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### Permalink

<https://escholarship.org/uc/item/6p94r9nx>

### Journal

Chinese Clinical Oncology, 4(1)

### ISSN

2304-3865

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### Publication Date

2015-03-01

### DOI

10.3978/j.issn.2304-3865.2015.02.01

Peer reviewed



Published in final edited form as:

*Chin Clin Oncol.* 2015 March ; 4(1): 11. doi:10.3978/j.issn.2304-3865.2015.02.01.

## New approaches in primary central nervous system lymphoma

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### Abstract

Primary central nervous system lymphoma (PCNSL) has long been associated with an inferior prognosis compared to other aggressive non-Hodgkin's lymphomas (NHLs). However, during the past 10 years an accumulation of clinical experience has demonstrated that long-term progression-free survival (PFS) can be attained in a major proportion of PCNSL patients who receive dose-intensive consolidation chemotherapy and avoid whole brain radiotherapy. One recent approach that has reproducibly demonstrated efficacy for newly diagnosed PCNSL patients is an immunochemotherapy combination regimen used during induction that consists of methotrexate, temozolomide, and rituximab followed by consolidative infusional etoposide plus high-dose cytarabine (EA), administered in first complete remission (CR). Other high-dose chemotherapy-based consolidative regimens have shown efficacy as well. Our goal in this review is to update principles of diagnosis and management as well as data regarding the molecular pathogenesis of PCNSL, information that may constitute a basis for development of more effective therapies required to make additional advances in this phenotype of aggressive NHL.

### Keywords

Non-Hodgkin's lymphoma (NHL); immunotherapy; brain tumors

### Introduction

While primary central nervous system lymphoma (PCNSL) represents approximately 3% of all brain tumors and 2–3% of all cases of non-Hodgkin's lymphomas (NHL), the overall incidence of this neoplasm may be increasing, particularly among persons age sixty-five years and older (1). The unique pathobiology of PCNSL is that of dissemination of aggressive NHL within the brain, cranial nerves, leptomeninges, cerebrospinal fluid (CSF), intraocular structures and spinal cord, without overt systemic disease (2,3).

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Disclosure: The authors declare no conflict of interest.

PCNSL has long been associated with an extremely poor prognosis (4). In the absence of prospective data, during the 1960's, hematologists and oncologists typically employed brain irradiation [whole brain irradiation (WBRT)] as the first intervention as a means to elicit immediate responses in patients faced with a rapidly deteriorating course. WBRT alone typically resulted in median survival for PCNSL patients of only 12 months. The next advance in the treatment of PCNSL was the recognition, in the 1970's, of the efficacy of high-dose methotrexate (HD-MTX), initially in the treatment of recurrent disease (5,6).

A number of recent phase I/II clinical trials have documented continued and dramatic improved outcomes in PCNSL. Our goal in this review therefore is to highlight key advances in our understanding of disease biology, diagnosis, staging and therapeutic management (7–12).

## Etiology

Established risk factors for CNS lymphomas, both primary and secondary, include acquired and/or congenital immunodeficiency states. PCNSL is an AIDS-defining illness associated with low CD4 cell count (<50 cells/L) and Epstein Barr virus (EBV). In systemic AIDS-related lymphomas, EBV infection of the tumor may be predictive of increased risk for secondary CNS involvement (13). Congenital immunodeficiency states such as severe-combined or common-variable immunodeficiency, ataxia-telangiectasia or Wiskott-Aldrich syndrome are associated with a ~4% risk of developing PCNSL. Post-transplant lymphoproliferative disorder (PTLD) involving the CNS develops in 1–2% of renal transplant recipients and 2–7% recipients of cardiac, lung and liver transplants. CNS PTLT is strongly associated with EBV in the setting of iatrogenic T-cell immunodeficiency induced by agents such as mycophenolate mofetil (Cell Cept) (14). Among PCNSL patients without evidence of immune suppression, EBV infection of the lymphoma is rare (15).

## Pathogenesis

PCNSL is a highly infiltrative neoplasm that has been characterized as a “whole brain disease” (16). In general, the radiographic appearance of the tumor markedly underestimates disease extent and burden, and like malignant gliomas, PCNSL is not amenable to curative resection (16). One of the distinguishing histologic features of PCNSL is that of angiocentricity or angiotropism; the accumulation of lymphoma cells around small and medium-sized blood vessels, a property that likely contributes to the disruption of the blood-brain barrier and enables visualization of lesions via pathologic contrast enhancement. PCNSL usually presents as a solitary mass, typically with vasogenic edema and mass effect. The frequency of multiple lesions is increased among immune suppressed patients (17).

Intraocular disease is a common manifestation of PCNSL: ~twenty percent of PCNSL patients present with involvement of the retina, vitreous, and uveal tract. An important principle is that what is initially appreciated to be localized intraocular lymphoma (IOL) will ultimately disseminate within the brain in greater than 80% of cases, and therefore, detection of IOL mandates staging of the neuroaxis including CSF evaluation and brain MRI as well as therapies that address this risk for brain involvement (18).

Approximately 95% of PCNSL are large B-cell lymphoma; other histologies that present as PCNSL include T-cell (2%) (19), lymphoblastic, Burkitt, and marginal zone lymphomas. PCNSL is distinguished from dural-based marginal zone lymphomas as these have a distinct pathogenesis, typically do not disseminate within the brain parenchyma, and share overlapping radiographic features on MRI with meningioma (20).

A number of investigations have recently focused on elucidation of the features of PCNSL, large cell type. Between 50% to 80% of PCNSL tumors express BCL6 by immunohistochemistry (21) and at least 95% stain positive for MUM-1; therefore the majority of PCNSL cases are of an activated B-cell immunophenotype of large-cell lymphoma (15). Immunohistochemical analysis of tumors evaluated in CALGB 50202 provided evidence that high BCL6 expression by PCNSL tumors may correlate with refractory disease and shorter progression-free and overall survival (12), thus representing a potentially useful molecular prognostic biomarker (Figure 1). Between 56–93% of PCNSL express BCL2 (15,21). Transcriptional profile studies of PCNSL identified several potential mediators of disease pathogenesis, including high *MYC* expression (22). Increased *MYC* in PCNSL was later confirmed in the recent CALGB 50202 study (12). High expression of miRNA's involved in the *MYC* pathway (23), as well as *MYC* translocations (24) have also been demonstrated in PCNSL. Given the reproducible evidence for distinct transcriptional features in PCNSL (22,25–27) as well as the fact that the disease requires treatment regimens that are distinct from its systemic counterpart, PCNSL is recognized as a distinct subtype of large B-cell lymphoma by the WHO (28).

Common genomic aberrations in PCNSL include losses on chromosome 6p21 that harbor loci for HLA (24,29,30) as well as broad deletions involving chromosome 6q. Candidate tumor suppressor genes linked to deleted loci on chromosome 6q include *PRDMI*, a tumor suppressor and regulator of B-cell differentiation (31), *PTPRK*, a protein tyrosine phosphatase that participates in cell adhesion signaling events (32), and *A20 (TNFAIP3)*, a regulator of NF $\kappa$ B signaling (33). Further evidence for the aberrant activation of NF $\kappa$ B pathways is supported by gain in DNA copy number for *MALT1* (34) as well as activating mutations of *CARD11* (35) and MyD88. The activating exchange of leucine to proline at position 265 of *MyD88* may be enriched in PCNSL and has been demonstrated to occur in between 38% to 50% of cases (36,37). In addition, *CD79B*, a component of the B-cell receptor signaling pathway, is mutated in an approximately 20% of cases, providing evidence that dysregulation of the B-cell receptor and NF $\kappa$ B to the pathogenesis of PCNSL (38). Silencing of the cell cycle regulator *CDKN2A* occurs in 50% of CNS lymphomas and is linked to inferior outcome (34,36).

The molecular basis for selective tropism and dissemination of lymphoma within the brain are biological questions that are fundamental to the pathogenesis of PCNSL. Expression of chemokines CXCL12 (SDF-1) and CXCL-13 (B-lymphocyte chemoattractant) within PCNSL tumors has been demonstrated (39–41) and chemotactic responsiveness to these molecules by CNS lymphoma tumor cells recently demonstrated, providing evidence for their role as neurotropic factors. Moreover, high CXCL-13 concentration in tumor-associated CSF correlates with adverse prognosis, supporting its role as a pro-survival factor in PCNSL. In addition, the determination of the CSF concentration of CXCL-13, as well as

IL-10, may be useful in facilitating diagnosis of CNS lymphoma; bivariate upregulated expression of each protein in CSF has diagnostic sensitivity at least two-fold greater than flow-cytometry and cytology. In a multicenter study that involved discovery and validation cohorts, the positive predictive value of bivariate elevation of IL-10 plus CXCL-13 in CSF was determined to be 95% in the identification of newly diagnosed HIV-negative PCNSL (42).

A number of studies have provided evidence that the JAK/STAT signaling pathway mediates pro-survival signals in PCNSL. Interleukin-4, a B-cell growth factor that mediates intracellular signals via JAK/STAT, is upregulated at the transcript and protein level within the vascular microenvironment in PCNSL tumors (22). Increased concentration of IL-10 (another first messenger in JAK/STAT signaling) is upregulated in the vitreous and CSF in PCNSL and, in independent studies, correlated with adverse prognosis (43,44). Finally, intratumoural *JAK1* transcripts are upregulated and JAK1 activation in PCNSL has been confirmed (22,45). Elevated *IL-10* expression plus activation of JAK/STAT signaling are consistent with aberrant activation of the MyD88 pathway in PCNSL (46) (Figure 2).

While under physiologic conditions, the brain is largely assumed to be an immunologically quiescent or “privileged site”, histopathologic evaluation of diagnostic specimens of PCNSL demonstrates a robust inflammatory response, with infiltrating reactive T-cells and activated macrophages. Of note, reactive, perivascular T-cell infiltrates in PCNSL may be predictive of a favorable outcome, supporting the development of immunotherapies that potentiate T-cell-mediated immune surveillance (47).

## Clinical presentation

Median age of PCNSL at diagnosis is 56 years with a male-to-female ratio of 1.2:1–1.7:1. Clinical presentation usually reflects the neuroanatomical location of the lesion(s). Greater than 60% of PCNSL patients present with cognitive, motor or constitutional symptoms; 20% with seizures(48). Leptomeningeal disease is confirmed in 15–20% (49). Thirty percent of PCNSL patients note visual symptoms that may be attributed to IOL, heralded by non-specific symptoms of blurred vision, decreased acuity, floaters, eye pain, and photophobia, typically with binocular involvement. At presentation, the pace of neurologic decline is variable; some patients complain of chronic visual symptoms that antedate the diagnosis by years, while for others, disease progression is aggressive. Notably, in a recent series of patients with rapid neurological decline who presented for diagnostic brain biopsy, the most frequent diagnosis was PCNSL (48).

## Diagnostic and staging evaluation of the patient with neurological symptoms

Because patients with PCNSL/IOL commonly present with a variety of nonspecific and/or subtle neurologic symptoms, establishing the diagnosis may be protracted and it is not uncommon for the interval between first onset of disease signs to extend months-to-years before a diagnosis is established. The cornerstone of diagnostic testing in suspected PCNSL is MRI-based examination of the brain, with gadolinium contrast. In 95% of PCNSL, there

is pathologic enhancement that localizes homogeneously to dominant tumor masses. Radiographic evidence of necrosis is rare and is one of the radiographic features that can help to distinguish CNS lymphoma from glioblastoma. Among the immunocompetent, lesions are solitary in 65% of PCNSL patients and multifocal in 35%. Involvement of the brain hemispheres is the most common localization (38%) followed by thalamus and basal ganglia (16%), corpus callosum and related structures (14%) periventricular loci (12%) and the cerebellum (9%) (50).

Glucocorticoids typically induce a rapid improvement in symptoms, and radiographic responses in at least 40% of patients, steroid-induced responses also increase the risk of a non-diagnostic vitreal or brain biopsy (51). Steroid-induced diagnostic delays may extend for several months, and on occasion, steroid-induced regressions of sentinel lesions may delay diagnosis of PCNSL or IOL for years (52). It is therefore important to emphasize that empiric glucocorticoids such as dexamethasone be tapered rapidly, or not administered until a diagnosis is established. If PCNSL is confirmed, steroids need to be tapered and discontinued as quickly as possible, unless there is symptomatic and/or life-threatening tumor-associated mass effect.

The standard diagnostic approach for PCNSL is stereotactic brain biopsy; in selected cases, a subtotal resection, may be appropriate if deemed to be safe. Flow-cytometric or cytologic analysis of meningeal lymphoma cells isolated from CSF or vitrectomy may also yield the diagnosis. Notably, while flow-cytometry has increased diagnostic yield relative to cytology, CSF needs to be efficiently processed for studies designed to identify, in most cases, a kappa or lambda-restricted B-cell lymphoma. In our experience, repeated CSF cytological or flow-cytometric studies infrequently improve diagnostic yield, supporting development of innovative diagnostic methods based upon proteomics or analysis of non-coding RNA's in PCNSL (43,53,54).

Given that approximately 80% of patients with IOL will exhibit CNS dissemination, magnetic resonance imaging of the brain with gadolinium is indicated in the work-up of idiopathic uveitis in which lymphoma is a diagnostic consideration. Additional staging tests for IOL include fluorescence angiography and optical coherent as well as determination of intraocular concentrations of IL-10 and IL-6 may also be useful adjuncts to diagnosis (55).

Staging evaluation for the patient with presumptive PCNSL includes complete ophthalmologic examination including slit lamp. Systemic staging is also indicated, given that between 4–12% of patients with presumptive PCNSL manifest extra-CNS disease (56). Therefore, body imaging via contrast-enhanced computed tomography (CT) of the chest, abdomen and pelvis, as well as bone marrow biopsy are standard; the value of positron emission tomography imaging in the staging evaluation of PCNSL has not been established (57), but may nevertheless be useful in evaluation of possible concomitant testicular involvement. Serological testing for HIV, hepatitis C and B, plus measurement of serum LDH are standard baseline tests (58).

## Clinical prognostic determinants in PCNSL

The International Extranodal Lymphoma Study Group (IELSG) identified five clinical variables that correlate with prognosis in PCNSL, three are shared with systemic NHL: elevated LDH, age greater than 60, and Eastern Cooperative Group performance status greater than 1; parameters specific to PCNSL include elevated CSF protein as well as tumor location within the deep regions of the brain (periventricular, basal ganglia, brainstem and/or cerebellum). The presence of 0–1, 2–3, or 4–5 adverse risk factors correlates with 2-year survival rates of 80%, 48% or 15% (59). Notably, age has historically been considered to be the most reliable clinical prognostic factor, however there is disagreement regarding the age cut-point at which prognosis declines. While the IELSG considered age 60 years to be the cutpoint above which prognosis declines, the Memorial Sloan-Kettering (MSK) prognostic index employs a cutpoint of age 50 (60). Notably, in CALGB 50202, which evaluated intensive immunochemotherapy followed by high-dose consolidation, without WBRT, outcomes for PCNSL patients older than 60 was similar to younger patients, a result that suggests that the optimal cutpoint for age as a prognostic variable is strongly linked to the delayed effects of treatment (10,12).

## Principles of management in PCNSL

### Surgery: biopsy vs. resection

Until recently, most authorities have recommended against neurosurgical resection of PCNSL, based upon the scant evidence that surgical cytoreduction provides no survival benefit compared to biopsy and increases the risk of post-operative neurologic deficit (61,62). Analysis of the results of the German PCNSL SG-1 trial provided the first evidence that aggressive resection of PCNSL at diagnosis correlated with improved progression-free survival (PFS) (63). In our experience, safe resection of lesions often provides immediate relief of mass effect, facilitates glucocorticoid taper, and theoretically eliminates drug-resistant tumor clones, without contributing to neurologic deficits, particularly when performed using modern neurosurgical mapping techniques.

### Whole brain irradiation (WBRT) in PCNSL

The impact of WBRT in the treatment of PCNSL is compromised by at least three important problems: (I) inadequate local control of lymphoma; (II) dissemination of radiographically-occult lymphoma cells outside of the radiation port; (III) long-term deleterious effects of radiation on normal brain function. In one study, the use of WBRT as the sole intervention in PCNSL yielded a median survival of only 11.6 months, and greater than 60% of patients experienced lymphoma progression within the irradiated field (64). The archetypical features of late, delayed neurotoxicity caused by WBRT of PCNSL include incontinence, gait and memory disturbances-toxicities that are most evident in patients older than 60. The majority of PCNSL survivors that exhibit late-delayed neurotoxicity caused by WBRT ultimately require custodial care (65). While in preliminary studies, lower doses of WBRT were associated with neurotoxicity that is barely discernable (66). additional validation of these results are necessary, and, given the established deleterious neurocognitive effects of prophylactic cranial irradiation at 30 Gy (67), it is plausible that delayed neurotoxicity



secondary to WBRT is a continuous variable in terms of its relationship to dose. Importantly, a recent update demonstrated that PCNSL patients older than 60 that are treated with low-dose WBRT (23.4 Gy) as consolidation experienced markedly inferior outcome in PFS compared to patients younger than 60 (68). Given the rising incidence in PCNSL in older patients, these results substantiate the need for innovative strategies that defer or eliminate WBRT as therapy in PCNSL.

### **Induction chemotherapeutic strategies in PCNSL**

The feasibility and efficacy of HD-MTX in CNS lymphomas was established in the 1970's (5,6) and led to its incorporation more broadly in induction and salvage regimens. Remarkably, use of HD-MTX has been identified in multivariate analysis as the most important treatment-related prognostic variable related to survival in CNS lymphomas (69).

Nevertheless, the optimal dose of methotrexate has not been defined. Based upon our experience it is clear that systemic doses greater than or equal to 1 gm/m<sup>2</sup> mediate lymphocytotoxic effects within brain parenchyma, in agreement with other investigators (6). In a landmark study, Glantz and colleagues demonstrated that intravenous methotrexate administered at 8 g/m<sup>2</sup> over four hours yielded higher cytotoxic levels of methotrexate (greater than 1 micro Molar), in serum and CSF compared to intrathecal methotrexate (12 mg) at 48 and 72 hours (70). Also, investigators at the MSK Cancer Center demonstrated that elimination of intrathecal methotrexate during initial therapy for PCNSL did not affect outcome, as long as patients received HD-MTX at doses of 3.5 gm/m<sup>2</sup> (71). In summary, these important studies indicate that high-dose intravenous methotrexate, administered every two weeks for a minimum of six cycles, can be used to treat large cell lymphoma within brain and leptomeningeal compartments, without intrathecal therapy (10). Among the many therapeutic issues in PCNSL yet to be resolved is the question of what is the optimal number of cycles of HD-MTX administered during induction. Given the evidence that greater than four cycles of methotrexate are necessary to obtain an effective remission before dose-intensive consolidation (72), our protocol is administer eight cycles of HD-MTX during induction, assuming a complete remission (CR) is attained by cycle six. An emerging area of translational medicine that is relevant concerns recent insights into the expression and activity of efflux transporters at the blood brain barrier, such as ABCG2 and ABCC4 as these molecular pumps jointly contribute to methotrexate removal from the CNS (73).

### **Prevention and management of high dose methotrexate (HD-MTX) toxicity**

It is important for the hematologist/oncologist to be educated in management of the potential toxicities of HD-MTX, in particular methotrexate nephropathy, caused by precipitation of methotrexate and its metabolite 7-OH-methotrexate within renal tubules. Measures to prevent this life-threatening complication include vigorous hydration, urine alkalization, and avoidance of agents that interact with HD-MTX such as derivatives of penicillin. Third-space effusions must be drained before methotrexate administration, with serial monitoring of serum methotrexate plus leukovorin rescue started at 24 hours. Elevated serum creatinine at 48 hours may be a useful surrogate for delayed methotrexate clearance (74). Delayed methotrexate excretion mandates continued alkalization and hydration as well as escalated leukovorin dosing. Additional interventions for delayed methotrexate clearance include



carboxypeptidase-G2 (CPDG2, glucarpidase), a recombinant enzyme that reduces toxic serum methotrexate within 15 minutes, via direct hydrolysis of methotrexate (75), thus mitigating the toxic and potentially life-threatening effects of methotrexate on organ function (76).

### **Combined-modality regimens**

Combined modality therapy for PCNSL was pioneered by DeAngelis and colleagues at MSK Cancer Center and consisted of HD-MTX plus procarbazine and vincristine, followed by WBRT and high-dose cytarabine. Evaluation of this approach in a multicenter RTOG trial demonstrated a median PFS of 24 months (77) (Table 1). Because of this encouraging efficacy, combined-modality therapy became a widely adopted approach for PCNSL (78,79). In a multicenter randomized phase II study, Ferreri and colleagues evaluated HD-MTX-based induction, minus or plus high-dose cytarabine followed by consolidative WBRT: the median failure-free survival in patients receiving HD-Ara-C in combination with HD-MTX was eight months; in contrast, the median failure-free survival of patients treated with HD-MTX without cytarabine, was only four months (80). In the SG-1 randomized trial involving 551 PCNSL patients in which half the subjects received WBRT as first-line consolidation, Thiel and colleagues provided strong evidence that omission of WBRT from first-line chemotherapy did not impact survival. While the investigators could demonstrate a modestly favorable effect of WBRT on PFS after methotrexate-based induction, this did not translate into improved overall survival, likely to a significant degree, attributable to the severe neurotoxicity detected in nearly half of patients in the radiotherapy arm (81).

### **Dose-intensive chemotherapy consolidation**

Given the recognition of the inadequate efficacy as well as severe neurotoxicity associated with WBRT, there has been significant interest in the role of high-dose chemotherapeutic consolidation, including autologous stem cell rescue, in the treatment of PCNSL, at diagnosis as well as in the relapsed setting. Regimens that contain CNS penetrant agents such as carmustine, thiotepa, cyclophosphamide, busulfan, high-dose cytarabine and etoposide are associated with the best results (7,9,82,83) (Table 2). In one study, results obtained using the BEAM combination (carmustine, etoposide, cytarabine and melphalan) followed by autologous stem cell rescue did not appear promising, however in this trial a significant proportion of patients had unsatisfactory disease control before receipt of myeloablative therapy, possibly because of the abbreviated induction used in this trial (72).

In a French study, Soussain and colleagues evaluated dose-intensive chemotherapy and autologous stem cell transplant in recurrent CNS lymphomas and IOL. These investigators also noted that the drug combination of high-dose cytarabine plus etoposide constituted a highly potent salvage regimen for relapsed/refractory CNS lymphomas: 12 of 14 patients attained responses, eight of these were complete responses (82). Responding patients received a myeloablative regimen consisting of thiotepa, busulfan and cyclophosphamide followed by stem cell rescue.

Beginning in 2001, investigators at the University of California, San Francisco (UCSF), began to evaluate dose-intensive chemotherapy as first-line consolidation, without WBRT,

after induction immunochemotherapy in newly-diagnosed PCNSL. We developed a two-step regimen: the induction phase uses HD-MTX given every two weeks with oral temozolomide and rituximab (MT-R). Methotrexate is administered at 8 g/m<sup>2</sup> with appropriate dose reductions and leucovorin rescue day 2. Intravenous rituximab is administered starting day 3, and weekly for six infusions, an interval during which the blood-brain barrier may be most compromised by angiotropic lymphoma (86). A recent retrospective study also supports the incorporation of rituximab with HD-MTX in the treatment of newly-diagnosed patients with PCNSL in that patients in this series that were treated with high dose methotrexate plus rituximab had a markedly superior rate of CR and PFS compared to patients treated with HD-MTX as monotherapy (CR rate 73% vs. 36%; median PFS 4.5 vs. 26.7 months) (87). Temozolomide is a lipophilic alkylating agent that has activity at relapse in CNS lymphoma, alone and in combination with rituximab (88–90). Importantly, temozolomide is associated with a superior health-related quality of life and toxicity characteristics compared to procarbazine (91,92). Temozolomide is administered on a monthly schedule in a five-day course, beginning days 7–11. To consolidate response after induction MT-R, PCNSL patients received intensive consolidation with non-cross-resistant agents with the EA combination: 96-hour infusional etoposide plus eight doses of cytarabine at 2 gm/m<sup>2</sup> (93–95). Notably, infusional etoposide is incorporated within the EPOCH regimen (etoposide, doxorubicin, cyclophosphamide, vincristine and prednisone), which is active against large B-cell lymphoma (96,97). A number of studies provide evidence for the activity of etoposide in brain tumours, including CNS lymphoid leukemia (98). Notably, when given in combination with CHOP in patients with aggressive lymphoma, etoposide was associated with a reduced risk of secondary CNS lymphoma (99).

A key goal of the two-step MT-R EA program was to develop an induction regimen that incorporates an alkylator, temozolomide (89) as well as rituximab (100), and yet causes minimal myelosuppression, to enable minimal treatment delays during the first weeks of treatment, the interval at which maximal lymphoma cytoreduction is achieved. Long-term follow-up of the first cohort of PCNSL patients that were treated with this regimen demonstrates that combination EA is highly effective as consolidation after MT-R in newly diagnosed PCNSL (10). Of the first fourteen PCNSL patients that received MT-R followed by EA consolidation, twelve remain in remission, with a median follow-up of greater than 72 months. Based on encouraging institutional phase I data, the MT-R plus EA regimen was evaluated in CALGB 50202, which provided the first evidence for the multicenter feasibility of high-dose chemotherapy in newly-diagnosed PCNSL. The rate of complete response to MT-R induction in CALGB 50202 was 66% and the 2-year PFS was 59%, which thus far has not been surpassed in a multicenter study involving chemotherapy without brain radiotherapy in PCNSL. Moreover, the median time to progression of all 50202 patients, 4 years, is two times longer than achieved with combined-modality therapy in multicenter trials using standard-dose WBRT and appears to compare favorably to reduced-dose WBRT (77,81). Other key results of the CALGB 50202 study include the fact that outcomes for PCNSL patients were similar for patients older than 60 compared to younger patients (12) (Table 1, Figure 3) and the observation that the PFS curves reached a stable plateau, supporting the hypothesis that long-term survival can be achieved in PCNSL without whole brain radiotherapy.

Based upon the encouraging results of CALGB 50202, a successor randomized phase II trial, CALGB 51101, has been activated in the United States and endorsed by the major cooperative groups: Alliance, ECOG and SWOG. In CALGB 51101, after upfront randomization and remission induction therapy with MT-R, patients receive either consolidation with EA or myeloablative therapy and stem cell transplant with carmustine plus thiotepa (7). Like CALGB 51101, the European MATRIX/IELSG43 randomized trial is also comparing high-dose consolidation chemotherapy with conventional consolidative chemotherapy (dexamethasone, VP16, ifosfamide and carboplatin) (Table 3).

## Neurocognitive function

Given the recent progress in outcomes in PCNSL, the issue of treatment-related neurotoxicity among survivors merits evaluation. While there is evidence that reduced-dose brain radiotherapy may be associated with milder cognitive dysfunction among PCNSL survivors compared to standard-dose WBRT (66), reduced doses of WBRT as consolidation are associated with impairments of verbal memory and motor speed. By contrast, PCNSL patients treated with HD-MTX without consolidative WBRT do not appear to exhibit comparably severe cognitive dysfunction as determined by post-treatment neuropsychological testing; nevertheless PCNSL patients treated with HD-MTX without WBRT nevertheless score lower than normative control subjects in evaluations of motor speed, selective attention, executive function, delayed recall and verbal learning (101). Given that PCNSL is a highly-infiltrative brain tumor that is associated with a spectrum of neurologic symptoms, the determination of whether impairments of neurologic function are caused by lymphoma or are the consequence of delayed neurotoxicity of agents such as methotrexate remains a major challenge.

## Treatment of recurrent CNS lymphomas

Several studies from Europe have demonstrated that dose-intensive chemotherapy with autologous stem cell transplant is an attractive option in the management of relapsed CNS and IOL (8,82,84). Recently, the Berlin group presented their experience using a salvage regimen consisting of HD-MTX-based chemotherapy in combination with other CNS-penetrant agents, ifosfamide, thiotepa, cytarabine and decycyt, followed by myeloablative therapy (carmustine, etoposide, thiotepa) and stem cell transplant. This approach yielded a 2-year PFS rate of 49% (9). A key consideration in treatment of recurrent CNS lymphomas is whether the lymphoma is methotrexate-sensitive. In the setting of recurrent disease that is methotrexate-sensitive, our approach is to administer additional cycles of HD-MTX, to achieve maximal cytoreduction, (six-to-eight cycles), followed by dose-intensive chemotherapy consolidation using non cross-resistant, CNS penetrant agents such as thiotepa (9,85,102). High-dose carmustine-based therapy without thiotepa has also been studied (83) (Table 1).

For CNS lymphomas that have progressed within six months of dose-intensive chemotherapeutic consolidation, second-line high-dose salvage may not be a reasonable option. Such patients may be managed with additional HD-MTX, pemetrexed (103) WBRT or investigational agents.

## Rituximab in CNS lymphomas

Because the blood-brain barrier excludes molecules that exceed 400 daltons, it is not surprising that most studies report that less than 1 % of systemic rituximab penetrates the leptomeningeal space (104). While rituximab has become a cornerstone of therapy in systemic B-cell NHL, a number of studies demonstrated that the addition of rituximab to CHOP may not significantly decrease the rate of CNS recurrence of systemic large B-cell lymphoma compared to CHOP alone (105–107). Nevertheless, intravenous rituximab can induce responses of contrast-enhancing lesions in CNS lymphoma, likely in lesions in which there is substantial disruption of the blood-brain barrier (100).

## Intraventricular rituximab in CNS Lymphomas

We recently evaluated the safety and efficacy of intraventricular rituximab, both as monotherapy and in combination with intraventricular methotrexate in the setting of two phase I multicenter trials involving patients with recurrent CNS lymphomas. These studies demonstrated that, when diluted in preservative-free normal saline and administered into ventricular CSF, 10 and 25 mg doses of rituximab are well-tolerated and elicit responses within leptomeninges, intraocular compartments and in small parenchymal lesions. The efficacy of intraventricular rituximab was additive or synergistic with methotrexate. One of the key findings was that intraventricular rituximab/methotrexate was particularly active in patients with a high burden of leptomeningeal lymphoma. These studies also suggested that intraventricular rituximab overcomes the problem of the blood-brain barrier, in that CSF responses were documented in patients with baseline serum rituximab concentrations greater than 15 g/mL. Notably, two patients achieved a first complete response of CNS lymphoma with intraventricular rituximab/methotrexate, including one with CNS lymphoma refractory to high-dose systemic and intrathecal methotrexate plus 20 previous intravenous rituximab infusions (108,109). An important mechanistic explanation for the rapid efficacy of intraventricular rituximab is provided by the recent demonstration of activation of the complement cascade at the level of C3 as well as the C5b-9 membrane attack complex within CSF upon intra-CSF rituximab administration, as well as pharmacokinetic data that suggests penetration of rituximab into deep neural tissue (110) (Figure 4).

Given the evidence for activity of rituximab in CNS lymphomas, as monotherapy and in combination with methotrexate-based induction (111), a number of protocols now incorporate this anti-CD20 monoclonal antibody as a component of induction therapy in PCNSL. While several studies demonstrate its activity at relapse, intraventricular rituximab remains investigational and the combination of intraventricular plus intravenous rituximab with oral lenalidomide for recurrent CNS lymphomas is currently being studied in phase I investigation (NCT01542918).

## Treatment of intraocular lymphoma (IOL)

Most cases of IOL involve large B-cell NHL, and are classified as either primary vitreoretinal lymphoma (PVRL) or uveal lymphomas; these are divided into primary neoplasms of the choroid, iris and ciliary body, or secondary choroidal lymphomas in

patients with disseminated systemic NHL. Importantly, between 65% to 90% of patients with PVRL ultimately disseminate throughout the neuroaxis, usually within 30 months. Conversely, IOL impacts between 15–25% of patients with PCNSL.

Therapies for PVRL can be divided into local approaches such as ocular radiation or intravitreal therapy vs. systemic chemotherapy. External beam radiotherapy using opposed lateral beams to the eyes, is well tolerated, and yields low rates of local recurrence. Common complications of ocular radiotherapy are mild and include dry eye, cataracts and mild radiation retinopathy (112). Intravitreal methotrexate and rituximab may be useful in the management of unilateral disease or prior ocular radiation (113,114). Treatment-related complications of intravitreal methotrexate include vitreous hemorrhage, endophthalmitis, retinal detachment and hypotony (115). Systemic therapeutic options for IOL include HD-MTX (116), high-dose cytarabine and trofasfamide (117). Notably, in PVRL, the administration of HD-MTX plus binocular irradiation provides local disease control and also addresses the probability of subclinical disease throughout the neuroaxis (118). Our approach to patients with primary IOL and/or concomitant PCNSL with IOL usually involves three steps: (I) HD-MTX-based induction (MT-R, if the disease is CD20+); (II) dose-intensive consolidation as used in CALGB 50202 (EA); (III) binocular but not WBRT if there is persistence and/or recurrence of isolated IOL after completion of dose-intensive chemotherapy consolidation.

### **PCNSL in the immunocompromised host**

While HIV-associated PCNSL declined in incidence with advent of highly-active antiretroviral therapy (HAART), PCNSL continues to be a significant AIDS-defining illness that can represent a major therapeutic challenge. Feasibility and efficacy of HD-MTX in HIV-associated PCNSL has been demonstrated (119). Similarly, in the setting of CNS PTLD, reconstitution of immune function is a first principle in management, and can be achieved by reduction in immunosuppression. HD-MTX may be effective but its implementation needs to be balanced with risk of allograft failure (120). Rituximab is also active in the CNS complications of PTLD, via intravenous as well as intrathecal administration (121).

### **Conclusions and future directions**

Over the course of the past half-century the hematology/oncology community has made significant progress in the treatment of PCNSL, an aggressive variant of large B-cell lymphoma. We can now anticipate that between 40–50% of PCNSL patients will exhibit long-term survival and a significant proportion may be cured. It is likely that the next five years of clinical trials will focus on optimization of interventions based upon high-dose chemotherapy.

At least 40–50% of PCNSL patients will develop disease refractory to the established armamentarium of agents, it is now imperative that additional studies explore the potential efficacy of selective agents that target candidate resistance mechanisms in high-risk PCNSL patients. For example, pharmacologic agents that evaluate disruption of pathways involving

the B-cell receptor, JAK-STAT, toll-like receptor, mTOR, and PIM kinases should be considered high priority in early phase investigation in PCNSL. Another key target is MUM-1/IRF-4, targeted by the IMiD category of small molecule agents such as lenalidomide or pomalidomide, currently under evaluation in PCNSL in early phase clinical trials (122). Elucidation of a molecular prognostic index for risk-adapted therapies in PCNSL is also a key goal for research in this field. Transformative advances are required in PCNSL given its predilection for an aging population that cannot tolerate dose-intensive chemotherapy or WBRT.

## Acknowledgments

*Funding:* James L. Rubenstein receives research funding from Celgene and Genentech for a phase I clinical trial. This work was supported by the National Institutes of Health, University of California San Francisco-Gladstone Institute of Virology & Immunology Center for AIDS Research (P30 AI027763), NIH R01CA139-83-01A1, and by the Leukemia & Lymphoma Society (JLR).

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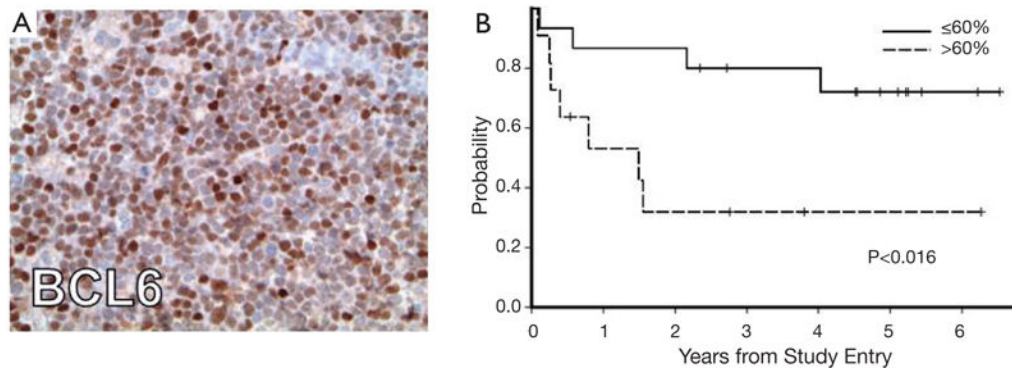
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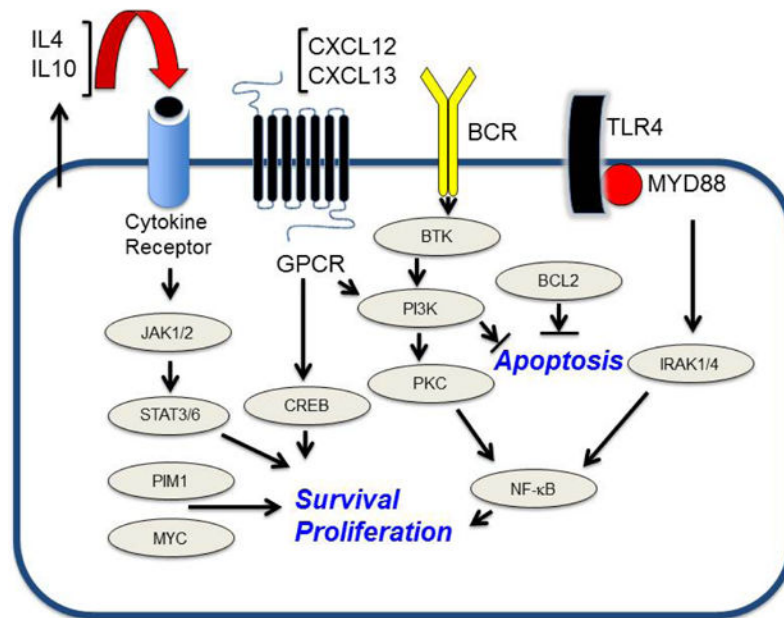
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**Figure 1.**

BCL6 expression is associated with shorter progression-free and overall survival in PCNSL patients treated in the CALGB 50202 study. (A) Strong nuclear BCL6 expression in a PCNSL case from patient treated on study (40× magnification); (B) high BCL6 expression (60% of lymphoma nuclei) was associated with shorter progression-free survival ( $P<0.016$ ). High BCL6 was also associated with shorter overall survival ( $P<0.009$ ).

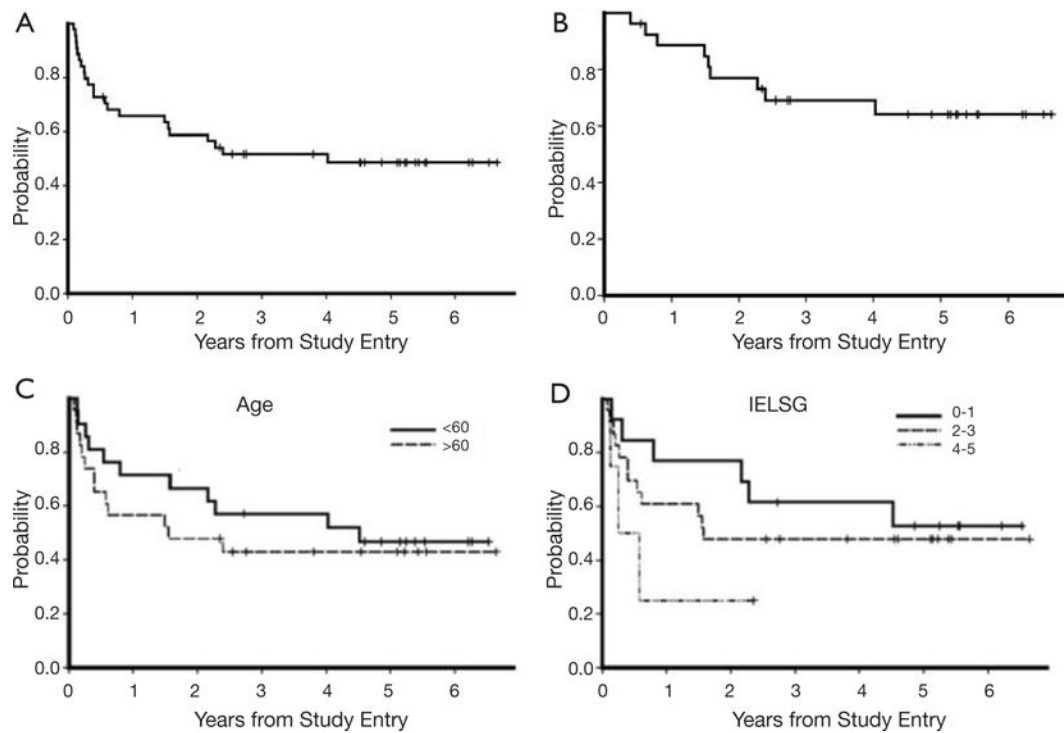




**Figure 2.**

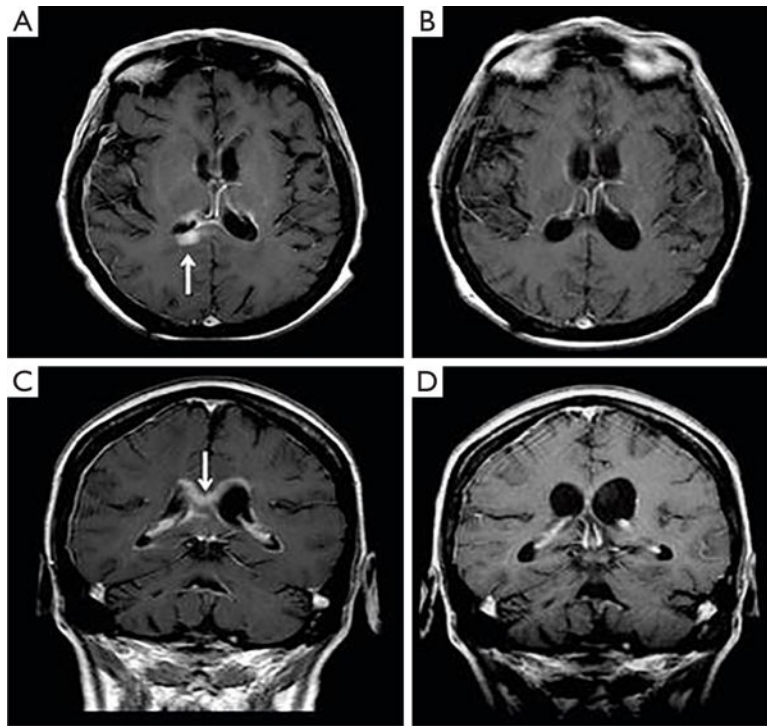
Oncogenic survival signaling components in PCNSL. Activation of the TLR/MYD88 pathway may directly contribute to pro-survival signaling directly via NFκB as well as via the enhanced production of IL-10 which itself contributes to survival signals via the JAK/STAT pathway. GPCR, G protein-coupled receptor.





**Figure 3.**

Outcomes with intensive chemotherapy and immunotherapy in newly-diagnosed PCNSL, without WBRT: CALGB (Alliance) 50202. (A) Outcome for all 50,202 patients; y-axis refers to probability of event, PFS for all patients, the 2-year PFS was 59%; (B) PFS for patients who attained a complete response with MT-R induction and received EA consolidation (n=27); (C) PFS was similar for patients age >60 (n=23) and for younger patients (n=21; P=0.48); (D) there was a trend between shorter PFS and highest IELSG risk score of 4–5 (P=0.16). PFS, progression-free survival. Reprinted with permission from (12).



**Figure 4.** Treatment of lymphomatous meningitis with intraventricular rituximab. Example of brain parenchymal response in a patient with refractory CNS lymphoma who was treated with intraventricular rituximab at the 25 mg dose level in combination with intrathecal methotrexate (12 mg). (A) and (C) are baseline; (B) and (D) are after 4 weeks of intraventricular therapy. Reprinted with permission from (109).

**Table 1**

Trials in PCNSL that resulted in progression-free survival 2 years

Regimen	Reference	No. Pts	Median PFS	Median OS
MTX 2.5 g/m <sup>2</sup> , PCB, Vinc, IT-MTX, WBRT	DeAngelis <i>et al.</i> (77)	98	24	37
MTX 8 g/m <sup>2</sup> , TMZ, Ritux, Etop, Ara-C	Wieduwilt <i>et al.</i> (10)	31	24	66
MTX 8 g/m <sup>2</sup> , TMZ, Ritux, Etop, Ara-C	Rubenstein <i>et al.</i> (12)	44	48	NR
MTX 3.5 g/m <sup>2</sup> , Ritux, PCB, Ara-C, rd-WBRT	Morris <i>et al.</i> (68)	52	39	79

MTX, methotrexate; Pcb, procarbazine; Vinc, vincristine; IT-MTX, intrathecal methotrexate; WBRT, whole brain radiotherapy; TMZ, temozolomide; ritux, rituximab; Etop, etoposide; Ara-C, cytarabine; rd-WBRT, reduced dose whole brain radiotherapy; NR, not reached.

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**Table 2**

## Dose-intensive consolidative regimens in PCNSL

<b>Intensive consolidation regimen</b>	<b>Reference</b>
Cyclophosphamide, carmustine, etoposide	Alvarnas <i>et al.</i> (83)
Thiotepa, busulfan, cyclophosphamide	Soussain <i>et al.</i> (82,84); Cote <i>et al.</i> (85)
Carmustine, Thiotepa	Illerhaus <i>et al.</i> (7)
Infusional etoposide, high-dose cytarabine	Wieduwilt <i>et al.</i> (10); Rubenstein <i>et al.</i> (12)
Carmustine, thiotepa, etoposide	Korfel <i>et al.</i> (9)

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**Table 3**

Recent and active randomized controlled trials for PCNSL

<b>Trial</b>	<b>Regimen</b>	<b>Status</b>
G-PCNSL-SG1	HD-MTX-based induction +/- WBRT consolidation	Thiel <i>et al.</i> (81)
IELSG-20	HD-MTX +/- HD-Ara-C- > WBRT consolidation	Ferreri <i>et al.</i> (80)
IELSG-32	Myeloablative vs. WBRT consolidation	Accrual complete
Alliance 51101	Intensive vs. myeloablative consolidation	Active
PRECIS	Myeloablative vs. WBRT consolidation	Active
Matrix/IELSG43	Intensive vs. myeloablative consolidation	Active

CALGB (Alliance) 51101 compares dose-intensive consolidation with infusional etoposide plus high-dose cytarabine (EA) with high-dose chemotherapy (BCNU plus thiotepa), supported by autologous stem cell transplant (7). The MATRIX/IELSG43 evaluates high-dose chemotherapy, BCNU plus thiotepa supported by autologous stem cell transplant in comparison to a dose-intensive consolidation regimen consisting of dexamethasone, etoposide, carboplatin and ifosfamide). HD-MTX, high-dose methotrexate; WBRT, whole brain radiotherapy; Ara-C, cytarabine.