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Academic Emergency Medicine Physicians' Anxiety Levels, Stressors and Potential Stress Mitigation Measures during the Acceleration Phase of the COVID-19 Pandemic

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Accepted Article

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5 Article type : Original Contribution
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7
8 **Abstract**

9 **Objective:** To assess anxiety and burnout levels, home life changes and measures to
10 relieve stress of United States academic emergency medicine (EM) physicians during
11 the COVID-19 pandemic acceleration phase.

12 **Methods:** We sent a cross-sectional email survey to all EM physicians at seven
13 academic emergency departments. The survey incorporated items from validated stress
14 scales and assessed perceptions and key elements in the following domains: numbers
15 of suspected COVID-19 patients, availability of diagnostic testing, levels of home and
16 workplace anxiety, severity of work burnout, identification of stressors, changes in home
17 behaviors, and measures to decrease provider anxiety.

18 **Results:** 426 (56.7%) EM physicians responded. On a scale of 1-7 (1= not at all, 4 =
19 somewhat, and 7=extremely), the median (interquartile range) reported effect of the
20 pandemic on both work and home stress levels was 5 (4,6). Reported levels of
21 emotional exhaustion/burnout increased from *pre-pandemic* median 3 (2,4) to *since the*
22 *pandemic started* median 4 (3,6); difference in medians = 1.8 (95% confidence interval
23 1.7-1.9). Most physicians (90.8%) reported changing their behavior towards family and
24 friends, especially by decreasing signs of affection (76.8%). The most commonly cited
25 measures cited to alleviate stress/anxiety were increasing personal protective
26 equipment availability (PPE), offering rapid COVID-19 testing at physician discretion,

27 providing clearer communication about COVID-19 protocol changes, and assuring that
28 physicians can take leave for care of family and self.

29 **Conclusions:** During the acceleration phase, the COVID-19 pandemic has induced
30 substantial workplace and home anxiety in academic EM physicians and their exposure
31 during work has had a major impact on their home lives. Measures cited to decrease
32 stress include enhanced availability of PPE, rapid turnaround testing at provider
33 discretion, and clear communication about COVID-19 protocol changes.

34

35

36 **Background and Importance**

37 Although the effects of the COVID-19 pandemic on the public's anxiety levels
38 have been well documented by traditional media, the degree to which the pandemic
39 affects physician stress and personal life has not yet been quantified in the United
40 States (US).^{1,2} Investigators reported a heavy psychological toll on healthcare workers
41 in Wuhan and other regions of China.³ Anticipating a surge in mental health care needs
42 in US healthcare workers, others have called for similar systematic assessments of
43 frontline providers.^{4,5}

44 **Goals of this Investigation**

45 In mid-March 2020, we initiated a longitudinal survey study to assess multiple
46 factors affecting the psychological health of EM physicians in the US during the COVID-
47 19 pandemic. In our study design, we seek to evaluate different topics that are relevant
48 to three phases of the pandemic: the acceleration phase, the plateau/deceleration
49 phase and the resolution phase. Herein we report results of the first (acceleration)
50 phase of this study to aid EM physicians and healthcare systems in their development
51 of programs for stress mitigation in real-time. Specifically, we sought to assess home
52 and workplace anxiety, burnout, work-related stressors, changes to home life, and
53 perceptions as to what measures might ease provider anxiety.

54 **Study Design, Setting, and Selection of Participants**

55 This was a cross-sectional survey administered via email from 3/23/20 to 4/10/20
56 to all emergency medicine (EM) physicians (attending, fellow and resident) at seven EM
57 residencies and affiliated institutions: University of California San Francisco–UCSF (San
58 Francisco, CA); UCSF-Fresno Medical Education Program (Fresno, CA); Cooper
59 Medical School of Rowan University-CMSRU (Camden, NJ); University of California Los
60 Angeles (UCLA-Olive View program with affiliated West Los Angeles VA and Santa
61 Monica UCLA Medical Center) (Los Angeles, CA); Kern Medical Emergency Medicine
62 Residency (Bakersfield, CA); Louisiana State University Health Science Center (New
63 Orleans, LA); and University of California San Diego-UCSD (San Diego, CA).
64 Participating sites were primarily recruited through their involvement in the National

65 Emergency X-radiography Utilization Study (NEXUS) network. To broaden the sampling
66 to sites that were experiencing heavy surges of COVID-19 patients, we contacted
67 investigators at two residencies in New York City (NYC) and one in New Orleans;
68 investigators in NYC believed that their staff were too overloaded to meaningfully
69 participate. We excluded non-clinically active physicians. This study was deemed
70 exempt by the respective Institutional Review Boards.

71

72 **Methods of Measurement**

73 Collaborating with the University of California Stress Network, we developed a
74 survey instrument to assess perceptions and key elements about the following domains:
75 provider estimates of numbers of patients treated with suspected COVID-19 infection;
76 availability of COVID-19 diagnostic testing; home and workplace anxiety; work burnout;
77 identification of work-related stressors; changes in behavior at home arising from their
78 work during the pandemic; and perceptions as to what measures might decrease
79 provider anxiety. Anticipating the difficulty with response rates to lengthy questionnaires
80 during the acceleration phase of the pandemic, we sought to make our instrument
81 pragmatic and succinct; we adapted selected questions from validated stress and
82 burnout assessment scales that would address our particular domains of study.^{6,7} For
83 example, to assess emotional exhaustion and burnout, participants were asked to rate
84 on a 1-7 scale (1 = not at all, 4 = somewhat, and 7= very much) “to what extent were
85 you experiencing severe, ongoing job stress where you felt emotionally exhausted,
86 burned out, cynical about your work and fatigued, even when you wake up?” To assess
87 what measures might relieve anxiety related to their work during the pandemic,
88 respondents were presented a list of 11 measures and asked to assign their top 5
89 measures (1 = highest priority and 5 = fifth highest priority) that they thought would
90 alleviate some of their anxiety/stress. After pilot testing our preliminary instrument on
91 five physicians to ensure understanding and a completion duration of < 10 minutes, our
92 final survey consisted of 32 Likert-type scale, yes/no, multiple choice, and free response
93 questions. We sent repeat email requests to non-responders twice to increase response
94 rate. (Survey Instrument, Supplemental Table 1)

95

96 **Primary Data Analysis**

97 Keeping responses anonymous, we managed survey data using REDCap hosted
98 by the University of California, San Francisco. We used STATA v 15.1 (StataCorp,
99 College Station, TX) for analyses, summarizing patient characteristics and key
100 responses as raw counts, frequency percent, medians and interquartile ranges (IQRs).
101 We additionally stratified data and used the Wilcoxon rank-sum test for medians and
102 difference (Δ) in proportions with 95% confidence intervals (CI) for proportions to
103 compare key question responses for the following sub-groups: female versus male,
104 faculty versus resident/fellow, children at home versus no children at home, and surge
105 cities (New Orleans and Camden) versus non-surge cities (California cities). For the
106 question regarding measures to relieve stress, we created a rank summary of
107 aggregate points. Each respondents' highest priority measure was given 5 points,
108 second given 4 points, and so forth, with the fifth given 1 point; non-cited measures
109 were given 0 points.

110 **Results**

111 **Characteristics of Participants**

112 We sent the survey to 751 EM physicians and received 426 responses (56.7%
113 response rate). The response rate among female EM physicians was higher than from
114 male EM physicians (60.4% vs 51.9%; difference = 8.5%, 95% CI 1.4-15.5%).
115 Response rates from faculty, fellows and residents were 57.6%, 42.4% and 51.4%,
116 respectively. (Respondent characteristics, Table 1)

117 **Main Results**

118 Of the 419 (98.4%) respondents who reported patient contact from 2/15/2020 to
119 their survey time, 410 (97.9%) reported seeing patients who they suspected had
120 COVID-19 infections; the median number of patients they suspected had COVID-19
121 was 20 (IQR 10, 30). Respondents estimated that 40% (IQR 10%, 80%) of these
122 suspected cases had received the swab test for COVID-19; 289 (67.8%) stated that
123 they had a patient test positive and 89 (20.9%) were unsure. On the 1-7 scale, the
124 median reported effect of the COVID-19 pandemic on work stress levels was 5 (IQR 4,

125 6) and on home stress levels was 5 (IQR 4, 6). With regards to emotional exhaustion
126 and burnout, EM physicians reported *before the pandemic* median = 3 (IQR 2, 4) and
127 *since the pandemic started* median = 4 (IQR 3, 6): $\Delta = 1.8$ (95% CI 1.7-1.9). We found
128 no significant differences in key question responses comparing faculty versus
129 resident/fellow, children at home versus no children at home, and surge city versus non-
130 surge city. Female gender respondents reported a higher effect of the COVID-19
131 pandemic on work anxiety levels (6 versus 5: median $\Delta = 1$ [IQR 0, 2]) and on home
132 anxiety levels (6 versus 5: median $\Delta = 1$ [IQR 0, 2]) than men. (Table 2)

133 We asked EM physicians' concerns regarding their work as health care providers
134 during the pandemic. The primary concerns were worries about the adequacy of
135 personal protective equipment (PPE), worries about the ability to accurately diagnose
136 COVID-19 cases quickly, worries about the well-being of co-workers who have been
137 diagnosed with COVID-19, and worries that patients with unclear diagnoses are
138 exposing others in the community. (Table 3).

139 Most EM physicians (81.7%) had discussed the risks of their excess exposure as
140 health care workers during the pandemic with family and friends and most (90.8%) had
141 changed their behavior with them as a result of this possible excess exposure, with
142 decreased signs of affection (decreased hugging and kissing) being the most commonly
143 cited change (76.8%). Respondents were somewhat concerned when asked how much
144 they believed that friends and family were treating them differently as a result of their
145 work-related potential exposure to COVID-19: median level of concern = 4 (IQR 2, 5).
146 The most common reported changes by friends and family were expressions of concern
147 about the EM physician participants' health (65.3%), expressions of concern about their
148 exposure to COVID-19 because of contact with the EM physician (42.3%), and a
149 reluctance of family members to be in close contact with the EM physician (40.4%).

150 In Table 4 we present a ranked summary of responses of measures that would
151 alleviate provider stress. The highest ranked measures to alleviate anxiety/stress
152 related to the COVID-19 pandemic were enhanced availability of PPE, rapid COVID-19
153 testing with physician discretion, clear communication about changes in COVID-19
154 protocols, and assurance that physicians can take leave for care of family and self.

155 **Discussion**

156 In this cross-sectional survey conducted during the acceleration phase of the
157 COVID-19 pandemic, EM physicians in seven cities reported that the pandemic has
158 induced moderate to severe levels of anxiety at work and at home. Their primary work
159 concerns relate to COVID-19 exposure compromising their personal health, availability
160 of adequate PPE, limited rapid diagnostic testing, and risks of community spread of
161 discharged COVID-19 patients. Occupational exposure has changed the vast majority
162 of physicians' behavior at home, where they are worried about exposing family
163 members and roommates, the possibility of needing to self-quarantine and the effects of
164 excess social isolation. Respondents' highest ranked anxiety relief measures included
165 improved access to PPE, rapid turnaround COVID-19 testing at provider discretion,
166 clearer communications about COVID-19 protocol changes, assurances about leave,
167 and ability to request self-testing.

168 Although several investigators have examined the effects of the COVID-19
169 pandemic on health care worker mental health in other countries, we were unable to
170 find any similar studies of US physicians. The moderate to severe levels of stress we
171 found have not been consistently replicated in these other international studies. In a
172 study of 906 healthcare providers in Singapore and India, with 30% physician
173 enrollment, anxiety was documented in 15.7%, depression in 10.6% and stress in 5.2%
174 of all study participants.⁸ Lu, et al., documented higher levels of *moderate fear* in high
175 risk (emergency, critical care and infectious disease) healthcare workers at Fujian
176 Provincial Hospital, when compared to low risk medical and administrative staff.⁹ Our
177 findings are most congruent with those of Lai et al, who found symptoms of depression
178 (50.4%), anxiety (44.6%), insomnia (34.0%), and distress (71.5%) in front line
179 healthcare workers at 34 hospitals in China.¹⁰

180 Similar to our findings, investigators in China, Italy and Turkey have reported
181 higher levels of anxiety and depression in female healthcare providers during the
182 COVID-19 pandemic.^{3,10-15} While investigators in Turkey found that having a child was
183 associated with lower anxiety and depression levels, we did not find a similar protective
184 effect of parenthood or differences in any of the other factors that we examined.

185 It is important to note that respondents' greatest concern and best anxiety relief
186 measure both related to having adequate PPE. Investigators in China reported that lack
187 of PPE was associated with higher levels of anxiety and depression. Although the
188 availability of PPE has increased substantially over the course of the pandemic, the
189 National Nurses United survey of 8200 US nurses conducted during the time of our
190 study found that only 55% of nurses had access to N95 respirators on their units and
191 only 24% believed that their employer had sufficient PPE stock for a rapid surge in
192 COVID-19 patients.¹⁶

193 Of note, this a longitudinal study with different goals in each of the three phases.
194 In this first phase during the acceleration interval of the COVID-19 pandemic, we have
195 quantified high levels of work and home life anxiety experienced by EM physicians in
196 the US; we have identified sources of this stress; and we have presented a number of
197 anxiety mitigation measures. Although some of our findings may be intuitive, this work
198 provides a critical early template for the design and implementation of interventions that
199 will address the mental health needs of emergency physicians in the COVID-19
200 pandemic era. Most, if not all, of respondents' measures to relieve stress are readily
201 actionable items for EDs and their parent institutions, and the central PPE concern is a
202 fundamental workplace safety issue. As discussed by Wong et al, institutions should act
203 expeditiously to address these root cause workplace stressors and consider programs
204 to improve emotional resilience for EM physicians.¹⁷

205 In terms of future directions of this work, our study design and survey instruments
206 are fluid. As the pandemic has progressed, additional important stressors, such as
207 childcare and homeschooling demands, the economic impact of declining ED volumes,
208 and changes in health care delivery (lack of personal connections with patients because
209 of limited time in rooms) have arisen. We plan to address these stressors, along with
210 concerns about the development of long-term post-traumatic stress, in our subsequent
211 follow-up surveys.

212 **Limitations**

213 Our primary limitation is the moderate response rate of 57%, which we attribute
214 to general email and clinical work overload during the frenetic early stage of the
215 pandemic and inability to provide gift cards or other incentives in this unfunded study.
216 Although waiting for funding and conducting the survey in a less chaotic time (after the
217 pandemic acceleration phase) may have produced a higher response rate, this method
218 would undoubtedly have introduced recall bias in terms of respondents' self-assessment
219 of anxiety levels and particular stressors. We believe that our survey provides accurate
220 estimates of how the responding physicians were feeling *in real-time* during the
221 acceleration phase. Another limitation is that those who were experiencing more anxiety
222 may have been more likely to respond to the survey request, thus leading to an
223 overestimation of stress; however, it is also possible that those with more anxiety
224 declined to participate.

225 In terms of spectrum effects, our survey was limited to providers at academic
226 institutions and therefore may not reflect the experience of nonacademic EM physicians.
227 Additionally, most of our participant sites were in cities in California that had not yet
228 seen large surges of patients as seen in other areas of the country. It is very likely that
229 EM physicians in New York City and other "hot spots" for COVID-19 have been
230 suffering higher levels of anxiety and effects on home life. Nevertheless, median levels
231 of anxiety in the California sites were similar to the New Orleans and Camden sites,
232 which were experiencing surges. This suggests that the impact of COVID-19 is
233 pervasive and that measures to mitigate stress should be enacted universally.

234 **Conclusions**

235 The acceleration phase of the COVID-19 pandemic has induced moderate to
236 severe workplace and home anxiety in academic EM physicians. The pandemic has
237 had considerable impact on the home life of most physicians, especially in terms of
238 decreased signs of affection and worries about exposing family members and friends to
239 infection. Institutional measures, including enhanced availability of PPE, rapid
240 turnaround testing at provider discretion, and clear communication about COVID-19
241 protocol changes, should be enacted to mitigate physician stress.

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309

Table 1. Demographics (n = 426)

Age, median (Interquartile range)		35 (31,43)
Female # (%)		192 (45.1)
Physician training level	Faculty # (%)	236 (55.4)
	Fellow # (%)	19 (4.5)
	Res # (%)	168 (39.4)
Race and Ethnicity		
	African-American	14 (3.3)
	Asian	69 (16.2)
	Asian-Indian	3 (0.7)
	Latinx	36 (8.5)
	Middle Eastern	1 (0.2)
	Native American	1 (0.2)
	Pacific Islander	1 (0.2)
	White	306 (71.8)
Home living situation		
	Alone	63 (14.8)
	With roommate(s)	47 (11)
	With partner(s)	308 (72.3)
	With child < 18	166 (39)
	With adult > 70	9 (2.1)

Table 2. Stratification for key response questions

Characteristics	Effect of pandemic on workplace stress: median (IQR)	Effect of pandemic on home stress: median (IQR)	Pre-pandemic emotionally exhaustion and burnout: median (IQR)	Post-pandemic emotionally exhaustion and burnout: median (IQR)	Changed behavior with friends and family because of possible excess work exposure: n (%)	
Female (n = 192)	6 (5,6)	6 (5,7)	3 (2,4)	4 (3,6)	Yes	174 (90.6)
					No	13 (7.8)
					Unsure	3 (1.6)
Male (n = 229)	5 (4,6)	5 (4,6)	2 (2,4)	4 (3,6)	Yes	209 (91.3)
					No	17 (7.4)
					Unsure	2 (0.9)
Faculty (n = 236)	5 (4,6)	5 (4,6)	3 (2,4)	5 (3,6)	Yes	210 (89)
					No	21 (8.9)
					Unsure	3 (1.3)
Resident or fellow (n = 187)	5 (4,6)	5 (4,6)	3 (2,4)	4 (3,6)	Yes	175 (93.6)
					No	9 (4.8)
					Unsure	2 (1.1)
Have children < 18 in home (n = 166)	5 (4,6)	5 (4,6)	3 (2,4)	4 (3,6)	Yes	149 (89.8)
					No	13 (7.8)
					Unsure	2 (1.2)
No children in home (n = 259)	5 (4,6)	5 (4,6)	3 (2,4)	4 (3,6)	Yes	238 (91.9)
					No	18 (6.9)
					Unsure	3 (1.2)
California sites (n = 306)	5 (4,6)	5 (4,6)	3 (2,4)	4 (3,6)	Yes	279 (91.2)
					No	7 (2.3)
					Unsure	1 (0.3)
Non-California sites (n = 120)	5 (4,6)	5 (4,6)	3 (2,4)	4 (3,6)	Yes	109 (90.8)
					No	7 (5.8)
					Unsure	1 (0.8)

IQR = interquartile range

Table 3. Physicians' concerns relating to their work during the COVID-19 pandemic. Median and interquartile ranges to questions “I worry about or that...” on 1-7 scale, in which 1 = “not at all”, 4 = “somewhat”, and 7 = “extremely”

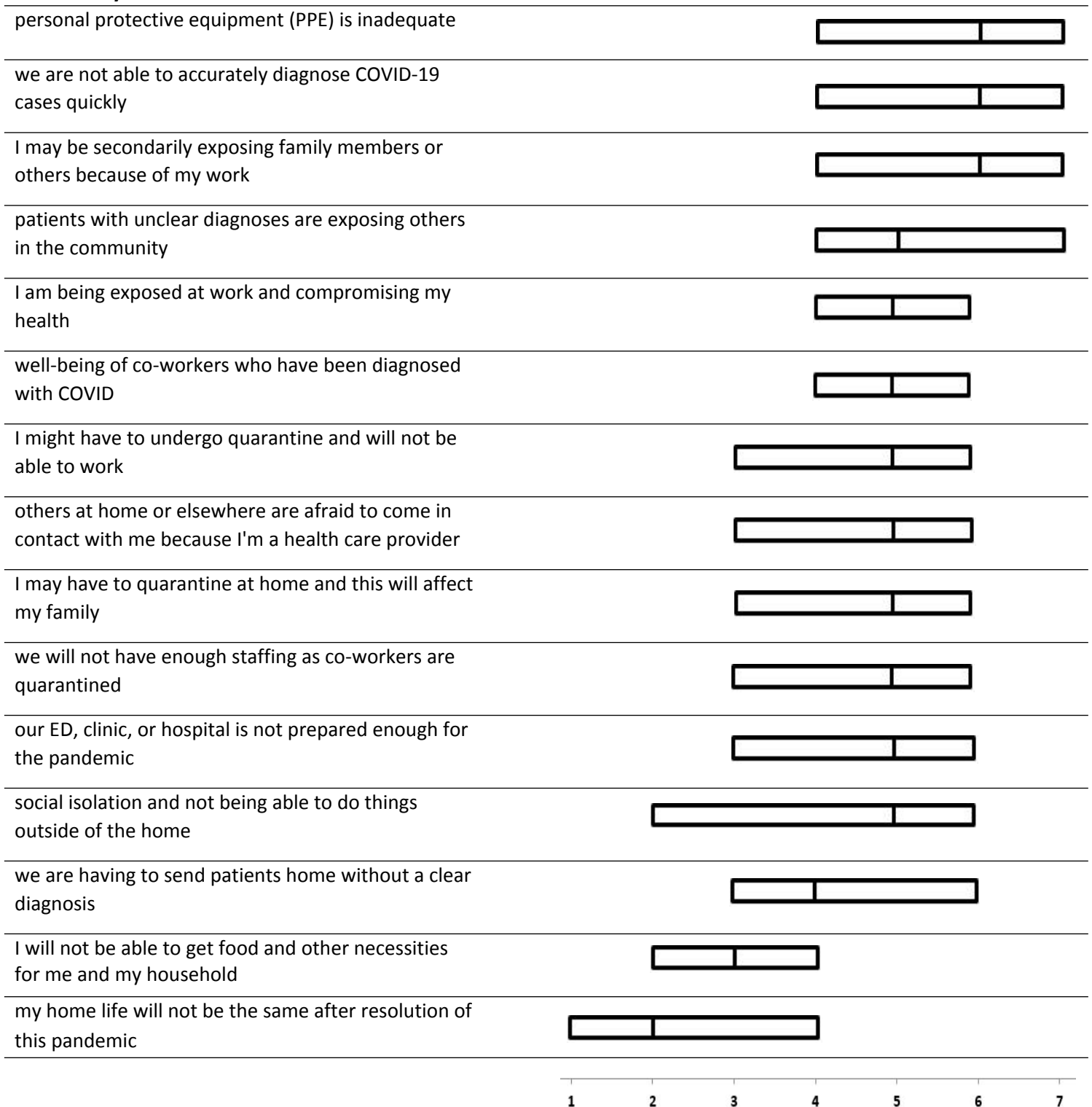


Table 4. Rank summary of measures that emergency physicians believe would relieve their

Measure	Aggregate Points	# (%) of Respondents Citing Measure (N = 426)
Enhanced availability of personal protective equipment	1637	410 (96.2)
Rapid turnaround (< 6 hours) testing	1362	392 (92.0)
Testing for COVID-19 for patients at my discretion (instead of as limited by current protocols)	1054	351 (82.4)
Clearer communication about changes in protocols	976	313 (73.5)
Assurances that I can take leave to care for myself and family members	933	306 (71.8)
Greater clarity regarding my risk for exposure	858	284 (66.7)
Assurances that my (and my dependents') medical care will be covered by my employer	799	270 (63.4)
Ability to request testing of myself for COVID-19 even if I do not have symptoms	787	295 (69.2)
Assurances about disability benefits	741	243 (57.0)
Easily available mental health consultations for myself and other health care providers	660	242 (56.8)
Departmental ZOOM or other video sessions to discuss COVID-19 response and changes	638	236 (55.4)

COVID – coronavirus disease

Respondents were asked: “From the list below, pick the top 5 measures (1 = highest priority) that you think would alleviate some of your anxiety/stress related to the COVID-19 pandemic”. Aggregate Points are the sum of points in which 1 (highest priority) = 5 points, 2 = 4 points, 3 = 3 points, 4 = 2 points, 5 = 1 point.

stress related to the COVID-19 pandemic.