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A neural pathway controlling motivation to exert effort

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The neural mechanisms conferring reduced motivation, as observed in depressed individuals, is poorly understood. Here, we examine in rodents if reduced motivation to exert effort is controlled by transmission from the lateral habenula (LHb), a nucleus overactive in depressed-like states, to the rostromedial tegmental nucleus (RMTg), a nucleus that inhibits dopaminergic neurons. In an aversive test wherein immobility indicates loss of effort, LHb→RMTg transmission increased during transitions into immobility, driving LHb→RMTg increased immobility, and inhibiting LHb→RMTg produced the opposite effects. In an appetitive test, driving LHb→RMTg reduced the effort exerted to receive a reward, without affecting the reward's hedonic property. Notably, LHb→RMTg stimulation only affected specific aspects of these motor tasks, did not affect all motor tasks, and promoted avoidance, indicating that LHb→RMTg activity does not generally reduce movement but appears to carry a negative valence that reduces effort. These results indicate that LHb→RMTg activity controls the motivation to exert effort and may contribute to the reduced motivation in depression.

lateral habenula | rostromedial tegmental nucleus | motivation | optogenetics | fiber photometry

Depressive disorders cause significant morbidity and mortality in the human population (1). A number of potentially aberrant neural mechanisms have been characterized, which may reflect the multiplicity of depressive symptoms (2–9). While recent studies in humans (10–14) and rodents (15–23) suggest that excessive lateral habenula (LHb) activity may contribute to depression, the impact of LHb hyperactivity on an individual's level of motivation has not been examined.

Motivation can be defined as the propensity of an organism to exert effort to move toward a rewarding, or away from an aversive, stimulus (24). The amount of effort an individual exerts to achieve a goal is believed to depend on a complex calculation of the cost required to perform a defined action and the perceived benefit gained from that action (24). Maladaptive dysfunction in neural pathways encoding such information (e.g., if the cost of performing an action is overvalued or if the perceived benefit is undervalued) can lead to behavioral deficits such as the reduced motivation seen in depression (25, 26). The specific neural pathways underlying such motivational deficits in depression are unknown.

The LHb, a predominantly glutamatergic nucleus, receives inputs from several limbic nuclei associated with motivational states (27–31). It provides a major disynaptic inhibitory output, through the midbrain GABAergic rostromedial tegmental nucleus (RMTg) to monoaminergic centers (32). In particular, the RMTg transmits reward-related signals from the LHb to dopamine neurons, which are suggested to play a central role in reinforcing or discouraging ongoing action (33, 34). LHb→RMTg signals have been shown to promote active, passive, and conditioned behavioral avoidance (35). However, the relation between these signals and motivation has not been examined.

It is notable that monoaminergic output has been associated with increased motivated behavior and positive affective states (36, 37). Increasing dopaminergic cell activity in the ventral tegmental area increases motivated behavioral responses in a challenging task, and their negative modulation decreases such responses (38). The impact of reduced mesolimbic system activity has been characterized as an inflation in the perceived cost of exerting

effort, leading to immobility (24). We thus reasoned that the LHb→RMTg pathway, by inhibiting monoaminergic centers, could control motivated behavior; in particular, we hypothesized that this pathway controls the motivation to exert effort.

Results

LHb→RMTg Activity Increases with Transitions to Immobility in the Forced Swim Test. To examine LHb→RMTg activity in a behaving animal, the LHb of rats was injected with an adeno-associated virus (AAV) encoding the calcium indicator GCaMP6s (AAV-hSyn-GCaMP6s). An optical fiber was implanted over the RMTg to measure the activity of axon terminals from LHb→RMTg (Fig. 1A, Fig. S1, and *SI Experimental Procedures*). Control rats received the same surgery but were injected with an AAV encoding GFP (AAV-hSyn-GFP). Four weeks later, GCaMP6s expression was detected in cell bodies of the LHb and at the axon terminals of those fibers in the RMTg (Fig. 1A). Changes in fluorescence, indicating changes in neural activity (39), were recorded with a custom-built fiber photometry system (*SI Experimental Procedures* and ref. 40). To assess motivation, rats were subjected to the forced swim test (FST) (41), an aversive inescapable environment in which a rat's effort is indicated by the persistence of its movement (Fig. 1B). Over the course of the test, rats spend a larger fraction of time immobile. These periods of immobility can be used as a measure of reduced motivation in rodents (29, 38, 42). The rats' behavior was captured using a digital camera, and immobility bouts were determined using an

Significance

The lateral habenula, a brain region that has been implicated in depression, receives inputs from brain nuclei associated with basic emotions and drives. In this report, using fiber photometry and optogenetics on behaving rats, we show that one major lateral habenula output pathway controls the motivation to exert effort in both aversive and appetitive contexts. Overactivity of this pathway could contribute to the reduced motivation seen in human depression.

Author contributions: C.D.P., S.A., and R.M. designed research; C.D.P., S.A., D.M., C.M., A.L., and S.J.S. performed research; C.D.P., S.A., and S.J.S. contributed new reagents/analytic tools; C.D.P., S.A., B.M., and R.M. analyzed data; and C.D.P., S.A., and R.M. wrote the paper.

Reviewers: O.H., NIH; and B.L., Cold Spring Harbor Laboratory.

Conflict of interest statement: C.D.P., R.M., and Okihide Hikosaka are coauthors on a review article published in 2014. S.A. founded the company Neurophotometrics Ltd., which manufactures fiber photometry systems.

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Data deposition: All relevant data and code supporting the findings of this study have been deposited on GitHub and are available at <https://github.com/NotAnHerb/LHbRMTg>.

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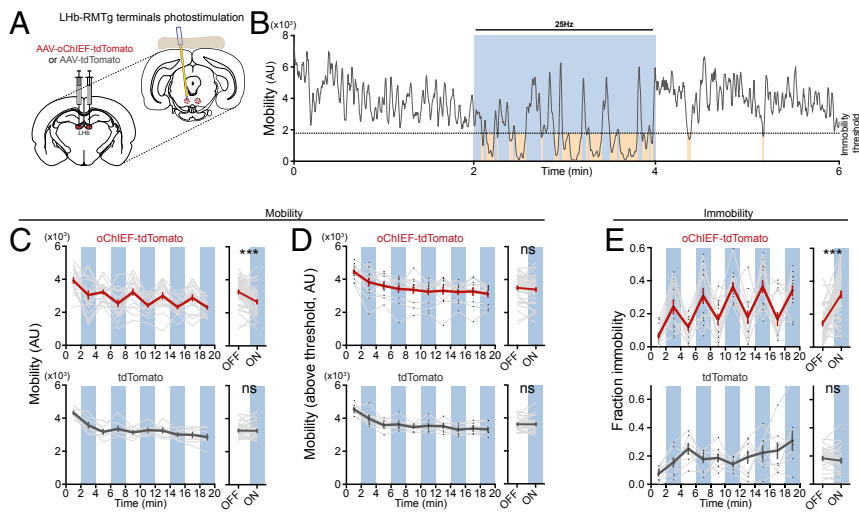


Fig. 2. Stimulation of Lhb→RMTg increases immobility in FST. (A) AAV encoding the light-sensitive cation channel oChIEF-tdTomato ($n = 13$) (or tdTomato alone, $n = 7$) was injected into the Lhb, and a 200- μm optical fiber was implanted over the RMTg. (B) Representative example of change in mobility during light delivery (blue); brown shading indicates periods of immobility. (C–E, Top): (C, Left) Plot of mean mobility (gray indicates individual rats; red indicates mean \pm SEM) during periods of light (blue) or no light (white). (C, Right) Mean \pm SEM for indicated periods. (D) Same as C, for mobility values above immobility threshold. (E) Same as C, for time spent immobile. (C–E, Bottom) Same as C–E, Top, for rats expressing tdTomato. *** $P < 0.001$; paired Student's t test. AU, arbitrary unit; ns, not significant.

Activating Lhb→RMTg Reduces Effort Exerted to Gain Rewards in an Appetitive Test. To examine whether increased activity at the Lhb→RMTg was sufficient to decrease motivation in an appetitive context, rats were tested in a progressive ratio (PR) operant task, which is commonly used to evaluate motivation in rodents (47). In this test, increasing work (more lever presses) is required to receive a reward as trials proceed. The maximal work a rat exerts to receive a reward, the breaking point (BP), is used as a measure of its motivation (Fig. 4A).

We trained rats injected and implanted as in Fig. 2 to press a lever to obtain a sucrose reward. After training, we tested rats with a PR schedule of reinforcement (*SI Experimental Procedures*). In alternating sessions (one session per day), rats were or were not exposed to blue light through an optical fiber (trains of 25 Hz for 1 s every 2 s) during the entire session. With stimulation, rats' BPs were significantly reduced by more than 40% compared with nonstimulation sessions (Fig. 4B, *i*; BP without light 35 ± 4 vs. BP with light 21 ± 3 , $P < 0.001$). This indicates that driving Lhb→RMTg activity is sufficient to reduce the work performed by a rat to receive a reward. Lhb→RMTg stimulation significantly increased the time between receiving a reward and the subsequent lever press (Fig. 4B, *ii*; 36 ± 5 s without light vs. 67 ± 12 s with light, $P < 0.05$). Interestingly, during a bout of lever presses before a reward, the time interval between lever presses was unaffected (Fig. 4B, *iii*; 0.85 ± 0.04 s without light vs. 0.88 ± 0.05 s with light,

$P > 0.05$), suggesting that once a threshold motivation to work is achieved, the vigor of a rat's performance is not modified. Stimulation did not affect a rat's preference for sucrose water over plain water [sucrose preference test (SPT)], indicating that the hedonic value of the reward is unaffected by stimulation (Fig. 4C; $84 \pm 4\%$ of water consumed contained sucrose without light vs. $73 \pm 6\%$ with light, $P > 0.05$). Additionally, Lhb→RMTg activation did not reduce thirst as revealed by the total liquid consumed (Fig. 4C; 0.037 ± 0.002 g of liquid per gram of body weight without light vs. 0.032 ± 0.003 g of liquid per gram of body weight with light, $P > 0.05$). These results indicate that the motivation to exert effort to receive a reward, rather than the value of the reward or the ability to perform the task, is affected by Lhb→RMTg activation.

Activating Lhb→RMTg During an Open Field Test. We next tested animals in the open field (OF) (38), normally used to determine if a manipulation has nonspecific effects on movement. Rats expressing oChIEF-tdTomato or the control fluorophore tdTomato were placed in an OF, and movement was monitored during a 10-min period during which alternating 2-min epochs with and without unilateral 25-Hz optical stimulation were delivered (Fig. 5). When light was applied, a rat's mobility in an epoch with light was significantly lower than that of the flanking epochs without light (Fig. 5). Both low and high levels of movement were affected

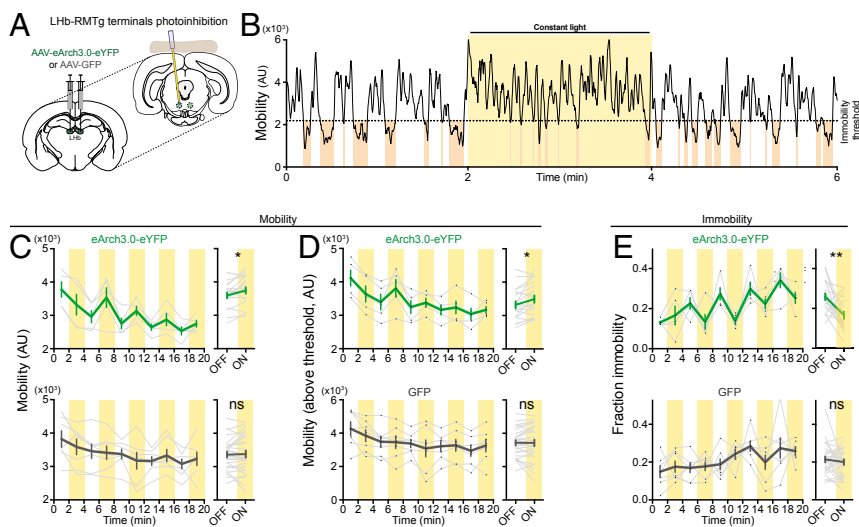


Fig. 3. Reducing Lhb→RMTg activity decreases immobility in FST. (A) AAV encoding the hyperpolarizing proton pump eArch3.0 ($n = 5$) or GFP ($n = 7$) was injected into the Lhb, and a 200- μm optical fiber was implanted over the Lhb. (B) Representative example of change in mobility during light delivery (yellow); brown shading indicates periods of immobility. (C–E, Top): (C, Left) Plot of mean mobility (gray indicates individual rats; green indicates mean \pm SEM) during periods of light (yellow) or no light (white). (C, Right) Mean \pm SEM for indicated periods. (D) Same as C, for mobility values above immobility threshold. (E) Same as C, for time spent immobile. (C–E, Bottom) Same as C–E, Top, for rats expressing GFP. * $P < 0.05$, ** $P < 0.01$; paired Student's t test. AU, arbitrary unit; ns, not significant.

behaviors are directed toward escaping an aversive condition or toward acquiring a reward. Aberrant overactivity of this pathway, previously examined in other contexts (35, 59), may be responsible for some of the motivational deficits seen in depression.

Experimental Procedures

All procedures involving animals were approved by the Institutional Animal Care and Use Committees of the University of California, San Diego. Detailed methods describing stereotaxic injections of AAVs and optic fiber cannula

implantation, fiber photometry in vivo calcium imaging, behavioral assays, and statistical methods are described in *SI Experimental Procedures*.

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