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### Authors

Spatazza, Julien  
Leon, Walter R Mancia  
Alvarez-Buylla, Arturo

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## Transplantation of GABAergic interneurons for cell-based therapy

J. Spatazza, W.R. Mancia Leon, and A. Alvarez-Buylla<sup>1</sup>

The Eli and Edythe Broad Center of Regeneration Medicine and Stem Cell Research, University of California, San Francisco, San Francisco, CA, United States

### Abstract

Many neurological disorders stem from defects in or the loss of specific neurons. Neuron transplantation has tremendous clinical potential for central nervous system therapy as it may allow for the targeted replacement of those cells that are lost in diseases. Normally, most neurons are added during restricted periods of embryonic and fetal development. The permissive milieu of the developing brain promotes neuronal migration, neuronal differentiation, and synaptogenesis. Once this active period of neurogenesis ends, the chemical and physical environment of the brain changes dramatically. The brain parenchyma becomes highly packed with neuronal and glial processes, extracellular matrix, myelin, and synapses. The migration of grafted cells to allow them to home into target regions and become functionally integrated is a key challenge to neuronal transplantation. Interestingly, transplanted young telencephalic inhibitory interneurons are able to migrate, differentiate, and integrate widely throughout the postnatal brain. These grafted interneurons can also functionally modify local circuit activity. These features have facilitated the use of interneuron transplantation to study fundamental neurodevelopmental processes including cell migration, cell specification, and programmed neuronal cell death. Additionally, these cells provide a unique opportunity to develop interneuron-based strategies for the treatment of diseases linked to interneuron dysfunction and neurological disorders associated to circuit hyperexcitability.

### Keywords

Interneuron; Ganglionic eminence; Transplantation; Plasticity; Epilepsy

## 1 INTRODUCTION

Normal brain function requires balanced levels of excitation and inhibition. In the mammalian cerebral cortex, these functions are respectively attributed to excitatory glutamatergic pyramidal cells and inhibitory interneurons expressing GABA ( $\gamma$ -aminobutyric acid) that together represent about 20% of all cortical cells (Lodato and Arlotta, 2015). While pyramidal neurons make long-range connections within and outside the cortex, interneurons synchronize the activity of local projection neuron ensembles and gate excitatory and inhibitory inputs that they receive (Klausberger and Somogyi, 2008; Klausberger et al., 2003; Lewis et al., 2012; Vogels and Abbott, 2009). Interneurons are thus

<sup>1</sup>Corresponding author: Tel.: 415-514-2348; Fax: 415-514-2346, abuylla@stemcell.ucsf.edu.

considered to be the main cellular components for the control of brain excitability. Accordingly, a wide range of neurological and psychiatric disorders stem from cortical interneuron dysfunction (Marín, 2012). Notably, these conditions include epilepsy, schizophrenia, and autism, and have been referred to as interneuropathies (Kato and Dobyns, 2005).

Neuronal transplantation has been extensively studied as a potential therapeutic strategy for the treatment of various neurological conditions. For such an approach to be successful, the candidate cell should be able to disperse following transplantation and functionally integrate within the diseased host circuitry in a manner that recapitulates the properties of the endogenous cells targeted for replacement. However, most cell types display very little dispersal upon transplantation in the postnatal central nervous system (CNS) (Dunnett and Björklund, 2012; Gage, 2012; Lindvall et al., 1990), which is a prerequisite for the functional integration of the transplants. The discovery of the origin of telencephalic interneurons in mice (Anderson et al., 1997; Tamamaki et al., 1997), as well as the capacity for their precursors to functionally integrate as inhibitory interneurons upon transplantation in the postnatal mouse brain (Alvarez-Dolado et al., 2006; Wichterle et al., 1999), provided an opportunity to test the potential of interneuron transplantation as a therapy for interneuropathies and other conditions associated to circuit hyperexcitability. Here, we summarize advances in the emerging field of interneuron biology and transplantation and also review some work on the potential clinical relevance of interneuron transplantation. First, we briefly summarize telencephalic interneuron development and discuss their behavior upon transplantation in the postnatal mouse CNS. We then touch upon how transplantation has been used for the study of CNS development and eventually examine the disease-modifying properties of interneuron transplants from studies based on mouse models of epilepsy, Parkinson's disease (PD), Alzheimer's disease (AD), and psychiatric disorders.

## 2 DEVELOPMENT OF TELENCEPHALIC GABAergic INTERNEURONS

### 2.1 TANGENTIAL MIGRATION

The ability of interneurons to migrate after heterochronic transplantation into the post-natal mouse brain likely stems from the extensive migration they undergo during development. Excitatory and inhibitory cortical neurons emerge from two distinct compartments in the developing brain. Excitatory neurons are produced locally in the ventricular zone of the pallium and invade the cortex radially using the radial-glia scaffold as a migratory substrate (Götz and Huttner, 2005; Molyneaux et al., 2007).

In contrast, cortical inhibitory neurons are generated outside of the cortex in the ventral telencephalon and must migrate tangentially over long distances to reach their final position in the cortex (Anderson et al., 1997; de Carlos et al., 1996; DeDiego et al., 1994; Tamamaki et al., 1997; Wichterle et al., 2001). It is precisely this capacity to migrate across the radial-glia scaffold that may allow young interneurons to disperse through the postnatal brain parenchyma, making them a strong candidate for transplantation and cell-based therapy in the CNS. While the subcortical origin of telencephalic interneurons and their migratory route to reach the cortex was originally described in mouse, nonradial migration from the ventral forebrain also applies to interneurons in the developing primate cortex (Hansen et al.,

2013; Ma et al., 2013), which challenges earlier reports suggesting a cortical origin for these cells (Jones, 2009; Letinic et al., 2002; Yu and Zecevic, 2011).

## 2.2 ORIGINS AND DIVERSITY

In the mammalian cortex, inhibitory interneurons are less numerous than pyramidal cells by a ratio of ~1:5. However, this small population of local circuit nerve cells displays high diversity in shape and function. Deciphering the meaning and origin of this interneuron diversity is key for our understanding of how information is processed in the brain and how defects in specific interneuron circuits may give rise to diseases. Consequently, many groups have worked toward characterizing this cellular diversity as well as its functional relevance for cortical circuit physiology. Accordingly, interneurons can be classified in more than 20 subtypes based upon various criteria (partially overlapping for some of them) including morphology, physiology, patterns of local connectivity, and molecular identity (DeFelipe et al., 2013; Gonchar and Burkhalter, 1997; Markram et al., 2004; Petilla Interneuron Nomenclature Group et al., 2008). While such an approach is necessary to fully appreciate the complexity of this heterogeneous cell population (DeFelipe et al., 2013; Petilla Interneuron Nomenclature Group et al., 2008), it is noteworthy that the expression of the calcium-binding protein parvalbumin (PV), the neuropeptide somatostatin (SST), and the ionotropic serotonin receptor 5HT3a (5HT3aR) defines three nonoverlapping groups of cells that account for nearly 100% of interneurons in the mouse primary somatosensory cortex (Rudy et al., 2011).

Subtype identity is dictated by the spatiotemporal origin of cortical interneurons during development (Butt et al., 2005; Flames et al., 2007; Fogarty et al., 2007; Gelman et al., 2009; Ghanem et al., 2007; Miyoshi et al., 2010; Xu, 2004). The majority of mouse cortical interneurons are generated between E10.5 and E16.5 by progenitors located in the ventricular and subventricular zones of the subpallium, within the ganglionic eminences. This highly proliferative compartment of the embryonic brain can be anatomically and molecularly divided into three regions, namely, the lateral-, the medial-, and the caudal ganglionic eminences (LGE, MGE, and CGE, respectively). While it is commonly accepted that the LGE does not contribute to the mouse cortical interneuron population (Wichterle et al., 2001; Wonders and Anderson, 2006), the MGE and CGE are the two major sources of cortical interneurons and give rise to anatomically and functionally distinct subsets of cells (Anderson et al., 2001; Butt et al., 2005; Fogarty et al., 2007; Lavdas et al., 1999; Miyoshi et al., 2010; Nery et al., 2002, 2003; Rubin et al., 2010; Wichterle et al., 2001). MGE and CGE also produce interneurons that migrate to other brain regions, including striatum, septum, hippocampus, and amygdala, thus illustrating the heterogeneity and importance of these germinal zones.

MGE-derived neurons represent ~60–70% of all cortical interneurons in rodents. These cells express either PV or SST and are born for the most part during early neurogenesis and preferentially locate to deep layers of the neocortex (Anderson et al., 2001; Marín, 2013; Wichterle et al., 2001; Xu et al., 2008). From a molecular standpoint, MGE-derived interneurons are specified by transcription factors including the *Dlx* genes, *Lhx6*, *Sox6*, and *Nkx2.1* (Chédotal and Rijli, 2009; Flandin et al., 2011; Kessarar et al., 2014; McKinsey et

al., 2013; Sussel et al., 1999; Vogt et al., 2014). In contrast to the early production of MGE-derived interneurons, interneuron generation in the mouse CGE has been shown to peak at around E16.5 (Miyoshi et al., 2010). Progenitors in the CGE express the orphan nuclear receptors *COUP-TF I/II* (Kanatani et al., 2008) and generate  $\approx 30\%$  of mouse cortical interneurons (Miyoshi et al., 2010; Nery et al., 2002; Rudy et al., 2011). CGE-derived neurons represent a very heterogeneous pool of cells expressing vasoactive intestinal polypeptide (*VIP*) and calretinin (*CR*) as well as a group of cells that do not express *VIP* and include neurogliaform reelin (*RLN*)-expressing cells (Rudy et al., 2011). Virtually all CGE-derived interneurons express *5HT3aR* in the neocortex (Lee et al., 2010; Vucurovic et al., 2010). CGE-derived neurons mostly target the superficial layers of the neocortex independently of their time of birth (Lee et al., 2010; Miyoshi et al., 2010). Interestingly, more than half of human cortical interneurons are thought to originate from CGE progenitors (Hansen et al., 2013), which could reflect the evolutionary expansion of the upper layers of the cortex that are highly enriched in late-born CGE-derived neurons (Hansen et al., 2013; Miyoshi et al., 2010). In addition to the major contributions from both MGE and CGE, the preoptic area (POA) accounts for  $\approx 10\%$  of all cortical interneurons (Gelman et al., 2009). This group includes some neuropeptide Y (*NPY*)-expressing multipolar cells, as well as some PV- and SST-positive cells. Two distinct progenitor domains have been identified so far in the POA, one expressing *Nkx5.1* and another *Dbx1* (Gelman et al., 2009, 2011).

### 3 TRANSLANTATION AND THE STUDY OF BRAIN DEVELOPMENT

The initial studies that unraveled the subpallial origin of cortical interneurons were mostly based on dye labeling of discrete groups of cells in cultured mouse brain slices (Anderson et al., 1997; Tamamaki et al., 1997). Before the advent of genetic fate mapping techniques, transplantation allowed for the *in vivo* confirmation of migratory routes and also provided valuable information on the fate and functions of cortical interneurons. Additionally, transplantation studies demonstrated the remarkable ability for embryonic MGE and CGE cells to functionally integrate into both neonatal and adult host circuits (Fig. 1), and also provided key information on many aspects of interneuron development.

#### 3.1 INTERNEURON INTRINSIC DEVELOPMENTAL PROGRAM

The extraordinary migratory potential of MGE cells was first demonstrated *in vitro* (Wichterle et al., 1999). Using embryonic mouse brain explants grown in matrigel, MGE-derived neuroblasts were found to migrate extensively, as opposed to cells derived from neocortical explants. Upon homotopic and isochronic transplantation *in utero* using ultrasound guided injection, MGE cells were shown to migrate dorsally perpendicular to the radial-glia scaffold via both the neocortical subventricular and marginal zones. These homotopic and isochronic MGE transplant-derived cells primarily populated the neocortex but also contributed significantly to the globus pallidus, the striatum, the amygdala, and the CA1 region of the hippocampus (Wichterle et al., 2001). Transplanted MGE cells persisted into adulthood and mostly differentiated into aspiny local interneurons immunoreactive for GABA, PV, and SST, illustrating that the fate of interneurons was determined prior to their exit of the ganglionic eminence (Flames et al., 2007; Fogarty et al., 2007; Wonders et al.,

2008). In contrast, LGE transplant-derived cells were found to migrate ventrally and anteriorly to give rise to medium spiny neurons in the striatum, nucleus accumbens, and olfactory tubercle, as well as granule and periglomerular cells in the olfactory bulb (Wichterle et al., 2001). Interestingly, upon isochronic transplantation in the MGE, LGE cells did not modify their migratory behavior and remained in the ventral forebrain, with very few cells populating the neocortex, thus suggesting that at least some aspects of the development of ventral forebrain neuronal progenitors are intrinsically determined.

Heterochronic transplantation studies further confirmed that transplanted immature interneurons from the ganglionic eminences preserve their internal developmental program (Fig. 1). First, when injected in the postnatal brain, MGE cells display an initial highly migratory phase reminiscent of their distant origins (Fig. 2). Accordingly, transplant-derived cells have been shown to migrate distances up to 2.5 mm in the adult rodent brain (Davis et al., 2015; De la Cruz et al., 2011; Hunt et al., 2013; Martínez-Cerdeño et al., 2010) and 5 mm in the neonate (Alvarez-Dolado et al., 2006; Southwell et al., 2010). Second, in line with the postnatal maturation of inter-neurons in vivo (Okaty et al., 2009), following their migratory phase, transplanted MGE interneurons develop over the course of several weeks in the heterochronic environment before acquiring mature morphology, marker expression, and electro-physiological properties (Alvarez-Dolado et al., 2006; Howard and Baraban, 2016; Southwell et al., 2010) (Fig. 2). Finally, while transplanted MGE cells can develop in regions they normally migrate to, they similarly differentiate into mature interneurons in regions of the CNS they are not fated to populate. For instance, upon hetero-chronic transplantation in the spinal cord, MGE precursor cells migrate away from the injection site, survive, and eventually display molecular marker expression, morphology, and electrophysiological properties similar to those of cortical interneurons (Bráz et al., 2012, 2014, 2015).

Recent results have shown that the development of CGE cells is also intrinsically determined. Indeed, despite the known ontogeny of cortical interneurons since the late 1990s, it is only recently that studies addressed whether CGE cells, like their MGE counterparts, might be amenable to transplantation in the postnatal brain (Fig. 1). Not surprisingly, CGE transplant-derived cells were found to migrate extensively following heterochronic transplantation in the neonatal brain, with a dispersal similar to that of MGE cells (Hunt and Baraban, 2015; Larimer et al., 2016), thus suggesting that tangential migration of ventral forebrain inter-neuron precursors is a determinant factor for their dispersal upon transplantation. However, conflicting results were found following transplantation in the adult brain, with one report describing the failure of CGE cells to disperse in the mature cortex (Davis et al., 2015) and another study showing accentuated dispersal of CGE cells compared to that of MGE cells (Isstas et al., 2016). Such inconsistencies may be due to the lack of a clear anatomical distinction between MGE/LGE and CGE (Fig. 1). As the CGE is a caudal extension of both LGE and MGE, using the most rostral aspect of the CGE for transplantation may give rise to grafts enriched in LGE-derived cells that exhibit poor dispersal (Wichterle et al., 1999). However, in line with genetic fate mapping studies (Miyoshi et al., 2010; Rudy et al., 2011), CGE transplant-derived interneurons were more likely to localize to cortical layer I (Larimer et al., 2016) and express VIP, CR, and RLN (Hunt and Baraban, 2015; Isstas et al., 2016; Larimer et al.,

2016) (Fig. 2). Altogether, this work shows that the fates of both MGE and CGE cells are instructed by developmental programs established in the embryo and that these grafts can be used to complement existing circuits with specific subsets of interneurons.

Starting at 35 days after transplantation, transplanted MGE progenitors display electrophysiological properties and intrinsic firing patterns similar to those of endogenous MGE-derived interneurons (Howard and Baraban, 2016; Larimer et al., 2016). Their synaptic integration was first illustrated using electron microscopy (Baraban et al., 2009; Southwell et al., 2010; Wichterle et al., 1999) and was confirmed by intracellular recordings on acute brain slices showing spontaneous and evoked post-synaptic currents (Alvarez-Dolado et al., 2006; Howard and Baraban, 2016; Martínez-Cerdeño et al., 2010; Southwell et al., 2010), thus demonstrating that grafted cells receive functional inputs from host neurons. Paired recordings further showed inhibitory synapses made by transplanted MGE or CGE cells onto host pyramidal neurons (Howard and Baraban, 2016; Larimer et al., 2016; Southwell et al., 2012), as well as reciprocal inhibitory connections between CGE transplant-derived cells and host interneurons (Larimer et al., 2016). In agreement with their endogenous inhibitory function in the CNS and their functional integration upon transplantation, grafted MGE-derived interneurons can modify synaptic inhibition in the host brain (Alvarez-Dolado et al., 2006; Baraban et al., 2009; Bráz et al., 2012; Howard et al., 2014; Southwell et al., 2010) and are thus of a great clinical interest for the manipulation of inhibition in disorders that display circuit hyperexcitability (Chohan and Moore, 2016; Southwell et al., 2014; Tyson and Anderson, 2014). The therapeutic potential of CGE transplants has not been as extensively evaluated as that of MGE transplants so far. However, the expanded repertoire of transplantable interneurons allowed by these grafts will undoubtedly offer further insight into interneuron development.

### 3.2 INTERNEURON FATE AND SURVIVAL

Cortical interneuron precursor transplantation has been used to study the origin of interneuron subtypes. While MGE and CGE transplantation showed the variety of cortical interneurons generated by ventral forebrain progenitors, grafts of microdomains of the MGE provided insight into precursor cell heterogeneity. First, marker expression analysis revealed that each subregion of the subpallium can be further divided into distinct progenitor domains (Flames et al., 2007). In order to address whether these domains indeed corresponded to functionally distinct progenitor pools, homotopic and isochronic in utero transplants of the most dorsal and the most ventral domains of the MGE were generated. The neurochemical composition of the transplants was analyzed at postnatal day 14 (P14) and showed a strong bias for the generation of SST interneurons from the most dorsal region, as opposed to a bias for the production of PV interneurons by the ventral region (Flames et al., 2007). These results were corroborated using neonatal transplants (Inan et al., 2012; Wonders et al., 2008). Neonatal transplantation of microdomains has also been very useful to study the ontogeny of specific interneuron subtypes. Chandelier cells have attracted much attention due to their ability to both depolarize and hyperpolarize pyramidal neurons (Glickfeld et al., 2009; Szabadics et al., 2006; Woodruff et al., 2009, 2011) and their potential implication in schizophrenia (Howard et al., 2005). While genetic fate mapping techniques show that virtually all chandelier cells are generated in the MGE (Xu et al., 2008), transplantation



experiments demonstrate that there is a strong bias for the production of these cells by ventral MGE progenitors at late stages of neurogenesis (Inan et al., 2012). These findings were further confirmed using a tamoxifen-dependent lineage tracing strategy showing that chandelier cell production by Nkx2.1-positive progenitors peaks at E16.5 (Taniguchi et al., 2013). Taken together, these findings suggest that cortical interneuron diversity may stem from the heterogeneity in progenitor populations found in the embryo.

The mechanisms of interneuron lamination in the neocortex have also been studied using transplantation techniques (Pla et al., 2006). While the secretion of RLN by Cajal Retzius cells (D'Arcangelo et al., 1995; Ogawa et al., 1995; Soriano et al., 2005) is required for the proper lamination of the neocortex (Caviness, 1982), homo-topic and isochronic transplants of MGE cells lacking the intracellular adaptor *Dab1* required for RLN signaling revealed normal lamination of the mutant MGE cells, suggesting that cortical interneuron lamination does not depend on cell-autonomous RLN signaling. By contrast, WT MGE cells that are transplanted in the MGE of *Dab1*-deficient embryos fail to adopt a normal lamination and display a distribution that highly correlates that of misplaced pyramidal cells. Considering that interneurons invade the cortical plate after pyramidal cells have reached their final position and that synchronically born interneurons and pyramidal cells tend to locate in the same cortical layers, this work provides evidence that interneurons laminate in the cortex using cues presented by synchronically born pyramidal cells (Pla et al., 2006). This notion has been reinforced by (i) the aberrant lamination of both PV and SST interneurons in *Fezf2*-null mice that are lacking layer V corticofugal projection neurons (Lodato et al., 2011) and (ii) the recruitment of additional inhibitory synapses from PV interneurons by layer II–III callosal neurons converted into corticofugal projection neurons following *Fezf2* overexpression (Ye et al., 2015). Nevertheless, the molecular nature of factors that govern interneuron positioning remains unknown.

Finally, interneuron transplantation has been employed to address the rules governing waves of programmed cell death that occur in the developing CNS and help sculpt neural circuits (Southwell et al., 2012). Throughout the development of the nervous system, great numbers of neurons are eliminated at a time that coincides with synaptogenesis (Dekkers et al., 2013). In the case of mouse cortical interneurons, this occurs at around P7. While the role played by supernumerary cells during CNS development is still unknown, it was widely accepted that such a selection is driven by limited trophic support for which developing neurons would have to compete: the so-called neurotrophin hypothesis (Hamburger and Levi-Montalcini, 1949; Levi-Montalcini, 1949). If we were to extend this notion to MGE transplants, the host brain should thus be able to only accommodate a finite number of transplanted interneurons. In contrast, transplantation studies strongly suggest that interneuron survival is independent from signals arising from the host (Southwell et al., 2012). Upon transplantation in the neonatal brain, MGE cells display developmental apoptosis coinciding with their own age, and not that of the host, and therefore asynchronously from endogenous interneurons (Fig. 2). Interestingly, the proportion of interneurons undergoing cell death remained constant across grafts of various sizes and was similar to the extent of cell death found among endogenous interneurons during normal development. Additionally, transplanted interneuron cell death was found to be independent of the neurotrophin receptor TrkB and was temporally recapitulated by cultured MGE cells



in vitro. Altogether this work indicates that interneuron developmental programmed cell death is intrinsically determined and that interneuron survival does not depend on extrinsic cues from the host cells. However, it is possible that transplanted interneuron survival could depend on competition for survival signals emanating from interneurons themselves. Self-regulated cell death, at the individual cell level or at the population level, offers unique advantages for interneuron transplantation as the number of surviving transplanted cells is not adjusted with respect to the population of host interneurons, but mostly by the number of transplanted cells themselves. This work suggests that grafted cells execute their own endogenous program to determine the timing of cell death and the final number of surviving interneurons.

#### 4 TRANSPLANTATION AND CORTICAL PLASTICITY

In recent years, interneuron transplantation has been used to induce cortical plasticity in mature animals that normally exhibit minimal plasticity (Fig. 3) (Davis et al., 2015; Isstas et al., 2016; Larimer et al., 2016; Southwell et al., 2010; Tang et al., 2014). This line of work has reinforced the importance of the intrinsic programs that govern interneuron development and their integration upon transplantation. The model chosen for this work is the mouse visual system that displays a developmental critical period of plasticity for ocular dominance during which thalamic afferents compete for space and synaptic strength in the binocular zone of the primary visual cortex (Espinosa and Stryker, 2012; Wiesel and Hubel, 1963). During the critical period, but neither before nor after, imbalancing visual inputs by suturing one eye induces a shift in cortical responsiveness in favor of the open eye (Fagiolini et al., 1994; Prusky and Douglas, 2003). The induced rewiring of intracortical connectivity eventually leads to a loss of visual acuity for the closed eye, which mimics amblyopia, a condition found in humans and that affects ~4% of the population (Hensch, 2005). The opening of the critical period is governed by the maturation of cortical GABAergic circuits (Di Cristo et al., 2007; Fagiolini and Hensch, 2000; Hanover et al., 1999; Hensch et al., 1998; Iwai et al., 2003; Kanold et al., 2009; Katagiri et al., 2007; Sugiyama et al., 2008). Accordingly, plasticity can be triggered ahead of time by promoting interneuron development (Di Cristo et al., 2007; Hanover et al., 1999; Sugiyama et al., 2008) or by pharmacologically enhancing inhibitory transmission in the visual cortex (Fagiolini and Hensch, 2000; Fagiolini et al., 2004). Interestingly, heterochronic transplantation of immature interneurons in the neonatal (Southwell et al., 2010) or adult (Davis et al., 2015) brain induces a second period of plasticity in the recipient visual cortex (Fig. 3). In both studies monocular deprivation was found to induce ocular dominance plasticity in MGE transplant recipients only if performed ~5 weeks after the transplantation of E13.5 MGE cells, well after the endogenous critical period has ended (Fig. 3). Interestingly, the age of the transplanted cells at the time of transplant-induced plasticity corresponds to that of host interneurons when endogenous ocular dominance plasticity reaches its maximum, suggesting that interneuron intrinsic developmental programs regulate critical period timing.

As MGE grafts primarily generate PV and SST interneurons, Tang et al. (2014) sought to determine the respective contribution of these two interneuron populations in MGE transplant-induced plasticity. Using the R26-GDTA allele that allows ablation of specific cell types through Cre-dependent diphtheria toxin alpha subunit expression, PV and/or SST cells

were specifically eliminated from MGE transplants. Surprisingly, PV- and SST-depleted transplants each induced robust plasticity, thus indicating that both PV and SST interneurons can induce ODP. However, elimination of both PV and SST cells prevented transplant-induced plasticity, suggesting that a sufficient number of either cell type has to be present for MGE transplants to induce plasticity. It has been suggested that PV interneurons are a central hub for cortical plasticity gating (Bernard et al., 2016; Beurdeley et al., 2012; Chattopadhyaya et al., 2004; Fagiolini et al., 2004; Huang et al., 1999; Kuhlman et al., 2013; Maffei et al., 2006; Miyata et al., 2012; Pizzorusso et al., 2002; Spatazza et al., 2013; Sugiyama et al., 2008; Takesian and Hensch, 2013; Yazaki-Sugiyama et al., 2009). However, the role of SST interneurons in this system had been overlooked so far. The work by Tang et al. (2014) does not preclude the possibility that the induction of plasticity by transplanted SST interneurons may be mediated in part by their action on host PV cells. The demonstration that SST interneurons can also induce plasticity highlights the power of transplantation experiments to decipher the cellular mechanisms of plasticity.

The ability of SST neurons to induce cortical plasticity raised the question as to whether interneurons are in general capable of reopening sensory critical periods. To test this hypothesis, recent studies tested whether CGE-derived interneurons might be competent (Davis et al., 2015; Isstas et al., 2016; Larimer et al., 2016). The CGE gives rise to a pool of interneurons different from those originating in the MGE (Rudy et al., 2011). Just like MGE transplants, grafted CGE cells disperse and differentiate in the heterochronic environment. However, in contrast to the MGE, CGE-derived interneurons do not reactivate plasticity (Davis et al., 2015; Isstas et al., 2016; Larimer et al., 2016) despite being functionally integrated in the host brain (Larimer et al., 2016). One study found that PV and SST interneurons account for ~20% of CGE transplant-derived cells (Larimer et al., 2016), suggesting that some young interneurons generated in the MGE migrate through the CGE (Butt et al., 2005). Such mixed transplants were found to reopen plasticity, although plasticity induction is solely attributable to PV and SST interneurons as it is fully abolished following genetic ablation of these cells from CGE transplants (Larimer et al., 2016).

Taken together, these results suggest that transplant-induced plasticity is restricted to MGE-derived PV and SST interneurons. It is unlikely that transplant-induced plasticity results from increased inhibition as pharmacological enhancement of inhibition does not trigger plasticity after the critical period (Fagiolini et al., 2004). The inability for CGE-derived neurons to induce plasticity also suggests that the mechanisms required to home the transplanted cells into the host cortical network are not enough to elicit functional reorganization. Endogenous critical period closure has been associated with the expression of molecular brakes that stabilize mature cortical networks (Bavelier et al., 2010; Morishita et al., 2010; Sajo et al., 2016). Transplanted PV and SST cells may alter the expression of such brakes and thus allow host cells to rewire upon sensory deprivation. Undoubtedly, the study of both MGE and CGE transplants provides a powerful new tool to investigate the molecular and synaptic mechanisms enabling transplant-induced plasticity. The induction of plasticity by heterochronically transplanted interneurons also offers an opportunity to modify neural circuits for clinical gains.

## 5 DISEASE-MODIFYING PROPERTIES OF MGE TRANSPLANTS

Removing plasticity brakes in adulthood holds great promises for lifelong learning and the treatment of neurodevelopmental disorders (Bavelier et al., 2010; Takesian and Hensch, 2013). Studies of transplant-induced cortical plasticity suggest that young interneuron transplantation could be employed to promote the functional reorganization of cortical networks following brain injury or trauma. While this hypothesis remains to be tested, it is supported by recent results showing that MGE transplantation in the adult visual cortex rescues amblyopia acquired upon visual deprivation in juvenile mice (Davis et al., 2015). Whether the plasticity-inducing effect of MGE transplants can be similarly applied to brain regions other than the visual cortex remains unknown. For example, the basolateral amygdala displays a critical period of plasticity during which fear memories can be erased by extinction training (Gogolla et al., 2009). Importantly, plasticity in the amygdala shares many features with that in the visual cortex, suggesting that common molecular and cellular determinants may be gating plasticity in both systems. Most notably, amygdala plasticity is constrained by the expression of perineuronal nets (Gogolla et al., 2009) and can be reactivated by antidepressant drugs (Karpova et al., 2011). It will be interesting to investigate whether interneuron transplantation can modify fear memory resiliency, which bears strong therapeutic implications for patients suffering from posttraumatic stress disorders. Interestingly, MGE cell transplants have been shown to reduce anxiety levels in WT animals (Valente et al., 2013).

Interneuropathies constitute a wide range of neurological disorders that directly result from interneuron dysfunctions (Kato and Dobyns, 2005). Whether they are caused by a reduction in interneuron number or more specific deficits in the firing properties of individual neurons, these syndromes share impaired GABAergic transmission (Kato and Dobyns, 2005; Marín, 2012). Other conditions such as PD, Huntington's disease, or neuropathic pain originate from imbalance between excitation and inhibition levels secondary to defects in other neuronal populations (Kato and Dobyns, 2005; Marín, 2012; Southwell et al., 2014). These diseases are all associated with network hyperexcitability, which led to the proposal that interneuron transplantation could be used to restore inhibition and thus alleviate the symptoms observed in animal models of these conditions (Fig. 3).

### 5.1 SCHIZOPHRENIA

Schizophrenia has been associated with impaired GABA signaling (Inan et al., 2013; Marín, 2012). Injection of the NMDA receptor antagonist phencyclidine (PCP) triggers schizophreniform cognitive deficits in both healthy humans (Javitt and Zukin, 1991) and rodents (Mouri et al., 2007). PCP is thought to primarily act on NMDA receptors localized to cortical interneurons (Korotkova et al., 2010), which would result in altered activity of projection neurons in the prefrontal cortex (PFC). In order to address whether cortical interneurons could potentially help in the treatment of schizophrenia-related symptoms, MGE transplants were performed in the neonatal mouse brain 6 weeks before PCP acute administration (Tanaka et al., 2011). Interestingly, PFC transplants can prevent PCP-induced cognitive deficits, as opposed to visual cortex transplants that are ineffective in this system. Immediate early gene expression in PFC projection neurons was increased in MGE

recipients, suggesting that MGE transplant benefits on PCP-induced deficits are not simply linked to modulation of PFC neuron activity.

Disinhibition in the hippocampus is also thought to be determining schizophrenia-related positive symptoms (e.g., delusions and hallucinations) and psychosis (Heckers and Konradi, 2010; Schobel et al., 2013). To study this aspect of the disease, Gilani et al. (2014) used the cyclin D2 (*CCND2*) genetic mouse model (Glickstein et al., 2007) that displays a reduction in hippocampal interneurons and increased hippocampal output in vivo. Interestingly, MGE transplants can restore normal hippocampal activity and rescue the hippocampus-related cognitive deficits exhibited by this model. These findings point to the importance of interneuron development and survival in the pathogenesis of psychotic disorders and demonstrate the procognitive effects of interneuron-based strategies, which could benefit treatment-resistant patients that demonstrate hippocampal hyperactivation at rest.

## 5.2 EPILEPSY

Epilepsy is a heterogeneous neurological disorder affecting more than 50 million people and characterized by repeated episodes of seizure activity (de Boer et al., 2008). While known genetic mutations are responsible for a small proportion of cases (Pandolfo, 2013), epilepsy can also develop as a result of traumatic brain injury, stroke, tumor, or surgery (Chang and Lowenstein, 2003). Hyperexcitability is a key feature of epilepsies and often reflects impaired inhibition, in both animal models (Cossart et al., 2001; Sloviter, 1987) and human patients (de Lanerolle et al., 1989; Mathern et al., 1995). Seizure reduction following interneuron transplantation was first demonstrated in a genetic mouse model of epilepsy displaying severe spontaneous seizures by the second to third postnatal week of age (Baraban et al., 2009). MGE progenitors were transplanted in the neonatal cortex and seizure events were recorded by video EEG starting 30 days after transplantation. A 90% reduction in seizure events was observed in grafted mutant animals over the course of a month-long monitoring period. In another model of acquired epilepsy (Hammad et al., 2015), neonatal transplantation of MGE cells also yielded a significant decrease in the frequency and duration of epilepsy episodes, as early as 3 weeks posttransplantation. Concomitantly, transplantation seemed to promote survival of the mutant animals, as 80% of the MGE graft recipients survived up to 4 months compared to an average survival of 29 days for control animals. Taken together, these findings demonstrate that neonatal MGE grafts can have a prophylactic effect in two distinct congenital seizure disorders.

MGE precursor cells also demonstrated efficacy in mouse models of induced epilepsy. Calcagnotto et al. (2010) injected MGE cells in the mouse neonatal cortex and employed maximum electroconvulsive shock (MES) in adult mice to address whether MGE grafts could protect against the induction of tonic seizures. MES acutely induces a single seizure and is often used as a platform to screen antiepileptic drugs. Upon MES induction at 2 months posttransplant, the incidence of tonic seizure was significantly lower in the MGE grafts group compared to controls. Accordingly, animal survival rate was also increased among transplant recipients compared to controls. Interneuron transplantation in the adult cortex also reduces seizure propagation as indicated by local field potential measurement upon focal administration of 4-aminopyridine (4-AP), a potent convulsant and potassium

channel blocker (De la Cruz et al., 2011). Here, the beneficial impact of MGE grafts on epileptiform activity was detected as early as 2.5 weeks posttransplant and was also found to be independent of the extent of transplanted cell survival, which suggests that a critical amount of grafted cells is required for optimal tuning of neuronal inhibition (Southwell et al., 2010; Tang et al., 2014).

Upon saporin-induced elimination of hippocampal interneurons, MGE transplantation restored inhibitory postsynaptic currents onto CA1 pyramidal cells and reduced pharmacologically induced seizure susceptibility of the grafted animals (Zipancic et al., 2010). The impact of interneuron transplantation on spontaneous recurrent seizures was also tested in the pilocarpine mouse model of temporal lobe epilepsy (Henderson et al., 2014; Hunt et al., 2013). In both studies, hippocampal MGE transplants were performed approximately 2 weeks after the animals reached status epilepticus. In the hippocampus of epileptic mice, grafted MGE cells differentiate into GABAergic interneurons, acquire mature electrophysiological properties, and form functional synapses onto endogenous granule cells. MGE transplant-induced seizure suppression was found at ~60 days after transplantation. Interestingly, prolonged video EEG monitoring of grafted animals showed that this effect did not persist in time despite the presence of functional transplanted cells (Henderson et al., 2014), which raises the question of the long-lasting effect of MGE grafts on the control of seizure phenotype.

Taken together, these findings indicate that local interneuron precursor transplants have a potent impact on seizures. However, further work is required to determine the molecular and cellular mechanisms of transplant-induced seizure suppression in order to guide the development of transplant-based strategies for the treatment of refractory seizures. Inconsistencies in the timing of MGE graft efficacy suggest that those mechanisms may not be conserved across seizure models. For instance, while the kinetics of seizure suppression observed in the pilocarpine model strongly suggest a role for synaptic mechanisms (Henderson et al., 2014; Hunt et al., 2013), the early effects observed in the 4-AP model (De la Cruz et al., 2011) would indicate a possible role for nonsynaptic mechanisms. MGE grafts enhance both synaptic and extrasynaptic inhibition (Baraban et al., 2009). Interestingly, the requirement of extrasynaptic GABA-A receptors for the transplant-mediated dampening of seizure propagation in the 4-AP model was recently shown (Jaiswal et al., 2015). Given the heterogeneity of MGE transplant-derived interneurons, it will be important to identify whether specific subtypes may prove therapeutic for specific forms of seizures.

### 5.3 PARKINSON'S DISEASE

Interneuron transplantation as a cell-based therapeutic strategy has also been tested in a mouse model of PD (Martínez-Cerdeño et al., 2010). PD affects a large population worldwide and is characterized by motor impairments as well as cognitive and autonomic dysfunctions. The motor symptoms result from the degeneration of substantia nigra pars compacta dopaminergic neurons that normally extend axonal projections to the striatum. Reduced dopamine release in the striatum induces a cascade of neurotransmitter release imbalance that inhibits the output of the basal ganglia and leads to motor dysfunctions (DeLong and Wichmann, 2007). Striatal GABAergic interneurons have been shown to gate

basal ganglia output (Tepper and Bolam, 2004) and, consequently, worsen striatal imbalance in the dopamine-depleted striatum (Mallet et al., 2006), thus contributing to the pathophysiology of PD. These findings indicate that striatal inhibition can be used as a nondopamine-based lever to alleviate some of the symptoms characteristic of PD. The 6-hydroxydopamine (6-OHDA) rat model recapitulates the deterioration of the nigrostriatal pathway observed in PD patients. MGE progenitors transplanted into the striatum of 6-OHDA-treated adult rats are able to disperse, differentiate into GABAergic interneurons, synaptically integrate locally, and survive for up to a year (Martínez-Cerdeño et al., 2010). Importantly, MGE grafts were able to dampen the motor deficits displayed in this animal model of PD. Of note, overall locomotor activity of naïve control animals was increased following striatal MGE transplantation, thus suggesting that added interneurons can also exert a strong influence on striatal-dependent behaviors in an intact environment. The mechanisms of MGE cell effects in this system remain unknown and further work will be required for their identification. Previous studies in rodents have reported amelioration of PD-associated symptoms upon modulation of basal ganglia activity by enhancement of GABA stimulation (Lee et al., 2005; Luo, 2002; Winkler et al., 1999). Accordingly, motor deficits were likely improved as a consequence of transplant-induced increase in striatal inhibitory transmission. Alternatively, it is plausible that interneuron transplantation induces secondary changes mediating behavioral improvements or provides exogenous trophic support to remaining dopaminergic processes in the striatum. Finally, 25% of MGE transplant-derived cells differentiate into oligodendrocytes in the striatum, which raises the possibility of additional nonneuronal trophic support.

#### 5.4 ALZHEIMER'S DISEASE

Approximately 40 million people are affected by AD, a number that is predicted to triple by 2050 (Wimo et al., 2013). Memory deficits in patients suffering from AD are associated to an excitation–inhibition imbalance in the dentate gyrus that leads to hippocampal hyperactivity (Huang and Mucke, 2012). While amyloid- $\beta$  ( $A\beta$ ) overproduction or accumulation leads to interneuron dysfunction (Palop et al., 2007; Verret et al., 2012), the expression of apolipoprotein (apo) E4, a strong genetic risk factor for AD, causes hippocampal hyperactivity in humans (Filippini et al., 2009) and a progressive decrease in hilar interneuron number in mice (Andrews-Zwilling et al., 2010; Leung et al., 2012; Li et al., 2009). As a result of aberrant neural network activity, AD patients have also been found to display increased incidence of epileptic events (Amatniek et al., 2006). Given the GABAergic dysfunctions observed both in patients and in mouse models of AD, it was tested whether interneuron replacement therapy leads to improvement of both cognitive and behavioral deficits in two widely used AD mouse models (Tong et al., 2014). Bilateral MGE transplantation was performed in the hilus of aged *apoE4* knock-in mice. Transplanted cells were found to disperse throughout the hilus, extend dendrites into the molecular layer of the dentate gyrus, predominantly differentiate in GABAergic interneurons expressing SST, and survive for at least 90 days. Grafted cells functionally integrated and increased inhibitory transmission onto excitatory granule cells, thus compensating for the reduction of hilar interneurons in these mice. MGE cell transplantation rescued learning and memory deficits in *apoE4* knock-in mice, both with and without  $A\beta$  plaques, to levels similar to those of wild-type mice. These findings suggest that interneuron replacement could ameliorate AD-



related symptoms. The lack of significant modification of A $\beta$  levels and plaques suggests that cognitive improvements are mediated by restored synaptic inhibition, although trophic support emanating from the transplanted cells onto remaining hilar interneurons cannot be ruled out. In this context it would be interesting to test whether early wild-type interneuron transplantation could have a prophylactic effect on interneuron decrease in *apoE4* knock-in mice. Cell-based strategies for the treatment of AD appear as a viable approach given that wild-type MGE transplant-derived cells can survive in the apoE4-A $\beta$  toxic environment.

## 5.5 NEUROPATHIC PAIN

Neuropathic pain is provoked by nerve injury and is characterized by both allodynia (where nonnoxious stimuli are painful) and hyperalgesia (where pain behaviors caused by normally painful stimuli are increased). While the complex molecular, biochemical, and cellular changes that occur upon nerve injury are central for both mechanical and thermal hypersensitivity, how they contribute to the pain described by patients is not fully resolved. It is accepted that peripheral nerve injury results in decreased GABAergic neurotransmission within the spinal cord dorsal horn. A loss of inhibitory interneurons has been reported in the lesioned spinal cord (Moore et al., 2002; Scholz et al., 2005), as well as the reduced expression of postsynaptic GABAA receptors (Fukuoka et al., 1998), decreased GABA release (Lever et al., 2003), and diminished glutamic acid decarboxylase (*GAD*) expression (Eaton et al., 1998; Lever et al., 2003). In agreement with the long-standing idea that disinhibition in the spinal dorsal horn could underlie neuropathic pain (Loeser and Ward, 1967), GABA agonists have been found to improve allodynia and hyperalgesia (Munro et al., 2009).

A recent set of studies explored whether transplantation of MGE cells in the spinal cord could mitigate the behavioral features of two mouse models of neuropathic pain (see also chapter “Interneuron transplantation in spinal cord for treatment of pain” by Basbaum). Transplanted MGE cells can survive in the adult spinal cord for at least 6 months, differentiate into GABAergic interneurons that display a “cortical” signature, and functionally integrate within the host spinal circuitry. Importantly, mechanical responsiveness is returned to baseline levels following MGE transplantation in mouse models of both sciatic nerve lesion where animals develop a severe hypersensitivity (Bráz et al., 2012) and chemotherapy-induced neuropathic pain (Bráz et al., 2015). In the latter, MGE cells deficient for the vesicular GABA transporter (*VGAT*) failed to rescue the pain behavior, which suggests that synaptic GABA release is important for MGE transplant-induced behavioral improvements in the paclitaxel model. As observed in other studies (De la Cruz et al., 2011; Southwell et al., 2010; Tang et al., 2014), there was no correlation between transplanted interneuron number and functional effect (Bráz et al., 2012). Taken together, this work highlights the efficacy of MGE cell transplants for the management of neuropathic pain. Considering the known side effects of the traditional pharmacological approaches used in patients, interneuron-based therapy may thus represent a promising avenue for future therapeutic strategies.



## 6 CONCLUSION

The ontogeny of ventral telencephalon interneurons undoubtedly endows this cell population with the remarkable ability to disperse upon transplantation in the neonatal, juvenile, or even adult brain. Following migration, grafted interneurons have the ability to differentiate and synaptically integrate into functional circuits. Grafted interneurons can modify the activity of host target cells, which has strengthened the potential of interneuron-based therapies for the treatment of various conditions characterized by hyperexcitability. While the numerous preclinical studies discussed here offer promises for such approaches to be taken out of the laboratory and into the clinic, specific limitations still remain to be addressed. Notably, the mechanisms by which MGE transplants control target cell activity need further investigation. Moreover, a better understanding of each disease's etiology will be required so that the composition of the transplants may be adapted to achieve optimal efficacy. The generation of safe human interneurons will also be crucial for clinical transition. Recent reports demonstrating the in vitro production of transplantable MGE-like interneurons from human pluripotent stem cells (Maroof et al., 2013; Nicholas et al., 2013) have generated great excitement, which was further reinforced by studies showing therapeutic efficacy for such cells in mouse models of disease (Cunningham et al., 2014; Fandel et al., 2016; Liu et al., 2013).

Fundamental knowledge has also been gained from the remarkable ability of young interneurons to disperse and functionally integrate upon heterochronic transplantation. Here too, we anticipate that transplantation of interneurons will continue revealing basic insights about how these neurons find their way through complex and heterogeneous environments, how they choose to make connections with other neurons, and how they determine whether they survive or die. Additionally, the ability of transplanted interneurons to induce juvenile-like plasticity offers a powerful tool to study basic mechanisms of critical periods of plasticity. Future work may also reveal what cell-intrinsic information within young interneurons endows them with their unique ability to migrate through the parenchyma of the postnatal brain.

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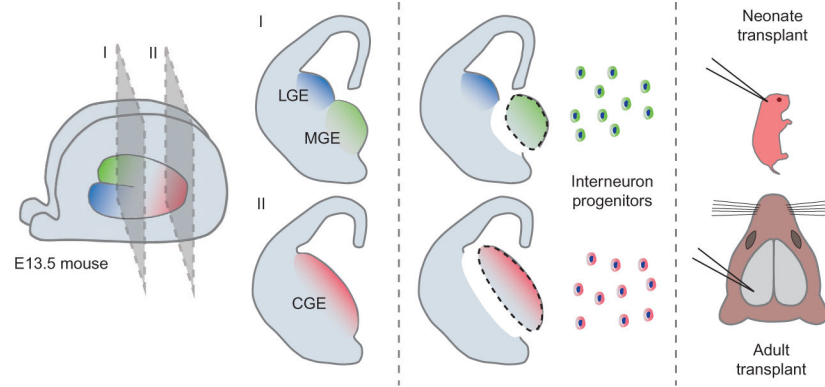


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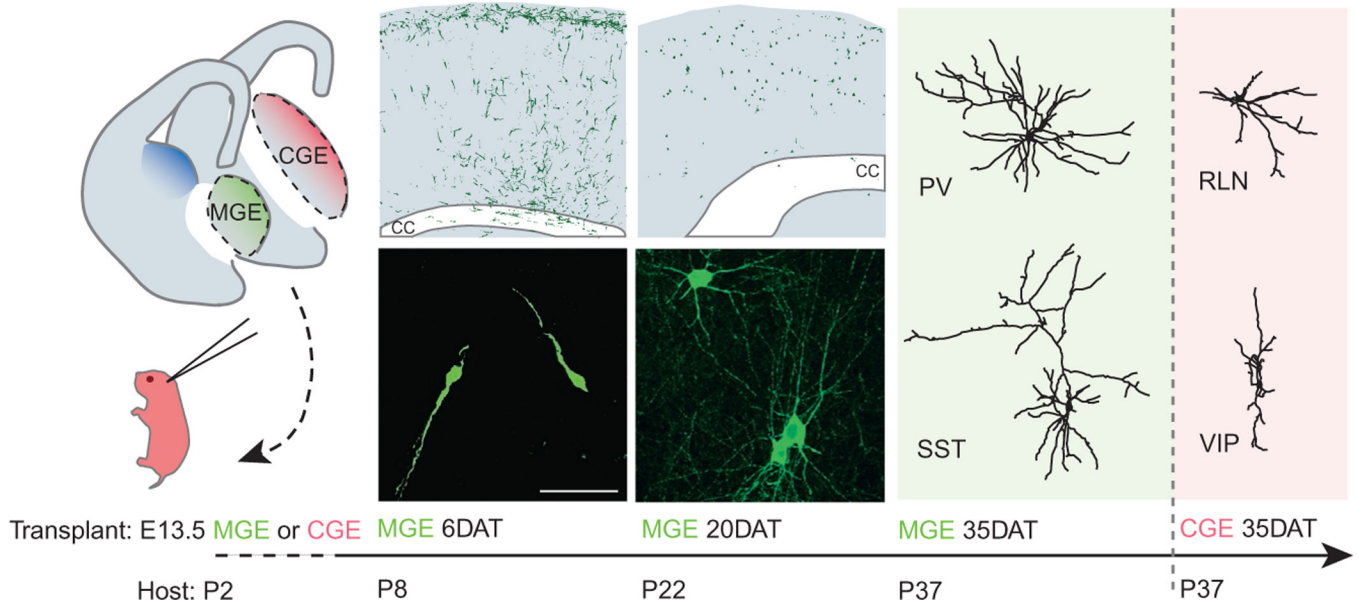
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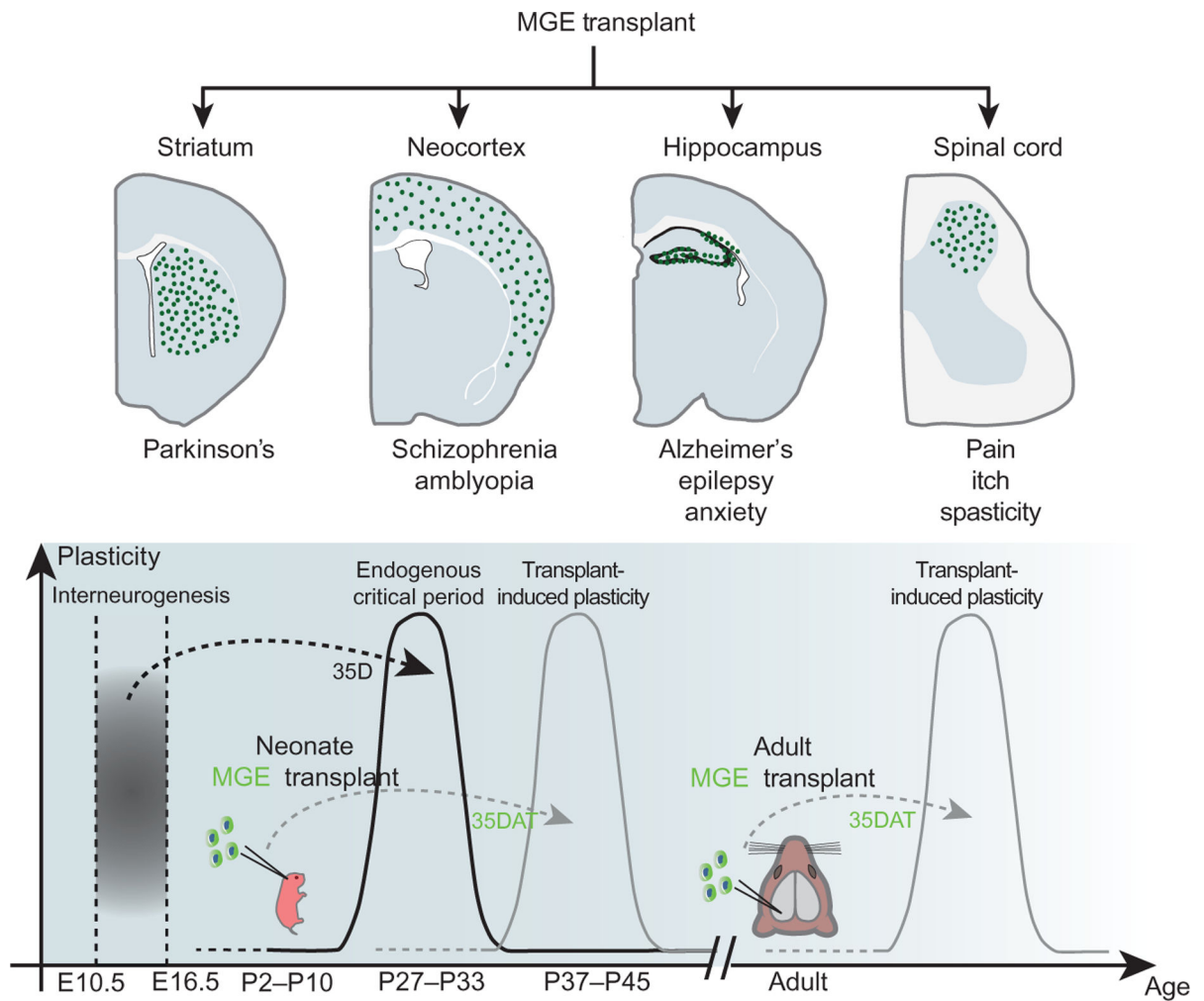
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**FIG. 1:** Heterochronic transplantation of interneuron progenitors. The MGE or CGE is dissected from the embryonic mouse brain. The MGE is anatomically separated from the LGE by a large sulcus; the CGE is a caudal extension of both LGE and MGE. Dissociated cells from these ganglionic eminences can be transplanted using beveled glass needles into both neonatal and adult nervous system (see text). MGE and CGE interneuron progenitors have the ability to migrate and differentiate into multiple interneuron subtypes that become integrated into functional circuits; dispersal is more robust in the permissive neonatal brain.

**FIG. 2:**

Transplant-derived interneuron development in the heterochronic environment. MGE and CGE progenitors were transplanted into the cortex of a P2 host. At 6 days posttransplantation (6DAT), many MGE and CGE transplant-derived cells are found within the superficial layers of the cortex and are tangentially oriented, a behavior reminiscent of endogenous interneuron migration within the marginal zone of the developing neocortex (*top*). A large number of cells have also started to invade the cortex at 6DAT and display a radial orientation (*top*). At this stage, virtually all transplant-derived cells display a typical migratory morphology, with a long leading process and a short trailing process (*bottom*). At 20DAT, many transplanted interneurons have undergone programmed cell death. The transplanted MGE cells that survive usually stay clear of cortical layer I (as opposed to transplanted CGE cells), distribute across all cortical layers (*top*), and display a more mature morphology (*bottom*). At 35DAT, the vast majority of MGE transplant-derived cells differentiate into GABAergic interneurons expressing either PV or SST. CGE transplants give rise to many neurogliaform neurons that express RLN and to VIP-expressing interneurons. Neurogliaform interneurons mostly localize to layer I. CC, corpus callosum. Scale bar: 50  $\mu$ m.



**FIG. 3:** Immature interneuron transplantation and therapeutic applications. (*Top*) Immature interneurons can be obtained directly from the embryonic MGE or in vitro from embryonic stem (ES) or induced pluripotent stem (IPS) cells directed to differentiate into MGE-like progenitors. Interneurons have been transplanted into multiple regions of the CNS, including the striatum, neocortex, hippocampus, and spinal cord. Transplanted interneurons display disease-modifying activity in animal models of Parkinson's disease, Alzheimer's disease, epilepsy, schizophrenia, anxiety, spasticity, chronic pain, and neuropathic itch. (*Bottom*) Interneuron transplantation has also been used to study and manipulate cortical plasticity. The timing of native critical period of plasticity in the mouse visual cortex is dictated by the maturation of endogenous interneurons. Ocular dominance plasticity peaks at around P30 when inhibitory neurons are approximately 35 days of age (35D). Upon transplantation into both neonatal and adult visual cortex, interneurons induce ocular dominance plasticity when they reach a similar cellular age at approximately 35 days after transplantation (35DAT). Transplant-induced plasticity allows functional recovery of visual acuity in mouse models of developmentally acquired amblyopia. These findings suggest that interneuron development

is governed by molecular programs established in the embryo and that these programs are retained and executed by embryonic interneurons upon heterochronic transplantation.

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