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1 **On the Parameterization of Convective Downdrafts to Represent Marine**  
2 **Stratocumulus Clouds**

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## ABSTRACT

10 The role of non-local transport on the development and maintenance of ma-  
11 rine stratocumulus clouds in coarse resolution models is investigated, with  
12 a special emphasis on the downdraft contribution. A new parameterization  
13 of cloud-top triggered downdrafts is proposed and validated against large-  
14 eddy simulation (LES) for two stratocumulus cases. The applied non-local  
15 mass-flux scheme is part of the stochastic multi-plume eddy-diffusivity/mass-  
16 flux (EDMF) framework decomposing the turbulence into local and non-local  
17 contributions. The local turbulence is represented with the Mellor-Yamada-  
18 Nakanishi-Niino (MYNN) scheme. This EDMF version has been imple-  
19 mented in the Weather Research and Forecasting (WRF) single-column model  
20 (SCM) and tested for three model versions: without mass flux, with updrafts  
21 only, and with both updrafts and downdrafts. In the LES, the downdraft and  
22 updraft contributions to the total heat and moisture transport are comparable  
23 and significant. The WRF SCM results show a good agreement between the  
24 parameterized downdraft turbulent transport and LES. While including up-  
25 drafts greatly improves the modeling of Sc clouds over simulation without  
26 mass flux, the addition of downdrafts better simulates the moisture profile in  
27 the planetary boundary layer.

## 28 **1. Introduction**

29 Stratocumulus (Sc) clouds are one of the most common cloud types on Earth (Hahn and Warren  
30 2007). They form under strong temperature inversions and are prevalent off the western coast  
31 of continents, on the descending side of the Hadley cell. Their impact on the Earth’s energy  
32 budget is significant as they strongly reflect incoming solar radiation, with a much weaker effect on  
33 outgoing longwave radiation (Wood 2012). Accurate modeling of Sc clouds has high importance  
34 for several reasons: (i) they are one of the key sources of uncertainty in climate predictions (Bony  
35 and Dufresne 2005; Zelinka et al. 2017), (ii) they affect solar power integration into the electric  
36 grid (Yang and Kleissl 2016; Zhong et al. 2017; Wu et al. 2018), and (iii) they impact aviation by  
37 hindering the takeoff and landing of flights (Reynolds et al. 2012).

38 Physical processes governing the evolution of the stratocumulus-topped boundary layer  
39 (STBL)— such as cloud-top radiative cooling, entrainment, evaporative cooling, surface fluxes,  
40 wind shear, and precipitation— widely range on spatial and temporal scales, and modeling Sc  
41 clouds is quite challenging as a result (e.g. Lilly 1968; Stevens 2002; Wood 2012). Efforts through  
42 both observational campaigns (e.g. Stevens et al. 2003; Malinowski et al. 2013; Crosbie et al.  
43 2016) and high resolution numerical modeling (e.g. Stevens et al. 2005; Kurowski et al. 2009;  
44 Yamaguchi and Randall 2011; Chung et al. 2012; Blossey et al. 2013; de Lozar and Mellado 2015;  
45 Pedersen et al. 2016; Mellado et al. 2018; Matheou and Teixeira 2019) have significantly advanced  
46 our understanding of the physics of Sc clouds. These physical insights are important for numerical  
47 weather prediction (NWP) and general circulation models (GCMs) where grid resolution is coarse.

48 The picture emerging from those studies is that cloud-top radiative cooling is a critical source  
49 of STBL turbulence (Matheou and Teixeira 2019), contributing to cloud-top entrainment (Mel-  
50 lado 2017). The combined effect of both evaporative and radiative cooling— the former typically

51 enhanced by wind shear (Mellado et al. 2014)— destabilizes the top of cloud layer through buoy-  
52 ancy reversal that leads to the formation of negatively buoyant weak downdrafts. This process is  
53 often considered responsible for the generation of cloud holes in largely unbroken Sc clouds (Ger-  
54 ber et al. 2005; Kurowski et al. 2009). Many small-scale phenomena (e.g., entrainment, shear,  
55 evaporative cooling, cloud microphysics) are at play in the origin of downdrafts and can strongly  
56 influence vertical mixing (Mellado 2017). Exactly how these processes interact with each other  
57 remains a research challenge.

58 Turbulent transport in the STBL is the main driver to the formation, maintenance, and dissi-  
59 pation of Sc clouds. In coarse-resolution models, turbulent transport is typically parameterized  
60 using simplified one-dimensional planetary boundary layer (PBL) schemes. Global NWP models  
61 (e.g. Teixeira 1999) and climate models tend to underestimate Sc clouds (Teixeira et al. 2011; Lin  
62 et al. 2014), although there is an improvement in the representation of the radiative properties by a  
63 newer generation of climate models (Engström et al. 2014). In terms of mesoscale models, Ghon-  
64 ima et al. (2017) compared three different PBL schemes in the Weather Research and Forecasting  
65 (WRF) model and found that they all underestimate entrainment, producing too moist and cold  
66 STBLs. Huang et al. (2013) compared five different WRF PBL parameterizations and highlighted  
67 the difficulties of simulating the STBL. Recent studies supported the importance of downdrafts in  
68 transporting turbulent heat and moisture flux in the PBL (Chinita et al. 2017; Davini et al. 2017;  
69 Brient et al. 2019) through analyzing LES of STBL. Brient et al. (2019) concluded that for a more  
70 accurate parameterization of turbulence within STBL, downdrafts should be explicitly included in  
71 climate models. Downdrafts were recently implemented by Han and Bretherton (2019) in a tur-  
72 bulent kinetic energy (TKE)-based moist Eddy-Diffusivity/Mass-Flux (EDMF) parameterization  
73 within the GFS model, and they found more accurate liquid water and wind speed profiles for  
74 marine STBLs.

75 This study introduces parameterized downdrafts into NWP and aims at investigating their impact  
76 on the evolution of the STBL. To test whether convective downdrafts are necessary to properly  
77 represent Sc clouds, we implement a new downdraft parameterization in WRF based on the EDMF  
78 approach that uses Mellor-Yamada-Nakanishi-Niino (MYNN) as the ED component. This differs  
79 from Han and Bretherton (2019) where different ED and MF models were used and additional  
80 features were implemented to advance the vertical turbulence mixing parameterization for not  
81 only STBL but also other conditions. We place a special emphasis on evaluating the role of non-  
82 local transport in STBL with gradual changes to the model in order to separate effects coming  
83 from convective downdrafts. The new parameterization is evaluated in two typical STBL cases.

84 Section 2 describes the EDMF and MYNN schemes as well as the updraft and downdraft im-  
85 plementation in WRF. The numerical design of the LES setup, WRF single column model (SCM),  
86 and updraft and downdraft properties are described in Section 3. WRF SCM results for both STBL  
87 cases are shown in Section 4. Finally, conclusions are presented in Section 5.

## 88 **2. PBL scheme with downdrafts**

89 In coarse resolution atmospheric models, the PBL scheme determines turbulent flux profiles  
90 within the PBL as well as the overlying air, providing tendencies of temperature, moisture, and  
91 horizontal momentum due to mixing and turbulent transport for the entire atmospheric column.  
92 This section first gives an overview of the EDMF framework, then the details of ED and MF  
93 models are presented (Sections 2b and 2c). The properties of updrafts and downdrafts are diag-  
94 nosed using LES and presented in Section 3 in order to quantify the validity of the parameterized  
95 mass-flux model.

96 *a. The Eddy-Diffusivity/Mass-Flux (EDMF) Approach*

97 Siebesma and Teixeira (2000); Teixeira and Siebesma (2000); Siebesma et al. (2007) introduced  
98 the eddy diffusivity/mass-flux (EDMF) approach for parameterizing turbulence in a dry convective  
99 boundary layer, and additional improvements have been made by Witek et al. (2011). The idea  
100 behind EDMF is to parameterize the turbulent fluxes as a sum of local transport through ED and  
101 non-local transport through a mass-flux contribution. The EDMF approach has been extended to  
102 represent moist convection since then (e.g. Soares et al. 2004; Neggers et al. 2009; Neggers 2009;  
103 Angevine et al. 2010, 2018; Suselj et al. 2013, 2019a,b). In these papers, the updrafts start out  
104 as dry and begin to condense when the conditions are right. In other words, moist updrafts are  
105 a result of dry updrafts. The EDMF approach provides an unified parameterization of boundary  
106 layer and moist convection, and it is thus an ideal framework for modeling STBL.

107 *b. ED scheme: The Mellor-Yamada Nakanishi and Niino (MYNN)*

108 The ED component we use is the level 2.5 Mellor-Yamada Nakanishi and Niino (MYNN) model,  
109 which is a modified Mellor-Yamada turbulence closure scheme originally developed by Mellor and  
110 Yamada (1982), with significant improvements made over the years (Nakanishi and Niino 2006,  
111 2009). In MYNN, vertical turbulent fluxes are modeled according to K-theory:

$$\overline{w'\phi'} = -K \frac{\partial \phi}{\partial z}, \quad (1)$$

112 where eddy diffusivity  $K$  is parameterized as a function of the TKE ( $q$ ), master length scale  $L$ , and  
113 stability correction functions  $S_{h,m}$ , which differ for heat and momentum:

$$K_{h,m}(z) = q(z)L(z)S_{h,m}(z). \quad (2)$$

114 The prognostic thermodynamic equations in MYNN use moist conserved variables: liquid water  
115 potential temperature  $\theta_l$  and total water mixing ratio  $q_t$ . The prognostic dynamic variables are the

116 horizontal components of wind  $u$  and  $v$ . An additional prognostic equation of the MYNN Level  
 117 2.5 model solves the (doubled) subgrid TKE:  $q^2 = 2 \times TKE = \overline{u'^2} + \overline{v'^2} + \overline{w'^2}$ , and is formulated  
 118 as:

$$\frac{\partial q^2}{\partial t} = -\frac{\partial}{\partial z} \left( LqS_q \frac{\partial q^2}{\partial z} \right) - 2 \left( \overline{u'w'} \frac{\partial U}{\partial z} + \overline{v'w'} \frac{\partial V}{\partial z} \right) + 2 \frac{g}{\theta_0} \overline{w'\theta'_v} - 2\varepsilon. \quad (3)$$

119 Eq. 3 describes the tendency of TKE, due to turbulent and pressure transport, shear production,  
 120 buoyant production, and turbulent dissipation.  $L$  is the master length scale as in Eq. 2, and  $S_q = 3S_m$   
 121 is the stability correction function for TKE (see Nakanishi and Niino (2009) for detailed formula-  
 122 tions).  $L$  is designed such that the smallest length scale out of three different formulations domi-  
 123 nates at a given level. The first formulation is the surface length scale  $L_{sfc}$ , which is the Prandtl  
 124 mixing length corrected for stability. It is small near the surface, but increases rapidly with height.  
 125 The second one, the turbulent length scale for a well-mixed layer  $L_{turb}$ , is formulated as a function  
 126 of the vertically-integrated TKE, independent of height. Finally, the buoyancy length scale  $L_{buoy}$  is  
 127 computed as a function of local stratification (i.e.,  $\frac{\partial \theta_v}{\partial z}$ ), and it decreases with increasing stratifica-  
 128 tion. The buoyancy length scale is only active in stable conditions. The stability functions for heat  
 129 and moisture  $S_{h,m}$  contain empirical constants, which generally decrease with increasing stability,  
 130 as they are inversely related to the Richardson number (Eq. 27 and 28 in Nakanishi and Niino  
 131 2009). Finally, the dissipation rate is parameterized as  $\varepsilon = \frac{q^3}{B_1 L}$ , where  $B_1$  is a closure constant  
 132 ( $B_1 = 24$  in the MYNN scheme).

### 133 *c. Adding mass flux to MYNN*

134 The MYNN Level 2.5 ED model determines turbulent mixing at each vertical level based on the  
 135 gradients in scalars between immediately adjacent vertical levels (Eq. 1). When deep mixing due  
 136 to larger eddies becomes important, the MYNN scheme has been shown to produce erroneous ther-  
 137 modynamic profiles (Huang et al. 2013). Non-local models, such as the YSU and ACM2 schemes,



138 account for this deep mixing by using a counter-gradient term (Hong et al. 2006) or a transilient  
139 mass flux matrix (Pleim 2007). Another common approach is the EDMF framework, which de-  
140 composes the subgrid vertical mixing into local mixing through ED and non-local (mass-flux;  
141 MF) transport through convective plumes. Traditionally, PBL schemes, such as MYNN, model  
142 the turbulence within the PBL through only ED. In the EDMF framework, ED is used to model  
143 the non-convective transport in the non-convective environment, with an additional contribution  
144 from the MF portion.

## 145 1) MASS FLUX MODEL OVERVIEW

146 To represent non-local transport, we use the stochastic multi-plume EDMF model. The idea  
147 behind this model is that the horizontal subgrid domain is composed of an ensemble of convective  
148 plumes and the remaining non-convective environment. The multi-plume approach is designed to  
149 account for the non-linear interactions between the plumes and the environment, as the entrainment  
150 with the environment is stochastic for each plume. Following the same notation as Suselj et al.  
151 (2019a,b), the grid-mean value of any variable  $\varphi$  can be written as:

$$\bar{\varphi} = \sum_{n=1}^N a_{u_n} \varphi_{u_n} + \sum_{m=1}^M a_{d_m} \varphi_{d_m} + a_e \varphi_e, \quad (4)$$

152 where  $N/M$  is the total number of updrafts/downdrafts. The subscripts  $u_n$ ,  $d_m$ , and  $e$  denote mean  
153 values from the  $n$ -th updraft,  $m$ -th downdraft, and the environment, while  $a_{u_n}$ ,  $a_{d_m}$ , and  $a_e$   
154 are the corresponding areas. In WRF, assuming the fractional area of updraft and downdraft are  
155 small, we approximate  $\bar{\varphi} \approx \varphi_e$ , and the turbulent flux can be written as (see Eqs. 7 in Suselj et al.  
156 (2019b)):

$$\overline{w'\varphi'} = \sum_{n=1}^N a_{u_n} (\varphi_{u_n} - \bar{\varphi})(w_{u_n} - \bar{w}) + \sum_{m=1}^M a_{d_m} (\varphi_{d_m} - \bar{\varphi})(w_{d_m} - \bar{w}) + a_e \overline{w'\varphi'}|_e, \quad (5)$$

157 where the vertical transport of non-convective environment  $\overline{w'\varphi'}|_e$  is modeled using Equation 1.

158 2) SURFACE-DRIVEN UPDRAFTS

159 A version of EDMF including surface-driven updrafts (Olson et al. 2019) has been implemented  
 160 as an add-on option in MYNN since WRF v3.8 and is used for NOAA’s operational Rapid Refresh  
 161 (RAP; Benjamin et al. (2016)) and High Resolution Rapid Refresh (HRRR) forecast systems.  
 162 The original version of this dynamic multi-plume mass-flux scheme in WRF v3.8 (*bl\_mynn\_edmf*  
 163 = 1) followed Suselj et al. (2013), but the version in the current WRF v4.0 contains considerable  
 164 changes from the original form. We do not base our EDMF implementation (*bl\_mynn\_edmf* = 3)  
 165 on what is currently available in WRF, but instead follow Suselj et al. (2013) and Suselj et al.  
 166 (2019a,b). The numerical implementation is documented in Suselj et al. (2019b) (Appendix B).

167 The surface-driven updrafts are represented by an ensemble of steady-state plumes with different  
 168 initial conditions and stochastic entrainment rates. The thermodynamic and dynamic properties of  
 169 the  $n$ -th updraft  $\varphi_{u_n} = \{\theta_{l,u_n}, q_{t,u_n}, u_{u_n}, v_{u_n}\}$  follow:

$$\frac{\partial \varphi_{u_n}}{\partial z} = \varepsilon_{u_n}(\bar{\varphi} - \varphi_{u_n}), \quad (6)$$

170 where  $\varepsilon_{u_n}$  is the entrainment rate. Note that an additional source term, due to microphysical  
 171 processes in Suselj et al. (2019b), is not included here as it has no effect in non-precipitating  
 172 STBL. The number of updrafts is fixed to ten ( $n = 1, \dots, N; N = 10$ ). The steady-state equation of  
 173 the updraft velocity is:

$$\frac{1}{2} \frac{\partial w_{u_n}^2}{\partial z} = a_w B_{u_n} - (b_w \varepsilon_{u_n} + P_{w_{ud}}) w_{u_n}^2, \quad (7)$$

174 where  $a_w = 1$ ,  $b_w = 1.5$  are model constants (de Roode et al. 2012; Suselj et al. 2013, 2019b).  
 175 Variable  $B_{u_n} = g(\theta_{v,u_n}/\bar{\theta}_v - 1)$  is the updraft buoyancy, and  $\theta_v = \theta(1 + 0.61q_v - q_l)$  is the virtual  
 176 potential temperature.  $P_{w_{ud}}$  represents the dynamical pressure effects as updrafts approach the

177 inversion and is parameterized as:

$$p_{w_{ud}} = \begin{cases} \frac{1 - \exp((z_i - z)/z_{00} - 1)}{0.1(z_i - z)}, & z > (z_i - z_{00}) \\ 0, & z \leq (z_i - z_{00}), \end{cases} \quad (8)$$

178 where  $z_{00}$  denotes the distance from  $z_i$  when  $p_{w_{ud}}$  starts to be in effect. For this work, we use  $z_{00} =$   
 179 100 m. Assuming a normal distribution of the vertical velocity near the surface, the updrafts are  
 180 thought to represent the positive tail of the distribution, between one and three standard deviations,  
 181 divided into  $N$  bins. This results in a total updraft area of approximately 15% near the surface. The  
 182 thermodynamic surface conditions for the updrafts are identical to Suselj et al. (2019a) (Appendix  
 183 A).  $\epsilon_{st}$  is the stochastic entrainment rate, computed as:

$$\epsilon(\Delta z) = \frac{\epsilon_0}{\Delta z} P\left(\frac{\Delta z}{L_\epsilon}\right), \quad (9)$$

$$L_\epsilon = L_0 \exp(-c_{ent} z/z_i), \quad (10)$$

184  
 185 where  $\epsilon_0 = 0.2$  is the fractional mass of air entrained in a single entrainment event.  $P(\lambda)$  is a  
 186 random number drawn from the Poisson distribution with parameter  $\lambda = \left(\frac{\Delta z}{L_\epsilon}\right)$ , which represents  
 187 the number of entrainment events a single updraft experiences over height  $\Delta z$ .  $L_0 = 100$  m denotes  
 188 the distance a plume needs to travel to entrain once. The exponential term in Eqs. 10 represents the  
 189 dynamic effect near strong temperature inversion, as the updrafts cannot penetrate above that layer  
 190 and are assumed to entrain more and disintegrate, where  $c_{ent} = 0.5$  is a model constant controlling  
 191 how fast entrainment length decreases with height. For STBL, we use the cloud-top height  $z_i$  (also  
 192 known as the inversion height) to denote where this dynamic effect is at its strongest.  $z_i$  is defined  
 193 as the last point near the PBL height where  $q_l > 10^{-6}$  kg kg<sup>-1</sup>, and cloud fraction is greater than  
 194 50%. This definition of locating  $z_i$  is identical to that in Olson et al. (2019), where they included  
 195 an option for top-down buoyancy production in ED when Sc clouds were present. In the MYNN  
 196 parameterization, there are three options to represent sub-grid cloudiness, which are controlled by

197 *bl\_mynn\_cloudpdf* parameter. In this work,  $bl\_mynn\_cloudpdf = 1$ , for which a statistical partial  
 198 condensation cloud scheme based on joint-Gaussian probability distribution function of  $\theta_l$  and  $q_l$   
 199 is used (Kuwano-Yoshida et al. 2010). By default, the Gaussian PDFs are applied to the whole  
 200 grid box (i.e., including non-convective environment and convective updrafts and downdrafts).  
 201 We thus assume that Gaussian distributions of the thermodynamic variables (cf. Figure 1) yield  
 202 reasonably accurate cloud cover and liquid water values for STBL. Cloud fraction would ideally  
 203 be computed from Eqs. 4, and we use this approximation for simplicity. Note that for STBL,  
 204 saturation conditions are usually met for most of the PDFs area.

205 While Suselj et al. (2013) did not include either the dynamical pressure effect (i.e.  $P_{wud}$  term  
 206 in Eqs. 7) or modification of entrainment length ( $L_\epsilon$ ) by proximity of inversion for the STBL  
 207 simulation, we find that those modifications yield results that are more consistent with the plume  
 208 statistics in LES, as discussed further in Section 3. The entrainment rate is the same for all vari-  
 209 ables ( $\theta_{l,u_n}$ ,  $q_{l,u_n}$ ,  $u_{u_n}$  and  $v_{u_n}$ ). Although Suselj et al. (2019b) used  $\frac{1}{3}\epsilon_{u_n}$  for  $u_{u_n}$  and  $v_{u_n}$ , we find  
 210 that equal entrainment rate results in better  $u$  and  $v$  profile.

211 Since each updraft is characterized by different surface conditions and entrainment rates, the  
 212 thermodynamic properties and termination heights also differ. Each plume is integrated indepen-  
 213 dently in the vertical until the vertical velocity becomes negative. Condensation occurs within a  
 214 plume if its total water mixing ratio exceeds the saturated water mixing ratio. Therefore, there exist  
 215 dry and partly moist plumes among the  $N$  updrafts, and the fate of each plume is determined by its  
 216 initial conditions, dynamical pressure effect, and lateral entrainment with the environment. Since  
 217 each individual updraft is integrated independently, whenever vertical velocity becomes negative  
 218 and terminates, the updraft area is reduced. This can often be seen in regions with strong lateral  
 219 entrainment rates.

220 3) CLOUD-TOP TRIGGERED DOWNDRAFTS

221 Several important physical processes are at play near the STBL top. Radiative and evaporative  
 222 cooling produces cooled downdrafts and drives buoyant production of turbulence in the PBL.  
 223 Entrainment from the free troposphere can impact downdrafts near the cloud-top: warm air from  
 224 the free troposphere counteracts the radiative cooling and buoyant production of turbulence. When  
 225 the PBL is less turbulent, the entrainment rate decreases, indicating a negative feedback loop  
 226 (Wood 2012). Surface-driven updrafts may also affect the downdrafts. As updrafts approach the  
 227 inversion, they begin to diverge and can help initiate or enhance downdrafts (Kurowski et al. 2009;  
 228 Davini et al. 2017). This enhances the downdraft vertical velocity and, in turn, the turbulence in  
 229 the PBL. In the proposed parameterization of downdrafts, those dependencies are important for the  
 230 formulation of the downdraft initial conditions. Our downdraft parameterization in MYNN can be  
 231 activated by specifying  $bl\_mynn\_edmf\_dd = 1$  in the namelist. The numerical implementation  
 232 follows Suselj et al. (2019b) (Appendix C).

233 Similar to the surface-driven updrafts, downdrafts are also represented by an ensemble of steady-  
 234 state plumes with stochastic lateral entrainment. The thermodynamic and dynamic properties of  
 235 the  $m$ -th downdraft  $\varphi_{d_m} = \{\theta_{l,d_m}, q_{t,d_m}, u_{d_m}, v_{d_m}\}$  follow:

$$\frac{\partial \varphi_{d_m}}{\partial z} = -\varepsilon_{d_m}(\bar{\varphi} - \varphi_{d_m}). \quad (11)$$

236  $\varepsilon_{d_m} = \frac{\varepsilon_0}{\Delta z} P\left(\frac{\Delta z}{L_\varepsilon}\right)$  is the entrainment rate similar to Equation 9, where  $L_\varepsilon = L_0$ , and the values of  $L_0$   
 237 and  $\varepsilon_0$  are the same as for the updrafts. The entrainment rate is same for  $\theta_{l,d_m}$  and  $q_{t,d_m}$ , however, it  
 238 is increased to 1.4 times for  $u_{d_m}$  and  $v_{d_m}$ . We find that increasing entrainment rate for momentum  
 239 results in better  $u$  and  $v$  profile. The additional source term due to microphysical processes in  
 240 Suselj et al. (2019b) is neglected here. The number of downdrafts is fixed to ten ( $m = 1, \dots, M$ ;  
 241  $M = 10$ ). The steady-state equation of the downdraft velocity is identical to Suselj et al. (2019b) :

$$\frac{1}{2} \frac{\partial w_{dm}^2}{\partial z} = a_w B_{dm} + (b_w \epsilon_{dm} + p_{wdd}) w_{dm}^2, \quad (12)$$

where  $p_{wdd}$  represents the dynamical pressure effects as downdrafts approach the surface and is parameterized as:

$$p_{wdd} = \begin{cases} \frac{1 - \exp(z/z_{00} - 1)}{2z}, & z \leq z_{00} \\ 0, & z > z_{00}, \end{cases} \quad (13)$$

where  $z_{00} = 100$  m. This is equivalent to the dynamical pressure effect in updraft, except we replace  $z_i$  with 0.

We assume downdrafts start randomly in the upper half of the cloud layer. We avoid starting all downdrafts at  $z_i$  to avoid numerical instabilities in this region during model spin-up time. The reason behind this choice is described in more details in next section. Similarly to the updraft parameterization, we assume that the downdrafts represent the negative tail of the vertical velocity distribution which is assumed to be normal (between negative one and three standard deviations), resulting in a total downdraft area of approximately 15% slightly below cloud-top. The formulation of cloud-top conditions for downdrafts is similar to the formulation for surface-driven updrafts (Suselj et al. 2019a). The difference lies in the parameterization of the variances of vertical velocity  $\sigma_w$ , total water mixing ratio  $\sigma_{q_t}$ , and virtual potential temperature  $\sigma_{\theta_v}$ . The strength of downdraft vertical velocity is proportional to  $\sigma_w$ :

$$\sigma_w = c_1 w_{*,dd}, \quad (14)$$

where  $c_1 = 0.3$  is a model constant.  $w_{*,dd}$  is the convective vertical velocity scale which takes into account both the intensity of surface-driven updrafts and cloud-top radiative cooling and is similar to the entrainment parametrization in Ghonima et al. (2017):

$$w_{*,dd} = \left[ 0.15(w_*^3 + 5u_*^3) + 0.35w_{rad}^3 \right]^{1/3}, \quad (15)$$

259 where  $w_* \equiv (g/\theta_v)\overline{w'\theta'_v}|_{sz_{top}}$  is the Deardorff convective velocity scale,  $u_*$  is the surface friction  
 260 velocity, and  $w_{rad} \equiv (g/\theta_v)\overline{w'\theta'_v}|_{radz_{top}}$  is a velocity scale based on the net radiative flux divergence  
 261 at the cloud-top where  $\overline{w'\theta'_v}|_{rad} = \frac{F_{rad}}{\rho c_p}$  (Lock and Macvean 1999). In WRF,  $F_{rad}$  is defined as the  
 262 radiative flux divergence between cloud-top and cloud base.

263 The framework of parameterizing  $\sigma_{q_t}$  and  $\sigma_{\theta_v}$  is similar to that described by Köhler (2006). The  
 264 downdraft initial total mixing ratio deficit is proportional to  $\sigma_{q_t}$ :

$$\sigma_{q_t} = c_2 q_*, \quad (16)$$

265 where  $c_2 = 30$  is a model constant, and  $q_* \equiv \frac{\overline{w'q'_{t,ent}}}{w_{rad}}$  is the moisture scale due to mixing with  
 266 entrained air. The entrainment fluxes  $\overline{w'\varphi'_{ent}}$  are modeled according to the flux-jump relation  
 267  $\overline{w'\varphi'_{ent}} = w_e \Delta\varphi_{z_{inv}}$  (Lilly 1968), where  $\Delta\varphi_{z_{inv}} = \varphi_{z_{inv+1}} - \varphi_{z_{inv}}$  represents the jump value of the scalar  
 268  $\varphi$  across the inversion.  $w_e$  is the entrainment velocity and is parameterized following Ghonima  
 269 et al. (2017):

$$w_e = -\frac{\theta_{v0}}{g\Delta\theta_{v,inv}z_{inv}} [0.15(w_*^3 + 5u_*^3) + 0.35w_{rad}^3]. \quad (17)$$

270 In WRF, the jump in moisture,  $\Delta q_t$ , is defined as the difference in  $q_t$  at 700 hPa and the surface.

271 The downdraft initial virtual potential temperature is proportional to  $\sigma_{\theta_v}$ :

$$\sigma_{\theta_v} = c_3 \theta_{v,*}, \quad (18)$$

272 where  $c_3 = 1$  is a model constant, and  $\theta_{v,*} \equiv \frac{\overline{w'\theta'_{v,ent}}}{w_{*,rad}}$  is the buoyancy scale due to mixing with  
 273 entrained air and radiative cooling. The jump in heat,  $\Delta\theta_v$ , is similar to (Wood and Bretherton  
 274 2006):

$$\Delta\theta_v = (\theta_{v,700} - \theta_{v,0}) - \Gamma_{FT}(z_{700} - z_{inv}), \quad (19)$$

275 where  $\theta_{v,700}$  is  $\theta_v$  at  $p = 700$  hPa,  $\theta_{v,0}$  is  $\theta_v$  at the surface,  $\Gamma_{FT}$  is the free tropospheric adiabat, and  
 276  $z_{700}$  is the height of the  $p = 700$  hPa surface. Since difference in  $\theta_v$  at 700 hPa and the surface

277 is a combination of temperature increase across the capping inversion and the accumulated static  
278 stability between this inversion and the 700 hPa reference level, we subtract  $\Gamma_{FT}(z_{700} - z_{inv})$  to  
279 focus on temperature jump across the inversion. We find this definition of inversion jumps to be  
280 more systematic and consistent than attempting to diagnose the exact point where the temperature  
281 inversion begins and ends.

282 Similar to the updrafts, equations for each downdraft are integrated independently in the vertical  
283 until the vertical velocity becomes positive. Condensation occurs within a downdraft if its total  
284 water mixing ratio exceeds the saturated water mixing ratio. Similarly to updrafts, there exist  
285 dry and partly moist plumes among the  $M$  downdrafts, and the fate of each plume is determined  
286 by its initial conditions, dynamical pressure effect, and lateral entrainment with the environment.  
287 Since each individual downdraft is integrated independently, whenever vertical velocity becomes  
288 positive and terminates, the downdraft area is reduced. This is often the case in regions with strong  
289 lateral entrainment rates.

### 290 **3. Design of Numerical Experiments**

#### 291 *a. LES Setup*

292 Large eddy simulations are performed using the UCLA-LES model (Stevens 2010) and treated  
293 as "ground truth." Two idealized non-drizzling marine Sc cases are chosen as baseline simulations:  
294 the DYCOMS-II RF01 (Stevens et al. 2005) and CGILS S12 Control (Blossey et al. 2013) (here-  
295 inafter DYCOMS and CGILS). The experiments are set up following the respective intercompar-  
296 ison studies. Interactive radiation is treated differently in the two cases. Specifically, a simplified  
297 model of radiative forcing matching the  $\delta$ -four stream transfer code (Stevens et al. 2005) is used  
298 in DYCOMS. As for CGILS, a full radiative transfer code is used, which utilizes Monte Carlo



299 sampling of the spectral integration (Pincus and Stevens 2009). The DYCOMS case is run for 4  
300 h, and the CGILS case is run for 24 h. While we focus our analysis of the updraft and downdraft  
301 properties on nocturnal quasi-steady conditions (first 4 h), the 24 h simulation of CGILS provides  
302 reference to the generalization of the parameterization during the day. In both experiments, a non-  
303 uniform vertically-stretched grid is used with 5 m resolution around the inversion, and a several  
304 times coarser resolution in the horizontal. This LES setup is identical to that in Ghonima et al.  
305 (2017). A summary of the model setups is provided in Table 1.

### 306 1) DETERMINING PLUME PROPERTIES

307 Simulation outputs are stored at one minute intervals from hour three to four in order to gather  
308 updraft and downdraft properties. The statistics are averaged over one hour. We use the joint  
309 normal probability density function (PDF) between vertical velocity  $w$ , total water mixing ratio  
310 ( $q_t = q_v + q_l$ ), virtual potential temperature ( $\theta_v = \theta(1 + 0.61q_v - q_l)$ ), and liquid water potential  
311 temperature ( $\theta_l = \theta - (L_v q_l)(c_p \pi)^{-1}$ ) to define LES updrafts and downdrafts.  $L_v$  is the latent heat  
312 of vaporization,  $c_{pd}$  is the specific heat of dry air at constant pressure,  $\pi$  is the Exner function,  
313 and subscripts are  $v$  for vapor,  $l$  for liquid. We define the normalized variable to be  $\varphi' = \frac{\varphi - \bar{\varphi}}{\sigma_\varphi}$ ,  
314 where  $\bar{\varphi}$  is the slab mean and  $\sigma_\varphi$  is the standard deviation of  $\varphi$ . By carefully investigating the  
315 joint PDFs, we define updrafts to be the LES grid-points that conform to the following conditions:  
316  $w' > 1$ ,  $q'_t > 0$ , and either  $\theta'_l < 0$  or  $\theta'_v > 0$ . We define downdrafts to be  $w' < 0$ ,  $q'_t < -1$ , and  
317  $\theta'_l > 0$ . Specifically, this definition of downdrafts captures the negative tail in the joint normal  
318 PDF. Figure 1 shows the joint normal PDF for DYCOMS at a normalized height close to the  
319 cloud-top ( $z/z_i = 0.97$ ). A strong negative tail is observed in Figure 1A, where  $w' < 0$  and  $q'_t < -1$ .  
320 We also confirm that grid-points satisfying these criteria correspond well with negatively buoyant  
321 ( $\theta'_v < 0$ ) parcels that are warmer in terms of the liquid water potential temperature ( $\theta'_l > 0$ ). While

322 the definitions of updraft and downdraft used here are not as rigorous as in Chinita et al. (2017);  
323 Davini et al. (2017); Brient et al. (2019), we find that the overall properties are consistent with  
324 their study.

325 The mean downdraft and updraft properties are shown in Figure 2 for DYCOMS and Figure 3 for  
326 CGILS. Updraft and downdraft areas are comparable in the middle of the PBL (Figure 2A & 3A),  
327 with updrafts decreasing near cloud-top and downdrafts decreasing before reaching the surface.  
328 Figure 2B & C and Figure 3B & C show partial contributions to the total heat and moisture fluxes  
329 from the environment, updrafts, and downdrafts. Similar results are found in both STBL cases:  
330 cloud-top entrainment heat flux is largely from updrafts; the peak in downdraft heat and moisture  
331 transport is slightly below the peak in updrafts ( $\approx 100$  m lower); heat and moisture transport from  
332 downdrafts is stronger than updrafts in cloudy region; environmental mean of  $w$ ,  $\theta_l$ ,  $\theta_v$ ,  $q_l$ , and  $q_l$  is  
333 very close to the grid mean. Both cases have similar updraft and downdraft properties: downdrafts  
334 terminate before reaching the surface (Figure 2A & Figure 3A); updraft and downdraft vertical  
335 velocity are approximately a mirror image of each other (Figure 2D & Figure 3D); downdrafts  
336 become negatively buoyant ( $\theta'_v < 0$ ) slightly below cloud-top (Figure 2F & Figure 3F); updrafts  
337 correspond to thicker cloud regions and downdrafts are co-located with cloud holes (Figure 2H  
338 & Figure 3H). Since the peak in downdraft heat and moisture transport is slightly below the peak  
339 in updraft, the choice of starting downdrafts randomly between cloud-top and half way through  
340 cloud-base is consistent with the findings in LES.

341 The properties shown in these two STBL cases compare well to the case in Brient et al. (2019),  
342 where the First ISCCP Regional Experiment (FIRE) study was simulated for 24 h to study the  
343 diurnal cycle of coherent updraft and downdraft properties. Specifically, the nighttime results of  
344 Brient et al. (2019) show that the areas of updrafts and downdrafts are comparable in the middle of  
345 the PBL (around 12%) and the downdraft area decreases quickly to zero below 100 m, which cor-

346 responds well with our findings for DYCOMS. CGILS results show a slightly smaller downdraft  
347 area in the middle of the PBL (around 9%). The turbulent heat flux in Brient et al. (2019) shows  
348 that the transport of heat by updrafts is the strongest at cloud-top, the peak of the downdraft heat  
349 transport is slightly below that for the updrafts ( $\approx 50$  m lower), and the heat transport by updrafts  
350 in cloudy region is nearly zero when downdrafts dominate. This corresponds well with DYCOMS,  
351 while updrafts in CGILS have a slightly positive heat transport in the cloudy region. As for the  
352 turbulent moisture flux, Brient et al. (2019) shows that updrafts dominate from the surface up to  
353 slightly above cloud base, while downdrafts dominate in the cloud layer. Moisture flux is similar  
354 in DYCOMS and CGILS, but our results show a positive peak of updraft moisture flux near cloud-  
355 top, making the updraft contribution to the moisture flux a dominating term around cloud-top.  
356 Chinita et al. (2017) shows large differences in the contribution of updrafts and downdrafts to total  
357 flux for DYCOMS in the cloud layer. In general, they find that updrafts account for most of the  
358 organized motions near the surface, while downdrafts are more important near the boundary layer  
359 top. While the overall properties are similar, updraft and downdraft areas in Chinita et al. (2017)  
360 are 5 to 10 % larger.

### 361 *b. WRF single column model*

362 DYCOMS and CGILS case are simulated using the Weather Research and Forecasting (WRF)  
363 v4.0 single column model (SCM) and compared against LES. Initial conditions and forcing are  
364 identical to that in LES (i.e., fixed surface fluxes for DYCOMS and CGILS, large-scale subsidence  
365 as in Table 1) and was used previously in Ghonima et al. (2017). The SCM vertical domain  
366 includes 116 levels to resolve the lowest 12 km of the troposphere, which comes out to be  $\Delta z \approx 20$   
367 m in the first 1 km. A simulation time step of 40 s is used. In Section 4c, we show that results  
368 are insensitive when the time step is decreased. Three different versions of one PBL scheme

369 are used to determine the importance of the introduced changes: 1) the original Mellor-Yamada-  
370 Nakanishi-Niino scheme (MYNN; hereinafter ED) (Nakanishi and Niino 2006, 2009), 2) MYNN  
371 with updrafts (EDMF<sub>U</sub>), and 3) MYNN with updrafts and downdrafts (EDMF<sub>UD</sub>). For EDMF<sub>U</sub>  
372 and EDMF<sub>UD</sub>, the MYNN scheme is used as a parameterization of local transport in the non-  
373 convective environment. The radiation scheme is RRTMG (Iacono et al. 2008). No microphysics  
374 or cumulus schemes are used since both cases represent non-precipitating STBL.

## 375 4. Results

### 376 a. DYCOMS-II RF01

377 Figure 4 shows the mean fields of  $\theta_l$ ,  $q_t$ ,  $q_l$ ,  $u$ ,  $n$ , heat flux ( $\rho c_p \overline{w' \theta_l'}$ ), and moisture flux  
378 ( $\rho L_v \overline{w' q_t'}$ ). Figure 5 shows the time series of liquid water path (LWP), boundary layer averaged  
379 heat ( $\theta_l$ ), and moisture ( $q_t$ ) for the three tested PBL schemes and LES. ED has a cold and moist  
380 bias in the PBL (Figure 5B and C), resulting in an overestimation of LWP for the entire simulation.  
381 The underestimation of entrainment flux is likely the cause of this behavior as ED fails to model  
382 heat and moisture transport between the free-troposphere and the PBL (Figure 4G & H). More-  
383 over, ED does not have a transition in horizontal wind between the PBL and the free troposphere,  
384 indicating that ED does not capture the momentum transport properly (Figure 4E & F). EDMF<sub>U</sub>  
385 has a weaker cold and moist bias, and the bias in LWP is minimal during hour 3 to 4. However,  
386 inversion base height is slightly lower than ED. This is a result of updrafts overshooting into the  
387 free troposphere in the early time of the simulation, mixing out the initial inversion base height.  
388 EDMF<sub>UD</sub> has a much smaller bias in boundary layer averaged heat and moisture and has a more  
389 well-mixed profile in  $q_t$  than EDMF<sub>U</sub>. Inversion base height is also slightly lower in EDMF<sub>UD</sub>.  
390 Both EDMF<sub>U</sub> and EDMF<sub>UD</sub> capture the entrainment heat and moisture flux well. Among the three

391 tested PBL schemes,  $EDMF_U$  has the best match in horizontal wind in the PBL, and  $EDMF_{UD}$   
392 overestimates  $u$  but underestimates  $v$  in the PBL.

393 Figures 6 and 7 show the vertical flux contribution from the individual components: environment  
394 (ED), updraft, and downdraft. Figure 6 is for  $EDMF_U$ , which includes only ED and updraft. Note  
395 that LES transport in 6A & D includes LES environmental and downdraft transport because in  
396 the case of updrafts only, the remaining area is considered to be the environment and should  
397 therefore be modeled by ED. Updraft contribution to the heat flux matches the profile in LES  
398 well, however it is overestimated in most of PBL and the cloud-top entrainment heat flux is too  
399 strong. It is important to note that cloud-top entrainment is not fully understood even in LES.  
400 We find here that even though entrainment heat flux appears to be strong, boundary layer averaged  
401 temperature in  $EDMF_U$  is still too cold compared to LES (Figure 5B). However,  $EDMF_U$  produces  
402 a warmer boundary layer compared to ED, which strongly underestimates entrainment heat flux.  
403 Updraft contribution to the moisture flux is overestimated throughout the PBL, but ED component  
404 is underestimated and the total moisture flux matches LES well. The initial updraft starting  $\theta_l$  and  
405  $q_l$  are stronger than LES (not shown) and eventually leads to overestimation of moisture flux. This  
406 indicates that the formulation of updraft surface condition in STBL may be different from shallow  
407 convection since we retain the same updraft starting condition used in Suselj et al. (2019a). In  
408 shallow convection, surface fluxes are the main driver for updraft surface conditions. Whether  
409 other physical processes are at play in the parameterization of updraft surface conditions in STBL  
410 should be investigated in the future. We find that in the current configuration, ED compensates  
411 for the overestimation of updraft moisture flux, resulting in a good match with LES in the total  
412 moisture flux.

413 Based on 800 additional simulations, exploring the parameter space, with different lateral en-  
414 trainment rates and dynamical effects (varying  $L_0$  and  $c_{ent}$  in Eq. 10 from and 10 to 100 m 0.5 to

415  $5 \text{ m}^{-1}$ , as well as varying  $z_{00}$  in Eq. 8 from 50 to 200 m; not shown), we observe that the most  
416 important impact of the updraft is the transport near cloud-top because ED models an insufficient  
417 heat and moisture transport in this location, causing a cold and moist bias. Additionally, ED does  
418 not accurately represent a well-mixed layer, while  $\text{EDMF}_U$  has a better well-mixed profile in both  
419  $\theta_l$  and  $q_t$ . The final configuration was chosen to have the best match in the mean field of  $\theta_l$ ,  $q_t$ ,  
420 and total heat and moisture transport with LES.

421 For  $\text{EDMF}_{UD}$ , Figure 7 shows partial contributions to the total transport from ED, updrafts,  
422 and downdrafts. Comparing Figures 6 and 7, we argue that the downdraft transport is implicitly  
423 included in the ED contribution in  $\text{EDMF}_U$  (Figure 6A & D) as the sum of heat and moisture  
424 transport for  $\text{EDMF}_U$  versus  $\text{EDMF}_{UD}$  is similar. Averaged plume properties from  $\text{EDMF}_{UD}$   
425 are shown in Figure 8. For downdraft contribution to total fluxes,  $\text{EDMF}_{UD}$  underestimates the  
426 strength in heat and moisture flux. More specifically, downdraft heat transport decreases too quickly  
427 before reaching the surface (Figure 7C). For moisture transport, downdraft  $q_t$  also decrease quickly,  
428 and the starting downdraft  $q_t$  is underestimated (Figure 8C). Updraft contribution to heat transport  
429 (Figure 7B) is similar to that in  $\text{EDMF}_U$ , and they both slightly overestimate compared to LES in  
430 terms. This can be seen in the overestimation of updraft area and vertical velocity (Figure 8A, B),  
431 and is a result of the positive bias in updraft starting surface conditions, especially updraft start-  
432 ing vertical velocity. For updraft moisture transport, updrafts in  $\text{EDMF}_{UD}$  do not overestimate as  
433 strongly as  $\text{EDMF}_U$ . This is likely due to downdrafts transporting dry and warm air in the PBL  
434 and causing updrafts to mix differently. On top of that, the mean fields of  $\theta_l$  and  $q_t$  are different  
435 in  $\text{EDMF}_U$  and  $\text{EDMF}_{UD}$ . Note that since the definition of updrafts and downdrafts in LES is  
436 somewhat arbitrary, the total transport should be the main indicator of success for a parameteriza-  
437 tion. Nevertheless, the definition of updrafts and downdrafts as in Section 3 is a reference point  
438 for bench-marking updraft and downdraft parameterizations. Overall, general agreement of plume

439 properties are found between the SCMs and LES. For DYCOMS, downdraft transport decreases  
440 too quickly for both heat and moisture. We find that modeling downdraft transport in the upper  
441 part of the boundary layer correctly is more important than retaining downdraft throughout the  
442 PBL. The mean fields respond more to changes in turbulent transport in the upper part of the PBL.  
443 Indeed, the  $q_t$  profile is most well-mixed in EDMF<sub>UD</sub>, signaling the importance of downdraft  
444 moisture transport. This is consistent with the hypothesis in Suselj et al. (2013), suggesting that  
445 the inclusion of downdrafts could increase vertical mixing in the upper part of the boundary layer.  
446 In STBL, mixing from the surface provides moisture and entrainment from the free troposphere  
447 dries the boundary layer. However, in the heat profile, both the surface and entrainment from the  
448 free troposphere heats the boundary layer. We find here that downdrafts help provide stronger  
449 moisture mixing near cloud-top and keep the bias in total moisture low. In addition, EDMF<sub>UD</sub> has  
450 the least bias in boundary layer averaged  $\theta_l$ , as downdrafts also contribute to transporting warm air  
451 in the PBL.

452 Downdraft model coefficients and final lateral entrainment configuration are chosen to have the  
453 best match against LES in the mean field of  $\theta_l$ ,  $q_t$ ,  $u$ , and  $v$ . EDMF<sub>U</sub> and EDMF<sub>UD</sub> have the same  
454 updraft lateral entrainment configuration.

455 Comparing EDMF<sub>U</sub> with SCM results from Suselj et al. (2013), a resemblance of the updraft  
456 transport of heat and moisture is found. The formulations of updrafts are identical except for the  
457 added entrainment and dynamical pressure effect near cloud-top in EDMF<sub>U</sub>. It is no surprise that  
458 some differences are seen, given the different assumptions made in ED. Specifically, the vertical  
459 transport in the middle of the boundary layer is different in the two models. While EDMF<sub>U</sub> shows  
460 positive transport from updraft in the cloudy region for heat, the updraft model in Suselj et al.  
461 (2013) shows a negative heat transport. For moisture, EDMF<sub>U</sub> produces stronger transport. This  
462 is likely due to the added entrainment dynamic effect in our updraft model, different subgrid cloud

463 assumption, and different ED model for the non-convective environment. In the end, the total heat  
464 and moisture transport is similar between the two models as ED compensates for the difference,  
465 and they both match LES well.

466 Comparing EDMF<sub>UD</sub> with SCM results from Han and Bretherton (2019), we found contrary  
467 conclusions for the effect of the downdraft parameterization. While Han and Bretherton (2019)  
468 found a slight overprediction for  $\theta_l$  and overmixing for  $q_t$  in their DYCOMS experiment, we found  
469 slight underprediction for  $\theta_l$  and undermixing for  $q_t$ .

#### 470 *b. CGILS S12 Control*

471 Figure 9 shows the mean fields of  $\theta_l$ ,  $q_t$ ,  $q_l$ ,  $u$ ,  $n$ , heat flux ( $\rho c_p \overline{w' \theta_l'}$ ), and moisture flux ( $\rho L_v \overline{w' q_l'}$ )  
472 during hr 3 to 4, and the 24 h time series of liquid water path (LWP), boundary layer averaged heat  
473 ( $\theta_l$ ), and moisture ( $q_t$ ) for the three tested PBL schemes are shown in Figure 10. ED shows a  
474 strong cold and moist bias throughout the entire simulation. For EDMF<sub>U</sub>, boundary averaged  
475 heat and moisture both follow LES closely up to hr 10, then the moisture does not increase as  
476 much as in LES. Around hr 15, EDMF<sub>U</sub> begins to cool when compared to LES. This is likely  
477 a result of different radiation treatment used in LES and WRF. For EDMF<sub>UD</sub>, similar trend is  
478 observed. Boundary layer averaged heat is warmer and moisture is direr than EDMF<sub>U</sub>. Both  
479 EDMF<sub>U</sub> and EDMF<sub>UD</sub> match LWP in LES well. EDMF<sub>UD</sub> produces a slightly thinner cloud in  
480 the first half of the simulation, while EDMF<sub>U</sub> produces a slightly thicker cloud in the second half  
481 of the simulation.

482 During hr 3 to 4, EDMF<sub>U</sub> and EDMF<sub>UD</sub> show small bias in heat and moisture profile, whereas  
483 ED is too cold and too moist. This causes the overestimation of LWP in ED. The cloud-top height  
484 in EDMF<sub>UD</sub> is one grid point above ED, likely due to the stronger entrainment flux near cloud-top  
485 from mass-flux. EDMF<sub>UD</sub> overestimates  $u$  and underestimates  $v$  in the PBL. ED shows similar re-



486 sults as DYCOMS, where the horizontal wind does not have a strong transition between the PBL  
487 and the free troposphere.  $EDMF_U$  shows a very good match in total heat and moisture transport,  
488 while  $EDMF_{UD}$  has a slightly stronger moisture transport near cloud-top. Similar to DYCOMS,  
489 ED does not capture cloud-top entrainment flux. Figures 11 and 12 show the vertical flux con-  
490 tribution from each individual component: environment (ED), updrafts, and downdrafts. In both  
491  $EDMF_U$  and  $EDMF_{UD}$ , updraft heat and moisture transport are overestimated. However, in the  
492 presence of downdrafts, updraft moisture transport decreases more strongly in-cloud. Downdrafts  
493 in  $EDMF_{UD}$  partially compensate these changes, resulting in a similar total transport. Averaged  
494 plume properties from  $EDMF_{UD}$  are shown in Figure 13. In CGILS, good agreement of plume  
495 properties are found between the SCMs and LES. Again, we find that simulation results are more  
496 sensitive to the modeling of downdraft transport in the upper part of the PBL. In the end, we select  
497 model parameters that result in good mean field of  $\theta_l$ ,  $q_l$ ,  $u$ , and  $v$  for both DYCOMS and CGILS.  
498 While downdrafts terminate too quickly in DYCOMS, we find that they mostly reach the surface  
499 in CGILS.

500 In the present study, we develop our updraft and downdraft parameterization using their noc-  
501 turnal properties. The 24 h simulation of CGILS suggests that updrafts and downdrafts may play  
502 different roles during the day time. This is also observed in the study done by Brient et al. (2019).  
503 Parameterization of updrafts and downdrafts during the day should be investigated in the future.

### 504 *c. Simulation time step and run-time*

505 We test the simulation with different time steps: 5, 10, 20, 30, and 40 s as shown in Fig 14.  
506 Results suggest that both  $EDMF_U$  and  $EDMF_{UD}$  are not sensitive to time step. LWP, and boundary  
507 layer averaged heat and moisture all converge to the same value at the end of the simulation. The  
508 figures shown in this study use a time step of 40 s. Additionally, we record simulation run time

509 normalized by ED for different time steps in Table 2. On average, including updrafts slows the  
510 simulation by 5%, while including both updrafts and downdrafts slows the simulation by 7%.

## 511 **5. Summary and conclusions**

512 In this study, we investigated the role of non-local transport on the development and mainte-  
513 nance of the STBL in coarse-resolution atmospheric models. A special emphasis has been put  
514 on the evaluation of the downdraft contribution, recently suggested as an important missing ele-  
515 ment of convection/turbulence parameterizations (Chinita et al. 2017; Davini et al. 2017; Brient  
516 et al. 2019) and implemented in a different atmospheric model that uses different eddy-diffusivity  
517 and mass-flux models (Han and Bretherton 2019). A new parameterization of cloud-top triggered  
518 downdrafts has been proposed and validated, along with a complementary parameterization of  
519 surface-driven updrafts, against large-eddy simulations of two marine stratocumulus cases: DY-  
520 COMS and CGILS. The applied non-local mass-flux scheme is part of the stochastic multi-plume  
521 EDMF approach decomposing the turbulence into the local and non-local contributions. The local  
522 transport in the boundary layer is represented by the MYNN scheme. The EDMF scheme has been  
523 implemented in the WRF single-column modeling framework.

524 The thermodynamic and dynamic properties of downdrafts are governed by stochastic lateral  
525 entrainment and the difference from the mean properties of the environment. The number of  
526 downdrafts is fixed to 10 for a time step of 40 s, and all downdrafts are assumed to start randomly  
527 in upper half of the cloud layer, with a starting area of approximately 15%. The strength of the  
528 downdraft vertical velocity is formulated as a combined effect of the intensity of the surface-driven  
529 updrafts and cloud-top radiative cooling. The starting downdraft thermodynamic properties are  
530 proportional to the entrainment flux, which is determined by the jump values of heat or moisture  
531 across the inversion.

532 To evaluate the importance of the updraft and downdraft contributions, we run three different  
533 SCM simulations for each case: without mass flux (ED), with updrafts only (EDMF<sub>U</sub>), and with  
534 both updrafts and downdrafts (EDMF<sub>UD</sub>). When there is no mass-flux (neither updraft nor down-  
535 draft), ED underestimates the cloud-top entrainment flux, resulting in a cold and moist bias that  
536 leads to a strong overestimation of LWP. Including updrafts increases the cloud-top entrainment  
537 flux and keeps the mean profile more well-mixed and warmer and drier. We find that including  
538 downdrafts increases vertical mixing in the upper part of the boundary layer especially in  $q_t$ , and  
539 it results in warmer and drier PBL than EDMF<sub>U</sub>. Overall, the parameterization reproduces the  
540 LES profiles because of the addition of downdraft heat and moisture transport in the WRF SCM.  
541 However, we find that differences in EDMF<sub>U</sub> and EDMF<sub>UD</sub> are not significant.

542 Based on the two STBL cases, we conclude that it is necessary to include updrafts as part of the  
543 non-local mass-flux as ED does not capture the cloud-top entrainment flux. The addition of down-  
544 drafts shows some improvements in these two cases. However, further investigations are needed  
545 to determine whether downdrafts play greater roles in different meteorological conditions. We  
546 hypothesize that ED would have a better match with LES when there is less cloud-top entrainment  
547 (e.g., when the PBL is less turbulent), and that the inclusion of downdrafts would be necessary  
548 when surface fluxes are small. A recent study by Matheou and Teixeira (2019) performed var-  
549 ious LES of STBL with different physical and numerical model parameters and concluded that  
550 surface fluxes, surface shear, and cloud-top radiative cooling all contribute substantially to the tur-  
551 bulence in STBL. Whether the EDMF parameterization responds similarly in such conditions will  
552 be investigated in the future.

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560 the modifications made in this paper can be found at [https://github.com/elynnwu/EDMF\\_JPL](https://github.com/elynnwu/EDMF_JPL).

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 742  $\Delta x, y$ , vertical grid spacing at the inversion  $\Delta z_{inv}[m]$ , horizontal domain size  $L_{x,y}$ , and divergence of large-scale  
 743 winds  $D$ .

Case	$\Delta x, y[m]$	$\Delta z_{inv}[m]$	$z_{inv}$	$L_{x,y}[m]$	$L_z[m]$	$D[s^{-1}]$
DYCOMS-II RF01	35	5	837	3,360	1568	$3.75 \times 10^{-6}$
CGILS S12 Control	25	5	677	2,400	1572	$1.68 \times 10^{-6}$

TABLE 2.  $\text{EDMF}_U$  and  $\text{EDMF}_{UD}$  run time normalized by ED using different time steps.

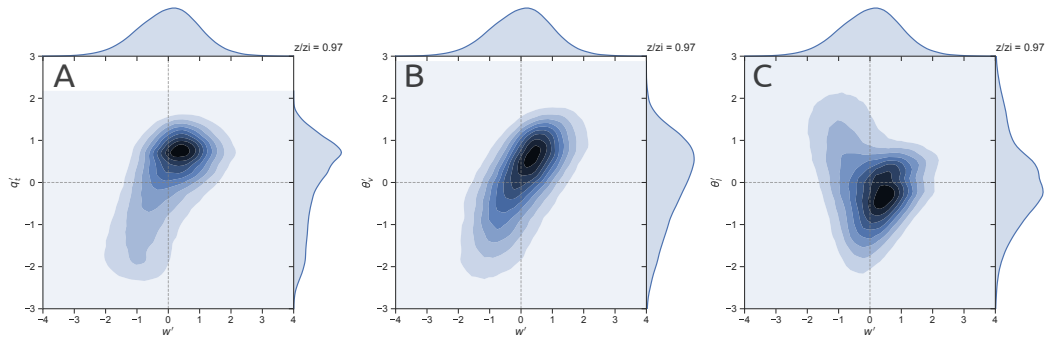
Time step [s]	5	10	20	30	40	Avg
$\text{EDMF}_U$	1.07	1.01	1.02	1.11	1.04	1.05
$\text{EDMF}_{UD}$	1.10	1.01	1.04	1.10	1.08	1.07

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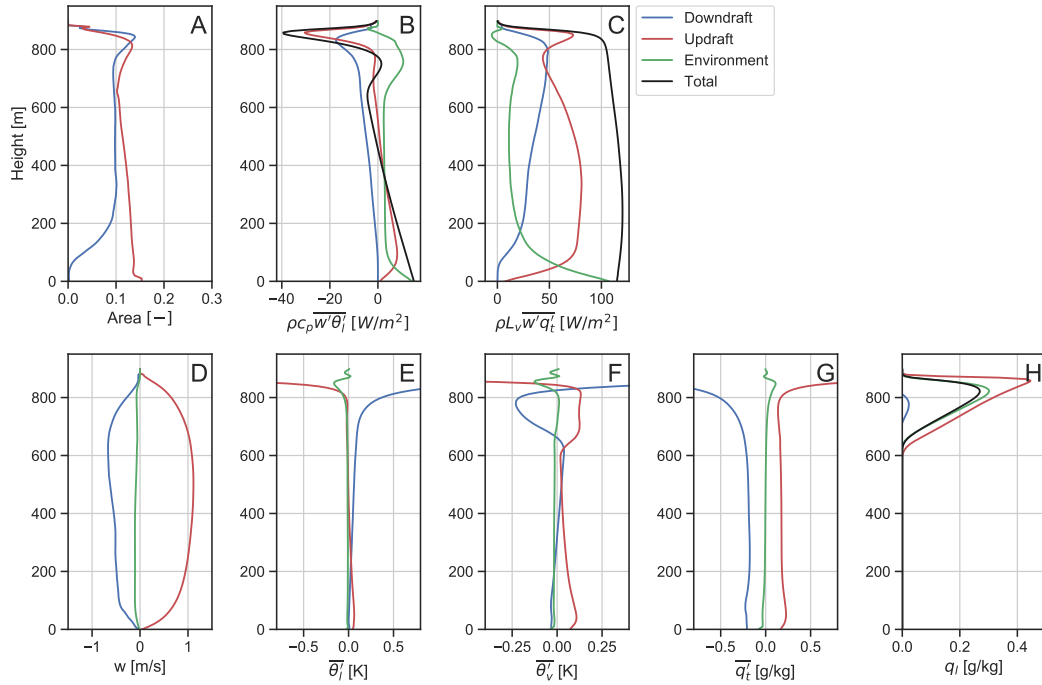
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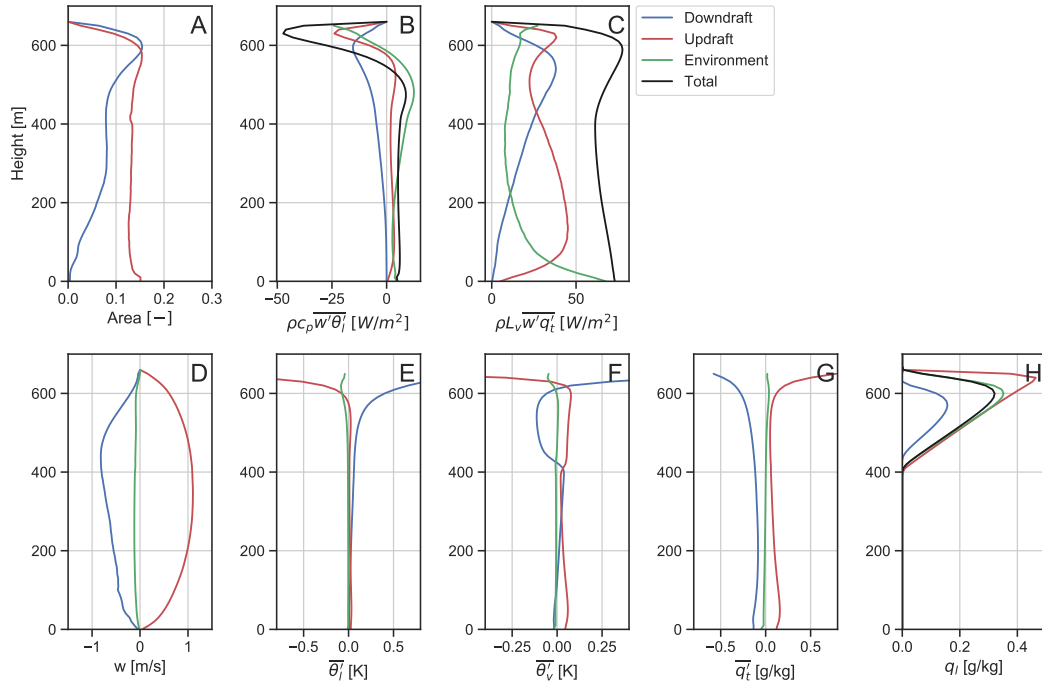
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793	<b>Fig. 14.</b> Simulation results using different time step in EDMF <sub>U</sub> and EDMF <sub>UD</sub> for both DYCOMS and CGILS. . . . .	54
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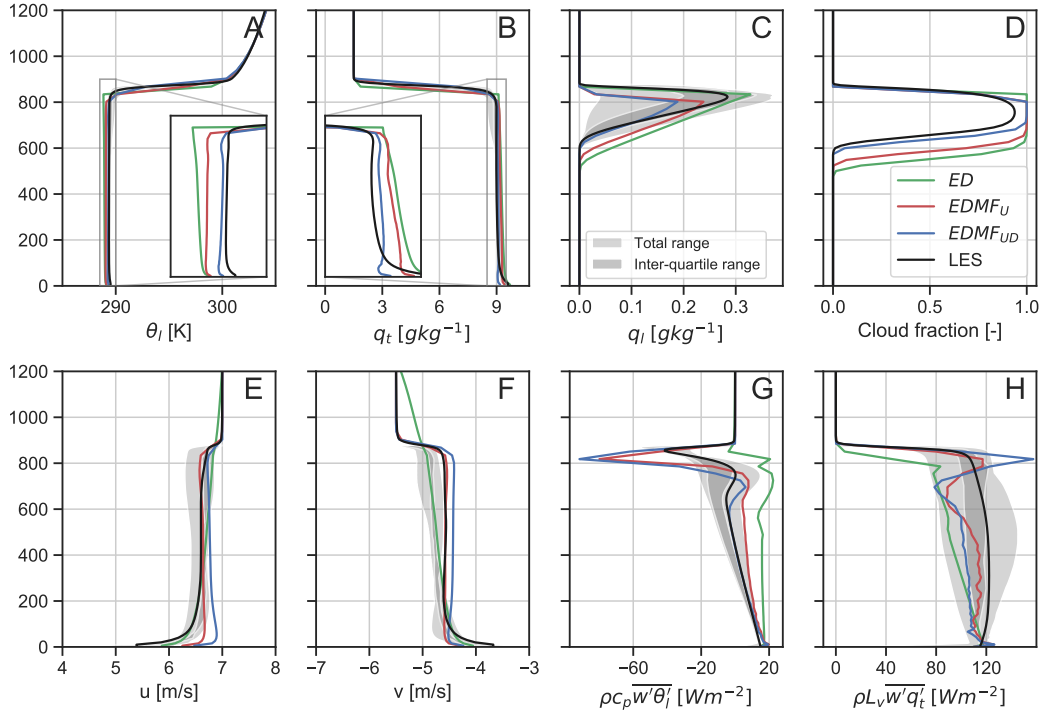
795 FIG. 1. Joint probability density function (PDF) of normalized vertical velocity fluctuations  $w'$  and (A) nor-  
 796 malized total mixing ratio fluctuations  $q'_i$ , (B) normalized virtual potential temperature fluctuations  $\theta'_v$ , and (C)  
 797 normalized liquid water potential temperature fluctuations  $\theta'_l$  near cloud-top ( $z/z_i = 0.97$ ). Each tick represents  
 798 one standard deviation away from the mean. LES results from hour 3-4 are shown.



799 FIG. 2. DYCOMS case: Updraft and downdraft area (A), vertical velocity (D), difference from mean liq-  
 800 uid water potential temperature (E), virtual potential temperature (F), total water mixing ratio (G), and actual  
 801 liquid water mixing ratio (H). (B) and (C) show the contribution to total heat and moisture flux from updrafts,  
 802 downdrafts, and the environment. For this and all following figures, WRF results from hour 3-4 are shown.



803 FIG. 3. CGILS case: Updraft and downdraft area (A), vertical velocity (D), difference from mean liquid water  
 804 potential temperature (E), virtual potential temperature (F), total water mixing ratio (G), and actual liquid water  
 805 mixing ratio (H). (B) and (C) show the contribution to total heat and moisture flux from updrafts, downdrafts,  
 806 and the environment.



807 FIG. 4. DYCOMS case: WRF SCM hour 3-4 averaged results for mean field of liquid water potential tem-  
 808 perature (A), total water mixing ratio (B), liquid water mixing ratio (C), cloud fraction (D), zonal wind (E),  
 809 meridional wind (F), total heat flux (E), and total moisture flux (H). The black line is the result from UCLA-  
 810 LES, while the shaded range is from an LES inter-comparison study Stevens et al. (2005). Note that gird-mean  
 811 liquid water mixing ratio is calculated using a statistical partial condensation (*bl\_mynn\_cloudpdf* = 1), the  
 812 condensation routine is called at the end of PBL scheme after mixing from both ED and MF are completed.

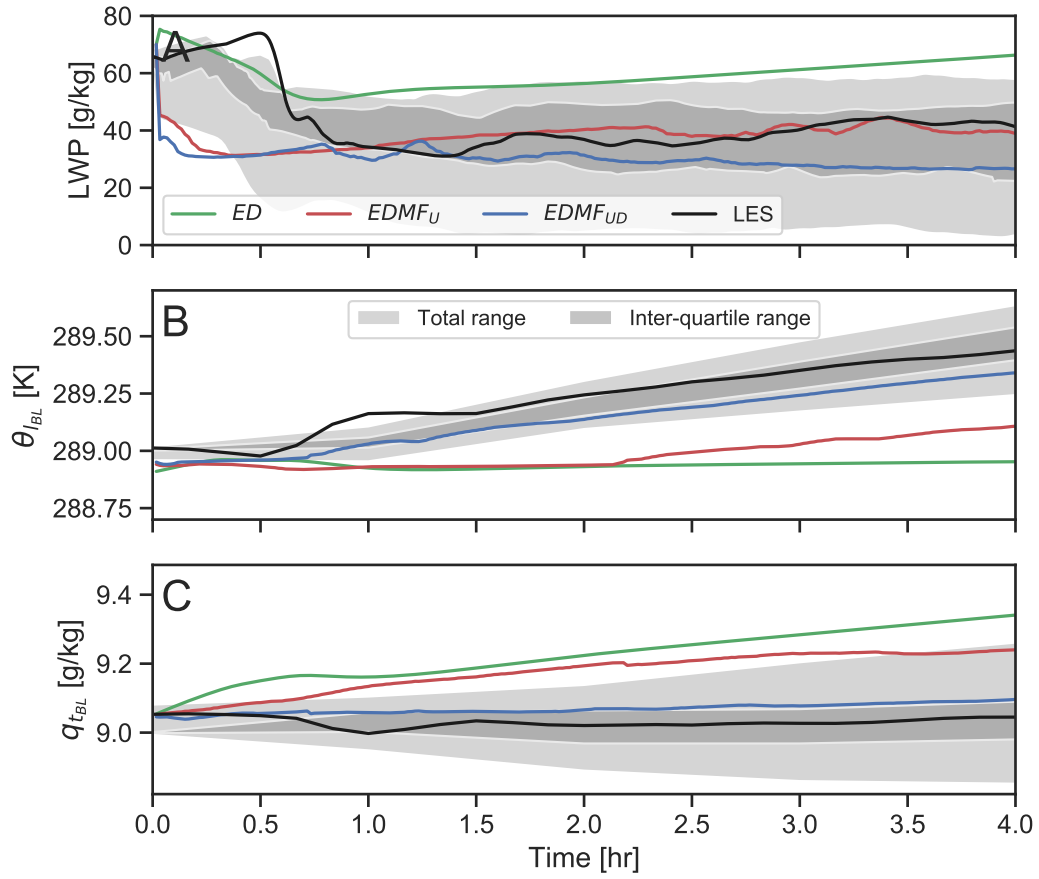
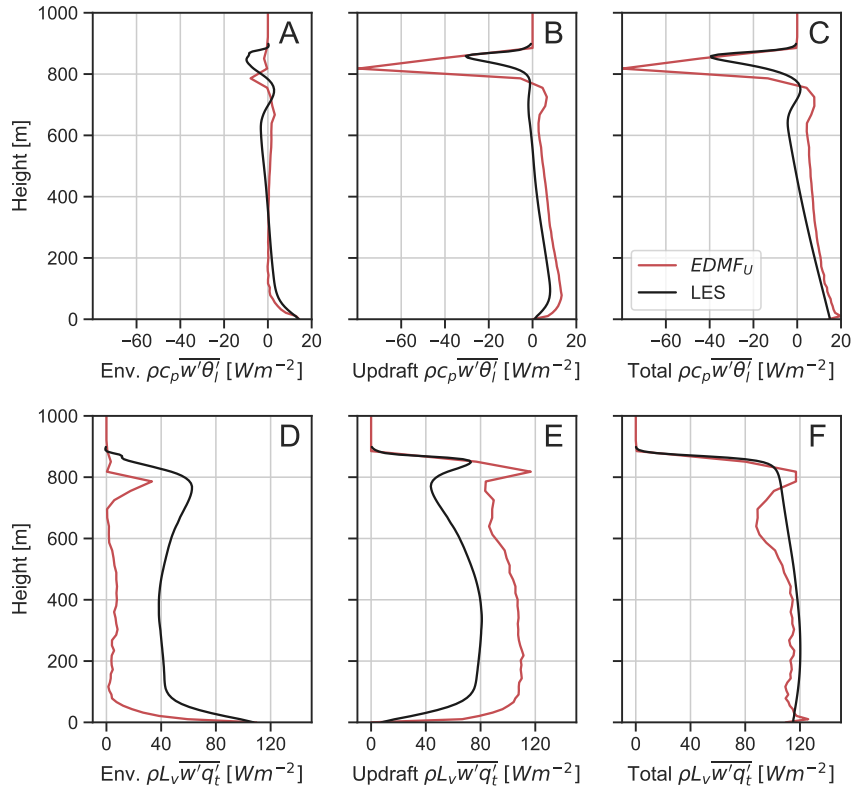
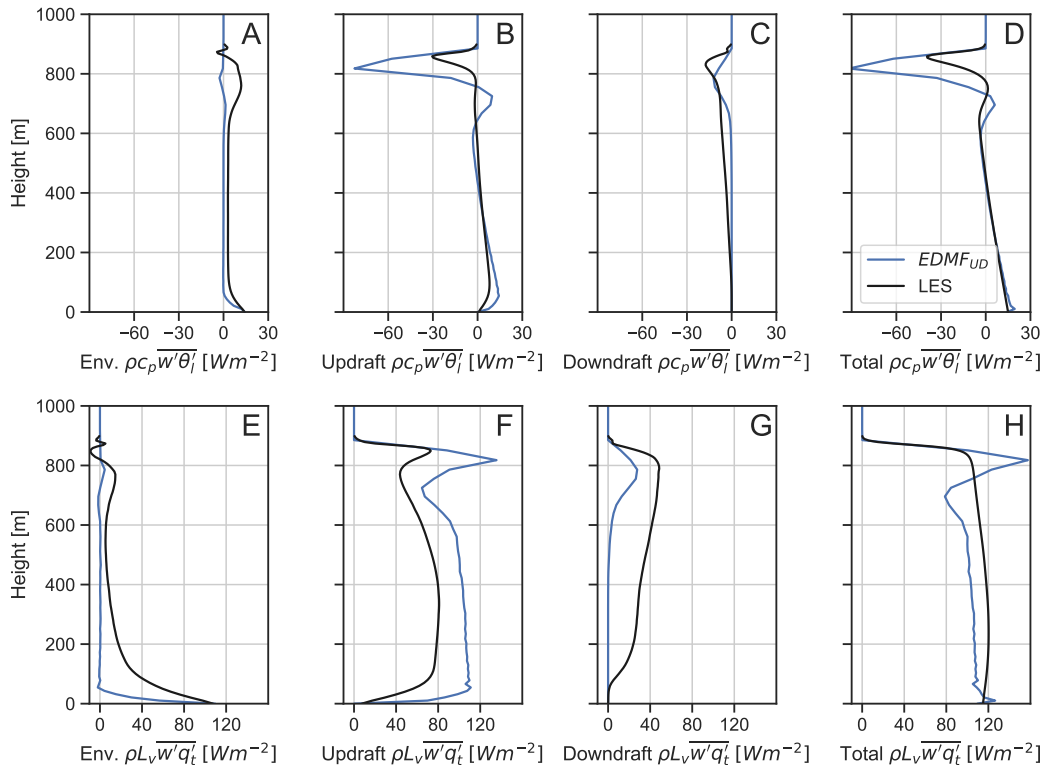


FIG. 5. DYCOMS case: time series of liquid water path, boundary layer averaged heat ( $\theta_t$ ), and moisture ( $q_t$ ).

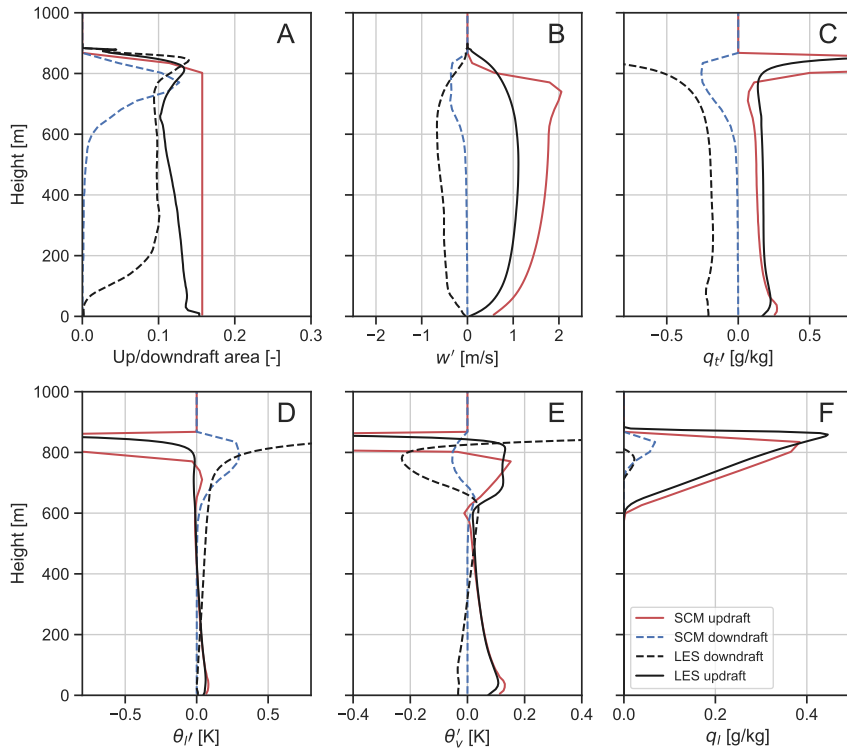


813 FIG. 6. DYCOMS case: WRF SCM heat and moisture flux contribution from eddy diffusivity (A and D),  
 814 updraft mass flux (B and E), and total flux (C and F).

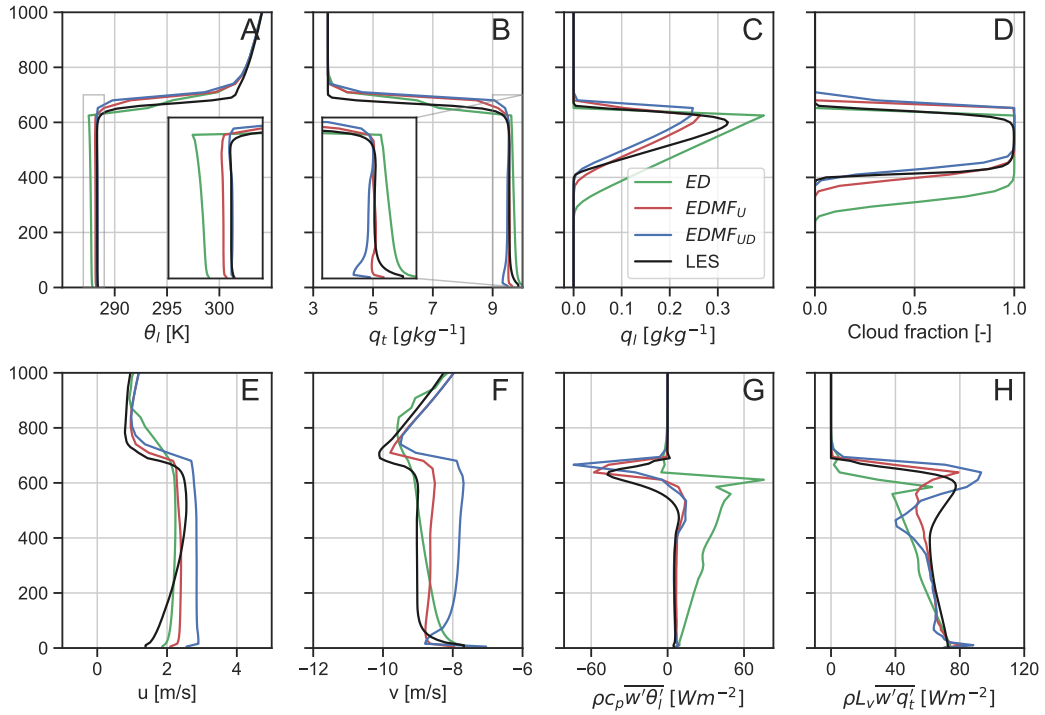


815 FIG. 7. DYCOMS case: WRF SCM heat and moisture flux contribution from eddy diffusivity (A and E),  
 816 updraft mass flux (B and F), downdraft mass flux (C and G), and total flux (D and H).





817 FIG. 8. DYCOMS case: WRF EDMF<sub>UD</sub> plume properties of (A) area, (B) vertical velocity perturbations, (C)  
 818 total water mixing ratio perturbations, (D) liquid water potential temperature perturbations, (E) virtual potential  
 819 temperature perturbations, and (F) liquid water content for both updraft (red solid) and downdraft (blue dashed).  
 820 LES results as in Fig 2 are in solid dark (updraft) and dashed dark (downdraft) line.



821 FIG. 9. CGILS case: WRF SCM hour 3-4 averaged results for mean field of liquid water potential temperature  
 822 (A), total water mixing ratio (B), liquid water mixing ratio (C), cloud fraction (D), zonal wind (E), meridional  
 823 wind (F), total heat flux (E), and total moisture flux (H).

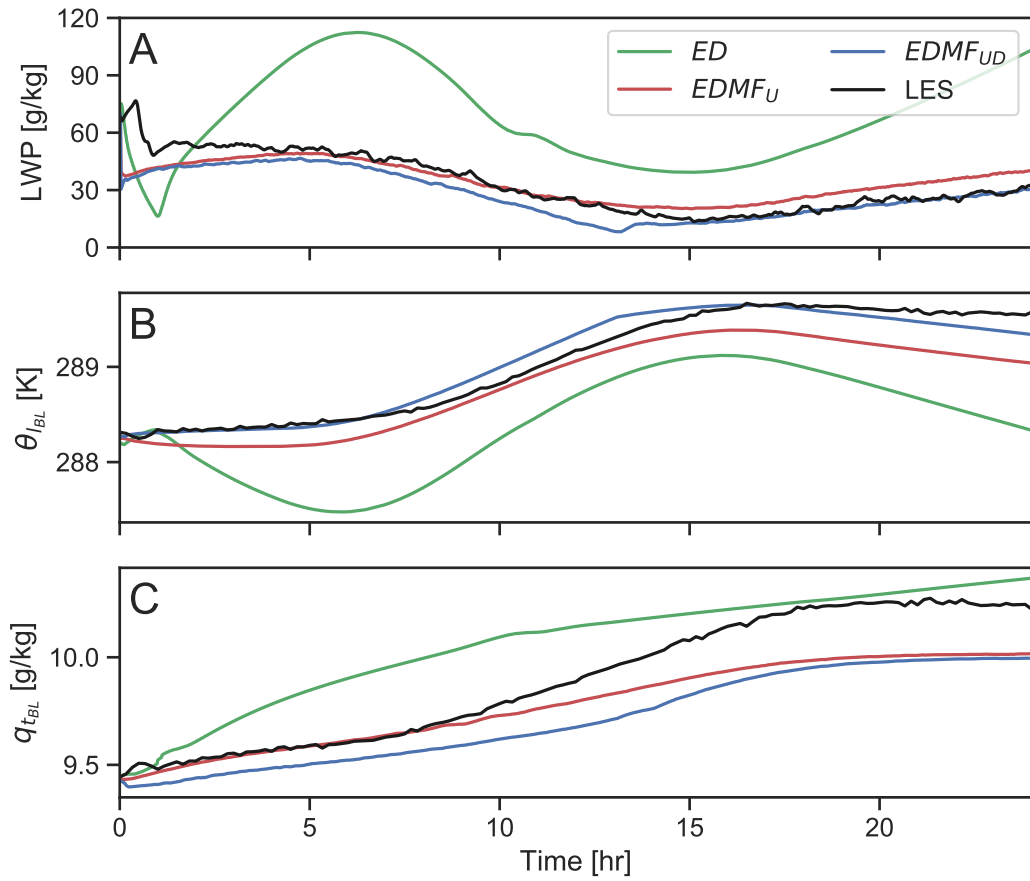
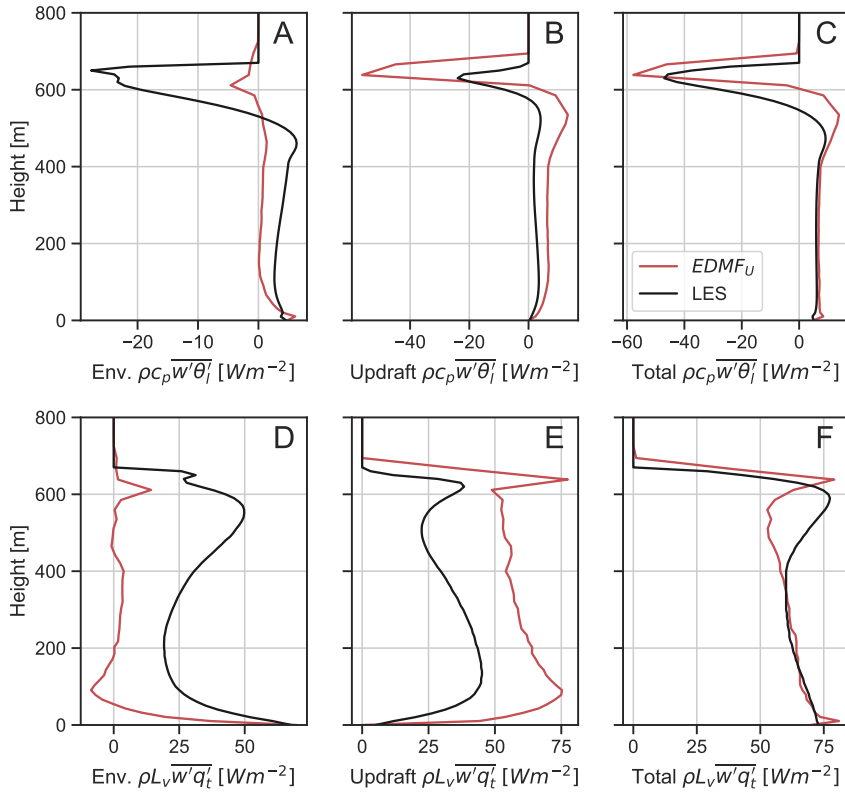
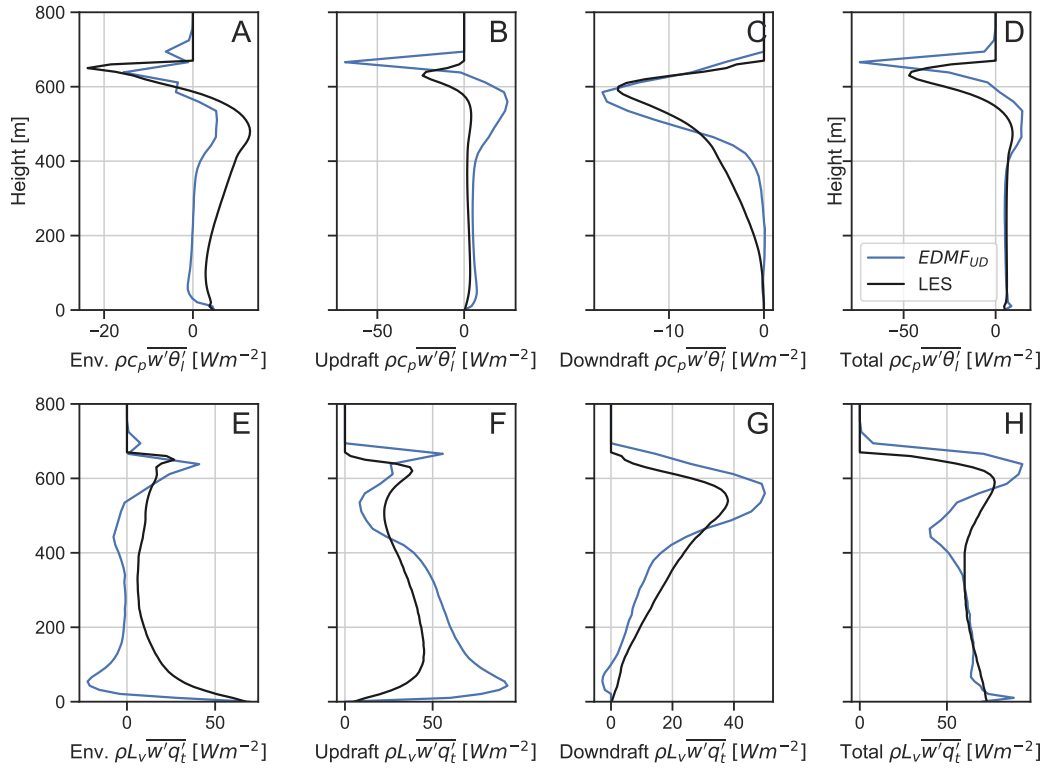


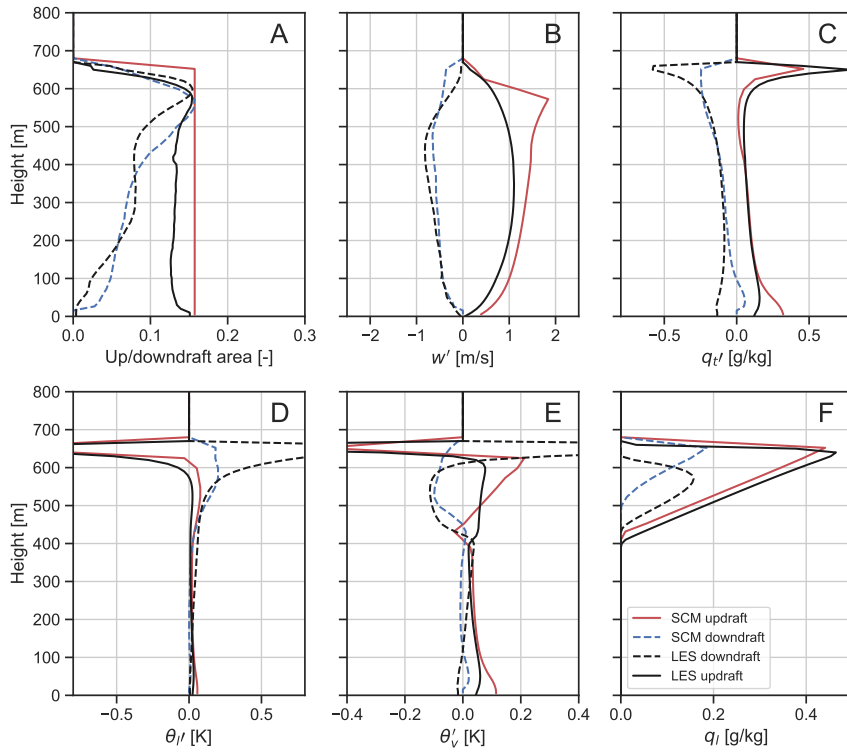
FIG. 10. CGILS case: time series of liquid water path, boundary layer averaged heat ( $\theta_t$ ), and moisture ( $q_t$ ).



824 FIG. 11. CGILS case: WRF SCM heat and moisture flux contribution from eddy diffusivity (A and D), updraft  
 825 mass flux (B and E), and total flux (C and F).



826 FIG. 12. CGILS case: WRF SCM heat and moisture flux from individual component– eddy diffusivity (A and  
 827 E), updraft mass flux (B and F), downdraft mass flux (C and G), and total flux (D and H).



828 FIG. 13. CGILS case: WRF EDMF<sub>UD</sub> plume properties of (A) area, (B) vertical velocity perturbations, (C)  
 829 total water mixing ratio perturbations, (D) liquid water potential temperature perturbations, (E) virtual potential  
 830 temperature perturbations, and (F) liquid water content for both updraft (red solid) and downdraft (blue dashed).  
 831 LES results as in Fig 3 are in solid dark (updraft) and dashed dark (downdraft) line.

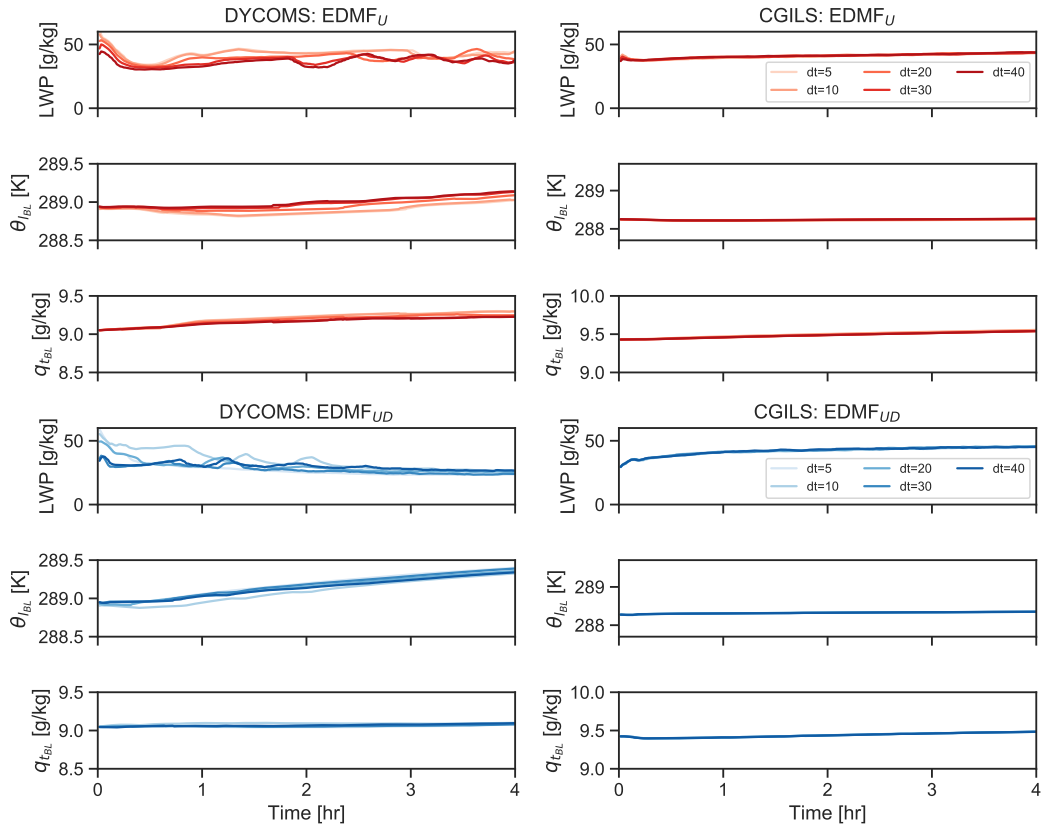


FIG. 14. Simulation results using different time step in EDMF<sub>U</sub> and EDMF<sub>UD</sub> for both DYCOMS and CGILS.