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# microRNAs in lupus

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# Abstract

Systemic lupus erythematosus (SLE) is a prototypic autoimmune disease characterized by the production of an array of pathogenic autoantibodies, including high-affinity anti-dsDNA IgG antibodies, which plays an important role in disease development and progression. Lupus preferentially affects women during their reproductive years. The pathogenesis of lupus is contributed by both genetic factors and epigenetic modifications that arise from exposure to the environment. Epigenetic marks, including DNA methylation, histone post-translational modifications and microRNAs (miRNAs), interact with genetic programs to regulate immune responses. Epigenetic modifications influence gene expression and modulate B cell functions, such as class switch DNA recombination (CSR), somatic hypermutation (SHM) and plasma cell differentiation, thereby informing the antibody response. Epigenetic dysregulation can result in aberrant antibody responses to exogenous antigens or self-antigens, such as chromatin, histones and dsDNA in lupus. miRNAs play key roles in the post-transcriptional regulation of most generegulatory pathways and regulate both the innate and the adaptive immune responses. In mice, dysregulation of miRNAs leads to aberrant immune responses and development of systemic autoimmunity. Altered miRNA expression has been reported in human autoimmune diseases, including lupus. The dysregulation of miRNAs in lupus could be the result of multiple environmental factors, such as sex hormones and viral or bacterial infection. Modulation of miRNA is a potential therapeutic strategy for lupus.

# Keywords

activation-induced cytidine deaminase (AID); antibody; autoantibody; autoimmunity; B cell; class switch DNA recombination (CSR); epigenetics; microRNA; somatic hypermutation (SHM); systemic lupus erythematosus (SLE)

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## Introduction

Systemic lupus erythematosus (SLE) is a prototypic autoimmune disease characterized by the production of an array of pathogenic autoantibodies against nuclear self-antigens, including high-affinity anti-dsDNA, anti-histone, and anti-ribonucleoprotein autoantibodies, which mediate widespread tissue and organ injury (1). These autoantibodies are mutated and class-switched, mainly to IgG, and are secreted by a large number of plasma cells, indicating that immunoglobulin (Ig) somatic hypermutation (SHM), class switch DNA recombination (CSR) and plasma cell differentiation are important in their generation (2, 3). Epigenetic modulation by DNA methylation, histone post-translational modifications and microRNAs (miRNAs) allows regulation of B cell mechanisms, such as SHM, CSR and plasma cell differentiation of epigenetic elements or mediators, including miRNAs, can result in aberrant immune responses, including dysregulated antibody production, and compound genetic susceptibility to mediate autoimmunity.

The development and clinical manifestations of lupus are determined by genetic and environmental factors (1, 7). The role of genetic dysregulations has been extensively investigated in lupus, revealing widespread polymorphisms throughout of genome elements correlated with the disease. Although studies investigating common genetic variants in lupus have revealed a number of susceptibility loci, the cumulative effect size of these loci accounts for a small fraction of disease heritability (8). Epigenetic dysregulation likely compounds genetic susceptibility (9) in the generation of autoantibodies and disease (10–14), evidenced by low lupus penetrance (25–45%) in monozygotic twins (15), alterations of histone modifications and DNA methylation in lupus patients, and autoimmunity in mice with miRNA dysregulation (16–20).

miRNAs are small (~22 nucleotides), evolutionarily conserved noncoding RNAs derived from much larger primary transcripts encoded by their "host genes". miRNAs bind to complementary sequences within the 3' untranslated region (3' UTR) of their target mRNAs and negatively regulate protein expression at the post-transcriptional level through inhibition of translation and/or reduction of mRNA stability (21). The mammalian genome encodes thousands of miRNAs that collectively affect the expression of more than half of proteincoding genes. In addition, miRNAs have been implicated as fine-tuning regulators controlling diverse biological processes at post-transcriptional level (22). They can potentially regulate every aspect of cellular activity, from differentiation and proliferation to apoptosis, as well as modulate a large range of physiological and pathological processes. Expression of these short RNA molecules is regulated by transcription of their host genes (e.g., Bic for miR-155 (23) and Rtl1 for miR-127 (24)), followed by sequential processing of long primary transcripts (pri-miRNA) by the RNase III enzyme Drosha, and processing of miRNAs precursors (pre-miRNA) by Dicer (25). In mammals, some of these miRNAs are expressed in a tissue-specific and developmental stage-specific manner and play an important role in regulation and maintenance of the immune system response to various environmental stimuli. Dysregulation of miRNAs has been linked to diverse pathological processes, including autoimmunity that causes lupus.

Epigenetic marks/changes are induced in B cells by signals triggered by CD40, Toll-like receptors (TLRs) and cytokine receptors to regulate CSR/SHM and plasma cell differentiation (5, 6, 26–34). They inform the antibody response to exogenous (e.g., microbial) antigens in healthy subjects or self-antigens in autoimmune patients (5). miRNAs also cross-regulate with DNA methylation and histone modifications (35). These cross-regulations would contribute to unique epigenetic landscapes associated with distinct cell developmental and differentiation stages, thereby controlling stage-specific gene expression and function (36–38).

#### miRNA biogenesis and functions

miRNAs possess cellular and functional specificity that results from a highly regulated biogenesis process (39) (Figure 1). They are produced either from their own unique genes, such as miR-146a, from intronic sequences within protein and non-protein-coding genes, i.e. miR-126, or from exons of non-protein-coding transcripts. This variability in encoding matrixes allows for multiple possibilities in their regulation, such as alternative processing or miRNA processing. The canonical miRNA biogenesis pathway involves transcription from these genes by RNA polymerase II. Some miRNAs are co-regulated with their host gene as a part of transcriptional regulation during the development of immune cells. miRNA-containing primary transcripts can produce a single miRNA, or a cluster of multiple and different miRNAs located on a single transcript, typically working in concert (e.g. the miR-17-92 family, which include miR-17, miR-18a, miR-19a, miR-20a, miR-19b-1 and miR-92a-1).

Most miRNAs derive from longer, intramolecular double-stranded primary miRNA gene transcripts (pri-miRNA) that are sequentially cleaved into shorter intermediates by specialized ribonuclease III (RNase III) enzymes that partner with specific double-stranded RNA-binding proteins. The pri-miRNAs comprise either a monocistronic or polycistronic precursor cluster (40-42). They are processed by the microprocessor complex in the nucleus, which consists of the Drosha RNase III endonuclease and DiGeorge syndrome critical region protein 8 (DGCR8) (43), to produce a 60–70 nt stem-loop intermediate, precursor-miRNA (pre-miRNA) hairpin. The pre-miRNA are subsequently exported from the nucleus to the cytoplasm by exportin 5 (44). Once transported to the cytoplasm, the premiRNA is cleaved by the RNase III endonuclease Dicer, to generate a double-stranded RNA duplex, which contains both the mature (guide) and an antisense passenger (\*) strand (39). The double-stranded miRNA duplex is then unwound and incorporated into the RNAinduced silencing complex (RISC), by strand selection based on thermodynamic properties. Of the two strands that could potentially serve as a functional miRNA, only one strand of the duplex is selected as the guide strand to be packaged into the RISC complex, which comprise of Argonaute2 (Ago2) and other proteins. Once the miRNA strand has been selected and loaded onto the RISC complex, it will target the 3'UTR of the mRNA which shares a 6-8 nucleotide partial complementarity seed region at the 5' end of the miRNA and downregulate the expression of the gene by degradation or destabilization (45). Although miRNA repression of its individual target is generally mild (usually less than 2-fold), it may have a powerful effect on the overall function of the cells in which it acts on. One reason for this is that miRNAs tend to be highly pleiotropic; each miRNA may target several mRNAs.

Thus, a miRNA can target several members of a given signaling pathway or different targets in converging pathways to have a constitutive effect on the cell. miRNAs are also highly redundant, with multiple individual miRNAs converging on the same target mRNAs.

In addition to canonical miRNA biogenesis, a number of alternative non-canonical pathways have been identified (46). The first and most widely characterized is the mirtron pathway (47). In this particular pathway, miRNAs are encoded in short intronic genes with hairpin potential. The intron is spliced out into a lariat form that later adopts a pre-miRNA structure due to a lariat debranching enzyme and existing mRNA splicing machinery. In this manner, the mimicked pre-miRNA structure bypasses the canonical microprocessor complex (48). A Dicer-independent miRNA biogenesis pathway has also been reported (49, 50). This pathway utilizes the catalytic activity of Ago2 to directly cleave miRNA hairpins (51–53). miR-451, which is upregulated in the patients with lupus or rheumatoid arthritis (RA), is one example of a well characterized miRNA produced independent of Dicer (49, 51–54). The unusual short stem structure of pre miR-451 promotes the binding and processing by Ago2 (55).

## Regulation of miRNAs

miRNAs are tightly regulated by a range of mechanisms involving protein-protein and protein-RNA interactions affecting primary miRNA transcription, miRNA biogenesis, function and degradation. These processes are essential for the specific functions of miRNAs, as alterations are commonly associated with different disease states (56). Abundance of miRNA expression could result from: (i) the transcription of the miRNA host gene, (ii) the processing of the transcribed pri-miRNA into the mature miRNA, and (iii) the stability of the miRNA.

Similar to protein-encoding genes, microRNA expression can be regulated at the transcriptional and the post-transcriptional level. While some miRNAs are constitutively expressed, others display cell type- or developmental stage-specific patterns. Regulation of miRNA host gene transcription, which can be modulated by DNA methylation and histone post-translational modifications, is important for the tissue or development stage specific expression of miRNAs. As with many other cells, immune cells employ miRNAs to regulate lineage commitment, proliferation, migration and differentiation. Like the genes that encode proteins, the expression of miRNA-producing transcripts also changes during the immune response.

Processing of miRNAs is regulated in multiple steps and results in either elevated or decreased miRNA levels. Altered miRNA levels may be caused by regulatory proteins that influence miRNA processing, acquired variations in the miRNA transcript, or changes in the nuclear export efficiency. A number of proteins that regulate miRNA processing have been suggested to function as key elements in defining the unique expression patterns of miRNAs in different tissues, cell types, and pathological conditions (57). These proteins can be subdivided into three groups: Drosha binding/associated proteins, Dicer binding proteins, and proteins that bind to the terminal loop of the pri- and/or pre-miRNAs. Regulatory proteins are a dominant factor in the regulation of Drosha-mediated pri-miRNA processing.

It is likely that more Drosha-associated proteins regulate miRNA processing, and, as such, the balance between positive and negative regulators may determine the efficiency of miRNA processing. Various signaling pathways enhance or reduce the efficiency of this step. In addition to these regulatory mechanisms, single nucleotide polymorphisms (SNPs) that are associated with many diseases can also have a pronounced effect on the efficiency of the miRNA processing machinery.

Most miRNAs appear to be stable with half-lives of a few days but display differential stability in human cells (58). Specific modifications and exonucleases can profoundly influence miRNA existence. miRNA stability or abundance can be affected by both *cis*- and *trans*-acting factors. In contrast to their precursor pri-miRNAs, most miRNAs and pre-miRNAs appear to have 5' and 3' unprotected ends that may render them accessible to exoribonucleases. The post-transcriptional nucleotide(s) addition to the 3' ends of pre-miRNAs or mature miRNAs significantly affect miRNA stability.

By controlling different stages of miRNA biogenesis and localization, degradation and activity, RNA-binding proteins (RBPs) function as key components in the determination of miRNA function. Alteration of RBP function in any of the crucial steps of the miRNA pathway can lead to impairment (59). Like miRNA, RBPs can regulate mRNA stability and translation. They bind to mRNAs and facilitate or counteract miRISC activity. Furthermore, RBPs that enhance repression via miRNAs could facilitate or stabilize miRISC binding. Enhanced silencing could also occur by strengthening interactions between miRISC components and downstream effectors. This may involve translational modifications of protein components. Recruitment of translational repression. RBPs counteracting with miRISC function can either prevent miRISC binding or displace miRISC from mRNA. Alternatively, they may also promote post-translational modification of miRISC components and downstream effectors.

#### miRNA in immune responses

miRNAs that are important for the immune response have been the focus of extensive study (18). miRNAs are essential in both adaptive and innate immunity, including controlling the differentiation of various immune cell subsets as well as their immunological functions (60–62) (Table 1 and Figure 2). miRNAs are essential for B cell development and immune function, particularly in the germinal center (GC) response. In T cells, microRNAs function as key regulators of the lineage induction pathways, and play an important role in the activation, function and maintenance of the regulatory T-cell lineage.

During primary antibody diversification, B cell differentiation occurs in the bone marrow via somatic DNA rearrangement of immunoglobulin (Ig) genes by V(D)J (V, variable; D, diversity; J, joining) recombination (63), thereby ensuring that the developing B cell will express a B cell receptor (BCR) for antigens with unique specificities. In this process, developing B cells in the bone marrow assemble a  $D_H J_H$  and  $V_H D_H J_H$  joint in progenitor B (pro-B) cells from the germline *IgH* locus. If the pro-B cell produces a  $V_H D_H J_H$ 

rearrangement with a correct reading frame, an *IgH* chain of class  $\mu$  (Ig $\mu$ ) will be expressed together with surrogate light chains  $\lambda 5$  and VpreB, as the surface receptor complex identified as pre-BCR. A phase of vigorous clonal expansion follows; the resulting precursor B (pre-B) cells undergo subsequent rearrangement of V<sub>L</sub> and J<sub>L</sub> gene segments in the *IgL* locus, give rise to immature B cells with a BCR. These express a BCR at a high Ig $\mu$ /Ig $\delta$ ratio, and are ready to leave the bone marrow to colonize peripheral secondary lymphoid organs.

Transition of Immature B cells into peripheral lymphoid organs, such as the spleen, lymph nodes and Peyer's patches, for terminal differentiation. During this transition, the B cells undergo multiple intermediate stages, eventually giving rise to either follicular (FO) or marginal zone (MZ) B cells. The two B cell subsets differ in that the FO B cells are localized in B cell follicles and can freely recirculate, whereas MZ B cells reside within the MZ of the spleen (64). The fate decision between the two subsets have been linked to BCR signaling, but it has also been shown that B cells with autoreactive BCRs could be driven towards a MZ fate (65). When a FO B cell is engaged by antigen, it undergoes a germinal center (GC) reaction involving SHM and CSR. SHM inserts mainly point-mutations into the Ig variable region loci, providing the antibody with a structural substrate for the selection of higher affinity antibody mutants. CSR replaces the C<sub>H</sub> exon cluster with distinct constant heavy-chain (C<sub>H</sub>) regions in the Ig heavy chain locus, thereby generating class-switched antibodies with different biological effector functions without altering the antigen-binding variable region. The initiation of SHM and CSR are mediated by activation-induced cytidine deaminase (AID, encoded by *Aicda*).

Ablation of Dicer during early B cell development in *mb1-Cre<sup>ki/+</sup> Dicer*<sup>fl/fl</sup> mice led to a dramatic block of B cell differentiation at the pro-B to pre-B transition, suggesting that miRNAs are critical for B cell development (66). In these mice, the miR-17-92 cluster is critically downregulated in both pro- and pre-B cells. Germline deletion of miR-17-92 also results in a block of pro-B to pre-B transition, suggesting that miR-17-92 plays a critical role in B cell development (67). Accordingly, Bim, a proapoptotic gene known to be involved in lymphocyte development and enriched with the miR-17-92 complementary seed sequence in the 3' UTR, is highly upregulated in both Dicer-deficient and miR-17-92 deficient mice (67). B cell development could be partially rescued by ablation of Bim or transgenic expression of the prosurvival protein Bcl-2, indicating a critical role of miR-17-92 in regulating B cell development and Bim-induced apoptosis. The pro- to pre-B cell transition in B cell development is also regulated by other miRNAs, including miR-34a, miR-125 and miR-150. Constitutive expression of miR-34a led to a block in B cell development at the pro-B to pre-B cell transition, leading to a reduction in mature B cells (68). This block is mediated primarily by inhibited expression of the transcription factor Foxp1. miR-125b targets the Lin28A 3'UTR to upregulate the number of common myeloid progenitors while inhibit the development of pre-B cells. miR-150 modulates B-cell differentiation and function through the regulation of c-Myb (69), a transcription factor controlling multiple steps of lymphocyte development. Similarly, B cell development can also be regulated by miR-181a, which targets Bim1, Lin28A and Mcl-1.

Dicer, and therefore, miRNA deletion at later stages of B cell development (using *CD19*-Cre) showed that miRNAs also specifically promote the generation of FO B cells, while transitional and MZ B cell numbers were increased. Dicer deletion at this stage also resulted in a skewed BCR repertoire with hallmarks of autoreactivity correlated with high titers of autoantibodies and autoimmune features in females, suggesting that miRNAs prevent the generation of autoantibodies (70).

GCs are specialized structures that form within secondary lymphoid organs during Tdependent antibody responses. They are the functional site of antigen-specific B cell proliferation and selection events that lead to robust high-affinity antibody responses and B cell memory. miRNAs modulate antibody response, partially by mediating GC B cell formation. *Aicda-Cre Dicer*<sup>flox/flox</sup> mice, in which Dicer was selectively deleted in (*Aicda* expressing) active B cell, fail to produce high-affinity class-switched antibodies and generate memory B and long-lived plasma cells in response to T–dependent antigens (71). In the absence of Dicer, GC B cell formation and memory B cell as well as plasma cell differentiations, are significantly compromised as a result of defects in cell proliferation and survival. Dicer-deficient GC B cells express higher levels of cell cycle inhibitor genes and the proapoptotic protein Bim. Ablation of Bim could partially rescue the defect in GC B cell formation in Dicer-deficient mice. These findings reveal that miRNAs play a key regulatory role in controlling the expression levels of proteins, both temporally and spatially, to maintain proper functionality of the immune response.

miR-155 is one of the most important miRNAs in function of the immune system. It is expressed in a variety of immune cell types, including B cells, T cells, macrophages, dendritic cells, progenitor/stem cell populations and plays an important role in hematopoiesis (72). miR-155 expression is rapidly upregulated by a variety of immune stimuli, such as antigen, TLR ligands, and inflammatory cytokines, in most of these cells types. miR-155 is highly expressed in GC B cells, and miR-155-deficient and miR-155overexpressing mice revealed important roles for this miRNA in several aspects of the GC response, including GC size and number, CSR, SHM, affinity maturation, antibody production, and cytokine production by Th cells. Defective GC formation and CSR has been observed in miR-155 knockout mice following infection or vaccination.

The intrinsic requirement of miR-155 in B cells for a fully functional extrafollicular and GC response is suggested to be due to the targeting of the transcription factor purine-rich box-1 (PU.1, encoded by *Sfpi1*) and the SH2 domain-containing inositol 5'-phosphatase 1 (SHIP-1). miR-155 directly targets PU.1 and SHIP-1 3'UTRs in activated B cells. PU.1 has been suggested to play a role in early B cell differentiation and in the BCR signaling pathway. Overexpression of PU.1 in B cells impairs CSR induction and plasma cell differentiation. SHIP-1 negatively regulates BCR-mediated B cell activation and proliferation.

Antibody and autoantibody responses are critically modulated by AID. AID initiates CSR and SHM by catalyzing targeted deamination of deoxycytidine (dC) residues in DNA resulting in dU:dG mismatches, which are processed into point-mutations in SHM or double-strand breaks (DSBs) in CSR (4, 73–75). As a potent DNA mutator, AID expression

must be tightly regulated at transcriptional and post-transcriptional levels (76). AID is another important direct target of miR-155. miR-155 is the top miRNA predicted to target AID by miRNA target-prediction algorithms (30). It contributes to the repression of AID in naïve B cells and in B cells that have completed CSR and SHM. B cells from the knock-in mice, in which the conserved miR-155 binding site in the 3' UTR of Aicda was mutated, or B cells from a transgenic mice with mutated miR-155-binding site, display an increased Aicda expression, demonstrating that miR-155 directly targets AID (29, 30). Accordingly, these B cells showed increased CSR and c-Myc/IgH translocation upon stimulation of LPS plus IL-4, a stimulus that induces AID expression and CSR to IgG1 (29). The miR-155binding site mutation also results in increased AID expression in vivo and higher levels of CSR to IgG3, IgG1 and IgG2a in B cells upon stimulation with LPS, LPS and IL-4, or LPS and IFN- $\gamma$ , respectively (30). In addition, the dysregulated expression of AID in these mice was associated with impaired affinity maturation and increased the mutation rate in an offtarget gene (30). As miR-155 promotes the GC B cell response, it is interesting that, at the same time, it inhibits AID. In human B cells, BCL6, a transcriptional repressor which has emerged as a critical GC B cell regulator, inhibits the expression of several miRNAs, including miR-155 and miR-361, another AID-targeting miRNA (77). The coordinated spatiotemporal regulation of BCL6, miR-155 and miR-361 expression in GC B cells, suggests a coordinated activity of BCL6 in sustaining high levels of AID expression in GC B cells undergoing SHM and CSR of their Ig genes.

AID is also downregulated by other miRNAs, including miR-181b and miR-93 (human B cells only), that target different sites in the 3' UTR of *Aicda* transcripts (31, 76, 78). miR-181b expression is downregulated upon B-cell activation. AID and miR-181b have complementary expression profiles, with AID levels low in resting B cells and increasing sharply in CSR-induced B cells, whereas miR-181b expression is highest in non-stimulated B cells and drops upon stimulation, suggesting that high expression of miR-181b prevents the accumulation of AID transcripts (31). Like miR-155, miR-181b also impairs CSR in activated B cells by targeting AID. Overexpression of miR-181b in activated B cells greatly reduced CSR (31). Unlike miR-181b, which is downregulated in B cells undergoing CSR, miR-210 is upregulated in activated B cells (79). miR-210 is under the control of the transcription factor, Oct-2. Although there is no single gene dramatically dysregulated in miR-210 knockout B cells, it negatively regulates CSR and prevents autoimmunity. In the mice, miR-210-deficiency led to spontaneous production of high levels of autoantibodies.

Many other miRNAs have also been suggested to regulate different aspects of mature B cell biology, such as GC response and plasma cell differentiation. miR-150 is highly expressed in naïve B cells and limits the magnitude of the GC response (33, 80). In addition to its role in B cell development, miR-150 is also involved in mature B cell function (69). miR-150-deficiency led to enhanced expression of c-Myb in GC B cells and increased secretion of antigen-specific antibodies in response to a T-dependent antigen. In contrast, overexpression of miR-125b, which is specifically expressed in a subset of GC B cells, promotes GC B cell differentiation by directly targeting and inhibiting B lymphocyte-induced maturation protein-1 (Blimp-1, encoded by *Prdm1*) and interferon regulatory factor-4 (Irf4), two

transcription factors that drive post-GC plasma cell differentiation (81–83). Overexpression of miR-125b in B cells inhibited plasma cell differentiation and Ig secretion *in vitro* (82).

Specific deletions of Dicer in the T cell lineage also result in defective T cell development and irregular helper T cell differentiation and cytokine production (84–86). miRNAs are necessary for proper T cell function (86). Treg are important for preventing autoimmunity. The generation and function of Tregs is reliant on the Dicer-dependent miRNA biosynthesis pathway (86, 87). Mice that have a conditional deletion of Dicer or Drosha in Treg cells show an early onset of autoimmunity, similar to that caused by inactivation of Foxp3, the master regulator of Treg differentiation (84, 86, 88). In these mice, Dicer-deficient Treg cells completely lose suppressor capacity (86). Foxp3 regulates the expression of miR-155 in Tregs and deficiency of miR-155 results in decreased proportions, proliferation and viability of Treg cells (87). In T cells, miR-155 promotes differentiation toward the Th1 subset. In dendritic cells, miR-155 is necessary for proper activation of responder T cells in the context of antigen presentation. Likewise, miR-146a-deficient hematopoietic cells failed to rescue Foxp3-deficient T-cell-mediated autoimmunity (89).

miR-146a plays a role in the pathogenesis of lupus. It represses the function of IFN (type one interferon) and TLR signaling, both of which are important for SLE, by repressing target genes such as Traf6/Irak1, Stat1 and Tlr7 or Tlr9 (90, 91). In mice, miR-146a is expressed predominantly in immune tissues, and its expression can be induced in immune cells upon cell activation or maturation (19). Ablation of miR-146a expression in mice results in several immune-related phenotypes, correlating well with its localization of expression. Deficiency of miR-146a results in hyper-responsiveness of macrophages to bacterial LPSs and leads to an exaggerated inflammatory response in endotoxin-challenged mice. In contrast, overexpression of miR-146a in monocytes has the opposite effect. Aged miR-146a deficient mice develop a severe lupus-like autoimmune disorder characterized by splenomegaly, lymphadenopathy, multi-organ inflammation, and greatly increased autoantibody production. These mice produce about 60 fold higher amounts of anti-dsDNA autoantibodies than their wild type littermates. The autoimmune phenotype in miR-146a deficient mice is consistent with the finding of elevated amounts of activated T cells in the periphery, but may also be dependent on increased activation of B cells (19). Thus, miRNAs play pivotal roles in the regulation of both cell development and function in the innate and adaptive immune systems. miRNA dysregulation can contribute to dysregulation of the immune system and autoimmunity.

# Dysregulation of miRNA in lupus

miRNAs have emerged as crucial mediators of a variety of human diseases, including autoimmune diseases (13). They play a key role in fine-tuning the function of different types of cells to modulate the immune response. Dysregulation of miRNAs has been associated with autoimmune traits (92–94). Aberrantly expressed miRNAs have been observed in different cell types and tissues and play an important role in the progression of lupus (Table 2).

B cells contribute to autoimmune diseases, mainly through their primary function of antibody production. Mice with a conditional deletion of Dicer in mature B cells develop abnormal B cell subsets, have high autoantibody titers, and develop autoimmune disease with end-organ damage. This phenotype is particularly strong in female mice. Similarly, B cell-specific Dicer deletion, which abolishes mature miRNAs, results in a skewed BCR repertoire with hallmarks of autoreactivity, suggesting that select miRNAs in B cells prevent the generation of self-reactive antibodies (66). The effect of miRNA on B cell homeostasis is directed towards preventing the generation of autoreactive antibodies (30, 70). Dicer-deficient B lymphocytes produced increased numbers of autoreactive antibodies, suggesting that miRNAs play a role in terminal B cell differentiation and the establishment of B cell tolerance.

Several miRNAs are upregulated or downregulated in autoimmune diseases, such as lupus (92, 95–100) and rheumatoid arthritis (101–104). miR-150 expression is downregulated in B cells from lupus-prone MRL/*Fas<sup>lpr/lpr</sup>* mice. This likely stems from decreased histone acetyltransferase activity, resulting in impaired acetylation and decreased transcription of the miR-150 host gene (97). A B cell-specific conditional knock-in dominant negative p300 acetyltransferase mutant results in the production of class-switched anti-dsDNA autoantibodies and the development of lupus-like traits in C57BL/6 129Sv F1 mice (105). By contrast, increased expression of certain miRNAs may contribute to the development of autoimmunity, as suggested by increased numbers of germinal center B cells in the spleen and peripheral lymph nodes in miR-17-92 transgenic mice (17) upon silencing of miR-21 (106). Therefore, dysregulated expression of miRNAs can contribute to the pathogenesis of autoimmune diseases.

The innate immune system is involved in the pathogenesis of systemic autoimmune diseases, such as lupus. Nucleic acid-containing immune complexes activate the innate response by engaging specific TLRs and promoting autoantibody generation (Figure 3). TLR signaling is tightly regulated to avoid excessive inflammation. Numerous miRNAs are induced by TLR activation in innate immune cells. These and other miRNAs target the 3' UTRs of mRNAs encoding the TLRs or components of the TLR signaling system. miRNAs also prove to be an important link between the innate and adaptive immune systems and their dysregulation might have a role in the pathogenesis of autoimmune diseases. CSR, SHM and plasma cell differentiation can be induced by TLR signaling, which induces expression of not only proteins but also multiple miRNAs, including miR-155, miR-146a, and miR-21. These miRNAs undergo two paradigms of function: the pro-inflammatory miRNAs, e.g., miR-155, precisely regulate the levels of their targets to promote the immune response, while the negative feedback regulators, typified by miR-146a and miR-21, mute the immune response.

miR-146a plays a dominant role in innate immunity, as it negatively regulates signal transduction pathways leading to NF- $\kappa$ B activation by targeting *Traf6* and *Irak1* (19), and is downregulated in association with lupus pathogenesis (99, 107). Decreased miR-146a expression leads to hyperactivation of type I interferon pathway that is a hallmark of SLE (99). Genome-wide studies have identified specific genetic variants in the promoter or intergenic region of miR-146a that account for miR-146a down-regulation and could be

responsible for the genetic susceptibility of lupus (108). By contrast, miR-146a is consistently upregulated in RA (101), where it negatively regulates pro-inflammatory cytokines such as TNF- $\alpha$  (109) and IL-17 (110). Deficiency of miR-146a results in autoimmunity, including the production of anti-dsDNA autoantibodies (19). In addition, miR-23b is also downregulated in patients with lupus or RA, as well as mouse models of these autoimmune diseases (20). While miR-23b suppresses IL-17-associated autoimmune inflammation by targeting TAB2, TAB3 and IKK- $\alpha$ , it also regulates autoantibody production by repressing Blimp-1 expression, as the 3'UTR of *Prdm1* transcripts contains two putative evolutionally conserved miR-23b target sites.

TLR7 and TLR9 have been suggested to play pathogenic and protective roles, respectively, in the development of lupus. In lupus-prone B6.Nba2 mice, enhanced disease is associated with functionally upregulated expression of TLR7, as documented by an increased TLR7dependent activation of B cells and plasmacytoid dendritic cells. In lupus-prone yaa mice, pathogenic autoimmunity is associated with a translocation of *Tlr7* from the X chromosome onto the yaa Y chromosome. This translocation results in a twofold increased Tlr7 expression in male mice bearing *yaa*, which is sufficient to dysregulate TLR7-mediated activation of innate immune responses (111). In humans, the G or C allele of rs3853839 at the 3'UTR of TLR7 mRNA is associated with elevated expression of TLR7 transcripts and protein leading to increased risk for lupus. It has been shown recently that TLR7 3'UTR sequence bearing the G/C allele of rs3853839 matches a predicted binding site of miR-3148, suggesting that this miRNA may regulate TLR7 expression. Indeed, miR-3148 levels were inversely correlated with TLR7 transcript levels in peripheral blood mononuclear cells (PBMCs) from SLE patients (112). Further, the 3'UTR of human and mouse TLR7/Tlr7 likely contains target sites of miR-150, which is downregulated in lupus B cells (Zan, et al, unpublished).

As mentioned earlier, miR-155 regulates antibody responses and subsequent B cell effector functions to exogenous antigens. A recent study showed that ablation of miR-155 in lupus prone B6 *fas*<sup>lpr/lpr</sup> mice reduced autoantibody responses, accompanied by a decrease in serum IgG but not IgM anti-dsDNA antibodies and a reduction of kidney inflammation (113). miR-155 deletion in B6 *fas*<sup>lpr/lpr</sup> B cells restored the reduced SH2 domain-containing inositol 5'-phosphatase 1 to normal levels. In addition, co-aggregation of the Fc $\gamma$  receptor IIB with the B-cell receptor in *miR-155<sup>-/-</sup>B6 fas*<sup>lpr/lpr</sup> B cells resulted in decreased ERK activation, proliferation and production of switched antibodies compared with miR-155 sufficient *fas*<sup>lpr/lpr</sup> B cells. Thus, by controlling the levels of SH2 domain-containing inositol 5'-phosphatase 1, miR-155 in part maintains an activation threshold that allows B cells to respond to antigens.

Different epigenetic marks can undergo reciprocal regulation in both the development and disease activity of lupus. DNA methylation occurs at the 5' position of cytosine in the context of CpG dinucleotides. CpG methylation may result in transcriptional repression. T cells from patients with active lupus display global DNA hypomethylation. The reduced DNA methylation in lupus is associated with decreased enzymatic activity of DNA methyltransferases (DNMTs). In lupus patients and lupus mice, miR-21, miR-126 and miR-148a, which target *Dnmt1*, are all upregulated (106, 114, 115), suggesting that

hypomethylation in lupus is at least partially mediated by these miRNAs. Conversely, histone modifications and DNA methylation also affect miRNAs in lupus T cells. Lymphocyte-specific miRNAs are either tightly controlled by polycomb group-mediated H3K27me3 or maintained in a semi-activated epigenetic state prior to full expression (33). In lupus CD4<sup>+</sup> T cells, miR-142-3p and miR-142-5p are decreased, and result in overexpression of the SLAM-associated protein (SAP), IL-10, and CD84 (93). miR-142 reduction is associated with increased H3K27 methylation levels in the putative miR-142 regulatory regions (93). The three CpG pairs closest to the miR-142 transcription start site have been also found to be hypermethylated. Further, treatment with HDAC inhibitors downregulates the level of DNMTs, alters DNMT1 nuclear dynamics and its interactions with chromatin, and reduces overall DNA methylation (116). Thus, reduced DNMTs in lupus could be from the increased levels of miRNAs that target *Dnmt* genes.

## Environmental and hormonal regulation of miRNAs in lupus

Lupus is caused by a combination of genetic and environmental factors (Figure 3). Environmental triggers include ultraviolet (UV) exposure, bacterial and viral infections, antibiotics and other medications, diet, and stress. Gene expression can be altered in response to such environmental factors. These changes can be modulated by specific miRNAs. For example, air pollution metal-rich particulate matter (PM) greatly enhances the expression of miR-21 (117), which was found to be upregulated in lupus, and strongly correlated with disease activity (98). UV light triggers lupus flares and can promote DNA hypomethylation in T cells and lead to self-immune reaction (118). The reduced DNA methylation can alter the expression of mRNAs and miRNAs. Indeed, alteration of miRNAs has been found in cells exposed to UV (119).

In humans and most animal models of autoimmunity, females show a much higher incidence of disease. Women are ten times more likely to develop lupus than men, predominantly during their childbearing years, consistent with a role of the female hormone estrogen in the development of lupus autoantibodies. Upon estrogenic activation, the estrogen receptors alpha and beta (ER $\alpha$  and ER $\beta$ ) regulate the transcription of target genes by directly binding to their DNA. miRNAs modulated by ER have the potential to fine tune these regulatory systems and provide an alternate mechanism that could impact estrogen-dependent developmental and pathological systems. Sex hormones, such as 17 $\beta$ -estradiol (E<sub>2</sub>), the most abundant and potent natural estrogen in vertebrates, suppress or enhance miRNA expression in many cell types by inducing ER-binding to miRNA host gene promoters. In addition, activated ER $\alpha$  attenuates the processing of specific primary miRNAs into pre-miRNAs through estrogen-dependent association with the Drosha complex, resulting in an increased stabilization of the miRNA-targeting transcript. This suggests that estrogen can posttranscriptionally control gene expression through regulation of the miRNAs, which target the 3'UTRs of these genes (120, 121).

Estrogen promotes the secretion of pro-inflammatory cytokines such as IFN-γ and IL-17, which are involved in lupus pathogenesis, either through the modification of key transcription factors in inflammation or through the regulation of miRNA expression. A negative TLR signaling regulator miR-146a, which, as discussed above, is downregulated in

lupus, was decreased in freshly isolated splenic lymphocytes from mice treated with estrogen (122). The estrogen-mediated reduction of miR-146a results in increased LPSinduced IFN- $\gamma$  and iNOS expression. Estrogen promotes AID expression that leads to increased antibody and autoantibody responses in females (76, 123). In addition to enhancing transcription of the *Aicda* gene by activating the promoter of *HOXC4/HoxC4*, which is important for *Aicda* induction (76, 123, 124), estrogen can modulate AID expression by regulating miRNAs, specifically miRNA-155 and miRNA-181b, which target the 3'UTR of *Aicda* mRNA. Estrogen-ERα has been shown to inhibit miRNA-155 and miRNA-181b expression in human breast cancer cells (125, 126). It has also been shown to modulate the expression of miR-181a, miR-125a and miR-21 (120, 125, 127).

Similarly, the vitamin A metabolite, all-trans-retinoic acid (ATRA), belongs to a class of retinoids that exert immunomodulatory and anti-inflammatory functions, and can suppress the development of lupus nephritis (128, 129). The effect of retinoids is mediated by nuclear retinoid receptors (RARs and RXRs), which are ligand-activated transcription factors that are able to bind to retinoic acid response elements (RAREs) located in the promoter regions of target genes. Interestingly, RAR and ERa binding sites are highly coincident, resulting in a widespread crosstalk of RA and estrogen signaling. Retinoic acid and estrogen exert antagonistic regulations on transcription of not only coding genes, but also the miRNA host genes (130). For example, miR-23a is reduced in patients with lupus and downregulated by estrogen, but upregulated by retinoic acid. Conversely, estrogen increases expression of the miR-17-92 cluster, which is positively involved in lupus, yet reduced by retinoic acid (130).

Although sex differences in immune and autoimmune responses are largely due to the direct influence of estrogen, a number of recent reports suggest the potential impact of dosage effects of genes or miRNAs encoded on the X chromosome (131, 132). miRNAs are disproportionately localized to the X chromosome, where 10% of all human and mouse miRNAs are located, while the Y chromosome has no identifiable miRNAs. The "double" set of miRNAs encoded by the two X chromosomes in female may together with higher estrogen levels contribute to the strong female predisposition to autoimmune diseases, particularly lupus.

#### miRNAs as therapeutic targets in lupus

The immune system integrates miRNAs into the complex network of gene regulation necessary for immunity. The role of miRNAs in immune responses and their dysregulation in immunopathogenesis of lupus and autoimmunity make these miRNAs potentially important therapeutic targets. miRNAs that have been demonstrated to be necessary for normal lymphocyte function could be antagonized to produce a more specific and moderate immune suppression. The ability to target multiple functionally related protein-coding genes and pathways also make miRNA-based therapeutics a powerful tool for the treatment of lupus.

Based on the biological functions of individual miRNAs, it is possible to either inhibit or overexpress miRNAs to modulate immune functions. Specific miRNAs can be administered to downregulate target genes, while blocking others can be utilized as a strategy to increase

expression of target genes. Inhibition of specific miRNA can be performed using antagomirs, chemically engineered antisense oligonucleotides targeting the miRNA guide strand to block the interaction between the miRNA recognition elements within the 3'-UTR of the target mRNA genes. Antagomirs can be utilized to correct and stabilize miRNA levels to normal expression levels or increase expression of genes that suppress autoimmune diseases (133). Another approach utilized to antagonize miRNAs is seed region targeting locked nucleic acid (LNA) oligonucleotides, which inhibit miRNAs that share the same seed sequence (134). For example, inhibition of overexpressed miR-21 by LNA in lupus-prone B6.Sle1,2,3 mice led to amelioration of autoimmune splenomegaly (106). The first miRNAtargeting drug, Miravirsen, entered phase 2 clinical trials. Miravirsen is an LNA-antagomir that specifically inhibits hsa-miR-122, a liver-specific miRNA that the hepatitis C virus (HCV) requires for its replication. To overexpress miRNAs, the cells are transfected with synthesized miRNAs (miRNA mimics) or plasmids or viral vectors that express premiRNAs (23). Lastly, epigenetic modification of dysregulated genes or sequences that upregulate miRNA which modulate expression of these genes can also be potential therapeutic targets in autoimmune diseases (135), although such approach is still premature.

# **Concluding Remarks**

miRNAs function as key modulators in gene expression and play an important role in the immune response. Proper regulation of miRNA expression is crucial for disease prevention and aberrant miRNA expression in the immune system would result in disease states, including lupus. Although miRNAs constitute only a small portion of total human genes, it is known that miRNAs are capable of regulating over 40% of human mRNAs encoding immune genes including key targets in B cell and autoantibody responses, such as Aicda (AID), Prdm1 (Blimp1), Smad7, and Bcl6 (34, 136). The identification and characterization of the role miRNAs play in pathogenesis of lupus need to be further elucidated. Determining how given therapeutics alters pathogenic miRNAs would provide a viable screening tool for specific, targeted therapy in lupus. The ability of miRNAs to combinatorially regulate key mRNAs containing multiple miRNA-binding sites in their 3' UTR may lead to an even greater level of target mRNA repression than that mediated by a single miRNA, and could have important physiological and disease relevance. The fact that a single miRNA can repress multiple target mRNAs simultaneously may reveal new gene networks. Overall, a better understanding of miRNAs that are implicated in lupus pathogenesis may further our knowledge of the molecular mechanisms underlying autoimmune disease and lead to the development of potential therapies.

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#### Figure 1. miRNA biogenesis and function

Mature miRNAs are generated from endogenous hairpin-shaped transcripts and derived from the processing of long primary miRNA transcripts (pri-miRNA) by a Drosha/DGCR8 microprocessor in the nucleus to produce precursor miRNAs (pre-miRNAs). Alternatively, in a noncanonical miRNA biogenesis pathway, "mirtrons," a new class of miRNA precursors bypasses Drosha processing and are spliced by the spliceosome to yield branched pre-mitrons, which then go through lariat-mediated debranching to generate pre-miRNAs. After being exported from the nucleus into the cytoplasm by Exportin-5, pre-miRNAs are processed by the RNAIII enzyme Dicer, yielding imperfectly matched miRNA/miRNA\* duplexes which are loaded into the Argonaute (Ago) protein to generate the RNA-induced silencing complex (RISC). The guided strand of the miRNA/miRNA\* duplex remains in the

RISC as a mature miRNA, and the complementary strand (the passenger strand miRNA\*) is degraded. Once loaded onto RISC, the mature miRNA will interact with the 3' UTR of its target mRNA to silence the mRNA strand, thereby, dampening gene expression.



#### Figure 2. miRNA in immune and autoimmune responses

Select miRNAs specifically regulate genes that are critical at different stages of peripheral B cell differentiation. miRNAs play an important role in gene regulation through direct targeting of the 3' UTR transcripts of those genes, thereby regulating sequential B cell differentiation stages. Naïve mature B cells in peripheral lymphoid organs are activated by primary stimuli to undergo SHM and CSR (e.g., to IgG, depicted) and to differentiate into plasma cells or memory B cells. Several miRNAs (miR-17-92, miR-34a, miR-125, and miR-150) are involved in the pro-B to pre-B cell transition and regulate the generation of B cells in the bone marrow during the early stages of development. Other miRNAs, miR-181b and miR-155 target AID, while miR-125b, miR-9, and miR-30 target Blimp-1 and Irf4, which mediate plasma cell differentiation. Upregulation (red arrow) or downregulation (black arrow) of miRNAs results in alterations in the expression of these key genes. E2f-1, E2 promoter binding factor-1; AID, activation-induced cytidine deaminase; Blimp-1, B lymphocyte-induced maturation protein-1; HDAC, histone deacetylase; Irf4, interferon regulatory factor 4; NuRD, nucleosome remodeling and histone deacetylase; Pax-5, Paired box protein-5; Spi-B, spleen focus-forming virus integration site; CIITA, major histocompatibility complex class II transactivator.



## Figure 3. Modulation of miRNA in lupus

Genetic, environmental and hormonal factors all contribute to miRNA dysregulation in lupus. For example, miR-146a contributes to lupus pathogenesis by repressing the function of type I IFN and TLR signaling, through downregulating target genes *Traf6/Irak1*, and *Tlr7* or *Tlr9* and inflammatory cytokine expression. miR-181 impairs CSR in activated B cells by targeting AID, whereas miR-23b, miR-30a, miR-125a regulate autoantibody production by repressing Blimp-1 expression and plasma cell differentiation. In lupus, miR-21, miR-148 and miR-126, which target *Dnmt1*, are all upregulated suggesting that hypomethylation in lupus is mediated by those miRNAs. Conversely, histone modifications and DNA methylation also affect miRNAs in lupus T cells.

#### Table 1

## miRNAs involved in immune responses

miRNA	Target gene(s)	Cells	Processes	Ref.
miR-155	Aicda	B cell	CSR and SHM	(29, 30, 76)
	Pu.1	B cell		(137)
	Shp1	B cell	GC response	(113, 138)
	STAT1	T cell		(139)
	IL-17	Th17	Th17 development	(140)
miR-181b	Aicda	B cell	CSR and SHM	(31)
miR-93	Human AICDA	B cell	CSR and SHM	(78)
miR-361	Aicda	B cell	CSR and SHM	(77)
miR-30a	Prdm1	B cell	Plasma cell differentiation	Zan, et al, unpublished
miR-125b	Irf4, TNFa, Prdm1	B cells	B cell differentiation	(82)
miR-146a	Traf6, Irak1 IRF5 STAT1	T cell	TLR signaling IFN signaling	(141)
miR-150	c-Myb	B cell	B cell differentiation	(69)
miR-21	NF кB		TLR signaling	
	Foxp3	Treg	Treg development	(142)
miR-9	NFKB	Monocyte, neutrophil	TLR signaling	(143)
miR-10a	Bcl-6	T cell	Th cell plasticity and fate	(144)
miR-17-92	Bim	B and T cell precursors	B and T cell development	(17)
	PTEN	Memory CD8 T cell		(145)
miR-210	Oct-2	B cells	B cell activation	(79)
miR-221	Multiple targets	Pre-B cells	B cell development	(146)
miR-34a	Foxp1	B cell precursors	B cell development	(68)
miR-326	Ets-1	TH17	Promote TH17 differentiation	(147)

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Table 2

miRNAs involved in lupus

miRNAs	Target gene	Cells	Functions	Expression in lupus	Correlation with lupus activity	Ref.
miR-146a	Traf6, IrakI	PBMC, CD4 <sup>+</sup> T cells, Th17 cells	Negatively regulates NFKB	$\rightarrow$	Inverse	(148)
miR-23b	IL-17		Suppresses autoimmune inflammation	$\rightarrow$	Inverse	(20)
	Blimp1		Regulates autoantibody production			Zan, et al, unpublished
miR-125a	KLF13	T cells	Inhibit inflammatory chemokine RANTES	$\rightarrow$	Inverse	(149)
miR-21	DNMTI	CD4 <sup>+</sup> T cells	Induces DNA hypomethylation and the expression autoimmune- associated genes	÷	Positive	(114)
miR-126	DNMTI		Induces DNA hypomethylation and the expression autoimmune- associated genes	÷	Positive	(115)
miR-142	SAP, CD84, IL-10	T cells	Inhibit T cell activity	$\rightarrow$	Inverse	(93)
miR-148a	DNMT1	CD4 <sup>+</sup> T cells	Regulates DNA methylation in lupus T cells	<i>~</i>	Positive	(114)
miR-150	c-Myb	B cells, CD4 <sup>+</sup> T cells, Th1 cells	Inhibit B/T cell activation and proliferation	$\rightarrow$	Inverse	(67)
miR-17-92	Bim	T cells	Upregulation leads to abnormal B cell activation, enhanced GC responses, and abnormal inflammatory T cell production	÷	Positive	(97)
miR-155	Aicda	B cells, Treg cells	Inhibit CSR/SHM	4	Negative	(76)
	CD62L		Regulate Treg phenotype			(87)
	SHIPI	B cells	Regulate B cell activation and survival		Positive	(113)
miR-182-96-183	MITF Foxol	B cells, T cells	B cell activation T cell activation	Ļ	Positive	(97)