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# Impact of Molecular Ligands in the Synthesis and Transformation between Metal Halide Perovskite Quantum Dots and Magic Sized Clusters

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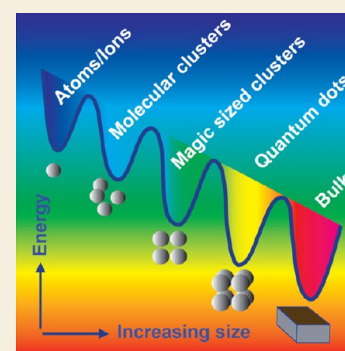
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**ABSTRACT:** Metal halide perovskite quantum dots (PQDs) and perovskite magic sized clusters (PMSCs) exhibit interesting size- and composition-dependent optoelectronic properties that are promising for emerging applications including photovoltaic solar cells and light-emitting diodes (LEDs). Much work has focused on developing new synthesis strategies to improve their structural stability and property tunability. In this paper, we review recent progress in the synthesis and characterization of PQDs and PMSCs, with a focus on the impact of different molecular ligands on their surface passivation and interconversion. Moreover, the effect of capping ligands on ion exchange during synthesis and doping is discussed. Finally, we present some perspectives on challenges and opportunities in fundamental studies and potential applications of both PQDs and PMSCs.



**KEYWORDS:** perovskite, quantum dots, magic sized clusters, capping ligands, surface passivation, transformation

## 1. INTRODUCTION

Metal halide perovskites (MHPs) with an  $ABX_3$  composition [A is an organic or inorganic cation, such as methylammonium ( $CH_3NH_3^+$ ,  $MA^+$ ), formamidinium ( $CH(NH_2)_2^+$ ,  $FA^+$ ), or cesium cation ( $Cs^+$ ), B is a divalent metal ion such as  $Pb^{2+}$ , and X is halide ions]<sup>1</sup> exhibit fascinating optoelectronic properties with promising applications in fields including photovoltaics (PVs),<sup>2</sup> light-emitting diodes (LEDs),<sup>3</sup> photodetectors,<sup>4,5</sup> and sensing.<sup>6</sup> Compared to bulk MHPs, perovskite quantum dots (PQDs) exhibit unique electronic and optical properties, including broadly tunable optical absorption and emission that depend on size, capping ligand, and composition desired for different applications,<sup>7,8</sup> similar to the behavior of the “benchmark” semiconductor quantum dots such as CdSe and CdS.<sup>9</sup> Also similar to chalcogenide clusters, perovskite magic sized clusters (PMSCs) have a single size or narrow size distribution and are smaller than PQDs.<sup>10</sup> Because magic sized clusters have higher monodispersity and smaller size compared to those of quantum dots, they could be used as a unique platform to study the growth mechanism of quantum dots.<sup>11,12</sup> Despite some similarities in electronic and optical properties, PQDs and PMSCs are structurally different from chalcogenide quantum dots and clusters. Clarifying the relation between PQDs and PMSCs can help to better understand the evolution of fundamental properties during transformation from individual ions to bulk MHPs, as present in Figure 1.

Due to the very large surface-to-volume ( $S/V$ ) ratio and high reactivity of surface atoms, PQDs and PMSCs need to be

protected for stability.<sup>13</sup> Passivation of surface dangling bonds or defect sites using molecular capping ligands with appropriate anchoring functional groups is a simple and effective strategy for surface protection and stability.<sup>14</sup> Interestingly, through ligand engineering and control of experimental conditions, PQDs can be transformed to PMSCs or vice versa.<sup>15–17</sup> In this review, we highlight the critical role of capping ligands in the surface passivation of PQDs and PMSCs with a number of examples. Furthermore, we present recent findings on the transformation between PQDs and PMSCs and related mechanisms. Additionally, the ligand effect on doping for doped PQDs and PMSCs is discussed. Finally, we present our perspectives for future studies of both PQDs and PMSCs.

## 2. ROLE OF CAPPING LIGANDS IN THE SYNTHESIS AND PROPERTIES OF PEROVSKITE QUANTUM DOTS AND PEROVSKITE MAGIC SIZED CLUSTERS

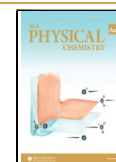
Generally, two wet chemical methods have been used for the preparation of PQDs: hot injection (HI)<sup>18–22</sup> and ligand-

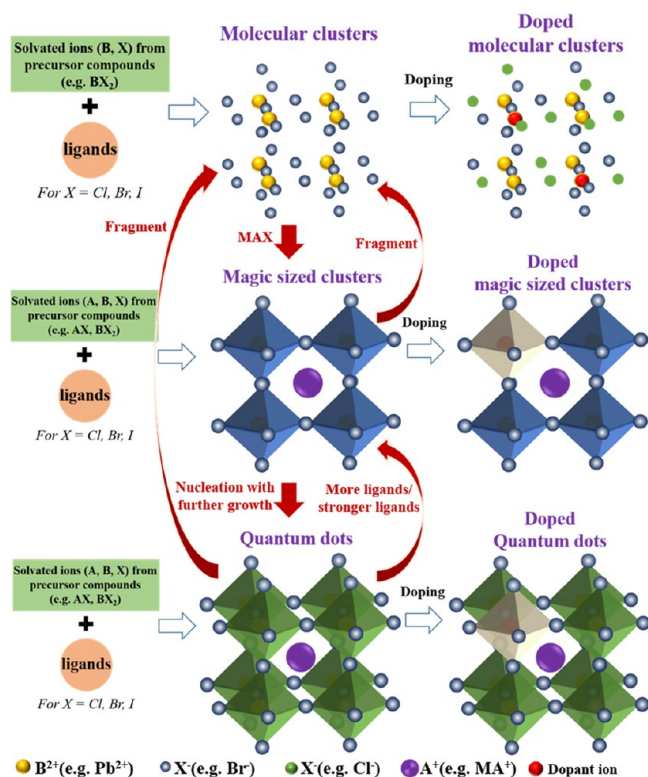
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**Figure 1.** Schematic illustration of the synthesis of undoped and doped lead halide molecular clusters (MCs), PMSCs, and PQDs as well as their interconversion. For perovskites, MCs are synthesized only in the presence of  $PbX_2$  ( $X$  for halide ions) and ligands, with no  $A$  component. With the addition of the  $A$  component precursor (e.g., MAX), MCs can be converted into PMSCs and further into PQDs. Conversely, with more ligands and/or stronger ligands, PQDs can be converted into PMSCs. PQDs and PMSCs can also fragment into MCs. The interconversion among MCs, PMSCs, and PQDs is strongly dependent on the experimental conditions, including the reactant concentration and ligand concentration. PQDs could be “precursors” to bulk perovskites under appropriate experimental conditions, such as removal of ligands.

assisted reprecipitation (LARP).<sup>8,23</sup> The HI approach relies on injection of a presynthesized precursor into an organic solvent containing another precursor ligands under high temperature (140–200 °C) and inert atmospheres, followed by quenching of the reaction with an ice bath. For example, HI was adopted to prepare  $CsPbX_3$  PQDs by Protesescu et al. in 2015 for the first time.<sup>24</sup> This method generally produces well-defined colloidal PQDs, which enables control over size and stability via controlling reaction temperature and halide elements.<sup>18–22</sup>

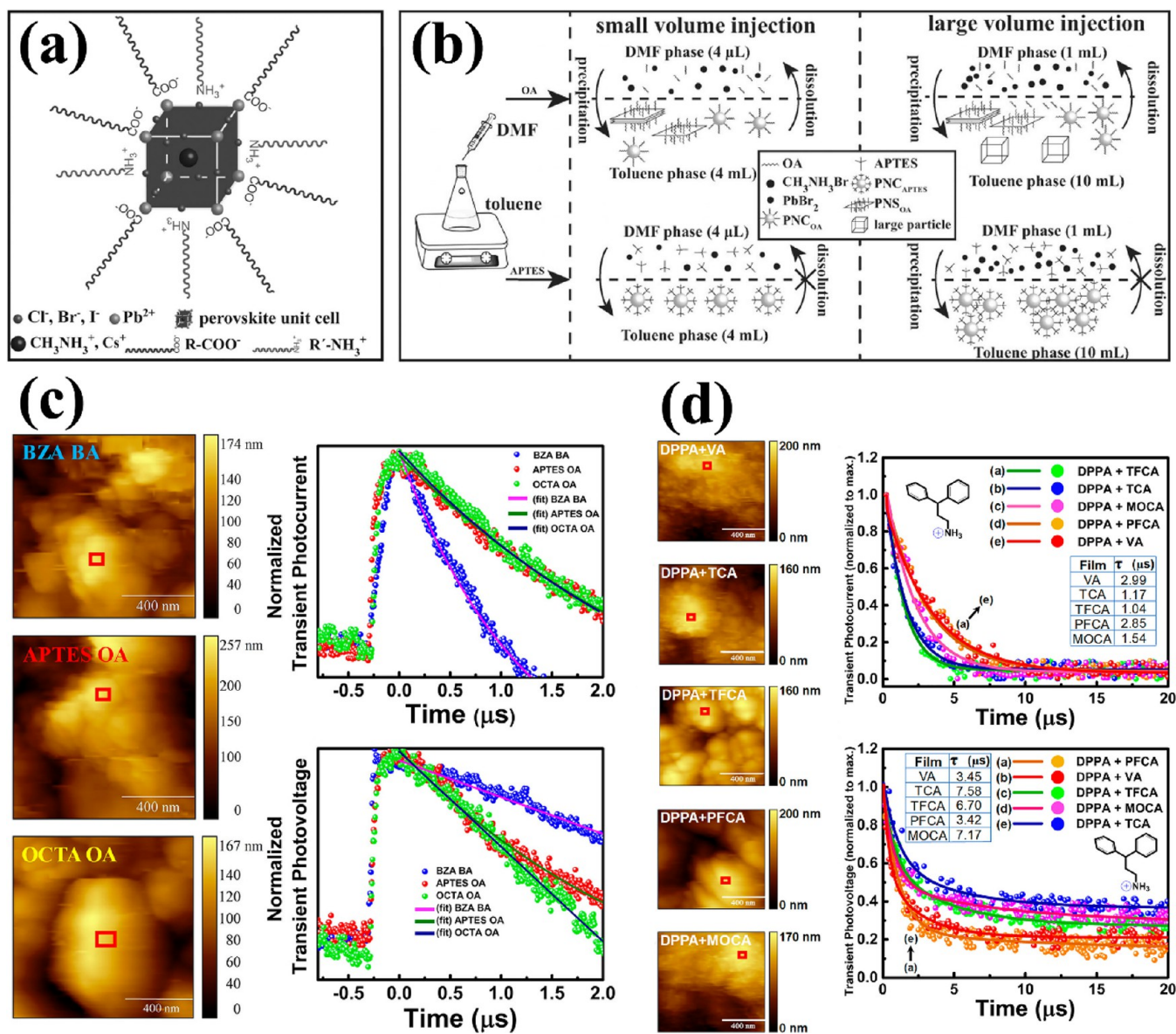
The LARP approach relies on the spontaneous crystallization of the material when it reaches a supersaturated state, which is carried out in the presence of capping ligands, and the nucleation and growth of the precipitate can be controlled. For instance, Zhang et al. employed the LARP approach to synthesize luminescent colloidal  $MAPbBr_3$  PQDs for the first time.<sup>25</sup> In this synthesis, a mixture solution of precursor materials ( $MABr$  and  $PbBr_2$ ) in good solvent, dimethylformamide (DMF) or dimethyl sulfoxide (DMSO), was injected into toluene or hexane to drive the precipitation of  $MAPbBr_3$  PQDs. The LARP technique is simple and produces PQDs with high photoluminescence quantum yields (PLQYs) at room temperature.

However, PQDs have a high percentage of surface atoms or ions that are uncoordinated (dangling bonds) on the surface, resulting in a high density of surface defects and band gap states. The trap states in PQDs, like the trap states in CdSe and CdS quantum dots, can capture photoinduced electrons or holes, leading to nonradiative relaxation and quenching of PL, especially excitonic or band-edge PL.<sup>26,27</sup> Both experimental and theoretical studies have demonstrated that passivation of surface defects with ligands is important to the stability and functionality of PQDs. Appropriate capping ligands anchored to the surface defect sites of PQDs can not only passivate surface defects and reduce associated trap states but also protect them from interacting and reacting with environment species such as water ( $H_2O$ ) and oxygen ( $O_2$ ).

For PQDs, there are at least three types of surface defect sites, which are associated with the three constituting components: inorganic/organic cation ( $A^+$ ), divalent metal cation ( $B^{2+}$ ), and halide anion ( $X^-$ ). Combinations of organic carboxylic acids, such as oleic acid (OA), and amines, such as oleylamine (OLA), have been found to be highly effective for PQD passivation.<sup>28</sup> This can be attributed to protonation transfer between the carboxylic acid ( $-COOH$ ) and amino ( $-NH_2$ ) groups that results in negatively charged carboxylate ( $-COO^-$ ) and positively charged ammonium ( $-NH_3^+$ ) groups that are effective in passivating cationic ( $A^+$  or  $B^{2+}$ ) and anionic ( $X^-$ ) surface defect sites, respectively.<sup>29</sup> The binary ligand strategy generally produces well-defined PQDs with narrow size distribution and good stability. Other straight chain capping ligands used include *n*-octanoic acid (Oca)/valeric acid (VA) and *n*-octylamine (OcAm)/butylamine (BTYA).<sup>17</sup> A structural model of PQD passivated by linear carboxylic acid and amine capping ligands is shown in Figure 2a.<sup>29</sup>

The detailed structure of capping ligands has been found to play an important role in the effectiveness of surface passivation owing to their different strengths of binding to defects and steric hindrance effects. For instance, (3-aminopropyl)triethoxysilane (APTES) and polyhedral oligomeric silsesquioxane (POSS) PSS-[3-(2-aminoethyl)amino]-propylheptaisobutyl-substituted ( $NH_2$ -POSS) with short branched chains have been used as capping ligands to generate  $MAPbBr_3$  PQDs.<sup>30</sup> Unlike PQDs capped with linear ligands,  $NH_2$ -POSS- and APTES-capped PQDs show higher stability as a result of the strong steric hindrance because of their cage-like or cone-shaped structure, respectively. Importantly, APTES-capped PQDs show improved stability in protic solvents, such as isopropyl alcohol, compared to that of  $NH_2$ -POSS-capped PQDs. This is attributed to a thin layer of silica generated on the PQDs' surface due to hydrolysis of APTES. The silica layer can further prevent  $H_2O$ ,  $O_2$ , and other protic solvent molecules from reaching and reacting with the PQD core, as illustrated in Figure 2b. The improved stability of PQDs using branched capping ligands is important for device applications.

Likewise, Vickers et al. introduced conductive aromatic capping ligands, that is, benzylamine (BZA) and benzoic acid (BA), in the synthesis of  $MAPbBr_3$  PQDs.<sup>31</sup> Due to the  $\pi$ - $\pi$  stacking interaction between benzene rings of ligands, the PQDs exhibit higher conductivity and more efficient charge extraction compared to those of PQDs with long alkyl chains and alkoxy silane ligands (Figure 2c). Subsequently, the same approach has been demonstrated by using *trans*-cinnamic acid (TCA) and its derivatives in combination with 3,3-diphenylpropylamine (DPPA) to passivate  $MAPbBr_3$  PQDs.<sup>32</sup> TCA and its

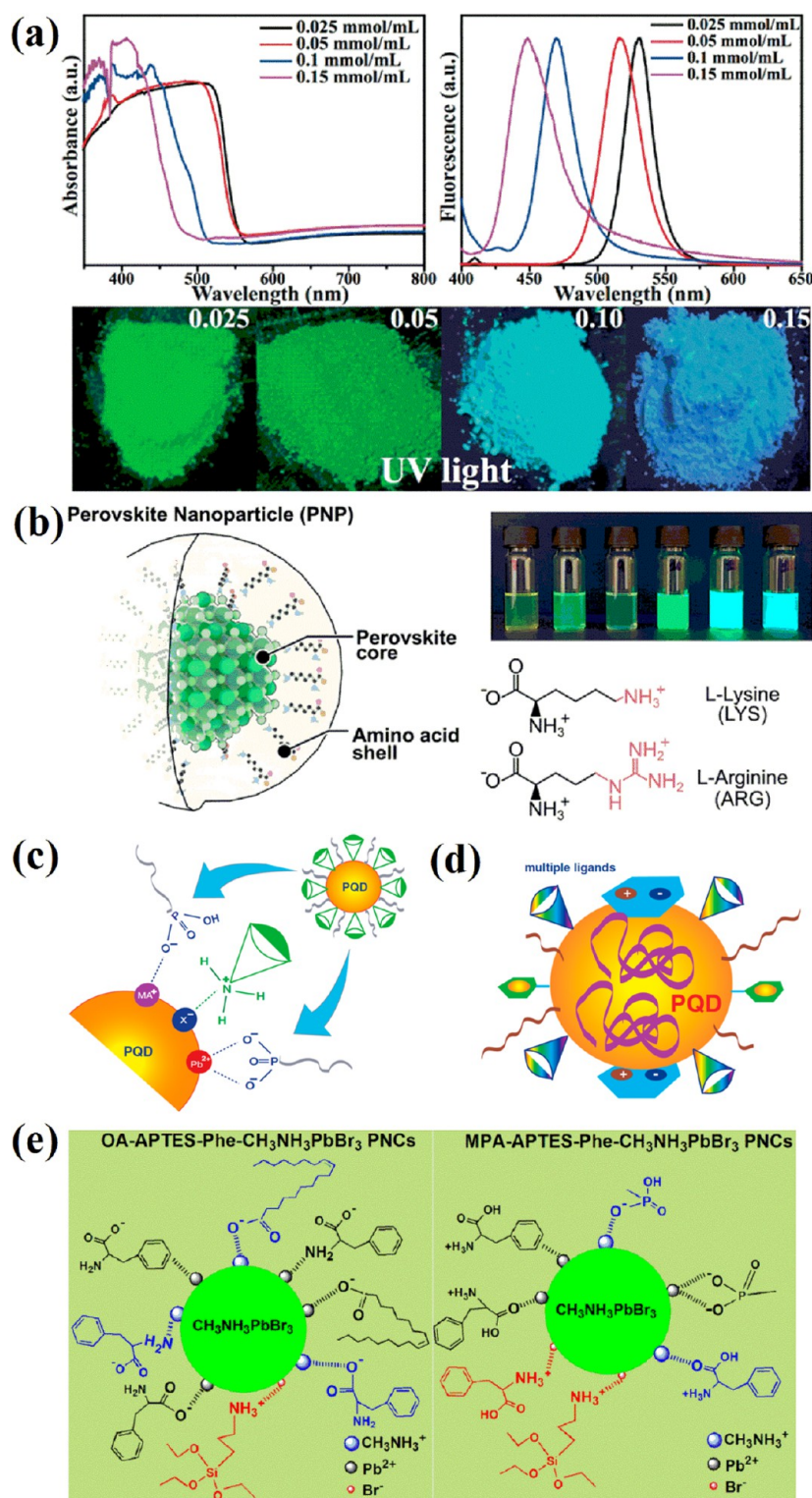


**Figure 2.** (a) Structural model of PQRs passivated by linear carboxylic acid and amine capping ligands. Reproduced with permission from ref 29. Copyright 2017 Wiley. (b) Influence of OA and (3-aminopropyl)triethoxysilane (APTES) ligand capping effects on the synthesis of MAPbBr<sub>3</sub> PQRs. Reproduced with permission from ref 30. Copyright 2016 Wiley. (c) Left image, from top to bottom, is the atomic force microscopy (AFM) topography images of MAPbBr<sub>3</sub> PQRs films with benzylamine (BZA)/benzoic acid (BA), APTES/OA, octylamine (OCTA)/OA capping ligands, and the right image, from top to bottom, is the normalized transient photocurrent (TPC) and transient photovoltage (TPV) decay curves from the corresponding PQRs. Reproduced from ref 31. Copyright 2018 American Chemical Society. (d) Left image, from top to bottom, is AFM topography images of 3,3-diphenylpropylamine (DPPA)/VA, DPPA/*trans*-cinnamic acid (TCA), DPPA/*trans*-4-(trifluoromethyl) cinnamic acid (TFCA), DPPA/*trans*-2,3,4,5,6-pentafluorocinnamic acid (PFCA), DPPA/*trans*-4-methoxycinnamic acid (MOCA) MAPbBr<sub>3</sub> PQRs films, and the right image, from top to bottom, is TPC and TPV decay curves from the corresponding PQRs. Reproduced from ref 32. Copyright 2020 American Chemical Society.

derivatives [i.e., *trans*-4-(trifluoromethyl)cinnamic acid (TFCA), *trans*-2,3,4,5,6-pentafluorocinnamic acid (PFCA), and *trans*-4-methoxycinnamic acid (MOCA)] are used as passivating exciton delocalized ligands (EDL) to reduce the energy gap relative to the PQR core, and the DPPA ligand stabilizes the PQR surface through  $\pi$ - $\pi$  stacking intermolecular interactions and slows down charge trapping and nonradiative decay (Figure 2d).

In addition, multidentate ligand molecules, such as bidentate peptide-like ligands,<sup>29</sup> proteinogenic essential amino acids,<sup>33–37</sup> and zwitterionic surfactants,<sup>38,39</sup> that can provide multiple functional groups in one molecule have also been

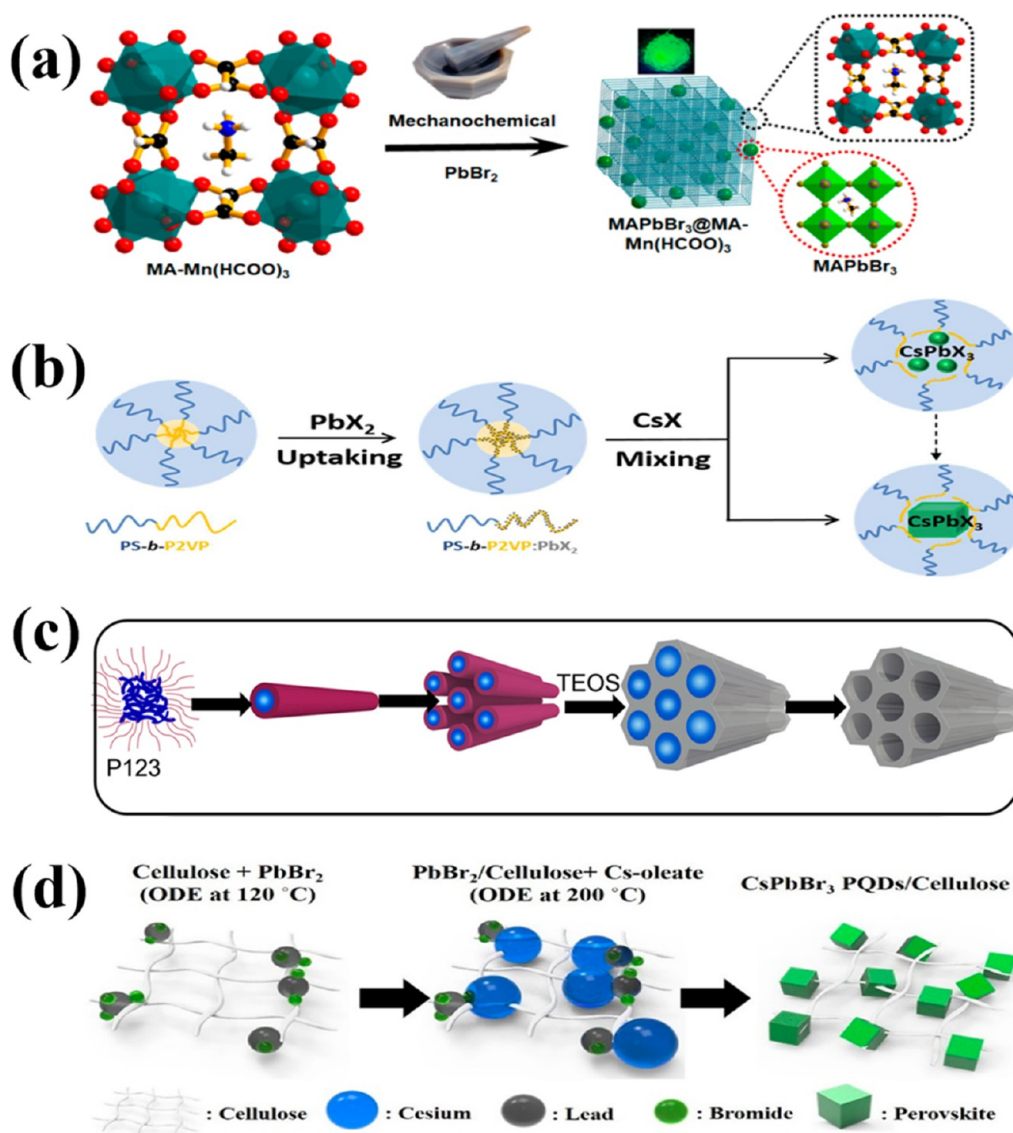
used as capping ligands in the synthesis of PQRs. For example, Luo et al. used 12-aminododecanoic acid (12-AA), 8-aminooctanoic acid (8-AA), or 6-aminohexanoic acid (6-AA) as capping ligands in the synthesis of MAPbBr<sub>3</sub> PQRs.<sup>29</sup> The size and optical properties of PQRs can be tuned by adjusting the concentration of the peptide-like molecules as ligands (Figure 3a). A single peptide containing both -NH<sub>2</sub> and -COOH groups can effectively passivate PQRs, which is simpler than using two separate molecule ligands. The advantage of using peptide-like molecules as ligands is the presence of amphiphilic bifunctional groups in a single molecular. Such PQRs containing peptides on their surface



**Figure 3.** Surface passivation strategies of PQDs. (a) UV–vis absorption, PL spectra ( $\lambda_{\text{ex}} = 365$  nm), and UV light ( $\lambda_{\text{ex}} = 365$  nm) photographs of  $\text{MAPbBr}_3$  PQDs prepared with 0.025, 0.05, 0.1, and 0.15  $\text{mmol}\cdot\text{L}^{-1}$  12 AA. Reproduced with permission from ref 29. Copyright 2017 Wiley. (b) Schematic illustration of synthesis of  $\text{MAPbBr}_3$  PQDs capped with L-lysine (Lys) and L-arginine (Arg) ligands. Reproduced from ref 33. Copyright 2019 American Chemical Society. (c) Schematic illustration of the passivation mechanism of  $\text{MAPbBr}_3$  PQDs with phosphonic acids (PAs) and APTES ligands. Reproduced with permission from ref 40. Copyright 2019 Wiley. (d) Structural model for surface passivation of PQDs with multiple defects using a combination of ligands in a cocktail approach. Reproduced from ref 28. Copyright 2019 American Chemical Society. (e) Ligand binding models on the surface of  $\text{MAPbBr}_3$  PQDs capped with phenylalanine ligands. Reproduced from ref 37. Copyright 2021 American Chemical Society.

have potential applications in the biomedical fields, including optical sensing and imaging. Similarly, Sharma et al. reported

the synthesis of  $\text{MAPbBr}_3$  PQDs with excellent optical properties using amino acids [i.e., phenylalanine (Phe) and



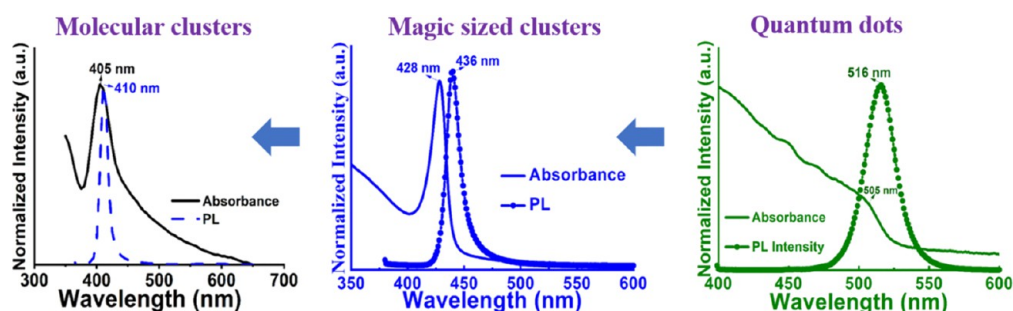
**Figure 4.** (a) Model for synthesis of MAPbBr<sub>3</sub> PQDs on metal–organic frameworks (MOFs). Reproduced from ref 45. Copyright 2020 American Chemical Society. (b) Synthesis of MAPbBr<sub>3</sub> PQDs using amphiphilic block copolymer micelles. Reproduced from ref 46. Copyright 2017 American Chemical Society. (c) Synthesis of molecularly imprinted mesoporous silica embedded with CsPbBr<sub>3</sub> PQDs. Reproduced with permission from ref 47. Copyright 2020 Elsevier. (d) Synthesis of CsPbBr<sub>3</sub> PQDs/cellulose composite. Reproduced from ref 48. Copyright 2020 American Chemical Society.

leucine (Leu)] as ligands.<sup>34</sup> During nucleation, the carboxylic group coordinates with the B<sup>2+</sup> ions, while the amino group terminates the growth and stabilizes the PQDs. Likewise, Jancik Prochazkova et al. reported the use of L-lysine (Lys) and L-arginine (Arg) for PQD surface passivation.<sup>33</sup> The visible light emission bandwidth of the obtained MAPbBr<sub>3</sub> PQDs is narrow, with PLQYs close to 100%. In addition, preferential ligand orientation was achieved via the  $\alpha$ -amino group blocking, with *tert*-butyloxycarbonyl (*t*Boc), which resulted in blue-shifted emission with smaller and more uniform particles, as shown in Figure 3b. These results demonstrate the effectiveness of peptide-like or natural proteinogenic amino acids as surface ligands to stabilize and modify PQDs.

The acid–base proton exchange strategy was later expanded to phosphonic acids (PAs) and amine as an acid–base pair of capping ligands. Xu et al. reported the first combination of PAs with APTES as a dual passivation system during the synthesis of MAPbBr<sub>3</sub> PQDs.<sup>40</sup> PAs can protonate –NH<sub>2</sub> to –NH<sub>3</sub><sup>+</sup> and

generate –PO<sub>3</sub><sup>2-</sup> and –PO<sub>2</sub>(OH)<sup>-</sup> anions. The Pb<sup>2+</sup> and MA<sup>+</sup> surface defects can be passivated by –PO<sub>3</sub><sup>2-</sup> or –PO<sub>2</sub>(OH)<sup>-</sup>, whereas Br<sup>-</sup> surface defects are likely passivated by –NH<sub>3</sub><sup>+</sup>. Additionally, the –PO<sub>3</sub><sup>2-</sup> has a more stable tripod resonance structure than –COO<sup>-</sup>, which may better passivate the surface, as shown in Figure 3c.

Based on a number of studies involving the use of ligand combinations, a “cocktail” approach was proposed as a general strategy for effective passivation of PQDs, as illustrated in Figure 3d.<sup>28</sup> Following this strategy, Liu et al. combined Phe with APTES and OA or methylphosphoric acid (MPA) as capping ligands to improve the PL and stability of MAPbBr<sub>3</sub> PQDs.<sup>37</sup> Phe can passivate the surface defects by coordinating with A<sup>+</sup> or B<sup>2+</sup> ions using its lone pair electrons on the N atom in the –NH<sub>2</sub> group or O in the –COOH group. The form in which Phe exists also depends on the pH of the solution. When the pH is greater than the isoelectric point of Phe, Phe is in its anionic state (H<sub>2</sub>N–C<sub>6</sub>H<sub>5</sub>–COO<sup>-</sup>) and is expected to bind to



**Figure 5.** UV–vis absorption and PL spectra of MCs, PMSCs, and PQDs. For PQDs, the excitonic absorption peak is around 505 nm, and the PL emission peak is around 516 nm. For PMSCs, the excitonic absorption peak is around 428 nm, and the PL emission peak is around 436 nm. For MCs, the excitonic absorption peak is around 405 nm, and the PL emission peak is around 410 nm.

$A^+$  or  $B^{2+}$  defect sites through electrostatic interaction. When the pH is lower than the isoelectric point of Phe, Phe is positively charged ( $^+H_3N-C_6H_5-COOH$ ) and can passivate  $X^-$  defect sites, as illustrated in Figure 3e.

Recently, alkylammonium ions have been studied as capping agents in the synthesis of  $CsPbBr_3$  PQDs to improve their phase stability, control size, and enhance PLQYs.<sup>41–43</sup> Classical molecular dynamics simulations have been carried out to gain insights into the intrinsic binding properties of primary dodecylammonium (DA), secondary didodecylammonium (DDA), and quaternary dimethyldidodecylammonium (DMDDA).<sup>42</sup> It was found that DDA was loosely bound to the surface of  $CsPbBr_3$ , whereas the coverage of DMDDA was more stable than that of the primary oleylammonium ligand. Enhanced binding strength was attributed to the ability of the ligand headgroup to penetrate the binding pocket and weak interaction of the headgroup with polar acetone solvent molecules.

Pradhan reviewed the interactions of alkylammonium ions on the surface of perovskite nanocrystals and their effect on surface reconstruction.<sup>43</sup> During the nucleation and growth of  $CsPbX_3$  nanocrystals, there is a competition between alkylammonium and  $Cs^+$ . Under different conditions, the treatment of excess alkylammonium ions can lead to the formation of multifaceted polyhedron nanocrystals. New surface ligands with special binding capabilities are required to stabilize the new facets and thereby adjust their shapes.<sup>44</sup>

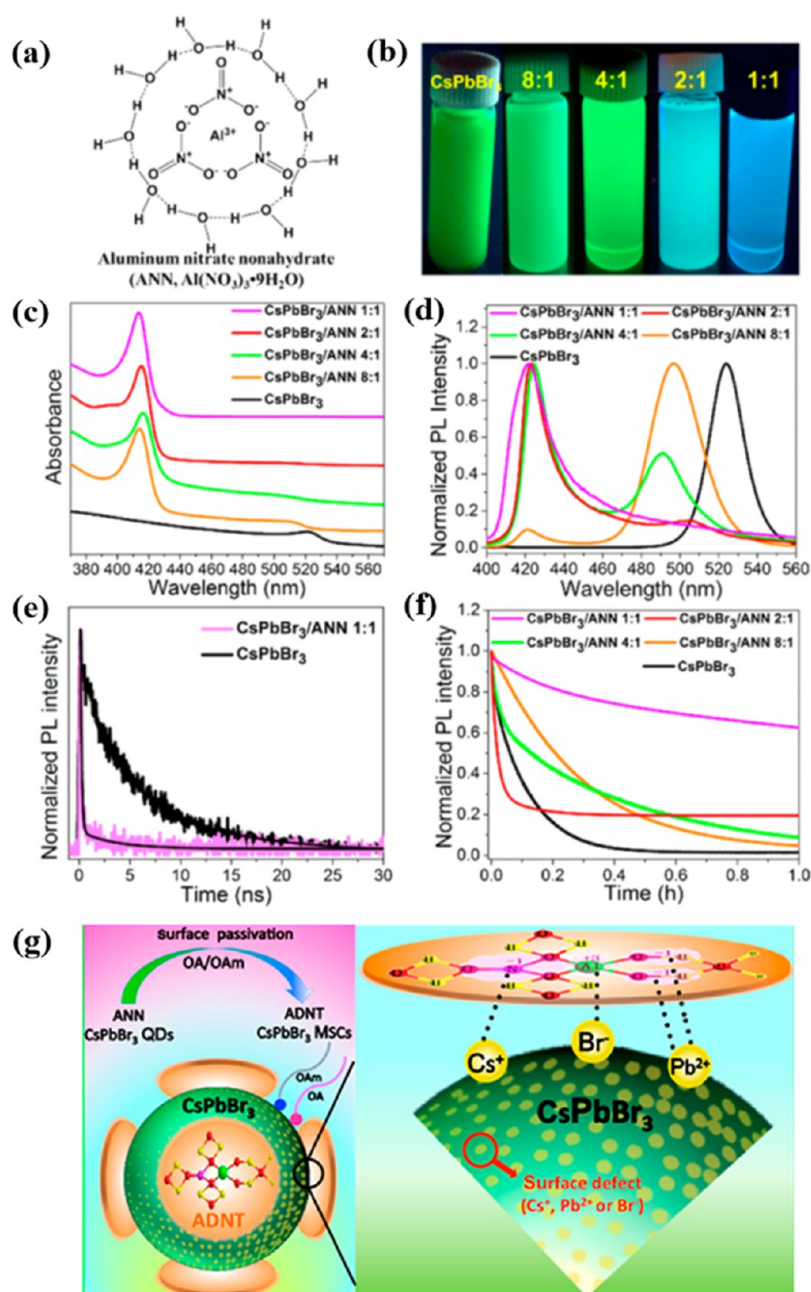
Despite the success of ligand passivation in the synthesis of high-quality PQDs, surface ligands often tend to be labile in solution. To address this issue, polymers or inorganic matrices have been employed to passivate PQDs and to improve stability against  $O_2$ , light, and heat (Figure 4). For instance, Rambabu et al. reported the mechanochemical stabilization of  $MAPbBr_3$  PQDs within perovskite metal–organic frameworks (MOFs) [ $MA-M(HCOO)_3$ ] ( $M = Mn$  and  $Co$ ) (Figure 4a).<sup>45</sup> The perovskite MOFs serve as a template and source for  $MA^+$  in growing and stabilizing PQDs. The resulting  $MAPbBr_3@MA-Mn(HCOO)_3$  composites exhibit excellent chemical stability and good photoelectrochemical activity, which can be used for  $H_2O$  splitting or  $CO_2$  reduction. Similarly, Hou et al. employed polystyrene-*block*-poly-2-vinylpyridine (PS-*b*-P2VP) as a micelle template to create PQDs with a multidentate polymer nanoshell (Figure 4b).<sup>46</sup> The stability of these PQDs in both colloidal and thin film forms is orders of magnitude improved. The copolymer acts as a confined nanoreactor during perovskite crystallization and passivates the surface by forming a multidentate capping layer, thereby improving photostability in polar solvents. Similarly, Huang et

al. reported the preparation of PQDs with uniform size and high PLQYs using molecularly imprinted mesoporous silica (Figure 4c).<sup>47</sup> Mesoporous silica can encapsulate functional nanoparticles based on their well-ordered channels, large pore volume and specific surface area, and easy modification. Likewise, Park et al. synthesized a  $CsPbBr_3$  PQDs/cellulose composite (Figure 4d),<sup>48</sup> in which the strong adhesion between PQDs and porous cellulose fibers helped to improve stability and durability under various humidity conditions, as well as superior PL property. Imran et al. reported synthesis of  $APbX_3$  PQDs encapsulated in poly(acrylic acid)-*block*-poly(styrene) (PAA-*b*-PS) micelles.<sup>49</sup> Nuclear magnetic resonance (NMR) analysis shows that the polymeric micelles embedded with PQDs have a core–shell structure, with PAA forming the core of the micelle and PS the outer layer. On one hand, the polymer serves as a protective layer to isolate the PQDs from the surrounding environment, making them less reactive. On the other hand, the polymer is extended in solution, exposing part of the surface of PQDs to the surrounding media, resulting in a series of chemical reactions with the PQDs and making them “reactive”.

Compared to PQDs, PMSCs are smaller nanoparticles with single size or highly uniform size distribution. Due to the ultrasmall size and tendency to grow into larger particles such as PQDs upon drying, PMSCs cannot be measured easily by transmission electron microscopy (TEM) or X-ray diffraction (XRD). Their low stability at elevated temperature makes it generally hard to study using mass spectrometry. Instead, the main evidence for PMSCs is from spectroscopy, with sharp, well-defined, and significantly blue-shifted absorption and emission bands compared to those in the bulk and PQDs (Figure 5).<sup>15–17</sup>

For PMSCs, the passivation strategy is very similar to that for PQDs. The main difference is that PMSCs have a larger  $S/V$  ratio and thus require better protection by ligands.<sup>50</sup> For example, Xu et al. reported the LARP synthesis of  $CsPbBr_3$  PMSCs using OA and OLA as ligands.<sup>51</sup> Compared with the synthesis of  $CsPbBr_3$  PQDs, the amount of OA/OLA ligands is much higher.

Inorganic capping ligands have also been used in the synthesis of PMSCs. Xu et al. found that trivalent metal-hydrated nitrate coordination complexes can act as ligands to control the LARP synthesis of  $CsPbBr_3$  PMSCs.<sup>52</sup> With increasing aluminum nitrate nonahydrate [ $Al(NO_3)_3 \cdot 9H_2O$ , ANN] concentration, the solution changes from green to blue under UV light; the average PL lifetime ( $\tau_{ave}$ ) decreased from 7.29 to 1.36 ns, and the stability in water was improved, as shown in Figure 6. Also, the UV–vis and PL spectra show



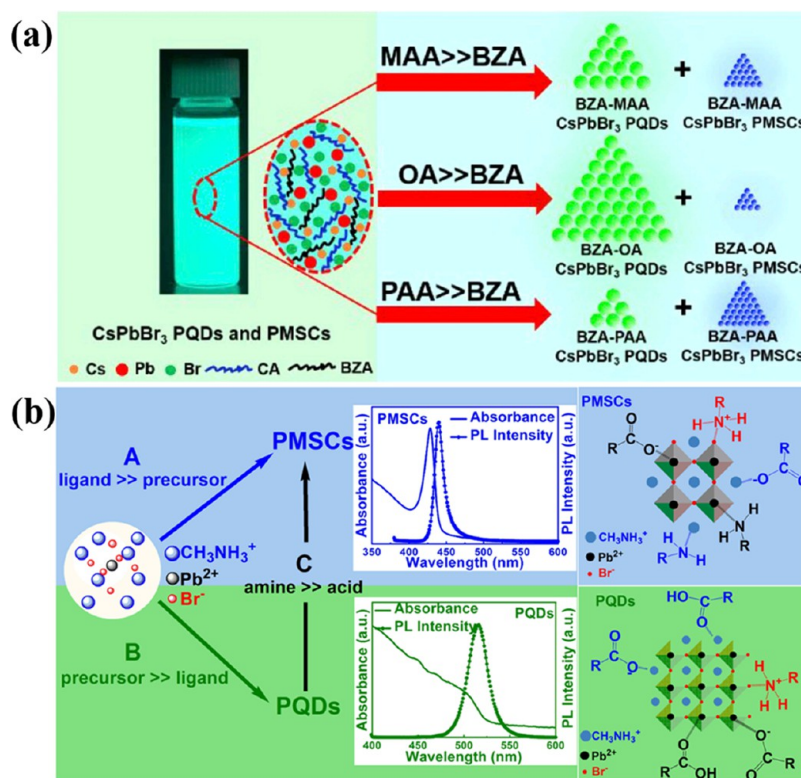
**Figure 6.** (a) Structure of aluminum nitrate nonahydrate ( $\text{Al}(\text{NO}_3)_3 \cdot 9\text{H}_2\text{O}$ , ANN). (b) Photograph of the samples under UV lamp irradiation ( $\lambda_{\text{ex}} = 365 \text{ nm}$ ). (c) UV–vis absorption vertically offset from each other. (d) PL spectra for the  $\text{CsPbBr}_3$  and  $\text{CsPbBr}_3/\text{ANN}$  samples with molar ratios of 8:1, 4:1, 2:1, and 1:1. (e) Normalized time-resolved PL (TRPL) decay curves of the  $\text{CsPbBr}_3$  sample and the  $\text{CsPbBr}_3/\text{ANN}$  sample with a molar ratio of 1:1. (f) Stabilities of the samples determined using a water test, where the relative fluorescence intensity of the precipitate was a function of water exposure time. (g) Surface passivation mechanism of  $\text{CsPbBr}_3$  PMSCs with OA/OAm/ANN capping ligands. Reproduced from ref 52. Copyright 2019 American Chemical Society.

significant changes with the excitonic peak at  $\sim 520 \text{ nm}$ , due to PQDs, changing to  $\sim 420 \text{ nm}$ , which is attributed to PMSCs. It was proposed that, with the assistance of OA and OLA, ANN was transformed into aluminum dihydroxide nitrate tetrahydrate ( $[\text{Al}(\text{OH})_2(\text{NO}_3)] \cdot 4\text{H}_2\text{O}$ , ADNT). ADNT serves as a strong ligand with its  $\text{NO}_3^-$  and  $\text{OH}^-$  groups bound to  $\text{Cs}^+$  and  $\text{Pb}^{2+}$  defect sites and  $\text{Al}^{3+}$  bound to  $\text{Br}^-$  defect sites. Compared to ligands with linear and umbrella structures, this planar binding configuration provides better protection and prevents molecules such as  $\text{H}_2\text{O}$  or  $\text{O}_2$  from reaching the perovskite surface to cause degradation.

### 3. TRANSFORMATION BETWEEN PEROVSKITE QUANTUM DOTS AND PEROVSKITE MAGIC SIZED CLUSTERS

Capping ligands have been used to not only stabilize PQDs and PMSCs but also control the transformation between them.<sup>53,54</sup> For example,  $\text{CsPbBr}_3$  PQDs can be transformed into PMSCs by increasing the concentration of organic carboxylic acids, mesitylacetic acid (MAA), OA, and phenylacetic acid (PAA), along with BZA as capping ligands (Figure 7a).<sup>15</sup> The concentration of organic carboxylic acid affects the exciton absorption of both  $\text{CsPbBr}_3$  PMSCs and PQDs, with





**Figure 7.** (a) Schematic illustration of the growth mechanism of CsPbBr<sub>3</sub> PQDs and PMSCs at room temperature and their dependence of transformation on the amount and type of organic carboxylic acids. Reproduced with permission from ref 15. Copyright 2020 Elsevier. (b) Schematic illustration of the growth mechanism of MAPbBr<sub>3</sub> PQDs and PMSCs and dependence of their conversion on the amount and ratio of ligands. Reproduced from ref 17. Copyright 2020 American Chemical Society.

higher concentration favoring PMSCs. A high concentration of PAA could generate pure CsPbBr<sub>3</sub> PMSCs, but the same concentration of MAA or OA could not, indicating that PAA is a stronger ligand. In addition, the ratio between organic carboxylic acid to BZA is also a key factor affecting the passivation effect.

Similarly, Liu et al. found that by changing the concentration of ligands (OcA/OA and OcAm/BTYA), the perovskites can be tuned between MAPbBr<sub>3</sub> PQDs and PMSCs (Figure 7b).<sup>17</sup> When the precursor/ligand ratio is high and there is excess organic acid ligand, PQDs can be obtained. The proton transfer reaction between the acid and amine ligand results in  $-\text{COO}^-$  binding to  $\text{MA}^+$  or  $\text{Pb}^{2+}$  and  $-\text{NH}_3^+$  binding to  $\text{Br}^-$ . Excess organic acid ligand can also passivate the cationic defect sites by the lone pair of electrons in the O atoms in the  $-\text{COOH}$  group. When the ligand/precursor ratio is high and there are excess amine ligands, PMSCs can be obtained. The lone pair electrons on the N atoms of the amine ligands can interact with  $\text{Pb}^{2+}$  or  $\text{MA}^+$ . Meanwhile, a high concentration of the ligands helps to push the protonation reaction to generate more  $-\text{NH}_3^+$  and  $-\text{COO}^-$  that can more effectively passivate the surface defects. These studies show that stronger binding ligands or higher concentrations of ligands favor PMSCs over PQDs.

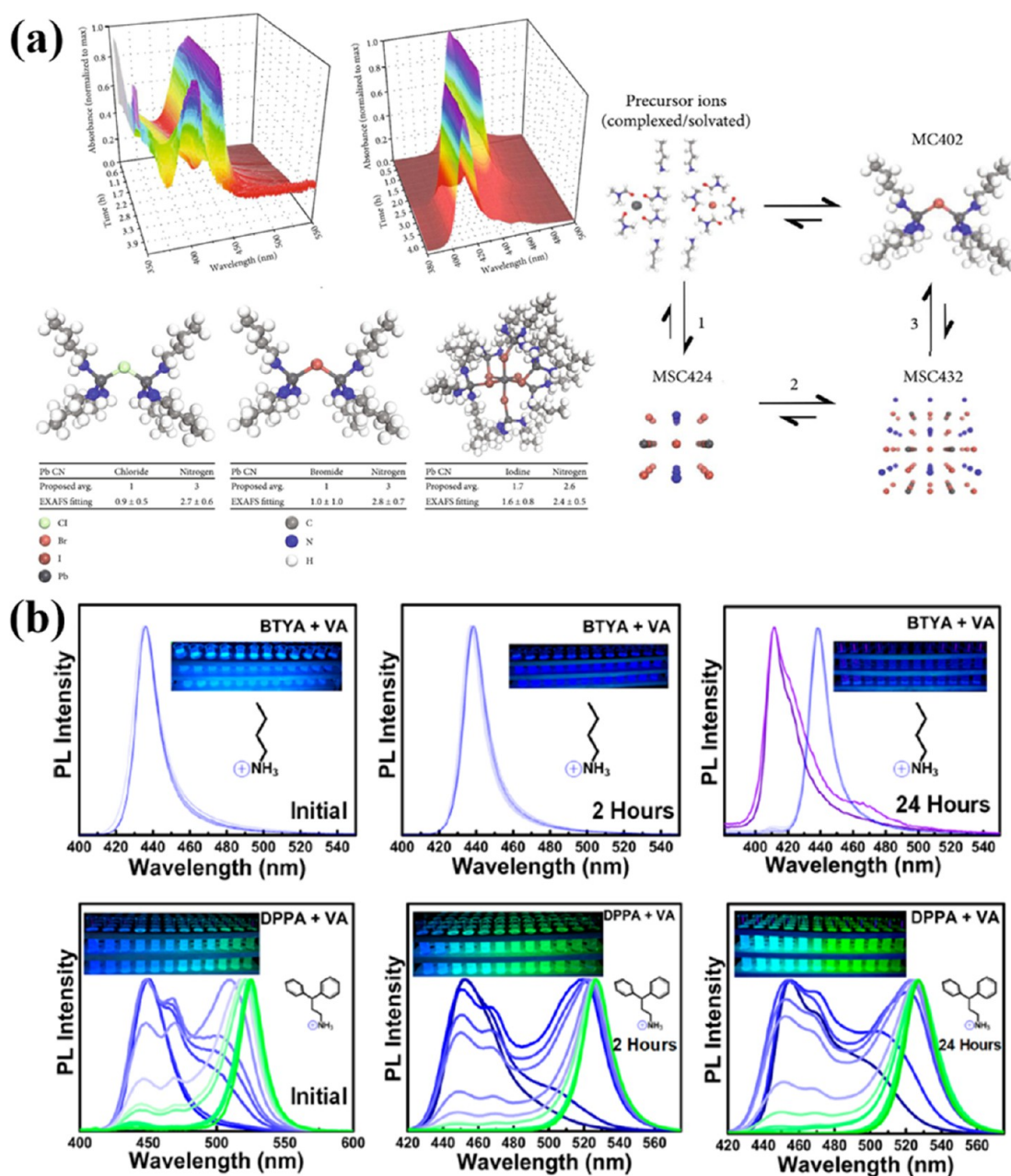
In a related study, in attempt to understand the growth mechanism of PMSCs, Vickers et al. found a new absorption peak at 402 nm and a new PL peak at 410 nm appeared, which are blue-shifted by about 20 nm compared that of PMSCs (Figure 8a).<sup>10,16</sup> Since this new species can be formed from PMSCs or synthesized directly with only  $\text{PbBr}_2$  and BTYA present in the precursor solution without the A component, it

does not have the full perovskite structure. Therefore, this species was attributed to molecular clusters (MCs).<sup>10</sup> X-ray absorption spectroscopy and Fourier transformed extended X-ray absorption fine structure (FT-EXAFS) spectroscopy were used to elucidate the structure of MCs and suggest that BTYA coordinates with  $\text{Pb}^{2+}$  through the lone pair electrons of the amine functional group. Interestingly, by adding MABr, MCs can be converted into PMSCs. Therefore, MCs and PMSCs can be interconverted by varying the experimental conditions.

Another interesting finding is that PMSCs can be simply tuned by diluting the synthesized solution in toluene. By controlling the dilution and concentration of the ligand, the PL peak was blue-shifted from 438 to 410 nm (Figure 8b). This process is similar to the process of synthesizing perovskite nanoplatelets with a controlled size, in which the thickness of the nanoplatelets can be controlled both by degree of dilution and by ligand concentration.<sup>55</sup> The PMSCs may have fragmented into smaller discrete species. One can also start from precursor ions to form MSCs first and then convert them into MCs.<sup>10</sup>

#### 4. DOPING OF PEROVSKITE QUANTUM DOTS, PEROVSKITE MAGIC SIZED CLUSTERS, AND LEAD HALIDE MOLECULAR CLUSTERS

Doping is a common and powerful approach to altering electronic, optical, and magnetic properties of semiconductors by replacing a small amount of one or more of the host ions with another ion or dopant.<sup>56</sup> Doping at different host lattice sites has different effects on the crystal structure and electronic band structure of the host. For example, a small amount of different dopants can be added into the A site to change the

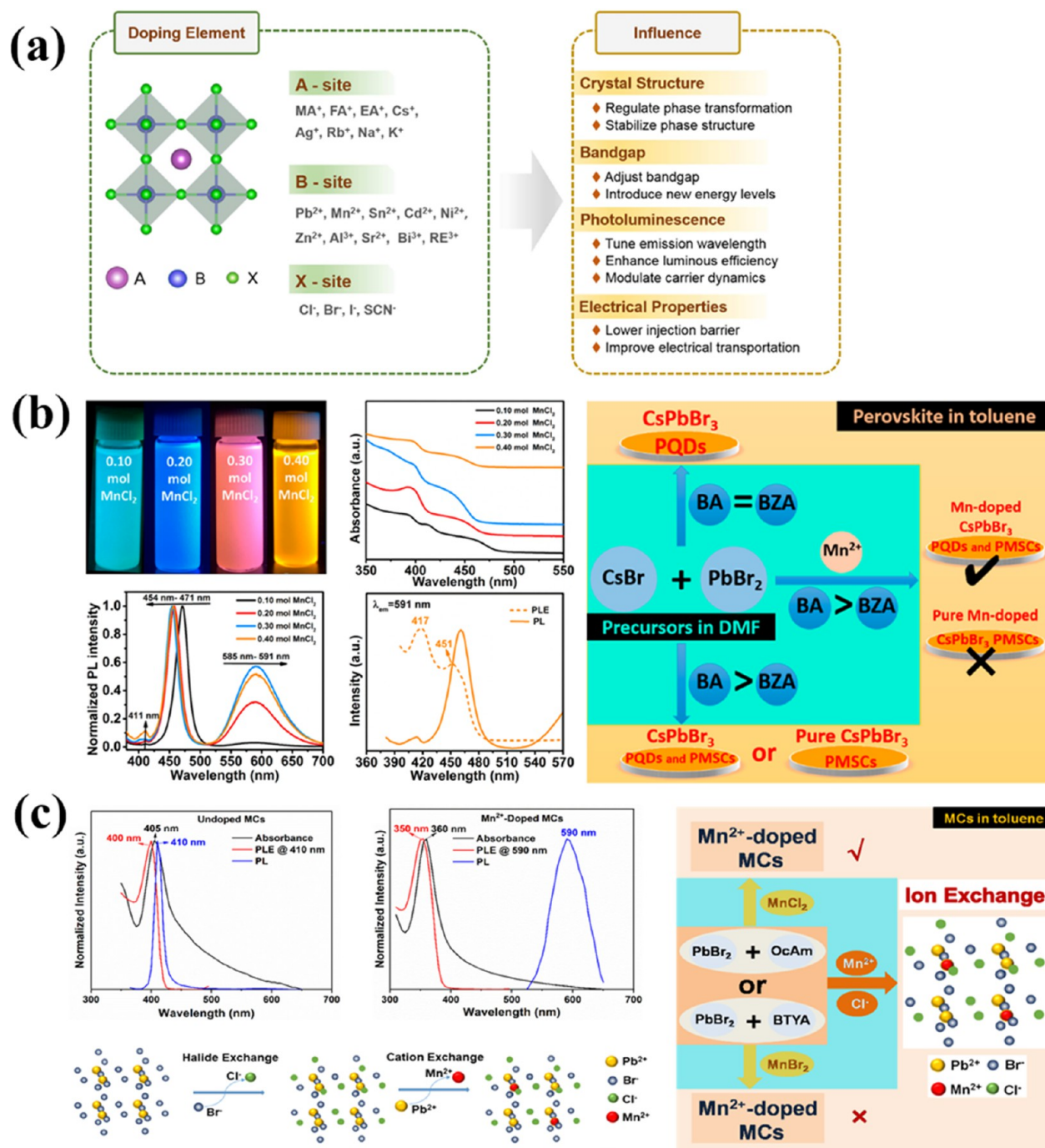


**Figure 8.** (a) Image on the left is the absorption and PL spectra overtime of MAPbBr<sub>3</sub> PMSCs. The lower left image is the molecular models and the Pb CN from the proposed model and EXAFS fitting results for MCs. The image on the right is the scheme illustrating the relation among precursor ions, MCs, and PMSCs. Reproduced with permission from ref 10. Copyright 2021 American Association for the Advancement of Science. (b) PL spectra of PMSCs in a series dilution. PL spectra were measured during the initial dilution and then after 2 and 24 h. The insets contain digital photographs after each measurement. Reproduced from ref 16. Copyright 2019 American Chemical Society.

tolerance factor and electronic properties of the perovskites, while the doping substitution of the B site can reduce the Pb<sup>2+</sup> toxicity, and the X site doping can regulate the band gap of the perovskites. The introduction of dopant elements at specific locations provides an effective solution for improving the stability of the perovskite crystal structure, optimizing luminescence performance, improving nonradiative recombination, and regulating exciton dynamics (Figure 9a).<sup>57–63</sup> Among them, doping different cations at the B site can improve the phase stability, enhance the PL emission intensity, and reduce the toxic Pb content.<sup>64</sup>

While pristine perovskites usually have high PLQY and a small Stokes PL shift, it is sometimes desired for the large

Stokes shift to reduce self-absorption of the samples. In addition, it is useful to be able to further tune the PL emission for certain applications. One way to achieve the above is to dope the perovskites with emissive dopants. For example, doping with Mn<sup>2+</sup> has been demonstrated for PMSCs, MCs, as well as PQDs, where optical reabsorption is reduced by decoupling the Mn<sup>2+</sup> emission from the absorption of the perovskite host, due to the large Stokes shift of the dopant emission.<sup>65</sup> Xu et al. reported the first synthesis of Mn-doped CsPbBr<sub>3</sub> PMSCs with BA and BZA as passivation ligands and MnCl<sub>2</sub>·4H<sub>2</sub>O and MnBr<sub>2</sub> as Mn<sup>2+</sup> doping sources.<sup>66</sup> An equal molar ratio of BA/BZA as capping ligands results in the formation of CsPbBr<sub>3</sub> PQDs, whereas CsPbBr<sub>3</sub> PMSCs start to



**Figure 9.** (a) Schematic diagram of the doped ions in  $ABX_3$  perovskites and their corresponding influences on the material properties. Reproduced with permission from ref 57. Copyright 2019 Elsevier. (b) Photograph of the samples in toluene under UV lamp irradiation ( $\lambda_{ex} = 365$  nm); UV-vis absorption and PL spectra for Mn-doped  $CsPbBr_3$  PQD and PMSC samples with 0.10, 0.20, 0.30, and 0.40 mol  $MnCl_2 \cdot 4H_2O$ ; PL and PLE spectra of Mn-doped  $CsPbBr_3$  PQD and PMSC samples with 0.40 mol  $MnCl_2 \cdot 4H_2O$ ; and schematic representation of Mn-doped and undoped  $CsPbBr_3$  PMSC and PQD preparation. Reproduced from ref 66. Copyright 2020 American Chemical Society. (c) Optical properties of undoped and Mn-doped MCs. UV-vis absorption (black), PL (blue), and PLE (red) spectra of undoped and Mn-doped MCs, and schematic illustration of Mn-doped amino lead halide MCs. Reproduced from ref 67. Copyright 2021 American Chemical Society.

be produced when the molar ratio of BA/BZA is larger than 1. Interestingly, for Mn-doped samples, even with a larger BA/BZA ratio that produced pure undoped  $CsPbBr_3$  PMSCs, only a mixture of Mn-doped  $CsPbBr_3$  PMSCs and PQDs can be produced (Figure 9b). The concentration of  $Mn^{2+}$  affects the optical properties of PMSCs and PQDs, with a higher concentration of  $Mn^{2+}$  favoring  $CsPbBr_3$  PMSCs over PQDs and resulting in a bluer absorption. The salt of  $Mn^{2+}$  also

influences the final doped products.  $Mn^{2+}$  changed the original acid/base equilibrium in the solution and facilitated the growth of  $CsPbBr_3$  PMSCs into larger  $CsPbBr_3$  PMSCs or  $CsPbBr_3$  PQDs. Compared with  $MnBr_2$ ,  $Mn^{2+}$  doping is more easily achieved with  $MnCl_2 \cdot 4H_2O$ .  $Cl^-$  has a smaller ionic radius than  $Br^-$ , thus, it is easier to accommodate to  $Mn^{2+}$  doping. The synthesis of Mn-doped  $CsPbBr_3$  PMSCs is sensitive to the  $Mn^{2+}$  precursors used.

**Table 1.** Summary of Some Examples of Surface Passivation of Perovskite Quantum Dots, Magic Sized Clusters, and Molecular Clusters

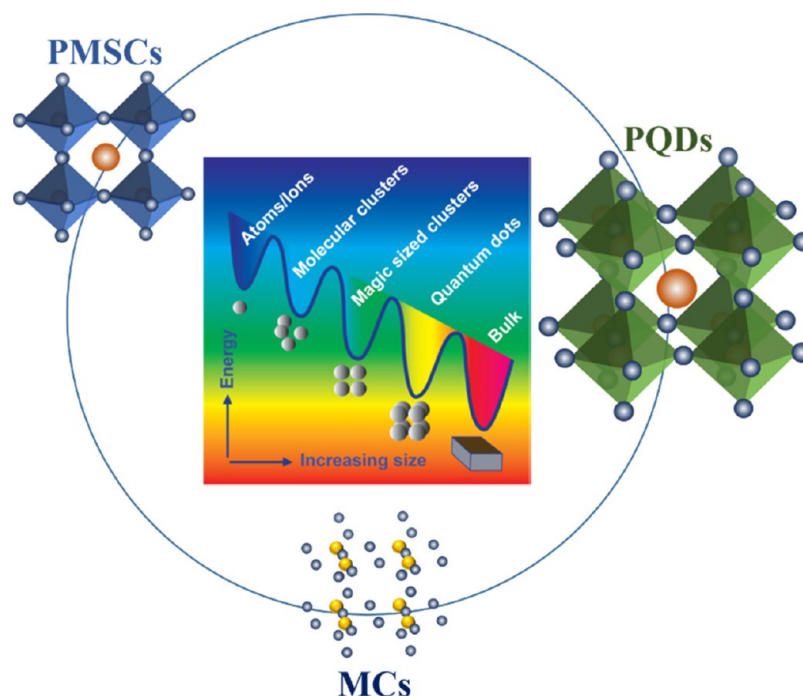
Ligand (Molecular structure)	Impact of ligand		Ref.
	Synthesis	Transformation	
<b>Straight chain ligands</b>			
 Oleic acid (OA)	PQDs/PMSCs (OA/OcAm, OA/OcAm, OcA/BTYA, OA/OLA)	✓	17, 51
 <i>n</i> -octanoic acid (OcA)			
 Valeric acid (VA)	PMSCs (VA/BTYA)	✓	16
 Oleylamine (OLA)			
 <i>n</i> -octylamine (OcAm)	MCs (BTYA)	–	10
 Butylamine (BTYA)			
<b>Branched termination ligands (3-aminopropyl)triethoxysilane (APTES)</b>			
 (3-aminopropyl)triethoxysilane (APTES)	PQDs (APTES/OA, POSS/OA)	–	30
 Polyhedral oligomeric silsesquioxane (POSS)			
<b>Aromatic capping ligands</b>			
 Benzylamine (BZA)	PQDs (BZA/BA)	–	31
 Benzoic acid (BA)			
 <i>trans</i> -cinnamic acid (TCA)	PQDs (TCA/DPPA)	–	32
 3,3-diphenylpropylamine (DPPA)			
 Mesitylacetic acid (MAA)	PQDs/PMSCs (MAA/BZA, OA/BZA, PAA/BZA)	✓	15
 Phenylacetic acid (PAA)			
<b>Multi-dentate ligand molecules</b>			
 12-aminododecanoic acid (12-AA)	PQDs (12-AA)	–	29
 Phenylalanine (Phe)			
 Leucine (Leu)	PQDs (Lys, Arg)	–	33
 <i>L</i> -lysine (Lys)			
 <i>L</i> -arginine (Arg)	PQDs (Phe, Leu)	–	34
 Arginine (Arg)			
<b>Polymers</b>			
Metal-organic frameworks (MOFs) Cellulose Poly(acrylic acid)- <i>block</i> -poly(styrene) (PAA- <i>b</i> -PS) Polystyrene- <i>block</i> -poly-2-vinylpyridine (PS- <i>b</i> -P2VP)	PQDs (MOFs)	–	45
Poly(acrylic acid)- <i>block</i> -poly(styrene) (PAA- <i>b</i> -PS) Polystyrene- <i>block</i> -poly-2-vinylpyridine (PS- <i>b</i> -P2VP)			
Poly(acrylic acid)- <i>block</i> -poly(styrene) (PAA- <i>b</i> -PS) Polystyrene- <i>block</i> -poly-2-vinylpyridine (PS- <i>b</i> -P2VP)	PQDs (PS- <i>b</i> -P2VP)	–	46
Cellulose			
Cellulose	PQDs (Cellulose)	–	48
Poly(acrylic acid)- <i>block</i> -poly(styrene) (PAA- <i>b</i> -PS)			
Poly(acrylic acid)- <i>block</i> -poly(styrene) (PAA- <i>b</i> -PS)	PQDs (PAA- <i>b</i> -PS)	–	49
Polystyrene- <i>block</i> -poly-2-vinylpyridine (PS- <i>b</i> -P2VP)			
<b>Other ligands</b>			
 Methylenephosphonic acid (MPA)	PQDs (MPA/APTES, HPA/APTES, TDPA/APTES, ODPA/APTES)	–	40
 <i>n</i> -Hexylphosphonic acid (HPA)			
 1-Tetradecylphosphonic acid (TDPA)	PQDs (Cationic ligands)	–	41, 42, 43
 <i>n</i> -Octadecylphosphonic acid (ODPA)			
 Cationic ligands	PQDs/PMSCs (ANN/OA/OLA)	✓	52
 Aluminum nitrate nonahydrate [Al(NO <sub>3</sub> ) <sub>3</sub> ·9H <sub>2</sub> O, ANN]			

A similar preference of Mn<sup>2+</sup> doping in MCs was also reported, where no characteristic Mn<sup>2+</sup> d–d emission in the product was observed when MnBr<sub>2</sub> was used (Figure 9c).<sup>67</sup> The Mn<sup>2+</sup> precursor was suggested to play a key role in the synthesis of Mn-doped MCs. Mn<sup>2+</sup> doping only takes place at relatively high MnCl<sub>2</sub> concentration, and MnCl<sub>2</sub> seems to contribute to the incorporation of Mn<sup>2+</sup>. When Cl<sup>–</sup> and Mn<sup>2+</sup> are introduced together, Br<sup>–</sup> and Cl<sup>–</sup> are likely to undergo anion exchange in solution to form PbCl<sub>2</sub>, which is beneficial to the formation of doped MCs because the bond energies of Mn–Cl and Pb–Cl are similar. The ion exchange reaction between Cl<sup>–</sup> and Br<sup>–</sup> was characterized by blue-shifted electron absorption and PL spectra of the host MCs. The Mn<sup>2+</sup> doping appears to be assisted by Cl<sup>–</sup> ions in a codoping

manner. TRPL and electron paramagnetic resonance (EPR) data suggest that with excess Mn<sup>2+</sup> concentrations, Mn–Mn coupling may lead to a reduction or disappearance of Mn<sup>2+</sup> d–d emission, resulting in a shortened PL lifetime.

## 5. SUMMARY AND PERSPECTIVE

In summary, metal halide PQDs and PMSCs have demonstrated unique and intriguing optical and electronic properties. Surface passivation has proven to be a highly effective technique for their stabilization using a variety of molecular ligands with different structures and anchoring groups. The general strategy is to passivate anionic surface defects using cationic ligands and cationic surface defects using anionic ligands based on electrostatic interaction. The charge state of



**Figure 10.** Schematic illustration of the relation among atoms/ions, MCs, MSCs, QDs, and bulk material. With increasing size, stability generally increases. There is likely many interesting species between atoms/ions and bulk that are yet to be uncovered.

the anchoring group of the ligands is clearly critical in determining the effectiveness of passivation. The size and shape of the ligands are also very important. The multiple defects expected on the surface of the perovskite nanocrystals require a combination of different ligands for optimal passivation (“cocktail” approach). Table 1 shows a summary of some examples of surface passivation of PQDs, PMSCs, and MCs using different ligands.

Despite extensive developments in the surface defect passivation, a comprehensive understanding of the origin of surface states of PQDs and PMSCs at the atomic scale remains to be achieved. Most capping ligands demonstrated to date are based on easily available compounds. New capping ligands with stronger and selective coordination ability are desired for optimal passivation and need to be developed in the future. Furthermore, to date, most of the work has focused on lead-based halide clusters. The strategy for surface passivation may differ for other metal-based halide clusters. The nature and energetics of trap states can also vary substantially among bromide, chloride, and iodides, which may also call for different passivation ligands and strategies.

The exact structure of PMSCs is of strong interest but is challenging to determine to date. Due to the ultrasmall size of PMSCs, PMSCs do not have a long enough ordered structure to be readily characterized by XRD or TEM. In addition, given their instability in polar solvents and at elevated temperature, PMSCs cannot be easily measured by mass spectrometry. Therefore, the exact dimension or number of Pb-octahedra in PMSCs is not yet known. To better understand the exact structure of PMSCs, further work will be required in the future both experimentally and theoretically, possibly using cryo-TEM, in situ measurement, X-ray absorption spectroscopy, or some low-temperature “trapping” or encapsulation methods in which the PMSCs are stabilized and isolated in some stable matrix like polymer or glass to allow detailed characterization.

The discovery of the lead halide MCs provided an important opportunity to study and better understand the growth mechanisms of PMSCs as well as PQDs. There is an intricate interplay among PQDs, PMSCs, and MCs. MCs can be synthesized using only  $\text{PbX}_2$  (X for halide ions) and appropriate ligands, with no A component. With the addition of an A component precursor (e.g., MAX), MCs can be converted into PMSCs and further into PQDs. Conversely, with a higher concentration of ligands and/or stronger ligands, PQDs can be converted into PMSCs. Both PQDs and PMSCs can also be fragmented into MCs. The interconversion among MCs, PMSCs, and PQDs is strongly dependent on the experimental conditions, particularly the reactant and ligand concentrations. MCs can act as “precursors” to PMSCs while PMSCs as “precursors” to PQDs, as illustrated in Figure 1. If ligands are removed, they will all ultimately form bulk perovskites.

As illustrated in Figure 10, there is likely a large number of MCs and PMSCs between isolated or solvated atoms/ions and bulk that are yet to be uncovered, and their existence and discovery will be strongly dependent on the experimental conditions including the concentration and nature of passivating ligands. Both theoretical studies and advanced experimental techniques will be needed to better characterize MCs as well as PMSCs.

Doping provides another important method to alter and improve the properties of PQDs and clusters. To date,  $\text{Mn}^{2+}$  doping has been demonstrated successfully for PQDs, PMSCs, and MCs. There is room to better understand the doping mechanism and expand doping with other dopants or codopants to further improve their optical properties.

In general, while isolated or solvated precursor ions and bulk solid MHPs are relatively well-understood, species between them, including PQDs, PMSCs, and MCs, are much less known, especially in terms of their detailed structures. These intermediate species are interesting and potential building

blocks for more complex structures of interesting and emerging technologies ranging from quantum materials and quantum information (e.g., single photon emitters) to PVs, LEDs, detectors, lasers, and sensors. There is plenty of species between isolated ions and bulk, and their discovery is expected to lead to interesting materials for fundamental research and applications of optoelectronic devices.

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### Notes

The authors declare no competing financial interest.

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