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# The Simons Observatory microwave SQUID multiplexing detector module design

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43	ABSTRACT		
44	Advances in cosmic microwave background (CMB) science depend on increasing the number of sen-		
45	sitive detectors observing the sky. New instruments deploy large arrays of superconducting transition-		
45	edge sensor (TES) bolometers tiled densely into ever larger focal planes. High multiplexing factors		
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reduce the thermal loading on the cryogenic receivers and simplify their design. We present the design of focal-plane modules with an order of magnitude higher multiplexing factor than has previously been achieved with TES bolometers. We focus on the novel cold readout component, which employs microwave SQUID multiplexing ( $\mu$ mux). Simons Observatory will use 49 modules containing 60,000 bolometers to make exquisitely sensitive measurements of the CMB. We validate the focal-plane module design, presenting measurements of the readout component with and without a prototype detector array of 1728 polarization-sensitive bolometers coupled to feedhorns. The readout component achieves a 95% yield and a 910 multiplexing factor. The median white noise of each readout channel is 65 pA/ $\sqrt{\text{Hz}}$ . This impacts the projected SO mapping speed by < 8%, which is less than is assumed in the sensitivity projections. The results validate the full functionality of the module. We discuss the measured performance in the context of SO science requirements, which are exceeded.

#### 1. INTRODUCTION

The Simons Observatory (SO) is a suite of new telescopes that will be used to survey the cosmic microwave background (CMB) temperature and polarization anisotropies. These observations are designed to measure or constrain the primordial B-mode polarization signal with a tensor-to-scalar ratio r uncertainty of  $\sigma(r) = 0.003$ , constrain the sum of the neutrino masses, and survey galaxy clusters through the thermal and kinematic Sunyaev-Zel'dovich effects, along with a number of other cosmological and astrophysical probes (The Simons Observatory Collaboration et al. 2019).

The initial configuration of SO consists of one large 6 m aperture telescope (LAT) (Niemack 2016; Gudmundsson 65 et al. 2021; Xu et al. 2021) and three small aperture 0.5 m telescopes (SATs) (Ali et al. 2020; Galitzki et al. 2018). The 66 four telescopes will observe in six common spectral bands centered between **30** and 290 GHz. Collectively, dichroic 67 pixels with over 60,000 transition-edge-sensor (TES) bolometers will be deployed to achieve the sensitivity needed for 68 the cosmological science goals. The optical coupling, detector array, and cold readout are packaged in a universal 69 focal-plane module (UFM). SO will observe with 49 UFMs. Each SAT focal plane consists of seven tiled UFMs. 70 The three SATs will ultimately host four focal planes over the course of the experiment, for a total of 71 28 UFMs. The LAT receiver (LATR) (Zhu et al. 2021) will contain seven optics tubes, each of which has a focal 72 plane with three UFMs, for a total of 21 UFMs. The 'universal' name is given to the modules as they are common 73 to all receiver focal planes. The detector arrays build on the proven detector performance of the Atacama Cosmology 74 Telescope (ACT) (Henderson et al. 2016) and POLARBEAR (Suzuki et al. 2016) collaborations. 75

The number of detectors SO requires to meet the stated cosmological goals is a significant increase over current experiments, which in turn requires both readout and focal-plane module development. Current CMB experiments use voltage-biased TES bolometers noise-limited by photons. Their proven sensitivity across millimeter-wave frequencies makes them the standard. Thus, any significant gain in sensitivity necessarily comes from an increase in the detector count. 80

The readout architectures must advance to accommodate the growing number of TESs and associated circuitry. 81 Simply scaling existing readout designs will result in high thermal loads, complex cryogenic wiring designs, and/or 82 inefficient use of the focal-plane area; these are at odds with other experiment demands. For SO, we are increasing 83 the multiplexing factor to, colloquially, 1000 (in practice, 910) in half an octave of bandwidth. This allows efficient 84 packing of focal-plane modules and significantly decreases the cold wiring complexity as compared to current readout 85 systems (Dobbs et al. 2012; de Korte et al. 2003), as all detectors within a module share only two transmission lines. 86 Furthermore, we show this can be implemented in a compact assembly, which can be tessellated, enabling large, densely 87 packed focal planes. The design is compatible with the requirement that the readout and detectors have sufficiently 88 low noise such that the desired instrument sensitivity can be achieved. 89

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#### 1.1. Microwave SQUID multiplexing

SO will use microwave superconducting quantum interference device (SQUID) multiplexing ( $\mu$ mux) (Irwin & Lehnert 91 2004; Mates et al. 2008) to read out the detectors. Microwave SQUID multiplexing transforms a change in TES current 92 into a shift in resonance frequency  $f_0$  of a resonator, which is read out through a phase shift of the interrogating probe 93 tone. A schematic is shown in Fig. 1. Each TES is inductively coupled to a radio frequency (RF) SQUID, which is in 94 turn inductively coupled to a quarter-wave co-planar CPW resonator. Each resonator has a different electrical length 95

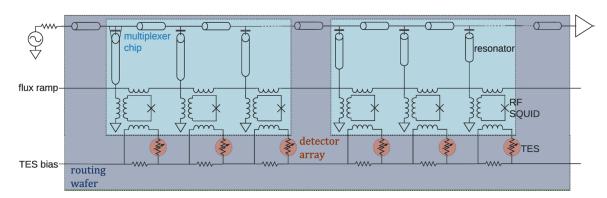


Figure 1. Schematic showing the microwave SQUID multiplexing ( $\mu$ mux) circuit and implementation in the Simons Observatory focal-plane module. The routing wafer is marked in *grey*, multiplexer chips *blue*, and TES detector array *red*. See Mates (2011) for additional details of the on-chip  $\mu$ mux circuit.

and thus unique  $f_0$ , allowing many channels to be read out on a single transmission line. The flux ramp is a second input to the SQUID; it continually applies a sawtooth signal, which linearizes the SQUID response and modulates (at ~ 16 kHz) the TES signal above any elevated 1/f noise, including the two-level system noise frequently seen in microwave resonators (Gao et al. 2008). Microwave multiplexing is a novel readout method for CMB experiments and offers an elegant solution for multiplexing an order of magnitude more detectors than previous architectures.

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In the remainder of this paper, we detail and motivate the design of the readout module in Sec. 2, validate the design in Sec. 3, discuss the performance in the context of SO requirements in Sec. 4, and conclude with possible future directions in Sec. 5.

# 2. DESIGN

#### 2.1. Readout overview

The SO readout system consists of three parts: the warm electronics, the readout wiring (RF chains and DC wiring), and the cold (100 mK) multiplexer assembly, the last of which this paper details. For the warm readout (300 K and external to the cryostat), SO uses the SLAC Superconducting Microresonator Radio Frequency (SMuRF) electronics (Henderson et al. 2018). SMuRF generates the tones which drive, interrogate, and track the resonators and provides the DC TES biases and flux ramp signals (nominally 4 kHz). The probe tones enter and exit the cryostat via the RF chains. The RF chains (Sathyanarayana Rao et al. 2020) contain a series of attenuators on the input side to achieve the desired tone power at the cold multiplexer input. Two-stage amplification (at 4 K and 40 K) on the output, in concert with the tone tracking, achieves high gain with sufficient linearity to maintain low noise at the total power needed for 910 tones.

#### 2.2. Readout module

The novel part of the UFM is contained in the readout module, referred to as the universal  $\mu$ mux module (UMM). 116 The UMM is a compact, low-profile assembly that sits directly behind the 150 mm diameter detector array, occupying 117 the same footprint. The UMM is shown in Fig. 2 and the comprising detector and  $\mu$ mux components are given in 118 Table 1. Two pairs of coaxial lines read out the detectors for a total of 1820 channels in a  $2 \times 910$  configuration. Twenty-119 eight multiplexer chips  $(2 \times 14)$  read out between 4–6 GHz are mounted to a monolithic copper piece that provides 120 both a ground plane and mechanical support. The microwave readout and flux ramp circuits continue on a monolithic 121 silicon routing wafer mounted in the same plane as the multiplexer chips. The routing wafer also provides the 400  $\mu\Omega$ 122 shunt resistors for each TES bias circuit, and the routing for twelve TES bias lines. The shunt resistances were chosen 123 to be chosen to be 10% of the TES operating resistance while the bias line number was informed by the achieved 124 uniformity of the Advanced ACTPol arrays. Each detector array contains up to 1728 optically coupled detectors (and 125 36 dark bolometers). The UFM is operated at a bath temperature of 100 mK. We discuss the components and design 126 choices in more detail in the remainder of this section, and touch on the assembly process (Healy et al. 2020), which 127 is an important component in achieving good RF performance. 128

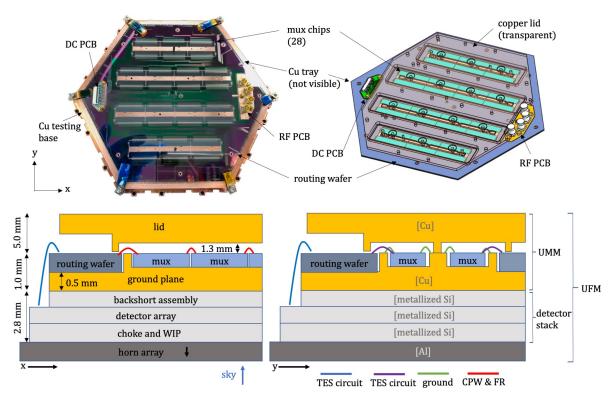


Figure 2. Upper Photograph and rendering of the readout module, which contains the 100 mK readout components. The lid is removed to reveal the silicon components, including the two sets of 14 multiplexer chips, containing the 1820 readout channels, and the routing wafer, which connects the multiplexer chips in series and hosts the TES bias circuitry. The silicon components rest on a copper plane, which is the RF ground and mechanical support. The  $\mu$ mux components are independently characterized before being coupled to a detector array. The right rendering shows the copper lid (transparent). Lower Cross-sectional views (not-to-scale) of the universal focal-plane module. The left view illustrates that rows of multiplexer chips are connected in series at the coplanar waveguide (CPW) and flux ramp with wirebonds, as shown in *red*. The right shows a portion of the ground bonds that are made between the multiplexer chips and ground plane as well as the enclosure of the multiplexer chips into cavities. In both views, the electrical connections of the transition-edge sensor (TES) circuit, are achieved through wirebonds. This connection between multiplexer chip and routing water is denoted in *purple* and between the routing wafer and detector array in *blue*. The approximate z-dimensions are shown in millimeters.

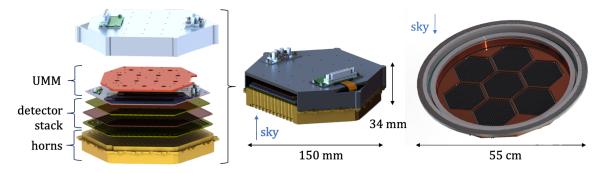
A single multiplexer chip contains 66 multiplexer channels, one of which is used for noise diagnostics and one of which terminates at a bare resonator. Briefly, each channel on the chip consists of TES inputs, an RF SQUID, and a quarter-wave resonator with a unique  $f_0$  set by the CPW length. The resonators are capacitively coupled to a common CPW transmission line. The common flux ramp line is inductively coupled to the SQUIDs. The resonators are designed to have a bandwidth  $b_r = 100$  kHz, which is achieved by tuning the coupling quality factor  $Q_c$  for a given  $f_0$  and internal quality factor  $Q_i$ . The coupling here refers to that between the resonator and transmission line. A set of 14 multiplexer chips covers the 4–6 GHz readout bandwidth (Dober et al. 2021).

A copper plane physically hosts the multiplexer chips and provides a ground reference for the UMM. This copper plane is 500  $\mu$ m thick and machined into a hexagon of the same dimensions as the routing wafer. The multiplexer chips are diebonded with rubber cement onto the copper plane using a flip chip bonder. Extruded features surround rows of either three or four multiplexer chips. These features are paired to those in a copper lid, which mates to the copper plane. This effectively reduces the size of the RF package to reduce the number and coupling to low-frequency box modes, which have been observed to degrade the resonator  $Q_i$  and thus performance. The lid hosts pogo-pins, which push down on and mechanically restrain the routing wafer.

The copper plane also critically acts as the RF ground and is continuous beneath the multiplexer chips. Early versions used a silicon wafer with a monolithic, deposited ground plane, which compromised the achievable resonator quality factor and motivated the use of a copper ground plane (J.A.B. Mates 2019, private communications). Due to the segmented nature of the assembly – with 28 multiplexer chips – it is crucial that all of the ground planes be tied

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**Figure 3.** Left Exploded view of the SO focal-plane module. The UFM is comprised of (i) the UMM blue and copper, (ii) the detector stack yellow including the array pink, and (iii) the horns gold. After validation, the UMM is transferred on top of the detector wafer stack. The TES bias line connections between the routing wafer and detector array are made with wirebonds around the perimeters. Center Assembled view of the SO UFM. Not pictured is an outer aluminum shield nor the corresponding connectors to the receiver wiring. Right Rendering of the first SAT focal plane with seven detector arrays. Tightly packing the hexagonal detector tiles in the SAT focal planes limits how large the readout module area can be while the LAT focal planes (not shown) limit the height.

together with a sufficiently low RF impedance. The resonator  $Q_i$  is sensitive to the nearby grounding scheme. The modularity of the multiplexer chips allows for ground connections to the copper plane via wirebonds at each chip very close to each resonator. The grounds of the multiplexer chips and the routing wafer are tied together with wirebonds as well. The connection from the RF ground to the package is made via a PCB; the PCB is connected to the package ground with vias and the routing wafer ground with wirebonds. This PCB transitions the RF signal and ground to the plane of the silicon components via a CPW to surface mount sub miniature push-on (SMP) transition. The RF signals ultimately interface to the receiver wiring through conventional feedthroughs, which mate to the SMP connectors. This architecture effectively brings all necessary electrical lines into the module without using focal plane area.

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The lithographed routing wafer serves the dual purpose of (i) connecting the rows of multiplexer chips in series and (ii) providing the TES bias circuitry. First, the routing wafer has cutouts at the multiplexer chip locations, and thus fits around the rows of multiplexer chips like a frame and rests on the copper plane. The silicon is 500  $\mu$ m thick and etched into a hexagonal shape from a 150 mm diameter wafer. Superconducting CPW and flux ramp lines are routed between the multiplexer chip rows on the wafer. Wirebonds connect the flux ramp and CPW lines on the multiplexer chips and routing wafer in series with 18 interconnections for each of the sets of 14 multiplexer chips. The CPW wirebonds are made such that the impedance mismatch (< 5  $\Omega$ ) due to the wirebond inductance is minimized (Li et al. 2020); a full schedule is shown in Healy et al. (2020). The routing wafer also connects the transmission lines of the first and last multiplexer chips to the RF and DC boards that interface with the rest of the cryostat. Second, the routing wafer hosts the TES shunt resistors and bias lines. The TES inputs are wirebonded from the multiplexer chips to the routing wafer. The bias lines emerge at bond pads at the perimeter of the routing wafer. The TES bias signals interface to the routing wafer via the planar DC PCB board, which is also the launch point for the flux ramp signals. These signals ultimately interface with the receiver wiring through a flex-cable, which ends in a conventional connector (a Micro D-subminiature).

# 2.3. Focal-plane module

An exploded view of the UFM is shown in Fig. 3. The UFM is comprised of three parts: the UMM, the detector stack, and the optical coupling. Each SO detector array will have 1764 bolometers (Hill et al. 2018) for both the mid-frequencies (MF) (90, 150 GHz) and ultra-high frequencies (UHF) (220, 290 GHz) (Walker et al. 2020; Stevens et al. 2020), arranged in a hex-pack at a 5.3 mm pixel pitch. The low frequencies (LF) (30, 40 GHz) will have fewer detectors. The MF and UHF use arrays that detect photons via horn-coupled ortho-mode transducers (Simon et al. 2018), while the LF uses lenslet-coupled sinuous antennas (Suzuki et al. 2012).

The UFM design - except for the detector parameters and horn profile - is identical across MF and UHF frequency bands and receivers. Thus, the UFM design must comply with constraints from both the SATs and LATR. The efficient

Component in UMM/UFM	Quantity per coaxial chain	Quantity per module
Multiplexing chips	14	28
Multiplexing channels	910	1820
Optical detectors	864	1728
Dark detectors	12 (or 24)	36
Transmission lines	1	2
Flux ramp lines	1	2
TES bias lines	6	12
Component in multiplexer chip	Quantity	
Channels	64	
Diagnostic channels	2	

**Table 1.** Focal-plane module components are given below for clarity. Each UFM has two halves, fed by a pair of coaxial cables. The LF focal-plane module has fewer detectors and will use a simplified version of the readout assembly.

filling of the focal plane for the SAT, with seven tessellated UFMs, motivates the development of the cold readout to
 only occupy the same footprint as the detector arrays. The tight space constraints in the LATR optics tubes dictates
 the maximum height of the UFM.

In the UFM, the UMM is vertically placed atop the detector stack, which itself is already paired to the primary optical coupling both mechanically and thermally, the latter via gold wirebonds. The components are aligned via a central and an outer dowel pin, which are terminated in the optical coupling (i.e. horns). The detector stack and UMM each have a central pin hole and outer slotted hole. The latter is sized to accommodate the difference in thermal contractions between components when the modules are cooled to the 100 mK operating temperature.

The optical coupling has a mechanical flange that interfaces to the receiver, wherein the focal-plane modules are tiled. The TES bias connections along the perimeter of the UMM are wirebonded to the detector wafer, completing the electrical circuits within the module. The assembly is enclosed by a superconducting aluminum outer shield, which also provides force on the readout and detector stack through integrated tripods, completing the mechanical packaging. The aforementioned DC and RF connectors that interface to the cryostat are fed-through and attached to this outer shield.

# 3. DESIGN VALIDATION

To validate the design, we assess the performance of the readout and focal plane modules. The UMM implements the highest TES multiplexing factor to date. Demonstrating its functionality also validates the full system: the complete complement of multiplexer chips, the RF chain and the warm electronics, SMuRF. We first test the multiplexing module in isolation (no TESs), and second when connected to optically coupled TESs. We report measurements of the yield, noise properties, and RF transmission of the multiplexing module in Sec. 3.1. We then look at the performance when connected to a detector array, reporting the yield, noise properties and detector saturation powers in Sec. 3.2. The performance exceeds the science requirements in all cases, as discussed in Sec. 4.

# 3.1. Readout module

We characterize the multiplexing module in isolation to assess its performance and validate the design choices. In this configuration, the UMM is simply mounted to a copper base in lieu of the detector stack and enclosed by the aforementioned aluminum shield. The shield will remain in place for the UFM configuration. The UMM is as described in the design section with an exception: one multiplexer chip was replaced by a through CPW. The testbed is schematically depicted in Fig. 4. Critically, the two-stage amplification RF chain discussed previously is implemented in the dilution refrigerator. The UMMs are cooled to 100 mK.

The first performance diagnostic is to quantify the RF transmission. We measure the forward scattering parameter  $S_{21}$  (and  $S_{43}$ ) through the assembly with a standard vector network analyzer. Representative scattering parameter

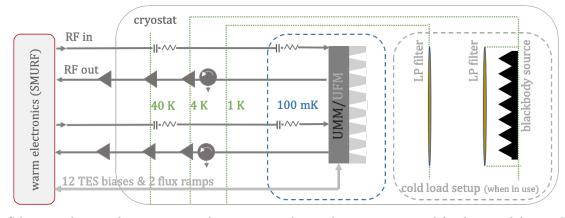


Figure 4. Schematic showing the cryogenic readout system and optical testing setup used for design validation. The readout consists of the warm electronics, called SMuRF (Henderson et al. 2018), the RF chain, and the cold multiplexer module. The warm readout generates and reads back the RF probe tones, low-frequency flux ramp signals, and TES bias pairs; the RF chain brings the signal to and from the UMM, the cold multiplexer. The two-stage low-noise cold amplification achieves high gain while providing sufficient linearity to drive the  $2 \times 910$  resonators. Optical testing of the UFM uses a blackbody cold load shown at right.

are shown in Fig. 5. We find 1728 resonators, including diagnostic channels, or that 97% of the resonators yielded. We fit a complex model (Khalil et al. 2012) to the transmission data in order to extract the resonator parameters. This yields the complex resonator coupling quality factor  $Q_c$  and total resonator qualify factor  $Q_r$ . We define  $Q_i$  as  $Q_i^{-1} = Q_r^{-1} - \text{Re}(Q_c)^{-1}$ ; thus  $Q_i$  includes all sources of loss. The median  $Q_i$  is ~ 7 × 10<sup>4</sup>. The corresponding median resonance depth is 6.4 dB; this is defined as the difference between the local baseline off-resonance transmission and the resonance minimum. The median resonator bandwidth  $b_r$  is ~ 80 kHz. The scattering parameters for inter-line isolation are  $S_{23}$ (and  $S_{41}$ ), but we compare these levels to the transmission  $S_{21}$  (and  $S_{43}$ ) level to account for the loses between the calibration referenced plane and the device. We find that this exceeds 20 dB at all but the highest frequencies.

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Second, we measure the noise. With the SMuRF warm electronics, both sides of the UMM are multiplexed, meaning all the channels on both halves are read out simultaneously for a multiplexing factor of 2 × 910. The optimized drive power per resonator at the UMM input is approximately -78 dBm. The noise is measured by taking time-ordered-data. The noise properties are measured by computing the amplitude spectral density for each readout channel from the corresponding time-ordered data and fitting a noise model. The model is parameterized by a low-frequency knee  $f_k^{\alpha}$ , where  $\alpha$  is a free index, and the white noise level  $w_l$ . The high-frequency roll-off is determined by the anti-aliasing filter. The median input-current-referred white noise level or noise-equivalent-current (NEI), as fit from this model, of the 1608  $\mu$ mux channels is 65 pA/ $\sqrt{\text{Hz}}$  (as shown in Fig. 5), with a median  $f_k$  of < 0.02 Hz. The noise is much smaller than the expected on-sky TES-current noise, which, for SO, is 160 pA/ $\sqrt{\text{Hz}}$  in the 90 and 150 GHz spectral bands.

The final key performance criteria is the yield. On the UMM half with a full complement (14) of multiplexer chips and 910 readout channels, the yield is 95%. We find a 92% total yield with 1608 channels across the full module as reported in the plots in Fig. 5. The 8% loss can be attributed to the minority of resonators that had collisions in frequency space, fell outside the SMuRF-defined band filters, or were coupled to a SQUID with an anomalous response.

We configure a separate readout module specifically to measure crosstalk between the two halves. As previously 231 described, the resonator frequencies are repeated twice in each readout module, once on each half. We thus are 232 concerned with measuring the crosstalk between resonators that fall very close to one another in frequency space 233 across the two halves. The crosstalk within an individual multiplexer chip, measured with a 'victim and perpetrator' 234 set-up, was shown to be < 0.3% (Dober et al. 2021). Using the same method with the UMM, we choose a 'perpetrator' 235 resonator with the 'victim' resonators on the other half of the array. The closest resonance frequencies across the two 236 halves fall within one linewidth (< 100 kHz) of each other. We measure the maximum crosstalk between the channels 237 to be < 0.1%. 238

# 3.2. Focal-plane module

We characterize the UFM to demonstrate the readout module's integrated performance and validate the overall design. The readout module is coupled to a MF prototype SO detector array and horn array. We characterize the

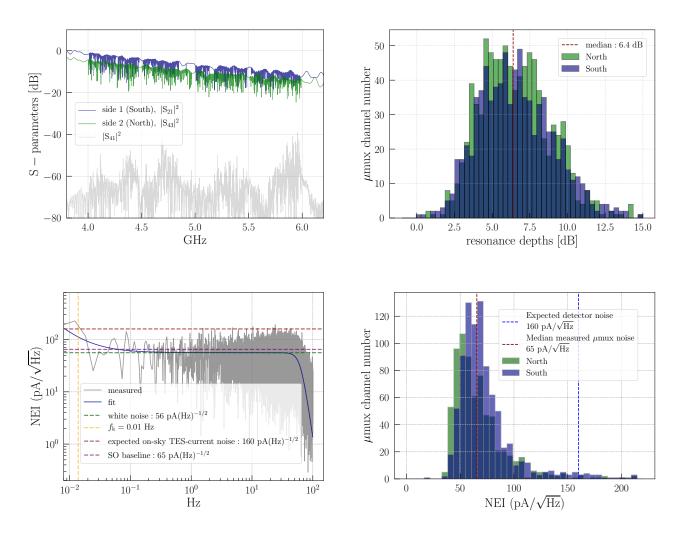


Figure 5. Upper left Transmission through the two sides of a prototype universal  $\mu$ mux module (UMM), each spanning 4–6 GHz. The two halves are referred to as North and South. The isolation between the UMM halves is shown in grey. The reference plane is at the 300 K shell of the cryostat. The number of readout channels yielded is 1608 for a 92% yield. Upper right Histogram of the resonance depths. Lower left Noise spectrum of a typical multiplexer channel. Here, the white noise level  $S_w$  (green) is 56 pA/ $\sqrt{\text{Hz}}$  and the upper bound of the frequency knee  $f_k$  is 0.01 Hz. The SO baseline requirement (purple) is an  $f_k$  of 0.1 Hz with  $S_w < 65 \text{ pA}/\sqrt{\text{Hz}}$ . The expected on-sky TES-current noise (red) is approximately 160 pA/ $\sqrt{\text{Hz}}$  in the 90 and 150 GHz spectral bands. Lower right Histogram of the white noise levels of the cold multiplexer, without TESs attached. The array NEI meets the sensitivity necessary to reach the baseline SO forecast (The Simons Observatory Collaboration et al. 2019).

UFM in laboratory in two separate configurations: (i) the horn apertures are covered at 100 mK and (ii) the horn apertures are exposed to an 8.5 K blackbody load. In the second configuration, the spectral band is defined by 1 K and 4 K low-pass filters and the on-chip frequency filters fed by the high-pass cylindrical waveguide, which is the input guide.

First, we re-evaluate aspects of the readout now that it is coupled to a detector array. Significantly, we see no degradation of  $Q_i$  and thus expect the readout noise to remain the same as when measured independently. The resonator yield also remains unchanged.

Second, we measure the saturation power  $P_{\text{sat}}$  of the TES bolometers in the dark configuration, with the horn apertures covered at 100 mK. To do this, the TES biases are stepped over a range in which the detectors are taken from normal to superconducting and the current is measured at each step, mapping out an IV curve. The resulting detector saturation powers, defined at 90% of the normal resistance, are shown in Fig. 6. The median saturation

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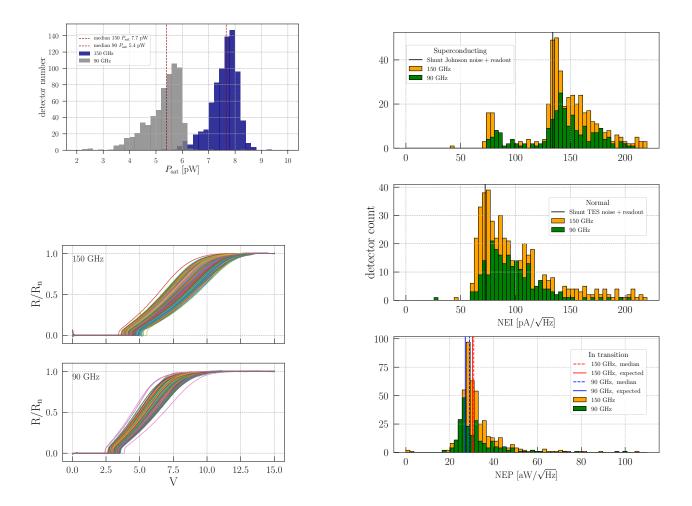


Figure 6. Upper left The saturation powers  $P_{\text{sat}}$  for the detectors sensitive to 90 and 150 GHz are shown in grey and blue, respectively. The median  $P_{\text{sat}}$  for the 90 and 150 GHz detectors are 5.2 and 7.3 pW. The array operability yield is 82%. Lower left The normalized resistances of the TESs sensitive to 90 and 150 GHz as they are biased through transition. Each line represents a different detector; the uniformity of the detectors is evident as is the large range over which they can be biased. Right Histograms of the white noise level of the UFM detectors as measured with the 910 multiplexer; the TESs are in the superconducting, normal, and in-transition states and exposed to an 8.5 K blackbody. The dashed vertical lines show the measured median noise per spectral band and the continuous vertical lines, the expected median noise including the photon noise from the blackbody loading. For both the 150 and 90 GHz detectors, the noise is within 10% of expectation. Note that (i) the low population in the superconducting histogram is due to the uncoupled readout channels and (ii) the x-axes are in reported in NEI for the top two histograms and in NEP for the bottom. See Appendix A for details on the expected noise.

powers for the 90 and 150 GHz detectors are 5.2 and 7.3 pW, within 0.2 pW of the SO target for these prototype arrays. The on-specification  $P_{\text{sat}}$  measurements indicate no significant heating on the detector array, which would tend to reduce the observed saturation power. The operable yield in the UFM is 82%.

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Third, we measure the noise with the TES bolometers in the normal, superconducting and in-transition states with the horn aperture exposed to the 8.5 K blackbody load. The histograms in Fig. 6 show the measured and expected white noise levels in the three states. In the superconducting, the measured median is 143 pA/ $\sqrt{\text{Hz}}$ . The Johnson noise from the shunt resistors, which are measured directly on the routing wafer to be 400  $\mu\Omega$  during screening, is added in quadrature to the previously measured readout noise (as in Sec. 3.1) to find the total expected noise of 134 pA/ $\sqrt{\text{Hz}}$ ; the noise is within 7% of expectation. With the TES bolometers in the normal state, the measured noise is similarly in agreement with expected noise. The in-transition noise is within 10% of the expected noise (detailed in Appendix A) for both the 90 and 150 GHz detectors.

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# 4. SIMONS OBSERVATORY REQUIREMENTS AND CONTEXT

The science case and forecast for SO is presented in The Simons Observatory Collaboration et al. (2019). The primary forecast is referred to as 'baseline'. A secondary, more aggressive forecast is referred to as 'goal', and is discussed in the subsequent section. We assess whether the UFM performance meets the baseline metrics, which requires that (i) the readout reduce the observatory mapping speed by less than 18%, (ii) the readout have a low-frequency knee less than 0.1 Hz and (iii) the module have an end-to-end yield is > 70\%. As will be shown in this section, the **baseline** requirements are met.

First, we assess the readout measured independently. The noise due to the readout at full multiplexing will only result in an 8% degradation of total detector noise. This is better than the target level of 10% needed to achieve the baseline SO sensitivity. The yield exceeds the 78% readout yield required for SO. Thus, the weighted readout array NEI is better than required. The measured low-frequency knee, is constrained to below 0.03 Hz, better than the 0.1 Hz requirement. These noise measurements also demonstrate that the nonlinearity of the end-to-end readout system is sufficient such that the third-order intermodulation products are small enough not to cause an excess of noise at the full multiplexing factor.

Second, we assess the UFM performance given the desired SO science goals. The in-transition noise for the 90 and 150 GHz detectors are below the increased 30% noise budget allocated in the forecasting. The 82% end-to-end yield exceeds the baseline requirements. In essence, the focal-plane module design is fully functional and exceeds the requirements necessary to meet the science goals.

The readout modules presented in this paper will be used with both the MF and UHF detector arrays, comprising the MF and UHF UFMs. The LF modules, with < 200 detectors per array, will use a simplified readout module design of the same footprint. The first UFMs will be deployed within a year. There will be a total of 49 UFMs across the four receivers. There are four focal planes for the three SATs (two MF, one UHF, and one LF); the three higher frequency focal planes will deploy initially. The LATR (Xu et al. 2020) will host seven optics tubes (four MF, two UHF, and one LF), each of which has a focal plane with three UFMs, for 21 UFMs in total. The LATR has been designed to hold 13 optics tubes and can host up to 39 UFMs.

# 5. FUTURE OUTLOOK

This focal-plane module design has successfully placed 1820 readout channels in a 150 mm diameter assembly with noise subdominant to the expected on-sky detector noise. The performance is better than the SO requirements. This paper shows the feasibility of using  $\mu$ mux in large-scale experiments. Until now,  $\mu$ mux has been in development and deployed on smaller scales as an individual module (Dicker et al. 2014) or technology demonstration Cukierman et al. (2020); the results presented here demonstrate the feasibility of employing the technology to unlock large-scale instruments. The focal-plane modules offer an elegant and now proven design not only for SO but also other receivers, many of which are planned for the imminent future (The CMB-S4 Collaboration et al. 2020; Terry et al. 2019). The high-performance design of next-generation, large-scale arrays is a critical area of study not only for millimeter-wave astrophysics but also necessarily for a larger science landscape from x-ray experiments (Yoon et al. 2018) to quantum computing (Rosenberg et al. 2019).

While stressing that the readout system already meets the baseline requirements for SO to achieve the planned 300 science, we can also place the current tests relative to the 'goal' performance requirements. We could plan to improve 301 the readout system along three axes. First, we aim to improve the yield, by making incremental changes to the 302 module design and multiplexer chips, such as addressing small shifts in absolute frequency placement. Second, we 303 aim to reduce the per-channel readout noise to be consistently below  $< 45 \text{ pA}/\sqrt{\text{Hz}}$ . This performance has been 304 demonstrated in preliminary UMMs. The data presented in this paper, which meets baseline requirements, is shown 305 due to the comprehensive end-to-end testing, including in the UFM configuration. This requirement has also been 306 consistently met in the NIST  $\mu$ mux assemblies with multiplexing factors of 512 (Henderson et al. 2018; Dober et al. 307 2017) but in packaging that cannot be coupled to on-sky detectors. The multiplexer chips measured individually and 308 in the aforementioned packaging achieve higher in-situ  $Q_i$ . The difference in achieved performance can be attributed 309 to a combination of factors including the packaging-loss due to the overall dimensions. However, we repeat that 310 the  $Q_i$  measured in the current UMM design are sufficient for the SO noise requirements. We also expect there are 311 incremental gains which can be made by further optimizing SMuRF for the UFM. Finally, we are looking at increasing 312 the multiplexing factor of the current module to 1820 and read out each module with a single pair of coaxial cables 313 (instead of two), further decreasing wiring complexity and thermal loading in the receivers. The overall design of the 314

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UFM is compatible with an 1820 multiplexer using  $1 \times 28$  multiplexer chips to cover a 4–8 GHz bandwidth. Both the 315 multiplexer chips and SMuRF have demonstrated their respective capabilities in the 6-8 GHz range. 316

To conclude, we have presented the design choices and in-lab demonstrations that validate this overall focal-plane 317 architecture. We demonstrated the 910 multiplexer with a 95% yield in the focal-plane packaging. Multiplexing all 318 channels, the median white noise is  $< 65 \text{ pA}/\sqrt{\text{Hz}}$ , only a 8% decrease in sensitivity compared to the expected on-sky 319 TES noise, with the largest number of channels multiplexed to this date. With the UMM, we have demonstrated the 320 operation of a SO TES bolometer array within the focal-plane module, the UFM. The first UFMs are to be integrated 321 into the SO receivers within the next year. 322

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#### APPENDIX

#### A. EXPECTED NOISE

In this appendix, we present the calculation for the expected noise of the readout and detectors, which is referenced in Sec. 3.2 and Fig. 6. The expected noise is calculated for the TES bolometers in three states: superconducting, normal, and in transition.

We consider contributions from the readout noise (ro); Johnson noise in the shunt resistance (J,s); Johnson noise in the TES when it is normal (J,n); thermal carrier noise in the TES bolometers (G); and bolometer noise due to photon loading ( $\gamma$ ). The parenthetical labels are used as subscripts below. The  $\mu$ mux readout architecture converts power fluctuations at each TES bolometer into current fluctuations, which inductively induce changes in the resonator frequency. Ultimately, the signal is read out in radians and referenced to current through the TES, proportional to the mutual inductance between the SQUID and resonator. The first three noise terms listed above arise as current noise (NEI) but can be converted to power units (NEP) by noting that in the TES, power fluctuations  $\delta P$  are related to current fluctuations  $\delta I$  by the voltage across the TES,  $V_{\text{TES}}$ :  $\delta P = \delta I V_{\text{TES}}$ . Thus,

$$NEP = V_{TES} NEL$$

In practice, we use  $V_{\text{TES}} = (I_{\text{bias}} - I_{\text{TES}})R_{\text{sh}}$ , where  $I_{\text{bias}}$  is calculated from the warm, commanded voltage and known 334 wiring resistance leading to the array,  $I_{\text{TES}}$  is measured as described above, and  $R_{\text{sh}}$  is the shunt resistance, designed 335 and measured to be 400  $\mu\Omega$  in screening. 336

The expected total noise in the three scenarios is: 337

1. Superconducting: 
$$NEI_s = (NEI_{ro}^2 + NEI_{J,s}^2)^{(1/2)}$$

339 2. Normal: 
$$NEI_n = (NEI_{ro}^2 + NEI_{J,n}^2)^{(1/2)}$$

340 3. In transition: 
$$NEP_t = (NEP_{ro}^2 + NEP_G^2 + NEP_{\gamma}^2)^{(1/2)}$$
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# A.1. Current noise

The readout noise is measured directly in the UMM configuration (no TESes). This level is used as our expected 342 readout noise when calculating the expected total noise in the UFM configuration. The Johnson noise when the TES 343 is superconducting is due entirely to the shunt resistor and is given as 344

$$\mathrm{NEI}_{\mathrm{J,sh}}^{2} = \frac{4k_{\mathrm{B}}T_{\mathrm{b}}}{R_{\mathrm{sh}}},$$

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where  $k_{\rm B}$  is the Boltzmann constant and  $T_{\rm b}$  the array bath temperature, at which the shunt resistors are held. When the TES is normal, with resistance  $R_{\rm n}$ , the Johnson noise is given as

NEI<sub>J,TES</sub><sup>2</sup> = 
$$\frac{4k_{\rm B}T_{\rm c}}{R_n}$$

where  $T_{\rm c}$  is the TES critical temperature. The contribution from the shunt is negligible as  $R_{\rm n} >> R_{\rm sh}$ . The normal resistance is designed to be 8 m $\Omega$ ; actual values are extracted from the IV curve measurements.

#### A.2. TES thermal carrier noise

With the TES in transition, the bolometer thermal carrier noise is (Mather 1982):

$$\text{NEP}_{\text{G}}^2 = 4k_{\text{B}}FT_c^2Gs_{\text{i}}$$

where G is the bolometer thermal conductance,  $s_i$  is the responsivity, and F is a numerical factor related to the thermal conduction index n. We estimate the noise with F = 1. The factors of G and  $T_c$  are extracted from fitting data taken from  $T_b$  sweeps: an IV curve is taken as  $T_b$  is stepped from well below to above  $T_c$  and the  $P_{sat}$  measured at each step. The  $P_{sat}$  as a function of  $T_b$  is fit to the typical relation (Irwin & Hilton 2005)  $\kappa(T_c^n - T_b^n)$ , where  $\kappa$  is a constant, which directly yields  $T_c$  and n. G can then be calculated as  $G = n\kappa T_c^{n-1}$ . The responsivity is calculated from the local slope from the IV curve (Irwin & Hilton 2005).

#### A.3. Photon noise

In transition, the photon noise is the dominant noise source. The photon noise is calculated as (Zmuidzinas 2003)

$$\mathrm{NEP_p}^2 = 2 \int_{\nu_{\mathrm{lp}}}^{\nu_{\mathrm{hp}}} \left[ h\nu p(\nu) B(\nu) + \left( p(\nu) B(\nu) \right)^2 \right] d\nu$$

Here,  $\nu$  is the photon frequency, which for these paper measurements, is emitted by the cold blackbody (T = 8-20 K), 363 and  $\nu_{\rm lp}$  and  $\nu_{\rm hp}$  are the frequencies over which the expression is integrated and outside the expected bandpass. The 364 power spectral density  $p(\nu)$  can be further expanded as  $p(\nu) = S(T,\nu)\eta\epsilon(\nu)$ , in which  $S(T,\nu)$  is the standard modified 365 blackbody equation for a single polarization and single mode at temperature T,  $\epsilon(\nu)$  is the emissivity (in practice, 366 taken to be 1), and  $\eta$  is the detector optical efficiency, gathered by measuring  $P_{\rm sat}$  as a function of stepped T (as 367 in Choi et al. (2018)) with a prototype detector array of the same design and fabrication run.  $B(\nu)$  is the cumulative 368 bandpass, which has multiplicative contributions from the band defining elements: the metal-mesh filters (the spectra 369 are measured separately), low-pass waveguide (simulated), on-chip frequencies filters (simulated) and beam-fill fraction 370 (calculated). 371

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