

# Spectral Surface Reconstruction from Noisy Point Clouds

Ravikrishna Kolluri

Jonathan Richard Shewchuk

James F. O'Brien

University of California, Berkeley

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**Abstract:** We introduce a noise-resistant algorithm for reconstructing a watertight surface from point cloud data. It forms a Delaunay tetrahedralization, then uses a variant of spectral graph partitioning to decide whether each tetrahedron is inside or outside the original object. The reconstructed surface triangulation is the set of triangular faces where inside and outside tetrahedra meet. Because the spectral partitioner makes local decisions based on a global view of the model, it can ignore outliers, patch holes and undersampled regions, and surmount ambiguity due to measurement errors. Our algorithm can optionally produce a manifold surface. We present empirical evidence that our implementation is substantially more robust than several closely related surface reconstruction programs.

Categories and Subject Descriptors (according to ACM CCS): I.3.5 [Computing Methodologies]: Computer Graphics Computational Geometry and Object Modeling

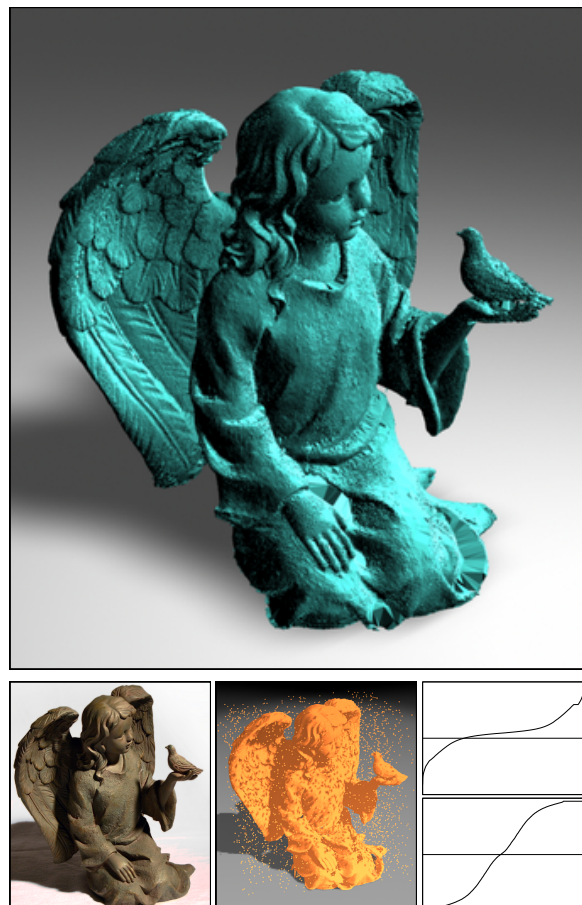
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## 1. Introduction

Laser range finders record the geometry of real-world three-dimensional objects in the form of point coordinates sampled from their surfaces, plus auxiliary information such as the laser's position. Surface reconstruction algorithms recreate geometric models from these data, expressed in a form more useful for applications such as rendering or simulation—for example, as a surface triangulation or as splines. Laser range finders are imperfect devices that invariably introduce at least two kinds of errors into the data they record: *measurement errors* (random or systematic) in the point coordinates, and *outliers*, which are spurious points far from the true surface. Furthermore, objects often have regions that are not accessible to scanning and so remain undersampled or unsampled. The point cloud in Figure 1 (bottom center) suffers from all the above.

When these problems are severe, data arise for which no algorithm can construct an accurate, consistent, watertight model of an object's surface solely by examining local regions of a point cloud independently. A successful algorithm must take a global view.

**Figure 1:** A watertight manifold surface triangulation reconstructed by our eigencrust algorithm; a photograph of the source object; the point cloud input to the algorithm, with 4,000 artificial random outliers; and the sorted components of the two eigenvectors used for the reconstruction. 2,008,414 input points; 12,926,063 tetrahedra; 3,605,096 output triangles; genus 14 (source object has genus 1); 437 minutes reconstruction time, including 13.5 minutes to tetrahedralize the point cloud, and 157 minutes and 265 minutes to compute the first and second eigenvectors, respectively.



Our innovation is to introduce the techniques of spectral partitioning and normalized cuts into surface reconstruction. These techniques are used heavily for tasks such as image segmentation and parallel sparse matrix arithmetic, where partitioning decisions based on a global view of an image or a matrix can outperform local optimization algorithms. Although the global optimization step makes our algorithm slower than many competitors, it reconstructs many models that other methods cannot.

The general technique we use to produce a surface from a point cloud is well known: compute the Delaunay tetrahedralization of the points, then label each tetrahedron inside or outside. (Recent advances in Delaunay software make it possible to tetrahedralize sets of tens of millions of points.) The output is a triangulated surface, composed of every triangular face where an inside tetrahedron meets an outside tetrahedron. This procedure guarantees that the output surface is *watertight*—it bounds a volume, and there is no route from the inside to the outside of the volume that does not pass through the surface. Watertight surfaces are important for applications such as rapid prototyping and volume mesh generation for finite element methods.

Our contribution is an algorithm for labeling the tetrahedra that is substantially more robust than previous methods. We call the surface it produces the *eigen crust*. The eigen crust algorithm creates a graph that represents the tetrahedra. A spectral partitioner slices it into two subgraphs, an inside subgraph and an outside subgraph. Because the spectral partitioner has a global view of the point set, it is effective at identifying the triangular faces that are most likely to lie at the interface between an object and the space around it.

## 2. Related work

There has been much work on reconstructing surfaces from point clouds. The idea of labeling each Delaunay tetrahedron inside or outside, then extracting a surface using the labels, appears in an early paper of Boissonnat [Boi84], and is also harnessed in the Tight Cocone algorithm of Dey and Goswami [DG03] and in the Powercrust algorithm of Amenta, Choi, and Kolluri [ACK01] (with cells of a power diagram replacing tetrahedra). In this paper, we compare implementations of the Tight Cocone and Powercrust algorithms with our eigen crust software.

A recent advance is the Robust Cocone algorithm of Dey and Goswami [DG04], a Delaunay-based reconstruction algorithm that is provably robust against small coordinate errors. Their algorithm is not robust against undersampling or outliers, and in fact is easily defeated by undersampling. We observe that a variant of our spectral algorithm could be used to help the Robust Cocone algorithm to label tetrahedra when the sample set is not dense enough for the Robust Cocone's original labeling algorithm to succeed. Unfortunately, we did not have sufficient time to obtain a Robust Cocone implementation for comparison in this paper.

Another branch of surface reconstruction algorithms are those that define a function over space whose zero set is a surface, which can be triangulated by techniques such as marching cubes [LC87]. These algorithms vary widely in how they compute the function. Hoppe, DeRose, Duchamp, McDonald, and Stuetzle [HDD\*92] provide one of the earliest algorithms, which locally estimates the signed distance function induced by the “true” surface being sampled. Bitar, Tsingos, and Gascuel [BTG95] use the medial axis of the point set to improve the speed of zero-set methods and their ability to reconstruct topologically complex objects. Curless and Levoy [CL96] developed an algorithm that is particularly effective for laser range data comprising billions of point samples, like the statue of David reconstructed by the Digital Michelangelo Project [LPC\*00]. A zero-set approach by Carr et al. [CBC\*01] adapts the radial basis function-fitting algorithm of Turk and O'Brien [TO99] to surface reconstruction. Ohtake, Belyaev, Alexa, Turk, and Seidel [OBA\*03] use a partition-of-unity method with a fast hierarchical evaluation scheme to compute surfaces for data sets with over a million points.

Closely related to the zero-set approaches are the level-set algorithms of Whitaker [Whi98] and Zhao, Osher, and Fedkiw [ZOF01]. Other important reconstruction algorithms are the mesh zipping algorithm of Turk and Levoy [TL94], Bernadini et al.'s [BMR\*99] ball-pivoting algorithm, and Kobbelt, Botsch, Schwanecke, and Seidel's [KBSS01] method for extracting surfaces from volumetric data, which includes effective methods of extracting sharp corners that are often missed by marching cubes.

Different branches of algorithms have different advantages. The main advantages of the Delaunay algorithms are the effortlessness with which they obtain watertight surfaces; the ease with which they adapt the density of the triangles to match the density of the points (unlike marching cubes), for models whose point density varies greatly from region to region; and the theoretical apparatus that makes it possible to prove the correctness of some of these reconstruction algorithms on well-sampled smooth surfaces [ABK98, AB99, AK00, ACDL02]. Here, we show that spectral partitioning can help make these methods robust for surfaces that are noisy or not sufficiently well-sampled for the theoretical guarantees to apply.

Spectral methods for partitioning graphs were introduced by Hall [Hal70] and Fiedler [Fie73] and popularized by Pothen, Simon, and Liou [PSL90]. They are used for tasks such as image segmentation, circuit layout, document clustering, and sparse matrix arithmetic on parallel computers. The goal of graph partitioning is to cut a graph into two subgraphs, each roughly half the size of the original graph, so that the total weight of the cut edges is small (each edge is assigned a numerical weight). There are many ways to formulate the graph partitioning problem, which differ in how they trade off the weight of the cut against the balance between the two subgraphs. Most graph partitioning formulations are NP-hard, so practical partitioning algorithms (in-

cluding spectral methods) are heuristics that try to find an approximate solution.

One of the most effective formulations of spectral partitioning is the *normalized cuts* criterion of Shi and Malik [SM00], which is particularly effective at trading off subgraph balance against cut weight. We make a simple modification to the Shi–Malik algorithm (closely related to a technique of Yu and Shi [YS01]) that greatly improves our surface reconstruction algorithm’s speed and the quality of the surfaces it produces.

### 3. Spectral Surface Reconstruction

We assume the reader is familiar with the notions of Delaunay triangulations and Voronoi diagrams in three dimensions, and with the geometric duality that maps each Delaunay tetrahedron to a Voronoi vertex and each Delaunay face to a Voronoi edge. See Fortune [For92] for an introduction.

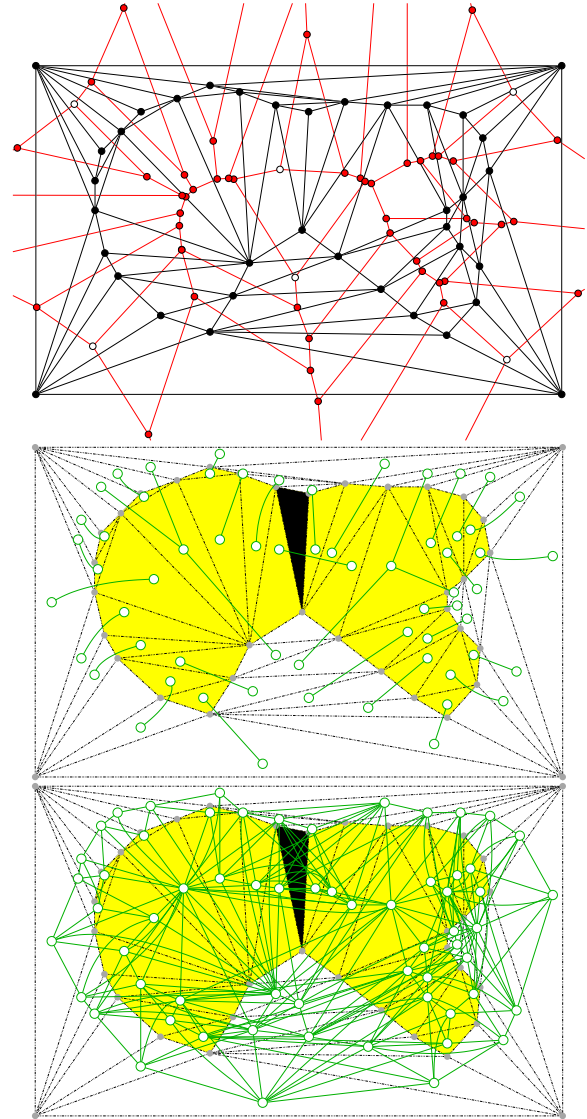
The eigencrust algorithm begins with a set  $S$  of sample points in space. Let  $S^+$  be the set  $S$  augmented with eight bounding box vertices, the corners of a large cube that encloses the sample points. (The width of the cube should be much greater than the diameter of  $S$ , so that no sample point lies near any side of the cube). Let  $T$  be the Delaunay tetrahedralization of  $S^+$ . Let  $Q$  be the Voronoi diagram of  $S^+$  (and the geometric dual of  $T$ ). For each tetrahedron  $t$  in the tetrahedralization  $T$ , there is a dual vertex  $v$  of the Voronoi diagram  $Q$ , and  $v$  is the center of the sphere that circumscribes  $t$ .

The goal is to label each tetrahedron—or equivalently, each Voronoi vertex—inside or outside. The eigencrust algorithm labels the Voronoi vertices in two stages. Each stage forms a graph and partitions it.

In the first stage, our algorithm labels a subset of the Voronoi vertices called the *poles*, following Amenta and Bern [AB99]. We form a graph  $G$ , called the *pole graph*, whose nodes represent the poles. See Figure 2 for a two-dimensional example. The edges of  $G$  are assigned numerical weights that reflect the likelihood that certain pairs of poles are on the same side of the unknown surface that we wish to reconstruct.

The graph  $G$  is represented by a *pole matrix*  $L$ . We partition the poles of  $G$  by finding the eigenvector  $x$  that corresponds to the smallest eigenvalue of a generalized eigensystem  $Lx = \lambda Dx$ , and using that eigenvector to cut the graph into two pieces, the inside and outside subgraphs. Thus we label each pole inside or outside.

In the second stage, we form another graph  $H$  whose nodes represent the Voronoi vertices that are *not* poles, and partition  $H$  to label all the Voronoi vertices (equivalently, the tetrahedra) that were not labeled in the first stage. The goal of the second stage is different from the goal of the first: the non-poles are somewhat ambiguous—most of them could arguably be either inside or outside—so the partitioner



**Figure 2:** *Top: the Delaunay triangulation (black) and Voronoi diagram (red) of a set of points sampled from a closed curve. Red Voronoi vertices are poles; white Voronoi vertices are not. Note that three-dimensional examples have many more non-pole Voronoi vertices than two-dimensional examples. Center: the negatively weighted edges of the pole graph  $G$  (green), before the bounding box triangles are collapsed into a single supernode. Yellow triangles are the duals of poles labeled inside by the first stage of spectral partitioning. The black triangle does not dualize to a pole; it is labeled inside by the second partitioning stage. Bottom: the positively weighted edges of  $G$ .*

tries to assign them labels that produce a relatively smooth surface with low genus.

Now all the Voronoi vertices have labels, so all the tetrahedra of  $T$  have labels. The eigencrust is a surface triangula-

tion consisting of every triangular face of  $T$  where an inside tetrahedron meets an outside tetrahedron. If the points in  $S$  are sampled densely enough from a simple closed surface, then the eigencrust approximates the surface well.

If all the tetrahedra adjoining a sample point are labeled outside (or all are inside), the point does not appear in the reconstructed surface triangulation. In Section 4, we see that this effect provides our algorithm with effective and automatic outlier removal. No other effort to identify outliers is required.

Why are the Voronoi vertices labeled in two separate stages? Because the non-poles are ambiguous, they tend to “glue” the inside and outside tetrahedra together. If they are included in the first partitioning stage, the graph partitioner is much less successful at choosing the right labels, and runs more slowly too. A two-stage procedure produces notably better and faster results.

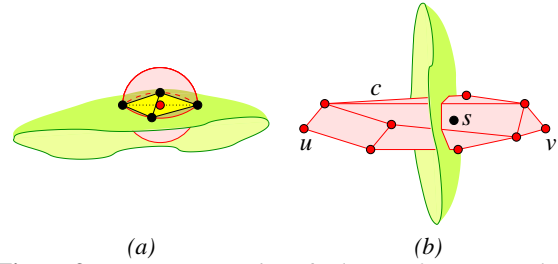
We have chosen the graphs’ edge weights (by trial and error) so the algorithm tries to emulate the provably correct Cocone algorithm of Amenta et al. [ACDL02] when there is neither noise nor outliers, and the sampling requirements of the Cocone algorithm are met. Our algorithm usually returns significantly different results only under conditions where the Cocone algorithm has no guarantee of success.

Our algorithm includes three optional steps. After the first partitioning stage, we can identify some tetrahedra that may be mislabeled due to noise, and remove their labels (so they are assigned new labels during the second stage). This step improves the resilience of our algorithm to measurement errors. After the second partitioning stage, we can convert the surface to a manifold (if it is not one already) by relabeling some inside tetrahedra outside. After the final surface recovery step, we can smooth the surface to make it more useful for rendering and simulation.

### 3.1. The Pole Graph

Imagine that we form a graph whose nodes represent the vertices of the Voronoi diagram  $Q$  (and their dual tetrahedra in  $T$ ), and whose edges are the edges of  $Q$  (omitting the edges that are infinite rays). Suppose we then assign appropriate weights to the edges, and partition the graph into inside and outside subgraphs.

Unfortunately, this choice leads to poor results. The main difficulty is that the Delaunay tetrahedralization  $T$  invariably includes flat tetrahedra that lie along the surface we are trying to recover, as Figure 3(a) illustrates. These tetrahedra are not eliminated by sampling a surface extremely finely; they are a natural occurrence in Delaunay tetrahedralizations. Many of them could be labeled inside or outside equally well. They cause trouble because they can form strong links with both the tetrahedra inside an object and the tetrahedra outside an object, and thus prevent a graph partitioner from finding an effective cut between the inside and outside tetrahedra.



**Figure 3:** (a) No matter how finely a surface is sampled, tetrahedra can appear whose circumscribing spheres are centered on or near the surface being recovered. (b) The Voronoi cell  $c$  of a sample point  $s$ . The poles of  $s$ —the Voronoi vertices  $u$  and  $v$ —typically lie on opposite sides of the surface being recovered, especially if the cell is long and thin.

To solve this problem, Amenta and Bern [AB99] identify special Voronoi vertices called *poles*. Poles are Voronoi vertices that are likely to lie near the medial axis of the surface being recovered. The Voronoi vertices whose duals are the troublesome flat tetrahedra are rarely poles, because the problem tetrahedra lie near the object surface, not near the medial axis.

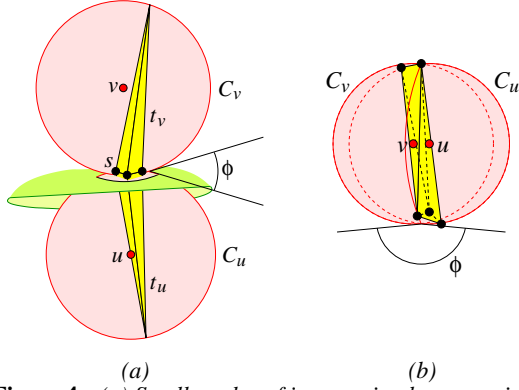
Each sample point  $s$  in  $S$  can have two poles. Let  $c$  be the Voronoi cell of  $s$  in  $Q$  (i.e. the region of space composed of all points that are as close or closer to  $s$  than to any other sample point in  $S^+$ ). See Figure 3(b) for an example. The Voronoi cell  $c$  is a convex polyhedron whose vertices are Voronoi vertices. It is easy to compute the vertices of  $c$ , because they are the centers of the circumscribing spheres of the tetrahedra in  $T$  that have  $s$  for a vertex.

Let  $u$  be the vertex of  $c$  furthest from  $s$ ;  $u$  is called a *pole* of  $s$ . Let  $v$  be the vertex of  $c$  furthest from  $s$  for which the angle  $\angle usv$  exceeds  $90^\circ$ ;  $v$  is also called a pole of  $s$ . Figure 3(b) illustrates the two poles of a typical sample point. The eight bounding box vertices in  $S^+$  are not considered to have poles. Let  $V$  be the set of all the poles of all the samples in  $S$ .

Amenta and Bern show that in the absence of noise, the tetrahedra that are the duals of the poles are likely to extend well into the interior or exterior of the object whose surface is being recovered. The tetrahedra whose duals are not poles often lie entirely near the surface, as Figure 3(a) shows, so it is ambiguous whether they are inside or outside the object.

The eigencrust algorithm identifies the set  $V$  of all poles, then constructs a sparse *pole graph*  $G = (V, E)$ . The set  $E$  of edges is defined as follows. For each sample  $s$  with poles  $u$  and  $v$ ,  $(u, v)$  is an edge in  $E$ . For each pair of samples  $s, s'$  such that  $(s, s')$  is an edge of the Delaunay tetrahedralization  $T$ , let  $u$  and  $v$  be the poles of  $s$ , and let  $u'$  and  $v'$  be the poles of  $s'$ ; then the edges  $(u, u')$ ,  $(u, v')$ ,  $(v, u')$ , and  $(v, v')$  are all edges of  $E$ . Every pole is the dual of a tetrahedron, so tetrahedra that adjoin each other are often linked together in  $G$ , whereas tetrahedra that are not close to each other are not linked.





**Figure 4:** (a) Small angles of intersection between circumscribing spheres may indicate that two tetrahedra are on opposite sides of the surface being recovered. (b) Large angles of intersection usually indicate that two tetrahedra are on the same side of the surface.

We assign edge weights in a heuristic manner based on several observations of Amenta and Kolluri [AK00]. If  $S$  is sampled sufficiently densely from a smooth surface, the Voronoi cells are long and thin, and the longest dimension of each cell is oriented roughly perpendicular to the surface, as Figure 3(b) depicts. Of course, point sets that arise in practice are often not sampled densely enough, but if a sample  $s$  has a long, thin Voronoi cell  $c$ , the likelihood is high that its poles  $u$  and  $v$  are on opposite sides of the surface. Therefore, we assign the edge  $(u, v)$  a negative weight, to indicate that if one of  $u$  or  $v$  is labeled inside, the other should probably be labeled outside.

Let  $t_u$  and  $t_v$  be the tetrahedra in  $T$  whose duals are  $u$  and  $v$ . Let  $C_u$  and  $C_v$  be the circumscribing spheres of  $t_u$  and  $t_v$ . The spheres  $C_u$  and  $C_v$  intersect at an angle  $\phi$ . Amenta and Kolluri show that if  $\phi$  is small, as illustrated in Figure 4(a), then  $c$  is quite long and thin, and the likelihood is high that  $t_u$  and  $t_v$  lie on opposite sides of the surface. If  $\phi$  is close to  $180^\circ$ , then  $c$  is relatively round, and it is unsafe to conclude that  $t_u$  and  $t_v$  lie on opposite sides. We assign  $(u, v)$  a weight of  $w_{u,v} = -e^{4+4\cos\phi}$ , so that  $w_{u,v}$  is most negative when  $\phi$  is closest to zero.

We assign positive weights to the other edges in  $E$ . These weights are the glue that hold proximal tetrahedra together and ensure that  $G$  is likely to be cut only near the original surface, where the glue is weakest. Let  $(u, v)$  be an edge of  $E$  that is not assigned a negative weight—thus, there is a Delaunay edge  $(s, s')$  for which  $u$  is a pole of  $s$  and  $v$  is a pole of  $s'$ , but there is no sample point  $s''$  whose poles are  $u$  and  $v$ . Again, let  $t_u$  and  $t_v$  be the tetrahedra that are dual to  $u$  and  $v$ , and let  $C_u$  and  $C_v$  be their circumscribing spheres, which intersect at an angle  $\phi$ . We assign  $(u, v)$  a weight of  $w_{u,v} = e^{4-4\cos\phi}$ . Amenta and Kolluri show that if  $\phi$  is close to  $180^\circ$ , as illustrated in Figure 4(b), then  $u$  and  $v$  are likely to lie on the same side of the surface, so we use a large, positive edge weight. If  $\phi$  is close to  $0^\circ$ , we choose a small edge weight, so that  $u$  and  $v$  are not strongly glued together.

It may occur that the spheres  $C_u$  and  $C_v$  do not intersect at all, in which case we remove the edge  $(u, v)$  from  $E$ .

We could partition the graph  $G$  directly, but we know *a priori* that certain tetrahedra must be labeled outside, and it is advantageous to fix their labels prior to the partitioning step. Let  $O$  be the set of poles whose dual tetrahedra are known to be outside the object being reconstructed. We take advantage of this information by forming a new graph  $G'$  that is similar to  $G$ , but the poles in  $O$  are collapsed into a single *supernode*  $z$ . If  $u$  and  $u'$  are poles in  $O$ , and  $(u, u')$  is an edge of  $G$ , the edge is eliminated (not present in  $G'$ ). If  $v$  is a pole in  $G$  that is not in  $O$ , then in the new graph  $G'$ , the edge  $(z, v)$  has weight  $w_{z,v} = \sum_{u \in O} w_{u,v}$ . Collapsing outside poles into a single supernode makes the spectral partitioner faster and more accurate.

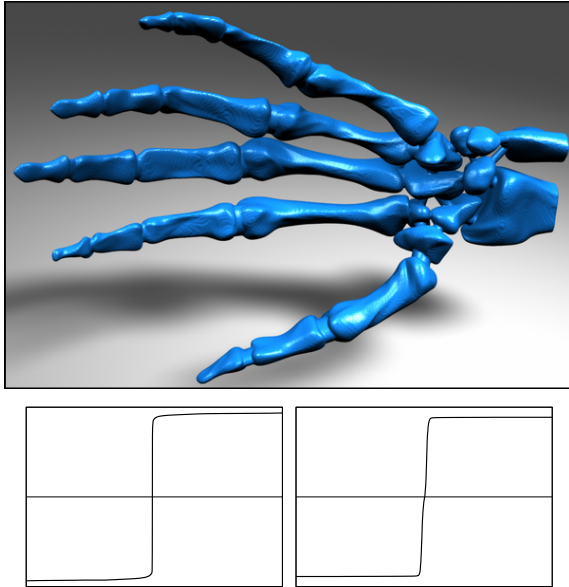
What poles does  $O$  contain? There are several types of tetrahedra that can be labeled outside prior to the partitioning step.

- Any tetrahedron with a vertex of the cubical bounding box must be outside.
- If the point samples were acquired by a laser range finder, the tetrahedra that lie between the laser source and any sample point it recorded must be outside. Of course, there may be measurement errors in the positions of the sample points and the laser source, so we recommend only labeling those tetrahedra that the laser penetrated more deeply than some tolerance depth, multiple times.
- For particularly difficult reconstructions, a user may visually identify specific points in space that are outside the object. The tetrahedra containing these points are labeled outside. Collapsing just one such tetrahedron into the outside supernode can change the labeling of many other tetrahedra, so this is occasionally a practical option. (No example in this paper takes advantage of this possibility.)

Optionally, the algorithm may create an inside supernode as well, with a large negative weight connecting the outside and inside supernodes. This is particularly useful for reconstructing one-sided building facades or other sets of sample points that do not represent closed volumes. For this purpose, the tetrahedra adjoining the front face of the bounding box are labeled outside, and the tetrahedra adjoining the back face are inside.

### 3.2. Spectral Partitioning

From the modified pole graph  $G'$ , we construct a *pole matrix*  $L$ . ( $L$  is often called the *Laplacian matrix*, but our use of negative weights makes that name a misnomer.)  $L$  is sparse and symmetric, and has one row and one column for each node of the graph  $G'$ . For each edge  $(v_i, v_j)$  of  $G'$  with weight  $w_{v_i, v_j}$ , the pole matrix  $L$  has the components  $L_{ij} = L_{ji} = -w_{v_i, v_j}$ . (Positive, “attractive” weights become negative matrix components, and negative, “repulsive” weights become positive matrix components.) The diagonal components of  $L$  are the row sums  $L_{ii} = \sum_{j \neq i} |L_{ij}|$ . The remaining components of  $L$



**Figure 5:** Watertight skeleton surface, and the sorted components of the eigenvectors computed during the two partitioning stages. The points are densely sampled from a smooth surface, so the eigenvectors are polarized. 327,323 input points; 2,334,597 tetrahedra; 654,596 output triangles; genus zero; 12.3 minutes reconstruction time, including 2.8 minutes for the tetrahedralization, and 5.1 minutes and 4.2 minutes for the eigenvectors.

(the off-diagonal components not represented by an edge of  $G'$ ) are zero. If  $G'$  is connected (which is always true in our application) and includes at least one edge with a negative weight,  $L$  is guaranteed to be positive definite.

The spectral analysis of a Laplacian matrix or pole matrix can be intuitively understood by analogy to the vibrational behavior of a system of masses and springs. Imagine that each node of  $G'$  represents a mass located in space, and that each edge represents a spring connecting two masses. Positive edge weights imply attractive forces, and negative edge weights imply repulsive forces. The eigensystem of  $L$  represents the transverse modes of vibration of the mass-and-spring system. The lowest-frequency modes give clues as to where the graph can be cut most effectively: the inside masses are usually found vibrating out of phase with the outside masses.

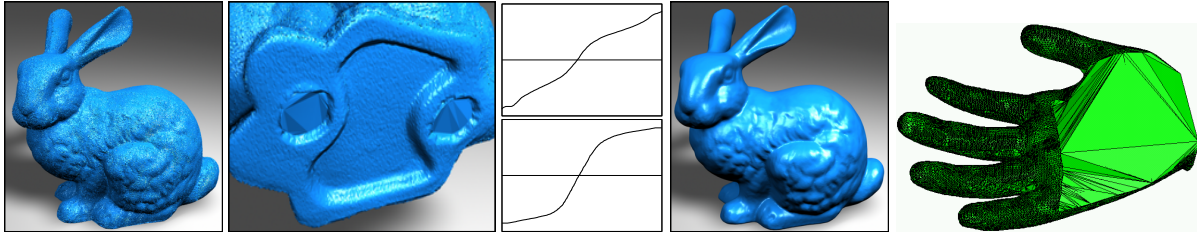
We take advantage of this observation by finding the eigenvector  $x$  associated with the smallest eigenvalue  $\lambda$  of the generalized eigensystem  $Lx = \lambda Dx$ , where  $D$  is a diagonal matrix whose diagonal is identical to that of  $L$ . Because  $L$  is sparse, we compute the eigenvector  $x$  using the iterative Lanczos algorithm [Lan50, PSL90]. Each component of the eigenvector  $x$  corresponds to one column of  $L$ , and therefore to one node of  $G'$ , to one pole of  $Q$ , and to one tetrahedron of  $T$ . (The exception is the component of  $x$  that corresponds to the supernode  $z$ .)

Figure 5 shows a reconstruction of a skeletal hand and the eigenvectors computed during the two partitioning stages. The components of the eigenvectors are sorted in increasing order. When our method is applied to noise-free point sets that are densely sampled from smooth surfaces, we find that the eigenvector  $x$  is relatively polarized: most of its components are clearly negative or clearly positive, with few components near zero. However, noisy models produce more ambiguous labels—see Figures 1, 6, and 8. One of the components of  $x$  corresponds to the outside supernode  $z$ . Suppose this component is positive; then the nodes of  $G'$  whose components are positive are labeled outside, and the nodes whose components are negative are labeled inside. (If the component corresponding to  $z$  is negative, reverse this labeling.)

This procedure differs from the usual formulation of normalized cuts [SM00] in one critical way. The standard normalized cuts algorithm does not use negative weights, so its Laplacian matrix  $L$  is positive indefinite—it has one eigenvalue of zero, with an associated eigenvector whose components are all 1. Therefore, the standard formulation uses the eigenvector associated with the second-smallest eigenvalue (called the *Fiedler vector*) to dictate the partition. Our pole graph has negative weights, our pole matrix is positive definite, and we use the eigenvector associated with the smallest eigenvalue to dictate the partition. Because the negative weights encode information about tetrahedra that are likely to be on opposite sides of a surface, we find that this formulation reconstructs better surfaces, and permits us to calculate the eigenvector much more quickly than normalized cuts in their standard form.

The Lanczos algorithm is an iterative solver which typically takes about  $O(\sqrt{n})$  iterations to converge, where  $n$  is the number of nodes in  $G'$ . ( $L$  is an  $n \times n$  matrix.) The convergence rate also depends on the distribution of eigenvalues of the generalized eigensystem, in a manner that is not simple to characterize and is not related to the condition number. The most expensive operation in a Lanczos iteration is matrix-vector multiplication, which takes  $O(n)$  time because  $L$  is sparse.

For undersampled and noisy models, the  $O(n\sqrt{n})$  running time is justified. Because spectral partitioning searches for a cut that is “good” from a global point of view, it elegantly patches regions that are undersampled or not sampled at all (see Figure 6). Measurement errors may muddy up the edge weights, but a good deal of noise must accumulate globally before the reconstruction is harmed (see Section 4). There are many faster surface reconstruction algorithms, and they are often preferable for clean models. But most algorithms are fooled by undersampling, outliers, and noise, and many leave holes in the reconstructed surface or make serious errors in deciding how to patch holes.



**Figure 6:** Left: the eigen crust reconstruction of the Stanford Bunny data (raw, unsmoothed point samples with natural noise but no outliers) patches two unsampled holes in the bottom of the bunny. The eigenvectors are less polarized than the eigenvectors in Figure 5, reflecting the labeling ambiguities due to measurement errors. Also illustrated is the bunny after Laplacian smoothing, described in Section 3.6. 362,272 input points; 2,283,480 tetrahedra; 679,360 output triangles; genus zero; 19.1 minutes reconstruction time, of which 17.5 minutes is spent computing the eigenvectors. Right: the eigen crust patches a large unsampled region of a model of a hand.

### 3.3. Correcting Questionable Poles

An optional step, strongly recommended for noisy models, removes labels whose accuracy is questionable, so that some poles will be relabeled during the second step. Most poles lie near the medial axis of the original object, and dualize to tetrahedra that extend deeply into the object's interior. However, random measurement errors in the sample point coordinates can create spurious poles that are closer to the surface than the medial axis. Fortunately, a spurious pole is usually easy to recognize: it dualizes to a small tetrahedron that is entirely near the object surface.

Laser range finders typically sample points on a square grid. Using the three-dimensional coordinates of those samples, we compute a grid spacing  $\ell$  equal to the median length of the diagonals of the grid squares. Any labeled tetrahedron whose longest edge is less than  $4\ell$  is suspicious, so we remove its label. The grid resolution is typically small compared to the object's features, so poles near the medial axis are unaffected.

We find that this step consistently leads to more accurate labeling of noisy models. It is unnecessary for smooth, noise-free models.

### 3.4. Labeling the Remaining Tetrahedra

The first partitioning stage labels each tetrahedron whose dual Voronoi vertex is a pole, and labels some of the other tetrahedra too (such as those touching the bounding box). Many tetrahedra with more ambiguous identities remain unlabeled. To label them, we construct and partition a second graph  $H$ . The goal of the second partitioning stage is to label the ambiguous tetrahedra in a manner that produces a relatively smooth surface of low genus.

The graph  $H$  has two supernodes, representing all the tetrahedra that were labeled inside and outside, respectively, during the first stage.  $H$  also has one node for each unlabeled tetrahedron. If two unlabeled tetrahedra share a triangular face, they are connected by an edge of  $H$ . If an unlabeled tetrahedron shares a face with a labeled tetrahedron, the former is connected by an edge to one of the supernodes.

We have tried a variety of ways of assigning weights to the edges of  $H$ . We obtained our best results (surfaces with the fewest handles) by choosing each weight to be the “aspect ratio” of the corresponding triangular face, defined as the face's longest edge length divided by its shortest edge length. These weights encourage the use of “nicely shaped” triangles in the final surface, and discourage the appearance of “skinny” triangles (whose large edge weights resist cutting).

$H$  has just one negative edge weight: an edge connecting the inside and outside supernodes, whose weight is the negation of the sum of all the other edge weights adjoining the supernodes. The negative edge ensures that the supernodes are assigned opposite signs in the eigenvector.

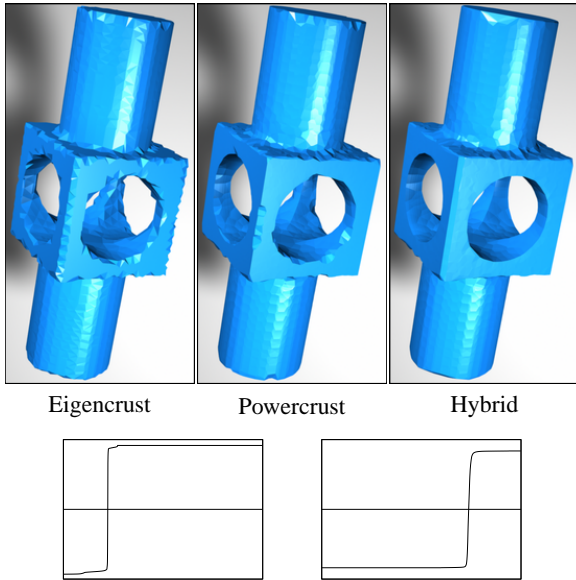
We partition  $H$  as described in Section 3.2. A tetrahedron is labeled inside if the corresponding value in the eigenvector has the same sign as the inside supernode, and vice versa.

As an alternative to this stage, the first partitioning stage can label power cells of the poles instead of labeling Delaunay tetrahedra—in other words, we replace the Powercrust's pole labeling algorithm [ACK01] with our spectral pole labeling algorithm. In the Powercrust algorithm, every power cell is the dual of a pole, so there is nothing left to label after the first partitioning stage.

Figure 7 shows that the eigen crust is poor at capturing sharp corners, and the Powercrust algorithm is much better, but the hybrid algorithm is even more effective. Spectral partitioning labels power cells better than the original Powercrust. The hybrid spectral Powercrust algorithm shares the Powercrust's advantage of recovering sharp corners well, but it also shares its disadvantage of increasing the number of vertices many-fold. The number of vertices in each model is 4,100, 52,078, and 51,069, respectively.

### 3.5. Constructing Manifolds

An optional heuristic step searches for local topological irregularities that prevent the reconstructed surface from being a manifold, and makes the surface a manifold by relabeling selected tetrahedra from inside to outside.



**Figure 7:** Reconstructions of a mechanical part by three algorithms. The eigencrust algorithm uses both eigenvectors, whereas the hybrid (spectral Powercrust) algorithm uses only the first.

These irregularities come in two types. First, consider any edge  $e$  of the Delaunay tetrahedralization  $T$ . The tetrahedra that have  $e$  for an edge form a ring around  $e$ . If the reconstructed surface is a manifold, there are three possibilities: the tetrahedra in the ring are all outside, they are all inside, or the ring can be divided into a contiguous strand of inside tetrahedra and a contiguous strand of outside tetrahedra. If the ring of tetrahedra around  $e$  do not follow any of these patterns—if there are two or more contiguous strands of inside tetrahedra in the ring—then we fix the irregularities by relabeling some of the tetrahedra from inside to outside so that only one contiguous strand of inside tetrahedra survives. The surviving strand is chosen so that it contains the inside tetrahedron that was assigned the largest absolute eigenvector component during the second partitioning stage.

The second type of irregularity involves any point sample  $s$  in  $S$ . If the reconstructed surface is a manifold, then the tetrahedra that have  $s$  for a vertex are either all outside, all inside, or divided into one face-connected block of outside tetrahedra and one face-connected block of inside tetrahedra. A topological irregularity at  $s$  may take the form of two inside tetrahedra that have  $s$  for a vertex, but are not connected to each other through a path of face-connected inside tetrahedra all having  $s$  for a vertex. In this case, the inside tetrahedra adjoining  $s$  can be divided into two or more face-connected components. Only one of these inside components survives; we relabel the others outside. The surviving component is the one that contains a pole of  $s$ . (In the unlikely case that there are two such components, choose one arbitrarily.)

It is also possible to have two or more face-connected

components of outside tetrahedra (and just one component of inside tetrahedra). Let  $W$  and  $X$  be two of them. We compute the shortest face-connected path from  $W$  to  $X$ , where the length of a path is defined to be the sum of the absolute eigenvector components of the inside tetrahedra on the path. The tetrahedra on the shortest path are relabeled outside.

These operations are repeated until no irregularity remains. The final surface is guaranteed to be a manifold. One can imagine that for a pathological model this procedure might whittle down the object to a few tetrahedra, but in practice it rarely takes an unjustifiably large bite out of an object.

### 3.6. Smoothing

Triangulated surfaces extracted from noisy models are bumpy. The final optional step is to use standard Laplacian smoothing [Her76] to remove the artifacts created by measurement errors in laser range finding, and to make the model more amenable to rendering and simulation. Laplacian smoothing visits each vertex in the triangulation in turn, and moves it to the centroid of its neighboring vertices. We performed five iterations of smoothing on the smoothed bunny and dragon in Figures 6 and 8.

## 4. Results

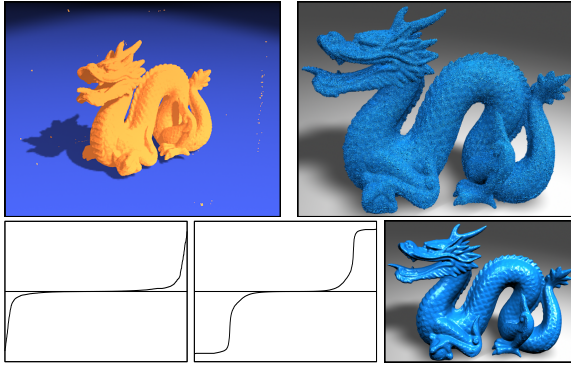
Our implementation uses our own Delaunay tetrahedralization software, and TRLAN, an implementation of the Lanczos algorithm by Kesheng Wu and Horst Simon of the National Energy Research Scientific Computing Center.

Figure 8 illustrates the performance of the spectral algorithm on the Stanford Dragon. The raw data exhibit random measurement errors and include natural outliers. Spectral reconstruction yields a watertight manifold surface, and removes all the outliers.

Figure 9 shows how several algorithms degrade as randomly generated outliers are added to the input data. Even 1,200 random outliers have no influence on the eigencrust except to affect how a hole at the base of the hand is patched. The Tight Cocone and Powercrust algorithms are incapacitated by relatively few outliers, although they correctly reconstruct models without outliers.

The fourth row of Figure 9 shows the degradation of several algorithms as increasing amounts of random Gaussian noise are added to the point coordinates of the Stanford Bunny, which already includes measurement errors. The expression under each reconstruction is the variance of the Gaussian distribution used to produce additional noise in each coordinate, expressed in terms of the grid spacing  $\ell$  defined in Section 3.3. The eigencrust remains a genus zero manifold when the added noise has variance  $2\ell$ , but begins to disintegrate as the measurement errors become notably larger than the resolution of the range data. With added noise of variance  $\ell$ , the Powercrust algorithm succeeds, but with variance  $2\ell$  the structure is full of holes. (It is a watertight surface, but what it bounds is Swiss cheese.) The Tight Co-





**Figure 8:** Eigencrust reconstruction of the Stanford Dragon model from raw data. The point cloud (upper left) has many outliers, which are automatically omitted from the spectrally recovered surface. 1,769,513 input points; 11,660,147 tetrahedra; 2,599,114 surface triangles; genus 1; 197 minutes reconstruction time.

cone algorithm can only cope with noise of less than 0.8% variance.

Figure 10 illustrates the three algorithms on a set of points densely sampled from the smooth splines of the Utah Teapot. The difficulties here are more subtle. The teapot's spout penetrates the body deeply enough to create an ambiguity that neither the Powercrust nor Tight Cocone algorithm solves correctly. The spectral reconstruction algorithm treats the interior spout sample points as outliers, and correctly omits them from the eigencrust.

The spectral algorithm is not infallible. It occasionally creates unwanted handles—thirteen on the angel. The global eigenvector computation is slow. Tetrahedron-labeling algorithms do not reconstruct sharp corners well—observe how the teapot adjoins its spout. This problem can sometimes be overcome by labeling power cells rather than tetrahedra, at the cost of much larger model complexity. Either way, however, spectral surface reconstruction is remarkably robust against noise, outliers, and undersampling.

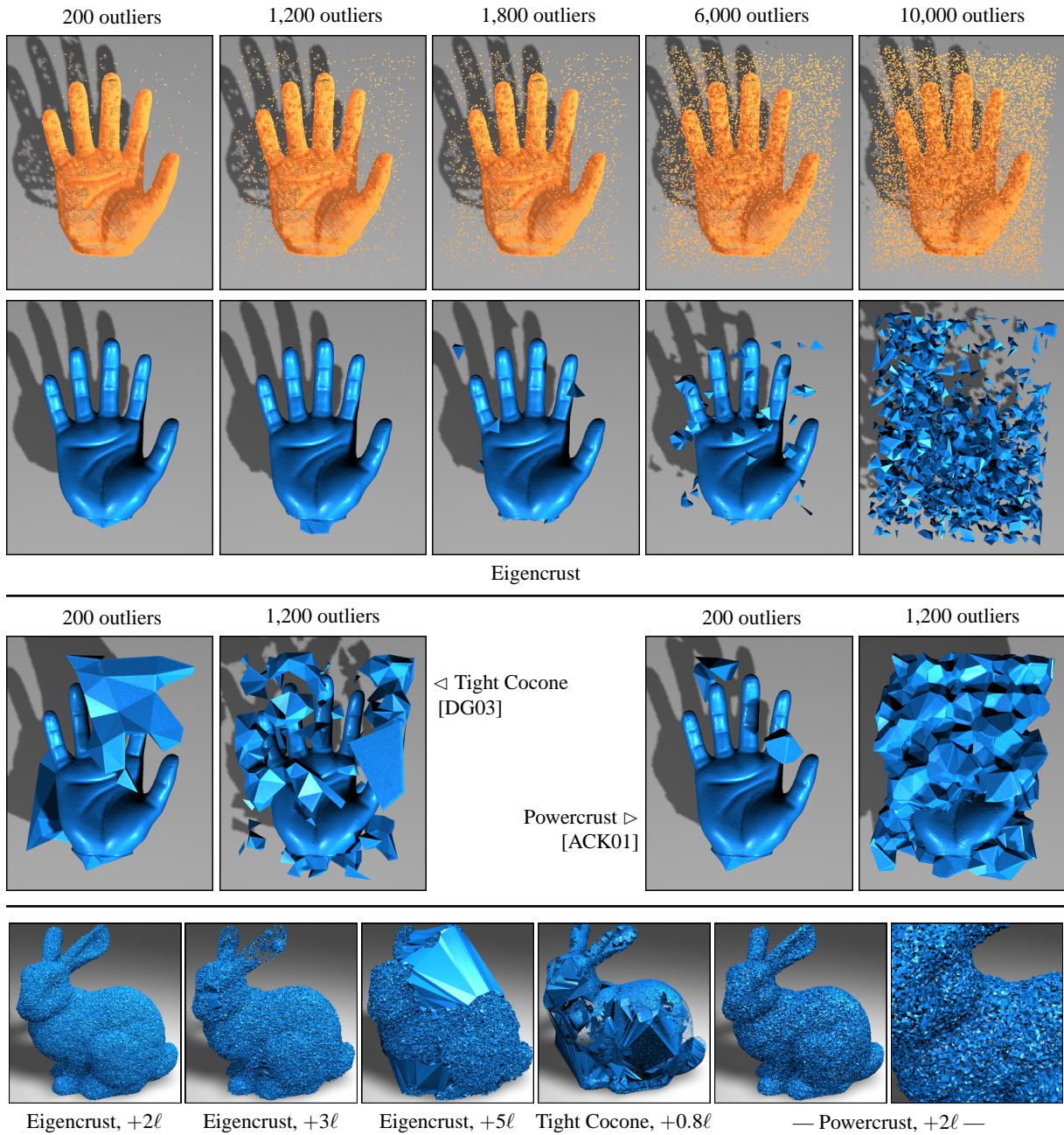
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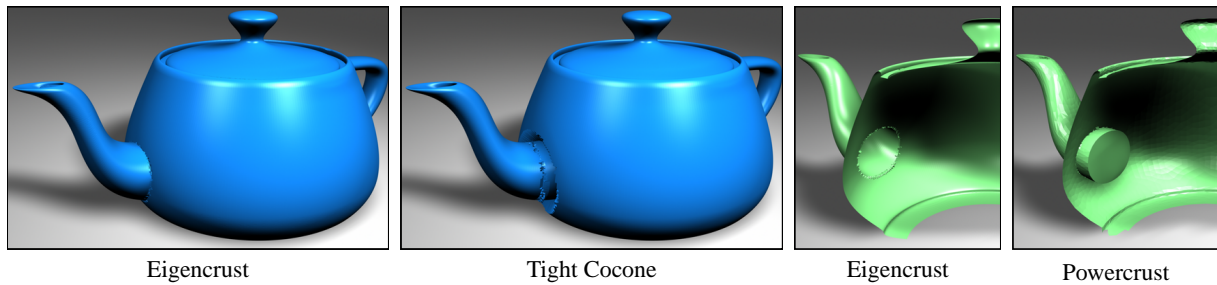
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**Figure 9:** Top row: 25,626 noise-free points plus randomly generated outliers. Second row: eigencrust reconstructions of this model maintain their integrity to 1,200 outliers, then begin to degrade. Third row: other Delaunay-based algorithms degrade much earlier. Fourth row: Stanford Bunny reconstructions from raw data, with natural noise plus added random Gaussian noise. The amount of random noise is expressed as the variance of the Gaussian noise added to each point coordinate, in terms of the grid spacing  $\ell$  defined in Section 3.3. The eigencrust maintains its integrity with  $+2\ell$  added noise; all the other bunny reconstructions here exhibit serious failures.



**Figure 10:** Reconstructions from 253,859 points sampled on the Utah Teapot. The spout's splines penetrate into the body of the teapot, causing difficulties for both the Powercrust and Tight Cocone algorithms. A cutaway view shows that Powercrust mislabels as outside a cluster of power cells where the spout enters the body. The spectral algorithm correctly identifies the same poles as inside.

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