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Ambient ozone effects on respiratory outcomes among smokers modified by neighborhood poverty: an analysis of SPIROMICS AIR

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Declaration of interests

The authors declare that they have no known competing financial interests or personal relationships that could have appeared to influence the work reported in this paper.

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Abstract

Background: Neighborhood poverty has been associated with poor health outcomes. Previous studies have also identified adverse respiratory effects of long-term ambient ozone. Factors associated with neighborhood poverty may accentuate the adverse impact of ozone on respiratory health.

Objectives: To evaluate whether neighborhood poverty modifies the association between ambient ozone exposure and respiratory morbidity including symptoms, exacerbation risk, and radiologic parameters, among participants of the SPIROMICS AIR cohort study.

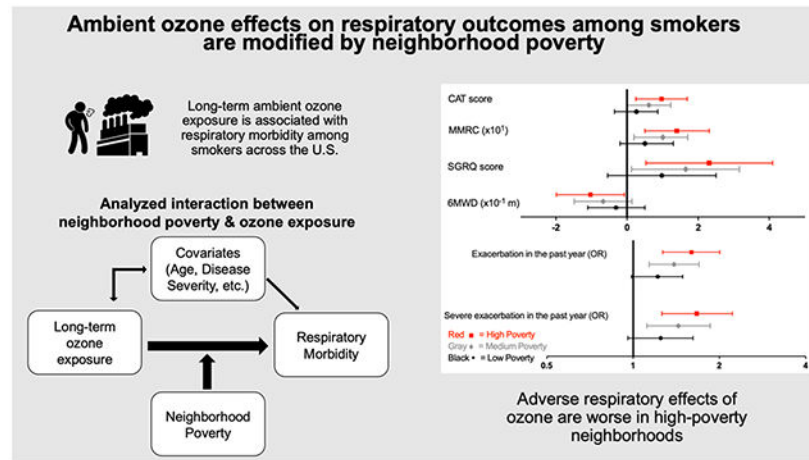
Methods: Spatiotemporal models incorporating cohort-specific monitoring estimated 10-year average outdoor ozone concentrations at participants' homes. Adjusted regression models were used to determine the association of ozone exposure with respiratory outcomes, accounting for demographic factors, education, individual income, body mass index (BMI), and study site. Neighborhood poverty rate was defined by percentage of families living below federal poverty level per census tract. Interaction terms for neighborhood poverty rate with ozone were included in covariate-adjusted models to evaluate for effect modification.

Results: 1874 participants were included in the analysis, with mean (\pm SD) age 64 (\pm 8.8) years and FEV₁ (forced expiratory volume in one second) 74.7% (\pm 25.8) predicted. Participants resided in neighborhoods with mean poverty rate of 9.9% (\pm 10.3) of families below the federal poverty level and mean 10-year ambient ozone concentration of 24.7 (\pm 5.2) ppb. There was an interaction between neighborhood poverty rate and ozone concentration for numerous respiratory outcomes, including COPD Assessment Test score, modified Medical Research Council Dyspnea Scale, six-minute walk test, and odds of COPD exacerbation in the year prior to enrollment, such that adverse effects of ozone were greater among participants in higher poverty neighborhoods.

Conclusion: Individuals with COPD in high poverty neighborhoods have higher susceptibility to adverse respiratory effects of ambient ozone exposure, after adjusting for individual factors. These

findings highlight the interaction between exposures associated with poverty and their effect on respiratory health.

Graphical abstract



Keywords

Air pollution; Chronic obstructive pulmonary disease; Socioeconomic factors; Ozone; Poverty areas

1. Introduction

Lower individual and neighborhood socioeconomic status (SES) has been associated with chronic disease morbidity, and respiratory morbidity specifically, including higher prevalence of chronic obstructive pulmonary disease (COPD) and worse COPD outcomes.^{1,2} Existing literature has focused primarily on the impact of short-duration, high-concentration exposures to ozone on acute respiratory outcomes.³ However, recent evidence shows long-term exposure to ambient ozone is associated with worse respiratory morbidity among individuals with and without COPD.^{4,5} Because regulatory standards do not exist in the United States for ozone concentrations on time scales longer than 8 hours, the impacts of chronic ozone exposure on vulnerable populations is understudied. In addition, some studies suggest that long-term pollutant exposures may be more harmful in the context of poverty.^{6–8} To our knowledge, no studies have evaluated the interaction between neighborhood poverty rate and long-term ambient ozone exposure with regards to respiratory outcomes among individuals with and at risk for COPD. The proposed mechanisms by which ozone exposure can negatively impact respiratory health – direct cell toxicity, promotion of inflammation and oxidative stress, altered innate immune responses, and sensitization to environmental allergens – are all potentially amplified by socioeconomic stressors.^{9–13} Understanding the possible interaction between neighborhood poverty and long-term ambient air pollution has the potential to further the understanding of the complex mechanisms by which SES impacts respiratory health.

The purpose of this analysis was to evaluate the interaction between neighborhood poverty rate and 10-year average historical ozone levels on respiratory morbidity of current and former smokers with or without airway obstruction. These individuals were enrolled in the Subpopulations and Intermediate Outcome Measures in COPD Study (SPIROMICS), a multicenter prospective cohort study that aimed to identify new COPD subgroups and intermediate markers of disease progression. The current analysis specifically utilized data from the SPIROMICS Air Pollution Study (SPIROMICS AIR), an ancillary study that sought to examine respiratory effects of exposure to several air pollutants among individuals with heavy smoking histories – a group at increased risk for respiratory morbidity. We hypothesized that the adverse effects of long-term ambient ozone exposure would be heightened for individuals living in neighborhoods with high poverty rates.

2. Methods:

2.1 Study Population and Participant Characterization

The current study is a cross-sectional analysis of data collected at the SPIROMICS enrollment baseline visit as part of SPIROMICS AIR, an ancillary study with baseline visits conducted from 2010 to 2015 that included 2382 participants enrolled at 7 of the 12 SPIROMICS clinical sites.¹⁴ A total of 1874 SPIROMICS AIR participants were current or former smokers and had available 10-year historical ambient ozone exposure and neighborhood poverty data. SPIROMICS was approved by the institutional review board at each clinical center. All study participants provided written informed consent. Participants in SPIROMICS were 40 to 80 years of age at baseline, were current or former smokers (≥ 20 pack-years) and included individuals with spirometry confirmed COPD (postbronchodilator forced expiratory volume in the first second of expiration/forced vital capacity [FEV₁/FVC] < 70%), as well as current or former smokers without airways obstruction. Healthy controls with ≤ 1 pack-year smoking history were excluded from the analysis. Smoking history was defined as lifetime cumulative pack-years and as a binary indication for current smoking status (yes or no) of smoking within the past month. Trained staff at the clinical sites collected demographic, smoking and occupational exposure, and clinical data.¹⁵

2.2 Ambient Ozone Exposure Assessment

We determined 10-year average outdoor concentrations of pollutants at each participant's home as previously described.⁴ Briefly, two-week mean outdoor concentrations of ozone and particulate matter with a diameter of less than 2.5 μm (PM_{2.5}) were estimated at each participant's precise geocoded home location using validated spatiotemporal modeling methods and, linking to the residential history of each individual reported for the 10 years prior to the baseline visit, averaged to obtain the long-term pollutant exposure estimate. The spatiotemporal modeling approach used benefits from incorporation of regulatory monitoring, cohort-focused monitoring, and hundreds of geographic covariates incorporated via partial least squares regression. This approach, developed and validated for the Multi-Ethnic Study of Atherosclerosis and Air Pollution (MESA Air),¹⁶ performs well in terms of both prediction accuracy and precision and allows for finer-scale predictions than prior models based on chemical transport modeling. This allows for better understanding of spatial variation in ozone concentration and improved exposure predictions for analysis

of long-term exposure for individuals. A detailed description of pollutant monitoring and spatiotemporal models has been previously published.^{4,14,16,17}

2.3 Neighborhood Poverty

Addresses of participants at baseline were linked to the census tract-level data from the 2006-10 American Community Survey from the US Census Bureau.¹⁸ Neighborhood poverty rate was measured as the percentage of all families in a census-tract with annual income below the 2010 federal poverty level. Neighborhood poverty rate was analyzed as a continuous variable, but for ease of interpretability in comparing neighborhoods with different poverty rates, some results are displayed comparing participants residing in low, medium, and high poverty areas – defined as neighborhoods with poverty rates less than 5%, between 5% and 20%, and greater than 20%, respectively. These rates were chosen based on definitions commonly used in the respiratory and public policy literature.^{19–22}

2.4 Respiratory Outcomes

Respiratory-specific quality of life was ascertained using the St. George's Respiratory Questionnaire (SGRQ), and health status was identified with the COPD Assessment Test (CAT). Dyspnea was assessed with the modified Medical Research Council (mMRC) Dyspnea Scale, and functional exercise capacity was evaluated with the 6-minute walk test (6MWT).^{23–26} Exacerbations were based on report of antibiotic and/or steroid use, unscheduled physician visits, emergency department (ED) visits and hospitalizations for COPD, and frequency of these instances over the past year. Severe exacerbations were defined as events requiring an ED visit or hospitalization. Participants underwent whole-lung multidetector helical computed tomography (CT) scans. Percentage of emphysema was defined as percent of total voxels in the field less than –950 Hounsfield units (HU) at total lung capacity, and percentage of air trapping was defined as percent of total voxels in the field less than –856 HU at residual volume. Pi10 (the square root of the wall area of a theoretical airway with a lumen perimeter of 10 mm) was used as a measure of airway wall thickness.²⁷

2.5 Statistical Analysis

Descriptive analyses were used to compare means and proportions between participants residing in low, medium, and high poverty areas. Using multivariable linear regression for continuous outcomes and logistic regression for discrete outcomes, we assessed whether the association between ozone and COPD outcomes was modified by neighborhood poverty rates. We considered both ozone and neighborhood poverty rate as continuous variables on the natural scale and included them as predictors along with the interaction term (ozone x poverty rate) in 2-way interaction model. Models were adjusted for age, sex, race (white vs. non-white), educational attainment (high school graduate or less vs. above high school), annual household income, body mass index (BMI), current smoking status (former vs current), smoking pack-years, occupational exposure to vapors, gases, dusts, or fumes (VGDF), and study site. The effect estimate of the two-way interaction between ozone and neighborhood poverty rate represented the change in ozone's association with COPD outcome by neighborhood poverty rate. The ozone effect at each poverty rate (low, medium, high) was assessed by estimating ozone's association with COPD outcome measures while

holding constant the neighborhood poverty rate at the appropriate level: 5%, 12.5%, and 20% for “low”, “medium”, and “high” poverty rate, respectively. Given that PM_{2.5} levels, NO₂ levels, and chronic disease prevalence may be higher among low-SES individuals and associated with COPD respiratory outcomes, models were run as sensitivity analyses adjusting for additional covariates, including 10-year historical concentration of PM_{2.5}, model-predicted indoor NO₂,²⁸ outdoor NO₂, and comorbidities.²⁹ Additional sensitivity analyses included 1- and 5-year mean ambient ozone concentration as the main exposure variable. A three-way interaction model between ozone concentration, neighborhood poverty rate, and smoking status was run to assess for additional effect modification by smoking status.

All analyses were performed with StataMP software, version 15.1 (StataCorp LLC). The threshold of statistical significance for the main associations and interaction terms was a 2-sided P < 0.05.

3. Results

3.1 Participant characteristics

This cross-sectional analysis included 1874 participants. The mean (SD) neighborhood poverty rate at baseline was 10% (9.9%); the national mean neighborhood poverty rate was 11.8% in 2010.³⁰ Compared to participants living in low (< 5%) poverty neighborhoods, those living in medium (5% to 20%), and high (> 20%) poverty neighborhoods were younger (mean age 60-64 years in medium and high areas compared to 60 years in low areas), more likely to be female (48-49% in medium and high areas vs 42% in low areas), less likely to be white (43% in high areas vs 80% and 91% in medium and low areas), and less likely to have an educational attainment level above high school (48% in high poverty areas vs 59% and 75% in medium and low areas) (Table 1). They were also more likely to have an annual household income below \$35,000 (53% in high poverty areas vs 38% and 24% in medium and low areas), more likely to report occupational exposure to VGDF (55% in high areas vs 50% and 45% in medium and low areas), and were more likely to be current smokers (55% in high areas vs 38% and 30% in medium and low areas). Participants in high poverty areas had lower lifetime tobacco smoke exposure compared to those in medium and low poverty areas (45 pack-years in high poverty areas vs 50-51 pack-years in medium and low areas). Mean historical ambient ozone concentrations were lower in high poverty areas (20.4 ppb in high poverty areas vs 25.2-25.8 ppb in medium and low poverty areas); however, PM_{2.5} levels were higher in high poverty areas (12.8 µg/m³ in high vs 11.3 µg/m³ and 10.4 µg/m³ in medium and low poverty areas, respectively).

3.2 Multivariable Regression

Adjusting for covariates, there was an interaction between neighborhood poverty rate and ambient ozone concentration such that adverse effects of ozone were greater among participants in higher poverty neighborhoods for several outcomes including CAT, mMRC, 6MWT, percent air trapping on CT scan, and odds of COPD exacerbation in the 12 months prior to baseline visit (Table 2, Figure 1 and E2). For example, the adverse effect of a 5 ppb increase in 10-year average historical ozone concentration on CAT score was

magnified by 0.49 points (95% CI, 0.12–0.85) for every one standard deviation increase in neighborhood poverty (10.3% increase in poverty rate). A 5 ppb increase in 10 year average ozone concentration was associated with a 0.97 point worse CAT score in high poverty areas (95% CI, 0.25-1.69) and a 0.61 point worse CAT score in medium poverty areas (95% CI, 0.004-1.23) but was not associated with CAT score in low poverty areas ($\beta=0.26$, 95% CI, $-0.35-0.87$). Similarly, for every 1 SD increase in neighborhood poverty rate, the odds of any exacerbation and of severe exacerbation per 5 ppb increase in ozone increased by 21% (OR=1.21; 95% CI, 1.07-1.36) and 22% (OR=1.22, 95% CI, 1.06-1.42), respectively. For every 5 ppb increase in ozone, the odds of any exacerbation and severe exacerbations increased by 60% (OR=1.60; 95% CI, 1.27-2.01) and 67% (OR=1.67, 95% CI, 1.26-2.22), respectively, in high poverty areas and by 39% (OR=1.39; 95% CI, 1.14-1.70) and 44% (OR=1.44; 95% CI, 1.12-1.86) in medium poverty areas, while the ozone association was more modest in low poverty areas (OR=1.22, 95% CI, 0.99-1.49 for any exacerbation; OR=1.25, 95% CI, 0.96-1.62 for severe exacerbation). No significant interaction between neighborhood poverty rate and ozone concentration was observed for FEV₁ percent predicted, airway wall thickness (pi10), and percent emphysema. A modest interaction was observed for SGRQ ($\beta=0.92$, 95% CI, $-0.004-1.84$, $P_{\text{intx}}=0.051$) (Table 2).

3.3 Sensitivity and Three-way Analyses

When additionally adjusting for either outdoor PM_{2.5} or outdoor NO₂, collinearity was introduced; however, the association of ozone with respiratory outcomes remained robust in terms of magnitude and direction in both cases. Importantly, the interaction between ozone and neighborhood poverty was unaffected by co-pollutant adjustment (Figure E1). Modeled indoor NO₂ levels were available for only a subset of participants (n = 992); however, within this subset results remained similar both for the overall ozone x neighborhood poverty interaction (Table E1) and the ozone x neighborhood poverty interaction additionally adjusted by modeled indoor NO₂ (Table E2). When additionally adjusting for cardiovascular comorbidities or all comorbidities, modest changes in the effect modification by neighborhood poverty rate were noted for SGRQ and 6MWT. The results held similar for all other outcomes (Table E3 and E4). A three-way interaction model between ozone concentration, neighborhood poverty rate, and smoking status found no evidence of effect modification by smoking status (all $P_{3\text{-way interaction}} > 0.10$), such that the effects of ozone remained worse in higher poverty areas regardless of smoking status (Table E5). When considering alternate mean times of ozone exposure, the interaction between neighborhood poverty and ozone exposure was similar to the 10-year ozone concentration when using 1- and 5-year historical ozone concentrations (Table E6).

4. Discussion

This study of smokers with and at risk for COPD demonstrates that the adverse respiratory effects of long-term ambient ozone exposure are heightened in high poverty neighborhoods. Specifically, the association of ozone exposure with dyspnea, respiratory-related quality of life, exacerbation risk, and degree of air trapping on CT scan was increased among individuals living in neighborhoods with moderate to high poverty rates compared to those living in neighborhoods with low poverty rates.

The long-term effect of exposure to ambient ozone pollution on several measures of respiratory health was previously published in this cohort.⁴ While the observed mean ozone concentrations were significantly lower than the 70 ppb 8-hour maximum concentrations regulated by the NAAQS (National Ambient Air Quality Standards), long-term exposure to similar levels of ambient ozone have been associated with decreased lung function among the general population as well as high-risk individuals with alpha-1 antitrypsin deficiency.^{5,31} Evidence from exposure and field studies have shown considerable variability in an individual's response to ozone concentration with no evidence of a lower threshold of effect,³ and associations with outcomes such as mortality persisting at levels as low as 15 ppb.³² Further, some evidence suggests that exposure risk to changes in pollutant concentration are greater at lower levels of pollutant, further highlighting that increases at relatively low concentrations can have harmful effects.^{4,33} Therefore, it is possible that longer term mean levels of exposure should be considered for regulation in addition to 8-hour maximum concentrations. Whether additional measures of exposure, such as area under the curve or peak exposures, could have additional risk is unclear. The current analysis furthers this understanding by identifying neighborhood poverty as an important modifier of the association between ambient ozone and respiratory health, even when adjusting for an individual's socioeconomic status (household income, education, and occupation), suggesting that the mechanisms by which ozone impacts respiratory health may be enhanced by community-level risk factors. These results represent clinically important changes in exacerbation rates, dyspnea, and quality of life for individuals living in the poorest neighborhoods. The 10 year average ozone concentration in our study ranged from 11.1 to 44.6 ppb and poverty rates ranged from 0 to 100%, suggesting that residing in a high poverty and high ozone area has potential for large clinical impact. For example, a 5 ppb increase in 10 year average ozone concentration was not associated with CAT score in low poverty areas ($\beta=0.26$, 95% CI, $-0.35-0.87$), but the same increase for an individual living in a 35% poverty area was associated with a 2 point worse CAT score, corresponding to the minimal clinically important difference (MCID) for CAT. These findings add to the literature documenting the effect of neighborhood SES on respiratory health and further highlight how deleterious factors may coalesce in disadvantaged neighborhoods.

These findings are consistent with previous evidence demonstrating accentuated harmful effects of pollutants on general and respiratory health in low income environments. Low neighborhood SES has been found to heighten the impact of short-term ozone exposure on ED visits and mortality in the US.^{20,34} Short- and long-term exposure to other ambient pollutants, such as nitrogen dioxide (NO₂), sulfur dioxide (SO₂), and total suspended particles (TSP) have shown increased effects on among low-SES individuals in international cohorts.^{7,35} In a large French cohort, neighborhood SES demonstrated an effect on the association between short-term exposure to ambient NO₂ and all-cause mortality, with this effect modified by increased long-term exposure.⁷ Similarly, a Canadian study found that long-term exposures to SO₂ and TSP were associated with an increased risk of cardiopulmonary as well as all-cause mortality, and that this risk was increased for individuals in low-SES neighborhoods.³⁵

There are several mechanisms by which ozone's effects on respiratory health could be enhanced by neighborhood poverty. Neighborhood poverty is associated with increased

adverse indoor and outdoor environmental exposures,³⁶ higher concentration of tobacco stores,³⁷ lower access to healthy food choices,^{38,39} decreased access to health care, and lack of social cohesion to support community members;^{36,40,41} and residents of high poverty neighborhoods may have high stress, prevalence of comorbidities, tobacco and occupational exposures, and poor quality dietary intake.^{40,41} Many of these factors have been shown to lead to increased oxidative stress and heightened inflammation,^{9–11} which are key mechanistic pathways for the development of COPD and triggers for exacerbations.^{42,43} Similarly, exposure to ozone increases inflammation and oxidative stress both systemically and in airways specifically,^{44,45} and thus can act synergistically with other sources of oxidative stress and inflammation that are prevalent in high-poverty areas. As an example, pro-inflammatory diets have been associated with COPD,^{46,47} and diet supplementation with antioxidant vitamins (C and E) may decrease inflammatory effects of ozone.⁴⁸ In addition, high indoor allergen exposure is common among low-SES households,⁴⁹ and ozone may act on respiratory epithelium to increase sensitization and hyperresponsiveness to allergens.^{50,51} Given that the prevalence of atopy among individuals with COPD is estimated to be as high as 35–36%, increased allergen sensitization via ozone exposure may affect a substantial number of individuals with COPD.⁵² Finally, despite overall lower ambient levels, personal exposure to ozone may be higher in high-poverty neighborhoods because of lower access to central air conditioning and increased reliance on open windows and window air conditioning units,⁵³ increasing outdoor pollutant penetration into homes during warm months when ambient ozone concentrations are highest.⁵⁴

Through the clinical and exposure phenotyping in SPIROMICS AIR, this analysis was able to examine several of these potential mechanisms. In the current model, the interaction between neighborhood poverty rate and ozone persisted even when accounting for tobacco smoke and occupational VGDF exposures, as well as comorbidity burden in sensitivity analysis.²⁹ Additionally, other ambient pollutants are known to negatively impact respiratory health, and particulate matter and ozone can interact synergistically to increase airway inflammation.⁵⁵ In this analysis, while levels of outdoor air pollution from PM_{2.5} were higher in high-poverty neighborhoods, the interaction between neighborhood poverty rate and ozone was robust to adjustment by PM_{2.5}.

Ambient ozone levels in this cohort were lower in high-poverty neighborhoods, consistent with previous studies.^{56,57} As a secondary pollutant arising from reactions of ultraviolet sunlight with byproducts of hydrocarbon combustion, ozone exists in an equilibrium with these primary pollutants (namely, NO₂, NO, and volatile organic compounds).⁵⁸ Thus, ambient ozone concentrations are often found to be lower near large sources of hydrocarbon combustion where emissions of NO are highest, such as highways and industrial areas, which could be more common in high-poverty areas. The current finding of an association of ozone exposure with respiratory morbidity, even in areas of relatively low historical ozone concentrations, is in line with epidemiologic as well as ozone chamber studies which support deleterious effects of ozone even at concentrations below National Ambient Air Quality Standards.^{12,59,60} This finding may have important implications with regards to the “double jeopardy” hypothesis that low-SES individuals often face both higher exposure to air pollution while possessing more co-occurring risk factors for the deleterious effects of pollution.^{61,62} In the case of the current analysis, individuals in low-SES neighborhoods had

higher susceptibility to adverse respiratory effects of ambient ozone exposure in spite of lower average exposure to ozone.

The current analysis has some limitations. We were unable to explore several mechanisms by which residing in high poverty neighborhoods may accentuate harmful effects of ozone, including time spent outdoors, building characteristics, access to healthy foods, and indoor pollution. In particular, indoor air pollution including indoor PM and NO_x and exposure to allergens may be higher in poor neighborhoods.^{63–65} While these exposures may act synergistically with ambient ozone, they were not captured in the current analysis. Additionally, while our definition of neighborhood poverty is commonly used throughout the literature, it may not capture other important measures of neighborhood disorder and deprivation that could impact respiratory health. Furthermore, the spatial resolution of neighborhood poverty at the census tract level may not account for differing exposures to “neighborhood-level” exposures such as access to greenspace, exposures to violence, and others which may vary widely within a census tract.⁶² Challenges of assessing the effect of ambient pollutant exposure on human health, as referenced by LaKind et al., including appropriate duration of exposure, monitoring stations as a proxy for personal exposure, and challenges of addressing copollutants exist in the current study.⁶⁶ However, we present fine spatiotemporal models with inclusion of cohort-specific monitoring and sensitivity analyses adjusting for PM_{2.5} and NO₂, to minimize these limitations. This study also has several strengths. In clarifying the extent to which certain exposures may explain the neighborhood poverty-ozone interaction, the current analysis benefits from the extensive clinical and exposure phenotyping conducted in SPIROMICS AIR, including geocoding and fine-scale pollution monitoring. These data allowed for exploration of the influence of factors such as individual SES, comorbidities, and co-exposure to other pollutants in the interaction between neighborhood poverty and ambient ozone. Additionally, participants were recruited from cities across the United States, increasing its generalizability to neighborhoods throughout the country.

5. Conclusion

This secondary analysis of SPIROMICS AIR found a significant interaction between neighborhood poverty rate and increasing historical ambient ozone concentration for numerous respiratory outcomes among current and former smokers, such that adverse effects of chronic ozone exposure were greater among participants in higher poverty neighborhoods. This interaction persisted even when accounting for individual SES and the relatively lower ozone levels in high poverty neighborhoods. These findings suggest that neighborhood poverty may accentuate the mechanisms by which ozone impacts respiratory health. In the setting of poverty, individuals are vulnerable to even relatively low levels of ozone, and these findings speak to the complex interaction between numerous exposures associated with poverty and their effect on respiratory health.

Supplementary Material

Refer to Web version on PubMed Central for supplementary material.

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Abbreviations:

6MWT	Six Minute Walk Test
CAT	COPD Assessment Test
COPD	chronic obstructive pulmonary disease
FEV₁	forced expiratory volume in the first second of expiration
FVC	forced vital capacity
mMRC	Modified Medical Research Council Dyspnea Scale

NAAQS	National Ambient Air Quality Standards
PM_{2.5}	particulate matter with a diameter of less than 2.5 µm
SPIROMICS	Subpopulations and Intermediate Outcome Measures in COPD Study
SPIROMICS AIR	SPIROMICS Air Pollution Study
VGDF	vapors, gases, dusts, or fumes

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Highlights

- Long-term exposure to low levels of ozone is associated with respiratory morbidity
- Respiratory effects of long-term ozone exposure are worse in poorer neighborhoods
- Ozone may act in synergy with other exposures common in poor neighborhoods

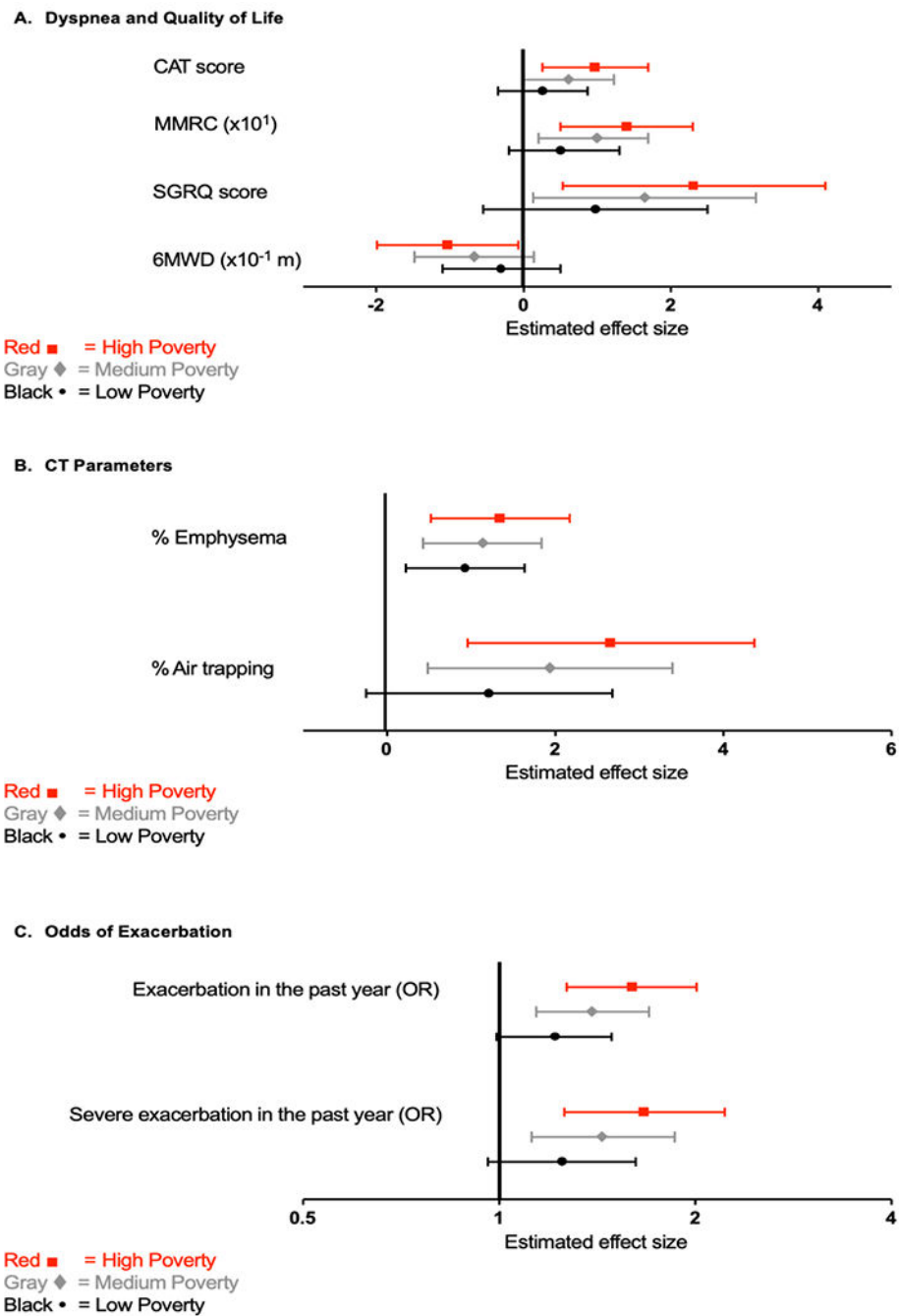


Fig. 1. Effect of 5 ppb Increase in Ozone Between Low, Medium, and High Poverty Neighborhoods on Dyspnea and Quality of Life (A), CT Parameters (B), and Odds of Exacerbation (C). The point estimates and 95% confidence intervals were obtained based on performing multivariable regression analysis with two-way interaction between ozone and poverty rate, adjusted by age, sex, race, educational attainment, annual household income, body mass index, current smoking status, smoking pack-years, occupational exposure, and study sites.

Table 1.Baseline Participant Characteristics, Mean \pm SD or N (%)

	All N=1874	Low Poverty ($<5\%$) N=779	Medium Poverty (5%-20%) N=830	High Poverty ($>20\%$) N=265
Age, y	64.5 \pm 8.8	66.8 \pm 7.7	63.6 \pm 8.8	60.4 \pm 9.5
Sex, n (% female)	859 (46%)	330 (42%)	399 (48%)	130 (49%)
Race, n (% white)	1478 (79%)	706 (91%)	657 (80%)	115 (43%)
Education, n (% above HS grad)	1188 (64%)	578 (75%)	484 (59%)	126 (48%)
Income, annual household				
<\$35,000	631 (34%)	183 (24%)	309 (37%)	139 (53%)
\$35,000	889 (47%)	442 (57%)	382 (46%)	65 (25%)
Decline to answer	354 (19%)	154 (20%)	139 (17%)	61 (23%)
Body Mass Index	28.0 \pm 5.3	27.7 \pm 5.0	28.1 \pm 5.3	28.2 \pm 5.8
Current Smoker, n (% yes)	687 (37%)	234 (30%)	309 (38%)	144 (55%)
Pack-Years, y	49.8 \pm 28.9	50.2 \pm 24.1	51.2 \pm 34.5	44.6 \pm 21.3
Postbronchodilator FEV ₁ % Predicted	74.7 \pm 25.8	75.5 \pm 25.4	74.3 \pm 25.5	73.5 \pm 28.0
Comorbidity Index, #	2.2 \pm 1.5	2.2 \pm 1.5	2.2 \pm 1.5	2.4 \pm 1.7
Occupational Exposure, n (% yes)	904 (49%)	346 (45%)	414 (50%)	144 (55%)
10-yr mean PM _{2.5} concentration, $\mu\text{g}/\text{m}^3$	11.2 \pm 2.3	10.4 \pm 2.3	11.3 \pm 2.1	12.8 \pm 1.9
10-yr mean Ozone concentration, ppb	24.7 \pm 5.2	25.8 \pm 4.8	25.2 \pm 4.9	20.4 \pm 5.2
Neighborhood Poverty Rate, %	9.9 \pm 10.3	2.3 \pm 1.5	10.7 \pm 4.2	30.2 \pm 10.1

Table 2.

Interaction Between Neighborhood Poverty Rate and 10 Yr Average Ambient Ozone Concentration on COPD Outcomes

	Ozone Effect Estimate (95% CI) ^a			Interaction Effect Est. (95% CI) ^b	
	Low Poverty ^c	Medium Poverty ^d	High Poverty ^e	Difference in Ozone Effect Estimate by 1-SD Increase in Poverty Rate	<i>P</i> _{interaction}
Respiratory Morbidity					
CAT	0.26 (−0.35, 0.87)	0.61 (0.004, 1.23)	0.97 (0.25, 1.69)	0.49 (0.12, 0.85)	0.010
MMRC	0.05 (−0.02, 0.13)	0.10 (0.02, 0.17)	0.14 (0.05, 0.23)	0.06 (0.02, 0.11)	0.007
SGRQ	0.98 (−0.55, 2.50)	1.65 (0.13, 3.16)	2.31 (0.53, 4.10)	0.92 (−0.004, 1.84)	0.051
FEV1 % Predicted	−2.05 (−3.99, −0.10)	−2.53 (−4.47, −0.59)	−3.01 (−5.29, −0.74)	−0.66 (−1.81, 0.49)	0.258
6MWT	−3.06 (−11.07, 4.96)	−6.69 (−14.78, 1.41)	−10.3 (−19.9, −0.75)	−4.97 (−9.77, −0.18)	0.042
CT-measured outcomes					
Airway Thickness (x10 ^{−2})	−0.03 (−0.57, 0.51)	−0.01 (−0.55, 0.54)	0.01 (−0.62, 0.65)	0.03 (−0.29, 0.35)	0.860
% Emphysema	0.93 (0.23, 1.63)	1.14 (0.43, 1.84)	1.34 (0.52, 2.17)	0.28 (−0.13, 0.69)	0.176
% Air Trapping	1.21 (−0.25, 2.68)	1.94 (0.48, 3.40)	2.66 (0.96, 4.37)	0.99 (0.13, 1.86)	0.025
Exacerbations in the past 12 mo					
Any exacerbation, OR	1.22 (0.99, 1.49)	1.39 (1.14, 1.70)	1.60 (1.27, 2.01)	1.21 (1.07, 1.36)	0.002
Severe exacerbation, OR	1.25 (0.96, 1.62)	1.44 (1.12, 1.86)	1.67 (1.26, 2.22)	1.22 (1.06, 1.42)	0.007

^aOzone effect estimate represents the mean change for respiratory morbidity and CT-measured outcomes or odds ratio for exacerbations per 5 ppb increase in ozone concentration. The ozone effect estimate was obtained at each neighborhood poverty level (low, medium, high) by holding constant the neighborhood poverty rate at the defined level (5%, 12.5%, and 20%) using linear or logistic regression model with two-way interaction (ozone x poverty). All models were adjusted for age, sex, race, educational attainment, annual household income, BMI, current smoking status, smoking pack-years, occupational exposure, and study site.

^bThe interaction effect estimate represents the predicted change in the mean change for respiratory morbidity and CT-measured outcomes or odds ratio for exacerbations per 5 ppb increase in ozone concentration for every 1-SD increase in neighborhood poverty rate.

^cFor estimating ozone effect at “low poverty”, the neighborhood poverty rate was fixed at 5%.

^dFor estimating ozone effect at “medium poverty”, the neighborhood poverty rate was fixed at 12.5%, which is the median value of the 5–20% poverty range.

^eFor estimating ozone effect at “high poverty”, the neighborhood poverty rate was fixed at 20%.