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Publication Date
1967-12-28

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December 28, 1967

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# UNIVERSITY OF CALIFORNIA <br> Lawrence Radiation Laboratory Berkeley, California <br> AEC Contract No. W-7405-eng-48 

MESON BOOTSIRAP WITH FINITE-ENERGY SUM RULES*

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December 28, 1967

Finite-energy sum rules (FESR) ${ }^{1,2}$ express analyticity and tie together the high-energy and the low-energy behavior of scattering amplitudes. Assuming the high-energy amplitude is dominated by a few Regge poles in the crossed channel ( $t$ ), and that the low-energy amplitude is dominated by a few direct-channel (s) resonances, the FESR allow us to determine the t-channel Regge parameters in terms of the parameters of the s-channel resonances. In the $\pi \pi$ system both the $s$ and $t$ channels contain the same particles, therefore we obtain self-consistency-- or bootstrap-- conditions. ${ }^{3}$ We show how resonances in the direct $\pi \pi$ channel ( $\rho, f, g$ ) generate (via FESR) the $\rho$-Regge pole in the $t$ channel, and we calculate $\alpha_{\rho}(t)$ for $\alpha$ from $-\frac{1}{2}$ to +3 . This is relevant to the intriguing question of elementarity versus compositeness of particles: If we assume the $I_{t}=1$ amplitude is dominated by one Regge pole, the $\rho$, then our model predicts that this pole is moving, with $d \alpha / d t \approx 1.0 \mathrm{GeV}^{-2}$, and it cannot be a fixed Regge pole (elementary particle). ${ }^{4}$ We also treat the superconvergent $I_{t}=2$ and the $I_{t}=0$ amplitudes (with $P$ and $P^{\prime}$ ), and we solve the $\rho$-f-bootstrap system. The Regge amplitude at fixed momentum transfer $t$ for high energies $s$ is

$$
A \approx B\left(\frac{\nu}{v_{0}}\right)^{\alpha} \frac{\mp 1-e^{-i \pi \alpha}}{\sin \pi \alpha} \text { for } I_{t}=\left\{\begin{array}{c}
0,2  \tag{1}\\
1
\end{array}\right\}
$$

where $\quad v \equiv \frac{1}{2}(s-u)=\frac{1}{2} z_{t}\left(t-4 m_{\pi}^{2}\right), \quad v_{0} \equiv 1 \mathrm{BeV}^{2}$, and $\beta$ is the reduced
residue function (regular at threshold). If the asymptotic formula (1) is good for $v>N$, then the following FESR are equally good ${ }^{l}$

$$
\begin{equation*}
\int_{0}^{N} d v v^{n} \operatorname{Im} A(v, t) \equiv S_{n}(N, t) \approx \beta(t) \frac{N^{\alpha(t)+n+1}}{\alpha(t)+n+1} \tag{2}
\end{equation*}
$$

For the low-energy (LE) integral on the left-hand side (LHS) of (2) we use the narrow resonance approximation:

$$
\begin{equation*}
\operatorname{LHS}=C_{t s}\left[s /\left(s-4 m_{\pi}^{2}\right)\right]^{\frac{1}{2}} \pi(2 \ell+1)[m \Gamma x] P_{\ell}\left(z_{s}\right) \cdot v^{n} \tag{3}
\end{equation*}
$$

where $C_{t s}$ is the isospin crossing matrix, $m$ is the resonance mass, $\Gamma$ its width, and $x$ its elasticity.

There are two approaches to any bootstrap, old ${ }^{5}$ or new: ${ }^{3}$ (a) One uses the physical masses and couplings in the s channel as input on the LHS to compute the corresponding output information in the $t$ channel on the RHS of (2). One then asks whether the input and output parameters are consistent, $m_{i n}(s)=m_{\text {phys. }} \stackrel{?}{\underset{\sim}{\sim}} m_{\text {out }}(t) 。(b) \quad$ one solves the system of bootstrap equations requiring $m_{i n}=m_{o u t}$, and then one checks that the self-consistent parameters are approximately equal to the physical ones, $m_{\text {in }}(s)=m_{\text {out }}(t) \stackrel{?}{\sim} m_{\text {phys. }}$ In approach (a) we test consistency. In approach (b) we mix up consistency and stability, and we also test uniqueness. We shall mostly use (a), since it is much easier for computations.

We work at fixed $t$ and with definite isotopic spin, $I_{t}$; in the t-channel. We start with $I_{t}=1$. The RHS of the FESR is therefore given by the $p$ Regge term. This amplitude is odd in $z_{t}$ therefore we. can use $S_{O}, S_{2}$, etc. We work at $t=m_{\rho}^{2}$ and not at $t=0$, because we know $\beta$ only at $t=m_{\rho}^{2}$. At $t=m_{\operatorname{Res}}{ }^{2}$ we have :
$B\left(t=m_{\operatorname{Res}}^{2}\right)=[m \Gamma x] \frac{d \alpha}{d t} \frac{\pi}{2}(2 \ell+1) c_{\ell}\left[2 v_{0} /\left(t-4 m_{\pi}^{2}\right)\right]^{\ell}\left[t /\left(t-4 m_{\pi}^{2}\right)\right]^{\frac{1}{2}}$
where $c_{\ell}$ is the leading coefficient of $P_{\ell}(z) . \quad \beta(t=0)$ is unknown. The approximation ${ }^{6} \beta(t) \approx \beta(0)$ cannot be used, because it is undefined unless we specify the value of $v_{0}$. If we change $y_{0}$, $B$ will pick up an exponential t-dependence. Choosing $v_{0}=1 \mathrm{BeV}^{2}$ we obtain the output $B\left(m_{\rho}^{2}\right) / B(0)=3.0 \pm 0.1$.

By far the most important input resonances ${ }^{7}$ are the $\rho, f(1250)$, and $g(1650)$. Therefore we consider the following three cases. Limit of integration $N$ : (I) above the $\rho$, (II) above the $f$, and (III) above the g. We choose $N$ halfway between the highest resonance included and the one immediately above. A reasonable range for $N$ about the halfway point is $\delta \mathbb{N}= \pm 0.15 \mathrm{BeV}^{2}$, as explained below. (Alternatively we could allow N to vary from the midpoint between the two resonances half the remaining distance to the next resonance, i.e., by $\delta \mathbb{N} \approx \pm 0.25 \mathrm{BeV}^{2}$.)

In case (I) we take only the $\rho$ on the LHS of (2); we use the experimental value $d \alpha / d t=1 \mathrm{BeV}^{-2}$ for connecting $B$ and $\Gamma$ (Eq. 4), and from $S_{0}$ at $t=m_{\rho}^{2}$ we obtain $\Gamma_{\rho}^{\text {out }} / \Gamma_{\rho}^{\text {in }}=0.95 \pm 0.21$, where $\Gamma_{\rho}^{\text {out }}=\Gamma_{\rho}{ }^{t}$ and $\Gamma_{\rho}{ }^{\text {in }}=\Gamma_{\rho}{ }^{s}$. This should be compared with the value in the old bootstrap $5 \Gamma^{\text {out }} / \Gamma^{\text {in }} \sim 5-10$. Our result depends crucially on the value of the crossing matrix element $C_{I I}=1 / 2$ and on the $\rho \operatorname{spin}$. It also depends on $\mathbb{N}$, and the uncertainty $\delta \mathbb{N}=0.15 \mathrm{BeV}^{2}$ produces the error in this and all following results. Because the FESR are linear in the amplitudes, we can compute only the ratio $\Gamma^{\text {out } / \Gamma^{i n} \text {, while the absolute }}$ value of $\Gamma_{\rho}$ drops out of the equations. $\Gamma_{\rho}$ (the $\rho$-coupling constant), merely serves to fix the scale of all amplitudes.

In case (II) we use the $\rho$ and the $f$ as input on the LHS and from $S_{o}\left(t=m_{\rho}{ }^{2}\right)$ we obtain $\Gamma_{\rho}{ }^{\text {out }} / \Gamma_{\rho}{ }^{\text {in }}=0.84 \pm 0.11$, where the error refers to $\delta N$ only. There are various ways of reexpressing this result. For example, we can require self-consistency, assume that $m_{p}$ and $m_{f}$ are given, and compute $\Gamma_{\mathrm{f}} / \Gamma_{\rho}=1.01 \pm 0.18$. The experimental $\rho$ width is not well known: Rosenfeld ${ }^{7}$ gives for the experimental ratio 0.91 . Alternatively we can require exact self-consistency, take the experimental widths, and use $S_{0}$ to determine the cutoff $N$. We obtain $s_{N} \equiv s(v=N)=1.92$, which sould be compared with the half-way point $s_{N}=2.12$.

Since we now have a broader support we can also use the higher moment sum rule $S_{2}$. From $S_{0}$ and $S_{2}$ we determine the output $\alpha(t)$ :

$$
\begin{align*}
& S_{2} /\left(N^{2} S_{0}\right)=(\alpha+1) /(\alpha+3) \\
& \alpha=\left(3 S_{2}-N^{2} S_{0}\right) /\left(N^{2} S_{0}-S_{2}\right) \tag{5}
\end{align*}
$$

Using $s_{N}=1.92$, determined above from $s_{0}$, we obtain $\alpha\left(m_{\rho}^{2}\right)=1.1 \pm 0.4$ and $\alpha(0)=0.4 \pm 0.3$.

Next we treat case (III) with ( $\rho, \mathrm{f}, \mathrm{g}$ ) as input. g has an unknown $2 \pi$ branching ratio, ${ }^{7} \mathrm{x}_{\mathrm{g}}$. We impose $\Gamma_{\rho}^{\text {out }}=\Gamma_{\rho}^{\text {in }}$ and use $\mathrm{S}_{0}$ to determine $x_{g}$; we get $x_{g}=58 \pm 8 \%$. If we use $S_{2}$ we have $x_{g}=58 \pm 12 \%$. Combining $S_{0}$ and $S_{2}$ to eliminate $\beta_{\rho}$, we obtain $\alpha_{\rho}\left(m_{\rho}^{2}\right)=1.0 \pm 0.3$ and $\alpha_{\rho}\left(m_{g}^{2}\right)=2.9 \pm 0.8$.

Next we note that the $P_{\ell}(z)$ 's in the amplitudes corresponding to the three input resonances $\rho, f$, and $g$ all have their first zeros simultaneously at $t \approx-0.3 \mathrm{BeV}^{2}$, or more precisely at $-0.26,-0.31,-0.29$, respectively. Therefore the RHS will vanish near this point: $\beta_{\rho}(-0.3) \approx 0$.

Inclusion of the low partial waves neglected on the LHS will shift this value downward by $\delta t \approx 0.1 \mathrm{BeV}^{2}$. Let us check whether this zero of $\beta_{\rho}(t)$ is connected with the vanishing of $\alpha_{\rho}(t)$. Unfortunately (5) gives $\alpha=\frac{0}{0}$ if $\beta=0$. Therefore we go to $t=-0.75 \mathrm{BeV}^{2}$ and check if $\alpha$ becomes negative. We obtain $\alpha_{\rho}(-0.75)=-0.4 \pm 0.1$. Interpolation between the $t$ values gives $\alpha_{\rho}=0$ for $t \approx-0.3 \mathrm{BeV}^{2}$. Summarizing, an input of $\rho$, $f$, and $g$ in the $s$ channel is able to generate an output $\alpha_{\rho}(t)$, with $-\frac{1}{2} \leqslant \alpha_{\rho} \leqslant+3$. Our model predicts that the Regge pole in the crossed channel must be a moving pole with $d \alpha_{\rho} / d t=1.0 \pm 0.2 \mathrm{BeV}^{-2}$.

If there were only one $\rho$ Regge pole, then factorization would lead to a contradiction between the one $\rho$ pole approach to $\pi \mathbb{N}$ charge exchange, and $\pi \pi$ elastic scattering. In the former case ${ }^{3}$ only the helicity flip amplitude, $B_{C E X}$, vanishes for $\alpha_{\rho}(t)=0$, while the non-flip amplitude $A^{\prime}$ CEX remains non-zero for $\alpha=0$. In a one $\rho$ pole approach this indicates that the $\rho$ trajectory chooses sense at $\alpha=0$, while the present analysis indicates that $\rho$ chooses nonsense. This contradiction disappears if we assume we have one effective $\rho$ trajectory, which simulates the combined effect of $\rho$ and $\rho, .8$

The $I_{t}=2$ amplitude does not contain any known Regge pole, and is therefore superconvergent. Since it is even in $z_{t}$ we can test the relation $S_{1}=0$. The contributions of $\rho$, $f$, and $g$ at $t=0$ are $-0.34,+1.24$, and -4.10 , and have the tendency to cancel on the LHS, thus producing a zero on the RHS of (2). On the other hand the convergence of the LHS is extremely bad, because the sole difference between the $\rho$-producing sum rules for $I_{t}=1$, and the superconvergent sum rules for $I_{t}=2$, is a sign in the crossing matrix. The only way to simultaneously generate
the $\rho$ in $I_{t}=I$ and superconvergence in $I_{t}=2$ is to have very large, but strongly overlapping, resonances, or nonresonating contributions.

The $I_{t}=0$ amplitude is complicated because it contains two Regge poles, called $P$ and $P^{\prime}$, at $t=0$. Using $\rho$ and $f$ as input on the LHS (case II), and taking the cutoff $s_{N}=1.92$, we have self-consistency for $I_{t}=1$ as above, and we obtain, for $I_{t}=0$, for the LHS at $t=m_{f}{ }^{2}$ $S_{1}=38$, while the $f$ contribution ${ }^{9}$ to the RHS is $40 \pm 9$. Therefore one pole dominates $\operatorname{Im} A$ at $t=m_{f}{ }^{2}$. (We do not know whether to identify the $f$ with $P$ or with $P^{\prime}$.) In contrast $P$ and $P^{\prime}$ have comparable importance at $t=0$ (for $s=1.9 \mathrm{BeV}^{2}$ ), since the LHS $=1.8$, while the $P$ contribution ${ }^{10}$ to the RHS gives 0.8 , the difference evidently being due to the $P^{\prime}$.

Finally we solve a simple bootstrap model. We use two equations: $S_{0}$ for $I_{t}=l$ at $t=m_{\rho}{ }^{2}$, and $S_{l}$ for $I_{t}=0$ at $t=m_{f}{ }^{2}$. We assume the FESR are dominated by $\rho$ and $f$. For algebraic convenience, (i) we put $m_{\pi}=0$; (ii) we fix the cutoff $N$ at the $f$ resonance, and correspondingly take only half the $f$ contribution on the LHS; (iii) we retain only the leading term in the Legendre function on both the LHS and RHS. We have two equations in the two unknowns: The mass ratio $\mu=\left(\frac{m_{f}}{m_{\rho}}\right)^{2}$ and the coupling ratio $\lambda=[(2 l+I) \times m \Gamma]_{f} /[\cdots]_{\rho}$. The $\rho$ coupling merely fixes the scale of all amplitudes, while the $\rho$ mass fixes the scale of all energies. ${ }^{\text {ll }}$ Finally we take $\mathrm{d} \alpha / \mathrm{dt}$ (which is needed in Eq. 4) from experiment: $m_{\rho}^{2} \frac{d \alpha}{d t}=0.60$. The equations $S_{0}$ and $S_{1}$ now read: $\frac{1}{2}[3]$

$$
\begin{equation*}
+\frac{1}{3} \frac{\lambda}{2}\left[\frac{3}{2}\left(1+\frac{2}{\mu}\right)^{2}\right]=\frac{1}{2}\left(\mu+\frac{1}{2}\right)^{2} \frac{0.60}{2} \quad 2, \tag{6}
\end{equation*}
$$

$1[1+2 \mu]\left(1+\frac{\mu}{2}\right)+\frac{1}{3} \frac{\lambda}{2}\left[\frac{3}{2}(3)^{2}\right]\left(\frac{3 \mu}{2}\right)=\frac{1}{4}\left(\frac{3 \mu}{2}\right)^{4} \lambda \frac{0.60}{2} \frac{3}{2}\left(\frac{2}{\mu}\right)^{2}$.

The solution is $\mu=2.7$ and $\lambda=2.0$, while the experimental values are $\mu=2.7 \pm 0.2$ and $\lambda=2.3 \pm 0.5$. If we restrict our attention to physical values $\lambda>0, \mu>0$ then the solution is unique and stable. Perturbing the LHS of (6) (7) by $1 \%$ changes the solution by less than $10 \%$.

Discussion of Approximations and Errors. Resonance saturation
on the LHS requires a low $N$, since above the low-energy region the leading direct-channel trajectories, $\rho$ and $f$, will be accompanied by more and more resonances, or nonresonating background, in the lower partial waves. On the other hand the assumption of Regge dominance on the RHS requires a high $N^{12}$ As we go from $t=0$ to $t=m_{\rho}^{2}$ the relative importance of the low partial waves decreases, since the contribution of each partial wave is proportional to $(2 \ell+1) P_{\ell}\left(z_{s}\right)$. For example, for $s=m_{\rho}^{2}$ and $t=m_{\rho}^{2}$ we have $z_{s} \sim 3$. Therefore if the s channel $\epsilon(750)$ exists and has the same width as the $\rho$, it will be only $1 / 9$ as important as the s-channel $\rho$ at $t=m_{\rho}^{2}$. This relative suppression factor together with low widths or elasticities (or both) is responsible for the unimportance of the neglected resonances. ${ }^{8}$ On the other hand high partial waves become relatively more important as $z_{s}$ increases. Because of this the real part of the partial wave series diverges at $t=m_{\rho}^{2}$, so one might fear the convergence ${ }^{13}$ of the imaginary part is slow. Let.us check how strong the first neglected wave is, for example the $d$ wave at $s=m_{\rho}{ }^{2}$. At $t=m_{\rho}^{2}$ and $s=m_{\rho}^{2}$, the Born $d$ wave from $\rho$ exchange (note that the Born approximation is good for high e) amounts to only $0.4 \%$ of the resonating $p$ wave, and the $d$ wave from the $f$ tail amounts to $2 \%$. Closely related is Bareyre's conclusion ${ }^{14}$ for $\pi N$ scattering that up to the 1688 resonance ( $F$ wave) all G wave phase shifts are smaller than 3 degr. Evidently the prominent resonances are peripheral effects, $\ell_{\mathrm{Res}} \approx \mathrm{kR}$, and the peripheral waves are
either resonating or very small. We conclude that for $s=m_{\rho}^{2}$ and $t=m_{\rho}^{2}$ the ultra peripheral as well as the central partial waves are unimportant, and the LHS of the FESR is well approximated by the prominent peripheral resonance, the $\rho$. This is not surprising. The crucial point is that Regge theory in the direct channel tells us that for $t \rightarrow \infty$ the saturation of the LHS of the FESR by the leading resonances becomes exact. ${ }^{15}$

On the RHS we ask: For what $N$ and $t$ is a secondary Regge trajectory, $\rho^{\prime}$, negligible? We assume the $\dot{\rho}$ ' corresponds to particles in the $t$ channel. These belong to low partial waves, and can be suppressed by going to high $z_{t}$. This is equivalent to large $N$ and/or low t. To summarize, on the LHS we want low $N$ and/or high $t$, on the RHS we want high $\dot{N}$ and/or low $t$ : Our quantitative analysis indicates that there is no gap between the two ( $s, t$ ) regions in which the approximations are valid, e.g. at, $s \approx t \approx m_{\rho}^{2}$ both approximations are good to $90 \%$.

The background integral in the $\ell$ plane which was neglected on the RHS, is responsible for the wiggles of the LHS as a function of $N$. We estimate the error from neglecting it by computing the standard deviation of the oscillating expression (LHS ) • $(\alpha+n+1) \cdot\left(N^{\alpha+n+1}\right)^{-1}$ from its average value $B$. Numerical evaluation for $I_{t}=1$ in the region between the $f$ and the $g$ shows that this error amounts to about $10 \%$, and that it can be simulated by taking $\delta N_{1}= \pm 0.10 \mathrm{BeV}^{2}$ in the narrow resonance expression. In the narrow resonance approximation the LHS becomes a step function, and the choice of $N$ relative to adjoining resonances becomes important. For $I_{t}=1$ the narrow resonance approximation reproduces the finite width result, if we choose $N$ halfway between adjoining resonances with $\delta N_{2}= \pm 0.10 \mathrm{BeV}^{2}$. Here we combine these two $\delta N^{\prime}$ s and use $\delta N=0.15 \mathrm{BeV}^{2}$.

We should like to thank Professor G. Chew, Dr. G. Ringland, and Dr. J. Yellin for careful readings of the manuscript.

## FOOTNOTES AND REFERENCES

* This work was done under the auspices of the U. S. Atomic Energy Commission.

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