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Authors

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Muon flux measurements at the davis campus of the sanford underground research facility with the MAJORANA DEMONSTRATOR veto system

N. Abgrall^a, E. Aguayo^b, F.T. Avignone III^{c,d}, A.S. Barabash^e, F.E. Bertrand^d, A.W. Bradley^a, V. Brudanin^f, M. Busch^{g,h}, M. Buuckⁱ, D. Byram^j, A.S. Caldwell^k, Y-D. Chan^a, C.D. Christofferson^k, P.-H. Chu¹, C. Cuestaⁱ, J.A. Detwilerⁱ, C. Dunagan^k, Yu. Efremenko^{m,*}, H. Ejiriⁿ, S.R. Elliott¹, A. Galindo-Uribarri^d, T. Gilliss^{o,h}, G.K. Giovanetti^{o,h}, J. Goett¹, M.P. Green^{p,d,h}, J. Gruszkoⁱ, I.S. Guinnⁱ, V.E. Guiseppe^c, R. Henning^{o,h}, E.W. Hoppe^b, S. Howard^k, M.A. Howe^{o,h}, B.R. Jasinski^j, K.J. Keeter^q, M.F. Kidd^r, S.I. Konovalov^e, R.T. Kouzes^b, B.D. LaFerriere^b, J. Leonⁱ, A.M. Lopez^m, J. MacMullin^{o,h}, R.D. Martin^{j,u}, R. Massarczyk¹, S.J. Meijer^{o,h}, S. Mertens^a, J.L. Orrell^b, C. O'Shaughnessy^{o,h}, N.R. Overman^b, A.W.P. Poon^a, D.C. Radford^d, J. Rager^{o,h}, K. Rielage¹, R.G.H. Robertsonⁱ, E. Romero-Romero^{m,d}, M.C. Ronquest¹, C. Schmitt^a, B. Shanks^{o,h}, M. Shirchenko^f, N. Snyder^j, A.M. Suriano^k, D. Tedeschi^c, J.E. Trimble^{o,h}, R.L. Varner^d, S. Vasilyev^f, K. Vetter^{a,s}, K. Vorren^{o,h}, B.R. White^d, J.F. Wilkerson^{o,h,d}, C. Wiseman^c, W. Xu^{1,t}, E. Yakushev^f, C.-H. Yu^d, V. Yumatov^e, I. Zhitnikov^f, (The Majorana Collaboration)

- ^e National Research Center "Kurchatov Institute" Institute for Theoretical and Experimental Physics, Moscow, Russia
- ^fJoint Institute for Nuclear Research, Dubna, Russia
- ^g Department of Physics, Duke University, Durham, NC, USA
- ^h Triangle Universities Nuclear Laboratory, Durham, NC, USA
- ⁱ Center for Experimental Nuclear Physics and Astrophysics, and Department of Physics, University of Washington, Seattle, WA, USA
- ^j Department of Physics, University of South Dakota, Vermillion, SD, USA
- ^k South Dakota School of Mines and Technology, Rapid City, SD, USA
- ¹Los Alamos National Laboratory, Los Alamos, NM, USA
- ^m Department of Physics and Astronomy, University of Tennessee, Knoxville, TN, USA
- ⁿ Research Center for Nuclear Physics and Department of Physics, Osaka University, Ibaraki, Osaka, Japan
- ^o Department of Physics and Astronomy, University of North Carolina, Chapel Hill, NC, USA
- ^p Department of Physics, North Carolina State University, Raleigh, NC, USA
- ^qDepartment of Physics, Black Hills State University, Spearfish, SD, USA
- ^r Tennessee Tech University, Cookeville, TN, USA
- ^s Department of Nuclear Engineering, University of California, Berkeley, CA 94720, US
- ^t Department of Physics, University of South Dakota, Vermillion, SD, USA

* Corresponding author. E-mail address: yefremen@utk.edu (Yu. Efremenko).

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^a Nuclear Science Division, Lawrence Berkeley National Laboratory, Berkeley, CA, USA

^b Pacific Northwest National Laboratory, Richland, WA, USA

^c Department of Physics and Astronomy, University of South Carolina, Columbia, SC, USA

^d Oak Ridge National Laboratory, Oak Ridge, TN, USA

^u Department of Physics, Engineering Physics and Astronomy, Queen's University, Kingston, Canada

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1. Introduction

The Davis Campus at the Sanford Underground Research Facility (SURF) [1], located in the former Homestake gold mine, is situated at a depth of 4850 ft near the city of Lead, SD, USA. SURF has become a prime site for low background science in the United States since the inauguration of its Davis Campus in 2012. Accurate characterization of the muon flux and average rock density is important for understanding cosmic-ray-induced backgrounds not only in existing experiments presently deployed at SURF, but also for future projects. A previous measurement of the vertical muon flux at the 4850-ft level has been reported [2], and the total muon flux was measured for the 800- and 2000-ft levels [3] at SURF. The total muon flux at the 4850-ft level was calculated to be $(4.4\pm0.1)\times10^{-9}\mu/s/cm^2$ [4]. In this article, we present a first measurement of the total muon flux at the 4850-ft level using the MAJORANA DEMONSTRATOR muon veto system. We compare our measurement to previous work, and to our own simulation of muon transport from the surface to the experiment using geological measurements of the average rock density of the SURF overburden.

The MAJORANA DEMONSTRATOR is an array of enriched and natural high-purity germanium (HPGe) detectors that are used to search for the zero neutrino double-beta ($\beta\beta(0\nu)$) decay of the isotope ⁷⁶Ge. The details of the experiment's design are given in Ref. [5] and only key aspects required for this result are discussed here. The specific goals of the MAJORANA DEMONSTRATOR are to:

- 1. Demonstrate a path forward to achieving a background rate at or below 1 count/(ROI-t-y)in the 4-keV region of interest (ROI) around the 2039-keV Q-value for ⁷⁶Ge $\beta\beta(0\nu)$ decay. This is required for tonne-scale germanium-based searches that will probe the inverted-ordering neutrino-mass parameter space for the effective Majorana neutrino mass in $\beta\beta(0\nu)$ decay.
- 2. Show technical and engineering scalability toward a tonne-scale instrument.
- 3. Perform searches for additional physics beyond the Standard Model, such as dark matter and axions.

The MAJORANA Collaboration has designed a modular instrument composed of two cryostats built from ultra-pure electroformed copper, with each cryostat capable of housing over 20 kg of HPGe detectors. The MAJORANA DEMONSTRATOR contains 30 kg of detectors fabricated from Ge material enriched to 88% in ⁷⁶Ge and another 15 kg fabricated from natural Ge (7.8% ⁷⁶Ge). The modular approach allows us to assemble and optimize each cryostat independently, providing a fast deployment with minimal effect on already-operational detectors.

Starting from the innermost cavity, the cryostats are surrounded by a compact graded shield composed of an inner layer of electroformed copper, a layer of commercially sourced C10100 copper, high-purity lead, an active muon veto, borated polyethylene, and

ABSTRACT

We report the first measurement of the total muon flux underground at the Davis Campus of the Sanford Underground Research Facility at the 4850 ft level. Measurements were performed using the MAJORANA DEMONSTRATOR muon veto system arranged in two different configurations. The measured total flux is $(5.31 \pm 0.17) \times 10^{-9} \ \mu/s/cm^2$.

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pure polyethylene shielding. The cryostats, copper, and lead shielding are enclosed in a radon exclusion box and rest on an over-floor table that has openings for the active muon veto and polyethylene shielding panels situated below the detector. The entire experiment is located in a clean room at the 4850 ft level of SURF. A high-level summary of shield components is shown in Fig. 1.

A large fraction of the plastic scintillator panels comprising the active muon-veto system were operated in different configurations at the experimental site during Ge detector constructions and commissioning. We used the resulting data to measure the total muon flux at the Davis Campus at SURF for the first time.

2. The MAJORANA DEMONSTRATOR muon veto system

The MAJORANA DEMONSTRATOR muon veto system was designed to completely enclose the passive copper and lead shield within two layers of scintillating panels while minimizing gaps. Each layer is composed of 2.54-cm-thick EJ-204B scintillating acrylic sheets encapsulated within Al cladding. These detector panels have various shapes and dimensions resulting in a total area of $\sim 37~m^2$. The DEMONSTRATOR uses a total of 32 veto panels, including twelve that reside within openings of the overfloor table in two orthogonal orientations. The data presented in this paper is based on the operation of two configurations, one with 12 veto panels requiring two-fold coincidence, and one with 14 veto panels requiring threefold coincidence. The arrangement of the veto panels used for each configuration is shown in Fig. 2. More details on each configuration are given in Sections 3 and 4 below.

Light from each individual panel was read out by a single 1.27cm photomultiplier tube (PMT) with wavelength shifting fibers embedded into grooves machined in the scintillator. The panel components were optimized to provide high light output, good light collection uniformity, and excellent muon-detection efficiency



Fig. 1. The shield system in cross section, shown with both cryostats installed.



Fig. 2. Layouts of the MAJORANA DEMONSTRATOR veto panels used in this study. The layout on the left shows the two-fold coincidence arrangement, and on the right is the three-fold coincidence arrangement. Muon selection require a hit in at least one panel in each layer. For the three-fold coincidence arrangement massive lead shielding was present between the top layer and the upper of the bottom two layers as shown in Fig. 1. The bottom layers reside within a steel support over-floor table, which is not shown. All other DEMONSTRATOR components are also suppressed in this view.

 $(\epsilon_D > 99.9\%)$ [6]. The details of the data acquisition system for the veto system were given in Ref. [5]. Performance of each panel is constantly monitored with Light Emitting Diodes (LED) embedded in the scintillator. Reconstructed LED events were also used to measure the live time of the system. The LEDs are pulsed at a frequency known with a precision of 0.1%.

In a deep underground laboratory the muon flux is low, but γ rays from the experimental apparatus and the laboratory environment are significant. As described in [6], the use of the relatively thin 2.54-cm scintillator panels presents certain challenges for separating muons from γ rays and random γ -ray coincidences at the SURF depth. The most probable muon-energy deposition in the veto panels is \sim 5 MeV, which is low enough that the high energy tail of the γ -ray energy distribution can potentially encroach upon the muon peak, potentially overwhelming the muon contribution to the spectrum. The design and construction of the veto panels achieved good light collection and ensured that the μ peak remained well-separated from the γ -ray tail for two-fold or higher-multiplicity coincidences, even at the low muon flux of the Davis Campus.

3. Two-fold coincidence measurement

For the first configuration we used the twelve narrow bottom panels arranged in six pairs. Prior to installation into their final location, six panels, each with dimensions of $32 \times 182 \text{ cm}^2$, were placed parallel to and on top of an additional six panels with dimensions 32×223 cm². We selected events where both a top and bottom panel simultaneously generated a signal above 1.8 MeV. In this two-fold coincidence configuration, the live time is 1536 h $(5.53 \times 10^6 \text{ s})$ between December 19, 2013 and March 11, 2014. The sum of energy deposits in the two panels is shown in Fig. 3. From the figure, one can see that the tail from the γ rays makes it difficult to precisely measure the muon flux from this configuration. Data were fit by combination of an exponential tail approximating the γ background (blue line), and a Landau distribution for muons (red line). The characterization of the γ background tail with an exponential function is justified through an independent fit to accidental two-fold coincidences between the bottom panels. The extracted number of muons passing through system is 912 \pm 43. We note that because the pairs of panels were adjacent, this configuration is sensitive to the total muon flux but not the muon angular distribution.

The individual data runs were 8 hours and the spread in the number of detected events per run follows Poisson statistics. All six detector pairs have similar muon rates that agree within statistical fluctuations.



Fig. 3. The energy deposition of selected events for the two-fold coincidence configuration (black solid histogram). The horizontal scale is the summed energy deposition of the paired panels. The tail from the energy deposition of the γ rays (blue dashed curve) is fitted with an exponential distribution. The signal from muons is fitted by a Landau distribution (red dotted line). The total fit is given by the solid green curve. The most probable summed energy deposit value is 10.7 \pm 0.2 MeV. (For interpretation of the references to color in this figure legend, the reader is referred to the web version of this article.)

4. Three-fold coincidence measurement

For the second configuration we used the veto panels placed in their planned final arrangement. In this configuration, data were selected for three-fold coincidences. Two of these signals came from each of the two layers of twelve panels (arranged in their final six by six orthogonal configuration in the over-floor, as indicated in Fig. 2), and the third signal came from one of two large panels mounted on the top of the experiment's passive shielding. A 1.6-m tall lead shield is situated between the top and bottom panels with a small central cavity of dimensions of (90 \times 50 \times 60 cm³). The top panels are located side by side and their dimensions are each 84 \times 211 cm².

In this configuration, the live time was 2678 h (9.64×10^6 s) collected between June 20 and November 10, 2014, during which a total of 615 \pm 25 muons were detected. For this triple-plane configuration, the random γ -ray background is negligible and a Landau distribution of muon energy deposition in the panel can be clearly seen in Fig. 4. Based on these data we were able to verify the energy calibration of all panels by reconstruction of the muon peak. The shape of the Landau distribution within experimental un-



Fig. 4. Energy distributions in the two large top large panels for the second configuration during a three-fold coincidence, showing a clear muon signal. The left figure shows data from the upper left panel in coincidence with bottom panels and on the right is data from the upper right panel in coincidence with bottom panels. The solid line is simulation, which has much larger statistics.



Fig. 5. The altitude in m of the surface directly above the underground laboratory, which is located at the origin of the plot at an altitude of 119 m. Geographic North is directed towards the top of the figure. Source for this plot is from [11].

certainties. The run-to-run event variations agreed within Poisson fluctuations.

5. Muon simulations and results

To estimate the total muon flux we must estimate the effective cross-sectional area of our detector configurations relative to the muon angular distribution at the Davis Campus. Since the two configurations have qualitatively different response to the muon angular distribution, the difference between the extracted flux values provides a cross-check on the sensitivity to the details of the assumed angular distribution. To model the muon angular distribution and the response of each configuration to it, we simulated muons propagating from the surface through the rock to the Davis Campus, and then through the MAJORANA DEMONSTRATOR laboratory and the detectors.

To understand muon propagation to the experimental site at 4850 ft below the surface we performed detailed simulations with Geant4 [7,8], version 4.96p04, using the QGSP_BIC_HP physics list with muon-nuclear processes turned on. A surface map of the area, 10 km in radius, surrounding the laboratory was implemented in Geant4with a granularity of 77 x 100 m, see Fig. 5. This large simulated area allows entry angles between 0 and 78 degrees relative to the vertical axis for muons entering the underground laboratory. Muons with energies between 5 GeV and 500 TeV using parametrization from [9] were generated randomly on a 100 km² plane at an altitude of 2500 m using the surface muon flux en-

ergy and angular distribution from Ref. [10], and their propagation through the rock was recorded.

For the detector response component of the simulations we used both the GEANT3 package [12] in addition to Geant4 to check for consistency. We used energy and angular distributions of muons entering our laboratory from the muon propagation simulations in order to determine the effective area (A_{eff}) for muons detected in both coincidence configurations. We generated 271,000 muons over an area of $10 \times 10 \text{ m}^2$, which is much larger than that of the veto array. This surface at which the muon paths were initiated was situated 1 m above the rock ceiling of the laboratory, 2 m above the upper panels. These muons were then propagated through the laboratory, and events in which more than 1 MeV is deposited in a panel by either the muon or its secondaries were recorded. All details of the DEMONSTRATOR shielding were included in the simulation model.

For the two-fold-coincidence configuration simulation, 8779 muons were recorded, resulting in an effective area (A_{eff}) of 3.24 × 10⁴ cm². For the three-fold-coincidence configuration simulation, 2876 muons were detected resulting in $A_{eff} = 1.15 \times 10^4$ cm².

The muon flux (*F*) (Eq. (1)) is calculated using A_{eff} , the number of muons observed (N_{obs}), and the live time *T* of each configuration. The statistical uncertainties are large enough that the systematic uncertainties are negligible.

$$F = \frac{N_{obs}}{A_{eff} \epsilon T} \tag{1}$$

The coincidence detectrion efficiency ϵ is taken to be > 99.7% based on the single-panel efficiency (ϵ_D) measured in [6]. For the first configuration with two-fold coincidence, the reconstructed flux was found to be $(5.09 \pm 0.24) \times 10^{-9} \ \mu/s/cm^2$. For the second configuration with three-fold coincidence, the reconstructed muon flux was found to be $(5.54 \pm 0.23) \times 10^{-9} \ \mu/s/cm^2$. Although there were fewer muons registered for the second configuration due to the absence of the random coincidence background from γ rays. It should also be noted that data were taken with the first configuration while the second configuration data were taken near the annual maximum flux. The 4-5% level annual variation of the muon flux is on the same order as our present statistical sensitivity, and will be the subject of future study.

Combining results from both measurements gives a total muon flux of $(5.31 \pm 0.17) \times 10^{-9} \ \mu/s/cm^2$, taken to be an average over the seasonal variation. These two results derive from two very different geometries and angular acceptances. With agreement better than one sigma, we conclude that the statistical uncertainty dominates.

The installed detector configuration did not permit a study of the muon angular dependence with high angular resolution. Nevertheless, it was possible to compare the angular distribution between data and simulation for the three-fold coincidence configuration by using the hit pattern in the bottom narrow panels relative to the coincident top panel. In Fig. 6, the event rate for the coincidence between the bottom six panels and two top panels is shown. The top left veto panel is located over bottom panels 7, 8, and 9, and the top right veto panel is situated over bottom panels 10, 11, and 12. For panels 7–12, the numbering indicates sequential position from left to right. The bottom panel array is shifted \sim 20 cm to the right relative to that of the two top panels. The distance between the top and bottom planes is about 2 m. There is good agreement between simulation and data within the existing statistical precision.



Fig. 6. Event rate for the coincidence between the bottom 6 panels and the upper 2 panels for the three-fold coincidence configuration. The simulation predictions are shown as lines and data are displayed with statistical error bars.

6. Discussion

Our measured total flux is somewhat larger than the calculation in Ref. [4], although the two agree at the 2- σ level. Reference [4] approximated the SURF overburden with a flat surface profile.

An early measurement [2] of the vertical muon flux resulted in a value of $(4.91\pm0.06)\times10^{-9}~\mu/s/cm^2/sr.$ This measurement employed large water Cherenkov tanks ($200 \times 200 \times 120 \text{ cm}^3$) stacked in 3 layers. Events consisting of coincident signals within 3 tanks in a vertical-path trajectory corresponding to an effective zenith angle < 18 degrees were selected for analysis. To compare our estimate for the total muon flux and that of Ref. [2], we integrate our total flux within an 18-degree cone. We calculate a vertical muon flux of $(4.42 \pm 0.15_{stat.}) \times 10^{-9} \ \mu/s/cm^2/sr$ using our own muon model where the stated uncertainty is only the statistical uncertainty from our total flux measurement. However, the vertical flux extracted from other muon models based on our measured total flux predict different values of (4.16 \pm $0.12_{stat.})\times 10^{-9}~\mu/s/cm^2/sr$ using Ref. [13] and $(5.05\pm0.16_{stat.})\times$ $10^{-9} \text{ }\mu/\text{s/cm}^2/\text{sr}$ using a muon angular distribution [14] derived from the MUSIC package [15]. The spread in the extracted vertical fluxes is a result of differences in the angular distributions near small zenith angle and is indicative of a systematic uncertainty in the overburden model and in the simulations. Taking the standard deviation of the three as an estimate of the systematic uncertainty, we calculate the vertical flux to be $(4.4 \pm 0.7_{syst.}) \times$ $10^{-9} \,\mu/s/cm^2/sr$. The total muon flux, on the other hand, is insensitive to the choice of angular distribution model - the systematic uncertainty in the total flux extracted using the three different angular distributions is negligible relative to the statistical uncertainty.

We would like to note two things, however, in comparing our extracted vertical flux relative to the vertical flux measurement of Ref. [2]. First, the quoted uncertainties in Ref. [2] are entirely statistical and no systematic uncertainty was estimated. We were unable to obtain from the authors additional details about the Ref. [2] geometry and thresholds in order to simulate their apparatus with the different muon flux angular profiles. Second, while the measurement of [2] was performed at the same underground level, the separate location of the two experiments beneath the sharp surface profile results in slightly different overburdens and azimuthal muon flux distributions. Nonetheless, when including the systematic uncertainty in the muon models due to differing rock density and angular distribution, our calculated vertical muon flux is consistent with Ref. [2].

To study the effect of rock density further, the total simulated muon flux at the Davis Campus was evaluated over a range of rock



Fig. 7. The predicted muon flux at 4850 ft based on the Geant4 simulation described in the text for several values of the average rock density. The dashed (red) curve is an exponential fit to those simulated data points. The total simulation uncertainties are indicated by the error bars and are correlated between neighboring points. The horizontal (gray) shaded region represents our measurement confidence interval with the central value indicated by the black line. The vertical (green) band shows rock density range from geological studies. (For interpretation of the references to color in this figure legend, the reader is referred to the web version of this article.)

densities. The simulated flux has to be normalized to the muon flux at the surface, so experimental data is used to derive a scaling factor. A surface muon flux [10] of $2.0 \pm 0.2 \,\mu /s/cm^2$ is used as reference. The uncertainties in this value take into account the uncertainty in altitude as well as possible seasonal variations of the atmospheric temperature resulting in a variation of the muon flux [16,17]. The dependance of rock density on the total flux can be seen in Fig. 7. We find that a rock density of $2.89 \pm 0.06 \text{ g/cm}^3$ yields a total muon flux consistent with our measurement. This result agrees very well with geological studies at SURF that found an average rock density of $2.86 \pm 0.11 \text{ g/cm}^3$ [18] (taking the nominal 4% uncertainty) based on cone 45 degrees from vertical.

7. Conclusion

We report for the first time a measurement of the total flux of muons at the SURF Davis Campus. This flux is necessary for present and future experiments to assess cosmic-ray induced backgrounds at this underground location. A measured total flux permits such an assessment with less interpretation than would be required to incorporate effects of the rock density, surface topology, and muon angular distribution. Previous measurements were done at the 800 and 2000 ft levels [3]. The measured flux was found to be in good agreement with that predicted in [4] and with our own simulations using a rock density similar to values measured in geological studies. A comparison of our result with an older measurement of the vertical flux [2] is consistent when including a systematic uncertainty on the muon angular distribution needed to convert our total flux into a vertical flux. The MAJORANA DEMONSTRATOR veto system is operating in the underground environment and identifies muons as expected.

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