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# Screening of bimetallic electrocatalysts for water purification with machine learning

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Electrocatalysis provides a potential solution to  $\text{NO}_3^-$  pollution in wastewater by converting it to innocuous  $\text{N}_2$  gas. However, materials with excellent catalytic activity are typically limited to expensive precious metals, hindering their commercial viability. In response to this challenge, we have conducted the most extensive computational search to date for electrocatalysts that can facilitate  $\text{NO}_3^-$  reduction reaction, starting with 59,390 candidate bimetallic alloys from the Materials Project and Automatic-Flow databases. Using a joint machine learning- and computation-based screening strategy, we evaluated our candidates based on corrosion resistance, catalytic activity,  $\text{N}_2$  selectivity, cost, and synthesizability. We found that only 20 materials will satisfy all criteria in our screening strategy, all of which containing varying amounts of Cu. Our proposed list of candidates is consistent with previous materials investigated in the literature, with the exception of Cu-Co and Cu-Ag based compounds which merit further investigation.

## I. INTRODUCTION

Ammonia production in large-scale agricultural processes came with the unforeseen consequences of an excess of nitrate ( $\text{NO}_3^-$ ) by-product<sup>1-3</sup>. This results in  $\text{NO}_3^-$  runoff being one of the leading sources of surface and groundwater pollution. When left untreated,  $\text{NO}_3^-$  pollution can lead to significant damage to the surrounding ecosystem via eutrophication and have dire consequences for human health, such as methemoglobinemia in infants<sup>4</sup>. The removal of anthropogenic  $\text{NO}_3^-$  pollutants is essential to closing the nitrogen cycle in order to avoid further damage to human health and the environment.

Several methods exist to either remove  $\text{NO}_3^-$ , such as reverse osmosis<sup>5</sup>, oxo-anion adsorption<sup>6</sup>, and ion exchange<sup>7</sup>; or transform it into more benign by-products via chemical  $\text{NO}_3^-$  reduction<sup>8-10</sup> and biological degradation<sup>11-13</sup>. Although these technologies can be highly effective, with  $\text{NO}_3^-$  removal rates of up to 90%, each carries notable drawbacks. For example, chemical treatments require constant replenishment of reactants such as aluminum or hydrogen, reverse osmosis has high capital and operation costs (especially if  $\text{NO}_3^-$  is the only pollutant of concern), and biological degradation processes may not perform well in colder climates and produce biological waste products that require further treatment. The most widely used process, ion exchange, requires periodic regeneration, which consumes significant chemical inputs and generates a highly concentrated brine waste that must be disposed or treated<sup>14</sup>. Both reverse osmosis and ion-exchange only serve to remove and store  $\text{NO}_3^-$  in temporary waste reservoirs that require further processing<sup>15</sup>.

Electrocatalysis provides an alternative solution towards

removing  $\text{NO}_3^-$  through electrochemical reduction reaction ( $\text{NO}_3^-$ -RR)<sup>16</sup>. Unlike in ion exchange, a catalyst does not require regeneration. Furthermore,  $\text{NO}_3^-$ -RR transforms  $\text{NO}_3^-$  into benign by-products that stem from the available reaction pathways rather than storing it in excess brine solutions or biological wastes, reducing the cost of subsequent water treatments. Factors such as the catalyst, applied potential, acidity, and reducing agent can be adjusted to control the selectivity and activity<sup>17,18</sup>. For example, Rh has higher activity for  $\text{NO}_3^-$ -RR when compared to other platinum group metals<sup>19</sup>. Meanwhile, the use of NaCl and  $\text{Na}_2\text{SO}_4$  solutions as the electrolyte for a Pt catalyst demonstrated increased efficiency for  $\text{NO}_3^-$  removal. The NaCl solution, in particular, demonstrated an increase in selectivity towards  $\text{N}_2$ , a desired by-product in water purification that safely dissipates back into the air<sup>20</sup>.

Despite the clear advantages, a major concern in the economic viability of electrocatalytic  $\text{NO}_3^-$ -RR lies in the cost of the catalyst. The most commonly used electrocatalysts are rare and expensive metals such as palladium and platinum and their alloys, which have demonstrated excellent activity for electrochemical  $\text{NO}_3^-$ -RR in water<sup>18</sup>. The use of precious metals drastically increases the material cost of electrocatalysis with the recent costs (as of April 30 2021) of Pt and Pd ranging up to \$38,694/kg and \$79,855/kg, respectively<sup>21</sup>. The search for catalysts composed of cheap earth-abundant metals with high activity and selectivity is therefore crucial for the commercial viability of electrocatalytic  $\text{NO}_3^-$ -RR.

Computational screening can potentially be used to identify catalytically and commercially viable materials. Recently, computational screening has grown in popularity as a quick and efficient tool for identifying heterogeneous catalysts based on a series of criteria used to screen desired

properties<sup>22–26</sup>. Singh *et al.*<sup>22</sup> for example were able to identify 52 potential photocatalysts for CO<sub>2</sub> reduction using simple thermodynamic and electronic properties. However, the high computational cost renders the explicit assessment of catalytic activity and selectivity in candidate materials impractical in these strategies.

Typically these properties are calculated using microkinetic modelling in conjunction with first-principles calculation methods, such as density functional theory (DFT)<sup>27–30</sup>. Using the reaction rates of elementary steps (i.e., activation and reaction energies,  $E_{act}$  and  $E_{rxn}$ ), microkinetic models can determine the activity and selectivity of material surfaces<sup>31</sup>. Typically  $E_{act}$  and  $E_{rxn}$  are calculated with the expensive nudged elastic band (NEB) method. However, Brønsted-Evans-Polanyi (BEP) relations demonstrate that  $E_{act}$  and  $E_{rxn}$  can linearly scale as a function of the adsorption energies ( $E_{ads}$ ) of intermediates which can be determined with relatively inexpensive DFT calculations. This scaling relationship can be exploited to construct activity and selectivity models described by  $E_{ads}$  instead of  $E_{act}$  and  $E_{rxn}$ . Previous screening strategies have used such models to incorporate activity and selectivity criteria<sup>23,24,26</sup> to identify viable catalysts.

Recently, Liu *et al.*<sup>32</sup> were able to construct such a model for the activity of NO<sub>3</sub><sup>-</sup>RR and by-product selectivity as a function of the adsorption energies of O and N ( $E_{ads}^{O*}$  and  $E_{ads}^{N*}$ ). Although the model was constructed using the limited adsorption energies of elemental transition metal surfaces, the predictive capabilities of these descriptors were extended to screen several binary intermetallics with a Pt-Ru based compound demonstrating excellent activity towards NO<sub>3</sub><sup>-</sup>RR. A subsequent joint experimental and computational study by Wang *et al.*<sup>33</sup> validated the catalytic activity for Pt doped with varying concentrations of Ru with Pt<sub>0.78</sub>Ru<sub>0.22</sub> exhibiting activity for NO<sub>3</sub><sup>-</sup>RR on par with Rh.

These models can potentially be used to perform large data-driven screening of materials with the hope of identifying cheap earth-abundant electrocatalysts. However, the computational cost of  $E_{ads}^{O*}$  and  $E_{ads}^{N*}$  using DFT is still impractical given the number of materials, facets, and surface-adsorbate configurations that exist, the combination of which would require millions of DFT calculations. A predictive model for  $E_{ads}$  is necessary if such a large-scale screening exercise is feasible. Recently, the Ulissi group in conjunction with Facebook AI Research, implemented the Open Catalyst Project<sup>34</sup> (OCP), a framework developed with the purpose of using machine learning (ML) to construct such models. The OCP dataset contains over 872,000 adsorption energies calculated with DFT across 55 adsorbates and 27,775 inorganic materials. Combining this training dataset with state-of-the-art graph neural network (GNN)<sup>35</sup> models allowed for the construction of predictive ML models generalized across any adsorbate and material surface with mean absolute errors (MAE) as low as 0.3 eV. The model is then able to determine the adsorption energy based on the initial unrelaxed geometries of an adsorbed slab. The small MAE and generality of these models can be used to screen the activity and selectivity of large material data sets based on the ML values for  $E_{ads}^{O*}$  and  $E_{ads}^{N*}$ .

In this manuscript, we present a data-driven screening framework to accelerate our search for earth-abundant electrocatalysts for NO<sub>3</sub><sup>-</sup>RR. We assessed the technical and commercial viability of materials based on its resistance to corrosion, activity for NO<sub>3</sub><sup>-</sup>RR, N<sub>2</sub>-selectivity, material cost<sup>15</sup>, and thermodynamic stability. We began our search by screening all symmetrically distinct binary transition metal alloys from the Materials Project (MP)<sup>36</sup> and Automatic-Flow (AFLOW)<sup>37</sup> databases which yielded 59,390 materials. By leveraging the ML models for  $E_{ads}$  developed in the OCP and the models for activity and selectivity developed by Liu *et al.*<sup>32</sup> we quickly and inexpensively estimated the catalytic capabilities of these materials. Through a series of progressive criteria, our screening pipeline has revealed 20 materials that satisfied all the requirements for commercial viability. Furthermore, we were able to qualitatively demonstrate that the compositional selection of catalytically active materials from our screening pipeline is consistent with previous experimental and computational observations.

## II. APPROACH

### A. Slab Generation

We described all surfaces considered in this work with a slab model containing an atomic and vacuum layer of 8 Å and 20 Å thick, respectively. We considered the surfaces of 12 randomly selected and symmetrically distinct Miller index (hkl) planes with a maximum index of 3. We expanded our search to all (hkl) planes with a maximum index of 4 when investigating the final set of candidate materials. We excluded slabs that exceeded 250 atoms to avoid subsequent intense usage of computational resources. To avoid periodic interactions between the monatomic adsorbates, all slabs were expanded along the length and width to at least 8 Å. We then identified the adsorption sites for monatomic O and N on the surfaces using the method described by Montoya and Persson<sup>38</sup>.

### B. DFT Calculation Parameters

All DFT energy calculations were performed using the Vienna Ab initio Simulation Package (VASP)<sup>39,40</sup> within the projector augmented wave (PAW)<sup>41</sup> approach. The exchange-correlation effects were modeled using the Perdew-Berke-Ernzerhof (PBE) generalized gradient approximation (GGA)<sup>42</sup> functional to be consistent with the work performed by Liu *et al.*<sup>32</sup>. All slab and bulk calculations were performed without spin-polarization except when Fe, Ni, and Co were present. The external electrons were expanded in plane waves with kinetic energy cut-offs of 400 eV. The energies and atomic forces of all calculations were converged to within  $1 \times 10^{-4}$  eV and 0.02 eV Å<sup>-1</sup>, respectively. The Methfessel-Paxton method<sup>43</sup> was chosen as the smearing algorithm. We used  $\Gamma$ -centered  $k$ -point meshes of  $\frac{35}{a} \times \frac{35}{b} \times \frac{35}{c}$  and  $\frac{35}{a} \times \frac{35}{b} \times 1$  for bulk and slab calculations, respectively, with non-integer values rounded up to the nearest integer.

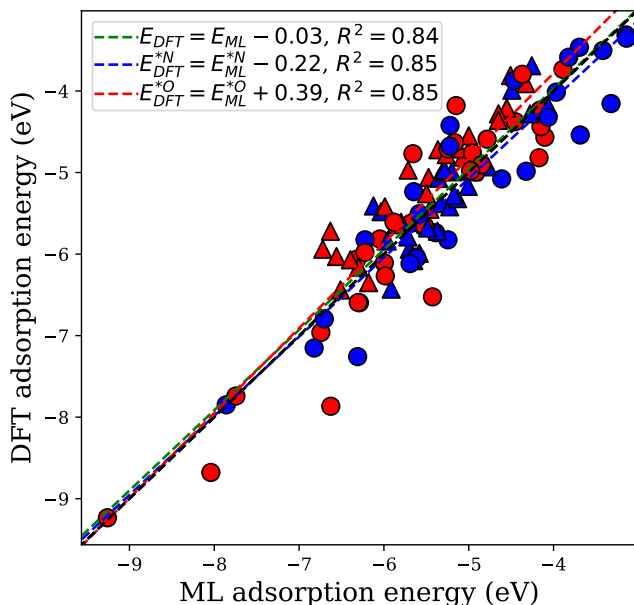


FIG. 1. Plot of  $E_{ads}^{*N}$  and  $E_{ads}^{*O}$  (blue and red respectively) with DPP machine learning results on the x-axis and corresponding DFT PBE-GGA results on the y-axis with triangular and circular data points from Liu *et al.*<sup>32</sup> and this work respectively. Fitted lines for  $E_{ads}^{*N}$ ,  $E_{ads}^{*O}$ , and all data points are given in the blue, red, and green dashed lines, respectively.

In all DFT calculations and ML predictions of  $E_{ads}^{*O}$  and  $E_{ads}^{*N}$ , we used the total energy of an isolated O and N atom respectively in a  $16 \times 16 \times 16 \text{ \AA}^3$  box as the adsorbate reference energy.

All VASP input generation, slab modelling, adsorbate placement, thermodynamic, and cost analysis were performed using the Python Materials Genomics (pymatgen) package<sup>38,44,45</sup>.

### C. Validation of Machine Learning and DFT

The OCP allows us to predict the relaxed adsorption energies of O and N from the initial structure of any intermetallic slab (IS2RE). Consolidating the IS2RE model with existing adsorption energy-based activity and selectivity maps allows us to efficiently infer the catalytic capabilities of large material datasets without the need of any DFT calculations. In this work, we adapted a modified implementation of the Directional Message Passing Neural Network (DimeNet++)<sup>59,60</sup> model. DimeNet improves upon GNN models by accounting for directional information in triplets of atoms via bond angles and interatomic distances. The improvements developed by Klicpera *et al.*<sup>59</sup> modifies to the hidden layers of the neural network to improve upon the runtime speeds and accuracy of DimeNet. Under the OCP, we adapted the DimeNet++ model for slabs by introducing a periodic boundary condition when constructing the graph. We considered all interatomic inter-

actions within a cutoff radius of  $6 \text{ \AA}$  which under 256 hidden channels will yielded 1.8 million parameters. For a complete list of all other hyperparameters, we direct the reader to Table S4 from the reference herein<sup>34</sup>. We used this GNN model to predict  $E_{ads}^{*O}$  and  $E_{ads}^{*N}$ .

The training dataset from OCP is composed of adsorption energies calculated using the revised PBE (rPBE) functional which is in contrast to the activity and selectivity maps built using the PBE functional<sup>32</sup>. We trained and validated the machine learning model with a subset of the Open Catalyst 2020 (OC20) dataset with a train/validation split of 183,075/9,888<sup>34</sup>, and then tested using PBE data from this work to be consistent with Liu *et al.*<sup>32</sup>. Our train/validation subset of the OC20 dataset contained 202 metallic, 1,829 binary and 2,030 ternary intermetallic crystals queried from the Materials Project<sup>36</sup> with 82 different adsorbates (see Chanusot *et al.*<sup>34</sup>). The adsorption energy dataset was constructed by randomly sampling low-Miller-index facets from the available intermetallics and adsorbates.

For our test set, we used DFT to calculate 59 additional data points for  $E_{ads}^{*N}$  and  $E_{ads}^{*O}$  of 30 Pourbaix stable compounds at randomly chosen facets with a maximum Miller index of 3. We also included the 52 data points from Liu *et al.*<sup>32</sup> for the binary intermetallics with Miller indices of (310) and (211) for the body and face centered cubic crystals respectively. Figure 1 plots the DFT calculated data points against the corresponding ML quantities with triangular data points obtained from Liu *et al.*<sup>32</sup>. The  $R^2$  of the test set is 0.85, indicating strong linear correlation between the DFT and ML quantities. The test set MAE is 0.35 eV, which is consistent with the MAE of 0.3 eV obtained from the validation set. Both the linear fit for  $E_{ads}^{*N}$  and  $E_{ads}^{*O}$  demonstrate a slope close to unity with the ML quantities for  $E_{ads}^{*O}$  consistently underestimating the DFT calculated quantities by 0.39 eV while the ML quantities for  $E_{ads}^{*N}$  overestimates by 0.22 eV. The difference in functionals as well as the inherent MAE of 0.3 eV in the DimeNet++ model are possibly responsible for the offset of 0.39 eV in the ML values of  $E_{ads}^{*O}$ . This is in contrast to previous reports of the rPBE functional overestimating PBE by 0.1 eV in regards to adsorption energy<sup>61</sup>. Many of the materials and Miller indices sampled in the test set were out-of-domain from the training and validation sets. The strong linear correlation and consistent MAE with this out-of-domain test set indicates that our model is generalized for any intermetallic material and facet. Since the offset for  $E_{ads}^{*O}$  exceeds the expected MAE, we accounted for any disparity between the ML and DFT quantities by adding 0.39 eV to all ML quantities of  $E_{ads}^{*O}$ .

Next we assess the predictability of the DFT inferred catalytic performance using the computed TOF as our primary metric. Here we qualitatively compared previous experimental trends in catalytic performance across different metals and alloys to the trends in  $\log(\text{TOF})$  observed by Liu *et al.*<sup>32</sup> in their calculations. Figure 2 plots the range of  $\log(\text{TOF})$  inferred from the DFT calculated  $E_{ads}^{*O}$  and  $E_{ads}^{*N}$ <sup>32</sup> for each material explored in the study. We then annotated the corresponding sets of materials with a ranking of catalytic performance using experimental TOF values when available in the literature and the reported nitrate removal rate when not (see refer-

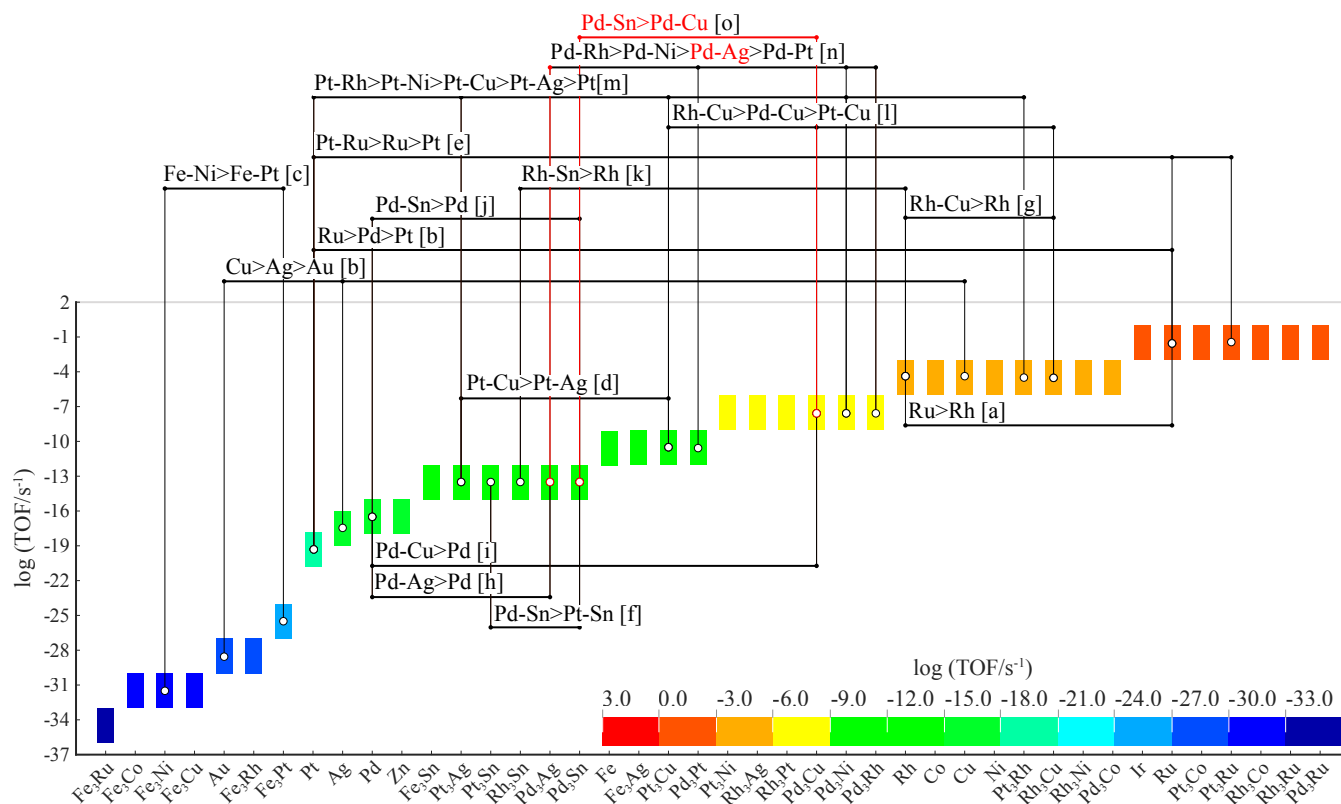


FIG. 2. Plot of the estimated range of TOF obtained from microkinetic modelling by Liu *et al.*<sup>32</sup>. The formula of each alloy considered in the study is organized on the x-axis from lowest to highest range of TOF. Experimental performance trends of different alloys and metals obtained from the available literature are also displayed on the top (see references herein). Groups of alloys with trends qualitatively consistent with the work of Liu *et al.*<sup>32</sup> are labelled in black while alloys with inconsistent trends are labelled in red.

<sup>a</sup> Chen *et al.*<sup>46</sup>, <sup>b</sup> Dima, De Vooyo, and Koper<sup>19</sup>, <sup>c</sup> Valiyeva *et al.*<sup>47</sup>, <sup>d</sup> Hasnat *et al.*<sup>48</sup>, <sup>e</sup> Wang *et al.*<sup>49</sup>, <sup>f</sup> Hamid, Bae, and Lee<sup>50</sup>, <sup>g</sup> Witońska, Karski, and Goluchowska<sup>51</sup>, <sup>h</sup> Liu *et al.*<sup>52</sup>, <sup>i</sup> Maia, Rodrigues, and Passos<sup>53</sup>, <sup>j</sup> Park *et al.*<sup>54</sup>, <sup>k</sup> Siriwatcharapiboon *et al.*<sup>55</sup>, <sup>l</sup> Soares, Órfão, and Pereira<sup>56</sup>, <sup>m</sup> Hasnat *et al.*<sup>48</sup>, <sup>n</sup> Hasnat, Karim, and Machida<sup>57</sup>, <sup>o</sup> Lemaigen *et al.*<sup>58</sup>

ences in 2).

We note our assessment of computational predictability for experimental catalytic performance comes with additional caveats that must be addressed. First, we acknowledged the experimental rankings in Figure 2 were performed under varying conditions (e.g. applied potential, pH, and support materials) derived from varying references. We emphasize that the ranking of different materials presented here are confined within the same experiments and that no comparisons of catalytic performance was done across different references. This will prevent any bias in our ranking that results from the varying experimental conditions while still allowing for a qualitative comparison between experiment and DFT. Second, we emphasize that although the computational results correspond primarily to binary compositions of  $A_3B$ , the experimental results do not necessarily follow the same stoichiometry or crystal structures with some studies focusing on the formation of nanocomposites or doping of metal B. However the implications of the DFT results presented by Liu *et al.*<sup>32</sup> was not to predict the catalytic performance of intermetallics with an exact 3:1 ratio. Instead, the purpose was to assess the performance of candidate materials resulting from the synergy be-

tween two different metals using a standard crystal structure for ease in comparison. This synergy is possible regardless of the stoichiometry investigated by Liu *et al.*<sup>32</sup> as demonstrated subsequently in a joint experimental and computational study of Pt–Ru whereby the same authors identified the optimal stoichiometry to be  $Pt_{78}Ru_{22}$ <sup>49</sup>.

The majority of trends found from past experiments are qualitatively consistent with the trends for  $\log(\text{TOF})$  obtained from Liu *et al.*<sup>32</sup> with the exception of the work performed by Lemaigen *et al.*<sup>58</sup> whereby  $Pd_3Sn$  displayed superior catalytic performance over  $Pd_3Cu$  experimentally while the computational results demonstrate the opposite. Previous experiments performed by Hasnat, Karim, and Machida<sup>57</sup> have also showed that  $Pd_3Pt$  has superior catalytic performance over  $Pd_3Ag$  which is inconsistent with the computed results. Despite the minor discrepancies, the qualitative consistency between the DFT and experimental results for all other trends provides enough confidence to use DFT as a tool for catalyst discovery. We infer by extension, based on the consistency between DFT and ML shown in Figure 1, that the ML model is sufficient enough to predict experimentally verifiable trends in activity and can be used to perform large scale screening of

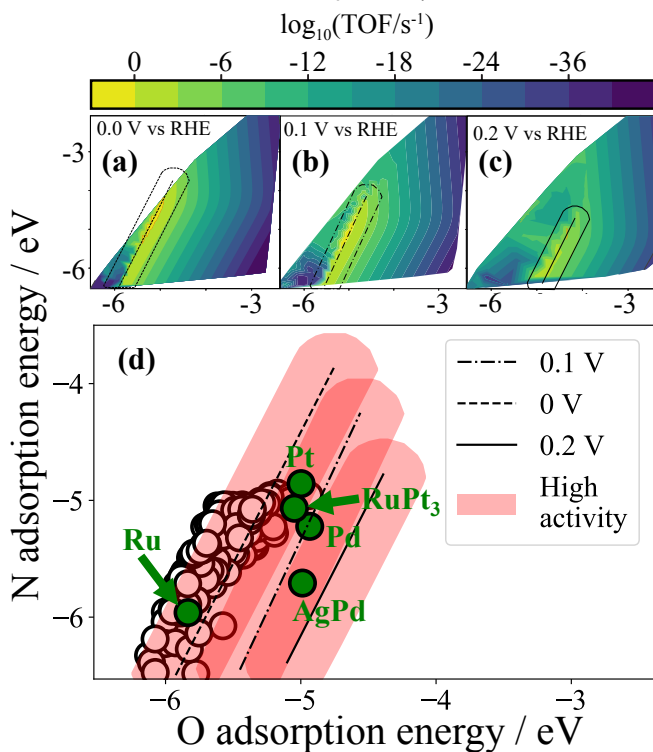


FIG. 3. Theoretical TOF plots as a function of  $E_{ads}^{O^*}$  (x-axis) and  $E_{ads}^{N^*}$  (y-axis) for  $\text{NO}_3^-$  RR derived from Liu *et al.*<sup>32</sup> under 0.0 V (a), 0.1 V (b) and 0.2 V (c) vs RHE. The region of high activity in each TOF plot (black lines) is represented by Equations B1-B3 for (a)-(c) respectively. Activity is simplified to a binary decision map (d) where any surface with a Euclidean distance of 0.3 eV from Equations B1-B3 are considered to have high activity (red area). The corresponding individual areas of high activity are also show in the black oval outline in (a)-(c). ML predicted values for  $E_{ads}^{O^*}$  and  $E_{ads}^{N^*}$  using OC20 for the final 20 candidate materials are plotted in (d) as white circles (see Table III for the list of active facets for each material). ML values for a select number of elemental and intermetallic compounds that exceeded \$500/kg in cost but exhibited excellent activity in the literature are also plotted as green circles.

electrocatalysts.

#### D. Modelling Catalytic Properties

$E_{ads}^{O^*}$  and  $E_{ads}^{N^*}$  can also be used to describe the most probable final by-product of  $\text{NO}_3^-$  RR, i.e., selectivity. We adapted the selectivity maps from Liu *et al.*<sup>32</sup> in Figure 4(a) at 0.0 V, (b) at 0.1 V, and (c) at 0.2 V into a single ternary decision map in Figure 4(d). We specifically highlighted the regions of  $\text{N}_2$  (blue) and  $\text{NH}_3$  (red) selectivity as  $\text{N}_2$  is the desired by-product under the context of water purification, while  $\text{NH}_3$  is desired for its chemical utility. For further details about the derivation of Figures 3 and 4, we direct the reader to the references herein<sup>32,33</sup>.

A model for  $E_{ads}^{O^*}$  and  $E_{ads}^{N^*}$  allows us to leverage the activity maps derived by Liu *et al.*<sup>32</sup> to estimate the turnover

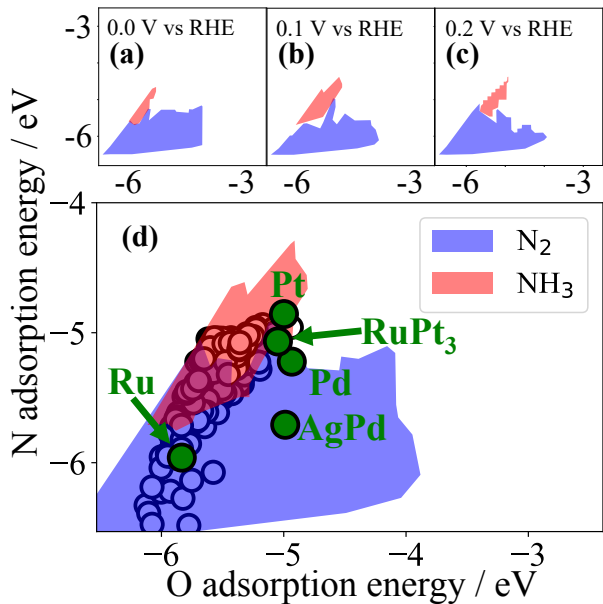


FIG. 4. Binary decision maps for the selectivity of  $\text{N}_2$  (blue) and  $\text{NH}_3$  (red) as a function of  $E_{ads}^{O^*}$  (x-axis) and  $E_{ads}^{N^*}$  (y-axis) derived from Liu *et al.*<sup>32</sup> under 0.0 V (a), 0.1 V (b) and 0.2 V (c) vs RHE. Adsorption energies in overlapping areas of red and blue can select  $\text{NH}_3$  or  $\text{N}_2$  depending on the applied potential. Figure (d) simplifies selectivity by combining (a)-(c) into one overlapping decision map where any data point that falls under it will be considered selective towards  $\text{N}_2$ ,  $\text{NH}_3$ , or both. ML predicted values for  $E_{ads}^{O^*}$  and  $E_{ads}^{N^*}$  using OC20 for the final 20 candidate materials are plotted in (d) as white circles (see Table III for the list of active facets for each material). ML values for a select number of elemental and intermetallic compounds that exceeded \$500/kg in cost but exhibited excellent activity in the literature are also plotted as green circles.

frequency (TOF) of  $\text{NO}_3^-$  RR, the rate of the reaction per surface site (see Figures 3(a)-(c)). Henceforth, we will describe  $\log(\text{TOF}) > -3$  and  $\log(\text{TOF}) < -3$  as high and low activity respectively. We assessed the  $\text{NO}_3^-$  RR activity for each bimetallic surface under the applied potentials of 0.0 V, 0.1 V, and 0.2 V vs. RHE while minding the increase in site competition for hydrogen evolution reactions (HER) at 0.0 V<sup>32</sup>.

### III. RESULTS AND DISCUSSION

Figure 5 summarizes the selection criteria we employed to screen for candidate electrocatalysts. We evaluated all distinct binary intermetallics and ground state elemental crystalline solids reported in the MP and AFLOW databases composed of any combination of the 26 transition metals from Sc to Au, which yielded 59,390 materials (338 binary/unary combinations). Hg and Cd are omitted from the list of metals due to potential toxicity, while Tc is omitted for its radioactivity.

The first criterion describes the Pourbaix stability, i.e., the electrochemical stability of a material in an aqueous environment. We quantify Pourbaix stability using the Pourbaix de-



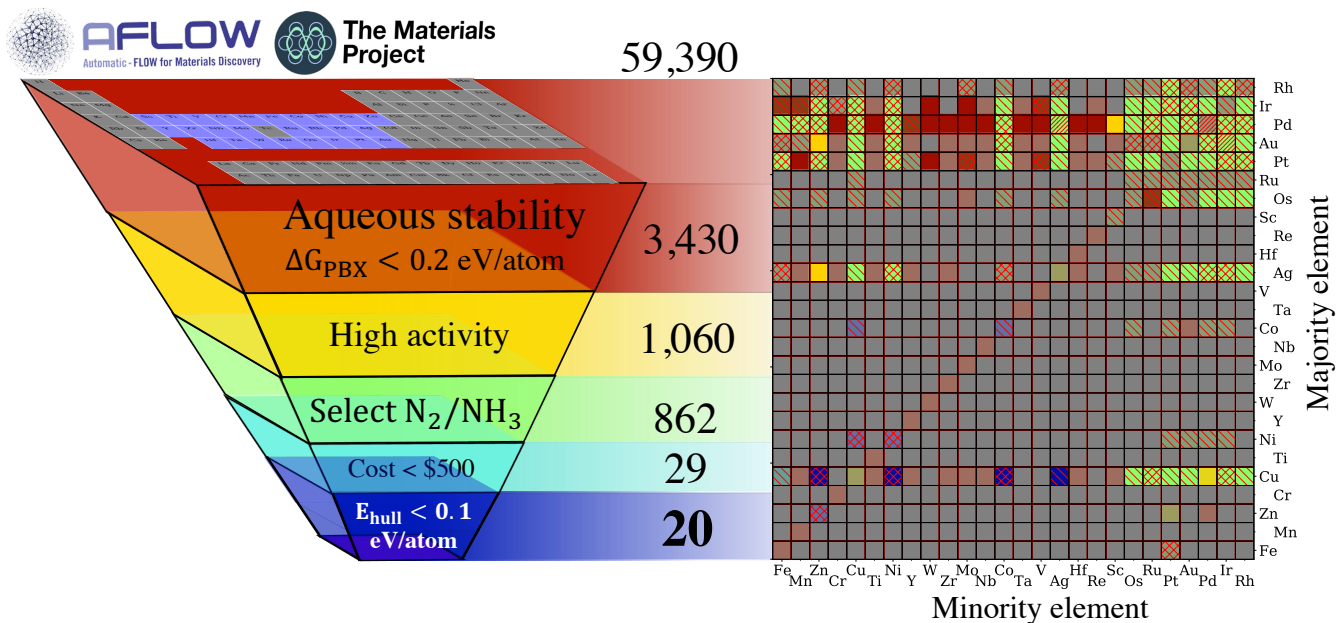


FIG. 5. Selection criteria for  $\text{NO}_3^-$  RR electrocatalysts with the number of distinct materials that satisfy the current and all prior criteria (left). A periodic table above the first criteria indicates the elements (26 transition metals) considered when screening for bimetallic materials in MP and AFLOW. The selection criteria is accompanied by a grid map for each pair of elements with colors indicating the highest criteria satisfied by any material in that pair composition (right). Grid points on the diagonal correspond to elemental compositions. Shaded grid points correspond to compositions with  $0.2 < \Delta G_{PBX} < 0.5 \text{ eV atom}^{-1}$ . Tick labels on the x- and y- axis are sorted from the cheapest (Fe) to the most expensive (Rh) element. Compounds passing the third criteria (selectivity) are designated as selecting  $\text{N}_2$  or both  $\text{N}_2$  and  $\text{NH}_3$  with \ and X hatching, respectively. Elements corresponding to each column (row) in the grid represent minority (majority) species in the compound.

composition energy ( $\Delta G_{PBX}$ ), which is a function of the applied potential (V) and pH of the environment. Materials with  $\Delta G_{PBX} = 0 \text{ eV atom}^{-1}$  are stable under such conditions, while materials with  $\Delta G_{PBX} > 0 \text{ eV atom}^{-1}$  are metastable with the likelihood of corrosion increasing with  $\Delta G_{PBX}$ . It was shown that metastable materials with  $\Delta G_{PBX} < 0.2 \text{ eV atom}^{-1}$  are less likely to dissolve or corrode in experiments<sup>62</sup>. However, materials with  $\Delta G_{PBX}$  as high as  $0.5 \text{ eV atom}^{-1}$  have also been shown to be stable, albeit with many developing passivation layers at the surface, which can inhibit their catalytic capabilities<sup>63</sup>. We allow any material with  $\Delta G_{PBX} < 0.2 \text{ eV atom}^{-1}$  at  $0.0\text{V} < V < 0.2 \text{ V}$  and  $\text{pH}=7$  to satisfy this criterion. Due to the exclusive nature of  $\Delta G_{PBX}$ , only 3,430 or 5.78% of the original 59,390 materials (92 out of 338 binary combinations) will satisfy the first criterion with the chemical space confined to materials with a majority/minority composition of Rh, Ir, Pd, Au, Pt, Ru, Os, Ag or Cu. If we expand our upper limit for  $\Delta G_{PBX}$  to  $0.5 \text{ eV atom}^{-1}$ , we find 6,971 or 11.74% of the materials (209 out of 338 binary/unary combinations) will satisfy the first criterion (transparent grid points in Figure 5), however, these materials are more likely to be subject to surface passivation<sup>63</sup>. This criterion will only account for bulk stability under aqueous conditions instead of surface stability. A more accurate assessment of surface stability under aqueous conditions can be performed with the surface Pourbaix diagram which requires extensive DFT calculations of adsorbed OH,  $\text{H}_2\text{O}$ , O, and H at varying degrees of adsorbate concentration. Bulk Pourbaix stability, although not exact, will at

least provide an estimate of aqueous stability across large sets of materials which to an extent correlates to the relative stability at the surface without the need for expensive adsorption calculations.

The second criterion assesses the activity towards  $\text{NO}_3^-$  RR of the 3,430 materials. A material will satisfy this criterion if any of its surfaces exhibit a data point that lies in the region of high activity shown in Figure 3(d). Using the IS2RE ML model from the OCP framework (see Section II D), we predicted  $E_{ads}^{O^*}$  and  $E_{ads}^{N^*}$  for 12 randomly selected surfaces of each of the 3,430 candidates. From the adsorption energies, we find that 1,060 candidates will satisfy the second criterion (84 binary combinations). Out of the 1,060 materials, 308 materials exhibited high activity at an applied potential of 0 V only, at which  $\text{NO}_3^-$  RR competes with HER. Despite this, we stress that the existence of HER competition does not completely render  $\text{NO}_3^-$  RR inert. In fact, depending on the applied potential,  $\text{H}^+$  can become the dominant product in HER instead of  $\text{H}_2$  which can aid in facilitating  $\text{N}_2$  selectivity<sup>15</sup>.

Similarly, the third criterion assesses the selectivity towards  $\text{N}_2$  of each candidate by plotting the ML values for  $E_{ads}^{O^*}$  and  $E_{ads}^{N^*}$  over the decision map shown in Figure 4(d). We find 862 candidates (67 binary combinations) with data points that lie in the area corresponding to  $\text{N}_2$  selectivity, satisfying this criterion. Because of its chemical utility, Figure 4 also shows the region where selectivity toward  $\text{NH}_3$  is favorable. Of the 862 candidates, 811 can select either  $\text{N}_2$  or  $\text{NH}_3$  depending on

the applied potential and facet, allowing for additional utility beyond water purification, while the remaining 51 candidates exclusively select  $N_2$ .

The fourth criterion assesses the material cost of each compound in \$/kg/mol. To satisfy this criteria, the cost of a compound must be less than \$500 /kg/mol. All metal prices in this study are taken from March 2021<sup>21,64,65</sup>. We note here that the catalytic properties (selectivity and activity), which requires expensive DFT calculations of  $E_{ads}^{*N}$  and  $E_{ads}^{*O}$ , are assessed prior to our cost criterion. The computational efficiency of our selection criteria should therefore account for the cost prior to catalytic properties. However, since our machine learning model allows us to assess  $E_{ads}^{*N}$  and  $E_{ads}^{*O}$  with negligible computational cost, we elected to assess catalytic properties prior to cost in order to identify potential candidates regardless of material cost. As such, we find that an overwhelming majority of viable candidates will have varying concentrations of the top 7 most expensive metals. By confining materials based on cost, we significantly reduce the number of viable candidates from 862 to 29 (4 binary combinations). The remaining binary compounds are Cu-based intermetallics containing Zn, Ni, Co, or Ag. Cu-Fe based components and elemental Zn, Ni, and Co are also viable candidates when the limit for aqueous stability is defined as  $\Delta G_{PBX} < 0.5 \text{ eV atom}^{-1}$ .

The fifth and final criterion assesses the thermodynamic stability of the candidate material via the energy above hull ( $E_{hull}$ ) or the formation energy of a material relative to the ground state. Similar to  $\Delta G_{PBX}$ , materials with  $E_{hull} = 0 \text{ eV atom}^{-1}$  are at the thermodynamic ground state while materials with  $E_{hull} > 0 \text{ eV atom}^{-1}$  are metastable with the likelihood of experimental synthesizability decreasing as  $E_{hull}$  increases. Materials with a calculated  $E_{hull} < 0.1 \text{ eV atom}^{-1}$  have been shown to have reasonable rates of demonstrated synthesis in experiment<sup>66</sup>. We will use this criterion as our final condition for viable catalyst candidates. Of our original 59,390 materials, 20 candidates listed in Table I have passed all five criteria. These candidates, from cheapest to most expensive, are  $ZnCu_8$ , 6 Cu-Ni alloys,  $CoCu_7$ , and 12 Cu-Ag alloys. The majority of materials exhibit high activity at an applied potential of 0 V only. All Cu-Ni compounds and two Cu-Ag compounds demonstrate high activity in an applied potential range from 0 V to 0.1 V. However, none of the 20 candidates are active at 0.2 V. All candidates can select either  $N_2$  or  $NH_3$  as a by-product depending on the applied potential and facet except for and  $C2/m \text{ Cu}_2\text{Ag}$  which exclusively selects  $N_2$ .

Unsurprisingly, a large majority of compounds predicted to exhibit high turnover frequencies contain noble metals (Rh, Ir, Pd, Au, Pt, Ru, Os, Ag or Cu) which are known for their resistance to chemical erosion (low  $\Delta G_{PBX}$ ) and excellent catalytic properties (high TOF)<sup>48,57,58,67</sup>. AgPd, in particular, is known to have the highest reported experimental turnover frequency found so far<sup>68</sup>. From their volcano maps, Liu *et al.*<sup>32</sup> previously predicted  $RuPt_3$  alloys as having excellent catalytic activity, the results of which were subsequently validated in Pt-doped Ru experiments in a separate study<sup>69</sup>. We indeed observed high activity in our ML framework when investigating both Ag-Pd and Ru-Pt alloys (see Figure 3). However, these components are far too expensive to be commercial-

ized for  $NO_3^-$  RR. Under a spot price for Pd at \$68,643/kg (accessed from <https://www.apmex.com> as of 06/26/2020)<sup>65</sup>, the cost of Pd catalysts in a trickle reactor will range from \$0.08 to \$1.53 under a catalyst lifespan of 20 to 1 year respectively. These prices make electrocatalysis economically comparable with ion exchange<sup>15</sup>. With recent price increases in precious metals, the price of Pd has risen to \$79,855/kg (as of 04/30/2021), thus providing no economic advantage over ion exchange. Although Pt and Ru are cheaper than Pd, the volatility of precious metal prices and risk of price increases exceeding \$68,643/kg in the future makes the long-term economic viability of precious metals unreliable.

Beyond precious metals, we predicted many inexpensive Cu-based compounds to have high activity. Among mono-metallic catalysts, Cu is widely explored in the literature for its relatively high activity for  $NO_3^-$  RR and has been shown to outperform Pt-group precious metals in regards to activity under acidic conditions<sup>19,28,70</sup>. The activity of Cu surface sites is further enhanced when alloyed with precious metals such as Pt, Ir, Pd, and Rh<sup>71-73</sup> as well as common 3d metals such as Fe, Zn, and Ni<sup>69,74-77</sup>, which is consistent with our predictions (albeit Fe-Cu is shown to have a relatively high aqueous decomposition energy). This stems from the shift in the d-band center of Cu surface sites which enhances  $NO_3^-$  adsorption. When alloyed with Ni, Cu sites adsorb  $NO_3^-$  anions while Ni sites adsorb  $H^+$  to facilitate the successive deoxygenation of  $NO_3^*$  to  $N^*$ <sup>78</sup>.

Although many of the intermetallic alloys screened considered in this study were not stable under an aqueous environment, it is reasonable that these synergistic effects can be achieved when alloying Cu with other inexpensive metals via surface doping as has been shown in many experimental studies to produce an effective and economical electrocatalyst. Our findings also demonstrate that the catalytic viability of Cu-Ag and Cu-Ni candidates are insensitive to composition and structure as shown by the diversity of such materials reported in Table I, which makes surface doping of Cu with Ag or Ni a possible approach to create an electrocatalyst.

As far as the authors are aware, Cu-Co, and Cu-Ag compounds have yet to be explored as electrocatalysts for  $NO_3^-$  RR in the literature.

Given that the purpose of our work was to identify promising catalysts based on criteria that could be rapidly assessed for thousands of candidate materials, we have naturally had to neglect several factors that will be critical to the further development of a practical nitrate reduction electrocatalyst for water purification. First, our screening assumed that all reactions occurred under a neutral pH as  $N_2$  production became more favorable at  $pH > 4$ <sup>79-82</sup>. Furthermore, in the context of water purification, many groundwater sources have near-neutral pH (e.g., 6 - 8), and it is generally desirable to minimize pH adjustment since it entails costly additional chemical handling<sup>15,83</sup>. Hence, evaluating catalyst performance at neutral pH is a sensible starting point.

Second, our computational methods did not capture the effects of solvation or competition by ubiquitous environmental ions nor did it account for the effect of the electrolyte. Common ions such as  $Cl^-$ ,  $Br^-$ ,  $SO_4^{2-}$ , and  $PO_4^{3-}$  have been shown



TABLE I. Table of the formula, space group, cost, Pourbaix decomposition energy (at 0.0 V and 0.1 V vs. RHE), and selectivity of the 20 candidate materials that have satisfied all criteria of our screening process.

Formula	Space group	Cost (\$/kg mol <sup>-1</sup> )	$\Delta G_{aq}(0.0\text{ V})$ (eV/atom)	$\Delta G_{aq}(0.1\text{ V})$ (eV/atom)	Active at 0.1 V	N <sub>2</sub>	NH <sub>3</sub>
ZnCu <sub>8</sub>	<i>I4/mmm</i>	8.78	0.18	0.29	No	✓	✓
Cu <sub>5</sub> Ni	<i>Cm</i>	10.93	0.15	0.27	Yes	✓	✓
Cu <sub>5</sub> Ni	<i>Amm2</i>	10.93	0.15	0.27	Yes	✓	✓
Cu <sub>4</sub> Ni	<i>I4/m</i>	11.22	0.17	0.29	Yes	✓	✓
Cu <sub>3</sub> Ni	<i>R3m</i>	11.65	0.20	0.33	Yes	✓	✓
Cu <sub>3</sub> Ni	<i>I4/mmm</i>	11.65	0.21	0.33	Yes	✓	✓
Cu <sub>3</sub> Ni	<i>Cmmm</i>	11.65	0.21	0.33	Yes	✓	✓
CoCu <sub>7</sub>	<i>Fm3m</i>	14.52	0.19	0.30	No	✓	✓
Cu <sub>4</sub> Ag	<i>I4/m</i>	261.58	0.08	0.16	No	✓	✓
Cu <sub>3</sub> Ag	<i>P4/mmm</i>	315.24	0.08	0.15	Yes	✓	✓
Cu <sub>3</sub> Ag	<i>Pmmm</i>	315.24	0.09	0.16	No	✓	✓
Cu <sub>3</sub> Ag	<i>Pmmn</i>	315.24	0.10	0.18	No	✓	✓
Cu <sub>3</sub> Ag	<i>C2/m</i>	315.24	0.10	0.18	Yes	✓	✓
Cu <sub>3</sub> Ag	<i>I4/mmm</i>	315.24	0.10	0.17	No	✓	✓
Cu <sub>3</sub> Ag	<i>Pmmn</i>	315.24	0.10	0.17	No	✓	✓
Cu <sub>2</sub> Ag	<i>P6<sub>3</sub>/mmc</i>	397.92	0.07	0.14	No	✓	✓
Cu <sub>2</sub> Ag	<i>C2/m</i>	397.92	0.09	0.15	No	✓	✓
Cu <sub>2</sub> Ag	<i>P6<sub>3</sub>/mmc</i>	397.92	0.07	0.14	No	✓	✓
Cu <sub>2</sub> Ag	<i>C2/m</i>	397.92	0.10	0.17	No	✓	✓
Cu <sub>5</sub> Ag <sub>4</sub>	<i>I4/mmm</i>	496.75	0.10	0.15	No	✓	✓

to affect the activity and selectivity of NO<sub>3</sub><sup>-</sup>RR in both passive reduction on zero-valent iron and electroreduction.<sup>79,84</sup> This is especially important in the context of water purification where nitrate runoff may enter natural waters containing background electrolytes such as NaCl and Na<sub>2</sub>SO<sub>4</sub>. Chloride and sulfate anions in particular have been shown to decrease catalytic activity by poisoning and dissolving the catalyst<sup>85</sup>.

Despite this, both Liu *et al.*<sup>32</sup> and this study (see Figure 2) have been able approximate past experimental trends in activity using the microkinetic models. Furthermore, scaling relationships used to develop volcano maps are known to generalize to models beyond single adsorbates on slabs including solid-liquid interfaces which shift the adsorption energy by a constant value<sup>86,87</sup>. Lastly, microkinetic simulations of adsorbate coverage demonstrate H\* and NO<sub>3</sub><sup>-\*</sup> to be the dominant competing species under negative and positive potentials respectively, however, additional simulations of adsorption for common solvents and electrolytes are required to gauge their competitiveness with H\* and NO<sub>3</sub><sup>-\*</sup>. Furthermore, accurately modeling electrolyte and solvation effects would require substantial additional computational effort. At a minimum, it would be necessary to perform DFT calculations of co-adsorption of NO<sub>3</sub><sup>-</sup>RR intermediates with the aforementioned anions at varying concentrations of an implicit solvent. Higher accuracy could be obtained by calculating a liquid interface with the catalyst surface which can be used to model an electrolyte interface or an explicit solvent, but requires costly ab-initio molecular dynamics simulations. However, both the OC20 framework and the microkinetic models developed by Liu *et al.*<sup>32</sup> used in this study are limited to single intermediate adsorption on a catalyst surface under a vacuum interface.

Finally, since our screening criteria were based on thermodynamics, we did not estimate the overpotentials of our proposed materials or directly determine their turnover frequencies via, e.g., transition state calculations. Both factors will have an important impact on the ultimate economic viability of the electrocatalyst.

Despite the simplifications required by the scale of our screening (50,000+ materials), by identifying a small number of promising candidates, this work will facilitate more sophisticated simulations and experiments that can further evaluate the factors discussed above.

#### IV. CONCLUSION

By coupling machine learning with previous scaling relationships for NO<sub>3</sub><sup>-</sup>RR, we developed an efficient and computationally inexpensive screening strategy that revealed 20 economically viable electrocatalysts out of an initial pool of more than 50,000 candidates. The majority of candidate materials are Cu-based intermetallics owing to the excellent activity of Cu and its synergistic effect with other transition metals. Most of the candidates can select either N<sub>2</sub> or NH<sub>3</sub>, depending on the applied potential and facet, giving it utility in water purification as well as NH<sub>3</sub> production. We performed DFT calculations to verify the ML adsorption energies of a select number of viable catalytic materials, most of which demonstrated adsorption energies within or adjacent to the areas of high activity. The catalytically active compounds exhibited in our grid map of binary intermetallics (Figure 5) contain precious metals as well as Cu, which is in agreement with previous studies. Future studies will explicitly calculate the reaction path-

ways and transition states of the materials proposed herein as well as experimentally validate their catalytic activity towards  $\text{NO}_3^-$ RR.

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## DATA AVAILABILITY STATEMENT

The code that supports the finding of this study are available in our Github repository<sup>88</sup>. All datasets used in training the ML model are publicly available (OC20<sup>34</sup>).

## V. APPENDIX

### Appendix A: Pourbaix decomposition

All candidates identified are metastable under aqueous conditions with a  $\Delta G_{PBX} < 0.2$  eV/atom. The candidate catalyst can either dissolve into ionic components or the surface can be passivated into a solid listed in Table II depending on factors such as temperature, solvation, or pressure.

TABLE II. Table showing the ground state components that the 4 binary compositions can decompose into under pH=7 and an applied potential of 0.0 V and 0.1 V.

	0.0 V	0.1 V
Zn-Cu	$\text{Zn}^{+2} + \text{Cu}^{+1}$	$\text{CuO(s)} + \text{Zn}^{+2}$
Ni-Cu	$\text{Ni}^{+2} + \text{Cu}^{+1}$	$\text{Ni}^{+2} + \text{CuO(s)}$
Ag-Cu	$\text{Ag(s)} + \text{Cu}^{+1}$	$\text{Ag(s)} + \text{CuO(s)}$
Co-Cu	$\text{Cu}^{+1} + \text{Co}^{+2}$	$\text{Co}^{+2} + \text{CuO(s)}$

### Appendix B: Activity and selectivity decision maps

To determine the area on the maps corresponding to high activity ( $\log(\text{TOF}) > -3$ ), we approximated a line of high activity for each heat map that lies on the center of the warmest region of the map (see Figures 3(a)-3(c)) given by:

$$E_{ads}^{N^*} = 2.27E_{ads}^{O^*} + 6.95, \{E_{ads}^{O^*} : -5.90 < E_{ads}^{O^*} < -5.13\} \quad (\text{B1})$$

$$E_{ads}^{N^*} = 2.44E_{ads}^{O^*} + 7.21, \{E_{ads}^{O^*} : -5.30 < E_{ads}^{O^*} < -4.85\} \quad (\text{B2})$$

$$E_{ads}^{N^*} = 2.27E_{ads}^{O^*} + 5.17, \{E_{ads}^{O^*} : -5.10 < E_{ads}^{O^*} < -4.39\} \quad (\text{B3})$$

with Equations S1, S2, and S3 corresponding to 0.0, 0.1 and 0.2 V vs RHE respectively. The MAE of the ML model developed by Chanussot *et al.*<sup>34</sup> is approximately 0.3 eV. As such, we consider a buffer distance of 0.3 eV from Equations S1-S3 as potential regions of high activity which yields an ellipse around the corresponding line. The areas within the three ellipsis (red) are considered regions of high activity whereas areas outside the ellipsis will have low activity.

## Appendix C: Facets of candidate materials

TABLE III. Table of the formula, space group and facet Miller indices of the 20 candidate materials that have satisfied all criteria of our screening process. The Miller indices listed in the third column correspond to the facets with high activity and N<sub>2</sub> selectivity. The tabulated data for price, Pourbaix decomposition energy, activity and selectivity can be found in Table 1 in the main manuscript.

Formula	Space group	Miller indices (hkl)
ZnCu <sub>8</sub>	<i>I4/mmm</i>	(443), (334), (331)
Cu <sub>5</sub> Ni	<i>Cm</i>	(243̄), (212̄), (213̄), (403̄), (203̄), (301̄) (103), (214̄), (234̄), (234), (401̄), (302̄)
Cu <sub>5</sub> Ni	<i>Amm2</i>	(334), (233), (041), (032), (031), (012) (023), (013), (124), (114), (104)
Cu <sub>4</sub> Ni	<i>I4/m</i>	(221), (320), (310), (223), (430) (212), (410), (201), (334), (331)
Cu <sub>3</sub> Ni	<i>R3̄m</i>	(103̄), (104), (103), (104), (410), (414) (212̄), (323̄), (320), (414̄), (102̄), (102)
Cu <sub>3</sub> Ni	<i>I4/mmm</i>	(103), (430), (211), (210), (414), (410) (320), (313), (310), (112), (102), (113)
Cu <sub>3</sub> Ni	<i>Cmmm</i>	(120), (221), (124), (201), (243), (320) (121), (140), (210), (233), (341), (441)
CoCu <sub>7</sub>	<i>Fm3̄m</i>	(221), (332), (331)
Cu <sub>4</sub> Ag	<i>I4/m</i>	(221), (212), (423), (334), (223), (213)
Cu <sub>3</sub> Ag	<i>P4/mmm</i>	(210), (213), (114)
Cu <sub>3</sub> Ag	<i>Pmmm</i>	(120), (223), (124), (113)
Cu <sub>3</sub> Ag	<i>Pmmn</i>	(143), (132), (124), (123) (113), (243), (423)
Cu <sub>3</sub> Ag	<i>C2/m</i>	(114), (123), (113), (123), (223), (124) (134), (134), (214), (234), (234), (334)
Cu <sub>3</sub> Ag	<i>I4/mmm</i>	(334), (324), (223), (213)
Cu <sub>3</sub> Ag	<i>Pmnn</i>	(124), (123), (203)
Cu <sub>2</sub> Ag	<i>P6<sub>3</sub>/mmc</i>	(3364), (3034), (1012), (2023), (1013)
Cu <sub>2</sub> Ag	<i>C2/m</i>	(323), (234), (112), (214) (423), (211), (212̄), (114)
Cu <sub>2</sub> Ag	<i>P6<sub>3</sub>/mmc</i>	(1012), (1014), (1013)
Cu <sub>2</sub> Ag	<i>C2/m</i>	(112̄), (113̄), (313̄), (114), (114), (123̄) (123), (214̄), (223̄), (223), (323), (234̄)
Cu <sub>5</sub> Ag <sub>4</sub>	<i>I4/mmm</i>	(331), (443)

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