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Genetic Variation in Antioxidant Enzymes, Cigarette Smoking and Longitudinal Change in Lung Function

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Abstract

Rationale—Antioxidant enzymes play an important role in the defense against oxidative stress in the lung and in the pathogenesis of chronic obstructive pulmonary disease (COPD). Sequence variation in genes encoding antioxidant enzymes may alter susceptibility to COPD by affecting longitudinal change in lung function in adults.

Methods—We genotyped 384 sequence variants in 56 candidate genes in 1,281 African-American and 1,794 European-American elderly adults of the Health, Aging, and Body Composition study. Single-marker associations and gene-by-smoking interactions with rate of

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Study Approval and Participant Consent: The Health ABC study was approved by Institutional Review Boards at the University of Pittsburgh (Pittsburgh, PA) and the University of Tennessee (Memphis, TN) and participants provided written informed consent for the study. The Institutional Review Board at Cornell University (Ithaca, NY) approved the current study.

Contributor Statement: WT, ARB and PAC designed this study and TH, SK, ABN, DCB, and BM designed the Health ABC study. WT and PAC analyzed data and wrote the manuscript. All authors reviewed and approved the final manuscript.

change in FEV₁ and FEV₁/FVC were evaluated using linear mixed effects models, stratified by race/ethnicity.

Results—In European-Americans, rs17883901 in *GCLC* was statistically significantly associated with rate of change in FEV₁/FVC; the recessive genotype (*TT*) was associated with a 0.9% per year steeper decline ($P = 4.50 \times 10^{-5}$). Statistically significant gene-by-smoking interactions were observed for variants in two genes in European-Americans: the minor allele of rs2297765 in *mGST3* attenuated the accelerated decline in FEV₁/FVC in smokers by 0.45% per year ($P = 1.13 \times 10^{-4}$); for participants with greater baseline smoking pack-years, the minor allele of rs2073192 in *IDH3B* was associated with an accelerated decline in FEV₁/FVC ($P = 2.10 \times 10^{-4}$). For both genes, nominally significant interactions ($P < 0.01$) were observed at the gene-level in African-Americans ($P = 0.007$ and 4.60×10^{-4} , respectively). Nominally significant evidence of association was observed for variants in *SOD3* and *GLRX2* in multiple analyses.

Conclusions—This study identifies two novel genes associated with longitudinal lung function phenotypes in both African- and European-Americans, and confirms a prior finding for *GCLC*. These findings suggest novel mechanisms and molecular targets for future research and advance the understanding of genetic determinants of lung function and COPD risk.

Keywords

Antioxidant enzymes; cigarette smoking; gene by environment interaction; genetic association; longitudinal change; lung function; oxidative stress

INTRODUCTION

Lung function is an important predictor of morbidity and mortality in the general population [1]. Spirometric measures of lung function, such as forced expiratory volume in the first second (FEV₁) and the ratio of FEV₁/forced vital capacity (FEV₁/FVC) are easily measured and reliable indicators of the physiological state of the lungs and airways and provide the basis for diagnosing and staging chronic obstructive pulmonary disease (COPD) [2]. Decline in lung function occurs naturally with aging, but accelerated decline can be caused by exposures such as cigarette smoking and can lead to low lung function that characterizes COPD [3, 4]. Therefore, longitudinal changes in lung function are informative predictors of COPD risk, and studies of these outcomes provide important insights for understanding disease pathogenesis [3–6].

The imbalance between chronic oxidative stress and antioxidant protection is postulated to play a key role in accelerated lung function loss [7, 8]. Cigarette smoke, a major source of exogenous oxidants, exposes the lung to elevated levels of oxidative stress, whereas dietary antioxidants and endogenous antioxidant enzymes are the two major forms of antioxidant defense that counteract these processes. The observation that only a subset of smokers develop COPD [9] and that a substantial proportion of COPD cases cannot be explained by smoking [10] led to the hypothesis that dietary intake of antioxidants and genetic variation in genes encoding antioxidant enzymes both play an important role in modifying antioxidant defense against cigarette smoke in the lung with ultimate effects on COPD risk.

In support of this hypothesis, observational epidemiologic studies have provided evidence of a positive association between dietary antioxidant intake and lung function, with stronger effects in cigarette smokers [11–15]. Genetic variation in antioxidant enzymes has also been studied in candidate gene association studies using population data, but published studies have limitations [16]. First, most studies considered only limited numbers of candidate genes, leaving many biologically relevant genes unstudied. Second, very few studies considered longitudinal lung function phenotypes [17–19]. Third, despite compelling evidence for their importance [20, 21], gene-by-smoking interactions are rarely investigated. Finally, very few studies include individuals of non-European ancestry, limiting inference to individuals of European descent. While recent, large-scale genome-wide association studies (GWAS) of lung function phenotypes together identified numerous novel genetic loci, these studies are limited in that they only consider European ancestry and cross-sectional phenotypes [22–24].

We hypothesized that common single nucleotide polymorphisms (SNPs) in genes encoding antioxidant enzymes affect longitudinal decline in lung function. We further hypothesized that gene-by-smoking interactions are present such that some genetic variants affect lung function decline contingent on exposure to cigarette smoke. To investigate these hypotheses, we selected 56 candidate genes that either had putative functional relevance to antioxidant defense in the lung or were previously investigated in relation to COPD-related phenotypes. Functional and tagging SNPs in these genes were genotyped and tested for single-marker associations and gene-by-smoking interactions with rate of change in FEV₁ and FEV₁/FVC in a population of African-American and European-American elderly adults from the Health, Aging, and Body Composition (Health ABC) study.

MATERIAL AND METHODS

Subjects

The Health ABC study is a longitudinal, prospective cohort study comprising 1,281 African-American and 1,794 European-American community-dwelling men and women, aged 70–79 years at baseline (1996–1997) and residing in the metropolitan areas of Pittsburgh, PA and Memphis, TN [25]. Participants reported self-proclaimed race initially as “Black” or “White”, but the terms “African-American” and “European-American” are used herein. To be eligible, participants were required to be ambulatory at baseline as confirmed by self-report of no difficulty walking one-quarter of a mile or climbing 10 steps without resting, no difficulty performing basic activities of daily living, and no use of a cane, walker, crutches or other special equipment to ambulate. In addition, participants were required to have no history of active treatment for cancer in the prior 3 years, and no plan to move out of the area in the subsequent 3 years. The Health ABC study was approved by the Institutional Review Boards of the University of Pittsburgh and the University of Tennessee, and the work reported herein was approved by the Institutional Review Board for Human Participants at Cornell University.

Pulmonary Function Testing

Spirometry was completed at four time points (baseline, years 4, 7 and 9) in accordance with standardized guidelines of the American Thoracic Society (ATS), as previously reported [25]. The study used a horizontal, dry rolling seal HF6 Spirometer (Sensor Medics Corporation, Yorba Linda, CA, USA) during clinical visits, and the EasyOne Model 2001 diagnostic spirometer (nidd Medizintechnik AG, Zurich, Switzerland) during home visits starting in year 8. The two devices were evaluated for comparability and provided virtually identical values. Consistent with the quality control standard used in recent lung function GWAS [22–24], all FEV₁ (ml) and FEV₁/FVC (%) measures meeting the ATS criteria for acceptability were included in the current study.

Cigarette Smoking

Participants were classified based on their long-term smoking status during the study follow-up as: (1) never smokers (never smoker at all spirometry time points), who were considered as the reference group in analyses, (2) persistent smokers (current smoker at all time points), (3) former smokers (former smoker at all time points), and (4) intermittent smokers (changing smoking status at different time points). Lifetime smoking dose was quantified as pack-years and calculated at study baseline for current and former smokers.

Candidate Gene Selection and Genotyping

Based on a previous systematic review of genetic association studies and gene expression studies investigating antioxidant enzymes and COPD-related phenotypes [16], we identified 56 candidate genes encoding antioxidant enzymes known to be expressed in lung tissue and postulated to affect the balance of antioxidants/oxidants. 384 functional and tagging SNPs were selected to capture variation across each gene and its regulatory regions (2 kilobases upstream and downstream). Details of the SNP selection strategy are provided elsewhere [26]. Separate consideration was given to African-Americans and European-Americans in SNP selection to maximize coverage in both populations, given differences in linkage disequilibrium (LD) structure and allele frequencies. Details of DNA extraction and genotyping quality, which were excellent, are provided elsewhere [26].

Four genes (*GGT2*, *GSTK1*, *GSTM1*, and *GSTT1*) were excluded from subsequent analyses due to low genotyping quality or atypical clustering of assayed SNPs. For the remaining SNPs with successful genotyping, Hardy-Weinberg equilibrium (HWE) was tested using the chi-squared goodness-of-fit test, stratified by race. After removing SNPs with genotyping call rate < 95%, minor allele frequency (MAF) < 1%, or p-value < 0.005 for the HWE test, the study included 314 SNPs in 52 genes in the African-American analyses and 284 SNPs in the same 52 genes in the European-American analyses (Supplementary Table 1).

Statistical Analysis

Linear mixed effects models were used to investigate single-marker associations and gene-by-smoking interactions with rate of change in FEV₁ and rate of change in FEV₁/FVC; all analyses were stratified by race/ethnicity. A continuous time variable quantified the time elapsed between each spirometry test and the study baseline. Random intercept and time

effects were included at the individual level to differentiate between- and within-individual variation. All models were adjusted for gender, study site, height at each time point, age and smoking pack-years (both at study baseline), smoking status and smoking status \times time. To address potential confounding by population substructure, the first two principal component variables for genetic ancestry [27] (computed separately by race/ethnicity; based on data from GWAS completed in Health ABC) were included in all models.

Single-marker associations with change in pulmonary function were tested by evaluating the product term of SNP \times time. Gene-by-smoking interactions were tested by evaluating the three-way product term of SNP \times smoking \times time. Two smoking variables, smoking status during follow-up and baseline smoking pack-years, were tested separately for interactions, with smoking status during follow-up collapsed into two categories, as follows: smokers (persistent + intermittent) and non-smokers (former + never, which comprised the reference group).

Each SNP was coded by the minor allele and analyzed using an additive genetic model. SNPs with a nominal $P < 0.05$ were further tested using the dominant and recessive genetic models to refine estimates of the underlying genetic effect. The effect estimates for the genetic model with the most significant association were reported. To maintain statistical validity, we presented findings only for SNPs with a participant count ≥ 10 for the least frequent genotype category in the single-marker analyses and for the least frequent genotype-smoking status category in the interaction analyses.

In genetic association studies, the risk of false positives must be minimized without ruling out true associations. GWAS-scale multiple testing adjustments are not appropriate for the hypothesis-based investigation of candidate genes reported herein. Given the presence of LD among analyzed SNPs, we controlled for multiple testing using a Bonferroni adjustment based on the effective number of independent tests (M_{eff}) [28, 29]. M_{eff} was computed based on the correlation matrix of genotypes of all analyzed SNPs, and then used in a Bonferroni adjustment at the experiment-wise α level of 0.05. Given the difference in LD patterns, the adjustment was performed separately for each race/ethnicity. For African-Americans ($M_{\text{eff}} = 223$), the Bonferroni-corrected significance threshold was $P < 2.3 \times 10^{-4}$; for European-Americans ($M_{\text{eff}} = 171$), the analogous threshold of $P < 3.0 \times 10^{-4}$ was used. In addition, nominally significant associations were defined using $P < 0.005$ for single-marker analyses and $P < 0.01$ for gene-by-smoking interaction analyses.

All statistical analyses were conducted using SAS software version 9.1 (SAS Institute, Cary, NC, USA). LD in the Health ABC population was evaluated using Haploview 4.2 [30].

RESULTS

Population Characteristics

After exclusion for missing covariate data, 1,022 African-Americans with 2,432 FEV₁ measurements and 1,487 European-Americans with 4,157 FEV₁ measurements were included in the FEV₁ analysis (Table 1). Similarly, 979 African-Americans with 2,244

FEV₁/FVC measurements and 1,469 European-Americans with 4,018 FEV₁/FVC measurements were included in the FEV₁/FVC analysis.

We observed statistically significant annual decline in FEV₁ and statistically significant annual decline in FEV₁/FVC in both African-Americans and European-Americans (Table 2). For never smokers, the estimated rate of decline in FEV₁/FVC was about 0.5% per year in both African-Americans and European-Americans, while the estimated annual decline in FEV₁ was greater in European-Americans (about 40 versus 32 ml per year). In general, the effects of smoking on lung function were stronger in European-Americans compared to African-Americans, consistent with greater smoking doses observed in the former group. Thus, for FEV₁/FVC, while persistent and intermittent smokers had significantly faster declines in both groups compared to never smokers, the effect size of persistent smoking in European-Americans was about twice that in African-Americans. While the difference in FEV₁/FVC decline between former smokers and never smokers was not significant in African-Americans, it was borderline significant in European-Americans. Similar patterns were observed for annual decline in FEV₁, although not all associations were statistically significant; the P value for the persistent smoking association was 0.06, and all associations followed expectations for magnitude and size of effect.

Single-Marker Associations

Three genes showed nominal evidence of associations with rate of change in FEV₁ in African-Americans and one gene was associated with FEV₁ decline in European-Americans, although no associations survived the adjustment for multiple testing (Table 3). However, a SNP in *superoxide dismutase 3 (SOD3)*, rs8192287, was marginally statistically significantly associated with a 20 ml per year faster decline in FEV₁ per copy of the minor allele (*T*) in African-Americans ($P = 2.45 \times 10^{-4}$).

Four genes were nominally associated with rate of change in FEV₁/FVC in African-Americans (Table 4). The most statistically significant association, which did not pass the Bonferroni-adjusted threshold, was for a *glutaredoxin 2 (GLRX2)* SNP, rs35358794; each copy of the minor allele (*A*) was associated with a 0.3% per year slower decline. In European-Americans, two genes showed evidence of associations with rate of change in FEV₁/FVC. The most statistically significant association, which survived the Bonferroni adjustment for multiple testing, was for the *glutamate-cysteine ligase catalytic subunit (GCLC)* SNP rs17883901 ($P = 4.50 \times 10^{-5}$). The recessive genotype (*TT*) was associated with a 0.9% per year steeper decline compared with the reference genotypes (*CC/CT*).

Gene-by-Smoking Interactions

Potential interactions between SNPs and cigarette smoking were investigated in relation to rate of change in FEV₁ and FEV₁/FVC separately in African-Americans and European-Americans. Two smoking variables, smoking status during follow-up and baseline smoking pack-years, were investigated separately for gene-by-smoking interactions (Table 5; also Supplementary Tables 2 to 9).

For rate of change in FEV₁, in African-Americans, a nominally significant interaction was identified between rs34552619 in *GLRX2* and smoking status. African-American smokers with at least one copy of the minor allele (C) had a 32.4 ml per year steeper decline ($P = 2.74 \times 10^{-4}$) than smokers without the minor allele. In European-Americans, rs1007991 in *SOD3* had a nominally significant interaction with smoking status; each copy of the minor allele (C) attenuated the accelerated decline in FEV₁ in smokers by 17.9 ml per year ($P = 0.002$). In contrast, neither SNP was associated with rate of change in FEV₁ in non-smokers during follow-up.

For rate of change in FEV₁/FVC, two genes had statistically significant interactions with smoking that passed the Bonferroni adjustment for multiple testing in European-Americans and gene-level replications were observed for both genes in African-Americans. In European-Americans, the association between rs2297765 in *microsomal glutathione S-transferase 3 (mGST3)* and rate of change in FEV₁/FVC differed by smoking status such that each copy of the minor allele (T) attenuated the decline in smokers by 0.45% per year, but the SNP had no effect on decline in non-smokers (Table 5; $P = 1.13 \times 10^{-4}$; Figure 1). In African-Americans, a different *mGST3* variant, rs7554034, had a nominally significant interaction with smoking status; compared to the reference genotype, the recessive genotype (AA) attenuated the decline in smokers by 0.56% per year, but genotype had no effect on rate of decline in non-smokers (Table 5; $P = 0.007$; Figure 2). These *mGST3* SNPs were not in LD in either group ($r^2 = 0.002$ and 0.01 for African-Americans and European-Americans, respectively). In European-Americans, the association between rs2073192 in *isocitrate dehydrogenase 3 beta (IDH3B)* and rate of change in FEV₁/FVC differed by smoking pack-years; in participants with higher smoking dose, the minor allele (A) was associated with a faster decline in FEV₁/FVC (Table 5, $P = 2.10 \times 10^{-4}$). Two other *IDH3B* SNPs, which were in strong LD with rs2073192 in European-Americans ($r^2 = 0.92$), showed similar, but less statistically significant, evidence of interaction with smoking pack-years. In African-Americans, a nominally significant interaction was observed for one of the *IDH3B* SNPs (rs6115381) and smoking status (Table 5; $P = 4.60 \times 10^{-4}$) such that the recessive genotype (GG) was associated with a 0.82% per year greater decline (compared to the reference genotype) in smokers only; no such difference was observed across genotypes in non-smokers (Figure 3). In African-Americans, the rs6115381 and rs6107100 SNPs in *IDH3B* were in moderate LD ($r^2 = 0.63$), whereas rs2073192 was not in LD with rs6115381 and rs6107100 ($r^2 = 0.09$ and 0.10 , respectively). A nominally significant interaction was also observed between rs2284659 in *SOD3* and smoking status in European-Americans for rate of change in FEV₁/FVC ($P = 0.004$).

DISCUSSION

This study was designed to investigate the hypothesis that genetic variation in candidate genes encoding antioxidant enzymes, which is expected to affect antioxidant defense in the lung, is associated with rate of change in lung function phenotypes, FEV₁ and FEV₁/FVC, and thus contributes to COPD susceptibility, especially in individuals with elevated oxidative stress due to cigarette smoking. Consistent with several recent GWAS of lung phenotypes there were more findings overall for rate of change in FEV₁/FVC compared to rate of change in FEV₁, although the reasons for this are not yet clear [22–24].

A novel gene, *mGST3*, was associated with rate of change in FEV₁/FVC, with evidence of gene-by-smoking interactions in both European- and African-Americans. In European-Americans, the effect of rs2297765 differed significantly by smoking status, and rs7554034 had a similar interaction in African-Americans. The two SNPs are common in both groups, thus the effects on lung function in smokers are of public health interest. MGST3 is a membrane-bound antioxidant enzyme in the microsomal GST family with close links to antioxidant defense. In microarray studies of gene expression, the mouse *Mgst3* gene was up-regulated in the small intestine and liver in response to oxidative stress [31]. MGST3 also catalyzes the conjugation reaction that produces leukotriene C₄, an important inflammation mediator with a role in allergy and asthma [32, 33]. The association of *mGST3* with lung function phenotypes is novel, but microsomal enzymes, as a class, have been linked to lung health in prior studies. Epoxide hydrolase 1 (EPHX1), another microsomal enzyme, detoxifies xenobiotics including products in cigarette-smoke, and genetic variation in *EPHX1* was associated with pulmonary phenotypes including childhood asthma, lung cancer and COPD [34–36].

IDH3B was implicated in a prior study of cross-sectional lung function phenotypes in the Health ABC cohort (gene-by-smoking interactions in African-Americans) [26]. In the current study of longitudinal lung function phenotypes in the same cohort, SNPs in *IDH3B* had a statistically significant interaction with smoking pack-years in European-Americans and a nominally significant interaction with smoking status in African-Americans in relation to rate of change in FEV₁/FVC. The IDH enzymes, the majority of which localize to the mitochondrial matrix, supply the reducing equivalents for the antioxidant activity of the many members of the glutathione and thioredoxin systems. In fibroblasts, decreased expression of *IDH* genes led to higher lipid peroxidation, oxidative DNA damage, intracellular peroxide generation, and increased senescence, indicating an important regulatory role for these genes in the defense against oxidative stress [37].

In the present study, the rs17883901 SNP in *GCLC* was associated with rate of change in FEV₁/FVC in European-Americans, but the gene-by-smoking interactions for rs17883901 could not be investigated given the limited number of smokers carrying the minor allele. Although no association was detected in African-Americans, there was a considerably lower MAF in African-Americans (1%). These findings are consistent with prior reports. The rs17883901 SNP was associated with an increased risk of COPD in a Chinese population [38], and two variants (rs17883901 and a GAG repeat variant (TNR)) were investigated jointly in relation to several pulmonary phenotypes, including change in FEV₁, in two Dutch cohorts [18]. Using a nominal significance threshold of $P < 0.05$, the Dutch study reported associations for both variants, including an interaction between TNR and smoking pack-years in relation to rate of change in FEV₁. *GCLC* encodes the catalytic subunit of the heterodimeric enzyme glutamatecysteine ligase, which catalyzes the *de novo* synthesis of glutathione. *GCLC* is predominantly expressed in lung epithelium [39], and rs17883901 was associated with lower expression of *GCLC* in endothelial cells in vitro [40], suggesting a potential mechanism for the population-level association. Overall, these findings support a role for *GCLC* in longitudinal change in lung function.

SNPs in *SOD3* and *GLRX2* showed nominally significant evidence of associations in multiple analyses, and the *GLRX2* findings are novel. *SOD3* is a major extracellular antioxidant enzyme highly expressed in the lung; *SOD3* binds lung matrix components (collagen I, hyaluronan and heparin sulfate) to protect them against oxidative fragmentation and plays a central role in antioxidant defense in lung tissue [41]. Genetic variation in *SOD3* has been extensively studied in relation to pulmonary phenotypes at the population level, although primarily in individuals of European descent. Rs1799895, a rare functional SNP in *SOD3*, was associated with lower COPD risk and slower FEV₁ decline in never smokers [42–44], and rs8192287 and rs8192288, which are in strong LD in individuals of European descent, were associated with reduced lung function and increased emphysema risk [45, 46]. Rs1799895 was analyzed in the present study for single-marker associations in European-Americans, but no statistically significant associations emerged. Rs8192287 was associated with a faster decline in FEV₁ in African-Americans, providing novel evidence for an association of rs8192287 with lung function phenotypes in this under-studied group. The observed gene-by-smoking interactions involving other *SOD3* SNPs support effect modification by smoking in this genotype—phenotype association. Novel findings emerged for *GLRX2*, which encodes a mitochondrial antioxidant enzyme in the glutaredoxin family and has been recognized as an important redox regulator [47]. *GLRX2* is ubiquitously expressed in various tissues including lung [47], and its over-expression was shown to prevent H₂O₂-induced apoptosis in human lens epithelial cells and to reduce myocardial cell death by preventing apoptosis and necrosis in mice [48, 49].

The study has several strengths. First, this large, epidemiologic cohort study with longitudinal follow-up data on pulmonary function assessed by high-quality spirometry is a unique resource. The long duration allows the estimation of meaningful decline in lung function, making the investigation of the difference in rate of change among individuals possible. The use of up to 4 repeated measurements per individual provides the data to accurately capture the true trajectory of lung function change over time. Second, the study had high-quality data on important risk and confounding factors, including cigarette smoking and principle component variables for genetic ancestry. The adjustment for genetic ancestry avoids potential confounding due to population substructure in each racial/ethnic group. Multiple forms of smoking data were available, allowing the consideration of both long-term smoking status and lifetime smoking dose in the single-marker analyses and to investigate potential interactions of genotype with these two different aspects of smoking exposure. Third, the Health ABC study includes a sufficiently large sample of African-Americans, allowing race-specific analyses to be performed. This is important because African-Americans have lower lung function compared with their European-American counterparts and they are understudied in pulmonary and genetic epidemiology. Finally, despite the heterogeneity in the frequency and pattern of genetic variation and the challenges in the replication of genetic associations across racial/ethnic groups, this study provides compelling evidence of gene-by-smoking interactions consistent on the gene level between African-Americans and European-Americans for two novel candidate genes.

A few limitations should be considered when evaluating the study findings. First, despite the goal to comprehensively include genes encoding enzymes in relevant antioxidant pathways

in the lung, a few genes did not pass genotyping quality control, and other enzymes with antioxidant activities may have been omitted inadvertently. Second, although the Health ABC study recorded extensive data on smoking behaviors, the statistical modeling of smoking in the study may not fully capture the effect of smoke exposure, possibly due to inaccuracy in participants' self-reports and uncertainty in defining the most relevant aspects of smoking in affecting pulmonary function. Despite these limitations, and limited power due to sample size, we were able to identify meaningful gene-by-smoking interactions. Third, the analyzed SNPs admittedly provide imperfect coverage of genetic variation in the candidate genes. The SNPs showing significant results are therefore likely "proxies" of the true causal variants. Considering the incomplete linkage between these variants, the true associations of causal variants with the corresponding phenotypes are expected to be greater than what was observed. While the current study focused on an elderly population, given their disproportionately high risk of accelerated lung function loss and consequent morbidity and mortality, the findings may or may not generalize to younger populations, and additional studies are needed to test the reported associations in populations with different characteristics. Finally, due to the risk of false discovery inherent in genetic association studies, we adopted a conservative significance threshold that may be overly conservative.

In conclusion, this study explored genetic variation in candidate genes encoding antioxidant enzymes, cigarette smoking, and longitudinal change in two lung function phenotypes in African-American and European-American elderly adults. Evidence of association was observed for several novel genes. Of particular importance are the novel findings of gene-by-smoking interactions for *mGST3* and *IDH3B*, which were observed consistently at the gene level in both African-Americans and European-Americans. The findings for *GCLC* and *SOD3* strengthen existing knowledge and extend the evidence base by the novel consideration of longitudinal phenotypes and African-Americans. Future research, especially in the understudied African-American population, is warranted to further validate these findings and to elucidate the underlying molecular mechanisms.

Supplementary Material

Refer to Web version on PubMed Central for supplementary material.

Acknowledgments

none

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Abbreviations

COPD chronic obstructive pulmonary disease

FEV₁	forced expiratory volume in the first second
FVC	forced vital capacity
CAT	catalase
G6PD	glucose-6-phosphate dehydrogenase
GCLC	glutamate–cysteine ligase(catalytic subunit)
GCLM	glutamate-cysteine ligase (modulatory subunit)
GGT1	γ-glutamyl transferase 1
GLRX	glutaredoxin
GPX	glutathione peroxidase
GSR	glutathione reductase
GSS	glutathione synthetase
GST	glutathione S-transferase
HMOX	hemeoxygenase
IDH	isocitrate dehydrogenase
mGST	microsomal glutathione S-transferase
PRDX	peroxiredoxin
SEP	selenoprotein
SOD	superoxide dismutase
TXN	thioredoxin
TXNRD	thioredoxin reductase.

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Highlights

- We investigated the association of genetic variants in a network of antioxidant enzymes with change in lung function
- A longitudinal cohort study of African- and European-American elderly adults was used for the study
- A highly significant genetic main effect was identified for a variant in *GCLC* in European Americans
- Significant gene-by-smoking interactions were identified for *mGST3* and *IDH3B* in both races
- These findings suggest novel directions for future research on the genetic basis of lung function

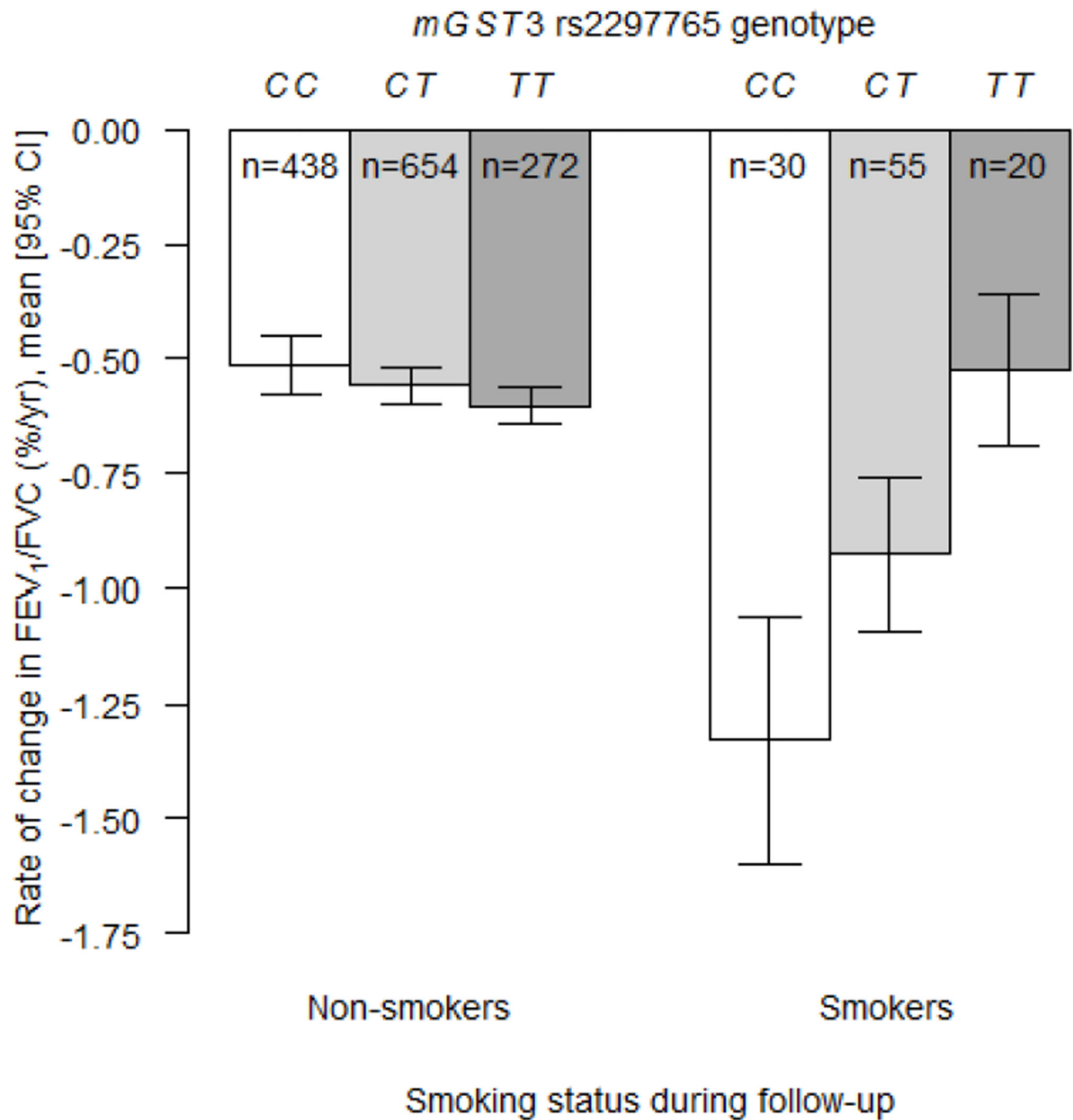


Figure 1.

Estimated rates of change in FEV₁/FVC (% per year) according to smoking status during follow-up and *mGST3* rs2297765 genotype for European American participants in the Health ABC study. Open bars represent the CC genotype, light shaded bars represent the CT genotype and dark shaded bars represent the TT genotype. The estimates were computed from the linear mixed effects model that was adjusted for all covariates and included the SNP × smoking status × time product term ($P = 1.13 \times 10^{-4}$ following an additive genetic effect model). CI = confidence interval.

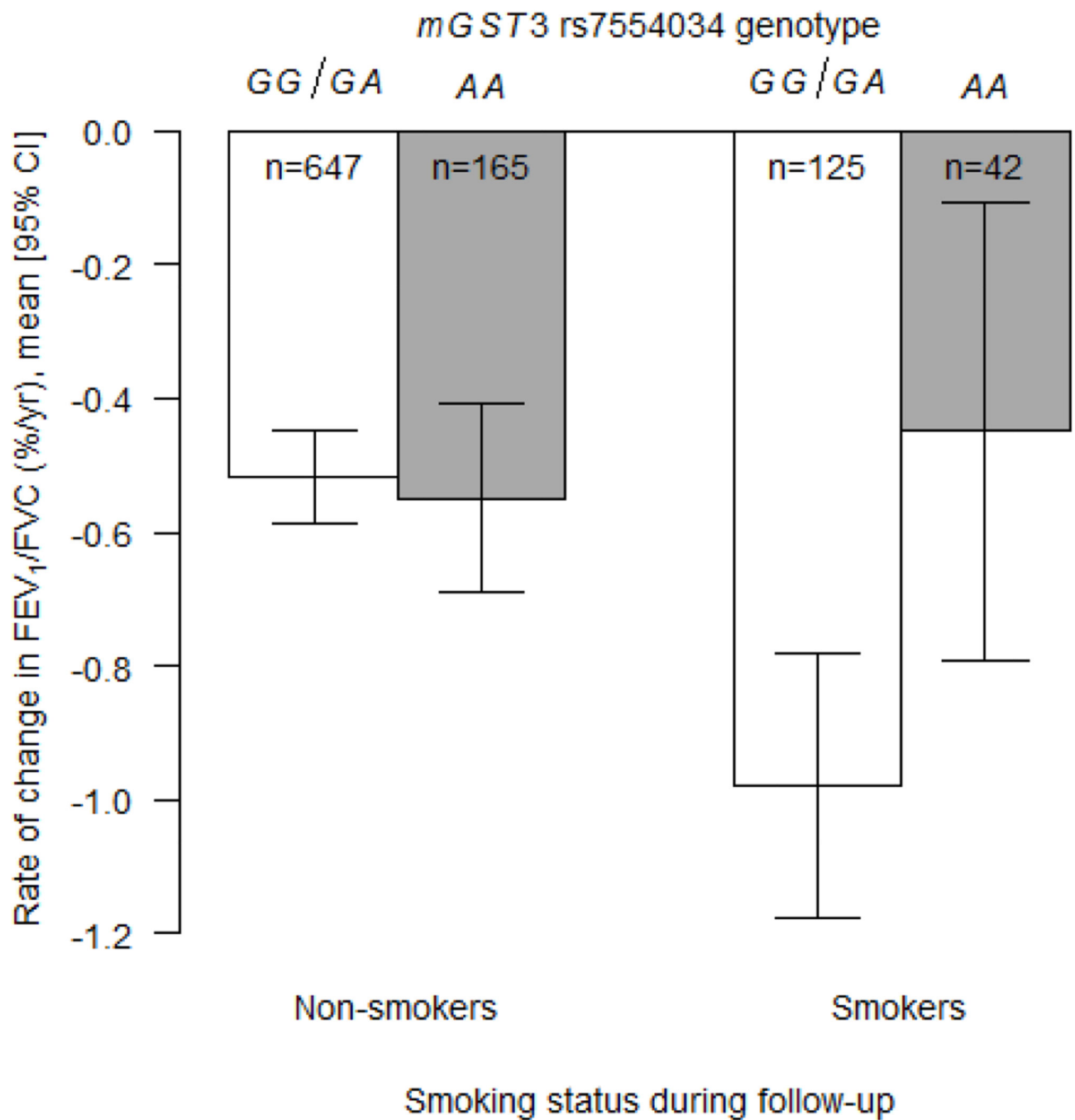


Figure 2.

Estimated rates of change in FEV₁/FVC (% per year) according to smoking status during follow-up and *mGST3* rs7554034 genotype for African American participants in the Health ABC study. Open bars represent the GG/GA genotypes and shaded bars represent the AA genotype. The estimates were computed from the linear mixed effects model that was adjusted for all covariates and included the SNP × smoking status × time product term ($P = 0.007$ following a recessive genetic effect model). CI = confidence interval.

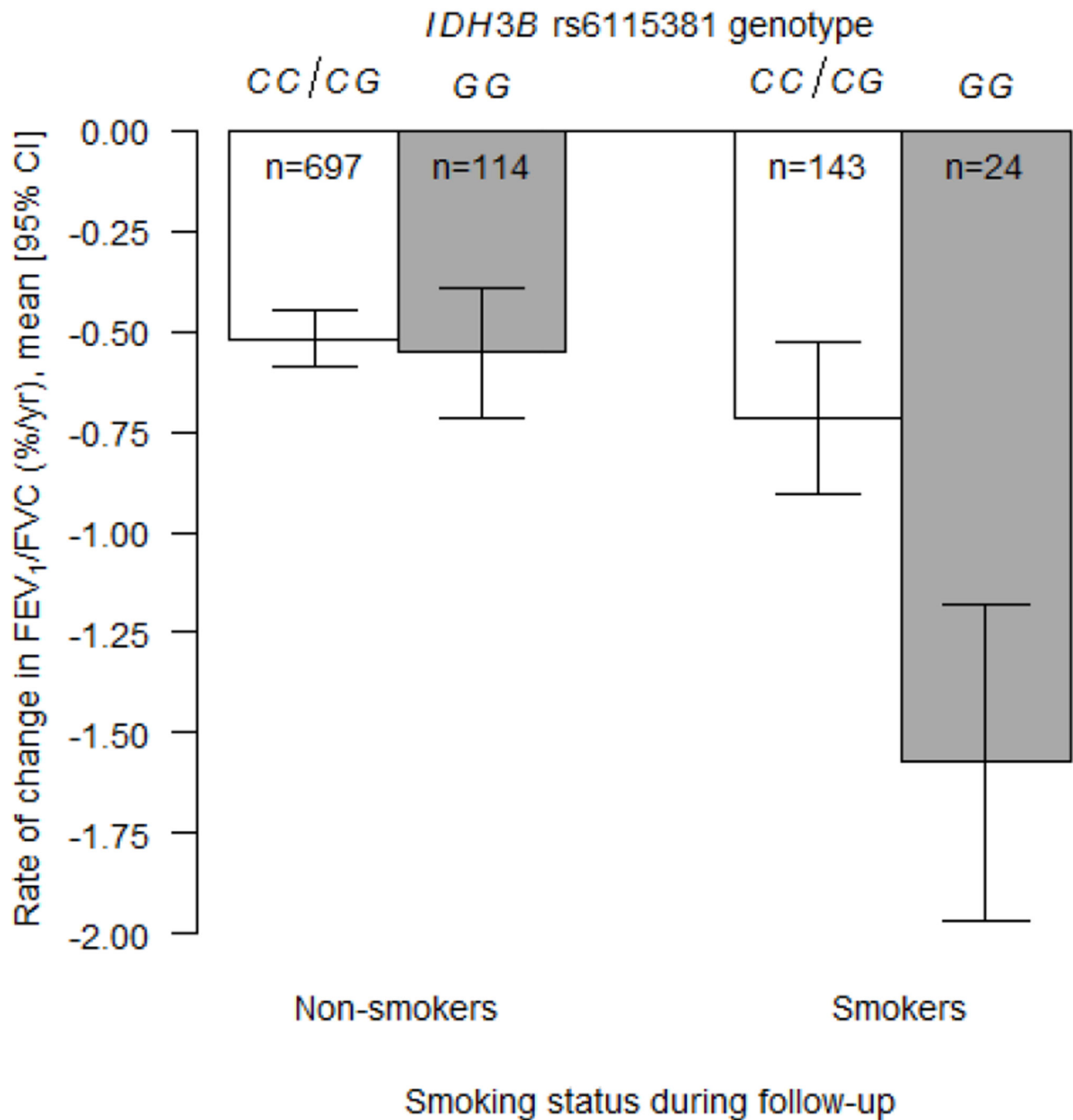


Figure 3.

Estimated rates of change in FEV₁/FVC (% per year) according to smoking status during follow-up and *IDH3B* rs6115381 genotype for African American participants in the Health ABC study. Open bars represent the CC/CG genotypes and shaded bars represent the GG genotype. The estimates were computed from the linear mixed effects model that was adjusted for all covariates and included the SNP × smoking status × time product term ($P = 4.60 \times 10^{-4}$ following a recessive genetic effect model). CI = confidence interval.

Table 1Characteristics of Study Participants, by Phenotype and Race/Ethnicity, for the Health ABC Study^a

Characteristic	FEV ₁ Phenotype		FEV ₁ /FVC Phenotype	
	African Americans	European Americans	African Americans	European Americans
No. of participants	1,022	1,487	979	1,469
No. spirometry measurements	2,432	4,157	2,244	4,018
Males	441 (43.2)	773 (52.0)	431 (44.02)	766 (52.1)
Age at baseline (yr)	73.4 (2.9)	73.8 (2.9)	73.4 (2.9)	73.8 (2.9)
Height at baseline (cm)	165.3 (9.4)	166.7 (9.2)	165.5 (9.5)	166.7 (9.2)
Study site				
Memphis, TN	469 (45.9)	727 (48.9)	439 (44.8)	714 (48.6)
Pittsburgh, PA	553 (54.1)	760 (51.1)	540 (55.2)	755 (51.4)
Smoking status during follow-up				
Never smokers	448 (43.8)	649 (43.6)	423 (43.2)	638 (43.4)
Persistent smokers	112 (11.0)	48 (3.2)	107 (10.9)	48 (3.3)
Intermittent smokers	62 (6.1)	57 (3.8)	60 (6.1)	57 (3.9)
Former smokers	400 (39.1)	733 (49.3)	389 (39.7)	726 (49.4)
Pack-years at baseline ^b	22.0 (1 – 126)	28.5 (1 – 192)	22.0 (1 – 126)	29.0 (1 – 192)
FEV ₁ at baseline (ml)	1924.4 (565.4)	2288.6 (645.0)	-	-
FEV ₁ /FVC at baseline (%)	-	-	75.1 (8.3)	74.2 (7.5)

Abbreviations: FEV₁, forced expiratory volume in 1 s; FVC, forced vital capacity.^aData are presented as n, n (%), mean (standard deviation), or median (range).^bData for ever-smokers only.

Table 2

The Association of Smoking with Rate of Change in Spirometry Phenotypes by Race/Ethnicity, for Participants in the Health ABC Study^a

Population and Variable	Rate of Change in FEV ₁		Rate of Change in FEV ₁ /FVC	
	Effect Estimate (ml/yr)	P Value for set	Effect Estimate (%/yr)	P Value for set
African Americans				
Time ^b	-32.21 ± 1.71	< 0.0001	-0.50 ± 0.04	< 0.0001
Smoking status ^c	Reference		Reference	
Never smokers				
Persistent smokers	-5.96 ± 4.84	0.219	-0.30 ± 0.13	0.019
Intermittent smokers	-0.45 ± 4.78	0.925	-0.42 ± 0.14	0.004
Former smokers	2.97 ± 2.47	0.229	-0.05 ± 0.06	0.440
European Americans				
Time ^b	-39.77 ± 1.35	< 0.0001	-0.52 ± 0.02	< 0.0001
Smoking status ^c	Reference		Reference	
Never smokers				
Persistent smokers	-12.05 ± 6.47	0.063	-0.62 ± 0.15	< 0.0001
Intermittent smokers	-6.51 ± 4.66	0.163	-0.34 ± 0.11	0.001
Former smokers	-2.27 ± 1.75	0.194	-0.07 ± 0.04	0.051

Abbreviations: FEV₁, forced expiratory volume in 1 s; FVC, forced vital capacity.

^aData presented are from the linear mixed effects models for the indicated phenotype and race/ethnicity analyses; all models included the following predictors: gender, study site, height at each time point, age and smoking pack-years (both at study baseline), time, smoking status, and smoking status × time.

^bEffect estimate for time corresponds to the estimated annual rate of change in the phenotype for never smokers; negative values represent declines in the phenotype.

^cEffect estimate for each smoking category is for the smoking status × time product term; negative values represent accelerations and positive values represent attenuations of decline, relative to never smokers.

Table 3
The Most Statistically Significant Associations for Single Genetic Variants with Rate of Change in FEV1 by Race/Ethnicity, for Participants in the Health ABC Study^a

Population and Gene	SNP	Chr	Base Pair Position	Minor Allele	MAF	β (ml/yr) ^b	P Value	Genetic Model ^c
African Americans								
<i>mGST3</i>	rs10800120	1	163871934	A	0.20	-5.8 ± 2.0	0.004	Additive
<i>SOD3</i>	rs8192287	4	24405666	T	0.02	-19.8 ± 5.4	2.45 × 10⁻⁴	Additive
<i>GSR</i>	rs8190996	8	30673548	T	0.32	12.3 ± 3.8	0.001	Recessive
European Americans								
<i>GSTA4</i>	rs6904771	6	52964138	G	0.02	-13.2 ± 4.2	0.002	Additive

Abbreviations: FEV1, forced expiratory volume in 1 s; SNP, single nucleotide polymorphism; Chr, chromosome; MAF, minor allele frequency; β , regression coefficient; *mGST3*, microsomal glutathione S-transferase 3; *SOD3*, superoxide dismutase 3; *GSR*, glutathione reductase; *GSTA4*, glutathione S-transferase A4.

^aData shown for associations with $P < 0.005$ for the SNP single-marker effect on rate of change in FEV1, sorted by race/ethnicity, chromosome and base pair position. Statistically significant associations satisfying the Bonferroni-adjusted threshold (African Americans: $P < 2.3 \times 10^{-4}$; European Americans: $P < 3.0 \times 10^{-4}$) are bolded.

^bRegression coefficient and standard error for the SNP × time product term in the corresponding mixed effects model.

^cGenetic model is defined in reference to the minor allele for each SNP.

Table 4
The Most Statistically Significant Associations for Single Genetic Variants with Rate of Change in FEV1/FVC by Race/Ethnicity, for Participants in the Health ABC Study^a

Population and Gene	SNP	Chr	Base Pair Position	Minor Allele	MAF	β (%/yr) ^b	P Value	Genetic Model ^c
African Americans								
<i>GLRX2</i>	rs35358794	1	191336492	A	0.06	0.29 ± 0.09	0.001	Additive
<i>SOD2</i>	rs4342445	6	160018212	A	0.15	-0.53 ± 0.17	0.002	Recessive
<i>TXN2</i>	rs2267337	22	35200417	T	0.22	-0.16 ± 0.05	0.002	Additive
<i>TXN2</i>	rs2281082	22	35202696	T	0.22	-0.15 ± 0.05	0.004	Additive
<i>PRDX4</i>	rs528960	23	23601182	C	0.24	0.19 ± 0.06	0.003	Dominant
European Americans								
<i>GCLC</i>	rs17883901	6	53517996	T	0.09	-0.86 ± 0.21	4.50 × 10⁻⁵	Recessive
<i>GSTO2</i>	rs157077	10	106027884	C	0.46	-0.09 ± 0.03	3.68 × 10 ⁻⁴	Additive

Abbreviations: FEV1, forced expiratory volume in 1 s; FVC, forced vital capacity; SNP, single nucleotide polymorphism; Chr, chromosome; MAF, minor allele frequency; β , regression coefficient; *GLRX2*, glutaredoxin 2; *SOD2*, superoxide dismutase 2; *TXN2*, thioredoxin 2; *PRDX4*, peroxiredoxin 4; *GCLC*, glutamate-cysteine ligase (catalytic subunit); *GSTO2*, glutathione S-transferase O2.

^aData shown for associations with $P < 0.005$ for the SNP single-marker effect on rate of change in FEV1/FVC, sorted by race/ethnicity, chromosome and base pair position. Statistically significant associations satisfying the Bonferroni-adjusted threshold (African Americans: $P < 2.3 \times 10^{-4}$; European Americans: $P < 3.0 \times 10^{-4}$) are bolded.

^bRegression coefficient and standard error for the SNP × time product term in the corresponding mixed effects model.

^cGenetic model is defined in reference to the minor allele for each SNP.

Table 5

The Most Statistically Significant Gene-by-Smoking Interactions with Rate of Change in FEV₁ and FEV₁/FVC by Race/Ethnicity, for Participants in the Health ABC Study^a

Phenotype	Population and Gene	SNP-Smoking Interaction ^c	Chr	Base Pair Position	Minor Allele	MAF	Interaction Effect ^d	P Value	Genetic Model ^e	
FEV ₁	African Americans									
	<i>GLRX2</i>	rs34552619 × smoking status	1	191331738	C	0.08	-32.4 ± 8.8	2.74 × 10 ⁻⁴	Dominant	
	<i>IDH1</i>	rs1437410 × smoking pack-years	2	208825562	C	0.22	-1.2 ± 0.4	6.27 × 10 ⁻⁴	Recessive	
	European Americans									
	<i>SOD3</i>	rs1007991 × smoking status	4	24409783	C	0.34	17.9 ± 5.7	0.002	Additive	
	<i>GSTZ1</i>	rs2111699 × smoking pack-years	14	76858350	G	0.32	-0.4 ± 0.1	9.55 × 10 ⁻⁴	Recessive	
FEV ₁ /FVC	African Americans									
	<i>mGST3^b</i>	rs7554034 × smoking status	1	163877088	A	0.46	0.56 ± 0.21	0.007	Recessive	
	<i>IDH3B^b</i>	rs6115381 × smoking status	20	2590376	G	0.37	-0.82 ± 0.23	4.60 × 10 ⁻⁴	Recessive	
	<i>SOD1</i>	rs2070424 × smoking status	21	31961191	G	0.19	0.68 ± 0.19	3.62 × 10 ⁻⁴	Dominant	
	<i>G6PD</i>	rs2472394 × smoking pack-years	23	153424545	A	0.13	-0.012 ± 0.003	7.75 × 10 ⁻⁴	Dominant	
	European Americans									
		<i>mGST3</i>	rs2297765 × smoking status	1	163888831	T	0.44	0.45 ± 0.12	1.13 × 10⁻⁴	Additive
		<i>SOD3^b</i>	rs2284659 × smoking status	4	24403895	T	0.37	0.64 ± 0.22	0.004	Recessive
		<i>IDH3B</i>	rs6115381 × smoking pack-years	20	2590376	G	0.07	-0.008 ± 0.002	3.82 × 10 ⁻⁴	Additive
		<i>IDH3B</i>	rs6107100 × smoking pack-years	20	2592685	A	0.07	-0.008 ± 0.002	3.68 × 10 ⁻⁴	Additive
	<i>IDH3B</i>	rs2073192 × smoking pack-years	20	2592996	A	0.07	-0.008 ± 0.002	2.10 × 10⁻⁴	Additive	

Abbreviations: FEV₁, forced expiratory volume in 1 s; FVC, forced vital capacity; SNP, single nucleotide polymorphism; Chr, chromosome; MAF, minor allele frequency; *GLRX2*, glutaredoxin 2; *IDH1*, isocitrate dehydrogenase 1; *SOD3*, superoxide dismutase 3; *GSTZ1*, glutathione S-transferase Z1; *mGST3*, microsomal glutathione S-transferase 3; *IDH3B*, isocitrate dehydrogenase 3B; *SOD1*, superoxide dismutase 1; *G6PD*, glucose-6-phosphate dehydrogenase.

^aData shown are for the most statistically significant gene-by-smoking interactions for each phenotype and race/ethnicity analysis. Statistically significant interactions satisfying the Bonferroni-adjusted threshold (African Americans: $P < 2.3 \times 10^{-4}$; European Americans: $P < 3.0 \times 10^{-4}$) are bolded.

^bThese nominally significant ($P < 0.01$) interactions were selectively presented since they represent gene-level replications of most statistically significant interactions in another phenotype and race/ethnicity analysis.

^cSmoking status was defined as a two-level categorical variable: smokers vs. non-smokers (reference group) during follow-up; smoking pack-years was modeled as a continuous variable.

^dBeta coefficient and standard error for the SNP × smoking × time product term in the corresponding mixed effects model; ml per year for the effect on rate of change in FEV₁ and % per year for the effect on rate of change in FEV₁/FVC.

^e Genetic model is defined in reference to the minor allele for each SNP.