





# Precise test of lepton flavour universality in $W$ -boson decays into muons and electrons in $pp$ collisions at $\sqrt{s} = 13$ TeV with the ATLAS detector

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**Abstract** The ratio of branching ratios of the  $W$  boson to muons and electrons,  $R_W^{\mu/e} = \mathcal{B}(W \rightarrow \mu\nu)/\mathcal{B}(W \rightarrow e\nu)$ , has been measured using  $140 \text{ fb}^{-1}$  of  $pp$  collision data at  $\sqrt{s} = 13 \text{ TeV}$  collected with the ATLAS detector at the LHC, probing the universality of lepton couplings. The ratio is obtained from measurements of the  $t\bar{t}$  production cross-section in the  $ee$ ,  $e\mu$  and  $\mu\mu$  dilepton final states. To reduce systematic uncertainties, it is normalised by the square root of the corresponding ratio  $R_Z^{\mu\mu/ee}$  for the  $Z$  boson measured in inclusive  $Z \rightarrow ee$  and  $Z \rightarrow \mu\mu$  events. By using the precise value of  $R_Z^{\mu\mu/ee}$  determined from  $e^+e^-$  colliders, the ratio  $R_W^{\mu/e}$  is determined to be

$$R_W^{\mu/e} = 0.9995 \pm 0.0022 \text{ (stat)} \pm 0.0036 \text{ (syst)} \\ \pm 0.0014 \text{ (ext)}.$$

The three uncertainties correspond to data statistics, experimental systematics and the external measurement of  $R_Z^{\mu\mu/ee}$ , giving a total uncertainty of 0.0045, and confirming the Standard Model assumption of lepton flavour universality in  $W$ -boson decays at the 0.5% level.

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## 1 Introduction

The assumption of lepton flavour universality, i.e. that the couplings of the charged leptons  $e$ ,  $\mu$  and  $\tau$  to the electroweak gauge bosons are independent of the lepton masses, is a key axiom of the Standard Model of particle physics. This assumption has been tested over a wide range of momentum transfers by studying ratios of partial decay widths (or equivalently, ratios of branching ratios) of various particles to electrons, muons and taus. After correction for mass, phase space and radiative effects, these ratios of decays into leptons of generations  $i$  and  $j$  are proportional to  $g_i^2/g_j^2$ , where  $g_i$  is the coupling of lepton  $i$  ( $= e, \mu, \tau$ ). The equality of these couplings has been tested to the 0.1–0.2% level in decays of  $\tau$  leptons,  $\pi$  and  $K$  mesons (see for example Ref. [1]). More recently, hints of departures from lepton flavour universality at the level of a few standard deviations were seen in the so-called flavour anomalies in  $b$ -hadron decays, e.g. in the processes  $B \rightarrow D^{(*)}\tau\nu$  vs.  $B \rightarrow D^{(*)}\ell\nu$  (with  $\ell = e$  or  $\mu$ ) [2–7], and in the loop-induced process  $b \rightarrow s\ell\ell$ . However, the latest measurement of  $b \rightarrow s\ell\ell$  in  $B \rightarrow K^{(*)}\mu^+\mu^-$  vs.  $B \rightarrow K^{(*)}e^+e^-$  decays from the LHCb collaboration is in agreement with lepton flavour universality [8], and definitive conclusions have yet to be established.

At high momentum transfer, the branching ratios for the leptonic decays of the  $W$  boson to  $e$ ,  $\mu$  and  $\tau$  are expected to be equal to very high precision, given the small sizes of the lepton masses compared to the  $W$  boson mass. This assumption has been tested in the production of  $W$ -boson pairs in  $e^+e^-$  collisions at LEP2, in the production of single  $W$  bosons at the Tevatron and Large Hadron Collider (LHC), and by exploiting the two  $W$  bosons produced in  $t\bar{t}$  events at the LHC. The most precise measurement of  $R_W^{\mu/e}$ , the ratio of branching ratios for  $W \rightarrow \mu\nu$  and  $W \rightarrow e\nu$ , was performed by the CMS collaboration with  $pp \rightarrow t\bar{t}$  events at  $\sqrt{s} = 13 \text{ TeV}$ . This analysis made use of a global fit to lepton and jet multiplicities, together with kinematic variables and the identification of jets originating from  $b$ -

quarks, and achieved a precision of 0.9% [9]. Measurements of  $pp \rightarrow W$  cross-sections in the  $W \rightarrow e\nu$  and  $W \rightarrow \mu\nu$  decay channels from the ATLAS and LHCb experiments [10, 11], and measurements in  $e^+e^- \rightarrow W^+W^-$  events from the ALEPH, DELPHI, L3 and OPAL experiments at LEP2 [12] also contribute significantly to the combined value of  $R_W^{\mu/e} = 1.002 \pm 0.006$  determined by the Particle Data Group [13].

This paper describes a measurement of  $R_W^{\mu/e}$  using  $W$  bosons produced from the decay of top quarks in  $pp \rightarrow t\bar{t}$  events selected from the full Run 2 ATLAS  $pp$  collision data sample at  $\sqrt{s} = 13$  TeV. Final states with two opposite-charge leptons (electrons or muons,  $\ell = e$  or  $\mu$ ) and one or two jets tagged as likely to contain  $b$ -hadrons are selected, allowing  $R_W^{\mu/e}$  to be derived from a comparison of the  $t\bar{t}$  production cross-section measured in the  $ee$ ,  $e\mu$  and  $\mu\mu$  channels. Many systematic uncertainties related to  $t\bar{t}$  and background physics modelling cancel in this direct measurement of  $R_W^{\mu/e}$ , but it is still limited by uncertainties related to the identification of electrons and muons. The latter can be reduced by making a simultaneous measurement of the analogous ratio  $R_Z^{\mu\mu/ee}$  for  $Z$  bosons, i.e. the ratio of branching ratios for  $Z \rightarrow \mu\mu$  and  $Z \rightarrow ee$ , using inclusive  $Z \rightarrow \ell\ell$  events in the same data sample. The main measured parameter of interest becomes  $R_{WZ}^{\mu/e} = R_W^{\mu/e} / \sqrt{R_Z^{\mu\mu/ee}}$ , and the final result is then obtained from  $R_W^{\mu/e}$  and the precise measurement of  $R_Z^{\mu\mu/ee} = 1.0009 \pm 0.0028$  from the LEP and SLD experiments [13, 14], taken as an external input parameter. The  $t\bar{t}$  and  $Z \rightarrow \ell\ell$  cross-sections,  $\sigma_{t\bar{t}}$  and  $\sigma_{Z \rightarrow \ell\ell}$ , are also measured as by-products of this procedure. The value of  $\sigma_{t\bar{t}}$  is defined inclusively with respect to all  $t\bar{t}$  final states, whereas  $\sigma_{Z \rightarrow \ell\ell}$  is defined for decays into a single dilepton flavour  $\ell\ell$ .

The data and samples of Monte Carlo simulated events used in this analysis are described in Sect. 2, followed by the event reconstruction and selection in Sect. 3. The analysis method is described in Sect. 4, and supporting measurements of lepton isolation efficiencies are outlined in Sect. 5. Systematic uncertainties are detailed in Sect. 6 and the results in Sect. 7. Finally, the conclusion is given in Sect. 8.

## 2 Data and simulated event samples

The ATLAS detector [15–17] at the LHC covers nearly the entire solid angle around the collision point. It consists of an inner tracking detector surrounded by a thin superconducting solenoid producing a 2T axial magnetic field, electromagnetic and hadronic calorimeters, and an external muon spectrometer incorporating three large toroidal magnet assemblies. The analysis was performed on samples of proton–proton collision data collected at  $\sqrt{s} = 13$  TeV in 2015–18,

corresponding to an integrated luminosity of  $140.1 \pm 1.2 \text{ fb}^{-1}$  after data quality requirements [18, 19]. Events were required to pass a single-electron or single-muon trigger [20, 21], with transverse momentum ( $p_T$ ) thresholds that were progressively raised during the data-taking period.<sup>1</sup> The electron trigger reached the efficiency plateau region for electrons with reconstructed  $p_T > 25$  GeV in 2015 and for  $p_T > 27$  GeV for 2016–18, the corresponding thresholds for the muon trigger being 21 GeV for 2015 and 27.3 GeV thereafter. Each triggered event also includes the signals from on average 33 superimposed inelastic  $pp$  collisions, referred to as pileup.

Monte Carlo simulated event samples were used to develop the analysis procedures, to evaluate signal and background contributions, and to compare with data. Samples were processed using either the full ATLAS detector simulation [22] based on GEANT4 [23], or with a faster simulation making use of parameterised showers in the calorimeters [24]. The effects of pileup were simulated by generating additional inelastic  $pp$  collisions with PYTHIA8 (v8.186) [25] using the A3 set of parameter values (tune) [26] and overlaying them on the primary simulated events, so as to match the distribution of the number of inelastic events per bunch crossing observed in the data. These combined events were then processed using the same reconstruction and analysis chain as the data [27]. Small corrections were applied to lepton and jet energy scales [28–30], and to lepton and  $b$ -tagging efficiencies [31–33], in order to improve agreement with the response observed in data. Further topology-specific lepton isolation corrections were applied as discussed in Sect. 5.

The baseline simulated  $t\bar{t}$  sample was produced with the POWHEG-BOX v2 event generator [34–37] (referred to hereafter as POWHEG), which implements matrix-elements at next-to-leading-order (NLO) in the strong coupling constant  $\alpha_s$ , using the NNPDF3.0 NLO parton distribution function (PDF) set [38]. The parton shower, hadronisation and underlying event modelling was performed using PYTHIA8 (v8.210) with the NNPDF2.3 LO PDF set [39], the A14 tune [40], and additional parameters configured as described in Ref. [41]. Modelling uncertainties were assessed by using alternative samples generated using MADGRAPH5\_AMC@NLO (referred to hereafter as AMC@NLO) [42] interfaced to PYTHIA8, and POWHEG interfaced to HERWIG7.1 [43, 44], as discussed in Ref. [45]. Further variations were obtained from the baseline POWHEG+PYTHIA8 sample, by using event weights to

<sup>1</sup> ATLAS uses a right-handed coordinate system with its origin at the nominal interaction point in the centre of the detector, and the  $z$ -axis along the beam line. Pseudorapidity is defined in terms of the polar angle  $\theta$  as  $\eta = -\ln \tan \theta/2$ , and transverse momentum is defined relative to the beam line as  $p_T = p \sin \theta$ . The azimuthal angle around the beam line is denoted by  $\phi$ , and distances in  $(\eta, \phi)$  space by  $\Delta R = \sqrt{(\Delta\eta)^2 + (\Delta\phi)^2}$ .

change the quantum chromodynamics (QCD) factorisation and renormalisation scales, and the amounts of initial and final state radiation. The top quark mass was set to  $m_t = 172.5 \text{ GeV}$ , the  $W \rightarrow \ell\nu$  branching ratio to the Standard Model prediction of 0.1082 for each lepton flavour ( $e$ ,  $\mu$  and  $\tau$ ) [46], and EVTGEN [47] was used to handle the decays of  $b$ - and  $c$ -flavoured hadrons. The  $t\bar{t}$  samples were normalised to a reference cross-section of  $832 \pm 35_{-29}^{+20} \text{ pb}$ , where the first uncertainty corresponds to PDF uncertainties and the second to QCD scale uncertainties. This value was calculated at next-to-next-to-leading-order (NNLO) accuracy in  $\alpha_s$ , including the resummation of next-to-next-to-leading-logarithmic (NNLL) soft gluon terms [48], using TOP++ 2.0 [49] as described in Ref. [50]. The associated production of a  $W$  boson and a top quark ( $Wt$ ) is a background in the  $t\bar{t}$  cross-section measurement but contributes sensitivity to  $R_W^{\mu/e}$ , as it gives rise to final states with two real  $W$  bosons. It was simulated with POWHEG+PYTHIA8 with the same setup as for the  $t\bar{t}$  sample. The interference between the  $t\bar{t}$  and  $Wt$  amplitudes was modelled using the diagram removal scheme [51,52]. The  $Wt$  cross-section was taken to be  $79.3 \pm 2.2$  (PDF)  $_{-1.8}^{+1.9}$  (QCD scale) pb, based on an NLO calculation with the addition of third-order corrections resumming NNLL soft gluon contributions [53].

The dilepton plus  $b$ -tagged jet signature can also arise from  $Z$ -boson production with additional jets. In the  $t\bar{t}$  measurement, this background was modelled using SHERPA 2.2.11 [54] for  $Z \rightarrow ee/\mu\mu$  and SHERPA 2.2.14 for  $Z \rightarrow \tau\tau$ , with NLO matrix elements for up to two partons, and leading-order matrix elements for up to five partons, calculated with the COMIX [55] and OPENLOOPS [56] libraries and matched with the SHERPA parton shower [57] using the MEPS@NLO prescription [58–61]. The samples include the off-shell  $Z/\gamma^*$  and interference contribution and have dilepton invariant mass  $m_{\ell\ell} > 10 \text{ GeV}$ . They were generated using the NNPDF3.0 PDF set and normalised to an NNLO cross-section prediction [62]. For the inclusive  $Z \rightarrow \ell\ell$  selections used in the normalisation measurement of  $R_Z^{\mu\mu/ee}$ , where jets are less important,  $Z \rightarrow \ell\ell$  events were modelled using POWHEG v1 [63] interfaced to PYTHIA8 (v8.186) with the AZNLO tune [64] and the CT10 PDF set [65], including  $Z/\gamma^*$  and interference contributions, and generating events with dilepton invariant mass  $m_{\ell\ell} > 60 \text{ GeV}$ . These events were reweighted to data as a function of  $p_T^{\ell\ell}$  in order to improve the modelling of the reconstructed  $Z$ -boson transverse momentum spectrum, and the samples were normalised to a reference cross-section of  $\sigma_{Z \rightarrow \ell\ell} = 1951 \text{ pb}$ , based on predictions from FEWZ [66].

Smaller contributions to both selections arise from diboson production ( $WW$ ,  $WZ$  and  $ZZ$ ), which was modelled using SHERPA 2.2.2, analogously to  $Z$  + jets production. Production of  $t\bar{t}$  in association with a leptonically decaying  $W$ ,  $Z$  or Higgs boson, or an additional  $t\bar{t}$  pair, gives a neg-

ligible contribution to the opposite-charge dilepton samples, but is significant in the same-charge control samples used to assess the background from misidentified leptons in the  $t\bar{t}$  selection. These processes were modelled at NLO using POWHEG+PYTHIA8 or AMC@NLO+PYTHIA8. Additional background arises from events where at least one lepton is not a prompt lepton from a  $W$  or  $Z$  decay (including via leptonic  $\tau$  decays), but is a misidentified lepton, i.e. a non-prompt lepton from the decay of a bottom or charm hadron, an electron from a photon conversion, a hadronic jet misidentified as an electron, or a muon produced from the decay in flight of a pion or kaon. Events with one prompt and one misidentified lepton can arise from  $t\bar{t}$  or  $Wt$  events with one hadronically decaying  $W$  boson (modelled as described above),  $W$  + jets production (modelled with SHERPA 2.2.1) or  $t$ -channel single top quark production (modelled with POWHEG+PYTHIA8). Processes with two misidentified leptons (e.g. from inclusive  $b\bar{b}$  or  $c\bar{c}$  production) are negligible for the  $t\bar{t}$  selection, and the corresponding background in the inclusive  $Z \rightarrow \ell\ell$  selection was modelled from data without relying on the simulation of such processes (see Sect. 4).

### 3 Event reconstruction and selection

This analysis makes use of reconstructed electrons, muons and  $b$ -tagged jets. Electron candidates were reconstructed from a localised cluster of energy deposits in the electromagnetic calorimeter matched to a track in the inner detector, passing the ‘Medium’ likelihood-based requirement of Ref. [28]. They were required to have transverse momentum  $p_T > 20 \text{ GeV}$  and pseudorapidity  $|\eta| < 2.47$ , excluding the transition region between the barrel and endcap electromagnetic calorimeters,  $1.37 < |\eta| < 1.52$ , and to be consistent with originating from the signal primary vertex. The latter was defined as the reconstructed vertex with the highest sum of  $p_T^2$  of associated tracks. To reduce background from non-prompt electrons, electron candidates were further required to pass the ‘Tight’ isolation requirements of Ref. [28], based on the amount of summed calorimeter energy and track transverse momentum close to the electron. Muon candidates were reconstructed by combining tracks from the inner detector with matching tracks reconstructed in the muon spectrometer, and were required to have  $p_T > 20 \text{ GeV}$ ,  $|\eta| < 2.5$  and to satisfy the ‘Medium’ requirements of Ref. [32]. Muons were also required to be consistent with the signal primary vertex and to satisfy the ‘Tight’ isolation requirements of Ref. [32].

Jets were reconstructed using the anti- $k_r$  algorithm [67,68] with radius parameter  $R = 0.4$ , starting from particle-flow objects that combine information from topological clusters of calorimeter energy deposits and inner-detector tracks [69]. After calibration using information from both simulation and data [30], jets were required to have  $p_T > 25 \text{ GeV}$

**Table 1** Summary of the common object selection, and event selections for  $t\bar{t}$  and  $Z$  final states

Object selection		
Electrons	$p_T > 27.3 \text{ GeV},  \eta  < 1.37 \text{ or } 1.52 <  \eta  < 2.47$	
Muons	$p_T > 27.3 \text{ GeV},  \eta  < 2.5$	
$b$ -tagged jets	$p_T > 30.0 \text{ GeV},  \eta  < 2.5, b$ -tagging DL1r 70%	
Event selection		
	$t\bar{t} \rightarrow \ell\ell b\bar{b}v\bar{v}$	$Z \rightarrow \ell\ell$
Dilepton flavour ( $\ell^+\ell^-$ )	$ee, e\mu, \mu\mu$	$ee, \mu\mu$
Dilepton invariant mass	$m_{\ell\ell} > 30 \text{ GeV}$	$66 \text{ GeV} < m_{\ell\ell} < 116 \text{ GeV}$
$b$ -tagged jet multiplicity	1 or 2	–

and  $|\eta| < 2.5$ , and jets with  $p_T < 60 \text{ GeV}$  and  $|\eta| < 2.4$  were subject to additional pileup rejection criteria using the multivariate jet-vertex tagger (JVT) [70]. To prevent double counting of electron energy deposits as jets, the closest jet to an electron candidate was removed if it was within  $\Delta R = 0.2$  of the electron. Furthermore, to reduce the contribution of leptons from heavy-flavour hadron decays inside jets, leptons within  $\Delta R = 0.4$  of selected jets were discarded, unless the lepton was a muon and the jet had fewer than three associated tracks, in which case the jet was discarded. Jets likely to contain  $b$ -hadrons were tagged using the DL1r algorithm [33, 71], a multivariate discriminant based on deep-learning techniques making use of track impact parameters and reconstructed secondary vertices. A tagger working point with an efficiency of 70% for tagging  $b$ -quark jets from top-quark decays in simulated  $t\bar{t}$  events was used, corresponding to rejection factors of about 380 against light quark and gluon jets, and 10 against jets originating from charm quarks.

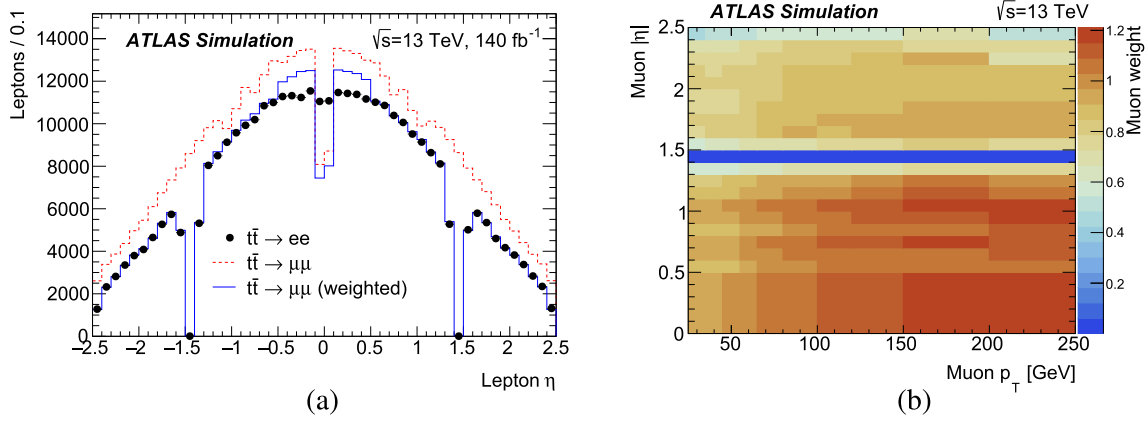
Selected events were required to have exactly two leptons (electrons or muons) passing the requirements above, of opposite charges, with at least one of the leptons being matched to a corresponding electron or muon trigger signature. The leptons were additionally required to have  $p_T > 27.3 \text{ GeV}$ , to further reduce the contribution of events with misidentified leptons, and to ensure all leptons were above the muon trigger  $p_T$  threshold. For the  $t\bar{t}$  selection ( $ee, e\mu$  and  $\mu\mu$ ), events were additionally required to satisfy  $m_{\ell\ell} > 30 \text{ GeV}$  and to have exactly one or exactly two  $b$ -tagged jets with  $p_T > 30 \text{ GeV}$ . For the inclusive  $Z \rightarrow \ell\ell$  selection, only  $ee$  and  $\mu\mu$  events were retained, with  $66 \text{ GeV} < m_{\ell\ell} < 116 \text{ GeV}$ , and no requirements were made on jet or  $b$ -tagged jet multiplicity. Table 1 summarises the event selection.

The numbers of leptons in simulated  $t\bar{t}$  events passing the  $ee$  and  $\mu\mu$   $t\bar{t}$  selections are shown as the points and dashed red lines in Fig. 1a. The distributions are different, due mainly to the smaller electron efficiency in the forward region at high  $|\eta|$ , the gap in electron acceptance at  $1.37 < |\eta| < 1.52$ , and the reduction in muon acceptance at  $\eta \approx 0$  due to detector services. The electron and muon efficiencies also evolve differently with  $p_T$ . To minimise physics modelling

uncertainties in the measurement of  $R_W^{\mu/e}$ , it is important that the kinematic dependencies of the electron and muon identification efficiencies are as similar as possible. This was achieved by applying an  $\eta$ - and  $p_T$ -dependent weight to each muon, as shown in Fig. 1b. The weights were derived so as to equalise the two-dimensional distributions of lepton  $p_T$  and  $\eta$  in simulated  $ee$  and  $\mu\mu$   $t\bar{t}$  events, and normalised so that the total number of selected events is similar in the two channels. The effect on the muon  $\eta$  distribution is shown by the blue solid line in Fig. 1a. The muon efficiency loss at  $\eta \approx 0$  was compensated over a wide region corresponding to a single bin with  $|\eta| < 0.5$  to avoid giving muons at  $\eta \approx 0$  large weights, but the physics modelling effects in this region are small. The muon efficiency weighting affects the event counts in the  $t\bar{t} \rightarrow \mu\mu$  and  $e\mu$  selections, and the inclusive  $Z \rightarrow \mu\mu$  selection. It was applied to both data and simulation, and is included in all distributions, event counts and efficiencies shown in this paper.

Figure 2 shows comparisons of data and simulation for selected events in the  $ee$  (left column) and  $\mu\mu$  (right column) channels, additionally requiring  $|m_{\ell\ell} - m_Z| > 10 \text{ GeV}$  to reduce the  $Z$  + jets contribution. The simulation prediction uses the reference values for the  $t\bar{t}$  and  $Z$  cross-sections given in Sect. 2 and assumes  $R_W^{\mu/e} = R_Z^{\mu\mu/ee} = 1$ . The baseline prediction shown by the black histogram uses POWHEG + PYTHIA8  $t\bar{t}$  events, which are known not to reproduce the top quark  $p_T$  spectrum measured in data [72] or predicted by NNLO calculations [73]. The red dotted line shows the prediction from POWHEG + PYTHIA8 reweighted using a linear function of top quark  $p_T$  as discussed in Ref. [50] in order to better describe the measurement of Ref. [72]. The green dashed line shows the prediction using  $t\bar{t}$  events generated with POWHEG + HERWIG7.

Figure 2a, b shows the multiplicity of  $b$ -tagged jets,  $N_{b\text{-tag}}$ , with the simulation normalised to the same integrated luminosity as the data; the simulation describes the data well for  $N_{b\text{-tag}} \leq 2$ , but shows a deficit for  $N_{b\text{-tag}} \geq 3$ . Figure 2c-f shows the lepton  $p_T$  and  $|\eta|$  distributions for the  $t\bar{t}$ -dominated samples with at least one  $b$ -tagged jet, normalising the simulation to the same number of selected events as the data to focus on shape comparisons. The samples with



**Fig. 1** **a** Number of selected leptons as a function of  $\eta$  in simulated  $t\bar{t}$  events with at least one  $b$ -tagged jet in the  $ee$  (points) and  $\mu\mu$  (red dashed line) channels, and number of selected leptons in the  $\mu\mu$  channel after muon weighting (blue solid line); **b** muon efficiency weights as a function of  $|\eta|$  and  $p_T$

POWHEG + PYTHIA8 and POWHEG + HERWIG7  $t\bar{t}$  events both predict a harder  $p_T$  spectrum than the data, but the top-quark  $p_T$ -reweighted sample agrees well, as also seen in Ref. [50]. Similar features are seen in the  $e\mu$  selection. The lepton  $p_T$  and  $|\eta|$  distributions in the  $ee$  and  $\mu\mu$  samples are similar (apart from at  $\eta \approx 0$ ), demonstrating the effect of the muon efficiency weighting described above.

### 4 Analysis method

The  $t\bar{t}$  cross-section was measured in each dilepton channel by fitting the numbers of selected events with one or two  $b$ -tagged jets to predictions based on the assumed  $t\bar{t}$  cross-section, leptonic selection efficiencies  $\epsilon_{\ell\ell}$  and estimated non- $t\bar{t}$  background. In the same-flavour channels, the dilepton invariant mass  $m_{\ell\ell}$  was also exploited to separate signal events from the dominant  $Z$  + jets background. This method allows the efficiency  $\epsilon_b^{\ell\ell'}$  for reconstructing and  $b$ -tagging a  $b$ -jet from the top quark decay to be determined from the data (separately for  $\ell\ell' = ee, e\mu$  and  $\mu\mu$ ), and minimises selection efficiency uncertainties due to the modelling of additional jets from QCD radiation in the  $t\bar{t}$  events.

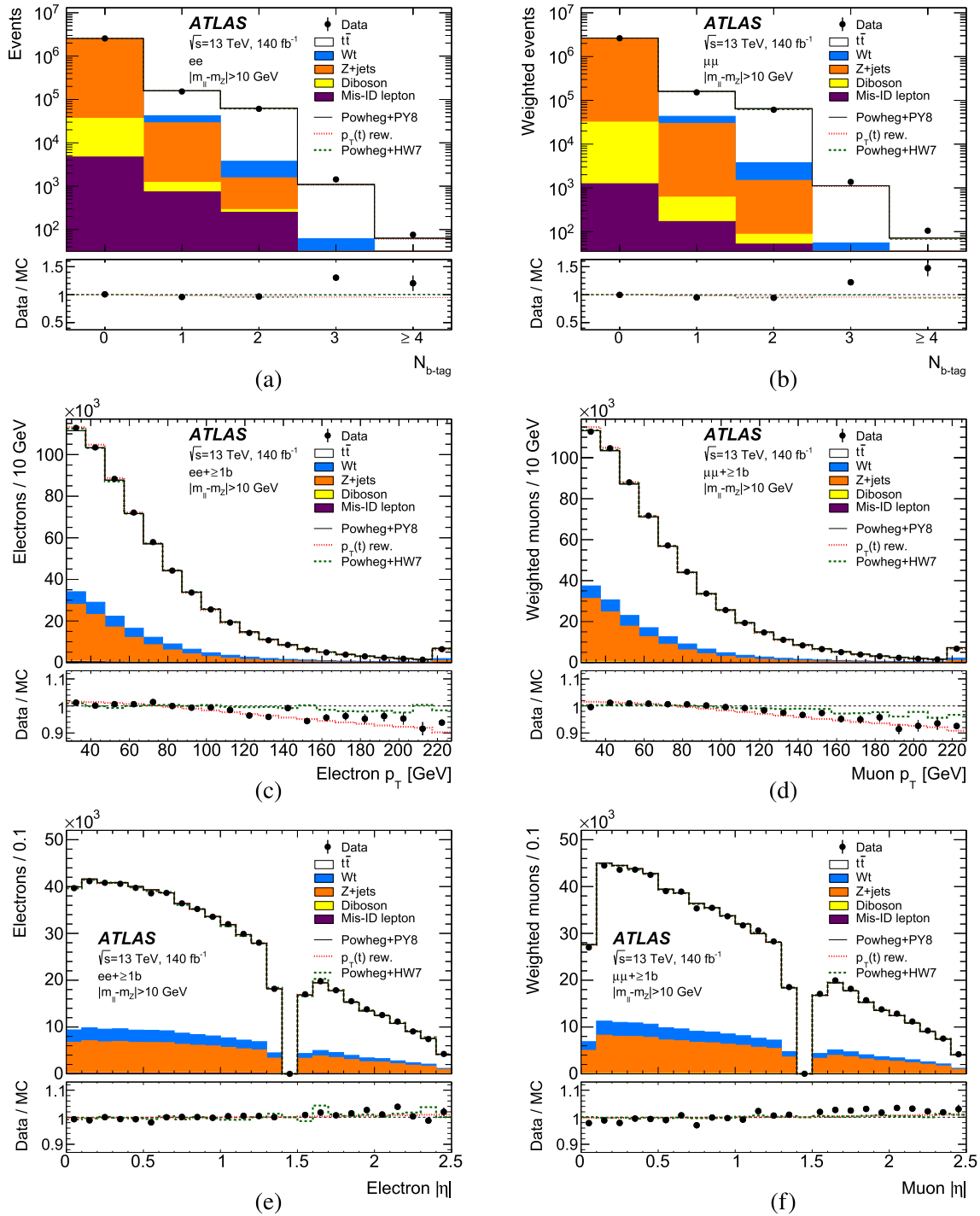
Following Ref. [50], the inclusive  $t\bar{t}$  cross-section was determined in the  $e\mu$  channel from the number of opposite-charge events with one ( $N_1^{e\mu}$ ) or two ( $N_2^{e\mu}$ )  $b$ -tagged jets. The two event counts satisfy the tagging equations

$$\begin{aligned}
 N_1^{e\mu} &= L\sigma_{t\bar{t}} \epsilon_{e\mu} g_{e\mu}^{t\bar{t}} 2\epsilon_b^{e\mu} (1 - C_b^{e\mu} \epsilon_b^{e\mu}) \\
 &\quad + \sum_{k=\text{bkg}} s_1^k g_{e\mu}^k N_1^{e\mu,k} \text{ and} \\
 N_2^{e\mu} &= L\sigma_{t\bar{t}} \epsilon_{e\mu} g_{e\mu}^{t\bar{t}} C_b^{e\mu} (\epsilon_b^{e\mu})^2 \\
 &\quad + \sum_{k=\text{bkg}} s_2^k g_{e\mu}^k N_2^{e\mu,k},
 \end{aligned}
 \tag{1}$$

where  $L$  is the integrated luminosity of the sample,  $\epsilon_{e\mu}$  is the efficiency for a  $t\bar{t}$  event to pass the opposite-charge  $e\mu$  selection (including the simulated values of the  $W \rightarrow \ell\nu$  branching ratios), and  $g_{e\mu}^{t\bar{t}}$  expresses possible deviations of these branching ratios from their simulated values. The parameter  $C_b^{e\mu} \approx 1$  is a correlation coefficient that accounts for the fact that the tagging probabilities of the two  $b$ -quark jets from the top decays are not completely independent. It was evaluated from simulation as  $C_b^{e\mu} = 4N_{e\mu}^{t\bar{t}} N_2^{t\bar{t}} / (N_1^{t\bar{t}} + 2N_2^{t\bar{t}})^2$ , where  $N_{e\mu}^{t\bar{t}}$  is the number of selected  $e\mu$   $t\bar{t}$  events and  $N_1^{t\bar{t}}$  and  $N_2^{t\bar{t}}$  are the numbers of such events with one and two  $b$ -tagged jets [50]. Background contributions to  $N_1$  and  $N_2$  were divided into four sources indexed by  $k$ :  $Wt$ ,  $Z$  + jets, dibosons and events with at least one misidentified lepton. The estimate of each background  $k$  was scaled by a factor  $s_n^k$  for events with  $n = 1$  or  $2$   $b$ -tagged jets, and additionally scaled by  $g_{e\mu}^k$  to allow for changes in the  $W$  or  $Z$  leptonic branching ratios.

In the same-flavour channels, the events were divided into six bins of  $m_{\ell\ell}$  to separate the  $t\bar{t}$  signal from the large  $Z$  + jets background. The bins were indexed by subscript  $m$ , with lower bin boundaries at 30, 71, 81, 101, 111 and 151 GeV, the last bin including all events with  $m_{\ell\ell} > 151$  GeV. Using the extension of the tagging formalism introduced in Ref. [74], the numbers of opposite-charge  $\ell\ell$  events in each bin  $m$  with one and two  $b$ -tagged jets,  $N_{1,m}^{\ell\ell}$  and  $N_{2,m}^{\ell\ell}$  can then be expressed as

$$\begin{aligned}
 N_{1,m}^{\ell\ell} &= L\sigma_{t\bar{t}} \epsilon_{\ell\ell} g_{\ell\ell}^{t\bar{t}} 2\epsilon_b^{\ell\ell} (1 - C_b^{\ell\ell} \epsilon_b^{\ell\ell}) f_{1,m}^{\ell\ell,t\bar{t}} \\
 &\quad + \sum_{k=\text{bkg}} s_1^k g_{\ell\ell}^k f_{1,m}^{\ell\ell,k} N_1^{\ell\ell,k} \text{ and} \\
 N_{2,m}^{\ell\ell} &= L\sigma_{t\bar{t}} \epsilon_{\ell\ell} g_{\ell\ell}^{t\bar{t}} C_b^{\ell\ell} (\epsilon_b^{\ell\ell})^2 f_{2,m}^{\ell\ell,t\bar{t}} \\
 &\quad + \sum_{k=\text{bkg}} s_2^k g_{\ell\ell}^k f_{2,m}^{\ell\ell,k} N_2^{\ell\ell,k},
 \end{aligned}
 \tag{2}$$



**Fig. 2** Distributions of **a, b** the number of  $b$ -tagged jets in selected opposite-sign dilepton events with  $|m_{\ell\ell} - m_Z| > 10$  GeV (without applying the  $b$ -tagged jet multiplicity requirement), together with **c, d** the lepton transverse momentum and **e, f** the lepton pseudorapidity in such events with at least one  $b$ -tagged jet, showing  $ee$  (left column) and weighted  $\mu\mu$  (right column) events separately. The data is shown by the points with statistical error bars, compared to the prediction from simulation normalised to the data integrated luminosity in **a, b** and to

the same number of selected events in **c–f**. The predicted contributions from  $t\bar{t}$ ,  $Wt$ ,  $Z$  + jets, dibosons and events with misidentified leptons are shown separately. The red dotted line shows the prediction with the reweighted top quark  $p_T$  distribution, and the green dashed line that with POWHEG + HERWIG7  $t\bar{t}$  events instead of POWHEG + PYTHIA8. The lower plots show the ratio of data to the baseline simulation, and the ratios of the alternative simulation predictions to the baseline. The last bins include the overflows in plots **(a–d)**

with separate selection efficiencies  $\epsilon_{\ell\ell}$  and correlation coefficients  $C_b^{\ell\ell}$  for each same-flavour channel ( $\ell\ell = ee$  or  $\mu\mu$ ). The coefficients  $f_{1,m}^{\ell\ell,k}$  and  $f_{2,m}^{\ell\ell,k}$  describe the  $m_{\ell\ell}$  distributions, giving the fractions of events that appear in each mass bin, separately for each dilepton flavour  $\ell\ell$ , event source  $k$  and  $b$ -tagged jet multiplicity (1 or 2).

This analysis allows the branching ratios  $\mathcal{B}(W \rightarrow e\nu)$  and  $\mathcal{B}(W \rightarrow \mu\nu)$  to differ via a parameter  $\Delta_W$ , whilst keeping their average fixed to  $\overline{W} = 0.1082$ , the Standard Model prediction used in the simulation. In this model

$$R_W^{\mu/e} = \frac{\mathcal{B}(W \rightarrow \mu\nu)}{\mathcal{B}(W \rightarrow e\nu)} = \frac{\overline{W}(1 + \Delta_W)}{\overline{W}(1 - \Delta_W)}, \tag{3}$$

so that  $\Delta_W = (R_W^{\mu/e} - 1)/(R_W^{\mu/e} + 1)$ . The selected  $t\bar{t}$  dilepton samples also include events where one or both leptons arise from a  $W \rightarrow \tau \rightarrow e/\mu$  decay, and the branching ratios for  $W \rightarrow \tau\nu$ ,  $\tau \rightarrow e\nu\bar{\nu}$  and  $\tau \rightarrow \mu\nu\bar{\nu}$  were kept fixed at the values in the simulation. With these assumptions, the factors  $g_{\ell\ell'}^{t\bar{t}}$  in Eqs. (1) and (2) are given by

$$\begin{aligned} g_{ee}^{t\bar{t}} &= f_{0\tau}^{ee}(1 - \Delta_W)^2 && + f_{1\tau}^{ee}(1 - \Delta_W) && + f_{2\tau}^{ee} \\ g_{e\mu}^{t\bar{t}} &= f_{0\tau}^{e\mu}(1 - \Delta_W)(1 + \Delta_W) && + f_{1\tau}^{e\mu} && + f_{2\tau}^{e\mu} \\ g_{\mu\mu}^{t\bar{t}} &= f_{0\tau}^{\mu\mu}(1 + \Delta_W)^2 && + f_{1\tau}^{\mu\mu}(1 + \Delta_W) && + f_{2\tau}^{\mu\mu} \end{aligned}, \tag{4}$$

where the parameters  $f_{n\tau}^{\ell\ell'}$  give the fractions in each selected dilepton sample where  $n$  leptons resulted from  $W \rightarrow \tau \rightarrow e/\mu$  rather than direct  $W \rightarrow e/\mu$  decays. These fractions were taken from simulation, and are around  $f_{0\tau}^{\ell\ell'} = 0.88$ ,  $f_{1\tau}^{\ell\ell'} = 0.11$  and  $f_{2\tau}^{\ell\ell'} = 0.004$  for all three dilepton flavour combinations. Increasing  $f_{1\tau}^{\ell\ell'}$  by 1.3% and  $f_{2\tau}^{\ell\ell'}$  by 2.6%, corresponding to the uncertainty of 1.3% in  $\mathcal{B}(W \rightarrow \tau\nu)/\mathcal{B}(W \rightarrow \mu\nu)$  measured in Ref. [75], has a negligible effect on the fitted value of  $R_W^{\mu/e}$  from this analysis.

The estimates of the  $Wt$  and diboson backgrounds  $N_n^{\ell\ell',k}$  in Eqs. (1) and (2) (with  $k = Wt$  or diboson) were taken directly from simulation, with  $s_n^k$  fixed to unity. However, since  $Wt$  events have two real  $W$  bosons and the diboson background is dominated by  $WW$  production, the corresponding values of  $g_{\ell\ell'}^k$  were set equal to  $g_{\ell\ell'}^{t\bar{t}}$  given by Eq. (4), effectively treating these backgrounds as signal for the determination of  $R_W^{\mu/e}$ . The normalisation factors  $s_1^{Z+\text{jets}}$  and  $s_2^{Z+\text{jets}}$  for the  $Z + \text{jets}$  background were determined from data, exploiting the binning of the same-flavour dilepton events in  $m_{\ell\ell}$ , and applying the same factors to all three dilepton channels. However, the introduction of the normalisation measurement of  $R_Z^{\mu\mu/ee}$  also affects the  $Z + \text{jets}$  background estimate. Potential deviations of  $R_Z^{\mu\mu/ee}$  from unity

were described by a parameter  $\Delta_Z$ , related to  $R_Z^{\mu\mu/ee}$  by

$$R_Z^{\mu\mu/ee} = \frac{\mathcal{B}(Z \rightarrow \mu\mu)}{\mathcal{B}(Z \rightarrow ee)} = \frac{\overline{Z}(1 + \Delta_Z)}{\overline{Z}(1 - \Delta_Z)}, \tag{5}$$

where  $\overline{Z}$  is the average  $Z \rightarrow \ell\ell$  branching ratio and  $\Delta_Z = (R_Z^{\mu\mu/ee} - 1)/(R_Z^{\mu\mu/ee} + 1)$ , in analogy to Eq. (3). Potential biases in the modelling of the lepton isolation efficiency in the busy hadronic environment of  $Z + b$ -jet events (in particular differences between electrons and muons as discussed in Sect. 5) were taken into account by an additional ratio  $R_{Z+b}^{\mu\mu/ee}$  and associated parameter  $\Delta_{Z+b} = (R_{Z+b}^{\mu\mu/ee} - 1)/(R_{Z+b}^{\mu\mu/ee} + 1)$ . With these ingredients, the values of  $g_{\ell\ell'}^k$  for  $Z + \text{jets}$  events are given by

$$\begin{aligned} g_{ee}^{Z+\text{jets}} &= (1 - \Delta_Z)(1 - \Delta_{Z+b}) \\ g_{e\mu}^{Z+\text{jets}} &= 1 \\ g_{\mu\mu}^{Z+\text{jets}} &= (1 + \Delta_Z)(1 + \Delta_{Z+b}) \end{aligned}. \tag{6}$$

The contributions to the backgrounds in Eqs. (1) and (2) from events with misidentified leptons were evaluated using a partially data-driven method, as discussed below.

The factors  $g_{\ell\ell'}^{t\bar{t}}$  giving sensitivity to the  $W$ -boson branching ratios are related to  $\Delta_W$  and hence  $R_W^{\mu/e}$  by Eqs. (3) and (4). However, to reduce sensitivity to uncertainties in the electron and muon identification efficiencies, the fit was not performed with  $R_W^{\mu/e}$  directly, but instead using  $R_{WZ}^{\mu/e}$  and  $R_Z^{\mu\mu/ee}$ , where

$$R_{WZ}^{\mu/e} = \frac{R_W^{\mu/e}}{\sqrt{R_Z^{\mu\mu/ee}}} = \frac{\mathcal{B}(W \rightarrow \mu\nu)}{\mathcal{B}(W \rightarrow e\nu)} \cdot \sqrt{\frac{\mathcal{B}(Z \rightarrow ee)}{\mathcal{B}(Z \rightarrow \mu\mu)}}. \tag{7}$$

The normalisation to  $\sqrt{R_Z^{\mu\mu/ee}}$  ensures that the numerator and denominator of  $R_{WZ}^{\mu/e}$  each contain one power of the electron and muon efficiencies, reducing the sensitivity of  $R_{WZ}^{\mu/e}$  to uncertainties on these efficiencies. The value of  $R_Z^{\mu\mu/ee}$  needed in Eq. (7) was determined from the event counts in the inclusive  $Z \rightarrow \ell\ell$  selection,  $N_Z^{ee}$  and  $N_Z^{\mu\mu}$ , given by

$$\begin{aligned} N_Z^{ee} &= L \sigma_{Z \rightarrow \ell\ell} \epsilon_{Z \rightarrow ee} (1 - \Delta_Z) + \sum_{k=\text{bkg}} s_Z^k N_Z^{ee,k} \text{ and} \\ N_Z^{\mu\mu} &= L \sigma_{Z \rightarrow \ell\ell} \epsilon_{Z \rightarrow \mu\mu} (1 + \Delta_Z) + \sum_{k=\text{bkg}} s_Z^k N_Z^{\mu\mu,k}, \end{aligned} \tag{8}$$

where  $\epsilon_{Z \rightarrow ee}$  and  $\epsilon_{Z \rightarrow \mu\mu}$  are the selection efficiencies in simulation assuming equal branching ratios for  $Z \rightarrow ee$  and  $Z \rightarrow \mu\mu$ , and the factors involving  $\Delta_Z$  express the effects of deviations of  $R_Z^{\mu\mu/ee}$  from unity. Five sources



of backgrounds were considered, indexed by  $k$ : dibosons,  $Z \rightarrow \tau\tau \rightarrow ee/\mu\mu, t\bar{t}, Wt$  and events with misidentified leptons. The first four were estimated from simulation, with the  $t\bar{t}$  background being scaled according to the fitted value of  $\sigma_{t\bar{t}}$  via its normalisation  $s_Z^{t\bar{t}}$ , and all other  $s_Z^k$  values were fixed to unity. The misidentified-lepton background was estimated from data as discussed below.

All fit parameters were determined simultaneously using a single maximum likelihood fit to the observed event counts  $N_1^{e\mu}$  and  $N_2^{e\mu}$  in the  $e\mu$  channel, the observed counts in each dilepton invariant mass bin  $N_{1,m}^{\ell\ell}$  and  $N_{2,m}^{\ell\ell}$  for each same-flavour channel, and the observed counts  $N_Z^{ee}$  and  $N_Z^{\mu\mu}$  in the inclusive  $Z \rightarrow \ell\ell$  selections, as summarised in Table 2. A Gaussian likelihood formulation was used, taking into account the probability distributions of the weighted event counts in the  $\mu\mu$  and  $e\mu$  channels. The fit has ten free parameters: the four parameters of interest  $\sigma_{t\bar{t}}, \sigma_{Z \rightarrow \ell\ell}, R_{WZ}^{\mu/e}$  and  $R_Z^{\mu\mu/ee}$ , the three  $b$ -tagged jet efficiencies  $\epsilon_b^{\ell\ell}$ , the scale factors  $s_1^{Z+jets}$  and  $s_2^{Z+jets}$  for the  $Z + jets$  background, and the  $Z + jets$  isolation efficiency parameter  $R_{Z+b}^{\mu\mu/ee}$ . Apart from the integrated luminosity  $L$  and the misidentified lepton backgrounds, all other quantities were determined from simulation, namely the efficiencies  $\epsilon_{\ell\ell}, \epsilon_{Z \rightarrow ee}$  and  $\epsilon_{Z \rightarrow \mu\mu}$ ,  $b$ -tagging correlations  $C_b^{\ell\ell}$ ,  $\tau$  fractions  $f_{n\tau}^{\ell\ell}$ , background counts  $N_1^{\ell\ell,k}, N_2^{\ell\ell,k}$  and  $N_Z^{\ell\ell,k}$ , and mass distributions  $f_{1,m}^{\ell\ell,k}$  and  $f_{2,m}^{\ell\ell,k}$ . In the baseline simulation, the  $t\bar{t}$  dilepton selection efficiencies are  $\epsilon_{ee} = 0.316\%$ ,  $\epsilon_{e\mu} = 0.639\%$  and  $\epsilon_{\mu\mu} = 0.314\%$ , the correlation coefficients  $C_b^{\ell\ell}$  are about 1.003 for all dilepton flavours, and the  $Z$  selection efficiencies are  $\epsilon_{Z \rightarrow ee} = 16.8\%$  and  $\epsilon_{Z \rightarrow \mu\mu} = 17.3\%$ . The fitted  $Z$  cross-section and  $R_Z^{\mu\mu/ee}$  are constrained by the inclusive  $Z \rightarrow \ell\ell$  selection,  $\sigma_{t\bar{t}}$  is mainly determined from the  $e\mu$  channel, and  $R_{WZ}^{\mu/e}, s_1^{Z+jets}, s_2^{Z+jets}$  and  $R_{Z+b}^{\mu\mu/ee}$  are mainly determined from the same-flavour  $t\bar{t}$  selections. Around 1% of the events in the inclusive  $Z \rightarrow \ell\ell$  selections are also included in the same-flavour  $t\bar{t}$  selections, but this overlap has a negligible effect on the analysis. The analysis procedure was validated using simulation-based pseudo-experiments with various input values of  $\sigma_{t\bar{t}}, \sigma_{Z \rightarrow \ell\ell}, R_{WZ}^{\mu/e}$  and  $R_Z^{\mu\mu/ee}$ . These tests verified that the fit gives correct uncertainty estimates and that any residual biases are much smaller than the data statistical uncertainty.

The background from events with misidentified leptons in the  $t\bar{t}$  selection was estimated using same-sign (SS) control samples, selected as described in Sect. 3 but requiring two leptons of the same rather than opposite electric charges. In an extension of the method described in Ref. [50], the misidentified lepton background  $N_j^{i,mis-id}$  in invariant mass bin  $i$  (using a single bin for the  $e\mu$  channel) with  $j$   $b$ -tagged jets, was estimated from the number of SS events in data,  $N_j^{i,d,SS}$ , after subtracting the number of prompt SS events

**Table 2** Summary of the fitted distributions, showing the distribution variable, number of bins and event count nomenclature for each sample of selected events

Event selection	Variable	Bins	Event count
$e\mu+1$ or 2 $b$ -tagged jets	$N_{b-tag}$	2	$N_1^{e\mu}, N_2^{e\mu}$
$ee+1$ $b$ -tagged jet	$m_{\ell\ell}$	6	$N_{1,m}^{ee}$
$ee+2$ $b$ -tagged jets	$m_{\ell\ell}$	6	$N_{2,m}^{ee}$
$\mu\mu+1$ $b$ -tagged jet	$m_{\ell\ell}$	6	$N_{1,m}^{\mu\mu}$
$\mu\mu+2$ $b$ -tagged jets	$m_{\ell\ell}$	6	$N_{2,m}^{\mu\mu}$
$Z \rightarrow ee$ or $\mu\mu$	Channel	2	$N_Z^{ee}, N_Z^{\mu\mu}$

$N_j^{i,prompt,SS}$  estimated using simulation, and then scaling by the ratio  $R_j^i$  of misidentified-lepton events in the opposite-sign (OS) and SS samples in simulation:

$$N_j^{i,mis-id} = R_j^i(N_j^{i,d,SS} - N_j^{i,prompt,SS})$$

$$R_j^i = \frac{N_j^{i,mis-id,OS}}{N_j^{i,mis-id,SS}}. \tag{9}$$

To reduce the pollution of the SS  $ee$  and  $e\mu$  samples from true OS events where an electron charge sign was misreconstructed (particularly for  $m_{\ell\ell}$  close to the  $Z \rightarrow ee$  resonance), electrons in the SS sample were required to be accepted by a charge misidentification boosted decision tree (BDT) [28] that reduces the rate of electron charge misidentification by up to an order of magnitude. The values of  $R_j^i$  are sensitive to the composition of the misidentified lepton background in simulation, and the corresponding uncertainty was assessed by removing the photon conversion, misidentified hadron and muon decay in flight contributions in turn, and recalculating  $R_j^i$ . The modelling of the charge misidentification BDT was studied using  $Z \rightarrow ee$  events. An uncertainty of 25% on the prompt SS contribution was assumed, covering the uncertainties in the dominant contributing processes ( $t\bar{t}+W, Z$  and  $H, WZ$ ) and in the rate of electron charge misidentification. The misidentified lepton background was evaluated to contribute 0.4–1.2% of the  $ee$  opposite-sign sample (depending on  $m_{\ell\ell}$  and the  $b$ -tagged jet multiplicity), 0.2–0.8% of the  $e\mu$  sample and less than 0.4% of the  $\mu\mu$  sample. The estimates from data are compatible with the predictions from simulation within the evaluated uncertainties of 30–70%. The simulation was also found to provide a good description of data SS control samples where the isolation requirements were inverted to increase the misidentified lepton contributions.

The misidentified lepton background in the  $Z \rightarrow \ell\ell$  selection was estimated from data in the region  $66 \text{ GeV} < m_{\ell\ell} < 81 \text{ GeV}$  and  $101 \text{ GeV} < m_{\ell\ell} < 116 \text{ GeV}$  by defining two orthogonal control samples (B and C) enriched in misidentified leptons. The B samples were defined by requiring the lower- $p_T$  lepton to fail the isolation requirement, and in the

case of electrons also requiring it to fail the Medium identification requirement but pass a looser requirement. The C samples were defined by requiring the two leptons to have the same rather than opposite electric charges. To reduce the background from genuine  $Z \rightarrow ee$  events where one electron charge sign was mismeasured, both electrons were required to be accepted by the charge misidentification BDT. A third control sample (D) was defined by applying both B and C requirements. Assuming the B and C requirements to be uncorrelated, the number  $N_A^{\text{mis-id}}$  of misidentified lepton events in the A (signal) sample was then estimated from  $N_A^{\text{mis-id}} = f N_B^{\text{mis-id}} N_C^{\text{mis-id}} / N_D^{\text{mis-id}}$  where  $N_X^{\text{mis-id}}$  is the number of observed events in region  $X$  ( $X = B, C$  or  $D$ ) after subtracting the prompt lepton contribution using simulation, and the factor  $f = (50 \text{ GeV}) / (15 \text{ GeV} + 15 \text{ GeV}) = 5/3$  linearly interpolates the estimate over the complete mass range  $66 \text{ GeV} < m_{\ell\ell} < 116 \text{ GeV}$ . The misidentified lepton contributions were found to be  $0.39 \pm 0.37\%$  of the  $Z \rightarrow ee$  sample and  $0.06 \pm 0.27\%$  of the  $Z \rightarrow \mu\mu$  sample, estimating the systematic uncertainties by using a tighter anti-isolation requirement in the B and D samples.

## 5 Lepton isolation efficiency measurements

The efficiency of the isolation requirements applied to the leptons was measured directly in data using a tag-and-probe methodology, separately for the  $Z \rightarrow \ell\ell$  and busier  $t\bar{t} \rightarrow \ell\ell$  environments, and for all leptons with  $p_T > 20 \text{ GeV}$ . In the  $Z \rightarrow \ell\ell$  measurements, opposite-sign  $ee$  and  $\mu\mu$  pairs were selected, requiring the tag lepton to satisfy the identification and isolation cuts described in Sect. 3 and to be matched to a corresponding trigger signature. The probe lepton was only required to satisfy the identification cuts, and the isolation efficiency was measured from the fraction of probe leptons with dilepton invariant mass in the range  $80 \text{ GeV} < m_{\ell\ell} < 102 \text{ GeV}$  that pass the isolation requirement, after correcting for the background from non-prompt leptons, which reaches up to 1% in the samples failing the isolation requirement at low lepton  $p_T$ . This background was estimated using a template fit to the  $m_{\ell\ell}$  distribution, with the templates for prompt leptons obtained from simulation, and those from misidentified lepton events obtained from control samples with modified selection cuts. The isolation efficiencies were measured as a function of lepton  $p_T$  for four bins in  $|\eta|$ . The data results are compared to the corresponding isolation efficiencies predicted by the POWHEG + PYTHIA8 and SHERPA  $Z \rightarrow \ell\ell$  simulation samples in Fig. 3a, b. The data efficiencies in the lowest bin used in the analysis ( $25 \text{ GeV} < p_T < 30 \text{ GeV}$ ) are around 80–85%, increasing to 99% at high lepton  $p_T$ . The POWHEG + PYTHIA8 simulation underestimates the electron efficiency by around 2% at low  $p_T$ , whereas the muon efficiency is overestimated by 1%.

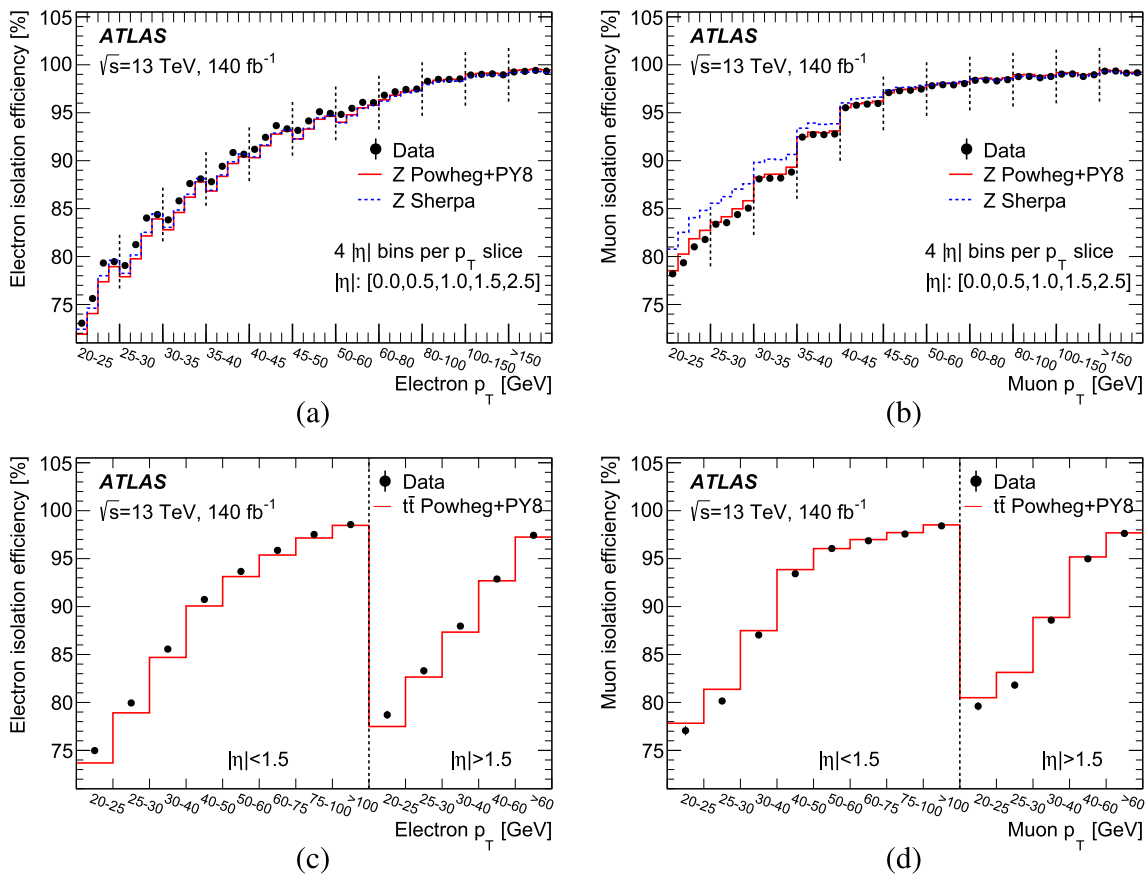
The SHERPA simulation also underestimates the electron efficiency by about 2% at low  $p_T$ , but overestimates the muon efficiency by about 3%.

The corresponding efficiencies in the  $t\bar{t}$  environment were measured using  $e\mu$  events with at least one  $b$ -tagged jet. Here, an invariant mass cut cannot be applied to suppress misidentified lepton background and the fraction of background in the probe lepton samples failing isolation reaches 25–30% in the samples with one  $b$ -tagged jet, but remains below 10% when two  $b$ -tagged jets are present. This background was estimated using same-charge events in a similar way as for the main analysis selection discussed in Sect. 4. The efficiencies were measured as a function of lepton  $p_T$  for  $|\eta| < 1.5$  and  $|\eta| > 1.5$ , combining the results from the one  $b$ -tagged and two  $b$ -tagged jet samples, which were found to be consistent. The results from data are compared with the baseline POWHEG + PYTHIA8  $t\bar{t}$  simulation in Fig. 3c, d. In data, the efficiencies vary from around 80% at  $p_T = 25 \text{ GeV}$  to 97–98% at high  $p_T$ , and the simulation underestimates the efficiency at low  $p_T$  for electrons, and overestimates it for muons, similar to the  $Z \rightarrow \ell\ell$  case.

The ratios of data to simulation efficiencies shown in Fig. 3 were used to define multiplicative efficiency corrections (scale factors) that were applied to simulation on a per-lepton basis. The systematic uncertainties on the  $Z \rightarrow \ell\ell$  scale factors were assessed by varying selection cuts for the fit and control regions, leading to uncertainties of less than 0.05% on the values of  $\epsilon_{Z \rightarrow ee}$  and  $\epsilon_{Z \rightarrow \mu\mu}$ . The systematic uncertainties on the  $t\bar{t}$  scale factors include the modelling of prompt-lepton contributions to the same-charge samples, the extrapolation of misidentified leptons from same- to opposite-charge and the modelling of the electron charge misassignment, giving uncertainties of around 0.1% per lepton. The isolation efficiency scale factors calculated for POWHEG + PYTHIA8  $t\bar{t}$  events were applied to all simulated events passing the  $t\bar{t}$  selections, including the  $Z + \text{jets}$  events simulated with SHERPA. However, the results from inclusive  $Z \rightarrow \mu\mu$  events shown in Fig. 3b suggest that the modelling of the muon isolation efficiency in SHERPA  $Z + \text{jets}$  events may require different corrections to those measured for POWHEG + PYTHIA8  $t\bar{t}$  events. A potential extra difference in lepton isolation efficiencies between  $Z(\rightarrow ee) + \text{jets}$  and  $Z(\rightarrow \mu\mu) + \text{jets}$  was therefore included in the likelihood fit, represented by the floating parameter  $R_{Z+b}^{\mu\mu/ee}$  appearing via  $\Delta_{Z+b}$  in Eq. (6).

## 6 Systematic uncertainties

Systematic uncertainties arise from uncertainties in the quantities appearing in Eqs. (1), (2) and (8). Each systematic uncertainty was evaluated by calculating the effect on all input parameters ( $\epsilon_{\ell\ell}$ ,  $C_b^{\ell\ell}$ ,  $\epsilon_{Z \rightarrow \ell\ell}$ , background estimates etc.) simultaneously and repeating the fit, rather than includ-



**Fig. 3** Lepton isolation efficiencies measured for **a, c** electrons and **b, d** muons as functions of lepton  $p_T$  and  $|\eta|$ . The data is shown by the points with error bars and the simulation by the histograms. The upper plots show measurements in  $Z \rightarrow \ell\ell$  events, with four  $\eta$  bins (with boundaries at  $|\eta| = 0, 0.5, 1.0, 1.5$  and  $2.5$ ) shown sequentially for

each  $p_T$  slice. The lower plots show measurements in  $t\bar{t} \rightarrow e\mu$  events, separately for leptons with  $|\eta| < 1.5$  in the leftmost bins and  $|\eta| > 1.5$  in the rightmost bins. The data is compared to POWHEG + PYTHIA8 simulation (without isolation efficiency scale factors) for both  $Z$  and  $t\bar{t}$  events, and additionally to SHERPA  $Z$  events

ing the systematic uncertainty as a nuisance parameter in the fit in a profile likelihood approach. For some sources of uncertainty, e.g. lepton efficiencies and PDF variations, the effects on the different input quantities are only partially correlated, and this was taken into account by using the full covariance matrix expressing the dependence of the fit result on each parameter and their correlations. The resulting systematic uncertainties on  $\sigma_{t\bar{t}}$ ,  $\sigma_{Z \rightarrow \ell\ell}$ ,  $R_{WZ}^{\mu/e}$  and  $R_Z^{\mu\mu/ee}$  are discussed below, and summarised in Table 5 in Sect. 7. The methodologies generally follow those described in Ref. [50].

**$t\bar{t}$  modelling:** The values of  $\epsilon_{\ell\ell'}$ ,  $C_b^{\ell\ell'}$ ,  $f_{n\tau}^{\ell\ell'}$  and  $f_{j,m}^{\ell\ell',t\bar{t}}$  depend on the choice of  $t\bar{t}$  simulation model. The uncertainty due to the choice of generator (in particular the matrix-element matching algorithm) was assessed by using the sample generated with AMC@NLO + PYTHIA8 instead of POWHEG + PYTHIA8, and the parton shower, hadronisation and underlying event modelling uncertainty was assessed by using the POWHEG + HERWIG7.1 sample. Uncertainties due to initial- and final-state

radiation were assessed by using event weights to vary the QCD renormalisation and factorisation scales in the matrix element independently by factors of two up and down from their default values, by changing the  $h_{\text{damp}}$  parameter from  $1.5m_t$  to  $3m_t$  and symmetrising the resulting uncertainty [45], by using the VAR3C A14 tune variations [40], and by changing the renormalisation and factorisation scales used in the parton shower, again by factors of two up and down. Since some of these variations also induce changes in the amount of activity close to the leptons, they affect the simulated lepton isolation efficiencies. These variations were therefore evaluated without applying lepton isolation cuts, to avoid double-counting differences absorbed in the lepton isolation efficiency scale factors described in Sect. 5. The fraction of  $t\bar{t}$  events with at least three  $b$ -jets at generator level was also varied by  $\pm 50\%$ , motivated

- by the discrepancies seen for  $N_{b\text{-tag}} \geq 3$  in Fig. 2a, b, and the top quark mass was varied by  $\pm 1$  GeV.
- Top quark  $p_T$  modelling:** As none of the considered  $t\bar{t}$  modelling variations reproduce the data lepton  $p_T$  distribution, the full effect of the top quark  $p_T$  reweighting shown as the red dotted line in Fig. 2c, d was included as a systematic uncertainty.
- Parton distribution functions:** The PDF uncertainties were evaluated using the 30 eigenvectors of the PDF4LHC15 meta-PDF set [76], taking into account the differing effects on the  $t\bar{t}$ ,  $Wt$ ,  $Z$  + jets and inclusive  $Z$  processes and their correlations. The uncertainties from the PDF4LHC15 eigenvectors are larger than the shifts induced by reweighting the simulation samples (generated with NNPDF3.0 or CT10 PDFs) to the central predictions of PDF4LHC15.
- Single top modelling:** The uncertainties on modelling the  $Wt$  background were assessed using alternative samples generated with AMC@NLO + PYTHIA8 and POWHEG + HERWIG7.0 [77], by varying the renormalisation and factorisation scales and by using the VAR3C tune variations, in the same way as for  $t\bar{t}$  events. The  $Wt$  cross-section was varied by the QCD scale uncertainty of 2.4%, the PDF-related cross-section uncertainty being already accounted for coherently with the  $t\bar{t}$  and  $Z$  PDF variations.
- Single-top/ $t\bar{t}$  interference:** The uncertainty in modelling the interference between the  $Wt$  and  $t\bar{t}$  final states was assessed by using the diagram subtraction scheme [51, 52] instead of the baseline diagram removal scheme.
- $Z$  (+jets) modelling:** Uncertainties in the  $Z$  + jets background in the  $t\bar{t}$  selection were evaluated by changing the QCD factorisation and renormalisation scales in the SHERPA samples by factors of two up and down from their default values, separately or together and excluding variations in opposite directions. The SHERPA samples were also used to evaluate corresponding variations in  $\epsilon_{Z \rightarrow ee}$  and  $\epsilon_{Z \rightarrow \mu\mu}$  for the inclusive  $Z \rightarrow \ell\ell$  selection. Simultaneous changes of both scales were found to have the largest effects on both  $R_{WZ}^{\mu/e}$  and  $R_Z^{\mu\mu/ee}$ , and were used to define the corresponding uncertainty. Half the effect of the  $p_T^{\ell\ell}$  weighting applied to the POWHEG + PYTHIA8 inclusive  $Z \rightarrow \ell\ell$  samples was included as an additional  $Z$  modelling uncertainty.
- Diboson modelling:** The normalisation of the diboson contribution was varied by 20%, covering uncertainties in the cross-sections and acceptances. Alternative samples generated using POWHEG + PYTHIA8 instead of SHERPA were also considered.
- Lepton energy/momentum scale and resolution:** The electron energy scale and muon momentum scale and corresponding resolution were determined using  $Z \rightarrow ee$  and  $Z \rightarrow \mu\mu$  decays as discussed in Refs. [28, 29], and were varied within the corresponding uncertainties.
- Lepton identification:** The lepton identification efficiencies were measured using tag-and-probe techniques applied to  $Z \rightarrow ee$  and  $Z \rightarrow \mu\mu$  events [31, 32], as functions of lepton  $p_T$  and  $\eta$  for electrons, and  $\eta$  and  $\phi$  for muons. The corresponding uncertainties are only partially correlated across  $p_T$ ,  $\eta$  and  $\phi$ , and the information was propagated to  $\epsilon_{\ell\ell}$  and  $\epsilon_{Z \rightarrow \ell\ell}$  (and their correlations) by generating multiple sets of scale factor replicas whose variations represent the full uncertainty model. The uncertainties due to electron charge misidentification were studied using  $Z \rightarrow ee$  events and taken into account using the same technique.
- Lepton isolation:** The lepton isolation efficiency uncertainties discussed in Sect. 5 were also propagated using scale factor replicas, and taken to be uncorrelated between electrons and muons, and between the  $t\bar{t}$  and  $Z \rightarrow \ell\ell$  selections.
- Lepton trigger:** The lepton trigger efficiencies were also measured in  $Z \rightarrow ee$  and  $Z \rightarrow \mu\mu$  events using tag-and-probe techniques [20, 21], and were varied within the corresponding uncertainties.
- Jet energy scale and resolution:** The jet energy scale was determined using a combination of simulation, test beam and in-situ measurements, and the jet energy resolution was studied using di-jet balance techniques [30]. The modelling of the pileup jet veto using the JVT requirement was studied using jets in  $Z \rightarrow \mu\mu$  events [70].
- $b$ -tagging efficiency/mistag:** The efficiency for reconstructing and tagging  $b$ -jets in  $t\bar{t}$  events was measured in situ via the fit parameters  $\epsilon_b^{\ell\ell}$ . However, the background yields and tagging correlations depend on the  $b$ -tagging efficiencies and mistag rates predicted by simulation, with the corresponding scale factors and uncertainties determined using  $t\bar{t}$  and  $Z$  + jets events as described in Refs. [33, 78, 79].
- Misidentified leptons:** The uncertainties on the misidentified lepton backgrounds were evaluated as discussed in Sect. 4, and were taken to be uncorrelated between electrons and muons, and between the  $t\bar{t}$  and  $Z \rightarrow \ell\ell$  selections.

**Table 3** Observed numbers of opposite-charge dilepton events (weighted events for the  $e\mu$  and  $\mu\mu$  channels) with one (upper block) and two (lower block)  $b$ -tagged jets in the  $t\bar{t}$  selection in data, together with the estimated event counts from the fit prediction, including the associated statistical and systematic uncertainties. The five columns

show the  $ee$  channel with  $|m_{\ell\ell} - m_Z| > 10\text{ GeV}$  (off- $Z$ ) and  $|m_{\ell\ell} - m_Z| < 10\text{ GeV}$  (on- $Z$ ), the  $e\mu$  channel, and the  $\mu\mu$  channel including off- $Z$  and on- $Z$  selections. The uncertainties in the total predictions are smaller than the individual component uncertainties due to correlations induced by the fit

Event counts	$N_{1,\text{off-Z}}^{ee}$	$N_{1,\text{on-Z}}^{ee}$	$N_1^{e\mu}$	$N_{1,\text{off-Z}}^{\mu\mu}$	$N_{1,\text{on-Z}}^{\mu\mu}$
Data	222,304	442,108	405,437	223,085	448,105
$t\bar{t}$	$154,800 \pm 1700$	$24,830 \pm 850$	$361,000 \pm 4200$	$152,500 \pm 1800$	$24,070 \pm 860$
$Wt$	$17,500 \pm 1600$	$2770 \pm 240$	$41500 \pm 3800$	$17,800 \pm 1700$	$2730 \pm 250$
$Z$ + jets	$46,880 \pm 400$	$410,700 \pm 2000$	$859 \pm 21$	$51,010 \pm 780$	$418,000 \pm 2000$
Diboson	$770 \pm 160$	$3940 \pm 840$	$790 \pm 280$	$770 \pm 160$	$3880 \pm 830$
Mis-ID leptons	$1300 \pm 500$	$360 \pm 260$	$1740 \pm 610$	$390 \pm 150$	$172 \pm 87$
Total prediction	$221,280 \pm 550$	$442,600 \pm 1100$	$405,900 \pm 1800$	$222,390 \pm 670$	$448,900 \pm 1100$
Event counts	$N_{2,\text{off-Z}}^{ee}$	$N_{2,\text{on-Z}}^{ee}$	$N_2^{e\mu}$	$N_{2,\text{off-Z}}^{\mu\mu}$	$N_{2,\text{on-Z}}^{\mu\mu}$
Data	85936	37704	198502	86169	38512
$t\bar{t}$	$79,750 \pm 920$	$13,340 \pm 480$	$191,000 \pm 1800$	$79,770 \pm 830$	$13,180 \pm 450$
$Wt$	$2860 \pm 760$	$400 \pm 110$	$6700 \pm 1600$	$2940 \pm 740$	$423 \pm 90$
$Z$ + jets	$2675 \pm 68$	$23,610 \pm 590$	$78 \pm 2$	$3095 \pm 87$	$24110 \pm 600$
Diboson	$67 \pm 23$	$550 \pm 110$	$29 \pm 8$	$71 \pm 30$	$570 \pm 110$
Mis-ID leptons	$400 \pm 290$	$96 \pm 59$	$720 \pm 520$	$350 \pm 160$	$104 \pm 56$
Total prediction	$85,760 \pm 360$	$38,000 \pm 190$	$198,510 \pm 440$	$86,230 \pm 300$	$38,380 \pm 210$

**Simulation statistics:** The limited size of the Monte Carlo simulation samples primarily affects the predictions of  $\epsilon_{\ell\ell'}$  and  $C_b^{\ell\ell'}$ , and the fractions  $f_{j,m}^{\ell\ell,Z+\text{jets}}$  of the  $Z$  + jets background entering each invariant mass bin. The uncertainties in  $\epsilon_{\ell\ell'}$  and  $C_b^{\ell\ell'}$  were taken into account by repeating the fit with each parameter shifted by its uncertainty in turn. The uncertainties in  $f_{j,m}^{\ell\ell,Z+\text{jets}}$  were accounted for directly in the Gaussian likelihood terms that compare the data counts with the prediction in each invariant mass bin.

**Integrated luminosity:** The integrated luminosity of the dataset was evaluated using the LUCID2 detector [80], complemented by measurements from the inner detector and calorimeters, and has an uncertainty of 0.83% [18].

**Beam energy:** The LHC beam energy is known to a precision of 0.1%, which translates into small uncertainties on  $\sigma_{t\bar{t}}$  and  $\sigma_{Z \rightarrow \ell\ell}$  as discussed in Ref. [50].

### 7 Fit results

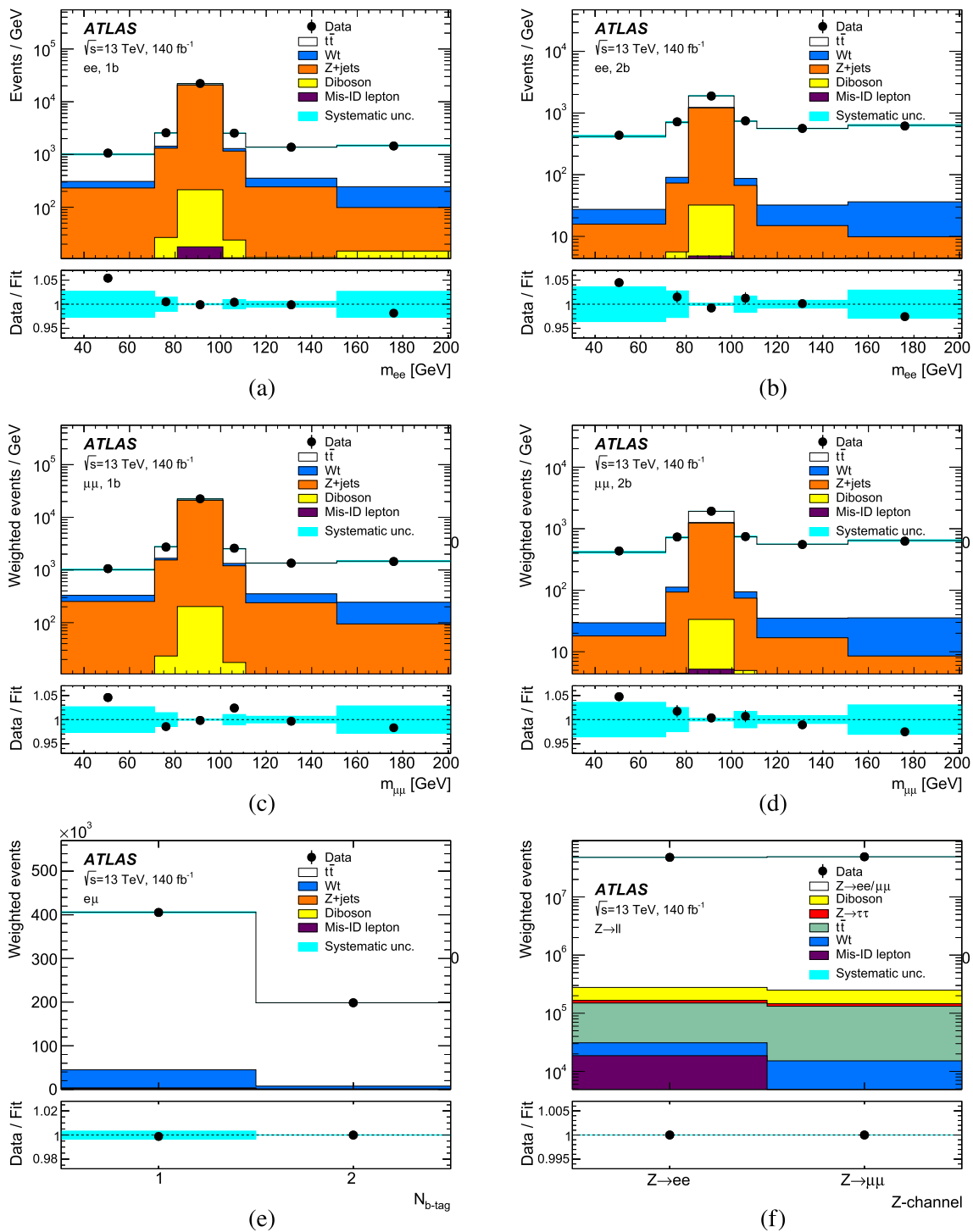
Table 3 shows the number of observed events in each of the dilepton channels of the  $t\bar{t}$  selection together with the results of the fit, broken down into the estimated contributions from  $t\bar{t}$ ,  $Wt$ ,  $Z$  + jets, diboson and misidentified leptons. The counts are shown separately for events with one

and two  $b$ -tagged jets, and separately for events off (with  $|m_{\ell\ell} - m_Z| > 10\text{ GeV}$ ) and on (with  $|m_{\ell\ell} - m_Z| < 10\text{ GeV}$ ) the  $Z$  resonance in the same-flavour channels. The full  $m_{\ell\ell}$  distributions are shown in Fig. 4. In the same-flavour samples with one  $b$ -tagged jet, the  $t\bar{t}$  purity is about 70% and the background is dominated by  $Z$  + jets events. In the two  $b$ -tagged jets samples, the  $t\bar{t}$  purity rises to 93%, with equal background contributions from  $Z$  + jets and  $Wt$  events. In the  $e\mu$  channel, the  $Z$  + jets background is almost negligible, and the  $t\bar{t}$  purity is 89% in the one  $b$ -tagged sample and 96% in the two  $b$ -tagged sample. Table 4 shows the corresponding event counts and predictions for the  $Z \rightarrow \ell\ell$  selection; here the backgrounds are only around 0.5%.

Figure 4 shows that the fit generally models the data well, except for a data excess in the lowest  $m_{\ell\ell}$  bin in all four same-flavour distributions, whose significance is however always less than two standard deviations. Part of this discrepancy can be attributed to the top-quark  $p_T$  mismodelling—the reweighted sample shown by the red dotted line in Fig. 2 also predicts a softer  $m_{\ell\ell}$  distribution for  $t\bar{t}$  events, as also observed for the  $m_{\ell\ell}$  distribution in the  $t\bar{t}$ -dominated  $e\mu$  channel. The effect of this reweighting defines the ‘Top quark  $p_T$  modelling’ uncertainty in Table 5 and is included in the cyan bands shown in Fig. 4.

The fit results for the cross-sections are

$$\begin{aligned} \sigma_{t\bar{t}} &= 809.5 \pm 1.1 \pm 20.1 \pm 7.5 \pm 1.9 \text{ pb}, \\ \sigma_{Z \rightarrow \ell\ell} &= 2019.4 \pm 0.2 \pm 20.7 \pm 16.8 \pm 1.8 \text{ pb}, \end{aligned}$$



**Fig. 4** Results of the fit to data, showing the invariant mass distributions for the one and two  $b$ -tagged jet samples in the **a**, **b**  $ee$  and **c**, **d**  $\mu\mu$  channels, **e** the  $b$ -tagged jet multiplicity in the  $e\mu$  channel, and **f** the number of events in the inclusive  $Z \rightarrow \ell\ell$  selection. The data are shown by the points with statistical error bars, and are compared with the results of the fit, showing the scaled contributions from  $t\bar{t}$ ,  $Wt$ ,  $Z$

+ jets, dibosons,  $Z \rightarrow \tau\tau$  and events with misidentified leptons. The total systematic uncertainty of the fit prediction in each bin is shown by the cyan band. The lower panels show the ratios of data to the fit predictions. In the invariant mass distributions, the last bin includes the overflow with  $m_{\ell\ell} > 200\text{ GeV}$  but is normalised to the displayed bin width

**Table 4** Observed numbers of opposite-charge dilepton events (weighted events for the  $\mu\mu$  channel) in the inclusive  $Z \rightarrow ee$  and  $Z \rightarrow \mu\mu$  selections in data, together with the estimated event counts from the fit prediction, including the associated statistical and systematic uncertainties. The uncertainties in the total predictions are much smaller than the individual uncertainties due to correlations induced by the fit

Event counts	$Z \rightarrow ee$	$Z \rightarrow \mu\mu$
Data	47,898,836	49,016,812
$Z \rightarrow \ell\ell$	$47,621,000 \pm 33,000$	$48,767,000 \pm 29,000$
Diboson	$111,000 \pm 22,000$	$104,000 \pm 21,000$
$Z \rightarrow \tau\tau$	$16,850 \pm 140$	$13,780 \pm 110$
$t\bar{t}$	$119,000 \pm 14,000$	$117,000 \pm 14,000$
$Wt$	$12,380 \pm 890$	$12,390 \pm 880$
Mis-ID leptons	$19,000 \pm 18,000$	$3000 \pm 13,000$
Total prediction	$47,898,800 \pm 6900$	$49,016,800 \pm 6200$

where the four uncertainties are due to data statistics, systematic effects, and the knowledge of the integrated luminosity and the LHC beam energy. As shown in Table 5, the  $t\bar{t}$  cross-section result has a precision of 2.7%, dominated by the uncertainties from  $t\bar{t}$  modelling, the top-quark  $p_T$  modelling and the integrated luminosity. It is compatible with the theoretical prediction discussed in Sect. 2 and with the result from the  $e\mu$  channel alone reported in Ref. [81], taking into account the larger systematic uncertainty in this analysis due to the use of the same-flavour channels and the tighter lepton  $p_T$  requirement. The result for  $\sigma_{Z \rightarrow \ell\ell}$  represents the inclusive cross-section for  $Z/\gamma^* \rightarrow \ell\ell$  production for a single dilepton flavour with  $m_{\ell\ell} > 60$  GeV. In order to compare with previous measurements, it was translated into a fiducial cross-section  $\sigma_{Z \rightarrow \ell\ell}^{\text{fid}}$  requiring two Born-level leptons with  $p_T > 25$  GeV and  $|\eta| < 2.5$ , and  $66 < m_{\ell\ell} < 116$  GeV. The relationship between the total and fiducial cross-sections is given by  $\sigma_{Z \rightarrow \ell\ell}^{\text{fid}} = A_Z \sigma_{Z \rightarrow \ell\ell}$ , and the factor  $A_Z = 0.3836 \pm 0.0005$  was evaluated from the POWHEG + PYTHIA8  $Z \rightarrow \ell\ell$  sample, including the extrapolation to the lower lepton  $p_T$  requirement of  $p_T > 25$  GeV. The resulting fiducial cross-section is

$$\sigma_{Z \rightarrow \ell\ell}^{\text{fid}} = 774.7 \pm 0.1 \pm 1.8 \pm 6.4 \pm 0.7 \text{ pb.}$$

The systematic uncertainty is much smaller than that for  $\sigma_{Z \rightarrow \ell\ell}$  because of strong reductions in the PDF and  $Z$  modelling uncertainties in the fiducial cross-section measurement. The result is compatible with that measured in Ref. [82].

The values of  $\epsilon_b^{\ell\ell'}$  for the three dilepton channels were found to be compatible with the values expected from simulation, and are all close to 0.51. The  $Z$  + jets scaling parameters were measured to be  $s_1^{Z+\text{jets}} = 0.89 \pm 0.09$  and  $s_2^{Z+\text{jets}} = 1.12 \pm 0.32$ , the uncertainties being dominated by the QCD scale variations in the  $Z$  + jets samples, which significantly change the predicted cross-sections. The  $Z$  + jets lepton isolation efficiency difference was fitted as  $R_{Z+b}^{\mu\mu/ee} = 0.990 \pm 0.003$ , compatible with the differences

between SHERPA and POWHEG + PYTHIA8 lepton isolation efficiencies shown for inclusive  $Z \rightarrow \ell\ell$  events in Fig. 3.

The two ratios of branching ratios were fitted to be

$$R_{WZ}^{\mu/e} = 0.9990 \pm 0.0022 \pm 0.0036$$

$$R_Z^{\mu\mu/ee} = 0.9913 \pm 0.0002 \pm 0.0045$$

where the first uncertainties are statistical and the second systematic. A detailed breakdown of the uncertainties is shown in Table 5. The value of  $R_Z^{\mu\mu/ee}$  is 1.9 standard deviations below unity, hinting at a potential bias in the electron or muon identification efficiencies. The normalisation of  $R_{WZ}^{\mu/e}$  by  $R_Z^{\mu\mu/ee}$  via Eq. (7) protects  $R_{WZ}^{\mu/e}$  against such a bias, modulo differences in the lepton  $p_T$  and  $\eta$  distributions in dilepton  $t\bar{t}$  and  $Z \rightarrow \ell\ell$  events.

Consistent results were found when analysing the 2015–16, 2017 and 2018 datasets separately. The result for  $R_{WZ}^{\mu/e}$  was found to be stable when tightening the lepton  $p_T$  requirement progressively up to  $p_T > 40$  GeV, and when tightening the  $\eta$  requirement to  $|\eta| < 1.5$ , in each case removing around 40% of the  $t\bar{t}$  sample. It also changed by less than 0.01% when removing the lowest  $m_{\ell\ell}$  bin from the fit, demonstrating insensitivity to the mismodelling shown in Fig. 4. This mismodelling is consistent between  $ee$  and  $\mu\mu$  channels, as can be seen from Fig. 5, which shows the ratio of  $\mu\mu$  to  $ee$  events in each invariant mass bin, cancelling any common mismodelling. The data and fit predictions for this ratio agree well in all  $m_{\ell\ell}$  bins.

The measured value of  $R_{WZ}^{\mu/e}$  was converted to  $R_W^{\mu/e}$  by using the external measurement of  $R_{Z-\text{ext}}^{\mu\mu/ee} = 1.0009 \pm 0.0028$  from LEP and SLD [13, 14], giving a result of

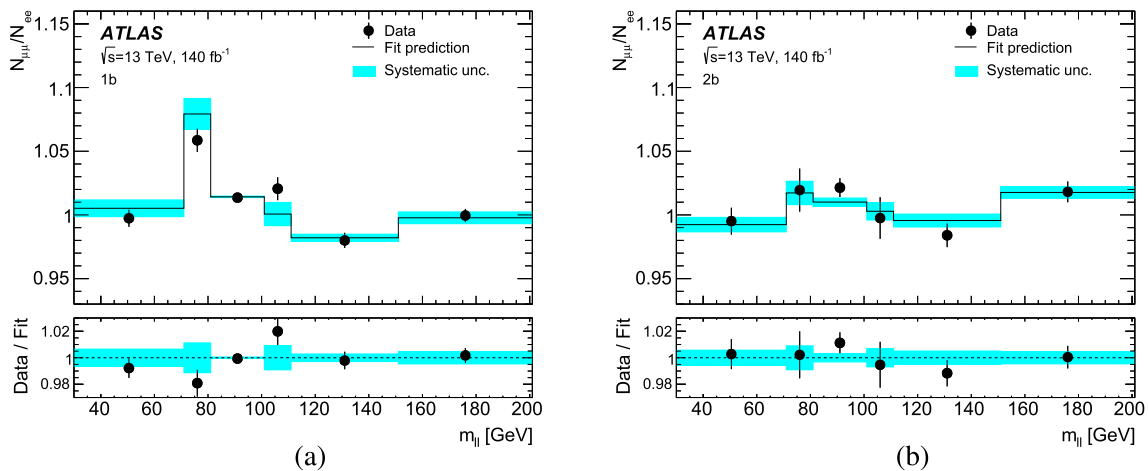
$$R_W^{\mu/e} = R_{WZ}^{\mu/e} \sqrt{R_{Z-\text{ext}}^{\mu\mu/ee}} = 0.9995 \pm 0.0022 \text{ (stat)}$$

$$\pm 0.0036 \text{ (syst)} \pm 0.0014 \text{ (ext)},$$

where the three uncertainties correspond to data statistics, systematic uncertainties from this analysis, and the uncertainty on the value of  $R_{Z-\text{ext}}^{\mu\mu/ee}$  (considered uncorrelated), giving a total uncertainty of 0.0045. The result is consistent

**Table 5** Breakdown of the statistical and systematic uncertainties on the measured cross-sections  $\sigma_{t\bar{t}}$  and  $\sigma_{Z\rightarrow\ell\ell}$ , and on the ratios of branching ratios  $R_{WZ}^{\mu/e}$  and  $R_Z^{\mu\mu/ee}$

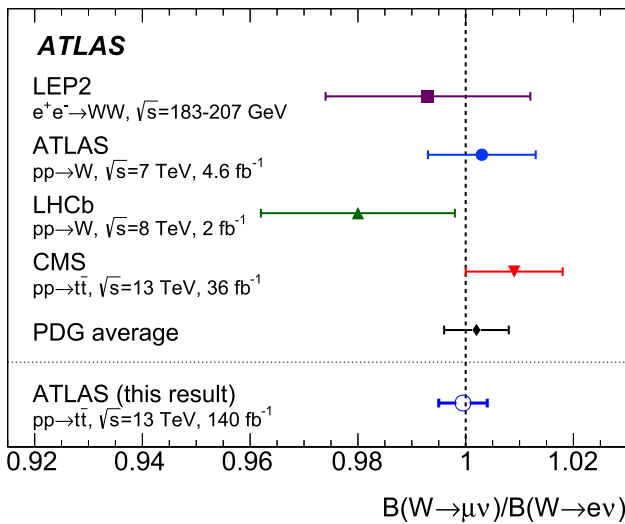
Uncertainty [%]	$\sigma_{t\bar{t}}$	$\sigma_{Z\rightarrow\ell\ell}$	$R_{WZ}^{\mu/e}$	$R_Z^{\mu\mu/ee}$
Data statistics	0.13	0.01	0.22	0.02
$t\bar{t}$ modelling	1.68	0.03	0.10	0.00
Top-quark $p_T$ modelling	1.42	0.00	0.06	0.00
Parton distribution functions	0.67	0.68	0.15	0.03
Single-top modelling	0.65	0.00	0.05	0.00
Single-top/ $t\bar{t}$ interference	0.54	0.00	0.09	0.00
Z (+jets) modelling	0.06	0.73	0.13	0.20
Diboson modelling	0.05	0.04	0.01	0.00
Electron energy scale/resolution	0.05	0.06	0.10	0.11
Electron identification	0.10	0.07	0.04	0.13
Electron charge misidentification	0.06	0.06	0.01	0.13
Electron isolation	0.09	0.02	0.08	0.04
Muon momentum scale/resolution	0.04	0.02	0.06	0.04
Muon identification	0.18	0.12	0.11	0.23
Muon isolation	0.09	0.01	0.07	0.01
Lepton trigger	0.09	0.12	0.01	0.23
Jet energy scale/resolution	0.08	0.00	0.03	0.00
$b$ -tagging efficiency/mistag	0.14	0.00	0.00	0.00
Misidentified leptons	0.17	0.02	0.15	0.05
Simulation statistics	0.04	0.00	0.06	0.00
Integrated luminosity	0.93	0.83	0.00	0.00
Beam energy	0.23	0.09	0.00	0.00
Total uncertainty	2.66	1.32	0.42	0.45



**Fig. 5** Ratio of the number of events in the  $\mu\mu$  channel divided by that in the  $ee$  channel as a function of dilepton invariant mass for events with **a** one and **b** two  $b$ -tagged jets. The ratio in data is shown by the

points with statistical error bars, and the results of the fit prediction by the solid lines, with the cyan band indicating the systematic uncertainty





**Fig. 6** Measurement of  $R_W^{\mu/e} = \mathcal{B}(W \rightarrow \mu\nu)/\mathcal{B}(W \rightarrow e\nu)$  from this analysis compared to previous results from LEP2 and LHC experiments [9–12] and the Particle Data Group average [13]

with the assumption of lepton flavour universality and with previous measurements, and has higher precision than the previous world average [13]. The result is compared with previous measurements of  $R_W^{\mu/e}$  in Fig. 6.

## 8 Conclusion

The ratio of branching ratios  $R_W^{\mu/e} = \mathcal{B}(W \rightarrow \mu\nu)/\mathcal{B}(W \rightarrow e\nu)$  has been determined using the complete ATLAS Run 2  $\sqrt{s} = 13$  TeV  $pp$  collision data sample recorded at the LHC, by measuring the  $t\bar{t}$  cross-section in the  $ee$ ,  $e\mu$  and  $\mu\mu$  dilepton channels. Systematic uncertainties due to lepton identification and trigger efficiencies were minimised by normalising the result to a simultaneous measurement of  $R_Z^{\mu\mu/ee} = \mathcal{B}(Z \rightarrow \mu\mu)/\mathcal{B}(Z \rightarrow ee)$ , and utilising the high-precision measurement of  $R_Z^{\mu\mu/ee}$  by the LEP and SLD collaborations. The resulting value of  $R_W^{\mu/e} = 0.9995 \pm 0.0045$  is consistent with the assumption of lepton flavour universality. This is the most precise measurement of  $R_W^{\mu/e}$  to date, with a smaller uncertainty than the previous world average.

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**Code Availability Statement** This manuscript has no associated code/software. [Author's comment: ATLAS collaboration software is open source, and all code necessary to recreate an analysis is publicly available. The Athena (<http://gitlab.cern.ch/atlas/athena>) software repository provides all code needed for calibration and uncertainty application, with configuration files that are also publicly available via Docker containers and cvmfs. The specific code and configurations written in support of this analysis are not public; however, these are internally preserved.]

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