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Authors

Greensite, J

Olesen, P

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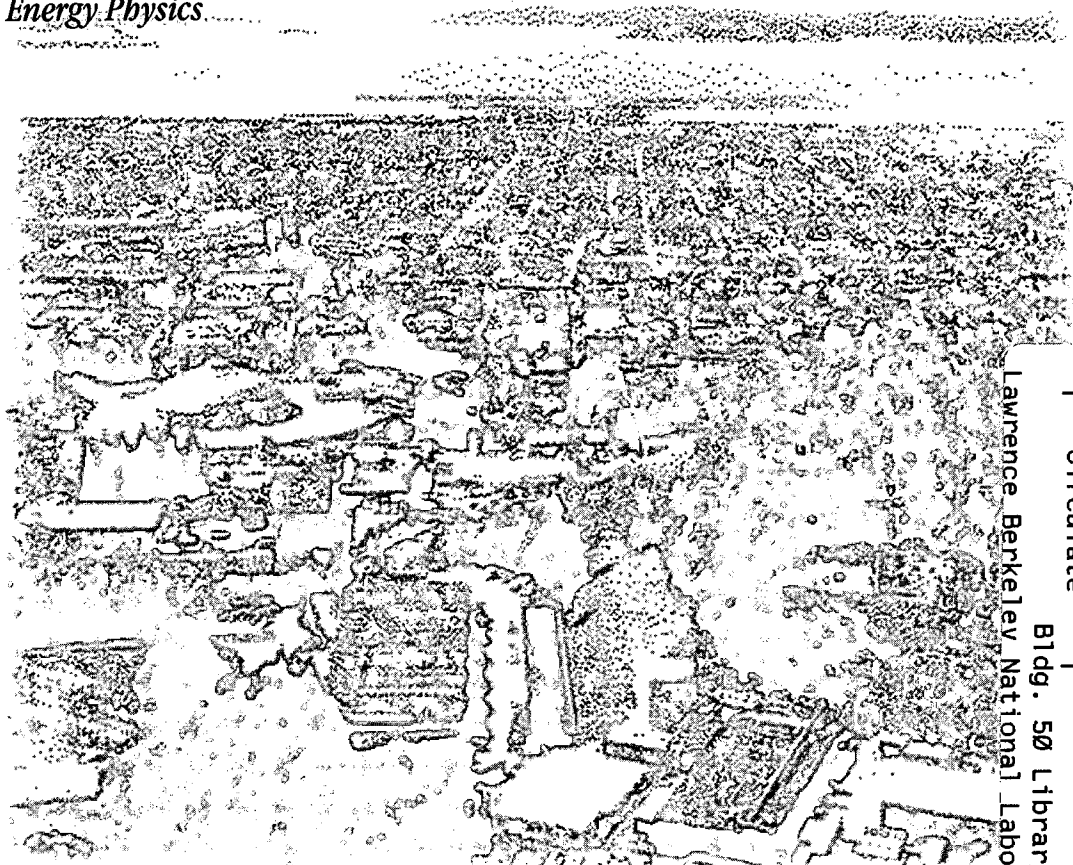
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J. Greensite and P. Olesen
Physics Division

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**Worksheet Fluctuations and the Heavy Quark
Potential in the AdS/CFT Approach**

J. Greensite^{ab} and P. Olesen^c

^aPhysics and Astronomy Department
San Francisco State University
San Francisco, California 94117

^bTheoretical Physics Group
Physics Division
Ernest Orlando Lawrence Berkeley National Laboratory
University of California
Berkeley, California 94720

^cThe Niels Bohr Institute
Blegdamsvej 17
DK-2100 Copenhagen Ø, Denmark

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J. Greensite^{ab} and P. Olesen^c

^a Physics and Astronomy Dept. San Francisco State Univ.,
San Francisco, CA 94117 USA. E-mail: greensit@stars.sfsu.edu

^b Theoretical Physics Group, Mail Stop 50A-5101,
Lawrence Berkeley National Laboratory, Berkeley, CA 94720 USA.

^c The Niels Bohr Institute, Blegdamsvej 17,
DK-2100 Copenhagen Ø, Denmark. E-mail: polesen@nbi.dk

Abstract

We consider contributions to the heavy quark potential, in the AdS/CFT approach to $SU(N)$ gauge theory, which arise from first order fluctuations of the associated worldsheet in anti-deSitter space. The gaussian fluctuations occur around a classical worldsheet configuration resembling an infinite square well, with the bottom of the well lying at the AdS horizon. The eigenvalues of the corresponding Laplacian operators can be shown numerically to be very close to those in flat space. We find that two of the transverse world sheet fields become massive, which may have implications for the existence of a Lüscher term in the heavy quark potential. It is also suggested that these massive degrees of freedom may relate to extrinsic curvature of the QCD string.

1 Introduction

Maldacena's conjecture [1], relating the large N expansion of conformal fields to string theory in a non-trivial geometry, has led to the hope that non-perturbative features of large N theories may be understood. Witten's extension [2] of this conjecture to non-supersymmetric gauge theories, such as large N QCD in four dimensions, provides a new and elegant approach to the study of gauge theory at strong couplings.

In Witten's approach the heavy quark potential has a linear behaviour [2–5]. In this approach the temperature T in the higher dimensional theory acts as an ultraviolet cutoff, and the strong coupling $g_{YM}^2 N$ is the bare coupling at the scale T . The problem is, of course, how to extend this to lower coupling, and whether one encounters a phase transition on the way, as discussed in [6].

In the approach of refs. [2–5] the interquark potential has been extracted at the saddle point. In the present paper we extend this by including fluctuations of the world sheet to first order. The present paper was initiated as a sequel to a previous letter [7], where we have called attention to two features of strong-coupling, planar QCD_3 in the saddle point approximation, which do not entirely agree with expectations based on lattice QCD. First, there is the fact that the glueball mass is essentially independent of string tension in the strong-coupling supergravity calculation [8], and goes to a finite constant in the $\sigma \rightarrow \infty$ limit. This is quite different from the behavior in strong-coupling lattice gauge theory, where a glueball is understood as a closed loop of electric flux whose mass tends to infinity in the infinite tension limit, and it suggests that truly different physical mechanisms may underlie the mass gap in the two cases. The second point concerns the existence of a universal Lüscher term of the form $-c/L$ in the interquark potential. Here c is a numerical, coupling independent, constant. Recent lattice Monte Carlo simulations [9] indicate the presence of such a term in QCD_3 , with a value of c consistent with that of a bosonic string, although there is a caveat that $-c/L$ represents a quite small correction to the dominating linear potential, and the magnitude of c is not yet well determined numerically. Following the approach of refs. [2–5], we have found that the interquark potential extracted from the saddle point action of a classical worldsheet in $AdS_5 \times S_5$, has no Lüscher term at all, which seems to contradict the existing trend in the Monte Carlo data.

It is quite possible, however, that the Lüscher term arises beyond the classical worldsheet approximation, when quantum fluctuations of the worldsheet in $AdS_5 \times S_5$ are taken into account [10–12]. This question is the main motivation for the work reported in the present paper.

In Section 2 we study the background field in the saddle point for large interquark distances. It turns out that the radial AdS coordinate U [1] of the string worldsheet is situated at the horizon, except for a small interval in parameter space near the end points $\sigma = \pm L/2$, where U is forced to shoot up to infinity. In Section 3 we introduce Kruskal-like coordinates, and discuss the near-flatness of this metric at the horizon, in the $g_{YM}^2 N \rightarrow \infty$ limit. The eigenvalues and eigenfunctions for the relevant Laplacians are then shown to be essentially the same as in the completely flat case, with the contour of the classical worldsheet bringing the problem into the form of an infinite square well.

In Section 4 we discuss the expansion of the action to the first non-trivial order. It is found that two of the transverse worldsheet coordinates become massive, and do not contribute to the Lüscher term. We argue that, due to the vanishing curvature in the $g_{YM}^2 N \rightarrow \infty$ limit, the fermion and ghost contributions will have essentially flat-space contributions to $-c/L$, although we do not claim to show this explicitly. This means that the Bose-Fermi cancellation of the Lüscher term is incomplete, leaving a net contribution of the type $+c/L$, with *opposite* sign to the one extracted from lattice Monte Carlo data. We emphasize, however, that this is a rather tentative conclusion, which assumes that there are no surprises coming from the fermion and ghost sectors.

So far these results refer to QCD in three dimensions. Section 5 contains a brief discussion of the four dimensional case. Finally, in section 6, we suggest that in four dimensions the massive world sheet fields may relate to extrinsic curvature terms in an effective QCD string theory.

2 The saddle point field for large interquark distances

As explained in ref. [2, 4], spatial Wilson loops in D=3 planar Yang-Mills theory are computed, in the supergravity approach, from the dynamics of worldsheets in the near-extremal background metric

$$ds^2 = \alpha' \left\{ \frac{U^2}{R^2} \left((1 - U_T^4/U^4) dt^2 + \sum_i dx_i^2 \right) + \frac{R^2}{U^2} \frac{dU^2}{1 - U_T^4/U^4} + R^2 d\Omega_5^2 \right\}. \quad (1)$$

The boundary of the worldsheet is a rectangle in the $x_1 - x_2$ plane at $U = \infty$, whose interior, specified by $x_1 = \sigma$, $x_2 = \tau$ with $|\sigma| \leq \frac{L}{2}$, and $|\tau| \leq \frac{Y}{2}$, parametrizes the worldsheet of a $L \times Y$ Wilson loop with $Y \gg L$. The classical worldsheet, in the $Y \rightarrow \infty$ limit, is given by $x_1(\sigma, \tau) = \sigma$, $x_2(\sigma, \tau) = \tau$, and $U(\sigma)$ determined implicitly from

$$\frac{L}{2} - \sigma = \frac{R^2}{U_0} \int_{U/U_0}^{\infty} \frac{dy}{\sqrt{(y^4 - 1)(y^4 - 1 + \epsilon)}} \quad (2)$$

with

$$U_0 = U(\sigma = 0), \quad \epsilon = 1 - U_T^4/U_0^4, \quad R^2 = \sqrt{4\pi g_{YM}^2 N}, \quad U_T = R^2 b \quad (3)$$

The metric (1) is relevant for the calculation of the boson and fermion contributions to the action. In general, since the background field $U = U(\sigma)$ is a non-trivial function of σ , one cannot expect that world sheet supersymmetry is preserved in the presence of this background field. On the other hand, a graph of $U(\sigma)$ in the range $\sigma \in [-\frac{L}{2}, \frac{L}{2}]$ looks very much like an infinite square well at large L , as seen in Fig. 1. Starting at $U(-\frac{L}{2}) = \infty$, $U(\sigma)$ drops precipitously to $U(\sigma) \approx U_0 \approx U_T$, remaining almost constant in a range $[\frac{L}{2} + d, \frac{L}{2} - d]$ where $d \ll L$, and then shoots back up to $U = \infty$ at $\sigma = \frac{L}{2}$. The fact that the classical worldsheet coordinate $U(\sigma)$ is nearly constant for most of the range of σ is, of course, very relevant for a saddlepoint calculation, where we include the effect of gaussian fluctuations around the classical worldsheet.

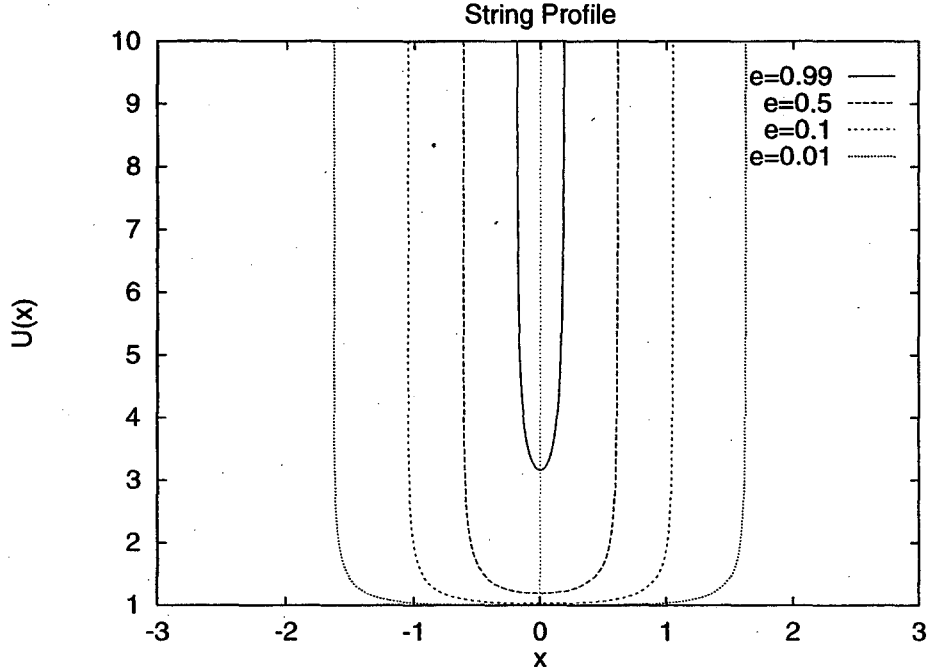


Figure 1: String contours $U(x)$ for various $\epsilon = 1 - (U_T^4/U_0^4)$, in units of $U_T = R^2b$. The asymptotes of each curve lie at $x = \pm L/2$. Note the approach to the horizon (here at $U = 1$), as $\epsilon \rightarrow 0$.

We will need expressions for $U(\sigma)$ both near and away from $\sigma = \pm \frac{L}{2}$. Denoting $y(\sigma) = U(\sigma)/U_0$, we have

$$dy/d\sigma = b\sqrt{(y^4 - 1)(y^4 - 1 + \epsilon)} \quad (4)$$

where $\epsilon = 1 - U_T^4/U_0^4$ was found [7] to be related to the interquark distance L by

$$\epsilon \approx e^{-2bL}. \quad (5)$$

Away from the endpoints at $\sigma = \pm \frac{L}{2}$ make the trial expansion

$$y(\sigma) \approx 1 + \delta(\sigma), \text{ with } |\delta(\sigma)| \ll 1. \quad (6)$$

and then linearize eq.(4),

$$d\delta/d\sigma \approx 2b\sqrt{\delta(4\delta + \epsilon)}, \quad (7)$$

which is valid as long as δ stays small. Integrating we obtain

$$\ln(2\sqrt{\delta} + \sqrt{4\delta + \epsilon}) = 2b\sigma + \ln\sqrt{\epsilon}, \quad (8)$$

where we used the boundary condition that $y = 1$, and hence $\delta = 0$, for $\sigma = 0$. Solving this equation for δ , we get

$$y(\sigma) \approx 1 - \exp(-2bL)/8 + [\exp(-4b(L/2 + \sigma)) + \exp(-4b(L/2 - \sigma))]/16. \quad (9)$$

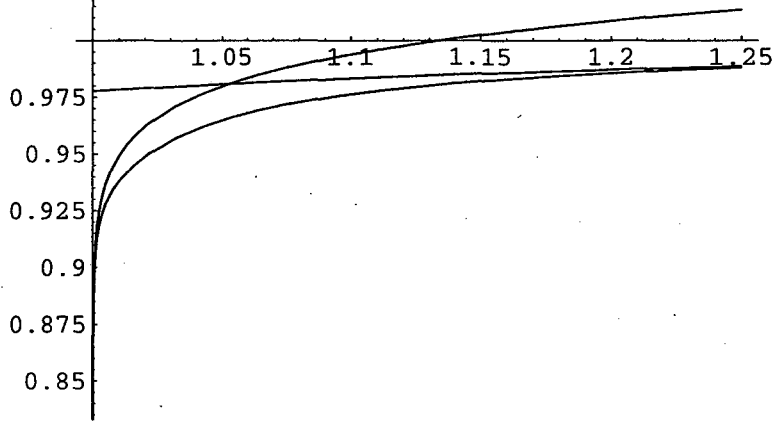


Figure 2: A $\sigma/(L/2)$ versus U/U_0 plot of the exact solution for $bL = 30$, compared to the two asymptotic solutions. The solution valid for $\sigma \approx L/2$ approaches the exact solution near $y = 1.25$, whereas the $|\sigma| < L/2$ solution starts to deviate from the exact solution near $\sigma \approx 0.925(L/2)$.

Thus, for $|\sigma| < L/2$ the corrections to $y = 1$ are exponentially small, and $U(\sigma) \approx U_0$ is essentially constant.

For $|\sigma| \rightarrow \frac{L}{2}$ this analysis breaks down, since δ is not small. From the relation

$$\frac{L}{2} - \sigma = \frac{R^2}{U_0} \int_{U/U_0}^{\infty} \frac{dy}{\sqrt{(y^4 - 1)(y^4 - 1 + \epsilon)}} \approx \frac{R^2 U_0^2}{3U^3} \approx \frac{1}{3by^3}, \quad (10)$$

using $U_0 \approx U_T = R^2 b$, we see that

$$U \approx \frac{R^2 b}{(3b(L/2 - \sigma))^{1/3}}, \quad \text{for } \sigma \rightarrow L/2 \quad (11)$$

in the neighbourhood of $|\sigma| \rightarrow \frac{L}{2}$. A plot of the exact solution for $y(\sigma)$ at $bL = 30$, and the two asymptotic solutions (9) and (11), is shown in Fig. 2.

According to eq. (9) and Fig. 1, the classical solution for $U(\sigma)$ is almost constant in some interval $[-\frac{L}{2} + d, \frac{L}{2} - d]$. To estimate d , we can first ask for the value close to $\sigma = \frac{L}{2}$ where the asymptotic solutions (9) and (11) are equal. This happens for

$$\frac{\sigma}{L/2} \approx 1 - \frac{.63}{bL}. \quad (12)$$

A more stringent criterion, arrived at numerically, is to ask where $y(\sigma)$ deviates from $y = 1$, at large L , by more than 10^{-3} . With this criterion for d , we find that $d < 1.5/b$, approximately, obtained from the solutions for $y(\sigma)$ at various L shown in Fig. 3.

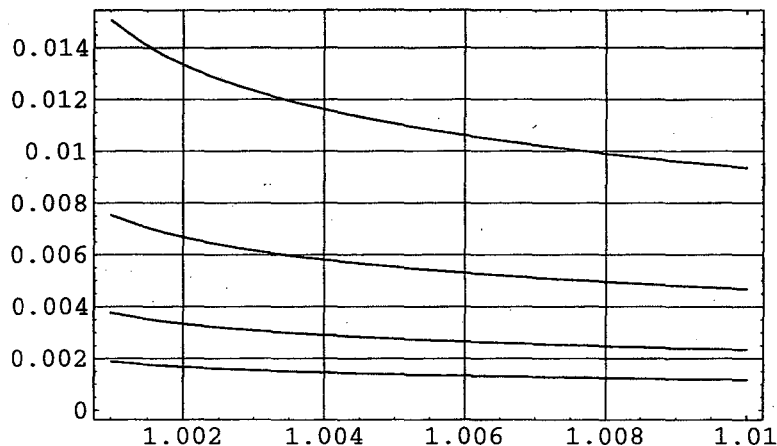


Figure 3: A plot of $1 - \sigma/(L/2)$ as a function of $y = U/U_0$. The curves from the top to the bottom correspond to $bL=200, 400, 800,$ and 1600 , respectively. If we fix the upper limit on d by requiring that y should only deviate from 1 by 10^{-3} , corresponding to the left side of the figure, we see that $1 - \sigma/(L/2)$ decreases like $1/L$ to a high accuracy, because in going from the top to the bottom at $y = 1.001$, the distance between the successive curves decreases by a factor two.

3 Eigenvalues of Laplacians in the AdS background

We would like to make an expansion around the saddle point. In order to do this, it is convenient to use different variables than U and t , because of the singular form of the metric (1). We therefore introduce the Kruskal-like coordinates for $U > U_T$

$$\begin{aligned} T &= \frac{\sqrt{2} R^2}{U_T} e^{-\pi/4} e^{\tan^{-1}(U/U_T)} \sqrt{\frac{U - U_T}{U + U_T}} \cos\left(\frac{2U_T t}{R^2}\right), \\ Z &= \frac{\sqrt{2} R^2}{U_T} e^{-\pi/4} e^{\tan^{-1}(U/U_T)} \sqrt{\frac{U - U_T}{U + U_T}} \sin\left(\frac{2U_T t}{R^2}\right). \end{aligned} \quad (13)$$

These expressions are valid in Euclidean space, and in Minkowski space the sine and cosine are replaced by the hyperbolic sine and cosine, respectively. The time variable t is periodically identified by $t \rightarrow t + \pi/b$, with $U_T = bR^2$. In these coordinates

$$\begin{aligned} ds^2 &= \alpha' \left\{ \frac{(U^2 + U_T^2)(U + U_T)^2 e^{\pi/2}}{8R^2 U^2} e^{-2 \tan^{-1}(U/U_T)} (dT^2 + dZ^2) \right. \\ &\quad \left. + \frac{U^2}{R^2} \sum_i dx_i^2 + R^2 d\Omega_5^2 \right\}, \end{aligned} \quad (14)$$

so that the metric is now symmetric in terms of the new variables Z and T . As usual with the (Euclidean) Kruskal metric, U should be considered as a function of $T^2 + Z^2$ through the equation ($U > U_T$)

$$Z^2 + T^2 = \frac{2R^4 e^{-\pi/2}}{U_T^2} e^{2 \tan^{-1}(U/U_T)} \frac{U - U_T}{U + U_T}. \quad (15)$$

It should be noticed that the metric (14) is flat up to exponentially small terms, except at the end points $\sigma = \pm L/2$.

The saddlepoint contribution to the spatial Wilson loop is given by simply evaluating the Nambu action of the classical worldsheet in this metric [2, 4], and is found to be

$$S_{cl} = \frac{U_T^2}{2\pi R^2} YL \quad (16)$$

We are interested now in the contribution from gaussian fluctuations around the saddlepoint, which involve the bosonic, fermionic, and ghost degrees of freedom, in the limit of very large R .

In the $R \rightarrow \infty$ limit the curvature of the 5-sphere (as well as the curvature of AdS space) vanishes, and the contribution of each degree of freedom associated with the 5-sphere is identical to the corresponding flat-space value, i.e. $-\pi Y/12L$. Likewise, fluctuations around the classical worldsheet in AdS space in the neighborhood of the horizon, i.e. $\sigma \in [-\frac{L}{2} + d, \frac{L}{2} - d]$, are essentially fluctuations in flat space, and the relevant differential operators are either the flat-space 2D Laplacian, or, as we shall see in the next section, this operator plus a mass term. Thus, for example, the eigenstates $\psi(\sigma, \tau)$ of

$$\partial_M^2 \equiv \partial_a G_{MM} [U_{cl}(\sigma)] \partial^a \quad (17)$$

will be identical to eigenstates of the flat-space 2D Laplacian, i.e.

$$\psi(\sigma, \tau) \propto \sin[\alpha(\sigma + c)] e^{i\omega\tau} \quad (18)$$

away from the $\sigma = \pm \frac{L}{2}$ endpoints. The eigenvalue spectrum is determined by the boundary conditions $\psi(\sigma, \tau) = 0$ at $\sigma = \pm \frac{L}{2}$ (meaning that fluctuations vanish at the Wilson loop perimeter). In flat space these conditions yield the usual result that

$$\alpha_n^{flat} = \frac{n\pi}{L}, \quad c = L/2 \quad (19)$$

In AdS space the values for α are slightly different, owing to the fact that eq. (18) breaks down for $\frac{L}{2} - |\sigma| < d$. Very close to the endpoints, the operator ∂_M^2 becomes $\partial_a U^2(\sigma) \partial_a$. We solve for the eigenfunctions in this region by making separation ansatz $\psi(\tau, \sigma) = \Theta(\tau) S(\sigma)$, and find for the eigenvalue equation $\partial_i (U^2 \partial_i) \psi = \Lambda \psi$ near the end points

$$\begin{aligned} \partial_\sigma^2 S + \frac{2}{3(L/2 - \sigma)} \partial_\sigma S - \tilde{\Lambda} (L/2 - \sigma)^{2/3} S - \lambda S &= 0, \text{ where } \tilde{\Lambda} = \frac{(3b)^{2/3}}{R^4 b^2} \Lambda. \\ \partial_\tau^2 \Theta &= -\lambda \Theta. \end{aligned} \quad (20)$$

Here λ is a separation constant. The equation for Θ is the same as for the ∂^2 operator, whereas for the function S in the neighborhood of the endpoints there are two solutions, namely one for which S vanishes, in $\sigma \rightarrow \frac{L}{2}$ limit, as

$$S \approx \text{const.} (L/2 - \sigma)^{5/3} \quad (21)$$

and one where S goes to a non-zero constant for $\sigma \rightarrow \frac{L}{2}$. The solution vanishing at the endpoints is the one which is relevant for worldsheet fluctuations. Away from the endpoints, $\psi(\sigma, \tau)$ has the harmonic form shown in eq. (18). The “end point solution” (21) vanishes more rapidly than the sine function near $\sigma = \pm \frac{L}{2}$, which is due to the fact that in eq. (20) the first derivative S' is multiplied by a large factor, and hence is forced to be small.

We can now make a rough estimate of how the eigenvalues of ∂_M^2 compare to those of the flat-space operator, based on the fact that $\psi(\sigma, \tau)$ falls much more rapidly to zero, near the endpoints at $\sigma = \pm \frac{L}{2}$, than the sine function. This allows us to approximate $\psi(\sigma, \tau)$ as a harmonic function in the range $[-\frac{L}{2} + d, \frac{L}{2} - d]$, and equal to zero outside this range. Then

$$\begin{aligned} \frac{|\alpha_n - \alpha_n^{flat}|}{\alpha_n^{flat}} &\sim \frac{\frac{n\pi}{L-d} - \frac{n\pi}{L}}{\frac{n\pi}{L}} \\ &\sim O\left[\frac{d}{L}\right] \\ &\sim O\left[\frac{1}{bL}\right] \end{aligned} \tag{22}$$

Since the flat-space eigenvalues for the massless Laplacian lead to a Lüscher term of $O(1/L)$, these small deviations can only lead to a further correction, in the AdS case, of still higher order in $1/L$. For the massive Laplacian the situation is, however, different, as we shall see in the next section.

A similar observation presumably applies to the fermionic and ghost degrees of freedom. The associated differential operators in σ, τ again only deviate from the corresponding flat-space case in a region near the endpoints, where the derivatives are multiplied by a factor of $U(\sigma)$; this region is a very small fraction (of order $1/L$) of the full interval. Eigenmodes of these operators will have to be nearly constant in the “shootup” region near the endpoints, where $U(\sigma) \rightarrow \infty$. However, as in the case of the bosonic modes, this slight modification of the eigenmodes will only affect the values of the determinants at higher orders in $1/L$.

4 The bosonic action and the necessity of massive fields

We want now to study the bosonic action, keeping only quadratic terms in the 8 transverse variables (Z, T, x_3, \dots). We start from the partition function

$$\mathcal{Z} = \int \mathcal{D}X \sqrt{G} \exp\left(\frac{1}{2\pi\alpha'} \int d^2\sigma \sqrt{\det G_{MN}(X(\sigma))} \partial_a X^M \partial_b X^N\right), \tag{23}$$

where we integrate over the 10 variables X^M , and insert a factor \sqrt{G} in order to have a measure which is invariant with respect to changes of the coordinates entering the $AdS_5 \times S_5$. We also want to choose a gauge where σ, τ are identified with x_1, x_2 . The measure

factor in (23) can then be exponentiated in the form

$$\sqrt{G} = \exp \left(\Lambda^2 \int d^2\sigma \sqrt{h} \ln G \right). \quad (24)$$

Here h is the measure associated with the world sheet variables, so $\sqrt{h} = \alpha' U^2/R^2$, and Λ is a ultraviolet cutoff. This form of the exponentiation is reparametrization invariant. Because of the absence of a $1/2\pi\alpha'$ factor in the exponentiated version of \sqrt{G} , this factor will only contribute to terms of order α' in the effective action. We shall not consider this order, and we therefore ignore the \sqrt{G} contribution in the following.

Now, if we expand the action, keeping only second order terms, we get

$$S \approx (1/2\pi) \int d^2\sigma \left\{ U^2/R^2 + (1/2) \left[(U_T^2/R^2) \left((\partial_i Z)^2 + (\partial_i T)^2 + (\partial_i x_3)^2 \right) + R^2 (\partial_i y^M)^2 \right] \right\}, \quad (25)$$

where the y 's refer to the 5-sphere, and where we took x_1, x_2 to be longitudinal. Of course, it is important to keep *all* second order terms. To this end, we need to notice that the U^2 in the first term is given as a function of Z, T . Exactly at the horizon $Z = T = 0$, and $Z^2 + T^2$ therefore represent the small, second order deviations of the radial variable from its value at the horizon,

$$U \approx U_T + (U_T^3/R^4)(Z^2 + T^2). \quad (26)$$

Inserting in eq. (25), we find to 2nd order in the fluctuations

$$S \approx (1/2\pi) \int d^2\sigma \left(U_T^2/R^2 + (U_T^2/2R^2) [(\partial_i Z)^2 + (\partial_i T)^2 + (4U_T^2/R^4)(Z^2 + T^2)] \right. \\ \left. + (U_T^2/2R^2)(\partial_i x_3)^2 + (R^2/2)(\partial_i y^M)^2 \right), \quad (27)$$

which shows that the fields Z, T have mass terms with coefficients $4U_T^2/R^4 = 4b^2$. Thus two bosonic degrees of freedom, originally associated with the U, t coordinates, have become massive, and it is not hard to see why such a ‘‘potential’’ term must exist: The boundary of the worldsheet lies at $U = \infty$, yet the preferred position of the string, as $L \rightarrow \infty$, lies at the black hole horizon $Z = T = 0$. The first term in the integral gives the leading contribution $(U_T^2/2\pi R^2)YL$, corresponding to a 3D string tension

$$\mathcal{T}_3 = \frac{U_T^2}{2\pi R^2} = \frac{R^2 b^2}{2\pi} \quad (28)$$

derived in refs. [2, 4, 5].

The Gaussian integral over Z, T can be performed, e.g. by use of analytic regularization [13] ($\ln x = \partial x^\beta / \partial \beta$ for $\beta \rightarrow 0$). Since $Y \rightarrow \infty$, the sum over the ‘‘time-eigenvalues’’ can be replaced by an integral, which can be performed to give

$$\text{tr} \ln(-\nabla^2 + 4b^2) = -(Y/\sqrt{4\pi})(\partial/\partial\beta)(\Gamma(\beta - 1/2)/\Gamma(\beta)) \sum_{n=1}^{\infty} ((n\pi/L)^2 + (4b^2))^{-\beta+1/2} \quad (29)$$

with $\beta \rightarrow 0$. The sum over n can be carried out and the limit $\beta \rightarrow 0$ can be performed to give [13]

$$\text{tr} \ln(-\nabla^2 + 4b^2) = -\frac{YL}{\pi} b^2 (-1 + \ln(4b^2/\mu^2)) - Yb \left[1 + \frac{2}{\pi} \sum_{n=1}^{\infty} \frac{1}{n} K_1(4nbL) \right]. \quad (30)$$

Here μ is an ultraviolet cutoff, which occurs in the heat kernel method, which gives cutoff dependent terms proportional to μ^2 and $b^2 \ln \mu^2$. The μ^2 terms are present for all fields, and if we add the fermions they cancel completely. The logarithmic terms only occur for the massive fields (see e.g. [13]), and they combine with the $b^2 \ln(4b^2)$ term to give the result exhibited in (30).

Using the asymptotic expansion of the Bessel function valid for large L , we get

$$\text{tr} \ln(-\nabla^2 + 4b^2) \approx -\frac{YL}{\pi} b^2 (-1 + \ln(4b^2/\mu^2)) - Yb \left[1 + \frac{1}{\sqrt{2\pi bL}} e^{-4bL} + \dots \right]. \quad (31)$$

This can be compared to the massless case,

$$\text{tr} \ln(-\nabla^2) = -\pi Y/12L. \quad (32)$$

It can be shown that this result follows by rewriting the sum over Bessel functions in eq. (31), by use of the following relation

$$\begin{aligned} \sum_{n=1}^{\infty} K_1(nz)/n &= \pi^2/6z + (1/4)\mathbf{C}z + (1/8)z \ln(z/(4\pi)^2) - z/16 + \pi/4 \\ &+ \pi \sum_{l=1}^{\infty} \left(\sqrt{1 + 4l^2\pi^2/z^2} - 2l\pi/z - z/4l\pi \right), \end{aligned} \quad (33)$$

where \mathbf{C} is Euler's constant, and taking the limit $b \rightarrow 0$. The first term on the right hand side gives the desired result for $b \rightarrow 0$ if we take $z = 4bL$. For bL large, the above expression is not useful, and the asymptotic expansion of the Bessel functions should then be used.

We have stressed, in the previous section, that curvature in $AdS_5 \times S_5$ tends to zero in the $R \rightarrow \infty$ limit, and string fluctuations in the neighborhood of the horizon are essentially fluctuations in a flat-space metric. That being the case, how can we find a mass term in eq. (31) of $O(b^2)$, which is finite in the $R \rightarrow \infty$ limit? At first sight, this seems a violation of the principle of equivalence. To understand what is going on, we first note that the metric coefficients in eq. (14) are all of order R^2 near the horizon. The integration in (27) runs from $-L/2$ to $+L/2$, but in fact the proper time along the horizon is of order RL . If we make a trivial change of variables, simply rescaling all coordinates (and parameters σ, τ) by a factor of R so metric coefficients are all $O(1)$ near the horizon, then the contribution to the action from the region along the horizon is approximately

$$\begin{aligned} S \approx & (b^2/2\pi) \int_{-RY/2}^{+RY/2} d\tau \int_{-RL/2}^{+RL/2} d\sigma \left(1 + \frac{1}{2} [(\partial_i Z)^2 + (\partial_i T)^2 + (4b^2/R^2)(Z^2 + T^2)] \right. \\ & \left. + \frac{1}{2} (\partial_i x_3)^2 + (1/2b^2) (\partial_i y^M)^2 \right), \end{aligned} \quad (34)$$

Here the mass term evidently tends to zero as $R \rightarrow \infty$, as one would expect from the equivalence principle. But this decrease is precisely compensated by the growth of the worldsheet along the horizon (as seen in the limits of integration) as R increases. The end result of a gaussian integration is, of course, identical to eq. (31); one finds a finite, R -independent mass term in the trace log.

For the bosonic part we thus have two massive and six massless degrees of freedom. The contribution from the bosonic part of the string to the potential is thus

$$\text{Potential from bosons} = \frac{R^2 b^2}{2\pi} \left(1 - \frac{2}{R^2} \ln \frac{4b^2}{e\mu^2} \right) L - \frac{\pi}{4L}. \quad (35)$$

We see that bosonic contributions are responsible for a logarithmic correction to the lowest order result for the string tension (28), i.e.

$$\mathcal{T}_3 = \frac{R^2 b^2}{2\pi} \left(1 - \frac{2}{R^2} \ln \frac{4b^2}{e\mu^2} \right). \quad (36)$$

As $g_{YM}^2 N \rightarrow 0$, the curvature of AdS space tends to zero. If the contributions from the fermions and ghosts can really be obtained in the flat space limit near the horizon, as argued in the last section, then their inclusion leads to a Lüscher term $+\pi/12L$, which is the opposite sign to what has been observed in lattice calculations. However, the fermions in the full AdS background really need to be investigated further, before this can be considered as a safe conclusion.

5 The potential in four dimensions

Let us consider the relevant metric [4] near the horizon $U \approx U_T$,

$$\frac{ds^2}{\alpha'} \approx \frac{R^{3/2}}{3U_T^{1/2}} \frac{dU^2}{U - U_T} + \frac{3U_T^{1/2}}{R^{3/2}} (U - U_T) dt^2 \equiv dr^2 + r^2 d\theta^2 \equiv dX^2 + dY^2, \quad (37)$$

with $X = r \cos \theta$, $Y = r \sin \theta$ (X, Y thus correspond to the coordinates previously denoted by T, Z in the three dimensional case). Here we left out the four-sphere as well as the four x -coordinates, since these are not important for the following. Instead of finding the full Kruskal coordinates, we only look at the local ones near the horizon,

$$dr = \frac{R^{3/4}}{\sqrt{3U_T^{1/4}}} \frac{dU}{\sqrt{U - U_T}}, \quad (38)$$

so

$$U - U_T = \frac{3U_T^{1/2}}{4R^{3/2}} r^2 = \frac{3U_T^{1/2}}{4R^{3/2}} (X^2 + Y^2). \quad (39)$$

Thus

$$\frac{ds^2}{\alpha'} \approx \frac{9U_T}{4R^3} r^2 dt^2 + dr^2. \quad (40)$$

We have

$$R^{3/2} = g_5 \sqrt{N/4\pi} = g_{YM} \sqrt{N/4\pi T}. \quad (41)$$

Because of periodicity of the angle, i.e. identification of $\theta \rightarrow \theta + 2\pi$, corresponding to $t \rightarrow t + 1/T$ (i.e. $T = b/\pi$), one therefore needs

$$\theta^2 = \frac{9U_T}{4R^3} t^2, \text{ i.e. } T = \frac{3U_T^{1/2}}{2g_5 \sqrt{\pi N}}. \quad (42)$$

Using (39) we then have

$$U = U_T + \frac{3U_T^{1/2}}{4R^{3/2}}(X^2 + Y^2). \quad (43)$$

We can now proceed as in the 3-d case. The expanded action is

$$S \approx \frac{1}{2\pi} \int d^2\sigma \left(\frac{U^{3/2}}{R^{3/2}} + \frac{1}{2} [(\partial_i X)^2 + (\partial_i Y)^2] \right). \quad (44)$$

Using

$$\frac{U^{3/2}}{R^{3/2}} \approx \frac{U_T^{3/2}}{R^{3/2}} + \frac{9U_T}{8R^3}(X^2 + Y^2), \quad (45)$$

this leads to an X, Y (former Z, T) -dependent integrand

$$\frac{1}{2} \left[(\partial_i X)^2 + (\partial_i Y)^2 + \frac{9U_T}{4R^3}(X^2 + Y^2) \right]. \quad (46)$$

with mass parameter

$$\frac{9U_T}{4R^3} = 4\pi^2 T^2. \quad (47)$$

We can now compute the contribution to the potential using the results in ref [13], and adding the leading terms (ignoring terms which are exponentially small), we get the string tension in four dimensions by use of analytic regularization ($\ln x = \partial x^\beta / \partial \beta$ for $\beta \rightarrow 0$)

$$\begin{aligned} \mathcal{T}_4 &= \frac{8\pi}{27} g_{YM}^2 N T^2 \left[1 + \frac{27}{2g_{YM}^2 N} \left(1 - \ln \frac{4\pi^2 T^2}{\mu^2} \right) \right] \\ &= \frac{8\pi}{27} g_{YM}^2 N T^2 \left[1 - \frac{27}{2g_{YM}^2 N} \ln \frac{4\pi^2 T^2}{e\mu^2} \right]. \end{aligned} \quad (48)$$

Here μ is the arbitrary scale introduced in the last section.

We end this section by remarking again that the effective flatness of AdS space, in the strong-coupling limit, suggests that the fermi and ghost degrees of freedom contribute to the Lüscher term as in flat space. If this is so, then we again have the following net result for the Lüscher term

$$+ \frac{\pi}{12L}. \quad (49)$$

in the quark-antiquark potential. This should be compared to what has been used in fits to the lattice Monte Carlo data, namely

$$-\frac{(d-2)\pi}{24L} = -\frac{\pi}{12L}. \quad (50)$$

Thus the magnitude is the same, but the signs are opposite. Since numerical determination of the coefficient of the Lüscher term in QCD_4 is not yet very precise, further Monte Carlo investigation would be welcome.

Perhaps the shift of sign in (49) can be understood from the result for the bosonic string found by Alvarez [14], according to which the potential is given by

$$\mathcal{T}_4 \sqrt{L^2 - L_c^2}, \quad L_c = \pi(d-2)/12\mathcal{T}_4. \quad (51)$$

Originally this result was derived for large d , but later the result was extended to any d [15]. Eq. (51) leads to (50) in the large L limit. The square root singularity below $L = L_c$ is connected to the tachyon. The negative sign in the Lüscher term (50) is therefore in a sense a reflection of the fact that the bosonic string has a tachyon. Perhaps the positive sign in eq. (49) is then a reflection that there is no tachyon in the (QCD) string considered here.

6 Massive fields and extrinsic curvature

One of the most interesting questions in non-perturbative gauge theory, which the AdS/CFT correspondence may eventually address, concerns the form of the effective D=4 string theory describing the QCD string. In this connection, we would like to make a remark that may be relevant for the understanding of the existence of massive fields versus reparametrization invariance.

When the 1-loop contributions of two massive and two massless worldsheet modes are combined, one finds a result which is strongly reminiscent of string models with extrinsic curvature [16]. The extrinsic curvature K_{ab}^i (=the second fundamental form) is given by

$$D_a D_b \mathbf{X} = K_{ab}^i \mathbf{N}_i, \quad \text{with } \mathbf{N}_i \mathbf{N}_k = \delta_{ik} \text{ and } \mathbf{N}_i \partial_a \mathbf{X} = 0, \quad (52)$$

where $\mathbf{X}(\sigma, \tau)$ is the position vector for some surface, \mathbf{N}_i are the normals, and D_a is the covariant derivative with respect to the induced metric $g_{ab} = \partial_a X \partial_b X$. There are many expressions for the extrinsic curvature. Here we need in particular

$$K_b^{ia} K_a^{ib} = \left(\partial_a (\sqrt{g} g^{ab} \partial_b X) \right)^2. \quad (53)$$

Thus the extrinsic curvature is of fourth order in the derivatives.

It was noticed in ref. [13] that a perturbative expansion of the string with extrinsic curvature leads to tr ln's coming from massive fields. This can be seen by use of the

relation

$$\begin{aligned} \text{tr ln}(-\nabla^2) + \text{tr ln}(-\nabla^2 + 4\pi^2 T^2) &= \text{tr ln}\left(\frac{1}{2}\mu_0(-\nabla^2) + \frac{\lambda_T}{27\pi}(-\nabla^2)^2\right) \\ &\quad - \text{tr ln}\frac{\lambda_T}{27\pi}, \end{aligned} \quad (54)$$

where $\mu_0 = 8\pi\lambda_T T^2/27$ is the string tension to leading order, and λ_T is the 't Hooft coupling $g_{YM}^2 N$. The left hand side of this equation combines the Gaussian integrations over four world sheet fields: The first term on the left hand side can be taken from two of the massless string fields, whereas the second term comes from the two massive fields. The combined tr ln on the right hand side can be considered as coming from the effective action¹

$$S_{\text{eff}} = \int d^2\sigma \left[\mu_0 + \frac{1}{2}\mu_0(\partial_a X)^2 + \frac{\lambda_T}{27\pi}(\partial_a^2 X)^2 \right], \quad (55)$$

where we added the leading term $\mu_0 Y T$. However, this effective action can in turn be considered [13] as the perturbative version of

$$S_{\text{eff}} = \int d^2\sigma \left[\mu_0 \sqrt{g} + \frac{\lambda_T}{27\pi} \sqrt{g} K_b^{ia} K_a^{ib} \right], \quad (56)$$

where (55) arises from (56) by a perturbative expansion of the metric and the determinant by use of

$$g_{ab} = \delta_{ab} + \partial_a X \partial_b X, \quad (57)$$

keeping only terms of order X^2 . The X 's here are 2 dimensional and transverse. In (56) there are four X 's, two of which are longitudinal, so we are looking at a four-dimensional theory of extrinsic curvature, and an effective string of positive rigidity.²

For a superstring in flat space the bosonic tr ln 's exactly cancel the fermionic ones. In our case, we have argued that the fermions still live in an effectively flat space. Hence the total result of the Gaussian integrations is

$$-\text{tr ln}[-\nabla^2] + \text{tr ln}[-\nabla^2 + 4\pi^2 T^2] = -2\text{tr ln}[-\nabla^2] + \text{tr ln}[(-\nabla^2)^2 + 4\pi^2 T^2(-\nabla^2)]. \quad (58)$$

The last term on the right hand side has the interpretation in terms of extrinsic curvature discussed above, and can be formulated as in eq. (56). The first term on the right hand side of (58) can be considered as the contribution from fermions,

$$S_F = \text{const} \int d^2\sigma \left[\frac{1}{2}\psi \not{\partial} \psi + \frac{1}{2}\chi \not{\partial} \chi \right]. \quad (59)$$

Here $\psi(\sigma, \tau)$ and $\chi(\sigma, \tau)$ are two-dimensional Majorana spinors which are also four dimensional vectors, and S_F should be added to S_{eff} in eq. (56). Also, the boundary conditions on ψ and χ are that they should be of the Ramond type.

¹The last term in (54) can be absorbed in the constant μ , which is anyhow arbitrary: $\mu^2 \rightarrow 27\pi\mu^2/\lambda_T$.

²In contrast, vortex tubes found in abelian Higgs models appear to have negative rigidity, and may be unstable at the quantum level [17].

Thus, at least for QCD_4 , we can view the trace log contributions as arising from an effective four dimensional string theory, which has both extrinsic curvature and worldsheet fermions. It is of interest that in the “effective” picture one does not see all the extra dimensions. Of course, these may show up in higher orders of $1/g_{YM}^2 N$.

7 Conclusions

We have found that two of the bosonic modes of the Maldacena-Witten worldsheet are massive. These mass terms are relevant for the existence of a Lüscher term in the heavy quark potential, since they tend to spoil the bose-fermi cancellation, and they may also be related to extrinsic curvature terms in the effective QCD string. Concerning the Lüscher term, our very tentative conclusion is that such a term appears, and in four dimensions it has the same magnitude, but opposite sign of the one used in fits to lattice Monte Carlo data. The basis for this result is the discussion in Section 3, according to which the eigenvalues of worldsheet Laplacians are essentially like those in flat space, and we also expect flat space contributions from the fermions and ghosts. There may, however, be surprises which would show up when the full boson-fermion action in the black hole AdS background becomes known.

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**ERNEST ORLANDO LAWRENCE BERKELEY NATIONAL LABORATORY
ONE CYCLOTRON ROAD | BERKELEY, CALIFORNIA 94720**