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Authors

Abe, K

Abe, S

Akutsu, R

et al.

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Results from the T2K Experiment on Neutrino Mixing Including a New Far Detector μ -like Sample

K. Abe,⁶¹ S. Abe,⁶⁰ R. Akutsu,¹⁶ H. Alarakia-Charles,³⁴ Y. I. Alj Hakim,⁵⁵ S. Alonso Monsalve,⁹ L. Anthony,²¹ S. Aoki,³² K. A. Apte,²¹ T. Arai,⁶⁰ T. Arihara,⁶⁴ S. Arimoto,³³ Y. Ashida,⁷⁰ E. T. Atkin,²¹ N. Babu,³⁸ V. Baranov,³⁹ G. J. Barker,⁷¹ G. Barr,⁴⁷ D. Barrow,⁴⁷ P. Bates,³⁷ L. Bathe-Peters,⁴⁷ M. Batkiewicz-Kwasniak,¹⁵ N. Baudis,⁴⁷ V. Berardi,²² L. Berns,⁷⁰ S. Bhattacharjee,³⁸ A. Blanchet,¹¹ A. Blondel,^{58,12} P. M. M. Boistier,⁵ S. Bolognesi,⁵ S. Bordini,¹² S. B. Boyd,⁷¹ C. Bronner,⁷³ A. Bubak,⁵⁶ M. Buizza Avanzini,³⁶ J. A. Caballero,⁵⁴ F. Cadoux,¹² N. F. Calabria,²² S. Cao,²⁰ S. Cap,¹² D. Carabadjac,^{36,*} S. L. Cartwright,⁵⁵ M. P. Casado,^{18,†} M. G. Catanesi,²² J. Chakrani,³⁵ A. Chalumeau,⁵⁸ D. Cherdack,¹⁷ A. Chvirova,²⁶ J. Coleman,³⁷ G. Collazuol,²⁴ F. Cormier,⁶⁶ A. A. L. Craplet,²¹ A. Cudd,⁶ D. D'Ago,²⁴ C. Dalmazzone,⁵⁸ T. Daret,⁵ P. Dasgupta,⁸ C. Davis,⁴⁸ Yu. I. Davydov,³⁹ P. de Perio,²⁹ G. De Rosa,²³ T. Dealtry,³⁴ C. Densham,⁴⁴ A. Dergacheva,²⁶ R. Dharmapal Banerjee,⁷² F. Di Lodovico,³¹ G. Diaz Lopez,⁵⁸ S. Dolan,¹¹ D. Douqa,¹² T. A. Doyle,⁴⁷ O. Drapier,³⁶ K. E. Duffy,⁴⁷ J. Dumarchez,⁵⁸ P. Dunne,²¹ K. Dygnarowicz,⁶⁹ A. Eguchi,⁶⁰ J. Elias,⁵⁰ S. Emery-Schrenk,⁵ G. Erofeev,²⁶ A. Ershova,³⁶ G. Eurin,⁵ D. Fedorova,²⁶ S. Fedotov,²⁶ M. Feltre,²⁴ L. Feng,³³ D. Ferlewicz,⁵⁸ A. J. Finch,³⁴ M. D. Fitton,⁴⁴ C. Forza,²⁴ M. Friend,^{16,‡} Y. Fujii,^{16,‡} Y. Fukuda,⁴¹ Y. Furui,⁶⁴ J. García-Marcos,¹⁴ A. C. Germer,⁴⁸ L. Giannessi,¹² C. Giganti,⁵⁸ M. Girgus,⁶⁸ V. Glagolev,³⁹ M. Gonin,²⁸ R. González Jiménez,⁵⁴ J. González Rosa,⁵⁴ E. A. G. Goodman,¹³ K. Gorshanov,²⁶ P. Govindaraj,⁶⁸ M. Grassi,²⁴ M. Guigue,⁵⁸ F. Y. Guo,⁴³ D. R. Hadley,⁷¹ S. Han,^{33,62} D. A. Harris,⁷⁴ R. J. Harris,^{34,44} T. Hasegawa,^{16,‡} C. M. Hasnip,¹¹ S. Hassani,⁵ N. C. Hastings,¹⁶ Y. Hayato,^{61,29} I. Heitkamp,⁷⁰ D. Henaff,⁵ Y. Hino,¹⁶ J. Holeczek,⁵⁶ A. Holin,⁴⁴ T. Holvey,⁴⁷ N. T. Hong Van,²⁷ T. Honjo,⁴⁶ M. C. F. Hooft,¹⁴ K. Hosokawa,⁶¹ J. Hu,³³ A. K. Ichikawa,⁷⁰ K. Ieki,⁶¹ M. Ikeda,⁶¹ T. H. Ishida,⁷⁰ T. Ishida,^{16,‡} M. Ishitsuka,⁶⁵ H. Ito,³² S. Ito,⁷³ A. Izmaylov,²⁶ N. Jachowicz,¹⁴ S. J. Jenkins,³⁷ C. Jesús-Valls,¹¹ M. Jia,⁴³ J. J. Jiang,⁴³ J. Y. Ji,⁴³ T. P. Jones,³⁴ P. Jonsson,²¹ S. Joshi,⁴³ C. K. Jung,^{43,§} M. Kabirnezhad,²¹ A. C. Kaboth,⁵¹ H. Kakuno,⁶⁴ J. Kameda,⁶¹ S. Karpova,¹² V. S. Kasturi,¹² Y. Kataoka,⁶¹ T. Katori,³¹ A. Kawabata,³⁰ Y. Kawamura,⁴⁶ M. Kawaue,³³ E. Kearns,^{2,§} M. Khabibullin,²⁶ A. Khotjantsev,²⁶ T. Kikawa,³³ S. King,³¹ V. Kiseeva,³⁹ J. Kisiel,⁵⁶ A. Klustová,²¹ L. Kneale,⁵⁵ H. Kobayashi,⁶⁰ Sota. R. Kobayashi,⁷⁰ L. Koch,¹⁹ S. Kodama,⁶⁰ M. Kolupanova,²⁶ A. Konaka,⁶⁶ L. L. Kormos,³⁴ Y. Koshio,^{45,§} K. Kowalik,⁴² Y. Kudenko,^{26,||} Y. Kudo,⁷³ A. Kumar Jha,¹⁴ R. Kurjata,⁶⁹ V. Kurochka,²⁶ T. Kutter,³⁸ L. Labarga,¹ M. Lachat,⁵⁰ K. Lachner,⁹ J. Lagoda,⁴² S. M. Lakshmi,⁵⁶ M. Lamers James,⁷¹ A. Langella,²³ D. H. Langridge,⁵¹ J.-F. Laporte,⁵ D. Last,⁵⁰ N. Latham,³¹ M. Laveder,²⁴ L. Lavitola,²³ M. Lawe,³⁴ D. Leon Silverio,⁵⁹ S. Levorato,²⁴ S. V. Lewis,³¹ B. Li,⁹ C. Lin,²¹ R. P. Litchfield,¹³ S. L. Liu,⁴³ W. Li,⁴⁷ A. Longhin,²⁴ A. Lopez Moreno,³¹ L. Ludovici,²⁵ X. Lu,⁷¹ T. Lux,¹⁸ L. N. Machado,¹³ L. Magaletti,²² K. Mahn,⁴⁰ K. K. Mahtani,⁴³ M. Mandal,⁴² S. Manly,⁵⁰ A. D. Marino,⁶ D. G. R. Martin,²¹ D. A. Martinez Caicedo,⁵⁹ L. Martinez,¹⁸ M. Martini,^{58,¶} T. Matsubara,¹⁶ R. Matsumoto,⁶³ V. Matveev,²⁶ C. Mauger,⁴⁸ K. Mavrokoridis,³⁷ N. McCauley,³⁷ K. S. McFarland,⁵⁰ C. McGrew,⁴³ J. McKean,²¹ A. Mefodiev,²⁶ G. D. Megias,⁵⁴ L. Mellet,⁴⁰ C. Metelko,³⁷ M. Mezzetto,²⁴ S. Miki,⁶¹ V. Mikola,¹³ E. W. Miller,²¹ A. Minamino,⁷³ O. Mineev,²⁶ S. Mine,^{61,4} J. Mirabito,² M. Miura,^{61,§} S. Moriyama,^{61,§} S. Moriyama,⁷³ P. Morrison,¹³ Th. A. Mueller,³⁶ D. Munford,¹⁷ A. Muñoz,^{36,28} L. Munteanu,¹¹ Y. Nagai,⁸ T. Nakadaira,^{16,‡} K. Nakagiri,⁶¹ M. Nakahata,^{61,29} Y. Nakajima,⁶⁰ K. D. Nakamura,⁷⁰ A. Nakano,⁷⁰ Y. Nakano,⁶⁷ S. Nakayama,^{61,29} T. Nakaya,^{33,29} K. Nakayoshi,^{16,‡} C. E. R. Naseby,²¹ D. T. Nguyen,¹⁰ V. Q. Nguyen,³⁶ K. Niewczas,¹⁴ S. Nishimori,¹⁶ Y. Nishimura,³⁰ Y. Noguchi,⁶¹ T. Nosek,⁴² F. Nova,⁴⁴ J. C. Nugent,²¹ H. M. O'Keeffe,³⁴ L. O'Sullivan,¹⁹ R. Okazaki,³⁰ W. Okinaga,⁶⁰ K. Okumura,^{62,29} T. Okusawa,⁴⁶ N. Onda,³³ N. Ospina,²² L. Osu,³⁶ N. Otani,³³ Y. Oyama,^{16,‡} V. Paolone,⁴⁹ J. Pasternak,²¹ D. Payne,³⁷ T. P. D. Peacock,⁵⁵ M. Pfaff,²¹ L. Pickering,⁴⁴ B. Popov,^{58,**} A. J. Portocarrero Yrey,¹⁶ M. Posiadala-Zezula,⁶⁸ Y. S. Prabhu,⁶⁸ H. Prasad,⁷² F. Pupilli,²⁴ B. Quilain,^{28,36} P. T. Quyen,^{20,††} E. Radicioni,²² B. Radics,⁷⁴ M. A. Ramirez Delgado,⁴⁸ R. Ramsden,³¹ P. N. Ratoff,³⁴ M. Reh,⁶ G. Reina,¹⁹ C. Riccio,⁴³ D. W. Riley,¹³ E. Rondio,⁴² S. Roth,⁵² N. Roy,⁷⁴ A. Rubbia,⁹ L. Russo,⁵⁸ A. Rychter,⁶⁹ W. Saenz,⁵⁸ K. Sakashita,^{16,‡} S. Samani,¹² F. Sánchez,¹² E. M. Sandford,³⁷ Y. Sato,⁶⁵ T. Scheffe,³⁸ C. M. Schloesser,¹² K. Scholberg,^{7,§} M. Scott,²¹ Y. Seiya,^{46,‡‡} T. Sekiguchi,^{16,‡} H. Sekiya,^{61,29,§} T. Sekiya,⁶⁴ D. Seppala,⁴⁰ D. Sgalaberna,⁹ A. Shaikhiev,²⁶ M. Shiozawa,^{61,29} Y. Shiraishi,⁴⁵ A. Shvartsman,²⁶ N. Skrobova,²⁶ K. Skwarczynski,⁵¹ D. Smyczek,⁵² M. Smy,⁴ J. T. Sobczyk,⁷² H. Sobel,^{4,29} F. J. P. Soler,¹³ A. J. Speers,³⁴ R. Spina,²² A. Srivastava,¹⁹ P. Stowell,⁵⁵ Y. Stroke,²⁶ I. A. Suslov,³⁹ A. Suzuki,³² S. Y. Suzuki,^{16,‡} M. Tada,^{16,‡} S. Tairafune,⁷⁰ A. Takeda,⁶¹ Y. Takeuchi,^{32,29} K. Takeya,⁴⁵ H. K. Tanaka,^{61,§} H. Tanigawa,¹⁶ A. Teklu,⁴³ V. V. Tereshchenko,³⁹ N. Thamm,⁵² C. Touramanis,³⁷ N. Tran,³³ T. Tsukamoto,^{16,‡} M. Tzanov,³⁸ Y. Uchida,²¹ M. Vagins,^{29,4}

M. Varghese,¹⁸ I. Vasilyev,³⁹ G. Vasseur,⁵ E. Villa,^{11,12} U. Virginet,⁵⁸ T. Vladislavljevic,⁴⁴ T. Wachala,¹⁵ S.-i. Wada,³² D. Wakabayashi,⁷⁰ H. T. Wallace,⁵⁵ J. G. Walsh,⁴⁰ L. Wan,² D. Wark,^{44,47} M. O. Wascko,^{47,44} A. Weber,¹⁹ R. Wendell,³³ M. J. Wilking,⁵³ C. Wilkinson,^{49,35} J. R. Wilson,³¹ K. Wood,³⁵ C. Wret,²¹ J. Xia,⁵⁷ K. Yamamoto,^{46,44} T. Yamamoto,⁴⁶ C. Yanagisawa,^{43,88} Y. Yang,⁴⁷ T. Yano,⁶¹ K. Yasutome,³³ N. Yershov,²⁶ U. Yevarouskaya,⁴³ M. Yokoyama,^{60,8} Y. Yoshimoto,⁶⁰ N. Yoshimura,³³ R. Zaki,⁷⁴ A. Zalewska,¹⁵ J. Zalipska,⁴² G. Zarnecki,¹⁵ J. Zhang,^{66,3} X. Y. Zhao,⁹ H. Zheng,⁴³ H. Zhong,³² T. Zhu,²¹ M. Ziembicki,⁶⁹ E. D. Zimmerman,⁶ M. Zito,⁵⁸ and S. Zsoldos³¹

(T2K Collaboration)

¹University Autonoma Madrid, Department of Theoretical Physics, 28049 Madrid, Spain

²Boston University, Department of Physics, Boston, Massachusetts, USA

³University of British Columbia, Department of Physics and Astronomy, Vancouver, British Columbia, Canada

⁴University of California, Irvine, Department of Physics and Astronomy, Irvine, California, USA

⁵IRFU, CEA, Université Paris-Saclay, F-91191 Gif-sur-Yvette, France

⁶University of Colorado at Boulder, Department of Physics, Boulder, Colorado, USA

⁷Duke University, Department of Physics, Durham, North Carolina, USA

⁸Eötvös Loránd University, Department of Atomic Physics, Budapest, Hungary

⁹ETH Zurich, Institute for Particle Physics and Astrophysics, Zurich, Switzerland

¹⁰VNU University of Science, Vietnam National University, Hanoi, Vietnam

¹¹CERN European Organization for Nuclear Research, CH-1211 Genève 23, Switzerland

¹²University of Geneva, Section de Physique, DPNC, Geneva, Switzerland

¹³University of Glasgow, School of Physics and Astronomy, Glasgow, United Kingdom

¹⁴Ghent University, Department of Physics and Astronomy, Proeftuinstraat 86, B-9000 Gent, Belgium

¹⁵H. Niewodniczanski Institute of Nuclear Physics PAN, Cracow, Poland

¹⁶High Energy Accelerator Research Organization (KEK), Tsukuba, Ibaraki, Japan

¹⁷University of Houston, Department of Physics, Houston, Texas, USA

¹⁸Institut de Física d'Altes Energies (IFAE)—The Barcelona Institute of Science and Technology, Campus UAB, Bellaterra (Barcelona) Spain

¹⁹Institut für Physik, Johannes Gutenberg-Universität Mainz, Staudingerweg 7, 55128 Mainz, Germany

²⁰Institute For Interdisciplinary Research in Science and Education (IFIRSE), ICISE, Quy Nhon, Vietnam

²¹Imperial College London, Department of Physics, London, United Kingdom

²²INFN Sezione di Bari and Università e Politecnico di Bari, Dipartimento Interuniversitario di Fisica, Bari, Italy

²³INFN Sezione di Napoli and Università di Napoli, Dipartimento di Fisica, Napoli, Italy

²⁴INFN Sezione di Padova and Università di Padova, Dipartimento di Fisica, Padova, Italy

²⁵INFN Sezione di Roma and Università di Roma “La Sapienza”, Roma, Italy

²⁶Institute for Nuclear Research of the Russian Academy of Sciences, Moscow, Russia

²⁷International Centre of Physics, Institute of Physics (IOP), Vietnam Academy of Science and Technology (VAST), 10 Dao Tan, Ba Dinh, Hanoi, Vietnam

²⁸ILANCE, CNRS—University of Tokyo International Research Laboratory, Kashiwa, Chiba 277-8582, Japan

²⁹Kavli Institute for the Physics and Mathematics of the Universe (WPI), The University of Tokyo Institutes for Advanced Study, University of Tokyo, Kashiwa, Chiba, Japan

³⁰Keio University, Department of Physics, Kanagawa, Japan

³¹King's College London, Department of Physics, Strand, London WC2R 2LS, United Kingdom

³²Kobe University, Kobe, Japan

³³Kyoto University, Department of Physics, Kyoto, Japan

³⁴Lancaster University, Physics Department, Lancaster, United Kingdom

³⁵Lawrence Berkeley National Laboratory, Berkeley, California, USA

³⁶Ecole Polytechnique, IN2P3-CNRS, Laboratoire Leprince-Ringuet, Palaiseau, France

³⁷University of Liverpool, Department of Physics, Liverpool, United Kingdom

³⁸Louisiana State University, Department of Physics and Astronomy, Baton Rouge, Louisiana, USA

³⁹Joint Institute for Nuclear Research, Dubna, Moscow Region, Russia

⁴⁰Michigan State University, Department of Physics and Astronomy, East Lansing, Michigan, USA

⁴¹Miyagi University of Education, Department of Physics, Sendai, Japan

⁴²National Centre for Nuclear Research, Warsaw, Poland

⁴³State University of New York at Stony Brook, Department of Physics and Astronomy, Stony Brook, New York, USA

⁴⁴STFC, Rutherford Appleton Laboratory, Harwell Oxford, and Daresbury Laboratory, Warrington, United Kingdom

⁴⁵Okayama University, Department of Physics, Okayama, Japan

- ⁴⁶*Osaka Metropolitan University, Department of Physics, Osaka, Japan*
⁴⁷*Oxford University, Department of Physics, Oxford, United Kingdom*
⁴⁸*University of Pennsylvania, Department of Physics and Astronomy, Philadelphia, Pennsylvania, USA*
⁴⁹*University of Pittsburgh, Department of Physics and Astronomy, Pittsburgh, Pennsylvania, USA*
⁵⁰*University of Rochester, Department of Physics and Astronomy, Rochester, New York, USA*
⁵¹*Royal Holloway University of London, Department of Physics, Egham, Surrey, United Kingdom*
⁵²*RWTH Aachen University, III. Physikalisches Institut, Aachen, Germany*
⁵³*School of Physics and Astronomy, University of Minnesota, Minneapolis, Minnesota, USA*
⁵⁴*Departamento de Física Atómica, Molecular y Nuclear, Universidad de Sevilla, 41080 Sevilla, Spain*
⁵⁵*University of Sheffield, School of Mathematical and Physical Sciences, Sheffield, United Kingdom*
⁵⁶*University of Silesia, Institute of Physics, Katowice, Poland*
⁵⁷*SLAC National Accelerator Laboratory, Stanford University, Menlo Park, California, USA*
⁵⁸*Sorbonne Université, CNRS/IN2P3, Laboratoire de Physique Nucléaire et de Hautes Energies (LPNHE), Paris, France*
⁵⁹*South Dakota School of Mines and Technology, 501 East Saint Joseph Street, Rapid City, South Dakota 57701, USA*
⁶⁰*University of Tokyo, Department of Physics, Tokyo, Japan*
⁶¹*University of Tokyo, Institute for Cosmic Ray Research, Kamioka Observatory, Kamioka, Japan*
⁶²*University of Tokyo, Institute for Cosmic Ray Research, Research Center for Cosmic Neutrinos, Kashiwa, Japan*
⁶³*Institute of Science Tokyo, Department of Physics, Tokyo, Japan*
⁶⁴*Tokyo Metropolitan University, Department of Physics, Tokyo, Japan*
⁶⁵*Tokyo University of Science, Faculty of Science and Technology, Department of Physics, Noda, Chiba, Japan*
⁶⁶*TRIUMF, Vancouver, British Columbia, Canada*
⁶⁷*University of Toyama, Department of Physics, Toyama, Japan*
⁶⁸*University of Warsaw, Faculty of Physics, Warsaw, Poland*
⁶⁹*Warsaw University of Technology, Institute of Radioelectronics and Multimedia Technology, Warsaw, Poland*
⁷⁰*Tohoku University, Faculty of Science, Department of Physics, Miyagi, Japan*
⁷¹*University of Warwick, Department of Physics, Coventry, United Kingdom*
⁷²*Wrocław University, Faculty of Physics and Astronomy, Wrocław, Poland*
⁷³*Yokohama National University, Department of Physics, Yokohama, Japan*
⁷⁴*York University, Department of Physics and Astronomy, Toronto, Ontario, Canada*



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We have made improved measurements of three-flavor neutrino mixing with $19.7(16.3) \times 10^{20}$ protons on target in (anti-)neutrino-enhanced beam modes. A new sample of muon-neutrino events with tagged pions has been added at the far detector, as well as new proton and photon-tagged samples at the near detector. Significant improvements have been made to the flux and neutrino interaction modeling. T2K data continue to prefer the normal mass ordering and upper octant of $\sin^2\theta_{23}$ with a near-maximal value of the charge-parity violating phase with best-fit values in the normal ordering of $\delta_{\text{CP}} = -2.18^{+1.22}_{-0.47}$, $\sin^2\theta_{23} = 0.559^{+0.018}_{-0.078}$ and $\Delta m_{32}^2 = (+2.506^{+0.039}_{-0.052}) \times 10^{-3} \text{ eV}^2$.

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* Also at Université Paris-Saclay, Orsay, France.

† Also at Departament de Física de la Universitat Autònoma de Barcelona, Barcelona, Spain.

‡ Also at J-PARC, Tokai, Japan.

§ Also at Kavli IPMU (WPI), the University of Tokyo, Japan.

|| Also at Moscow Institute of Physics and Technology (MIPT), Moscow region, Russia and National Research Nuclear University “MEPhI,” Moscow, Russia.

¶ Also at IPSA-DRII, France.

* Also at JINR, Dubna, Russia.

†† Also at the Graduate University of Science and Technology, Vietnam Academy of Science and Technology, Hanoi, Vietnam.

‡‡ Also at Nambu Yoichiro Institute of Theoretical and Experimental Physics (NITEP), Osaka-shi, Japan.

§§ Also at BMCC/CUNY, Science Department, New York, New York, USA.

Introduction—The T2K experiment [1] measures three-flavor neutrino mixing parameters by observing the disappearance of ν_μ ($\bar{\nu}_\mu$) and the appearance of ν_e ($\bar{\nu}_e$) over a distance of 295 km in a narrow-band, predominantly ν_μ or $\bar{\nu}_\mu$ beam, which peaks at an energy of 0.6 GeV. Data corresponding to 3.6×10^{21} protons on target (POT) were analyzed with major improvements to the neutrino flux and interaction modeling compared to previous studies [2]. New photon- and proton-tagged near detector (ND) samples, as well as a new, charged current neutrino-enhanced muon-neutrino sample with tagged pions ($\nu_\mu \text{CC}1\pi^+$ -like) in the far detector, are included in the analysis for the first time. This Letter reports T2K’s latest measurements of neutrino mixing parameters after these improvements, which mark a significant step forward in the robustness of our analysis, particularly due to improvements in modeling and constraining neutrino interaction uncertainties, and supersede previous results produced with the same dataset. Comparable measurements have also been reported by NOvA [3], and future experiments such as Hyper-Kamiokande [4] and DUNE [5] aim to further improve the precision and discovery potential in neutrino oscillation physics.

T2K experiment—Protons of 30 GeV energy, accelerated by the J-PARC main ring, collide and interact with a graphite target, producing pions and kaons that are focused by a system of three magnetic horns and decay inside a 96-m-long tunnel. Depending on the direction of the current flowing in the horns, either positively or negatively charged hadrons are focused, producing a neutrino- (ν -mode) or antineutrino-enhanced ($\bar{\nu}$ -mode) beam.

Two near detectors are located 280 m away from the graphite target—one on axis (INGRID) [6] and the other 2.5° off axis (ND280) [1] with respect to the beam direction. They sample the unoscillated beam by monitoring its direction, intensity, and flavor content as well as constraining uncertainties in the neutrino interaction model. The far detector, Super-Kamiokande (SK) [7], is a 50-kton water-Cherenkov detector located beneath a 1-km rock overburden within the Kamioka mine in Japan. It measures the oscillated neutrino flux 295 km away from its production point at 2.5° off axis.

The analysis reported in this Letter uses all data collected by T2K from January 2010 to February 2020, corresponding to an SK exposure of 19.7×10^{20} POT in ν -mode and 16.3×10^{20} POT in $\bar{\nu}$ -mode. The same number of POT was used in a previous analysis [2].

Neutrino flux prediction—The neutrino flux Monte Carlo (MC) simulation uses the FLUKA 2011.2 [8,9] interaction model for proton interactions inside the target and GEANT3 [10] for interactions outside the target. Hadron production in the target is tuned to external datasets [11–13]. The flux depends on the beamline conditions and the measured proton beam profile. The prediction accounts for the beam conditions during each operating period.

The resulting flux model [14] is used to estimate the unoscillated ν -mode and $\bar{\nu}$ -mode fluxes at all detectors, for all contributing neutrino flavors, as well as their correlated uncertainties. INGRID is used to monitor the beam direction and validate the neutrino flux simulation. The uncertainty on the measured beam direction is included in the flux uncertainties used in the oscillation parameter measurement.

This analysis includes new constraints from the NA61/SHINE replica target datasets [13], including high-statistics measurements of $\pi^\pm$ production as well as, for the first time, $K^\pm$ and proton production measurements. Among other improvements these constraints reduce the ν_μ flux uncertainty to below 4% for energies up to 7 GeV.

Neutrino interaction modeling—The nominal model prediction was generated with the neutrino event generator NEUT 5.4.0 [15]. In this analysis, important improvements to the estimated neutrino interaction uncertainties were made, increasing the number of free parameters by 26 compared with the previous analysis [2], for a total of 75.

Pionless: New degrees of freedom were included to cover theoretical uncertainties in nuclear effects for charged-current (CC) quasielastic (CCQE), multinucleon (2p2h), and hard-scattering processes.

Ad hoc uncertainties in the low four-momentum transfer (Q^2) response for CCQE interactions were replaced with physics-motivated parameters that vary the impact of Pauli blocking and the effect of nuclear transparency on the inclusive cross section. An effective parameterization of the latter was derived by comparison to the NuWro [16,17] implementation of the optical potential [18]. New uncertainties on the nuclear ground state for CCQE events, which parametrize the shell structure of the missing momentum (p_{miss}) and missing energy (E_{miss}) response in the initial state spectral function (SF), were included [19,20]. The Benhar SF [21] incorporates multinucleon knockout in the $E_{\text{miss}} > 100$ MeV, $p_{\text{miss}} > 300$ MeV region. These events account for 5% of all CCQE interactions; a 200% normalization uncertainty was applied to cover differences between NEUT and NuWro predictions. Following Ref. [22], a three-momentum-transfer-dependent freedom on the nucleon removal energy (NRE) was added [23]. Additional freedom for 2p2h interactions was included by separating some of the existing uncertainties by the struck nucleon pair (proton-neutron vs proton-proton and neutron-neutron).

Single-pion production (SPP): Uncertainties on the free parameters in the NEUT implementation [2] of the Rein-Sehgal SPP model [24] were motivated by fitting [19] to hydrogen- and deuterium-target data [25,26] from ANL [27,28] and BNL [29–31], and checking coverage against hydrocarbon-target data [32–38]. Variations of these parameters exhibit a minimal change to both the shape of the final-state pion kinematics, which have previously been observed to be poorly predicted at both ND280 and

SK [2], and to the relative rate of charged and neutral pion production. To cover measurements of pion kinematic spectra in T2K [39] and MINERνA [40], new parameters were developed to vary the resonance decay kinematics and the total neutral pion production rate [19]. Previously, NRE effects were only included in NEUT for pionless hard-scatter processes. Here, an approximation of this effect, and an associated uncertainty, was motivated by comparing NEUT predictions to those from NuWro for $0 < \text{NRE}_{\text{SPP}} < 50$ MeV.

Multiple pions: New uncertainties were developed for multiple-pion production in the low hadronic mass region, $1.3 < W < 2.0$ GeV. Reference [41] motivated separate uncertainties on the axial and vector parts of the nucleon form factors, replacing an effective 100%, Q^2 -dependent, uncertainty on the previous Bodek-Yang correction [42]. Additionally, a new uncertainty covering the difference between the NEUT and AGKY models [43] was included on the shape of the two-dimensional pion multiplicity and invariant hadronic mass distributions.

Final-state interactions: A new 16% uncertainty on the cross section for pion charge-exchange reactions for $p_\pi > 500$ MeV was included [44]. As the new ND selections are sensitive to proton kinematics, an uncertainty was added that varies the fraction of events that include intranuclear nucleon rescattering while keeping the leptonic observables unmodified. The size of this uncertainty (30%) was motivated by a recent analysis of nuclear transparency data [45].

Near detector analysis—The neutrino flux and interaction models were constrained by fitting the unoscillated CC event spectra at the ND280. Three time projection chambers (TPCs) [46] with two fine-grained detectors (FGDs) [47] sandwiched between them track particles from interactions in the FGD target mass. Electromagnetic calorimeters surrounding the TPCs and FGDs, as well as the TPCs, are used to tag photons.

Selected CC events were separated into different samples according to the FGD in which the interaction occurred, the beam mode, the muon charge, and the reconstructed final-state particle multiplicities. Negatively charged muon candidates selected in ν -mode were divided into five samples per FGD: (1) at least one photon (CC-photon); (2) no photons, pions, or protons (CC0 π 0p); (3) no photons or pions and at least one proton (CC0 π Np); (4) no photons and one positively charged pion (CC1 π^+); and (5) all other CC events (CC-Other). Positively and negatively charged muon candidates in $\bar{\nu}$ -mode are each divided into three samples per FGD: (1) no pions (CC0 π); (2) one pion with opposite charge to the muon (CC1 π); and (3) all other CC events (CC-Other). Positively charged muon candidates in ν -mode were not separately selected as the predicted contamination was 4%, compared to 30% negatively charged muon contamination in $\bar{\nu}$ -mode [48].

Newly added proton-tagged samples offer enhanced abilities to constrain the Q^2 dependence of several

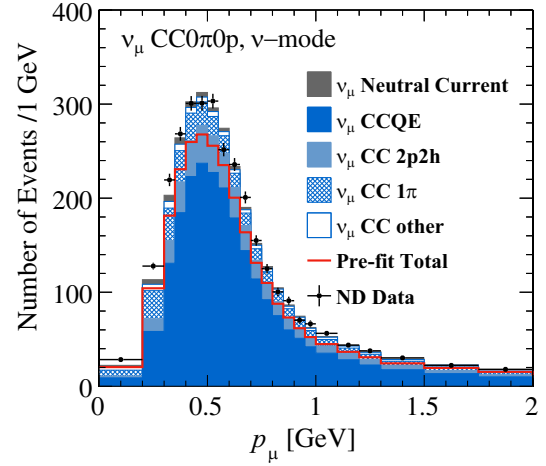


FIG. 1. Data and model predictions before and after the ND280 fit for the ν -mode FGD1 CC0 π 0p sample binned in p_μ . The fit includes $p_\mu \leq 30$ GeV for all ND280 samples, but the range is truncated here for readability. The postfit prediction is broken down by interaction channel. The predicted event rate from $\bar{\nu}$ contamination in ν -mode is neglected in the figure as it only contributes at the subpercent level.

uncertainties with data. Since ND280 achieves reliable proton tracking efficiency for momenta $\gtrsim 450$ MeV, samples with (without) protons generally correspond to higher (lower) average Q^2 . The new photon-tagged sample helps constrain π^0 production uncertainties, and increases the purity of other samples.

Each sample was binned according to the muon candidate's momentum, p_μ , and the cosine of the angle between the muon momentum vector and the beam direction, $\cos \theta_\mu$. An MC prediction was made using the flux, cross-section, and ND280 detector models, and an extended binned likelihood [49] fit to data was performed. Figure 1 shows the data, prefit, and postfit MC distributions for the FGD1 CC0 π 0p sample as a function of p_μ . The total p value is 0.575, indicating agreement between the data fit result and the input models. The uncertainty on the SK predicted event rates from cross-section and flux systematics was reduced from approximately 10%–15% to 3%–4% for each sample by using the ND280 constraint.

SK event selection—Previous T2K analyses used five SK samples: 1 ring μ - and e -like in both ν - and $\bar{\nu}$ -modes and 1 ring e -like with 1 decay electron in ν -mode [2] (tagging a pion below the Cherenkov threshold). This analysis introduces a ν_μ CC1 π^+ -like sample in ν -mode, which tags pions through two topologies: (1) one ring each from a muon and a charged pion with one or two decay electrons (from the pion and muon decay); and (2) one μ -like ring (where the charged pion is below the Cherenkov threshold) with two decay electrons (from the decay of the muon and the pion). Standard preselection criteria common to all SK samples [2] were applied in addition to the particle-identification (PID) requirements for the candidate μ -like, π -like, and

decay electron rings. These PID requirements reduce the number of selected background events with primary electrons or neutral pions and those produced by neutral-current pion production processes.

The addition of the ν_μ CC1 π^+ -like sample increases the total number of selected μ -like events by 42.5%, although much of the increase is above the oscillation maximum, and is affected by different systematics to the dominant 1 ring μ -like samples. At a reference set of oscillation parameter values [50] ($\sin^2 \theta_{23} = 0.561$, $\sin^2 \theta_{13} = 0.022$, $\sin^2 \theta_{12} = 0.307$, $\Delta m_{32}^2 = 2.49 \times 10^{-3} \text{ eV}^2$, $\Delta m_{21}^2 = 7.53 \times 10^{-5} \text{ eV}^2$, $\delta_{\text{CP}} = -1.601$, and normal ordering), 53.5 (116.6) signal (total) events were predicted in this sample. A total of 134 data events passed the selection criteria with a total systematic uncertainty of 4.3%. The reconstructed neutrino energy for this sample, using only the reconstructed muon information, is

$$E_\nu^{\text{rec}} = \frac{2m_p E_\mu + m_{\Delta^{++}}^2 - m_p^2 - m_\mu^2}{2(m_p - E_\mu + |\mathbf{p}_\mu| \cos \theta_\mu)},$$

where m_p , m_μ , $m_{\Delta^{++}}$ are the proton, muon, and Δ baryon rest masses, and E_μ , $\mathbf{p}_\mu$, θ_μ are the muon-candidate reconstructed energy, three-momentum, and angle with respect to the neutrino beam. Predicted and observed E_ν^{rec} distributions for this sample are presented in Fig. 2. Since events do not populate the region of the oscillation dip, we do not expect a significant increase in sensitivity to oscillation parameters. However, this sample provides improved control of background contributions and allows for valuable cross-checks of the cross-section model.

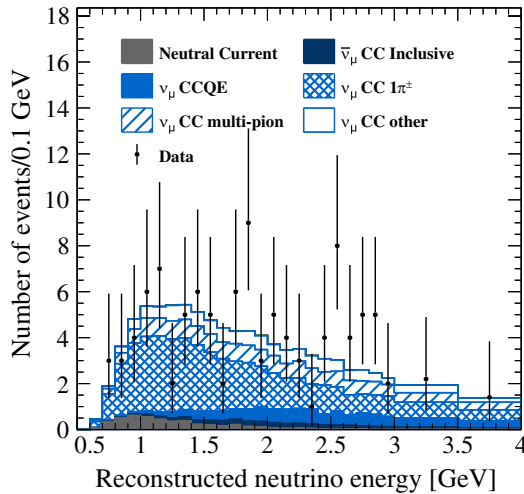


FIG. 2. The E_ν^{rec} distribution for the ν_μ CC1 π^+ - ν -mode SK sample shown for data and MC. The fit includes μ -like (e -like) events with $E_\nu^{\text{rec}} \leq 30$ (1.25) GeV, but the range is truncated here for readability. The reference oscillation parameter values are described in the main text. The ND280 constraint has been applied to the MC prediction.

Oscillation analysis—The PDG parameterization of the PMNS matrix [50] was used in the analysis. Matter effects were included with an average Earth crust density of 2.6 g/cm^3 . The peak neutrino energy and baseline used by T2K provide sensitivity to the PMNS parameters $\sin^2 \theta_{13}$, $\sin^2 \theta_{23}$, δ_{CP} , and the magnitude and sign of the mass-splitting term Δm_{32}^2 .

Measurements were carried out with and without a reactor constraint (RC). The RC was included as a Gaussian prior on $\sin^2 \theta_{13} \sim (2.20 \pm 0.07) \times 10^{-2}$, the PDG world-average [50–53]. Similarly, for Δm_{21}^2 and $\sin^2 \theta_{12}$ priors of $(7.53 \pm 0.18) \times 10^{-5} \text{ eV}^2$ and (0.307 ± 0.013) were used [50]. Flat priors were used for the other oscillation parameters. The impact of the choice of prior on δ_{CP} was investigated and does not alter the conclusions of the analysis [54].

Two different statistical approaches were used to extract constraints on the oscillation parameters of interest from a likelihood function, the form of which remained unchanged from Ref. [2]. One used a Markov chain Monte Carlo (MCMC) method [55,56], to simultaneously fit the data from ND280 and SK, producing posterior distributions from which credible intervals were constructed. The other performed a piecewise fit and marginalized over the parameters associated with the propagated ND280 constraint to provide frequentist confidence intervals, both with the constant $\Delta\chi^2$ and Feldman-Cousins (FC) [57] methods.

Table I presents the posterior predictive p values [58–60] for all SK samples. After accounting for the “look elsewhere effect” using the Bonferroni correction [61], all samples pass the 5% threshold. This suggests that the model provides a plausible description of the data within the considered parameter space.

Simulated data studies (SDSs)—These study the impact of alternative interaction models and data-driven modifications of predictions at ND280 and SK, to check the completeness of our systematic uncertainty model, and are essential tests of the robustness of our result. Changes to $\sin^2 \theta_{23}$ and Δm_{32}^2 were deemed significant if the bias to the center of a 2σ confidence interval was greater than 50% of the 1σ Asimov interval width, or if the size of a

TABLE I. Posterior predictive p values for every FD sample, from the fit including the RC.

Sample		p value
1R μ -like	ν -mode	0.35
	$\bar{\nu}$ -mode	0.84
ν_μ CC1 π^+ -like	ν -mode	0.96
1R e -like	ν -mode	0.13
	$\bar{\nu}$ -mode	0.63
1R e -like 1de	ν -mode	0.89
Total		0.86

TABLE II. Differences in the oscillation parameter constraints observed in the new and most impactful SDS. “Bias” shows changes to the center of the 2σ confidence interval divided by the 1σ Asimov interval width, and “size” is the change in size of the one-dimensional, 2σ , FC-corrected interval.

SDS		$\sin^2\theta_{23}$	Δm_{32}^2	δ_{CP}
HF CRPA	Bias	-25.1%	84.9%	-11.2%
	Size	2%	-5.4%	1%
Martini 1π	Bias	-3.2%	-18.5%	-1.7%
	Size	-0.2%	-1%	2%
Non-CCQE	Bias	10.4%	-76.3%	-0.5%
	Size	3.0%	-1%	-3%
SPP low- Q^2	Bias	14.1%	18.6%	-6.11%
	Size	2%	-1.6%	-2.2%

one-dimensional, 2σ , FC-corrected interval changed by more than 10%. If a significant bias was found, additional smearing was applied to the relevant intervals. For δ_{CP} , biases to interval boundaries that change the inclusion or exclusion of a physically interesting point in parameter space, e.g., $\delta_{CP} = [-\pi, 0, \pi]$, are reported as part of the result; but due to the non-Gaussian nature of the δ_{CP} likelihood additional smearing was not applied to reported intervals.

Various SDSs were described in Ref. [2] and have also been carried out in this analysis. New SDSs for this analysis are: replacing the default single-pion production model with the Martini *et al.* 1π model [62,63] to test the robustness of the model for the $\nu_\mu \text{CC1 } \pi^+$ -like SK sample; and altering the default nuclear response to the Hartree-Fock Continuum Random Phase Approximation (HF CRPA) [64,65] to test the new proton-tagged ND280 samples. In total 19 SDSs were performed. New SDSs and those with the most significant impact are shown in Table II. The observed bias on the center of the Δm_{32}^2 2σ interval exceeded the bias condition for both the HF CRPA and non-CCQE SDS. As a result, the Δm_{32}^2 contour was smeared by $3.1 \times 10^{-5} \text{ eV}^2$, determined from the quadrature sum of the biases on Δm_{32}^2 from all SDSs. Additionally, a single SDS (SPP low- Q^2 [40]) was found to change the 90% confidence interval of δ_{CP} such that $\delta_{CP} = \pi$ is not excluded.

Oscillation results and discussion—Figure 3 shows credible regions in the $\sin^2\theta_{13}$ - δ_{CP} plane produced with and without the RC applied. The measurement of $\sin^2\theta_{13}$ without the RC applied is consistent with the PDG value. When marginalized over both mass orderings, the best-fit value of $\sin^2\theta_{13}$ with (without) the RC applied is $22.1^{+0.6}_{-0.7} \times 10^{-3}$ ($23.5^{+5.6}_{-3.1} \times 10^{-3}$).

The FC-corrected frequentist confidence intervals for δ_{CP} are shown in Fig. 4. The best-fit value is $\delta_{CP} = -2.18^{+1.22}_{-0.47}$ ($\delta_{CP} = -1.37^{+0.41}_{-1.28}$) for normal (inverted)

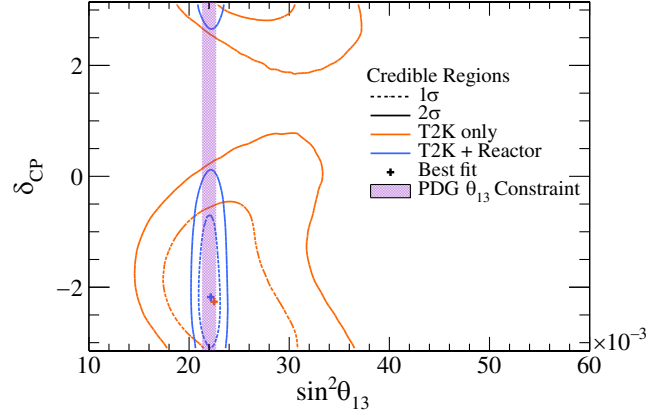


FIG. 3. Credible regions in the $\sin^2\theta_{13}$ - δ_{CP} plane produced with the MCMC analysis, shown with and without the RC applied, and overlaid with the RC constraint from Ref. [50], for normal ordering.

ordering with the RC applied. The data prefer values of δ_{CP} close to $-(\pi/2)$ radians, excluding values around $+(\pi/2)$ radians at $>3\sigma$ in both orderings. The CP-conserving values of 0 and π are excluded at the 3σ level in inverted ordering. In normal ordering, $\delta_{CP} = 0$ is excluded at 90% confidence, and although the nominal fit excludes $\delta_{CP} = \pi$ at 90% (Fig. 4), an SDS was found that could move the interval boundary past π , so this value is not excluded in the reported result. The result was statistically limited and can be expected to improve as more data are accumulated.

Figure 5 shows frequentist confidence intervals in the $\sin^2\theta_{23}$ - Δm_{32}^2 plane for both mass orderings. The data exhibit a weak preference for the upper octant of $\sin^2\theta_{23}$ and the normal ordering, with best-fit values of $\sin^2\theta_{23} = 0.559^{+0.018}_{-0.078}$ and $\Delta m_{32}^2 = (2.506^{+0.039}_{-0.052}) \times 10^{-3} \text{ eV}^2$. The MCMC analysis obtains a Bayes factor with (without) the RC applied of 2.3 (1.4) for the upper octant of θ_{23} over the lower and 2.7 (1.7) for the normal over inverted ordering.

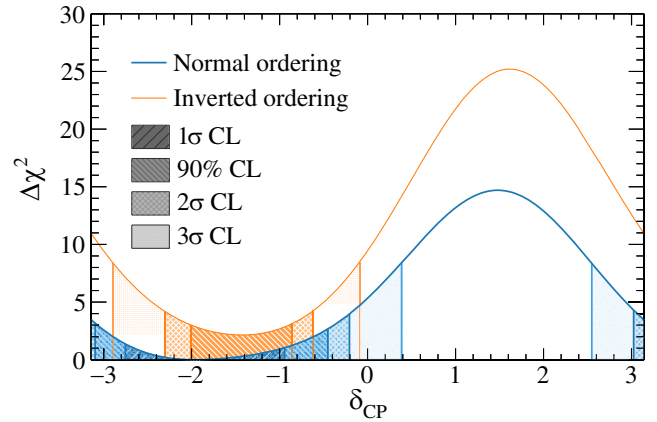


FIG. 4. The change in the best-fit χ^2 observed in the frequentist analysis as a function of δ_{CP} and the mass ordering. Shaded regions correspond to the FC-corrected confidence intervals.

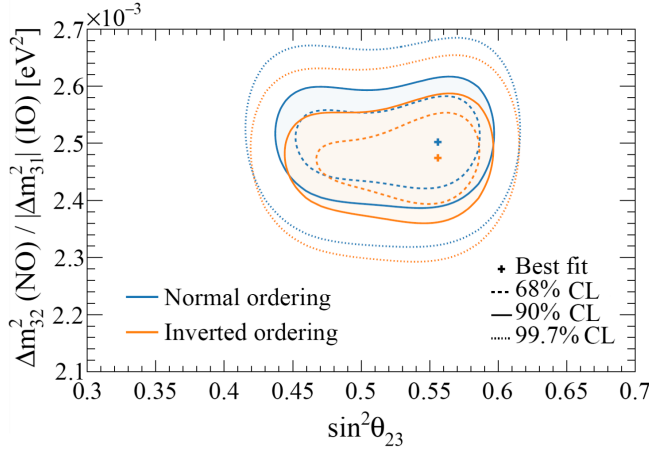


FIG. 5. Frequentist confidence intervals are shown in the $\sin^2\theta_{23}$ – Δm^2_{32} plane, produced using the constant $\Delta\chi^2$ method with the RC applied. The Δm^2_{32} contour is smeared to cover the SDS as described in the main text.

The measurements presented thus far assume the PDG parameterization of the PMNS matrix. The Jarlskog invariant, J_{CP} , is a parametrization-independent way of measuring the scale of CP violation generated by PMNS oscillations [66,67]. A zero (nonzero) value for J_{CP} indicates CP conservation (CP violation) in three-flavor neutrino mixing. The constraint on J_{CP} , obtained with the MCMC analysis, and the impact of the choice of δ_{CP} prior on that constraint, are shown in Fig. 6. The CP-conserving value, $J_{CP} = 0$, is excluded at the 90% credible interval for both δ_{CP} priors: flat in δ_{CP} and flat in $\sin\delta_{CP}$. Although changes in the prior were checked, the robustness of these credible intervals in J_{CP} has not been checked with SDS in this analysis.

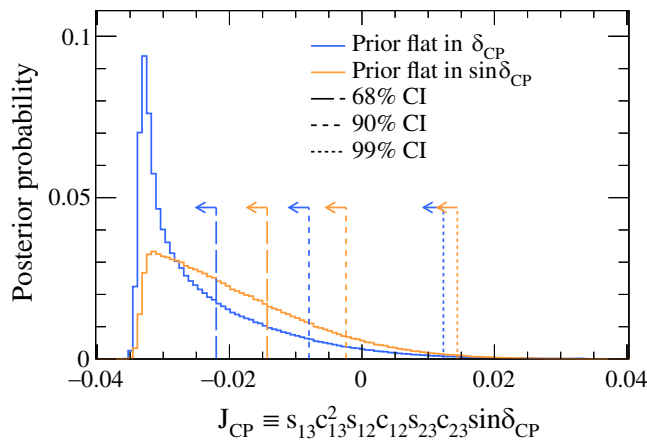


FIG. 6. Posterior probability distributions for the Jarlskog invariant taken from posterior distributions with priors that are either flat in δ_{CP} (blue) or flat in $\sin\delta_{CP}$ (orange), obtained with the MCMC analysis for both orderings, with credible intervals (CIs) shown.

Conclusions—The T2K collaboration has measured the three-flavor PMNS neutrino oscillation parameters Δm^2_{32} , $\sin^2\theta_{13}$, $\sin^2\theta_{23}$, δ_{CP} , J_{CP} , and the mass ordering, using 3.6×10^{21} POT at SK. The analysis includes a new ν_μ CC1 π^+ -like SK sample; new ND event samples in ν -mode; significant improvements to the flux and neutrino interaction modeling; and a significantly expanded set of SDSs to test the robustness of the analysis to out-of-model changes. These improvements represent a significant step forward in the development of analysis methods that are robust to mismodeling issues, a major obstacle for all current and future long-baseline neutrino oscillation experiments. Our results show a weak preference for normal ordering and the upper octant of $\sin^2\theta_{23}$ with best-fit values of $\sin^2\theta_{23} = 0.559^{+0.018}_{-0.078}$ and $\Delta m^2_{32} = (2.506^{+0.039}_{-0.052}) \times 10^{-3}$ eV². One of the CP -conserving values, $\delta_{CP} = 0$, is excluded at 90% confidence, with a best-fit value of $\delta_{CP} = -2.18^{+1.22}_{-0.47}$ in normal ordering with the RC applied.

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Data availability—Data, including MCMC chains from the fit without the RC applied, have been released in Ref. [68].

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