in some cases depending on combinations 918 of channel geometry, flow discharge, and sediment properties. In this study, the single highest 919 local velocity and τ^* on the riffle was predicted by the 2D model to occur at the lowest discharge 920 (Fig. 6). Thus, bedload transport rate and the greatest potential for localized riffle change should

921 occur at a low discharge when channel nonuniformity causes the riffle to act as a weir (Harvey et 922 al., 1993; Clifford and French, 1998; Brown and Pasternack, 2008a) and exhibits transcritical or 923 supercritical hydraulic conditions. When integrated over the long duration of low flow common 924 to most rivers, this process of riffle scour is enhanced. Even though the sediment eroded off riffles will not transport far, given low τ^* in downstream morphological units during low flow, 925 926 we have observed on several gravel-bed rivers in the western United States that local channel change is highly ecologically significant, because it creates diverse sedimentary deposits with 927 928 local hydraulic complexity that can serve many species' needs at different lifestages (e.g. 929 Wheaton et al., 2004b; Pasternack, 2008). In contrast to riffles, this study finds that pools tend to show the expected function of increasing τ^* with increasing discharge (Figs. 5, 6). 930

931

932 5.3. Velocity and Shields Stress Reversals

The results of this study are consistent with past studies reporting reversals in maximum 933 hydraulic parameters from riffles at low flow to pools at high flow (Keller, 1971; Lisle, 1979; 934 935 Booker et al., 2001). Despite inherent model uncertainties, the field-validated computational methods used in this study described a reversal in section-averaged velocity and nondimensional 936 937 bed shear stress from riffle to pool with increasing discharge. Further, where the 2D model predicted $\tau^* > 0.045$, the measurable channel change was primarily net scour. Conversely, where 938 τ^* was < 0.03, the channel change was primarily net deposition. Although there was not a 939 simple, continuous function defining the τ^* versus scour depth relation, the directionality of 940 941 model predictions and observations did match, providing strong evidence of the validity and 942 utility of the 2D model to predict the direction of channel change within a particular channel 943 unit.

944 Clifford and Richards (1992) stated that sediment competence reversal occurs at 50-90% $Q_{\rm b}$ based on cross section studies at relatively low discharge in the River Quarme, UK, a small 945 946 lowland stream channel. In the present study, a double competence reversal occurred in a 947 contiguous riffle-pool sequence in a much larger river channel, with those reversals occurring at $Q \ge Q_b$. First, velocity and τ^* (a surrogate for sediment transport competence) were highest in 948 the riffle for discharges up to Q_b , at which point there are velocity and τ^* reversals. Under this 949 950 low-flow regime, bankful channel morphology and a large island created the nonuniformity that 951 controlled hydraulic convective acceleration. Second, from $1-2 \cdot O_b$ the run had highest relative competence. In this flow range, willow-influenced natural levees and the wide floodplain served 952 953 as hydraulic controls constricting the run much more so than the riffle or pool. Finally, at the highest discharge analyzed in this study $(7.63 \cdot Q_b)$, the pool had highest relative competence, 954 955 indicating that a second reversal occurred between those two modeled flows. Pool dimensions during the flood peak were constrained by the valley walls. This overall linked morphologic-956 hydraulic behavior can be described as a series of "transient reversals" (Clifford and Richards, 957 958 1992) with competence reversals occurring dependent on the expression of different scales of 959 channel constrictions and expansions at different discharges. Contrary to Lisle and Hilton 960 (1992), sediment transport competence depends on depth where deposition occurs in the 961 shallowest cross section (run). However, mean cross-sectional depth and width are inversely related at high flows as a function of valley wall constrictions at each cross section. At 962 963 discharges where the pool was the deepest and narrowest cross section, the greatest magnitude of 964 scour was observed. In a 3D modeling experiment, Booker et al. (2001) concluded that near-bed 965 flow direction routes sediment away from the deepest part of pools; therefore, riffle-pool 966 morphology is maintained by a lack of sediment input into pools rather than increased erosion

within pools from convergent flow. The results from this study, though based on a 2D model, 967 968 indicate that erosion occurred in the deepest part of the pool because of convergent flow at a 969 constricted location and that deposition occurred alongside the active transport zones in the riffle 970 and run downstream. However, these results may have differed if the pool exhibited greater 971 lateral variability adjacent to a large gravel bar. Thus, the hypothesis of "flow convergence 972 routing" (MacWilliams et al., 2006) in conjunction with low-intermediate maintenance flows and persistent bank vegetation describes mechanisms responsible for riffle-pool morphology 973 974 maintenance at the study site on the LYR. River restoration practitioners may find a better understanding of channel maintenance 975 mechanisms useful for effective design. One approach to limiting channel scour that is used in 976 river restoration design is to undersize a channel to diffuse flows out onto the floodplain. When 977 978 this approach is applied with the belief that it will limit peak depth and thus implicitly limit bed shear stress (assuming steady, uniform flow) and channel scour, there is significant risk that the 979 underlying design concept will fail. To the extent that floodplain routing may abate flow 980 981 constriction with rising discharge, it could reduce channel scour, but that would have to be 982 checked on a site-by-site basis with a 2D or 3D model. Similarly, we have observed and 983 photographed situations in which newly created or rehabilitated pools were excavated from the side to meet a depth specification, but in which the common practice of using an excavator led to 984 985 an overwidening of $\sim 20-30\%$ beyond the designed width. In such cases, no alarm was raised, 986 because width was not perceived to be a control on channel scour. However, flow divergence in 987 such overwidened pools promotes infilling and a loss of riffle-pool relief. This is often perceived 988 as "natural adjustment" showing the river is behaving naturally, when in fact it is a

989 demonstration of the violation of the underlying assumptions of a restoration's design concept.

In summary, this study supports that limiting depth in channel design is not adequate to achievecontrol over channel maintenance mechanisms.

- 992
- 993 5.4. Hydraulic Geometry Limitations

994 WinXSPRO, a standard cross section analyzer for hydraulic geometry, is commonly used 995 in practice to evaluate and design river channels and geomorphic features. It is a very different 996 tool from a 1D hydraulic model (e.g. HEC-RAS or MIKE11) in that it is only accurate when 997 channels are "approximately" uniform. How does one know if a channel is in fact 998 "approximately" uniform for any given reach? By definition, riffles and pools in gravel-bed 999 rivers are significant topographic highs and lows, respectively. Over a wide range of discharges, riffle crests impose a backwater effect on upstream morphological units and a nonuniform flow 1000 1001 acceleration over and downstream from themselves (Pasternack et al., 2008). Therefore, a 1D semi-analytical equation should not be expected to accurately predict hydraulics in riffle-pool 1002 sequences. A channel can become submerged to a depth at which vertical bed variability 1003 1004 becomes an insignificant fraction of total depth, but under that condition lateral variability in 1005 channel and valley widths may impose significant channel nonuniformity, still violating the key 1006 assumption of WinXSPRO (Pasternack, 2008). For example, in this study we found that the domain of poor performance of WinXSPRO in predicting velocity and τ^* ranged from 0-7.6 $Q_{\rm b}$. 1007 1008 Over that domain, the tool predicted five velocity reversals, but the validity of that assessment is 1009 questionable. Brown and Pasternack (2008b) performed thorough comparisons of hydraulic 1010 geometry methods similar to WinXSPRO, 1D numerical modeling (HEC-RAS), and 2D 1011 modeling (FESWMS) at predicting hydraulics for two different configurations of pool-riffle-pool 1012 sequences lacking velocity reversals. They found that even under that simpler condition,

hydraulic geometry methods performed poorly. Both MacWillians et al., (2006) and Brown and
Pasternack (2008b) reported that 1D hydraulic models (e.g. HEC-RAS) did not capture important
hydraulic mechanisms that are needed to reasonably predict geomorphic processes and
ecological conditions.

1017

1018 5.5. 2D Model Limitations

Two-dimensional models account for channel nonuniformity associated with 1019 1020 morphological units and predict local depth to within ~ 10% and local depth-averaged velocity to 1021 within $\sim 25\%$. However, because many 2D models use a constant eddy viscosity to address 1022 turbulence closure, they underestimate the lateral variability in velocity magnitude relative to 3D 1023 models (MacWilliams et al., 2006). Also, near-bed velocity and complex 3D flow fields that a 1024 2D depth-averaged velocity model cannot capture cause bed scour (Keller, 1969, 1971; Clifford and Richards, 1992; MacWilliams et. al., 2006). Although near-bed velocity is a good 1025 approximation of local sediment transport competence (Rubey, 1938; Keller, 1971; Clifford and 1026 Richards, 1992), field collection of such data is not feasible at high flows mobilizing the bed. 1027 1028 Two-dimensional models tend to overestimate τ^* (Lane et al., 1999), though two studies (one 1029 modeling study and one empirical study) have shown that the overestimation can be corrected for 1030 by dividing predicted values by two (MacWilliams et al., 2006; Pasternack et al., 2006). Further, 1031 2D models are not morphodynamic, so they are unable to adjust their boundary in response to scour/ deposition. Thus, to the extent that complete mechanisms explaining riffle-pool 1032 1033 maintenance depend on dynamic changes to channel form and surface roughness, 2D models will 1034 never achieve a fully satisfying predictive capability.

1035

1036 6. Conclusion

1037 A study combining field measurements, cross section analysis, and mechanistic 1038 numerical modeling has revealed that a large gravel-bed river exhibited maintenance of a riffle-1039 pool unit during a flood with a 7.7-year recurrence interval and a peak magnitude of $7.63 \cdot Q_b$. 1040 Comparing the topography before and after the flood, riffle-pool relief increased 0.42 m. 1041 Further, multiple scales of channel nonuniformity and a dynamic flow regime were found to be ultimately responsible for the observed maintenance because they drive the mechanism termed 1042 1043 "flow convergence routing" by MacWilliams et al., (2006). Spatially complex patterns of scour 1044 and deposition at the scale of subwidth morphological units were reasonably predicted by the 2D 1045 mechanistic model that accounts for convective acceleration, whereas the cross section based 1046 method underperformed the 2D model considerably. The 2D model failed to accurately predict 1047 the magnitude of point-scale channel change, likely because that is governed by highly localized bed material properties, subgrid scale gravel-cobble structures, and bank vegetation dynamics. 1048 Flow convergence routing and the ability of 2D models to capture it will be useful to guide more 1049 1050 process-based river restoration projects (e.g., Elkins et al., 2007).

- 1051
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1061

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1270 FIGURES

- 1271 Fig. 1. Map and aerial photo of the Yuba River showing the location of the study site in
- 1272 Timbuctoo Bend below Englebright Dam.

- 1273 Fig. 2. Typical annual hydrographs for the (A) unregulated period (1904-1942), (B) post-
- 1274 Englebright Dam period (1942-1971), and (C) post New Bullards Bar construction (1971-
- 1275 present). Actual water years shown are 1922, 1950, and 1991, respectively.
- 1276 Fig. 3. Photographs of the same downstream 1-km straight-away in Timbuctoo Bend taken in (A)
- 1277 1906 by G.K. Gilbert and (B) 2006 by the authors illustrating incision on the order of 15 m
- and persistence of similar morphological units.
- 1279 Fig. 4. Topographic map of the wetted channel at the study site at 23.4 m³s⁻¹ prior to the May
- 1280 2005 flood showing the cross section locations where depths and velocities were measured
- 1281 (XS1, XS2, and XS3) as well as locations of cross sections for hydraulic geometry analysis
- 1282 (pool, riffle, and run).
- 1283 Fig. 5. Hydraulic geometry relationships: WinXSPRO results compared to FESWMS results.
- 1284 Fig. 6. FESWMS velocity magnitude results for all discharges: (A) summer low flow (23.4 m³s⁻
- 1285 ¹), (B) present-day Q_b (159.2 m³s⁻¹), (C) pre-Bullards Bar Dam Q_b (328.5 m³s⁻¹), and (D) and 1286 a 7.7 year event (1215.8 m³s⁻¹).
- Fig. 7. (A) Depth and (B) velocity validation best fit curves for three cross sections, see Fig. 4 forcross section location.
- 1289 Fig. 8. Simplified visualization of DEM difference illustrating areas of scour (shades of red or in
- b/w: shaded dots) and deposition (shades of blue or in b/w: shaded hatch marks) by
- 1291 morphological unit. Locations indicate (1) pool scour, (2) upstream knickpoint migration,
- 1292 (3) bedrock outcrop constriction corresponding to scour, (4) side channel deposition, (5)
- island/bar complex elongation by deposition, and (6) deposition on willow levee and
- 1294 floodplain.
- 1295 Fig. 9. Box and whisker plot of 2D model predicted Shields stress data related to the occurrence

- of scour (elevation change < -0.15 m), no change (-0.15 m < x < 0.15 m), and deposition (>
 0.15 m) on a point-by-point basis.
- 1298 Fig. 10. Comparison of 2D-model predicted τ^* for the flood peak discharge and elevation change
- 1299 2004-2005 stratified by bankful wetted cross sections and the floodplain. Shaded area is
- 1300 region of uncertain channel change.
- 1301 Fig. 11. Cross sections from 2004 to 2005 showing locations of scour and deposition with
- 1302 corresponding 2D model output Shields stresses for (A) pool, (B) riffle and (C) run cross

theory

1303 sections. View is looking upstream.





















