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Water Mass and Biogeochemical Variability in the Kerguelen Sector of the Southern Ocean: A Machine Learning Approach for a Mixing Hotspot

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Key Points: An unsupervised classification technique, applied to temperature and salinity float data, is used to sort the profiles into frontal zones. In eddy fields the variability of physical and biogeochemical properties is more than twice as large as the mean zonal variability. The intense eddy variability drives lateral physical processes that cause the large property variance.

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16 Abstract

The Southern Ocean (SO) is one of the most energetic regions in the world, where strong air-sea fluxes, oceanic instabilities, and flow-topography interactions yield complex dynamics. The Kerguelen Plateau (KP) region in the Indian sector of the SO is a hotspot for these energetic dynamics, which result in large spatio-temporal variability of physical and biogeochemical (BGC) properties throughout the water column.

Data from Argo floats (including biogeochemical) are used to investigate the spatial 22 variability of intermediate and deep water physical and BGC properties. An unsupervised 23 machine learning classification approach is used to organize the float profiles into five SO 24 frontal zones based on their temperature and salinity structure between 300 and 900 m, re-25 vealing not only the location of frontal zones and their boundaries, but also the variabil-26 ity of water mass properties relative to the zonal mean state. We find that the variability is 27 property-dependent and can be more than twice as large as the mean zonal variability in in-28 tense eddy fields. In particular, we observe this intense variability in the intermediate and 29 deep waters of the Subtropical Zone; in the Subantarctic Zone just west of and at KP; east 30 of KP in the Polar Frontal Zone, associated with intense eddy variability that enhances deep 31 waters convergence and mixing; and, as the deep waters upwell to the upper 500 m and mix 32 with the surface waters in the southernmost regimes, each property shows a large variability. 33

³⁴ Plain Language Summary

The Southern Ocean strongly influences the global climate system, by absorbing, storing and redistributing heat and carbon across the different ocean basins. Thanks to an in-36 creasing number of observations from autonomous instruments, called Argo floats, our un-37 derstanding of this harsh environment has deepened in the last two decades. Here we use a 38 machine learning technique to automatically classify the float measurements and sort them 39 in regimes with similar properties based on their temperature and salinity vertical structure. 40 The classification results are consistent with previous studies, but are here used to reveal re-41 gions where mixing between different types of waters is likely to be occurring. By sorting 42 the float profiles into regimes, we can diagnose regions with larger variation of properties 43 and highlight the transition of the properties across regimes. Given the increasing volume 44 of observations that instruments like the Argo floats are building, a method such as the tech-45 nique implemented in this study represents a valuable tool that can help to automatically re-46 veal similarities in dynamical regimes. 47

-2-

48 **1 Introduction**

49	The Kerguelen Plateau (KP) is a prominent shallow topographic barrier to the Antarc-
50	tic Circumpolar Current (ACC) in the Indian sector of the Southern Ocean, spanning 2000 km
51	of latitude and reaching 3000 m in depth. The surface, intermediate, deep and abyssal circu-
52	lation around the plateau is complex and acts to mix waters from different sources [e.g., Aoki
53	et al., 2008; Park and Gamberoni, 1997; Tamsitt et al., 2017; Llort et al., 2018], enhance
54	phytoplankton productivity [e.g., Maraldi et al., 2009; Park et al., 2008a; Van Beek et al.,
55	2008], and connect Antarctic-sourced bottom waters with the lower latitudes [Donohue
56	et al., 1999; Fukamachi et al., 2010], with implications for carbon and heat budgets [Tam-
57	sitt et al., 2016; Rosso et al., 2017]. Upon interaction with the plateau, the ACC is deflected,
58	with most of the transport occurring north of the plateau [Park et al., 1993] and through
59	the Fawn Trough [Park and Gamberoni, 1997; McCartney and Donohue, 2007; Park et al.,
60	2008a] that divides KP into northern and southern parts (Fig. 1a). However, much of these
61	complex dynamics are still poorly understood.
62	In recent decades, core (i.e., temperature and salinity only data) and biogoechemical-
63	Argo (BGC-Argo) profiling floats have greatly augmented the spatial and temporal coverage
64	of the top 2000 m of the Southern Ocean, a region that, because of the extreme conditions, is
65	only marginally observed by ship-based platforms. In the present study, we use a set of core
66	and BGC-Argo floats (the latter as part of the Southern Ocean Carbon and Climate Obser-
67	vations and Modeling project; SOCCOM) to explore the variability of physical and biogeo-
68	chemical parameters (Section 4) within the intermediate and deep waters of the south Indian

⁶⁹ Ocean, in relation to Southern Ocean regimes and to the area around KP.

In order to classify individual Argo and BGC-Argo profiles into unique Southern Ocean 70 regimes, we use a Profile Classification Model (PCM) approach based on machine learning 71 unsupervised classification techniques [Maze et al., 2017a]. The PCM is applied to individ-72 ual temperature and salinity profiles, which are organized into groups with similar properties. 73 This approach has shown skills in systematically classifying vertical profiles of the North 74 Atlantic [Maze et al., 2017b] and Southern Oceans [Jones et al., 2018], without relying on 75 user specified ad-hoc criteria for each profile. The PCM automatically identifies: multiple 76 Southern Ocean (SO) zones, the Agulhas Current, subtropical and subantarctic mode water 77 formation regions, and the system of currents around Australia. 78

A short review of the circulation, dynamics and water masses examined in this study
 is presented in Section 2. The dataset is presented in Section 3. We introduce the use of
 the PCM technique to classify float profiles in Section 4 and present the resulting Southern
 Ocean frontal zones in Section 5.1. We then highlight the variability of the physical and bio geochemical properties of the Antarctic Intermediate Water (AAIW) and Upper Circumpolar
 Deep Water (UCDW) across the different regimes depicted by the PCM classification, and in
 relation to topographic features (Section 5.3 and 5.4, respectively). Section 6 is a discussion
 with final remarks.

87 **2 Background**

In this section we describe some of the fundamental features of the South Indian Ocean
 circulation and dynamics, and highlight key questions for this study.

As the ACC encounters KP, its transport is divided into three different pathways, each 90 with intensified, narrow currents guided by topography. The portion of the ACC that flows 91 north of KP interacts with the southern limb of the South Indian subtropical gyre, which 92 reaches the plateau via the Agulhas Return Current [ARC; Park et al., 1993]. The ARC en-93 ters from the Crozet Basin, just west of KP [Park et al., 1993], carrying salty and warm wa-94 ters to the fresher and colder waters of the ACC. Here, eddy-induced transport convergence 95 and subduction at both the mode and intermediate classes occurs [Sallée et al., 2010]. A nar-96 row and deep passage, the Fawn Trough (sill depth: 2650 m), divides KP around 56°S, 78°E 97 and channels Antarctic waters into a strong, northeastward-flowing current (Fawn Trough 98 Current) towards the Australian-Antarctic Basin (east of KP) [e.g., Roquet et al., 2009; Park 99 et al., 2009, 2014]. 100

Just south of KP, the eastward flow of the ACC navigates a narrow opening through the 101 Princess Elizabeth Trough (PET; as deep as ~3700 m at 64°S). In the southern part of PET, 102 the westward flow of the Antarctic Slope Front carries waters from the Australian-Antarctic 103 basin [Donohue et al., 1999; Aoki et al., 2008]. These flows in the PET mingle the waters 104 from both the Weddell Basin and the Adélie coast, turn northward around KP, and form a 105 northward deep western boundary current that hugs the eastern edge of the southern plateau 106 [e.g., Donohue et al., 1999; Aoki et al., 2008; Fukamachi et al., 2010]. Can float-based tem-107 perature and salinity profiles be used by the PCM to automatically identify these pathways? 108

-4-

Downstream (East) of KP, the surface, deep and bottom waters all converge into a sys-109 tem of highly energetic mesoscale and submesoscale eddies and fronts [e.g., Rosso et al., 110 2014; Llort et al., 2018], which can facilitate the exchange of different water masses by injec-111 tion and intrusion mechanisms [Llort et al., 2018]. Their pathways, then, continue north-112 wards across the mean flow, modified by mixing with ACC waters. Frontal positions are 113 complex and highly variable in space and intensity [Sokolov and Rintoul, 2009a; Freeman 114 et al., 2016], especially upstream and downstream of topographic features that play a major 115 role in controlling their position. Specifically, near and at Crozet Plateau and KP, the Sub-116 tropical (STF), Polar (PF), and Subantarctic (SAF) fronts can merge and divide into multiple 117 branches [Park et al., 2008b; Sokolov and Rintoul, 2009b; Freeman et al., 2016]. Facilitated 118 by strong cross-frontal injections and high eddy kinetic energy downstream of major topo-119 graphic features, saltier subtropical waters exchange with subantarctic waters in Crozet Basin 120 and pulses of AAIW are injected into the Subtropical Zone [Park and Gamberoni, 1997]. In 121 addition, enhanced vertical velocities associated with increased meso- and sub-mesoscale en-122 ergy downstream of topography can trigger subduction events from the surface to the mixed 123 layer. These subduction events can facilitate the (1) export of carbon below the mixed layer 124 [Llort et al., 2018] or (2) flux of dissolved inorganic iron into the surface waters and are fun-125 damental in triggering enhanced phytoplankton productivity in this region [Rosso et al., 126 2016]. Can the PCM be used to identify frontal positions? How do injection events impact 127 the classification of a float profile? 128

High mesoscale energy downstream of the plateau favors not only the vertical flux 129 of iron [Park et al., 2014; Rosso et al., 2014], but also the upwelling of carbon and macro-130 nutrient rich deep water masses to the surface [Tamsitt et al., 2017]. Localized upwelling 131 into the mixed layer also occurs at the mode and intermediate water classes near KP [Sallée 132 et al., 2010]. Here, turbulent diapycnal mixing is enhanced throughout the full water col-133 umn, driven by processes associated with local wind and tides (near-surface), internal wave 134 shear and strain variance (interior), or generated by geostrophic flow over rough topography 135 [near-bottom; Meyer et al., 2015; Whalen et al., 2015]. Meyer et al. [2015] found that diapy-136 cnal mixing is particularly enhanced in this ACC frontal region, driven by the dissipation of 137 internal waves generated by the ACC's interaction with KP. This mixing is particularly im-138 portant at the boundary between the AAIW and the denser Upper Circumpolar Deep Water 139 (UCDW), which drives water mass transformation and consequently contributes to the over-140

turning circulation [*Meyer et al.*, 2015]. Are there areas of major mixing that can be identi fied by the PCM classification method?

Following Orsi et al. [1995], the Southern Ocean regimes can be classified into the fol-143 lowing zones, from north to south: Subtropical Zone (STZ; north of the STF), Subantarctic 144 Zone (SAZ; between the STF and SAF), Polar Frontal Zone (PFZ; between the SAF and PF), 145 and Antarctic-Southern Zone (ASZ; south of the PF and north of the southern boundary of 146 the ACC, and including both of Orsi et al.'s Antarctic and Southern Zones). In this study, we 147 focus on the spatial variability of the intermediate and deep water masses in the Indian sector 148 of the Southern Ocean in relation to KP and these Southern Ocean frontal zones, which are 149 in fact uniquely represented using the PCM technique applied here. AAIW represents one 150 of the major water masses originating in the Southern Ocean and allows for the ventilation 151 and transport of surface signals through much of the world's oceans [Talley, 1996]. AAIW is 152 a cold and low-salinity water mass, defined by a salinity minimum at an intermediate depth 153 of ~600–1000 m in the waters north of the SAF [McCartney, 1977; Orsi et al., 1995; Talley, 154 2013], forming in the SAZ from the sinking waters south of the SAF at specific sites, such 155 as the southeast Pacific [e.g., McCartney, 1977; Sloyan et al., 2010] and the southwest At-156 lantic Ocean [e.g., Piola and Gordon, 1989]. AAIW is modified by mixing and intrusion of 157 waters with different source origins throughout the Southern Ocean, such as in the southeast 158 Pacific, southwest Atlantic [e.g., McCartney, 1977; Piola and Georgi, 1982], or central south 159 Indian Ocean [e.g., Park and Gamberoni, 1997]. As a water mass sourced from surface wa-160 ters, AAIW oxygen content is relatively high, but varies spatially across the Southern Ocean 161 due to localization of its source and subsequent modification [e.g., Talley, 1996]. In the south 162 Indian Ocean, the AAIW's core sits at an isopycnal with potential density $\sigma_0 \sim 27.3 \text{ kg m}^{-3}$ 163 [*Talley et al.*, 2011], and with oxygen concentration as high as ~270 μ mol kg⁻¹ [*Park and* 164 Gamberoni, 1997]. Where are the regions of larger variability associated with the different 165 physical and biogeochemical properties? Can these regions be described by a single prop-166 erty, or are they property-dependent? 167

Above AAIW and north of the SAF, at a potential density of $\sigma_0 \sim 26.8 \text{ kg m}^{-3}$, lies Subantarctic Mode Water [SAMW; e.g., *McCartney*, 1977; *Hanawa and Talley*, 2001; *Sloyan and Rintoul*, 2001; *Aoki et al.*, 2007]. This thick homogeneous layer ventilates the thermocline and originates from a combination of different processes, such as air–sea exchange, deep wintertime mixed layers, diapycnal mixing, advection, and eddy mixing [*Hanawa and Talley*, 2001; *Sallée et al.*, 2006; *Sloyan et al.*, 2010; *Cerovečki and Mazloff*, 2016] and is

-6-

174	characterized by a minimum in potential vorticity [Hanawa and Talley, 2001]. The southeast
175	Indian Ocean east of KP is a major source of SAMW [McCarthy and Talley, 1999], with a
176	pool of low potential vorticity centered around 90°E,40°S and extending toward Australia.
177	Here, the region's unique bathymetry controls the location of fronts [e.g., Sallée et al., 2006;
178	Sokolov and Rintoul, 2009b] and SAMW formation [located at the divergence of the STF
179	and SAF; Sallée et al., 2006], as well as the flavors of different types of SAMW. Both AAIW
180	and SAMW play a fundamental role in regulating fluxes, storage, and transport of carbon,
181	freshwater, heat, and nutrients [Sabine et al., 2004; Ito et al., 2010; Sarmiento et al., 2004],
182	and thus play a major role in controlling Earth's climate. Based on temperature and salin-
183	ity profiles only, can the PCM classification method identify the south Indian Ocean SAMW
184	region?

Below AAIW lies UCDW, which can be identified by its core at $\sigma_0 \sim 27.6$ kg m⁻³ [Tal-185 ley et al., 2011]. UCDW is a large volume of water which originates from the deep waters 186 of the Pacific and Indian Oceans, with modifications in the Southern Ocean [Talley et al., 187 2011]. As an old water mass, UCDW is characterized by an oxygen minimum and high nu-188 trient content. In the southernmost zones of the Southern Ocean (i.e., south of the PF), up-189 welling UCDW brings very old and nutrient-rich waters to the surface, stimulating carbon 190 outgassing [e.g., Gruber et al., 2009; Takahashi et al., 2009; Gray et al., 2018] and local 191 [Prézelin et al., 2000] and remote biological productivity [Sarmiento et al., 2004]. Biogeo-192 chemical profiling floats have recently been used to identify a much stronger outgassing of 193 natural carbon in these regions than previously understood [Gray et al., 2018]. Where are the 194 regions of major variability associated with the different properties in the UCDW, and can 195 these regions be described by a single property, or are they property-dependent? 196

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3 Data: autonomous profiling floats

The present study is focused on variability of physical and biogeochemical (BGC) properties in the Indian sector of the Southern Ocean, using core Argo floats that measure temperature and salinity, and BGC–Argo floats that additionally measure oxygen (O_2), nitrate (NO_3^-), and pH. Our focus is on Southern Ocean regimes up- and downstream of Kerguelen Plateau.

²⁰³ Core and BGC-Argo profilers drift freely at 1000 m, descend to 2000 m after ~10 days, ²⁰⁴ and ascend to the surface, profiling the water column. At the surface, they transmit the mea-

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205	surements via satellite. In this work, we use only core and BGC-Argo float data with quality
206	control equal to 1, 5 or 8 [i.e. flagged as "good", "value changed" or "estimated value", re-
207	spectively, as per Table 2 of the Argo manual, Argo Data Management Team, 2017; Wong
208	et al., 2012; Johnson et al., 2017]. Argo float vertical sampling varies across float and sensor
209	types (see Table 16 in Argo Data Management Team [2017] for a description). The vertical
210	resolution of BGC-Argo data is higher in the upper 100 m, and decreases with increasing
211	depth [Johnson et al., 2017]. We therefore linearly interpolate each Argo and BGC-Argo
212	vertical profile onto regular 1 dbar vertical spacing. The accuracy of oxygen data is $1\pm1\%$,
213	nitrate is $0.5\pm0.5 \ \mu$ mol kg ⁻¹ , and pH is 0.005 ± 0.007 [Johnson et al., 2017]. For more tech-
214	nical details about BGC sensors, see Johnson et al. [2017] and Riser et al. [2018].

This study uses Argo and BGC-Argo profiles south of 30° S and between 0° and 180° E. 215 The quality-controlled September 2018 Argo snapshot was extracted from the Global Data 216 Assembly Center [Argo Data Management Team, 2018]; 822 Argo floats were selected in the 217 area of study between December 2010 and September 2018, with a total of 103718 profiles, 218 not including the BGC-Argo profiles. The quality-controlled SOCCOM September 2018 219 snapshot used in this study can be found in Johnson et al. [2018]. Between December 2014 220 and September 2018, 36 BGC-Argo profiling floats (with more than 5 profiles) were present 221 in the study area (Table S1 in the Supporting Material and Fig. 1), for a total of 1847 pro-222 files. The SOCCOM floats, mostly fabricated at the University of Washington from com-223 ponents purchased from Teledyne/Webb Research (Apex floats), but with some BGC Navis 224 floats purchased from SeaBird Electronics, are listed in Table S1. They were deployed dur-225 ing the course of several US and international oceanographic campaigns (Table S1): three 226 GO-SHIP (A12, I08S and SR03; https://usgoship.ucsd.edu) and four non GO-SHIP 227 cruises (IN2016_V01, AU1603, SOE10, and ACE). 228

4 Method

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4.1 Classification of profiles into regimes using machine learning

The waters in the Southern Ocean are often classified into zones divided by fronts, according to their properties (e.g., temperature, salinity, nitrate, oxygen) [*Orsi et al.*, 1995; *Gray et al.*, 2018]: the Subtropical Zone (STZ), with the warmest and most saline waters; the Subantarctic Zone (SAZ), with cooler and fresher waters relative to the STZ; the Polar Frontal Zone (PFZ), with a characteristic subsurface temperature minimum that tracks the

Antarctic surface water; the Antarctic-Southern Zone (ASZ) within the southern ACC, which 236 is characterized by carbon- and nutrient-rich waters shoaling towards the surface; and the Sea 237 Ice Zone (SIZ), which includes waters covered with sea ice during colder months. Because 238 these fronts meander in space and time [Sokolov and Rintoul, 2009a; Freeman et al., 2016], 239 and the Argo data set is large, investigating changes of water properties within each regime 240 can be complicated. A straightforward but limited approach is to separate float profiles ac-241 cording to the mean position of fronts [e.g., as in Gray et al., 2018]. A more data-intensive 242 approach, which takes frontal meandering into account, is to classify each profile based on 243 specified characteristics of each frontal zone [e.g., as in Williams et al., 2018], using Orsi 244 et al. [1995] for the zone definitions. 245

Here, we use an unsupervised machine learning approach that groups profiles with 246 similar vertical distributions of properties [Maze et al., 2017a, called this a Profile Classifica-247 tion Model, or PCM]. If the historical choices of frontal zone properties are reasonable, then 248 the machine learning approach should result in groupings that closely resemble the frontal 249 zone groupings, and in fact this is what we find. In this method, the time-variable position 250 of the fronts, or regimes, naturally arises from the spatial distribution of the groups defined 251 by the data, and the statistics of the groups can account for the variability of front mean-252 ders. Given the large number and sparse coverage of profiles, the use of a PCM is particu-253 larly suited to investigate the variability of water properties in relation to the presence of the 254 Kerguelen Plateau and Southern Ocean regimes. 255

A Profile Classification Model determines, without supervision, categories for a col-256 lection of ocean profiles. For each individual profile, the model gives the probability that it 257 belongs to one of the determined categories. Our PCM methodology is based on Maze et al. 258 [2017b], where the authors successfully used Argo temperature profiles in the North Atlantic 259 Ocean to characterize the different regimes in the region. The PCM method was applied re-260 cently to Southern Ocean Argo floats by Jones et al. [2018], who classified the profiles into 261 the major current systems and regimes using temperature data alone. In the present work, we 262 significantly extend the PCM procedure using both temperature and salinity data, an imple-263 mentation that gives a more robust identification of Southern Ocean zones, which are com-264 monly defined by both temperature and salinity [Orsi et al., 1995]. The advantage of using 265 this unsupervised technique is that it treats the numerous profiles systematically, without re-266 lying on ad-hoc criteria for each profile, which can change over time [Jones et al., 2018]. 267 The method identifies the different regimes, allowing one to then diagnose hotspots of larger

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property variability within the identified regime. Frontal locations become apparent as con nected quasi-zonal bands of variability arising from frontal meandering.

271

4.2 The PCA methodology

The PCM requires float data preparation and a classifier algorithm. For the classifier algorithm we chose a Gaussian Mixture Model [*Bilmes et al.*, 1998; *Bishop*, 2006]. This algorithm is based on the assumption that the data are generated by a mixture of a number of Gaussian distributions in D dimensions (defined by the number of principal components, as explained below), and takes into account the covariance of the data set as in *Maze et al.* [2017b]. We modified their data preparation procedure to include both temperature and salinity. Our procedure is as follows:

1. Float in situ temperature was transformed into potential temperature (θ), and practical salinity into absolute salinity (*SA*), using TEOS-10 [*IOC*, *SCOR*, *IAPSO*, 2010]. We have tested the method using practical salinity and θ , which would be more consistent with TEOS-10, and found no differences in the results (not shown for brevity). Thereby, we decided to use the combination of θ and *SA* for an easier comparison with previous works.

2. Profiles with valid QCed data between 300 m and 900 m were selected. The upper 285 300 m limit is below most of the deepest mixed layer depths in this area (note that 286 here the number of profiles with deep winter mixed layers, down to \sim 500 m, are only 287 a few tens, compared to thousands of profiles), which avoids influencing the classi-288 fication algorithm by large seasonal variations. We have tested the algorithm using 289 profiles with QCed data up to 50 m, but found that 300 m resulted in a more correct 290 profile classification (not shown for brevity). The lower 900 m limit is selected for 291 the practical reason that most floats have continuous quality data above this depth: 292 extending the lower limit to a deeper depth would reduce the number of profiles that 293 we can use for the study. Only 4% of the Argo profiles and none of the BGC-Argo 294 profiles were rejected. It is possible to use the profiles to their individual maximum 295 depths, but the missing values would need to be filled with, for example, the median 296 of the data set or the most frequent value; this would create an unrealistic portion of 297 the data set, thus it is not an acceptable solution, nor is it necessary. 298

- 3. We normalized each property measurement, *i*, by its standard deviation calculated at each 1-dbar level: $\theta_{i,n} = (\theta_i - \mu_\theta)/std(\theta)$ and $SA_{(i,n)} = (SA_i - \mu_{SA})/std(SA)$, where μ_θ and μ_{SA} are the depth-dependent averages of θ and SA, respectively, across the Argo float profiles, and $std(\theta)$ and std(SA) are their depth-dependent standard deviations.
- 4. Following Maze et al. [2017b] and Jones et al. [2018], we reduced the vertical dimen-304 sionality of the problem (1 dbar data creates 600 vertical dimension points) by decom-305 posing the Argo and BGC-Argo data set using Principal Component Analysis (PCA) 306 applied to the 300-900 m layer. A PCA decomposition is a common method used in 307 climate science and machine learning to detect the main covariance patterns in the 308 data and reduce the number of dimensions. We found that ~99% of the property vari-309 ance of θ and SA can be explained by the first 2 PCAs (Fig. S2 in the Supplementary 310 Material), which are then used to reduce the profile dimension from 1,200 points (i.e. 311 600 depth levels for θ and 600 for SA) to 4 points (i.e. the 2 modal amplitudes for θ 312 and 2 for SA). These 4 points are the inputs for the classifier algorithm. Note that, 313 compared to Maze et al. [2017b] and Jones et al. [2018] we found a smaller number 314 of PCAs, which explains the variability of our profiles. This is mainly due to the fact 315 that we apply the algorithm to a only a portion of the full vertical range of the profiles: 316 i.e., this range does not capture the deeper water masses, nor the surface ones which 317 would increase the number of modes. 318
- 5. To assure an optimal and unbiased coverage of the analysis domain we selected a random profile in every $0.1^{\circ} \times 0.1^{\circ}$ box, similar to *Jones et al.* [2018]. 87,032 profiles were randomly selected this way and used as a training set for the classifier algorithm. This corresponds to ~ 89% of the Argo data set for this region.

6. The standardized and reduced θ and *SA* data were combined together in the same array \vec{X} of dimensions 4 × 87032. The Gaussian Mixture Model algorithm then computes the optimal¹ Gaussian weights λ_k , mean μ_k and covariance Σ_k allowing one to compute the probability of a profile $x \in \vec{X}$ belonging to each "component" k of the Gaussian mixture:

$$p(k|x) = \frac{\lambda_k \mathcal{N}(x; \mu_k, \Sigma_k)}{\sum_{k=1}^K \lambda_k \mathcal{N}(x; \mu_k, \Sigma_k)}$$
(1)

¹ i.e. the set of parameters maximizing the likelihood of all the data belonging to one of the clusters. This is computed using an Expectation-Maximization algorithm (see *Maze et al.* [2017b] for more details.)

where a Gaussian distribution N is given by:

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$$\mathcal{N}(x;\mu_k,\Sigma_k) = \frac{1}{\sqrt{(2\pi)^D |\Sigma_k|}} \exp\left(-\frac{1}{2}(x-\mu_k)^\top \Sigma_k^{-1}(x-\mu_k)\right),$$
(2)

329	with $ \cdot $ the determinant and T the transpose operators.
330	7. The sum over all components of the $p(k x)$ is 1. The Gaussian mixture model is thus
331	a probabilistic classifier, but note that each profile can be attributed to the component
332	k for which the $p(k x)$ is maximum. The relative amplitudes of the $p(k x)$ are then
333	used to assess the robustness of the classification.

8. Assessment of the classification is a fundamental step and can require subjective adjustments of the results (see an example in Section 5.1).

We performed several tests, using only one property (either θ or SA), or a combina-336 tion of the different properties (θ , SA, nitrate, pressure), or single depth data (e.g., ~50 m and 337 \sim 200 m), to reduce dimensionality instead of eigenvectors; but the combination of the first 2 338 PCAs of θ and SA was found to be the best choice, as this allows a definition of clusters that 339 automatically capture most of the Southern Ocean regimes. In particular, in the subtropical 340 and the southernmost zones, where salinity plays a fundamental role in setting the stratifica-341 tion, using temperature-only data would not correctly classify these areas. We also tested the 342 PCM approach using an alternative classifier: the k-means algorithm, which assigns each 343 profile to only one cluster k, based on the Euclidean distance of the profile to the nearest 344 cluster mean [Hartigan and Wong, 1979], but found that k-means poorly separates the data 345 in the southernmost regions. 346

Both PCA analysis and the Gaussian Mixture Model were performed using the Python 347 scikit-learn version 0.20 machine learning package [Pedregosa et al., 2011]. Our code was 348 adapted from the pyXpcm software (https://pyxpcm.readthedocs.io), a Python im-349 plementation of Profile Classification Modelling [Maze et al., 2017a]. The Gaussian Mix-350 ture Model used a "full" covariance matrix and 9 clusters (or components k). We tested the 351 number of clusters between 5 and 15, and ultimately chose 9 as it allowed for a meaningful 352 separation of the profiles into the desired Southern Ocean regimes. While there is no perfect 353 way to choose between different numbers of clusters, we have validated this choice by look-354 ing at the Bayesian Information Criterion [BIC, Schwarz et al., 1978; Konishi and Kitagawa, 355 2008], computed using 10 sets of randomly selected profiles, with a total number of 2166 356 profiles ($\sim 2.2\%$ of the dataset). Although a clear minimum does not appear (as already found 357

-12-

by *Jones et al.* [2018]), the method suggests an optimum value of between 9 and 15 clusters
(see Fig. S3 in the Supporting Material).

360

4.3 Spatial variability of water masses

361	To describe how properties change with longitude, with respect to the location of KP
362	and across the five regimes, we define four regions: West (0°–40° E), Upstream (40° E–
363	68° E), Downstream (68° E–120° E), and East (120° E–180° E) of KP. These four regions
364	identify different regimes of eddy kinetic energy both at surface [Sallee et al., 2011] and
365	at 1000 m depth [Roach et al., 2018]. The properties are investigated in the intermediate
366	(5.3) and deep water masses (5.4). In order to focus on the variability associated with spe-
367	cific water masses and remove the effect of isopycnal heave, we analyze the profiles in σ_0
368	coordinates rather than depth coordinates. We linearly interpolate each property profile in σ_0
369	density anomaly space with respect to a reference pressure of 0 dbar. We use a resolution of
370	$0.03~kg~m^{-3}$ between the 25.4 $kg~m^{-3}$ and 27.5 $kg~m^{-3}$ isopycnals, and a 0.01 $kg~m^{-3}$ step for
371	denser classes, which resolves the density variations in both the upper and deep ocean.

In order to investigate the major hotspots of the variability associated with each property, we also compute the ratio of 1) the AAIW (or UCDW) property variance in 2° longitude bins and 2) the total AAIW (UCDW) property variance (computed for the whole domain, from 0° to 180° longitude): $\frac{var(C)_{2^\circ}}{var(C)_{tot}}$, where $C = \{SA, \theta, O_2, NO_3^-, pH\}$.

376 5 Results

377

5.1 Resulting Argo profile clusters

The resulting 9 Argo clusters are shown in Fig. 2 ordered from north to south, where 378 climatological fronts [Orsi et al., 1995] are plotted in black for reference. The classification 379 captures a roughly meridional structure from south of the Subtropical Front (STF) through 380 the ACC that resembles the Orsi et al. [1995] frontal zones. North of the STF, the subtrop-381 ical waters are classified into 5 distinct, quasi-zonal groups: the Agulhas Current region 382 (k=2), the SAMW pool in the central subtropical gyre (k=3), the Australian currents sys-383 tem including the Tasman Sea, Great Australian Bight, and Leeuwin Current region (k=4), 384 and the Subtropical Front, together with the Benguela Current and waters around Australia, 385 at k=5. Clusters 6–8 identify the ACC waters, while cluster 9 depicts subpolar waters that 386 comprise also the seasonal sea ice zone. Our classification compares well with the 8 clusters 387

found by *Jones et al.* [2018] for the entire Southern Ocean. The biggest difference is north of the Subtropical Front, where our approach separates the Agulhas waters from the central and eastern Indian Ocean (SAMW and Australian waters).

The posterior probability, given as % value, is mostly large (more than 80%) for each 391 cluster (Fig. 3 and 4). However, some profiles (more than 20% of the total number) in each 392 classification component have a probability $\leq 70\%$ which corresponds to a probability of 393 \geq 30% in at least a contiguous cluster, in particular in k = 1, 3, 4 and 5 (Fig. 5); these profiles 394 tend to be concentrated in areas of strong currents (such as the Agulhas Return Current in 395 cluster 4; the East Australian Current in clusters 1 and 5; the large air-sea exchange and deep 396 mixed layers in the SAMW formation sites of clusters 3 and 4; the Southern Ocean fronts in 397 clusters 7, 8 and 9), and in the Subantarctic Zone (cluster 6). The ambiguity in the classifica-398 tion comes from adjacent clusters, which is likely not due to a missing cluster to define these 399 points. In order to check this possibility, we have calculated the same metric using a larger 400 number of clusters (i.e., 15) and found no discernible difference with Fig. 5 (not shown). 401 Thus, we do not discard any point with low probability, as this may be indicative of strong 402 eddy and frontal dynamics, or of seasonal and interannual variability [Jones et al., 2018]. 403

404

5.1.1 Southern Ocean Zones

The variability of the waters in the Indian sector of the Southern Ocean is examined in 405 terms of potential temperature, salinity, dissolved oxygen, nitrate and pH. In particular, we 406 identify the variability for specific water masses 1) across fronts and 2) driven by the pres-407 ence of the Kerguelen Plateau, as this large topographic feature is a site of convergence of 408 upper, intermediate, deep and bottom waters [Donohue et al., 1999; Fukamachi et al., 2010; 409 Park et al., 2008a; Tamsitt et al., 2017]. In order to identify specific water bodies, such as the 410 Antarctic Intermediate Water (AAIW) or the Upper Circumpolar Deep Water (UCDW), we 411 first classify each profile of the Argo and BGC-Argo data set by its Southern Ocean regime 412 and then select the associated density class. 413

We define four Southern Ocean zones by grouping together some of the 9 clusters identified in Fig. 2, based on the θ – *SA* of each cluster (not shown): the Subtropical Zone (STZ; green profiles in Fig. 7, the set of all profiles with *k* in the range 1 to 5), Subantarctic Zone (SAZ; red, *k* = 6), Polar Frontal Zone (PFZ; blue, *k* = 7), Antarctic-Southern Zone (ASZ; orange, *k* = 8) and Sea Ice Zone (SIZ; magenta, *k* = 9). The resulting classification of the Southern Ocean regimes south of the PF (PFZ, ASZ and SIZ) is not affected by the number of clusters (k) from 5 to 15 (not shown). However, the classification is sensitive to the choice of k in the SAZ and especially in the STZ (not shown), where for k < 9 the algorithm grouped the southernmost STZ profiles in the SAZ.

The Profile Classification Model highlights areas of mixing between regions, where 423 profiles show marked intrusions of waters in the upper ocean. An example of these intrusions 424 is shown by the intense interleaving of temperature and salinity layers found in the profiles of 425 BGC-Argo float with WMO ID #5904676 (Fig. 9). These interleaving structures indicate the 426 occurrence of mixing and intrusions due to cross-frontal exchange, facilitated by vigorous 427 eddy activity at the location of the front [Park et al., 1993; Llort et al., 2018]. These features 428 are found both in the upper ocean (dashed lines in panel b, corresponding to the 2 markers 429 in panel a and to the warmer and saltier intrusion of waters at 100-400 dbar in panels c and 430 d) and at the salinity minimum of the AAIW (values at potential density anomalies σ_0 be-431 tween 27–27.2 kg m⁻³ in panel b). The interleaving here occurs when the fresher and colder 432 Subantarctic Surface Water comes into contact with the warmer and more saline water of the 433 Agulhas Return Current, originating from the Agulhas Current and encountering ACC wa-434 ters north of Crozet Plateau (\sim 53°E) first, and then at Kerguelen Plateau [*Park et al.*, 1993; 435 Sallée et al., 2010]. 436

The PCM method captures a feature in the area west and south-west of South Africa 437 (in the Agulhas rings and the Benguela current, Fig. 2), in cluster 6 at $\sim 30^{\circ}$ S -35° S, $\sim 10^{\circ}$ E-438 20°E, which should be grouped within STZ waters, according to its θ and SA properties 439 (Fig. 6). Most of the profiles of this cluster shows high posterior probability (Fig. 6a) and 440 thus cannot be discarded. The θ – SA diagram in Fig. 6 shows that at latitudes close to 37°S 441 (panel b), the surface waters (c) have temperatures warmer than 14°C, even in winter months 442 (yellow colors in panel d), typical of subtropical waters in this region [Park et al., 1993]. 443 Thus, because of their upper ocean structure, we rely on a subjective definition and manually 444 place these profiles within the STZ. We find that this feature is independent of the choice of 445 k (not shown) and highlights an interesting connection at levels below 300 m (i.e., an under-446 current), between ACC waters and the region south and south-west of South Africa, which 447 may indicate a pathway of intermediate and deep waters. This is not an error of the PCM 448 method, as the PCM here captures similarities across data that connect intermediate waters 449 from the ACC, but we decided to manually separate these points from the subantarctic zone, 450 because of the usual classification of Southern Ocean zones. 451

-15-

Profiles with interleaving in the upper ocean are also found at warmer temperatures 452 (not shown), likely due to either the passage of meanders and eddies of the SAF, by the prox-453 imity (and in some cases the merging) of the SAF and STF in some locations of the South 454 Indian Ocean: close to $\sim 30^{\circ}$ E, near the Crozet Islands ($\sim 53^{\circ}$ E), north of KP, east of $\sim 125^{\circ}$ E 455 on the northern flank of the Southeast Indian Ridge [Read and Pollard, 1993; Park et al., 456 1993; Moore et al., 1997; Freeman and Lovenduski, 2016]; or due to drifting floats crossing 457 a front. Of this type of interleaving, we find a group of profiles (Fig. 7a) with an upper ther-458 mohaline structure with temperatures warmer than 14°C and salinity larger than 34.7, which 459 are typical of Subtropical Surface Water, rather than Subantarctic Surface Water of the upper 460 SAZ [Orsi et al., 1995; Talley et al., 2011]. Therefore, we manually group these waters into 461 the STZ. Yet, the advantage of the PCM approach is to use an algorithm that efficiently and 462 automatically classifies a large number of data, without defining specific rules for each case. 463 It is therefore beyond the scope of the present work to check every profile that could fall into 464 this case, so we rely instead on a probabilistic approach. 465

The final Argo and BGC-Argo profile classification in Southern Ocean regimes used 466 in this study is shown in Fig. 8. The classification shows generally a good comparison be-467 tween the instantaneous margins of the STZ, SAZ, PFZ, ASZ and SIZ and the climatological 468 STF, SAF, PF, and the southern boundary of the ACC [black contours; Orsi et al., 1995], ex-469 cept for the STF east of 80°E. Qualitatively, the location of the different zones is also aligned 470 with the areas delimited by the fronts identified by Sokolov and Rintoul [2009b] (not shown), 471 where front locations are based on sea surface height. However, the temporal variability 472 of the fronts is also large, as discussed in Sokolov and Rintoul [2009a] and Freeman et al. 473 [2016], which could in part explain the largest misfit between the PCM zones and the clima-474 tological zones defined by Orsi et al. [1995]. Other regime-front mismatches may be due to 475 the methodology for detecting a front's location, especially in proximity of complex topog-476 raphy [Sparrow et al., 1996; Sokolov and Rintoul, 2009b]. In particular, the SAF and the PF 477 have been shown to differ significantly in the Indian sector of the Southern Ocean, especially 478 near Crozet Plateau and KP [e.g., Orsi et al., 1995; Park et al., 2009; Sokolov and Rintoul, 479 2009a; Freeman and Lovenduski, 2016]. 480

The distinction between the different regimes is also evident in the mean float-based vertical profiles of θ and *SA* (Fig. 10). Here, we can see a net gradient in all the properties, both in the upper and deep ocean (except for salinity, as the ACC is the freshest), with a transition from the warmest and saltiest STZ waters to the coldest and fresher SIZ waters. South

485	of the PF, in the PFZ, ASZ and SIZ waters (panel a, blue, orange and magenta lines), the
486	upper ocean waters are very cold and fresh (Antarctic Surface Water) and show the typical
487	subsurface temperature minimum of the Winter Water south of the PF, which is the remnant
488	of the cold winter waters [Talley et al., 2011]. At depth, the salinity minimum in the SAZ
489	and PFZ is well captured, around 500 m and 1000 m, respectively (Fig. 10b). Furthermore,
490	property variability, shown as the variance computed over each regime, is largest in the upper
491	water column and at intermediate depths (~1000 m). This variability is due to the seasonal
492	and interannual variability of the profiles, but may also reflect some mixing and interleaving.
493	Selecting only the BGC-Argo profiles, the distinction between the different zones is
494	evident in the θ – SA and θ – O_2 property diagrams (Fig. 7), with the oxygen increasing in the
495	surface waters from the STZ towards Antarctica and with a minimum in each regime, which
496	characterizes the UCDW core.

To highlight the effect of KP, in the following sections, we will describe how the properties associated with the four Southern Ocean regimes (STZ, SAZ, PFZ, ASZ and SIZ) vary as a function of longitude.

500

5.2 Subantarctic Mode Water floats

Compared to the full Argo data set used in this study, the BGC-Argo floats only marginally captures the SAMW formation pool in the southeast Indian Ocean region [see *Sallée et al.*, 2006; *Aoki et al.*, 2007, for maps of SAMW pool distribution in this region]. Hence, a complete discussion of the BGC property variability associated with this water mass and the influence of the ocean circulation on the SAMW is not possible. Instead, a description of the local properties captured by the BGC-Argo floats is given here.

BGC-Argo floats #5904688 (UW 9600), #5904683 (UW 9650), #5904682 (UW 9637) 507 and #5904675 (UW 9749) (see Table S1 and Fig. 1) show the presence of SAMW formation 508 in the wintertime deep mixed layers, in the σ_0 range between 26.65–26.85 kg m⁻³. For ex-509 ample, Fig. 11 shows the vertical sections of θ (a), SA (b), O₂ (c) and potential vorticity (d) 510 $(PV = -\frac{f}{\rho}\frac{\partial\rho}{\partial z})$, where f is the Coriolis parameter and ρ is the density) for float #5904688 511 (UW 9600). Between mid June and mid September 2016 and 2017, the winter mixed layer, 512 computed using a density criterion of $\Delta \sigma_0 = 0.03$ kg m⁻³, develops typical SAMW deep val-513 ues ranging $\sim 400 - 700$ m. The temperature and salinity are well mixed in this volume of 514

water (panels a and b), the oxygen concentration is high, with values around 270 μ mol kg⁻¹ (c), and the *PV* (d) is, as expected, very low (~ 20 × 10⁻¹² m⁻¹ s⁻¹).

517

5.3 Property variability of the intermediate waters

We identify AAIW using σ_0 between 27.1 and 27.3 kg m⁻³ [Fig. 7; *Talley et al.*, 2011], 518 and investigate its zonal variability in the STZ and SAZ, and at depth (Fig. 12). AAIW prop-519 erties in figure are averaged across the AAIW density class and have a distinct meridional 520 gradient, with saltier, warmer and lower oxygen waters in STZ than in the SAZ. The core 521 Argo and BGC-Argo results are comparable as they should be since BGC-Argo is a subset 522 of core Argo. Both show larger variability in the SAZ than in the STZ. Between 20° – 40° E 523 and $145-180^\circ$, the core Argo float data show high salinity waters that come from the Ag-524 ulhas Current and the subtropical waters east of Australia, regions where BGC-Argo floats 525 are not present. In the STZ, the largest difference in θ between the core and the BGC-Argo 526 data (20°-180°E) is due to the warmer subtropical waters present in the northernmost region 527 where there are no BGC-Argo floats (Fig. 8). 528

The largest oxygen concentration in the STZ (~230 μ mol kg⁻³) is found in the Up-529 stream region, west of 20°E. East of this location, the oxygen concentration in the STZ is 530 overall lower. The SAZ shows larger values (more than 260 μ mol kg⁻³) and spread for the 531 oxygen concentration in the Downstream region, up to longitudes $\sim 100^{\circ}$ E, east of which the 532 oxygen concentration first decreases and then rises again east of approximately $150^{\circ}E$ (nearly 533 260 μ mol kg⁻³). Larger oxygen concentration in the SAZ is consistent with the vicinity to 534 source waters. The largest changes in the SAZ oxygen concentration are \sim 52 μ mol kg⁻³, 535 found in the Downstream region. Since the seasonal cycle is well captured in both the core 536 and the BGC-Argo data sets, the difference might be due to local mixing and interannual 537 variability. 538

⁵³⁹ Nitrate concentration in the STZ has larger values in the East region, with an overall ⁵⁴⁰ range between 29–31.5 μ mol kg⁻³, with the maximum increasing towards east. We notice a ⁵⁴¹ large nitrate concentration (~33.5 μ mol kg⁻³) at approximately 110°E (Fig. 12), more sim-⁵⁴² ilar to values found in the SAZ. This is an indication of the mixing across the subtropical ⁵⁴³ front and intrusion of STZ waters, which create some ambiguity in the PCM classification of ⁵⁴⁴ temperature and salinity profiles. In the SAZ, again we notice the largest concentration and ⁵⁴⁵ spread in the Downstream region, with a maximum nitrate concentration of 32.2 μ mol kg⁻³

-18-

and a change in nitrate concentration of approximately 3.5μ mol kg⁻³. East of the Down-546 stream region, the nitrate concentration decreases again, to values similar to those found in 547 the West and Upstream regions (about 31 μ mol kg⁻³). We notice a group of data points in 548 the East region (east of 125°E), with values less than 29 μ mol kg⁻³, which could be indica-549 tive of, as in the previous case, ambiguity in the classification or intrusion of low nitrate wa-550 ters. This location corresponds to the Australian-Antarctic Discordance, a deep and rough 551 section of the South East Indian Ridge that allows the passage of the Antarctic Bottom Wa-552 ter [e.t. McCartney and Donohue, 2007]. Lower values of nitrate here can be indicative of 553 intrusion of low-nitrate waters through the Discordance. 554

The pH sensors mounted on some floats failed (http://soccom.ucsd.edu/floats/ 555 SOCCOM_sensor_stats.html), so the spatial coverage of pH data is not as dense as for 556 the other parameters, neither in the STZ nor in the SAZ waters. However, we notice that the 557 STZ pH is overall lower than in the SAZ, except around 100°E in the Downstream region and 558 further in the East region, east of 140°E. At 100°E two distinct regimes appear: this could be 559 due to the more limited sampling coverage of pH compared to other properties, due to sensor 560 failure. However, BGC properties are found to have different gradients and fronts, compared 561 to temperature and salinity (see for example the difference between the nitrate and the physi-562 cal fronts found by Freeman et al. [2019]). Investigating what drives the difference between 563 pH and nitrate is left for future work, which would require to consider the frontal structure 564 of the BGC fields, together with the temperature and salinity fronts. As mentioned above, 565 the property variability in the STZ waters, depicted by the spread of the values in Fig. 12, is 566 smaller than the variability in the SAZ. Furthermore, the variability is predominantly associ-567 ated to the two frontal zones and is zonally dependent, with larger spread in the Downstream 568 region for each property and no evident impact due to the seasonality (not shown). As previ-569 ously stated, we note that for those profiles at the edge of two separate zones or with marked 570 interleaving (associated to profiles with water characteristics that are intermediate between 571 two adjacent regimes), the PCM method could show some ambiguity and the classifier algo-572 rithm might not robustly distinguish the profiles' regime (Fig. 4). This can be indicative of 573 leaking of fronts, where mixing is not instantaneous, and can explain the similarity of some 574 values in the STZ and SAZ noted in Fig. 12. 575

The variability of AAIW in the STZ (Fig. 13 red markers; the black line corresponds to the ratio of 1) shows a large hotspot in the West region, around 10–30°E, for *SA* (the core Argo $var(SA)_{2^\circ}$ is more than twice as large than $var(SA)_{tot}$), θ (more than 1.5 times larger

579	for both the Argo and BGC-Argo) and O_2 (more than 1.5 times larger). The core Argo SA
580	and θ variance is large also in the East region, at about 170°E. The BGC-Argo does not cap-
581	ture the same magnitude of the Argo variance in the West region, likely due to the Agulhas
582	current variability, which is not captured by the BGC-Argo profiles, or by interannual vari-
583	ability. The variability in SA and θ decreases with the longitude east of ~30°E (i.e. away
584	from the Agulhas current), in both the core and BGC-Argo ensembles. Finally, in the STZ
585	both oxygen, nitrate and pH (despite the coverage in space being less dense than the other
586	properties) show hotspots of binned variance larger than the total variance east of 140°E. Ad
587	ditionally, nitrate variance is larger in the Downstream region, around 110°E.

The regional transition in the SAZ (Fig. 13 cyan markers) highlights hotspots of larger variability (i.e. > 1) for salinity and temperature around 70°E between the Up- and Downstream regions, then around 80°E and 160°E for both salinity, temperature and oxygen. $NO_3^$ shows a slightly larger variability at 60°E in the Upstream region, and between ~125–145°E in the East region. Finally, pH shows large variance in the eastern side of the Downstream region (~ 110°E and in the East region, at approximately 125°E).

594

5.4 Property variability of the deep waters

595	The site of Circumpolar Deep Water (CDW) is found below AAIW in the STZ and
596	SAZ and below Antarctic Surface Water south of the SAF. CDW is made up of an upper
597	(UCDW), characterized by an oxygen minimum centered around $\sigma_0 = 27.6$ kg m ⁻³ (from
598	Talley et al. [2011]), and a lower layer (LCDW), with a typical salinity maximum (σ_0 =
599	27.8–28.27 kg m ⁻³ , from <i>Talley et al.</i> [2011]) originating from North Atlantic Deep Water.
600	Because LCDW is mostly found below 2000 m and in the upper water only in the southern-
601	most regimes, we here only analyze UCDW.

The average of the properties at densities $27.6 \le \sigma_0 < 27.8$ kg m⁻³ is given in Fig. 14. The values for the ASZ and the SIZ have been separated from the other zones for visualization purposes, and are presented in the right panels of the figure. *SA* and θ data are shown for both core (small markers) and BGC-Argo data (large markers), for comparison and statistics.

The meridional gradients of each property, from the STZ to the PFZ (left panels in Fig. 14), are well defined, with increasingly colder UCDW waters poleward. In the Downstream region, the meridional gradients switch sign: from the saltier, oxygen–rich, nitrate–

poor and higher pH waters of the West region (particularly in the STZ south west of South 610 Africa), to fresher, oxygen-poor, nitrate-rich and pH low UCDW waters of the East region. 611 We notice that the change is larger in the STZ than in the SAZ and PFZ. Very interesting 612 is the larger spread associated with each of the properties in the PFZ Downstream region, 613 specifically in the area east of the Kerguelen Plateau, which is indicative of mixing pro-614 cesses. We also notice that in between the Upstream and Downstream regions the nitrate 615 concentration is similar across the different Southern Ocean zones, with a difference in mag-616 nitude of approximately 3 μ mol kg⁻³. 617

The southernmost zones (ASZ and SIZ) have the coldest and, in the SIZ, the most oxy-618 genated UCDW waters. The range in each property values surpasses the northern zones, 619 especially in the SIZ, because of the interaction of waters with the atmosphere and the for-620 mation/melting of sea ice. The largest ranges of the BGC-Argo data in the SIZ are found at 621 $0-20^{\circ}$ E, $80-95^{\circ}$ E and $140-155^{\circ}$ E, which are well comparable to the core Argo data spread. 622 The larger spread in the SIZ is indicative of the proximity with surface waters, as in these 623 southernmost regions the UCDW upwells to the surface and interacts with the mixed layer 624 (not shown for brevity). In particular, the higher oxygen in these zones can be explained by 625 the temperature-driven higher solubility of these waters and by the springtime primary pro-626 ductivity in the sea ice zone, as a consequence of melting of sea ice [Briggs et al., 2018]. 627 Note that a caveat of our analysis resides in the fact that the outcropping of UCDW can largely 628 impact the property variance from surface processes rather than the interior mixing of water 629 masses. To discern between surface processes and mixing events, one should remove the lay-630 ers that interact with the mixed layers. 631

The large spread in the PFZ properties (left panels in Fig. 14, blue markers) is likely a 632 signature of mixing with ASZ and SIZ waters that are interacting with the surface. Whether 633 any longitudinal property evolution or meridional property gradient between zones is the sig-634 nature of strictly irreversible isopycnal transformations (isopycnal mixing) or results from a 635 combination of isopycnal and diabatic processes (e.g., from the surface turbulent layer, topo-636 graphic induced turbulence or other irreversible processes) can be explored using potential 637 vorticity PV. Averaged over the depths of the UCDW density classes, PV shows three strik-638 ing features (Fig. 15): first, in the SAZ and the SIZ PV is much larger due to the interaction 639 of UCDW with the atmosphere; second, PV shows hotspots of larger variability in the Down-640 stream region and around 150°E in the PFZ, ASZ and SIZ; and third, in the STZ, SAZ and 641 PFZ PV has a positive trend toward the east. The various hotspots of larger PV variability 642

and the *PV* trend are indicative of the presence of diabatic processes [*Whalen et al.*, 2015]
 or air-sea interactions changing the UCDW density class stratification. Diabatic mixing of
 UCDW with the overlaying waters, which have greater *PV* (not shown), is facilitated by enhanced turbulence at topographic features such as KP.

We find several hotspots of larger property variability (computed as $\frac{var(C)_{2^{\circ}}}{var(C)_{tot}}$), asso-647 ciated with the different zones (Fig. 16). The BGC-Argo temperature and salinity variability 648 compares generally well with core Argo, indicating that the spatial and temporal distribution 649 of BGC-Argo floats in this region captures the overall variability in the time and space cov-650 ered by core Argo. Nevertheless, we find some differences between the core and BGC-Argo 651 temperature and salinity variability in the some locations, likely due to the poor coverage 652 in time and space of BGC-Argo (where core Argo variance is larger than BGC-Argo) or to 653 some local variability close to the surface waters (e.g. in the SIZ where BGC-Argo variance 654 is larger than the core Argo variability): 1) in the STZ west of 20°E; 2) in the SAZ Upstream 655 region; 3) between ~20-80°E in the PFZ; 4) east of 60°E in the ASZ; and almost everywhere 656 in the SIZ. 657

In the STZ, the variability of BGC-Argo properties is larger in the West region. The 658 variability in the SAZ shows hotspots in the West region in both salinity, oxygen, nitrate 659 and pH, in the Downstream region around $\sim 70^{\circ}$ E (salinity, temperature, oxygen and ni-660 trate) and around $100-110^{\circ}$ E. We find hotspots of variability in the PFZ between \sim 55-100°E 661 (Upstream to Downstream), $\sim 110^{\circ}$ E (Downstream, only for temperature), and around 140– 662 160°E (East, for temperature and pH). There are several hotspots of larger variance for the 663 ASZ profiles, which vary across the properties: the BGC-Argo variance is larger around 664 20°E (West region) for temperature and oxygen, around 60°E (Upstream) for nitrate and pH 665 and around 80°E (Downstream) for each of the properties. Finally, the SIZ shows larger vari-666 ability west of 10°E (West) for temperature, oxygen, nitrate and pH, and a hotspot in variance 667 at approximately 140°E (Upstream) for salinity, temperature and oxygen. 668

The structure of the variability is complex and hotspots of temperature and salinity normalized variance do not necessarily correspond to locations of highest variability in BGC properties. For example, this can be due to: 1) BGC processes (e.g., carbonate production/dissolution), which modify the concentration of oxygen and nitrate and the pH of the waters; 2) the differing gradients of each property between fronts; 3) and the reduced spatial coverage of BGC data in some locations.

-22-

675 **6 Discussion and Conclusions**

The Profile Classification Modelling (PCM) approach [Maze et al., 2017a] based on 676 an unsupervised classification algorithm [here a Gaussian Mixture Model; Bilmes et al., 677 1998; Bishop, 2006] is applied to classify core Argo and BGC-Argo profiles into water mass 678 regimes in the Indian sector of the Southern Ocean. To define SO frontal zones, the PCM 679 method can be skillfully applied to vertical profiles of temperature and salinity between 300-680 900 m, below the portion of the water column most sensitive to air-sea exchange for most 681 of the Argo profiles. We build upon recent studies that used temperature alone for the PCM 682 [e.g., Maze et al., 2017b; Jones et al., 2018] and we included salinity in addition to tempera-683 ture, measured by autonomous profiling floats, as this property is especially important in set-684 ting the stratification of the upper ocean in the subtropical and sea ice zone. The PCM identi-685 fies boundaries between the frontal zones, which are not continuous lines, but rather regions 686 of sharp changes, gradients as in *Chapman* [2017]. In addition to automatically classify each 687 hydrographic profile into a unique frontal regime, this method allows us to use posterior probability as a metric to highlight/identify possible regions of strong mixing and temporal 689 variability, particularly in regions of strong currents (Fig. 3 and 4). A region of larger mixing 690 can be identified by lower probability (< 70%, Fig. 5). Mixing, such defined, is larger 1) at 691 Southern Ocean fronts, 2) in the SAZ, and 3) in the major current systems of the STZ (com-692 pare Fig. 5 with Fig. 8). Due to flow-topography interaction, these areas are hotspots of eddy 693 kinetic energy (see Fig. 5 in Llort et al. [2018] and Fig. 3 in Roach et al. [2018]), which can 694 sustain intense events of vertical property exchange [Llort et al., 2018; Rosso et al., 2014], 695 both within the AAIW density class (between the STF and PF) and within the UCDW (south 696 of PF). 697

In this study, we find that the variability of the intermediate and deep waters is en-698 hanced at topographic features (e.g., Crozet Plateau and Kerguelen Plateau) and in strong 699 currents (e.g., at the subtropical Agulhas rings and Agulhas Return Current), but that the 700 degree of variability differs for individual properties. AAIW temperature and salinity in 701 the West region of the STZ are lowest west of 40° E, where colder, fresher and oxygen-rich 702 waters from the AAIW source in the Atlantic sector (west) and from the SAZ mix with the 703 warmer, more saline and oxygen-poorer Indian subtropical waters (east; Fig. 12) [e.g., Tal-704 ley, 1996]. In the SAZ, AAIW mixes with the colder and fresher surface waters from the 705 PFZ at KP, as evidenced by its minimum θ of 2°C and SA of 34.25 in the Downstream re-706 gion (Fig. 12). This mechanism is likely associated with cross-frontal intrusions, as sug-707

-23-

gested by Park et al. [1993]; Park and Gamberoni [1997]; Sloyan and Rintoul [2001], where 708 the convergence of fronts and highly energetic eddies can facilitate this injection of different 709 waters and the subsequent modification of water masses [Park et al., 1993]. Upon travers-710 ing KP, the averaged SAZ AAIW temperature and salinity increase again, while the oxygen 711 concentration decreases, suggesting mixing with subtropical waters. Below the AAIW, the 712 variation in the properties of the UCDW is not only marked by a strong meridional gradient 713 across the different regimes, but also by a large transition in the properties from west to east, 714 where KP acts to homogenize the water mass (Fig. 14). Hotspots of larger PV variability 715 (Fig. 15) suggest that the large change in each property is due not only to isopycnal, but also 716 diabatic transformations, as expected from the stronger vertical mixing that Whalen et al. 717 [2012] and Whalen et al. [2015] show in these locations. 718

Argo has enabled us to study temperature, salinity and, in some cases, oxygen prop-719 erties across the vast Southern Ocean. Here, we demonstrate how the complementary array 720 of BGC-Argo floats enables the assessment of the spatial variability of BGC properties. As 721 physical and BGC states are influenced by diverse dynamics and gradients, we cannot fully 722 infer where BGC properties might show larger variability by looking at only temperature and 723 salinity variability. Given the rapidly increasing amount of Argo data (both core and BGC) 724 and model output, the PCM method used here can serve as an important tool in future studies 725 aiming to identify similarities in dynamical regimes [e.g., Maze et al., 2017b; Ardyna et al., 726 2017; Jones et al., 2018; Liang et al., 2018] and to reveal regions of strong mixing. Further-727 more, BGC-Argo floats, strategically planned to target this KP region [Talley et al., 2019], 728 can provide great insight regarding the distribution and the modification of water mass prop-729 erties, highlighting the importance of targeting mixing hotspots in future observing arrays. 730 Future work should explore these statistical methods to assess property changes and water 731 mass evolution (e.g., in UCDW upwelling and its contribution to air-sea fluxes of carbon, 732 oxygen, and heat) over the entire Southern Ocean. 733

Finally, we have only qualitatively compared our classification to fronts defined by *Orsi et al.* [1995]. We note that a future work should focus on a quantitative comparison of our results with the existing fronts definition based on hydrography [e.g., *Orsi et al.*, 1995], gradient of sea surface height [*Sokolov and Rintoul*, 2009b] or the new method by *Chapman* [2017] applied to absolute dynamic topography. Furthermore, the scientific community would benefit for a thorough comparison of our methodology with other existing algorithms,

- especially those methods that does not rely on a fixed number of clusters, such as the varia-
- tional Bayesian GMM [Ghahramani and Beal, 2000].

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Figure 1. Trajectories of the biogeochemical-Argo floats from the Southern Ocean Carbon and Climate Observations and Modeling project in the Indian sector of the Southern Ocean (September 2018 snapshot), colored by (a) their deployment cruise (Table S1) and (b) profile year [markers indicate sample season: warmer austral spring and summer months (circles) and colder austral autumn and winter months (squares)]. The *Orsi et al.* [1995] fronts (black contours), from south to north, the Southern Boundary of the ACC, Southern Antarctic Circumpolar Current Front, Polar Front, Subantarctic Front, and Subtropical Front), are overlain on bathymetry [grayscale map; ETOPO1; *Amante and Eakins*, 2009]. Trajectories of southernmost floats sampling under sea ice are estimated using linear interpolation (appear as near-straight pathways; e.g., float #12702). Major currents in (a) are labeled, with flow direction indicated by arrows: Agulhas Return Current (ARC), Antarctic Circumpolar Current (ACC), and Deep Western Boundary Current (DWBC). The Fawn Trough is also indicated.



Figure 2. Spatial distribution of the 9 clusters (colors) overlaid on bathymetry [grayscale map; ETOPO1; *Amante and Eakins*, 2009] in the Indian sector of the Southern Ocean. Clusters were identified by the Profile Classification Model method using the full Argo data set. The five *Orsi et al.* [1995] fronts are indicated by black contours, as in Figure 1.



Figure 3. Posterior probability (%; colors) associated with each of the 9 clusters (k) shown in Fig. 2. A colorbar is shown in panel (i). The *Orsi et al.* [1995] fronts (black contours) are shown for reference.



Figure 4. Histograms of the percent posterior probability associated with (a) the full Argo data set and (b-j) each of the 9 clusters (*k*) presented in Figure 2. The number of profiles for each 10% bin is normalized by the total number of profiles in the 90–100% bin, and are color coded in panels (b–j).



Figure 5. Profiles with a posterior probability less than 70%. Those profile that have a probability of more than 30% to belong to the remaining clusters are color coded as in legend in panel (i). In yellow are those profile that do not have a probability of \geq 30% for the remaining clusters. Black contours are coastlines and the 3000 m isobath (bathymetry from from ETOPO1 [*Amante and Eakins*, 2009]).



Figure 6. (a) Percent posterior probability (colors) and (b–d) θ and SA properties of subtropical profiles classified within the Subantarctic Zone (cluster k = 6) off the coast of Africa. θ and SA diagrams are colored by latitude in (b), pressure in (c), and season in (d).



Figure 7. BGC-Argo profiles colored by Southern Ocean regime as a function of (a) $\theta - SA$ and (b) $\theta - O_2$: Subtropical Zone (STZ; green), Subantarctic Zone (SAZ; red), Polar Frontal Zone (PFZ; blue), Antarctic-Southern Zone (ASZ; orange) and Sea Ice Zone (SIZ; magenta). The black contours indicate the mean Argo profile by frontal zone. Dashed lines in (a) show σ_0 contours, while in (b) % of oxygen saturation.



Figure 8. Argo (colored dots) and biogeochemical-Argo (larger, outlined colored circles) float profile locations colored by Southern Ocean frontal zone: Subtropical, Subantarctic, Polar Frontal, Antarctic-Southern and Sea Ice zones. Profiles are classified using the Gaussian Mixed Models algorithm applied to potential temperature and absolute salinity measurements (see Section 4). We define four regions relative to the Kerguelen Plateau by longitude (delineated by vertical dashed black lines): West (0°–40° E), Upstream (40° E–68° E), Downstream (68° E–120° E), and East (120° E–180° E). For reference, gray contours indicate the location of the five *Orsi et al.* [1995] fronts.



Figure 9. The (a) sampling trajectory and (b) θ and *SA* properties of biogeochemical-Argo float #5904676, colored by profile number (out of total 88), which collected (c) potential temperature, (d) absolute salinity and (e) dissolved oxygen of the upper 2000 m, between January 2016 and June 2018. Note, two intrusion events (red stars in (a) and dashed black lines in (b) are identified in profile #8 and #9 (magenta arrow in (c–e).) Bathymetry [grayscale map; ETOPO1; *Amante and Eakins*, 2009] and *Orsi et al.* [1995] fronts are indicated in (a) as in Figure 1. Dashed white contours in panels (c–e) are σ_0 isolines, with values indicated in white.



Figure 10. Vertical mean (solid) and one standard deviation (dashed) of (a) potential temperature and (b) absolute salinity of all Argo profiles from the Indian sector of the Southern Ocean used in this study. Metrics are colored by Southern Ocean frontal zone: Subtropical Zone (green), Subantarctic Zone (red), Polar Frontal Zone (blue), Antarctic-Southern Zone (orange) and Sea Ice Zone (magenta).



Figure 11. Upper 2000 m (a) θ , (b) *SA*, (c) dissolved oxygen and (d) potential vorticity of biogeochemical-Argo float #5904688. Dashed white lines are σ_0 isolines, with values indicated in white.



Figure 12. (a) Absolute salinity, (b) potential temperature, (c) dissolved oxygen, (d) nitrate, and (e) dissolved inorganic carbon, as measured by core Argo (colored dots) and biogeochemical-Argo (outlined colored circles) floats across the northernmost frontal zones, the (green) Subtropical Zone and (red) Subantarctic Zone. Properties are averaged over the Antarctic Intermediate Water layer (with density between $\sigma_0 = 27 \text{ kg m}^{-3}$ and 27.2 kg m⁻³).



Figure 13. Regional variability of the ratio of binned (2° in longitude) over the total variance of (a) absolute salinity (*SA*), (b) potential temperature (θ), (c) oxygen (O₂), (d) nitrate (NO₃⁻), and (e) pH, along σ_0 , computed across the density classes around the Antarctic Intermediate Water. Markers are color coded as in Fig. 12.



Figure 14. (a) Absolute salinity, (b) potential temperature, (c) dissolved oxygen, (d) nitrate, and (e) dissolved inorganic carbon, as measured by core Argo (colored dots) and biogeochemical-Argo (outlined colored circles) floats across the northernmost frontal zones, the (red) Subtropical Zone and (cyan) Subantarctic Zone. Properties are averaged over the Upper Circumpolar Deep Water (with density σ_0 larger than 27.3 kg m⁻³).



Figure 15. Potential vorticity along longitude, color coded by zone as in Fig. 14 and averaged over the Upper Circumpolar Deep Water (with density σ_0 larger than 27.3 kg m⁻³).



Figure 16. Regional variability of the ratio of binned (2° in longitude) over the total variance of (a) absolute salinity (*SA*), (b) potential temperature (θ), (c) oxygen (O₂), (d) nitrate (NO₃⁻), and (e) pH, along σ_0 , computed across the density classes around the Upper Circumpolar Deep Water. Markers are color coded as in Fig. 14.