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## **Title**

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## **Microscopic Characterization of Carbonaceous Aerosol Particle Aging in the Outflow from Mexico City**

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## **Abstract**

 This study was part of the Megacities Initiative: Local and Global Research Observations (MILAGRO) field campaign conducted in Mexico City Metropolitan Area during spring 2006. The physical and chemical transformations of particles aged in the outflow from Mexico City were investigated for the transport event of 22 March 2006. A detailed chemical analysis of individual particles was performed using a combination of complementary microscopy and micro-spectroscopy techniques. The applied techniques included scanning transmission X-ray microscopy (STXM) coupled with near edge X-ray absorption fine structure spectroscopy (NEXAFS) and computer controlled scanning electron microscopy with an energy dispersive Xray analyzer (CCSEM/EDX). As the aerosol plume evolves from the city center, the organic mass per particle increases and the fraction of carbon-carbon double bonds (associated with elemental carbon) decreases. Organic functional groups enhanced with particle age include: carboxylic acids, alkyl groups, and oxygen bonded alkyl groups. At the city center (T0) the most prevalent aerosol type contained inorganic species (composed of sulfur, nitrogen, oxygen, and potassium) coated with organic material. At the T1 and T2 sites, located northeast of T0  $\left(\sim\!29 \text{ km}\right)$ and  $\sim 65$  km, respectively), the fraction of homogenously mixed organic particles increased in both size and number. These observations illustrate the evolution of the physical mixing state and organic bonding in individual particles in a photochemically active environment.

## **1. Introduction**

 Organic carbon (OC) is a large component of submicron atmospheric aerosol particles. Quantitative and qualitative analysis of organic aerosols poses substantial challenges for field measurements (Fuzzi et al., 2006) because of their small size and chemical complexity. For developing robust, physically-based models of climate change and visibility, as well as understanding aerosol environmental and health effects, a detailed understanding of aerosol organic carbon and its atmospheric processing is necessary.

OC dominates the submicron aerosol mass in many geographical locations, particularly downwind of urban sites (Zhang et al., 2007), regions with large biogenic OC sources (Geron, 2009), and areas affected by biomass burning (Reid et al., 2005). A large fraction of the OC from both anthropogenic and biogenic sources is thought to be low volatility condensed phase species that arise from photochemical oxidation of gas-phase precursors (Robinson et al., 2007; Seinfeld and Pankow, 2003). To improve our understanding of organic aerosols, field studies in photochemically active areas with large biogenic and anthropogenic organic carbon emissions are essential.

Various techniques measure organic aerosol particles on-line and off-line. The most prevalent on-line techniques are bulk aerosol mass spectrometers, single particle mass spectrometers, and thermo-optical (Bae et al., 2007; Canagaratna et al., 2007; Murphy, 2007). Although these techniques are useful for quantification of bulk organic matter, they have limited application for molecular level understanding of organic aerosol. For example, bulk aerosol mass spectrometers and single particle mass spectrometers cannot identify parent molecular species due to extensive fragmentation upon ionization. Thermo-optical methods quantify elemental and organic carbon based upon instrumental definitions. In general, bulk techniques provide average properties and any information on different particle types, internally or externally mixed, is lost.

Coupled spectroscopic and microscopic methods provide elemental and molecular detail on individual particles. Transmission electron microscopy (TEM) and scanning electron microscopy (SEM) yield detailed images of the physical structure of individual particles (Laskin et al., 2006; van Poppel et al., 2005; Hopkins et al., 2008). Electron microscopy with energy dispersive X-ray (EDX) microanalysis or electron energy loss spectroscopy (EELS) yields spatially resolved chemical composition of individual particles (Alexander et al., 2008; Katrinak et al., 1992). One general limitation of EDX is that quantitative analysis of low-Z elements (carbon, nitrogen, and oxygen) is not always possible and requires time-intensive Monte-Carlo calculations (Ro et al., 2004; Ro et al., 2003). TEM imaging with EELS can be used to characterize carbon content of particles, but its limited energy resolution precludes detailed analysis of carbon bonding (Katrinak et al., 1992). Furthermore, high-energy electrons used in TEM and SEM may induce changes in chemical bonding (Braun et al., 2009; Egerton et al., 2004; Sayre et al., 1977). These methods produce elegant images and elemental compositions for high-Z elements, but provide little information about chemical bonding or oxidation state of carbon, nitrogen and oxygen.

Scanning transmission X-ray microscopy (STXM) (Kirz and Rarback, 1985; Kilcoyne et al., 2003; Beetz et al., 2003) can acquire spatially resolved NEXAFS spectra at the carbon Kedge and were first used for analysis of a small number of particles (Maria et al., 2004; Russell et al., 2002). Later studies have expanded to obtain more statistically representative results (Takahama et al., 2007; Hopkins et al., 2008; Hopkins et al., 2007b; Tivanski et al., 2007; Maria et al., 2004). STXM microscopy is a synchrotron technique that utilizes the "soft" X-ray radiation in the energy region of  $~100-1000$  eV. In this region, high resolution spectral images are obtained for a detailed NEXAFS analysis at a limited spatial resolution of  $\sim$ 25 nm (Kilcoyne) et al., 2003). The STXM/NEXAFS technique compliments electron microscopy techniques because it provides detailed molecular speciation for carbon. Although no single technique yields a complete set of information about particle morphology, chemical bonding, and elemental composition, their combination provides a more comprehensive characterization of particles collected in the field (Hopkins et al., 2007a; Moffet et al., 2008b).

 The transformation of organic aerosol in Mexico City has been extensively characterized in several field studies (Molina and Molina, 2002; Molina et al., 2007). During daylight hours, the observation of extremely active secondary organic aerosol formation was consistently observed (Volkamer et al., 2006; Salcedo et al., 2006). Although tempting to attribute most of the organic aerosol burden to automotive sources, recent findings suggest that widespread biomass burning contributes significantly to organic mass (Marley et al., 2009b; Yokelson et al., 2007; Moffet et al., 2008a). In the most recent field study, the Megacities Initiative: Local and Global Research Observations (MILAGRO) 2006, intensive measurements characterized the increase in organic mass with plume age (Doran et al., 2008; Kleinman et al., 2008; Doran et al., 2007). However crucial, quantifying the bulk organic mass is but a single piece of a complex puzzle. A more complete description of OC requires microscopic and molecular level speciation of organic matter within individual particles.

 Here we present detailed chemical speciation of individual particles collected at three ground sites during the MILAGRO field study. Particle analysis was accomplished using computer controlled (CC)SEM/EDX and STXM/NEXAFS. Back trajectories and chemical measurements of bulk particulate matter were used to identify a period of air plume transport

from the center of Mexico City (T0) to the sites located progressively further from the city (T1 and T2). Samples were chosen from this transport period for detailed microscopic analysis to provide insight into the aging of atmospheric particles.

#### **2. Experimental**

#### 2.1. Sampling sites and particle collection.

Particle samples were collected continuously at three field supersites (T0, T1, and T2), indicted in Fig. 1, during the MILAGRO 2006 study. Sites were selected based on meteorological modeling and previous field measurements that indicated prevailing flows from T0 to T2 (Doran et al., 1998). The sampling times, locations, elevations, and relative humidities are summarized in Table 1. At T0, particle samples were collected at the rooftop of a five story building,  $\sim$ 20 m above the ground. T0 was an industrial/residential neighborhood in the northern part of Mexico City, surrounded by heavy vehicular traffic. The T1 site, located at the Technological University of Tecamac, was surrounded by farmland, commercial, and residential areas. The T2 site, at the Rancho la Bisnaga, was a rural site surrounded by farmland.

At all three sites, particle samples were collected using compact Time Resolved Aerosol Collectors (TRAC) (Laskin et al., 2006; Laskin et al., 2003). The TRAC samplers deposited particles onto prearranged microscopy substrates that were automatically replaced every 15 min. Bulk particulate matter was continuously collected onto Teflon strips using a three-stage Davis Rotating drum Universal size-cut Monitoring (DRUM) impactor (Cahill and Wayakabashi, 1993). After collection, the exposed substrates were sealed and stored pending analyses.

2.2. Sample selection based upon meteorological data.

After an initial analysis of the field data (Doran et al., 2007; de Foy et al., 2008), a specific time period was chosen for a detailed analyses - 22 March 2006. During that day, the northeastern airflow was from T0 to T2. The Weather Research and Forecasting (WRF) model reproduced the local, regional, and synoptic meteorological conditions (Fast et al., 2009). The predicted meteorological conditions were constrained by employing a four-dimensional data assimilation technique in WRF, the available radar wind profiler, and radiosonde measurements. Fig. 1 shows 12 h back trajectories for each hour between 06 and 18 local time (CST) at T1 and T2. These were computed using a Lagrangian particle dispersion model (Doran et al., 2008; Fast and Easter, 2006). The trajectories indicate that the sampled air mass from Mexico City advected northeast toward T1 and T2. The travel time of the urban air plume from T0 to T1 and T2 was approximately 3 and 6 hours, respectively. These times are consistent with estimates of the median transport periods from T0 to T1 (between 2 to 4 h) and T2 (between 4 to 10 h) (Doran et al., 2008). During this sampling period, the surface air temperature increased from  $\sim$ 12 C at sunrise to  $\sim$ 26 C by mid-afternoon. The maximum mixed layer height after 14:00 CST was between 2.5 and 3.0 km above ground level at T0 and T1, but was ~0.5 km higher at T2 (Shaw et al., 2007).

#### 2.3. Methods of laboratory analyses.

In this work, analyses of the particle samples using three analytical techniques are presented: (1) Proton Induced X-ray emission (PIXE) provided elemental concentrations for time-resolved particulate matter samples collected by the DRUM sampler; (2) Synchrotron X-ray Fluorescence (SXRF) analysis provided additional confirmation of time-resolved elemental analysis; (3) CCSEM/EDX provided imaging of individual particles and quantitative elemental compositions; (4) STXM/NEXAFS provided speciation of the carbonaceous content and its lateral distribution within individual particles.

2.3.1 Proton Induced X-ray emission.

PIXE analyses of the DRUM collected samples were carried out within several weeks following the MILAGRO 2006 field campaign at the Environmental Molecular Sciences Laboratory (EMSL) located at the Pacific Northwest National Laboratory (PNNL). Detailed experimental procedures are described elsewhere (Johnson et al., 2006; Shutthanandan et al., 2002). Briefly, PIXE analyses were performed under vacuum  $(2\times10^{-7}$  Torr) with a 3.5 MeV proton beam. The resulting PIXE spectra were evaluated using the GUPIX program (Maxwell et al., 1989), and mass concentrations of elements were calibrated to known standards with a 5% uncertainty. The final results are reported as time series (mass per volume of air sampled) with a resolution of 6 h.

#### 2.3.2 Synchrotron X-ray Fluorescence (SXRF) Analysis

Using the same substrates as for the PIXE analysis, elemental concentrations were also obtained with synchrotron X-ray fluorescence (SXRF) analysis. The SXRF data allowed for a quality control of the PIXE data. Details of the SXRF analysis are described elsewhere (cf. Perry et al., 2004). The SXRF analysis was performed using beamline 10.3.1 at the Advanced Light Source (Lawrence Berkeley National Laboratory). The beamline 10.3.1 X-ray microprobe is designed to operate at photon energies over the range from 1.5 to 18.5 keV using a white (i.e., nonmonochromatic) beam configuration. This energy range permits quantitative analysis of the elements sodium through uranium for samples under vacuum. The beam is collimated to a 500 μm by 500 μm spot resulting in a temporal resolution of 3 h allowing elemental characterization of transported plumes. Previous tests have shown that the sample deposit from the drum

impactor is extremely uniform along across the sample deposit (Bench et al., 2002).

Deconvolutions of the raw X-ray spectra are performed using WinAXIL (Canberra). Quantitative analysis is performed by calibrating the response of the system to a comprehensive set of 40 single-element and multielement NIST-traceable standards (Micromatter, Inc.).

2.3.3 Scanning electron microscopy.

A FEI XL30 digital field emission gun environmental scanning electron microscope at EMSL/PNNL was used for computer controlled CCSEM/EDX analysis of the particle samples collected by TRAC on filmed TEM grids (Copper 400 mesh grids, Carbon type B film, Ted Pella, Inc.). During the analyses, particles were automatically inspected and their contours recognized by an increase in the detector signal above a threshold level. The instrument then acquired an X-ray spectrum for each detected particle. Additionally, line scans were carried out by scanning the electron beam through the center of the particle while recording the EDX spectrum. In this work, the EDX analysis was limited to particles with an equivalent circular diameter larger than  $0.33$  µm. The X-ray spectra were acquired for 10 s, at a beam current of  $\sim$ 500 pA and an accelerating voltage of 20 kV. The elements considered in the analysis were C, N, O, Na, Mg, Al, Si, P, S, Cl, K, Ca, Ti, Mn, Fe, Ni, Cr, Zn, and Pb. The atomic percent data for C, O, and N are regarded as semi-quantitative, due to contributions from the thin film substrate as well as other experimental complications. Additional details of the CCSEM/EDX analysis of particles deposited onto filmed grid substrates are described elsewhere [(Laskin et al., 2006), and references therein].

2.3.4 Scanning transmission X-ray microscopy.

STXM/NEXAFS analyses of the same TRAC samples were conducted at the Advanced Light Source (ALS) synchrotron facility located at the Lawrence Berkeley National Laboratory. The STXM instrument has been previously described (Kilcoyne et al., 2003), therefore only a brief description is presented here. Soft X-ray radiation is produced in the synchrotron using either a bending magnet (beamline 5.3.2) or an elliptically polarizing undulator (beamline 11.0.2). Both beamlines cover the energy ranges characteristic of S (170 eV), C (290 eV), N (403 eV), and O (535 eV) absorption edges. The X-ray energy was selected with a monochromator. A Fresnel zone plate then focused the X-ray beam to a small  $(\sim]30-35$  nm) spot. To obtain an image at a fixed energy, piezoelectric nano-translators scanned the sample at the focal point of the X-ray beam while the transmitted intensity (*I*) was measured. The energy was then stepped and the sample scanned again for a series of energies (typically  $\sim$ 110 steps for a carbon K-edge spectrum) to obtain a "stack" of spectral images. The X-ray spectrum was converted to optical density (OD) by measuring  $(I_0)$  through a particle free region of the sample and using the relation OD =  $ln(I_0/I) = \mu \rho t$ , where  $\mu$  was the mass absorption coefficient,  $\rho$  was the mass density, and *t* was the material thickness. CCSEM/EDX and STXM/NEXAFS analyses were carried out over different sample regions to mitigate radiation damage from prior exposure. This is particularly important for the STXM/NEXAFS measurements that explicitly examine chemical bonding.

## **3. Results and Discussion**

3.1. Confirmation of the transport event of 22 March 2006 via elemental analysis.

During the MILAGRO study, meteorological measurements and modeling data indicated several days when aerosols originating in Mexico City passed through T1 and T2 (Doran et al., 2007). The PIXE and SXRF data confirmed that particles from the same plume were sampled and aided in selecting a specific transport day for detailed particle analyses. The sulfur time series determined by PIXE and SXRF for the three sites on 22 March 2006 are shown in Fig. 2. At every site, the data sets contained strong sulfur peaks which indicate a heavily polluted air mass. During March 22, the total PM<sub>2.5</sub> mass concentration at T0 (252  $\mu$ g/m<sup>3</sup>) was the second highest value of the campaign (de Foy et al., 2008). Collocated aerosol mass spectrometry measurements suggest that organics accounted for the majority of the non-refractory mass during this time (Aiken et al., 2009). Typically, sulfates and organics, coupled with the high altitude of Mexico City (~2200 m), should provide ideal conditions for secondary aerosol production. However,  $O_3$  (32 ppb) and  $SO_2$  (125 ppb) maximum concentrations were not abnormally high on this day (de Foy et al., 2008). Air mass back trajectories (not shown) indicate that sulfate and organics from the Tula refinery complex (located  $\sim$ 112 km north of T0) were carried in the morning air flow to T0, and subsequently flowed from T0 to T1 and T2. Therefore, the morning aerosol at T0 most likely contained a mixture of fresh emissions and transported aged emissions from Tula. Presumably, the mixture further evolved during transport to T1 and T2.

3.2. Single particle analysis.

3.2.1. SEM analysis.

Typical SEM images from all three sites are shown in Fig. 3. In all samples, a visible coating surrounding the particle cores was observed. This coating was attributed to photochemically formed secondary organic material. To confirm the organic nature of the coating, EDX line scans were performed. X-ray line scans over particles from T0 are shown in Fig. 4. In Fig. 4a, arrows indicate the positions and directions of line scans on the corresponding SEM image. X-ray signals from carbon, sulfur, and potassium along the line scans are shown in panels (B) and (C). The line scans indicate the highest carbon concentrations occur at the particle edges and that sulfur and potassium are enhanced at the particle cores. The data suggest the particle cores are composed of sulfates that are surrounded by carbonaceous material. Although to a lesser extent, potassium (which may indicate contributions from biomass burning or cooking emissions) is also concentrated at the particles cores. The CCSEM/EDX analysis provides quantitative data on the elemental composition and size of individual particles. However, CCSEM/EDX measurements probe the entire particle and provide no information on a particle's internal structure.

#### 3.2.2. STXM/NEXAFS analysis.

To further probe particle's internal structure, carbon K-edge spectral maps from the STXM/NEXAFS analysis were obtained. Carbon K-edge spectra and maps shown in Fig. 5 were obtained by singular value decomposition (Koprinarov et al., 2002) using three components: 1) inorganic (IN), 2) organic carbon (OC), and 3) elemental carbon (EC). The three chemically uniform and distinct particle regions (EC, OC, and IN) are referred to as phases throughout the remainder of this manuscript. The methodology employed to map phases and assess internal mixing in individual particles using automated processing of the STXM/NEXAFS data is described in a separate manuscript (Moffet et al., 2009). Figure 6 shows representative sulfur, nitrogen and oxygen K-edge spectra for these phases for T0, T1, and T2. Heterogeneous structure was observed in many particles from T0. However, T1 and T2 had larger, more homogenously mixed organic particles. In the following discussion, the chemical speciation in each of the three phases is investigated based on the spectra displayed in Figs. 5 and 6.

The IN phase (blue) in Fig. 5 has a C K-edge spectrum with a larger pre-edge (<280 eV) baseline absorption level than total carbon absorption (320 eV) and/or characteristic potassium peaks at 297.1 and 299.7 eV. The high pre-edge level of the inorganic region is due to a high density of elements other than C. For a region to be classified as IN its spectrum either contained potassium or the pre-edge intensity (absorption at 278 eV) was greater than half of the absorption due to carbon (absorption at 320 eV – absorption at 280 eV) (Moffet et al., 2009). NEXAFS spectra from the IN phase at the S, N, and O edges are shown in blue in Fig. 6. The carboxyl functionality observed in the OC phases (peak at 531.8 eV in the oxygen spectrum), was small or absent in the IN phase. The S, O and N NEXAFS spectra of the IN phase closely resemble standard spectra of  $(NH_4)_2SO_4$  (Hopkins et al., 2008). The significant N 1s  $\rightarrow \pi^*$  peak in the IN phase (Fig. 6a) could arise from nitrates, or if the chemical species is  $(NH_4)_2SO_4$ , this peak could result from radiation damage. Previous studies on  $(NH_4)_2SO_4$  by our group indicate the N  $1s \rightarrow \pi^*$  peak intensity increases with exposure to X-ray radiation. The abundance of S and N was typically higher in the IN phase than in the OC or EC phases, consistent with the SEM/EDX results in Fig. 4.

In Fig. 5, the OC phase (green) is characterized by a large contribution from the C 1s  $\rightarrow$  $\pi^*$ <sub>COOH</sub> transition (288.5 eV) and was used to identify OC. A smaller contribution from the C 1s  $\rightarrow \pi^*$ <sub>C=C</sub> peak at 285 eV (C=C, sp<sup>2</sup> hybridized carbon) is present in the OC phase as well. The COOH functionality was verified by the presence of a pronounced peak at 531.8 eV, arising

from the O 1s  $\rightarrow \pi^*$ <sub>C=O</sub> transition (green trace in Fig. 6b). Based on the S NEXAFS spectrum, (shown as the green trace of Fig. 6c) the OC phase also contains inorganic sulfur species. The sulfur spectrum measured for the OC phase resembles that previously measured in our laboratory for  $(NH_4)_2SO_4$ . However, the SEM/EDX line scans in Fig. 4b indicate lower sulfur concentrations in the OC phase than the IN phase. Also, the intensity of the N 1s  $\rightarrow \pi^*$  transition has a smaller contribution in the OC phase than in the IN phase. This indicates a difference in nitrogen speciation between the two phases. If multiple nitrogen containing compounds were present in the OC phase, the electronic transitions occurring at slightly different energies could result in overlapping features that would broaden the observed peak. This is significantly different than the well-ordered inorganic, presumably crystalline constituents, present in the IN phase. The nitrogen containing organics have been reported at T0 as well as in laboratory examinations of T0 particulate matter (Moffet et al., 2008a).

From the maps in Fig. 5, the organic rich particles (green) are larger and more homogenous at the rural sites (T1 and T2) than at the city center (T0). Radial scans on particles containing inorganic inclusions (as identified below), show the distribution of carboxylic acids within single particles. Figure 7 displays average single particle COOH radial scans for each sampling site, T0, T1, and T2. The radial scans were produced according to Takahama et al. (Takahama et al., 2008), except COOH was calculated as the difference between the intensity of the C 1s  $\rightarrow$  $\pi^*$ <sub>COOH</sub> transition and the pre-edge intensity. At T0, COOH is depleted at the particle center due to the presence of large inorganic inclusions. This is contrary to the average radial scans of particles with inorganic inclusions from T1 and T2, all of which have the highest COOH peak intensity at the particle centers. This indicates the physical mixing of organic material within particulates differs among the urban site (T0) and the rural sites (T1, T2).

In the map in Fig. 5, carbon-carbon  $sp^2$  bonds (C=C) rich particle regions are indicated by red with the corresponding spectra also shown in red. The abundance of  $sp<sup>2</sup>$  hybridized C=C bonds, characterized by the large peak at 285 eV, is correlated with the presence of EC (Hopkins et al., 2007b). For a particle region to be labeled as EC, the %  $sp<sup>2</sup>$  was empirically set at 35%. EC inclusions observed in this study appear as either compact structures or non-spherical fractal-like structures. In samples from Mexico City, the spectra of particles containing EC have a significant COOH peak. This was not observed in soot from diesel exhaust or standard black carbon materials (Hopkins et al., 2007b) and is attributed to the high photochemical activity of Mexico City. In Fig. 6b, the oxygen edge spectrum for the EC particles, like the OC phase, has a pronounced peak at 531.8 eV verifying the carbonyl (C=O) functionality (Lessard et al., 2007; Urquhart and Ade, 2002). This observation is consistent with the observation that double bonds of soot (EC) readily undergo oxidation reactions to form carbonyl groups (Nienow and Roberts, 2006).

#### 3.3. Evolution of particle chemical composition.

 Carbon K edge spectra were measured on samples from each site and the three phases (In, OC, EC) were mapped. Combinations of these phases were used to quantify the particle mixing state transformation from T0 to T2. To ascertain the changes in mixing state as particles were transported away from the city center, rule-based classifications defined particle phase mixing. Particles detected in the MILAGRO samples from 22 March 2006 were sorted in four major groups based upon the phases present within individual particles: INOC, INOCEC, ECOC, and OC. The OC phase was present in all particles and hence is included in each group.

In Fig. 8 the relative fraction of the particle classes at each sampling site is shown. As illustrated in Fig. 8, the fraction of homogenous OC particles (green) is much greater at T1 and T2 than T0. This increase in homogeneous OC particles may be related to the high sulfur concentrations, hydration histories, condensational growth, or the influence of local sources. The CCSEM/EDX analysis provides additional evidence that the fraction of the predominantly organic containing particles increases in T1 and T2 samples. Figure 9 shows single particle stacked bar plots for each sample. Each bar represents the composition of a single particle; the colors indicate the relative atomic percent of the element indicated in the legend. Consistent with the STXM/NEXAFS results, the fraction of particles containing only carbon, nitrogen and/or oxygen increases from T0 to T1 and T2. These data show particles at T0  $(-50\%)$  are rich in sulfur. The highest fraction of particles containing Na and K are also seen at T0, a finding consistent with the large number of biomass sources, and industrial emissions in the city center (Adachi and Buseck, 2008; Moffet et al., 2008a; Aiken et al., 2009). The Na rich particles identified by CCSEM/EDX were internally mixed and composed of only S, Cl, N, and O, suggesting a non-mineral dust origin. In contrast, the rural T1 and T2 sites have a higher fraction of mineral dust particles containing the elements Al, Si, Ca and Fe. The Na rich particles may arise due to the close proximity of T0 to the dry lake bed, Texcoco (Moffet et al., 2008a).

 Additional insight into the source of the homogenous OC particles is gained by analyzing the size distributions of different particle types. Figure 10 illustrates chemically-resolved particle size distributions obtained from STXM/NEXAFS (left panels) and CCSEM/EDX (right panels). As shown in Fig. 10, both the abundance and size of the OC particles increase from T0 to T1 and T2. Because the sampling sites are spatially and temporally separated, the homogenous OC particles could increase in size during transport as implied by Fig. 10. Other investigators have shown that condensational growth of Aitken mode particles was the main mechanism by which aerosol concentrations increased during aging in Mexico City (Iida et al., 2008; Kleinman et al.,

2009). In particular, Iida et al. (2008) show a similar nucleation event occurring at T1 for 22 March 2006. At the T1 site, Smith et al. observed that the nucleation mode particles were dominated by organics (Smith et al., 2008). These observations are consistent with the increase in homogenous OC particles (from T0 to T1 and T2) arising from the growth of particles originating at T0, but too small to be detected at T0 (using these microscopy methods).

#### 3.4. Changes in carbon bonding.

To probe photochemical oxidation of OC in individual particles during transport, averaged NEXAFS spectra from each of the five analyzed samples were deconvoluted using a combination of known electronic transitions. An example is shown in Fig. 11 for the T0 sample and the corresponding fit results for all five samples are given in Table 2. Table 2 lists the energy, electronic transition, and functionality assigned for each peak for all samples. The functions used for deconvoluting the NEXAFS peaks for the carbon edge were developed using the methodology described by Stöhr and Takahama *et al. (Takahama et al., 2007; Stöhr, 2003)*. In this study, Gaussian peaks were used to fit the C1s  $\rightarrow \pi^*$  and K L<sub>2</sub> and L<sub>3</sub> transitions. The broad C 1s  $\rightarrow \sigma^*$  transitions were fit with asymmetric Gaussian peaks and the ionization edge step was modeled with a decaying arctangent function. The locations of all peaks were fixed to the positions in Table 2. For fitting the discrete transitions, both regular (FWHM 1 eV) and asymmetric Gaussian functions were used as described in Stöhr et al. (Sec 7.2.1) (Stöhr, 2003). As shown in Fig. 11, these functions describe the different spectral features of the carbon edge and allow for additional physical insight to be gained from the NEXAFS spectra.

Figure 12 shows peak area trends derived from the deconvolution analysis. Immediately apparent is that the average carbon mass per particle is higher at T1 and T2 than at T0. From Fig. 12 (E) and Table 2, the total carbon mass per particle increased by at least 40% from T0 to T2.

This is accompanied by increases in organic peaks for carboxylic acids, hydrocarbons, and O- $CH<sub>2</sub>$  groups (panels B-D). Hydrocarbon-oxygen single bonds are commonly found in biomass burning (sugars) and carboxylic acids are frequently associated with photochemically produced organic aerosol. These results are consistent with the increase in OC type particles discussed above and would be expected from secondary organic aerosol formation (Seinfeld and Pandis, 1998).

Table 2, also shows that the relative contribution of the peak at 285 eV decreases from T0 to T2. The intensity of this peak is indicative of the number of carbon-carbon double bonds. Using this peak, the percent of  $sp^2$  hybridization (%  $sp^2$ ) was calculated using the method described by Hopkins et al*.* (Hopkins et al., 2007b). The obtained results are plotted in Figure 12 (panel a). The decrease in  $sp^2$  bonds detected in particles from T0 to T1 and T2 samples could be due to any of the following reasons: a lower number fraction of EC, an increase in organic mass, or the destruction of  $sp^2$  bonds due to atmospheric oxidation. To explore this further, particles containing only OC were examined and the combined %  $sp<sup>2</sup>$  was calculated for each sample (Fig. 12, panel A). Although the OC at T0 contained the largest amount of  $sp<sup>2</sup>$  hybridized bonds, the decreasing trend is less apparent than when EC particles were included. Hence, albeit  $sp<sup>2</sup>$  bonds may be oxidized in OC, we believe that the major mechanism behind the decreasing trend of  $sp<sup>2</sup>$ for all particles is the addition (condensation) of organic matter onto particles. Therefore, additional organic matter decreased the relative contribution of the  $sp<sup>2</sup>$  carbon to the total carbon within individual particles.

Although this paper focuses on March 22 as a case study, a similar analysis was performed on a limited number of particles for March 20 – a day also expected to have the T0 to T2 transport pattern (Doran et al., 2007). A primary difference between March 20 and March 22 is the absence of the homogenous OC phase at the rural locations. The rate of organic condensation could have been much faster on March 22 compared to March 20, allowing the OC particles to become large enough to be detected by our microscopic methods. Another difference observed between March 20 and March 22, was a higher relative fraction of EC observed at T1 and T2 on March 20. However, all of the trends in organic functionality between March 22 and March 20 were similar, suggesting an increase in organic mass with time and distance from the center of the city.

## **4. Conclusions**

 In this study, the evolution of individual organic carbon particles was investigated as a function of time and distance during transport away from Mexico City. An increase in organic functionality and total carbon mass (of at least 40% per particle) during the transport event of 22 March 2006 was observed. The mixing state of the particles evolved as they advected further away from the city. A homogenous OC particle type, a minor contributor at T0, is the dominant type at the T1 (suburban) and T2 (rural) sites. These homogenous OC particles were likely formed from condensational growth of smaller particles.

These results are consistent with several other results from the MILAGRO campaign. The increase in the fraction of purely organic particles together with the decrease in the fraction of elemental carbon particle types is consistent with the increase in the OC to EC ratio measured by Doran et al. and the increase in OC with plume age measured by Kleinman (Doran et al., 2007; Kleinman et al., 2008). Since organics are strongly scattering and weakly absorbing, their increase is also consistent with the increase in single scattering albedo (ratio of scattering to absorption) later in the day (Marley et al., 2009a). Also, several researchers detected high levoglucosan, a biomass burning marker, on this sampling day (Aiken et al., 2009; Stone et al., 2008). Similarly, we observed elevated levels of  $O-CH<sub>2</sub>$  groups, a potential component of sugar molecules, such as levoglucosan. Higher levels of O-CH<sub>2</sub> functional groups at T1 for March 22, could indicate larger biomass burning contributions at this site.

At the city center, we observed that particles have large inorganic inclusions composed of sulfur, oxygen, potassium and nitrogen surrounded by an organic coating. The coating contained both sulfur and nitrogen, suggesting that organic nitrogen and/or sulfur containing compounds are present, or alternatively, that inorganic compounds are homogenously mixed within the particle OC phase. This observation of a phase with both organic and inorganic species is important because the composition largely controls microphysical properties such as hygroscopicity, heterogeneous reactivity, vapor pressure and optical properties (Cappa et al., 2008; Rood et al., 1989). These results should be compared to models to evaluate our understanding of organic aerosol aging mechanisms in a polluted urban atmosphere.

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<b>Site</b> <b>Name</b>	<b>Sampling</b> <b>Time</b> (CST)	Location	<b>Elevation</b> (m)	<b>Relative</b> <b>Humidity</b> $(\%)$	<b>Max. Relative</b> <b>Humidity</b> $(\%)$
T <sub>0</sub>	$12:30-$ 12:45	19°29'233.60"N 99°08'55.60"W	2240	31	72
T <sub>1</sub>	$13:00-$ 13:15	19°42'12.41"N 98°58'59.12"W	2273	30	90
T1	$18:00-$ 18:15			40	
T <sub>2</sub>	$14:30-$ 14:45	$20^{\circ}$ 0'35.81"N 98°54'32.37"W	2542	33	88
T <sub>2</sub>	$19:30-$ 19:45			64	

**Table 1.** Summary of the sampling sites, times, locations and relative humidities. Humidities were taken from Marley et al. (Marley et al., 2009a).

**Table 2.** Peak areas (OD•eV/particle) given by deconvolution of the average single particle carbon K-edge spectrum for each sampling site and time. See Fig. 9 for an example deconvolution fit to the carbon K-edge. All times given are in CST.

<b>Energy</b> (eV)	<b>Transition</b>	<b>Functionality</b>	T <sub>0</sub> 12:35 <b>CST</b>	<b>T1</b> 13:00 <b>CST</b>	<b>T1</b> 18:00 <b>CST</b>	T <sub>2</sub> 14:30 <b>CST</b>	T <sub>2</sub> 19:30 <b>CST</b>	<b>Reference</b>
285.1	$1s \rightarrow \pi^*$	$C^* = C$	0.20	0.20	0.19	0.18	0.14	a, b, g
286.5	K $1s \rightarrow \pi^*$ or K $1s \rightarrow$ $\pi^*$	$R(C*-O)R$ or $C*OH$	0.29	0.21	0.22	0.20	0.15	a, c
287.7	$K 1s \rightarrow C$ $H^*$	$C*H, C*H2$ $C*H3$	0.28	0.57	0.47	0.51	0.36	a, c
288.5	K $1s \rightarrow \pi^*$	$R(C*-O)OH$	0.75	0.87	0.81	0.89	0.72	a, c, d, g
289.5	K $1s \rightarrow$ $3p\sigma^*$	$OC*H2$	0.71	0.94	0.78	0.91	0.75	a, d
290.4	K $1s \rightarrow \pi^*$	$C*O3$	0.18	0.06	0.04	0.05	0.15	a, e
297.1, 299.7	$L_2 2p_{1/2} \rightarrow$ $L_3 2p_{3/2} \rightarrow$	$K^*$	1.57	1.08	0.69	1.08	0.86	c, f
292.2	K $1s \rightarrow \sigma^*$	$C^*$ -C, $C^*$ -O	2.15	1.23	1.39	1.30	1.49	a, g
300	$1s \rightarrow \sigma^*$	$C^* = C, C^* = O$	12.82	18.20	16.91	16.76	14.69	a
294.5	Edge Step	<b>Total Carbon</b>	10.26	17.50	18.19	15.55	14.32	$\rm{a}$

a (Stöhr, 2003)

b (Hopkins et al., 2007b)

c (Takahama et al., 2007)

d (Tivanski et al., 2007)

e (Benzerara et al., 2005)

 $f(Yoon et al., 2006)$ 

g (Hitchcock et al., 1992)

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**Figure 1.** A map of the Mexico City area. The MCMA political border is represented by the black line and the urban area is shaded in grey. The colored lines are terrain contours every 250 m. The location markers with black dots are the T0-T2 sampling sites, Tula refinery, and Popocatepetl volcano. Blue and red lines indicate 12 h back-trajectories ending at the T1 and T2 sampling sites, respectively, on every hour over the period of 06 – 18 CST on March 22, 2006. Dots on the thick lines denote positions at hourly intervals for select trajectories.



**Figure 2.** Time series of the particulate Sulfur mass concentrations at the three sampling sites T0, T1, and T2 measured by PIXE and synchrotron XRF. Starting with T0, each site was located progressively farther from the Mexico City. During the day of transport March 22, 2006 (DOY 80) large sulfur concentrations were observed at all three sites, indicating effective capture of the transport plume by particle samples. Additional transport days are indicated with the gray bars.



**T0** 12:35 CST





**T1** 14:00 CST





**T1** 19:00 CST



**T2** 14:30 CST



**T2** 19:30 CST

**Figure 3** SEM images of typical particles collected during the transport event of March 22, 2006 at three sites.



**Figure 4.** SEM image together with carbon, sulfur and potassium linescans for particles from T0 site. The locations and directions of line scans are shown by the arrows in panel (A). The intensities of characteristic X-rays along the line scans are shown in panels (B) and (C). The line scans confirm that particle cores contain S and K, coated by carbonaceous material. X axis was normalized by the total scan distance. The bold lines represent the X-ray intensities averaged for a number of individual line scans represented by faint lines.



spectral image "stacks" from STXM/NEXAFS. Spectra on the right show components used to obtain the component maps on the left. This was done for a) T0 at 12:30, b) T1 at 13:30 and T2 at 14:30. For every ambient sample three major components are usually identified: 1) optically thick inorganic phase (IN) that often contains  $K$  – blue color, 2) Organic/secondary carbon  $(OC)$  – green color, 3) High sp<sup>2</sup> functional hybridization, usually from elemental carbon (EC) – red color.



Figure 6. Representative N, O and S edge spectra for EC, OC and Inorganic phases.



Figure 7. COOH radial scans inferred from STXM/NEXAFS analysis of particles having inorganic inclusions. At the urban site (T0), the inorganic inclusions were located at the center of the particle, resulting in a lower COOH content at that location of the particle. At T1 and T2, the inorganic material was typically not located at the center of the particles as indicated by the fact that the COOH absorption was highest there. Each line represents an average of 24 (at T1) to 121 (at T0) particles.



**Figure 8.** Fractions of particle types identified by the STXM/NEXAFS analyses and defined in Figure 3 for the samples collected at different sampling sites.



Figure 9. Bar plot presentation of CCSEM/EDX data indicating composition of individual particles (each plot shows 5000-7000 particles) and relative particle-type contributions to the total sample characteristic for each of the samples collected at three sampling sites.



Figure 10. Chemically resolved size distributions inferred from the STXM/NEXAFS (lef t) and CCSEM/EDX (right) data sets for the samples collected at three sampling sites d uring March 22, 2006. Bin widths are all equivalent.



**Figure 11.** Spectral deconvolution of the averaged C-edge spectrum of particles detected at the T0 site sample. The solid bold black line is the experimental data, the bold blue line is the best fit using the individual peaks labeled in the legend. A list of peak assignments and relative areas for all sites is given in Table 2.



**Figure 12.** Trends of A)  $\%$ sp<sup>2</sup> bonds for whole particles (black bars) and for organic coatings (gray bars), B) COOH groups, C) CH<sub>x</sub> groups, D) OCH<sub>2</sub> groups and E) total carbon.