

## UC Irvine

### UC Irvine Previously Published Works

#### Title

Convergence of the 2D Euler- $\alpha$  to Euler equations in the Dirichlet case: Indifference to boundary layers

#### Permalink

<https://escholarship.org/uc/item/72c7378s>

#### Authors

Filho, Milton C Lopes  
Lopes, Helena J Nussenzveig  
Titi, Edriss S  
[et al.](#)

#### Publication Date

2015-02-01

#### DOI

10.1016/j.physd.2014.11.001

Peer reviewed

# Convergence of the 2D Euler- $\alpha$ to Euler equations in the Dirichlet case: indifference to boundary layers\*

Milton C. Lopes Filho<sup>a</sup>, Helena J. Nussenzveig Lopes<sup>a</sup>,  
Edriss S. Titi<sup>b,c</sup>, Aibin Zang<sup>a,d</sup>†

October 13, 2014

<sup>a</sup> Instituto de Matemática – Universidade Federal do Rio de Janeiro,  
Cidade Universitária – Ilha do Fundão, Caixa Postal 68530,  
21941-909 Rio de Janeiro, RJ – Brasil.

<sup>b</sup> Department of Computer Science and Applied Mathematics  
Weizmann Institute of Science, Rehovot, 76100, Israel.

<sup>c</sup> Department of Mathematics, Texas A&M University, 3368 TAMU  
College Station, TX 77843-3368, USA.

<sup>d</sup> Department of Mathematics, Yichun University, Yichun, Jiangxi, 336000, P.R.China

## Abstract

In this article we consider the Euler- $\alpha$  system as a regularization of the incompressible Euler equations in a smooth, two-dimensional, bounded domain. For the limiting Euler system we consider the usual non-penetration boundary condition, while, for the Euler- $\alpha$  regularization, we use velocity vanishing at the boundary. We also assume that the initial velocities for the Euler- $\alpha$  system approximate, in a suitable sense, as the regularization parameter  $\alpha \rightarrow 0$ , the initial velocity for the limiting Euler system. For small values of  $\alpha$ , this situation leads to a boundary layer, which is the main concern of this work. Our main result is that, under appropriate regularity assumptions, and despite the presence of this boundary layer, the solutions of the Euler- $\alpha$  system converge, as  $\alpha \rightarrow 0$ , to the corresponding solution of the Euler equations, in  $L^2$  in space, uniformly in time. We also present an example involving parallel flows, in order to illustrate the indifference to the boundary layer of the  $\alpha \rightarrow 0$  limit, which underlies our work.

**Keywords:** Euler- $\alpha$  equations; Euler equations; boundary layer; homogeneous Dirichlet boundary conditions.

**Mathematics Subject Classification(2000):** 35Q30; 76D05, 76D10.

## 1 Introduction

Let  $\Omega \subset \mathbb{R}^2$  be a bounded, simply connected domain, with smooth boundary  $\partial\Omega$ . We denote by  $\hat{n}$  the exterior normal vector to  $\partial\Omega$ . We consider the initial-boundary-value problem for the Euler- $\alpha$  system in  $\Omega$ , with initial data  $u_0^\alpha \in H^3(\Omega)$ , given by:

---

\*Email: mlopes@im.ufrj.br (M.C. Lopes Filho), hlopes@im.ufrj.br (H.J. Nussenzveig Lopes) titi@math.tamu.edu (E.S. Titi),

†Corresponding author: Email: zangab05@126.com (A.B. Zang).

$$\left\{ \begin{array}{ll} \partial_t v^\alpha + u^\alpha \cdot \nabla v^\alpha + \sum_{j=1}^2 v_j^\alpha \nabla u_j^\alpha = -\nabla p^\alpha & \text{in } \Omega \times (0, \infty), \\ \operatorname{div} u^\alpha = 0 & \text{in } \Omega \times [0, \infty), \\ u^\alpha = 0 \text{ on } \partial\Omega & \text{on } \partial\Omega \times [0, \infty), \\ u^\alpha(x, 0) = u_0^\alpha & \text{in } \Omega, \end{array} \right. \quad (1.1)$$

where  $v^\alpha = u^\alpha - \alpha^2 \Delta u^\alpha$ .

Existence and uniqueness of a solution for problem (1.1) was established, by using geometric tools, in [42, 50] for initial data  $u_0^\alpha \in H^s(\Omega)$ ,  $s > 2$ . Moreover, it is also remarked in the end of section 1 of [5] that the global regularity of the two-dimensional Euler- $\alpha$  system (1.1) follows clearly from [17]. Specifically, it is observed that, for fixed  $\alpha$ , the solutions of the viscous second-grade fluid established in [17] converges to the solution of (1.1), as the viscosity tends to zero. This in turn provides a direct traditional PDE proof for the global regularity of (1.1). We also remark that one can apply the abstract existence theorem of [34] to (1.1) in order to show the existence and uniqueness of solutions to (1.1).

Fix  $u_0 \in H^3(\Omega)$ , a divergence-free vector-field satisfying  $u_0 \cdot \hat{n} = 0$  on  $\partial\Omega$ . We write the initial-boundary-value problem for the incompressible two-dimensional Euler equations in  $\Omega$ , with initial velocity  $u_0$ , as

$$\left\{ \begin{array}{ll} \partial_t \bar{u} + \bar{u} \cdot \nabla \bar{u} = -\nabla \bar{p} & \text{in } \Omega \times (0, \infty), \\ \operatorname{div} \bar{u} = 0 & \text{in } \Omega \times [0, \infty), \\ \bar{u} \cdot \hat{n} = 0 & \text{on } \partial\Omega \times [0, \infty), \\ \bar{u}(x, 0) = u_0 & \text{in } \Omega. \end{array} \right. \quad (1.2)$$

Existence and uniqueness of a solution  $\bar{u} \in C([0, \infty); (H^3(\Omega))^2)$  for (1.2) can be found in [34] (see also [52]) and references therein. Clearly, we also have  $\bar{u} \in C^1([0, \infty); (H^2(\Omega))^2)$ .

Next, we consider a family of initial data for the Euler- $\alpha$  system,  $\{u_0^\alpha\} \subset H^3(\Omega)$ , corresponding to  $u_0$ , satisfying the following conditions:

$$\begin{array}{ll} \text{(i)} & u_0^\alpha \text{ vanishes on } \partial\Omega, \\ \text{(ii)} & u_0^\alpha \rightarrow u_0, \text{ as } \alpha \rightarrow 0, \text{ in } L^2(\Omega), \\ \text{(iii)} & \|\nabla u_0^\alpha\|_{L^2} = o(\alpha^{-1}), \text{ as } \alpha \rightarrow 0, \text{ and} \\ \text{(iv)} & \|u_0^\alpha\|_{H^3} = \mathcal{O}(\alpha^{-3}), \text{ as } \alpha \rightarrow 0. \end{array} \quad (1.3)$$

We call a family  $\{u_0^\alpha\}$  satisfying (1.3) a *suitable family of approximations* to  $u_0$ .

Fix  $T > 0$  and let  $u^\alpha \in C([0, T], (H^3(\Omega))^2 \cap V)$  be the unique solution of (1.1) with initial velocity  $u_0^\alpha$ , established, e.g., by Theorem 2 in [50] (see also earlier remarks concerning [5] and [17], and [34]). In section 4, we present and prove the main result of the present article, namely that if  $u^\alpha$  denotes the solution to (1.1) with initial data  $u_0^\alpha$  satisfying (1.3), then the sequence  $\{u^\alpha\}$  converges, in  $C([0, T]; (L^2(\Omega))^2)$ , to the solution of (1.2) with initial velocity  $u_0$ . In [33] T. Kato introduced a criterion for the convergence of solutions of the incompressible Navier-Stokes equations, with no-slip boundary conditions, at the limit of vanishing viscosity, to solutions of the incompressible Euler equations with non-penetration boundary conditions. The proof of our main result, Theorem 2, borrows some ideas from [33].

Using the eigenfunctions of the Stokes operator in the domain  $\Omega$ , we prove in section 5 that, for a given  $u_0 \in (H^3(\Omega))^2$ , with  $\operatorname{div} u_0 = 0$  and  $u_0 \cdot \hat{n} = 0$  on  $\partial\Omega$ , there exists a suitable family

of approximations  $\{u_0^\alpha\}$  to  $u_0$ . Thus, using Theorem 2, we have that any smooth enough solution of Euler equations (1.2), with initial data  $u_0$ , can be approximated by a solution of (1.1) in the  $C([0, T]; L^2(\Omega))$ -norm.

In section 5 we also present an example which illustrates the possible boundary layer behaviors of the  $\alpha \rightarrow 0$  limit.

The main motivation for the present work is the vanishing viscosity limit of Navier-Stokes solutions to solutions of the Euler equations. This problem is well-understood for fluid flows in domains with no boundary or in full space, but it is a classical open problem in the presence of boundaries. The main difficulty is the boundary layer, which arises from the mismatch between the no-slip boundary conditions of viscous flows and the non-penetration boundary condition of ideal flows. Formally, the situation is the same in the vanishing  $\alpha$  limit of Euler- $\alpha$  to Euler, yet our analysis shows, in this paper, that the boundary layer does not obstruct convergence in this case. The vanishing viscosity limit of Navier-Stokes to Euler has been extensively studied and, although the problem remains largely open to date, several partial results have been established. We mention results for flows with special symmetry, such as radial symmetry, see [6, 38, 39, 43], parallel flow in a channel or in a pipe, see [44, 45] and flows with analytic boundary layers, see [11, 12, 41]. For a more extensive survey see [4, 23, 37] and references therein. One approach to this problem is to seek criteria for the vanishing viscosity limit to hold. This approach was pioneered by T. Kato in [33], where it was established that convergence is equivalent to vanishing dissipation rate in a thin layer near the boundary; see also [20, 35, 53, 54] for extensions and reformulations of this criterion. Our work is inspired on the analysis performed by Kato.

The Euler- $\alpha$  system (1.1) was introduced as an *ad hoc* regularization of the incompressible Euler system, see [29, 30], and was later shown to have deep geometrical significance, as the Euler-Lagrange equations for geodesics on the group of volume-preserving diffeomorphisms with the right-invariant metric inherited from  $H^1$ , see [42, 50]. In addition, the Euler- $\alpha$  system corresponds to setting viscosity to zero in the second-grade fluid equations, which is a well-known non-Newtonian fluid model, see [22]. Moreover, in the three-dimensional case the Euler- $\alpha$  system inspired the introduction of the Navier-Stokes- $\alpha$  and Leray- $\alpha$  viscous models, which turned out to be remarkable sub-grid scale models of turbulence (see, e.g., [9, 13–16, 25, 26], and references therein).

There has been substantial work on the Euler- $\alpha$  system. In the full plane, well-posedness has been studied under different regularity assumptions, see [2, 3, 5, 48]. Also, the vanishing viscosity limit of second-grade fluids to Euler- $\alpha$  was established in [5] and the limit  $\alpha \rightarrow 0$  of Euler- $\alpha$  to Euler was investigated in [2, 3, 47]. In domains with boundary, besides the non-penetration condition  $u \cdot \hat{n} = 0$ , the Euler- $\alpha$  system requires additional boundary conditions, but there is no natural choice for them, either on physical or geometric grounds. There are two different kinds of boundary conditions considered in the literature: Navier-type slip conditions and homogeneous Dirichlet boundary conditions (no-slip). Existence and uniqueness of solutions to the Euler- $\alpha$  system in a bounded domain, under Navier conditions was established in [8, 50]. The limit as  $\alpha \rightarrow 0$  of second-grade fluids to the Navier-Stokes equations was studied, for flow in a bounded domain with Dirichlet boundary conditions, in [10, 31]. As mentioned earlier, in [5], it was remarked that the uniform estimates, with respect to the viscosity, that have been established in [17] will easily imply the convergence of the solutions of the second-grade fluid equations, as the viscosity  $\nu \rightarrow 0$ , and fixed  $\alpha$ , to the corresponding unique solutions of the Euler- $\alpha$  equation under homogeneous Dirichlet boundary conditions. In [7], the independent limits of second-grade fluids, as  $\alpha \rightarrow 0$  or  $\nu \rightarrow 0$ , were studied for flows in a bounded domain with Navier-type boundary conditions. In all singular limits studied, in the presence of boundaries, the difficulty of dealing with a boundary layer was avoided. The main purpose of the present work is to address precisely this difficulty.

The  $\alpha$ -regularization, under homogeneous Dirichlet boundary conditions, as considered here, has two advantages: (a) it is particularly simple and (b) it formally resembles the effect of viscosity.

However, our analysis ends up highlighting the sharp contrast between small viscosity, in the context of the Navier-Stokes equations, and small  $\alpha$ , in the context of the Euler- $\alpha$  equations, in the presence of rigid boundaries. The initial objective of the present investigation was to obtain a version of the Kato criterion in the vanishing  $\alpha$  limit. The convergence which we obtained here was unexpected, and it certainly appears in other contexts, such as the three-dimensional case, combining small  $\alpha$  and small viscosity in case of second-grade fluid (cf. [40]), or by considering other  $\alpha$ -type regularizations of the ideal flow equations. We chose to focus, in this article, on the simplest case in order to provide an accessible baseline for future research.

The remainder of this paper is organized as follows. In section 2, we will introduce notation, present some preliminary results and write the vorticity formulation of (1.1). In section 3, we include a proof of global existence and uniqueness of a weak solution for (1.1). Although this result can be found explicitly in [42, 50] (or indirectly in [34], or in [5] combined with [17]), we require, for our main result, some explicit estimates, which are derived in the proof of Theorem 1. In section 4, we obtain, for any  $T \in (0, \infty)$ , the convergence of solutions of the Euler- $\alpha$  equations to solutions of the Euler equations, as  $\alpha \rightarrow 0$ , in  $C([0, T]; (L^2(\Omega))^2)$ , assuming that the initial data for the Euler- $\alpha$  system is a suitable family of approximation to the initial data for the Euler equations. In section 5, we describe a method for constructing a suitable family of approximations for a given initial velocity  $u_0$  of Euler equation (1.2). We also present a class of examples illustrating the boundary layer behavior of the small  $\alpha$  approximation and we discuss some directions for future research.

## 2 Notations and preliminaries

In this section, we introduce notation and we present the vorticity formulation of the Euler- $\alpha$  system.

We use the notation  $H^m(\Omega)$  for the usual  $L^2$ -based Sobolev spaces of order  $m$ , with the norm  $\|\cdot\|_m$  and the scalar product  $(\cdot, \cdot)_m$ . For the case  $m = 0$ ,  $H^0(\Omega) = L^2(\Omega)$ ; we denote the corresponding norm by  $\|\cdot\|$  and the inner product by  $(\cdot, \cdot)$ . We denote by  $C_c^\infty(\Omega)$  the space of smooth functions, compactly supported in  $\Omega$ , and by  $H_0^m(\Omega)$  the closure of  $C_c^\infty(\Omega)$  under the  $H^m$ -norm.

We also make use of the following notation:

$$\begin{aligned} [u, v] &= (\nabla u, \nabla v) + \alpha^2(\Delta u, \Delta v), \text{ for all } u, v \in C_c^\infty(\Omega), \\ H &= \{u \in (L^2(\Omega))^2 : \operatorname{div} u = 0 \text{ in } \Omega, u \cdot \hat{n} = 0 \text{ on } \partial\Omega\}, \\ V &= \{u \in (H_0^1(\Omega))^2 : \operatorname{div} u = 0 \text{ in } \Omega\}, \\ \dot{H}^1 &= \{\pi \in H^1(\Omega) : \int_{\Omega} \pi \, dx = 0\}. \end{aligned}$$

It is easy to see that, for each fixed  $\alpha > 0$ ,  $[\cdot, \cdot]$  gives rise to an inner product on  $H_0^2(\Omega)$  and that the corresponding norm is equivalent to the usual  $H^2$ -norm, restricted to  $H_0^2(\Omega)$ .

Let  $u = (u_1, u_2) \in V$ . Then

$$\operatorname{curl} u \equiv \partial_{x_1} u_2 - \partial_{x_2} u_1 = \nabla^\perp \cdot u,$$

where  $\nabla^\perp = (-\partial_{x_2}, \partial_{x_1})$ .

Hereafter we use  $C$  for constants that, in principle, depend on  $\alpha$ , and  $K$  for those that are independent of  $\alpha$ .

The following results can be found, for example, in [28].

**Lemma 1.** *Let  $\phi \in H^m(\Omega)$ ,  $m \geq 0$ . Then there exists a unique divergence-free vector field  $\Psi \in (H^{m+1}(\Omega))^2$  with  $\Psi \cdot \hat{n} = 0$  on  $\partial\Omega$ , such that*

$$\begin{aligned} \operatorname{curl} \Psi &= \phi, \\ \|\Psi\|_{m+1} &\leq K \|\phi\|_m, \end{aligned}$$

for some constant  $K > 0$ , which depends only on  $m$  and  $\Omega$ .

Next we introduce the potential vorticity. Given  $u = (u^1, u^2)$  a solution of the Euler- $\alpha$  system (1.1), the associated potential vorticity  $q$  is defined by

$$q \equiv \operatorname{curl}(u - \alpha^2 \Delta u) = \partial_{x_1}(u^2 - \alpha^2 \Delta u^2) - \partial_{x_2}(u^1 - \alpha^2 \Delta u^1).$$

We apply the curl operator to the first equation in (1.1) and, after a straightforward calculation, we obtain the vorticity formulation of the Euler- $\alpha$  equations:

$$\left\{ \begin{array}{ll} \partial_t q + u \cdot \nabla q = 0, & \text{in } \Omega \times (0, \infty), \\ \operatorname{div} u = 0, & \text{in } \Omega \times [0, \infty), \\ \operatorname{curl}(u - \alpha^2 \Delta u) = q, & \text{in } \Omega \times [0, \infty) \\ u = 0, & \text{on } \partial\Omega \times [0, \infty) \\ q(\cdot, 0) = \operatorname{curl}(u_0 - \alpha^2 \Delta u_0) = q_0 & \text{in } \Omega. \end{array} \right. \quad (2.1)$$

Assume  $(u, q)$  is a solution of (2.1). Let us introduce the stream function  $\phi$ , such that  $u = \nabla^\perp \phi = (-\phi_{x_2}, \phi_{x_1})$ . After appropriately fixing an additive constant, it is easy to see that  $\phi$  satisfies the elliptic problem:

$$\left\{ \begin{array}{ll} \Delta \phi - \alpha^2 \Delta^2 \phi = q, & \text{in } \Omega \\ \phi = \frac{\partial \phi}{\partial \hat{n}} = 0, & \text{on } \partial\Omega. \end{array} \right. \quad (2.2)$$

**Lemma 2.** *Let  $q \in L^2(\Omega)$ . There exists a unique solution  $\phi \in H_0^2(\Omega)$  of (2.2), in the following sense:*

$$[\phi, \psi] = (-q, \psi), \text{ for any } \psi \in H_0^2(\Omega). \quad (2.3)$$

Furthermore, the solution operator  $q \mapsto \phi$  maps  $L^2(\Omega)$  continuously into  $H^4(\Omega) \cap H_0^2(\Omega)$ .

*Proof.* We define the bilinear operator  $\mathcal{A}(\phi, \psi) = [\phi, \psi]$ , for  $\phi, \psi \in H_0^2(\Omega)$ . It is easy to see that

$$|\mathcal{A}(\phi, \psi)| \leq C \|\phi\|_2 \|\psi\|_2$$

and, also, that

$$\mathcal{A}(\phi, \phi) = [\phi, \phi] = (\nabla \phi, \nabla \phi) + \alpha^2 (\Delta \phi, \Delta \phi) \geq C \|\phi\|_2^2,$$

where  $C > 0$  depends only on  $\alpha$  and  $\Omega$ . Using the Lax-Milgram theorem (cf. [19, 24]), we obtain existence and uniqueness of  $\phi \in H_0^2(\Omega)$  satisfying (2.3).

Next, we will show that the solution operator  $q \mapsto \phi$  is continuous from  $L^2(\Omega)$  into  $H^4(\Omega) \cap H_0^2(\Omega)$ . Indeed, from Lemma 1, there exists a unique divergence-free vector field  $\Phi \in (H^1(\Omega))^2$ , with  $\Phi \cdot \hat{n} = 0$  on  $\partial\Omega$ , such that

$$\operatorname{curl} \Phi = q \text{ and } \|\Phi\|_1 \leq K \|q\|. \quad (2.4)$$

It is easy to see from (2.3) that  $\phi$  satisfies  $\Delta \phi - \alpha^2 \Delta^2 \phi = q$  in  $D'(\Omega)$ . Hence we have, in the sense of distributions, the identity

$$\operatorname{curl}(-\alpha^2 \Delta(\nabla^\perp \phi) - (\Phi - \nabla^\perp \phi)) = 0.$$

Therefore, since  $\Omega$  was assumed to be simply connected, there exists a unique pressure  $\pi \in \dot{H}^1$ , associated with the irrotational vector field  $-\Delta(\nabla^\perp \phi) - \frac{1}{\alpha^2}(\Phi - \nabla^\perp \phi)$ , so that

$$\begin{cases} -\Delta(\nabla^\perp \phi) + \nabla \pi = f, & \text{in } \Omega \\ \operatorname{div}(\nabla^\perp \phi) = 0 & \text{in } \Omega \\ \nabla^\perp \phi = 0 & \text{on } \partial\Omega, \end{cases} \quad (2.5)$$

where  $f = \frac{1}{\alpha^2}(\Phi - \nabla^\perp \phi) \in H^1(\Omega)$ . From standard estimates on the Stokes operator (see, for example, Lemma IV.6.1 in [27]), we have

$$\|\nabla^\perp \phi\|_3 \leq K \|f\|_1 \leq \frac{K}{\alpha^2} (\|\Phi\|_1 + \|\nabla^\perp \phi\|_1). \quad (2.6)$$

Using (2.3) with  $\psi = \phi$ , we obtain, thanks to the Poincaré inequality [24]

$$\|\nabla \phi\|^2 + \alpha^2 \|\Delta \phi\|^2 \leq \|\phi\| \|q\| \leq \|\nabla \phi\| \|q\| \frac{1}{\lambda_1^{1/2}},$$

where  $\lambda_1$  is the first eigenvalue of the Laplace operator on  $\Omega$  with Dirichlet conditions. Applying Young's inequality we find that  $\alpha^2 \|\Delta \phi\|^2 \leq \frac{1}{2\lambda_1^{1/2}} \|q\|^2$ , which, in turn, implies that

$$\|\nabla^\perp \phi\|_1 \leq \frac{K}{\alpha \lambda_1^{1/2}} \|q\|, \quad (2.7)$$

by standard elliptic regularity estimates together with the Poincaré inequality. Finally, we use (2.4) and (2.7) in (2.6), and we recall that we are interested in the small  $\alpha$  regime, say  $\alpha \in (0, \lambda_1^{-1/2})$ , we hence obtain

$$\|\nabla^\perp \phi\|_3 \leq \frac{K}{\alpha^3} \|q\|. \quad (2.8)$$

It follows from this estimate, together with the Poincaré inequality, that  $\phi \in H^4(\Omega)$ , and that  $\|\phi\|_4 \leq \frac{K}{\alpha^3} \|q\|$ .  $\square$

**Remark 1.** *In view of Lemma 2, we can now introduce the bounded linear operator  $\mathbb{K} : L^2(\Omega) \rightarrow H^3(\Omega) \cap W_0^{1,\infty}(\Omega)$ , given by  $q \mapsto u = \mathbb{K}[q] = \nabla^\perp \phi$ , where  $\phi$  is the unique solution of (2.2). We will refer to  $\mathbb{K}$  as the Biot-Savart- $\alpha$  operator.*

### 3 Global well-posedness of Euler- $\alpha$ equation

In this section we will establish global-in-time existence and uniqueness of a weak solution to the Euler- $\alpha$  equations (1.1), see Theorem 1 below.

Recall the Biot-Savart- $\alpha$  operator  $\mathbb{K}$  introduced in Remark 1.

**Theorem 1.** *Fix  $T > 0$ . Let  $q_0 \in L^2(\Omega)$ , and set  $u_0 = \mathbb{K}(q_0)$ . Then there exists a unique function  $q \in C([0, T]; L^2(\Omega))$  and a unique vector field  $u = \mathbb{K}(q) \in C([0, T]; (H^3(\Omega))^2 \cap V)$ , such that the pair  $(u, q)$  is a weak solution of (2.1) in the following sense:  
For any test function  $v \in C_0^\infty(\Omega)$  it holds that*

$$(q(t), v)_{L^2} - (q_0, v)_{L^2} - \int_0^t \int_\Omega (u \cdot \nabla v) q \, dx \, dt = 0, \quad (3.1)$$

for every  $t \in [0, T]$ . Moreover,

$$\|q(t)\| \leq \|q_0\|, \quad \text{for all } t \in [0, T]. \quad (3.2)$$

**Remark 2.** In [36], the authors consider the Euler- $\alpha$  equation with Navier (slip) boundary conditions, and they prove the existence of solution by constructing the solution as the limit of viscous regularization of the  $\alpha$ -model. Here, we will use the Banach fixed point theorem.

*Proof.* We begin by constructing a mapping  $\mathcal{F}$  from  $C([0, T]; V)$  to itself which, subsequently, we will show is a contraction. For simplicity's sake we first consider the vorticity formulation of the Euler- $\alpha$  equations.

Let  $u \in C([0, T]; V)$ . It follows from the existence, uniqueness and regularity results of the DiPerna-Lions [21], that the following *linear* problem has a unique weak (distributional) solution  $\tilde{q} \in C([0, T]; L^2(\Omega))$ :

$$\begin{cases} \partial_t \tilde{q} + u \cdot \nabla \tilde{q} = 0, \\ \tilde{q}(0, \cdot) = q_0. \end{cases} \quad (3.3)$$

Moreover, the following estimate holds true:

$$\|\tilde{q}(t)\| \leq \|q_0\|, \quad \text{for all } t \in [0, T]. \quad (3.4)$$

Next, we introduce a new velocity,  $\tilde{u}$ , constructed as follows:

$$\begin{aligned} \tilde{u} &= \nabla^\perp \tilde{\phi}, \quad \text{where } \tilde{\phi} \in H^4(\Omega) \cap H_0^2(\Omega), \quad \text{and} \\ \Delta \tilde{\phi} - \alpha^2 \Delta^2 \tilde{\phi} &= \tilde{q}, \quad \text{in } [0, T] \times \Omega. \end{aligned}$$

It follows that  $\tilde{u} = \mathbb{K}[\tilde{q}]$ . In view of Lemma 2 and Remark 1, it follows that  $\tilde{u} \in C([0, T]; (H^3(\Omega))^2 \cap V)$ .

We introduce the mapping  $\mathcal{F} : C([0, T]; V \cap (H^3(\Omega))^2) \rightarrow C([0, T]; V \cap (H^3(\Omega))^2)$  as

$$u \mapsto \mathcal{F}[u] := \tilde{u}.$$

We easily obtain that

$$\sup_{t \in [0, T]} \|\mathcal{F}[u](t)\|_1 \leq C \sup_{t \in [0, T]} \|\tilde{q}(t)\| \leq C \|q_0\|.$$

In fact, in view of (2.8), as established in Lemma 2, we have even more:

$$\sup_{t \in [0, T]} \|\mathcal{F}[u](t)\|_3 \leq C \sup_{t \in [0, T]} \|\tilde{q}(t)\| \leq C \|q_0\|. \quad (3.5)$$

Let  $\tilde{v} := \tilde{u} - \alpha^2 \Delta \tilde{u}$ . Next, we note that  $(\tilde{u}, \tilde{v})$  is a solution of the following modified Euler- $\alpha$  system:

$$\begin{cases} \partial_t \tilde{v} + u \cdot \nabla \tilde{v} - \sum_j u_j \nabla \tilde{v}_j + \nabla p = 0, & \text{in } (0, T) \times \Omega, \\ \operatorname{div} \tilde{u} = 0, & \text{in } [0, T] \times \Omega, \\ \tilde{u} = 0, & \text{on } [0, T] \times \partial\Omega, \\ \tilde{u}(0, \cdot) = u_0, & \text{on } \Omega. \end{cases} \quad (3.6)$$

Indeed, one has the identity

$$\partial_t \tilde{q} + u \cdot \nabla \tilde{q} = \operatorname{curl} (\partial_t \tilde{v} + u \cdot \nabla \tilde{v} - \sum_j u_j \nabla \tilde{v}_j).$$



Thanks to (3.3), one concludes that

$$\operatorname{curl}(\partial_t \tilde{v} + u \cdot \nabla \tilde{v} - \sum_j u_j \nabla \tilde{v}_j) = 0.$$

Since  $\Omega$  is simply connected, there exists a pressure  $p$  such that

$$\partial_t \tilde{v} + u \cdot \nabla \tilde{v} - \sum_j u_j \nabla \tilde{v}_j = -\nabla p.$$

Thus the first equation of (3.6) holds. We use system (3.6) to show that, for some sufficiently small  $\delta > 0$ ,  $\mathcal{F}$  is a contraction with respect to the norm  $C([0, \delta]; V)$ . To this end let  $u^1$  and  $u^2$  be divergence-free vector fields in  $C([0, \delta]; V \cap (H^3(\Omega))^2)$ , for some  $\delta > 0$  to be fixed later. Consider  $\tilde{u}^1, \tilde{u}^2, \tilde{v}^1 = \tilde{u}^1 - \alpha^2 \Delta \tilde{u}^1$  and  $\tilde{v}^2 = \tilde{u}^2 - \alpha^2 \Delta \tilde{u}^2$ . Set

$$R = u^1 - u^2,$$

$$S = \tilde{u}^1 - \tilde{u}^2 \equiv \mathcal{F}[u^1] - \mathcal{F}[u^2].$$

Note that

$$\tilde{v}^1 - \tilde{v}^2 = S - \alpha^2 \Delta S.$$

Subtracting the equation for  $\tilde{u}^2$  from that for  $\tilde{u}^1$  we obtain:

$$\partial_t(S - \alpha^2 \Delta S) + u^1 \cdot \nabla \tilde{v}^1 - u^2 \cdot \nabla \tilde{v}^2 - \sum_j u_j^1 \nabla \tilde{v}_j^1 + \sum_j u_j^2 \nabla \tilde{v}_j^2 + \nabla p^1 - \nabla p^2 = 0. \quad (3.7)$$

Take the scalar product of (3.7) with  $S$ , re-write the nonlinear terms using  $R$  and  $S$  and integrate over  $\Omega$  to obtain:

$$\begin{aligned} & \frac{1}{2} \frac{d}{dt} (\|S\|^2 + \alpha^2 \|\nabla S\|^2) \\ &= - \int_{\Omega} S \cdot [(R \cdot \nabla) \tilde{v}^1 + (u^2 \cdot \nabla)(S - \alpha^2 \Delta S)] \, dx \\ & \quad + \int_{\Omega} S \cdot \left[ \sum_j u_j^1 \nabla (S - \alpha^2 \Delta S)_j + \sum_j R_j \nabla \tilde{v}_j^2 \right] \, dx \\ &=: I + J. \end{aligned} \quad (3.8)$$

We begin by estimating first  $I$ . We note, as usual, that  $(S, u^2 \cdot \nabla S) = 0$ , so that we find:

$$\begin{aligned} |I| &\leq \left| \int_{\Omega} S \cdot [(R \cdot \nabla) \tilde{v}^1 - (u^2 \cdot \nabla) \alpha^2 \Delta S] \, dx \right| \\ &= \left| \int_{\Omega} S \cdot [(R \cdot \nabla) \tilde{v}^1] + [(u^2 \cdot \nabla) S] \cdot \alpha^2 \Delta S \, dx \right| \\ &= \left| \int_{\Omega} S \cdot [(R \cdot \nabla) \tilde{v}^1] + \alpha^2 \sum_{k, \ell} [\partial_{\ell} u_k^2 \partial_k S + u_k^2 \partial_k \partial_{\ell} S] \partial_{\ell} S \, dx \right| \\ &= \left| \int_{\Omega} S \cdot [(R \cdot \nabla) \tilde{v}^1] + \alpha^2 \sum_{k, \ell} [\partial_{\ell} u_k^2 \partial_k S] \partial_{\ell} S \, dx \right|, \end{aligned}$$

where we integrated by parts the term with the Laplacian and then used the divergence-free condition on  $u^2$  to show that the remaining term with two derivatives of  $S$  vanishes. Therefore, using Hölder's inequality, we deduce that

$$|I| \leq \|S\|_{L^4} \|R\|_{L^4} \|\nabla \tilde{v}^1\| + \alpha^2 \|\nabla u^2\|_{L^\infty} \|\nabla S\|^2,$$

so that, using the Sobolev inequality, we get

$$|I| \leq C \|\nabla S\| \|\nabla R\| + C \alpha^2 \|\nabla S\|^2. \quad (3.9)$$

Next, we estimate the second integral term,  $J$ . We find, using Hölder's inequality together with the divergence-free condition on  $S$ , that:

$$\begin{aligned} |J| &\leq \left| \int_{\Omega} S \cdot \left[ \sum_j u_j^1 \nabla (S - \alpha^2 \Delta S)_j + \sum_j R_j \nabla \tilde{v}_j^2 \right] dx \right| \\ &\leq \left| \int_{\Omega} \sum_j u_j^1 S \cdot \nabla S_j dx - \alpha^2 \int_{\Omega} \sum_j u_j^1 \operatorname{div} (\Delta S_j S) dx \right| + \|S\|_{L^4} \|R\|_{L^4} \|\nabla \tilde{v}^2\| \\ &\leq \|u^1\|_{L^\infty} \|S\| \|\nabla S\| + \alpha^2 \left| \int_{\Omega} \sum_j \nabla u_j^1 \cdot S \Delta S_j dx \right| + \|S\|_{L^4} \|R\|_{L^4} \|\nabla \tilde{v}^2\| \\ &= \|u^1\|_{L^\infty} \|S\| \|\nabla S\| + \alpha^2 \left| \int_{\Omega} \sum_{j,k} \nabla u_j^1 \cdot S \partial_k \partial_k S_j dx \right| + \|S\|_{L^4} \|R\|_{L^4} \|\nabla \tilde{v}^2\| \\ &\leq \|u^1\|_{L^\infty} \|S\| \|\nabla S\| + \alpha^2 \left| \int_{\Omega} \sum_{j,k} \partial_k \nabla u_j^1 \cdot S \partial_k S_j + \nabla u_j^1 \cdot \partial_k S \partial_k S_j dx \right| + \|S\|_{L^4} \|R\|_{L^4} \|\nabla \tilde{v}^2\| \\ &\leq \|u^1\|_{L^\infty} \|S\| \|\nabla S\| + \alpha^2 \sum_{j,\ell} \|\partial_k \partial_\ell u_j^1\|_{L^4} \|S\|_{L^4} \|\nabla S\| + \alpha^2 \|\nabla u^1\|_{L^\infty} \|\nabla S\|^2 + \|S\|_{L^4} \|R\|_{L^4} \|\nabla \tilde{v}^2\|, \end{aligned}$$

where we integrated by parts the term with the Laplacian. Therefore, using the Sobolev inequality, followed by Young's inequality, together with the uniform bound (3.5), we arrive at

$$|J| \leq C \|S\| \|\nabla S\| + C \alpha^2 \|\nabla S\|^2 + C \|\nabla S\| \|\nabla R\|. \quad (3.10)$$

Insert the estimates derived in (3.9) and (3.10) into (3.8) leads to the differential inequality

$$\begin{aligned} \frac{1}{2} \frac{d}{dt} (\|S\|^2 + \alpha^2 \|\nabla S\|^2) &\leq C \|\nabla S\| \|\nabla R\| + C \alpha^2 \|\nabla S\|^2 + C \|S\| \|\nabla S\| \\ &\leq C_1 (\|S\|^2 + \alpha^2 \|\nabla S\|^2) + C_2 (\|R\|^2 + \alpha^2 \|\nabla R\|^2). \end{aligned} \quad (3.11)$$

Recall that  $S(t=0) = 0$ , since  $u^1(t=0) = \tilde{u}^1(t=0) = \tilde{u}^2(t=0) = u^2(t=0) = u_0$ . Hence, we obtain by Gronwall's inequality, that

$$(\|S\|^2 + \alpha^2 \|\nabla S\|^2)(t) \leq \int_0^t (\|S\|^2 + \alpha^2 \|\nabla S\|^2)(s) e^{C(t-s)} ds. \quad (3.12)$$

Taking the supremum, for  $t \in [0, \delta]$ , of the norms  $(\|S\|^2 + \alpha^2 \|\nabla S\|^2)(t)$  we deduce

$$\sup_{t \in [0, \delta]} (\|S\|^2 + \alpha^2 \|\nabla S\|^2)(t) \leq \frac{e^{C\delta} - 1}{C} \sup_{t \in [0, \delta]} (\|R\|^2 + \alpha^2 \|\nabla R\|^2)(t). \quad (3.13)$$

Therefore, if we choose  $\delta > 0$  small enough, so that  $\sigma = \frac{e^{C\delta} - 1}{C} < 1$  then we have shown that  $\mathcal{F}$  is a contraction with respect to the  $H^1$ -norm, for short interval of time  $[0, \delta]$ . Ineed, we have obtained the estimate

$$\sup_{t \in [0, \delta]} \|\mathcal{F}[u^1] - \mathcal{F}[u^2]\|_1(t) \leq \sigma \sup_{t \in [0, \delta]} \|u^1 - u^2\|_1(t). \quad (3.14)$$

We invoke the Banach fixed point theorem in metric spaces to conclude the existence of a unique fixed point  $u \in C([0, \delta]; V)$ . This fixed point is also the limit of the fixed point iteration, where  $u^0 \equiv u_0$  and  $u^n \equiv \mathcal{F}[u^{n-1}]$ , as the argument. We easily know that the sequence  $\{u^n\}$  converge to  $u$  in  $C([0, T]; V)$ . As  $u_0 \in (H^3(\Omega))^2$  it follows from (3.5) that

$$\sup_{t \in [0, \delta]} \|u^n(t)\|_3 \leq C \|q_0\|,$$

for all  $n$ . Hence, by the Banach-Alaoglu theorem there exists a subsequence  $\{u^{n_k}\}$  which converges, weak-\* in  $L^\infty((0, \delta); (H^3(\Omega))^2)$ , to a limit in the same space. As this subsequence also converges strongly in  $C([0, \delta]; V)$  to the unique fixed point  $u$ , it follows, by uniqueness of limits, that the fixed point belongs to the more regular space  $L^\infty((0, \delta); (H^3(\Omega))^2)$ .

In fact, since  $u \in C([0, \delta]; V)$ , we also have  $q \in C([0, \delta]; L^2(\Omega))$  from the uniqueness and regularity results in [21] for the transport equation (3.3). Clearly,  $u$  is a solution of (3.1) with  $q = \text{curl } v$ ,  $v = u - \alpha^2 \Delta u$ . Consequently,  $u \in C([0, \delta]; (H^3(\Omega))^2)$ . Therefore, it follows that  $u$  is a distributional solution of (1.1). Since the  $C([0, \delta]; (H^3(\Omega))^2)$ -norm of  $u$  is bounded independent of  $\delta$ , we can repeat the argument above and extend the solution to any interval  $[0, T]$ .  $\square$

## 4 Convergence as $\alpha \rightarrow 0$

In [47], the authors have studied the convergence of smooth solutions of the Euler- $\alpha$  to corresponding solutions of the Euler equations, as  $\alpha \rightarrow 0$ , in whole space. In this section, we will prove that the solutions  $\{u^\alpha\}$  of Euler- $\alpha$  equations, with Dirichlet boundary conditions, converge to the unique solution  $\bar{u}$  of Euler equations, as  $\alpha \rightarrow 0$ . Specifically, we state and prove the following theorem which is the main result in this paper:

**Theorem 2.** *Fix  $T > 0$ , and let  $u_0 \in (H^3(\Omega))^2 \cap H$ . Assume also that we are given a suitable family of approximations  $\{u_0^\alpha\}_{\alpha > 0} \subset (H^3(\Omega))^2$  for  $u_0$ , satisfying (1.3). Suppose that  $u^\alpha \in C([0, T]; (H^3(\Omega))^2)$  is the unique solution of Euler- $\alpha$  with initial velocity  $u_0^\alpha$ , established in Theorem 1. Let  $\bar{u} = \bar{u}(t, x) \in C([0, T]; (H^3(\Omega))^2) \cap C^1([0, T]; (H^2(\Omega))^2)$  be the unique strong solution of the incompressible Euler equations with initial velocity  $u_0$ . Then*

$$\lim_{\alpha \rightarrow 0} \sup_{t \in [0, T]} \|u^\alpha(t) - \bar{u}(t)\| = 0, \text{ and } \lim_{\alpha \rightarrow 0} \sup_{t \in [0, T]} \alpha^2 \|\nabla u^\alpha(t)\| = 0. \quad (4.1)$$

In [33] T. Kato established a criterion for the convergence, of the vanishing viscosity limit of solutions of the Navier-Stokes equations subject to the homogeneous Dirichlet boundary conditions, to a solution of the Euler equations in domains with physical boundaries. The proof of Theorem

2 is inspired by Kato's argument. The main ingredient consists of establishing a boundary layer corrector function for the discrepancy between  $u^\alpha$  and  $\bar{u}$  near the boundary. To construct this boundary corrector function we consider, first, the stream function  $\bar{\psi} = \bar{\psi}(t, x)$  associated to  $\bar{u}$ , given by the unique solution of the elliptic equation

$$\begin{cases} \Delta \bar{\psi} = \operatorname{curl} \bar{u}, & \text{in } \Omega, \\ \bar{\psi} = 0, & \text{on } \partial\Omega. \end{cases} \quad (4.2)$$

It follows classically that

$$\bar{u} = \nabla^\perp \bar{\psi}.$$

Let  $\xi : \mathbb{R}^+ \rightarrow [0, 1]$  be a smooth cut-off function such that

$$\xi(0) = 1, \quad \xi(r) = 0 \text{ for } r \geq 1. \quad (4.3)$$

Let  $\delta > 0$ , be small enough to be determined later, and set

$$z = z(x) = \xi\left(\frac{\rho}{\delta}\right), \quad \text{where } \rho = \operatorname{dist}(x, \partial\Omega), \text{ for any } x \in \bar{\Omega}. \quad (4.4)$$

We introduce the boundary layer corrector  $u_b = u_b(t, x)$  as

$$u_b = \nabla^\perp(z\bar{\psi}). \quad (4.5)$$

We collect below some useful estimates on the boundary layer corrector function.

**Lemma 3.** *Let  $u_b$  be defined by (4.5). Then we have that:*

$$\begin{aligned} \sup_{t \in [0, T]} \|\partial_t^\ell u_b(t)\| &\leq K\delta^{\frac{1}{2}}, \quad \sup_{t \in [0, T]} \|\partial_t^\ell \nabla u_b(t)\| \leq K\delta^{-\frac{1}{2}}, \\ \sup_{t \in [0, T]} \|\rho^2 \nabla u_b(t)\|_{L^\infty} &\leq K\delta, \quad \sup_{t \in [0, T]} \|\rho \nabla u_b(t)\| \leq K\delta^{\frac{1}{2}}, \end{aligned} \quad (4.6)$$

where  $\ell = 0, 1$  and  $K$  depends only on  $\bar{u}, \xi$  and  $\Omega$ , but does not depend on  $\delta$ .

We observe that these estimates follow by straightforward calculations and we omit their proof (cf. [33]).

We are now ready to give the proof of our main result, Theorem 2.

*Proof of Theorem 2.* We start with the observation that, since  $u^\alpha \in C([0, T]; V \cap (H^3(\Omega))^2)$ . We multiply the Euler- $\alpha$  equations (1.1) by  $u^\alpha$  and integrating over time and space, and use the hypotheses (1.3), we obtain that

$$\|u^\alpha\|^2 + \alpha^2 \|\nabla u^\alpha\|^2 = \|u_0^\alpha\|^2 + \alpha^2 \|\nabla u_0^\alpha\|^2 \leq K. \quad (4.7)$$

Since  $\operatorname{div} u^\alpha = 0$ , we have from (4.7)

$$\|\operatorname{curl} u^\alpha\| = \|\nabla u^\alpha\| \leq \frac{K}{\alpha}. \quad (4.8)$$

Recall that  $q^\alpha = \operatorname{curl}(u^\alpha - \alpha^2 \Delta u^\alpha)$ , then by theorem 1, (4.8) and (1.3), We have, for all  $t \in [0, T]$ ,

$$\|q^\alpha(t)\| \leq \|q_0^\alpha\| \leq \|\operatorname{curl} u_0^\alpha\| + \alpha^2 \|u_0^\alpha\|_{H^3} \leq \frac{K}{\alpha}.$$

by our assumptions (1.3). From the above and (4.8) we have

$$\alpha^2 \|\Delta \operatorname{curl} u^\alpha\| \leq \|q^\alpha\| + \|\operatorname{curl} u^\alpha\| \leq \frac{K}{\alpha}.$$

Finally, we conclude that, for all  $t \in [0, T]$ ,

$$\|u^\alpha(t)\|_3 \leq \frac{K}{\alpha^3}, \quad (4.9)$$

where  $K$  is independent of  $\alpha$ .

Set  $W^\alpha = u^\alpha - \bar{u}$ , then from (1.1) and (1.2),  $W^\alpha$  satisfies

$$\left\{ \begin{array}{ll} \partial_t W^\alpha + (u^\alpha \cdot \nabla) W^\alpha + (W^\alpha \cdot \nabla) \bar{u} = \\ -\nabla \left( p^\alpha - \bar{p} + \frac{|u^\alpha|^2}{2} \right) + \operatorname{div} \sigma^\alpha, & \text{in } \Omega \times (0, T), \\ \operatorname{div} W^\alpha = 0, & \text{in } \Omega \times (0, T), \\ W^\alpha \cdot \vec{n} = 0, & \text{on } \partial\Omega \times (0, T), \\ W^\alpha(0, x) = u_0^\alpha - u_0, & \text{in } \Omega, \end{array} \right. \quad (4.10)$$

where

$$\operatorname{div} \sigma^\alpha = \alpha^2 \partial_t \Delta u^\alpha + \alpha^2 (u^\alpha \cdot \nabla) \Delta u^\alpha + \alpha^2 \sum_{j=1}^2 (\Delta u_j^\alpha) \nabla u_j^\alpha.$$

Multiply (4.10) by  $W^\alpha$  and integrate on  $\Omega \times [0, t]$ . After integrating by parts, we obtain

$$\begin{aligned} \frac{1}{2} \|W^\alpha(t)\|^2 &= \frac{1}{2} \|W^\alpha(0)\|^2 - \int_0^t \int_\Omega [(W^\alpha \cdot \nabla) \bar{u}] \cdot W^\alpha \, dx \, ds \\ &+ \int_0^t \int_\Omega \operatorname{div} \sigma^\alpha \cdot W^\alpha \, dx \, ds, \quad \text{for all } t \in [0, T]. \end{aligned} \quad (4.11)$$

Clearly the second term on the right-hand side may be estimated by

$$\begin{aligned} \left| \int_0^t \int_\Omega [(W^\alpha \cdot \nabla) \bar{u}] \cdot W^\alpha \, dx \, ds \right| &\leq \|\nabla \bar{u}\|_{L^\infty(\Omega \times (0, T))} \int_0^t \|W^\alpha(s)\|^2 \, ds \\ &\leq K \int_0^t \|W^\alpha(s)\|^2 \, ds \end{aligned} \quad (4.12)$$

We also have, for every  $t \in [0, T]$ ,

$$\begin{aligned} \int_0^t \int_\Omega \operatorname{div} \sigma^\alpha \cdot W^\alpha \, dx \, ds &= \alpha^2 \int_0^t \int_\Omega \partial_s \Delta u^\alpha \cdot W^\alpha \, dx \, ds \\ &+ \alpha^2 \int_0^t \int_\Omega [(u^\alpha \cdot \nabla) \Delta u^\alpha] \cdot W^\alpha \, dx \, ds \\ &+ \alpha^2 \int_0^t \int_\Omega \sum_{j=1}^2 (\Delta u_j^\alpha) \nabla u_j^\alpha \cdot W^\alpha \, dx \, ds \\ &=: I_1(t) + I_2(t) + I_3(t). \end{aligned} \quad (4.13)$$

We will examine each of the terms in (4.13). We begin by estimating  $I_1(t)$ . Notice that the main difficulty arises from the fact that only  $\bar{u} \cdot \hat{n} = 0$  on  $\partial\Omega$ , while the vector field  $\bar{u}$  might not vanish on  $\partial\Omega$ . However, the basic step, as we will see below, in Kato's argument is to consider instead  $(\bar{u} - u_b)$ . Therefore, we have:

$$\begin{aligned}
I_1(t) &= \alpha^2 \int_0^t \int_{\Omega} \partial_s \Delta u^\alpha \cdot W^\alpha \, dx \, ds \\
&= \alpha^2 \int_0^t \int_{\Omega} \partial_s \Delta u^\alpha \cdot u^\alpha \, dx \, ds - \alpha^2 \int_0^t \int_{\Omega} \partial_s \Delta u^\alpha \cdot \bar{u} \, dx \, ds \\
&= -\alpha^2 \int_0^t \int_{\Omega} \partial_s \nabla u^\alpha \cdot \nabla u^\alpha \, dx \, ds - \alpha^2 \int_0^t \int_{\Omega} \partial_s \Delta u^\alpha \cdot (\bar{u} - u_b) \, dx \, ds \\
&\quad - \alpha^2 \int_0^t \int_{\Omega} \partial_s \Delta u^\alpha \cdot u_b \, dx \, ds = -\frac{\alpha^2}{2} \|\nabla u^\alpha(t)\|^2 + \frac{\alpha^2}{2} \|\nabla u_0^\alpha\|^2 \\
&\quad + \alpha^2 \int_0^t \int_{\Omega} \partial_s \nabla u^\alpha \cdot \nabla(\bar{u} - u_b) \, dx \, ds - \alpha^2 \int_0^t \int_{\Omega} \partial_s \Delta u^\alpha \cdot u_b \, dx \, ds \\
&= -\frac{\alpha^2}{2} \|\nabla u^\alpha(t)\|^2 + \frac{\alpha^2}{2} \|\nabla u_0^\alpha\|^2 - \alpha^2 \int_0^t \int_{\Omega} \nabla u^\alpha \cdot \partial_s \nabla(\bar{u} - u_b) \, dx \, ds \\
&\quad - \alpha^2 \int_{\Omega} \nabla u_0^\alpha \cdot (\nabla \bar{u}_0 - \nabla u_b(0)) \, dx + \alpha^2 \int_{\Omega} \nabla u^\alpha(t) \cdot (\nabla \bar{u}(t) - \nabla u_b(t)) \, dx \\
&\quad + \alpha^2 \int_0^t \int_{\Omega} \Delta u^\alpha \cdot \partial_s u_b \, dx \, ds + \alpha^2 \int_{\Omega} \Delta u_0^\alpha \cdot u_b(0) \, dx - \alpha^2 \int_{\Omega} \Delta u^\alpha(t) \cdot u_b(t) \, dx.
\end{aligned}$$

With this identity we can estimate  $I_1(t)$ , for all  $t \in [0, T]$ ,

$$\begin{aligned}
I_1(t) &\leq -\frac{\alpha^2}{2} \|\nabla u^\alpha(t)\|^2 + \frac{\alpha^2}{2} \|\nabla u_0^\alpha\|^2 - \alpha^2 \int_{\Omega} \nabla u_0^\alpha \cdot \nabla \bar{u}_0 \, dx + \alpha^2 \|\nabla u_0^\alpha\| \|\nabla u_b(0)\| \\
&\quad + \alpha^2 \|\nabla u^\alpha(t)\| \|\nabla \bar{u}(t)\| + \alpha^2 \|\nabla u^\alpha(t)\| \|\nabla u_b(t)\| + \alpha^2 \|\Delta u_0^\alpha\| \|u_b(0)\| + \alpha^2 \|\Delta u^\alpha(t)\| \|u_b(t)\| \\
&\quad + \alpha^2 \int_0^t \|\nabla u^\alpha\| \|\partial_s \nabla \bar{u}\| \, ds + \alpha^2 \int_0^t \|\nabla u^\alpha\| \|\partial_s \nabla u_b\| \, ds + \alpha^2 \int_0^t \|\Delta u^\alpha\| \|\partial_s u_b\| \, ds \\
&\leq -\frac{\alpha^2}{2} \|\nabla u^\alpha(t)\|^2 + \frac{\alpha^2}{2} \|\nabla u_0^\alpha\|^2 - \alpha^2 \int_{\Omega} \nabla u_0^\alpha \cdot \nabla \bar{u}_0 \, dx + K \alpha^2 \delta^{-1/2} \|\nabla u_0^\alpha\| \\
&\quad + \frac{\alpha^2}{16} \|\nabla u^\alpha(t)\|^2 + K \alpha^2 \|\nabla \bar{u}(t)\|_{L^\infty((0,T);L^2)}^2 + \frac{\alpha^2}{16} \|\nabla u^\alpha(t)\|^2 + K \alpha^2 \delta^{-1} \\
&\quad + \alpha^2 \|\Delta u_0^\alpha\| \|u_b(0)\| + \alpha^2 \|\Delta u^\alpha(t)\| \|u_b(t)\| \\
&\quad + \alpha^2 \int_0^t \|\nabla u^\alpha\| \|\partial_s \nabla \bar{u}\| \, ds + \alpha^2 \int_0^t \|\nabla u^\alpha\| \|\partial_s \nabla u_b\| \, ds + \alpha^2 \int_0^t \|\Delta u^\alpha\| \|\partial_s u_b\| \, ds,
\end{aligned}$$

where we have used above Young's inequality together with the estimates from Lemma 3.

Next, we recall the following inequality for functions in  $H^3$ :

$$\|\Delta f\| \leq K \|\nabla f\|^{1/2} \|f\|_{H^3}^{1/2}. \tag{4.14}$$

Let us continue to bound  $I_1$ . We use (4.14) and the fact that  $\bar{u} \in C^1([0, T]; H^2(\Omega))$  to obtain,

for all  $t \in [0, T]$

$$\begin{aligned}
I_1(t) &\leq -\frac{\alpha^2}{2} \|\nabla u^\alpha(t)\|^2 + \frac{\alpha^2}{2} \|\nabla u_0^\alpha\|^2 - \alpha^2 \int_{\Omega} \nabla u_0^\alpha \cdot \nabla \bar{u}_0 \, dx + K\alpha^2 \delta^{-1/2} \|\nabla u_0^\alpha\| \\
&\quad + \frac{\alpha^2}{16} \|\nabla u^\alpha(t)\|^2 + K\alpha^2 \|\nabla \bar{u}(t)\|_{L^\infty((0,T);L^2)}^2 + \frac{\alpha^2}{16} \|\nabla u^\alpha(t)\|^2 + K\alpha^2 \delta^{-1} \\
&\quad + K\alpha^2 \|\nabla u_0^\alpha\|^{1/2} \|u_0^\alpha\|_{H^3}^{1/2} \|u_b(0)\| + K\alpha^2 \|\nabla u^\alpha(t)\|^{1/2} \|u^\alpha(t)\|_{H^3}^{1/2} \|u_b(t)\|, \\
&\quad + \alpha^2 \int_0^t \|\nabla u^\alpha\|^2 \, ds + \alpha^2 \int_0^t \|\partial_s \nabla \bar{u}\|^2 \, ds + \alpha^2 \int_0^t \|\nabla u^\alpha\|^2 \, ds \\
&\quad + \alpha^2 \int_0^t \|\partial_s \nabla u_b\|^2 \, ds + K\alpha^2 \int_0^t \|\nabla u^\alpha\|^{1/2} \|u^\alpha\|_{H^3}^{1/2} \|\partial_s u_b\| \, ds.
\end{aligned}$$

Using (4.8) and (4.9) together with estimates from Lemma 3, we obtain

$$\begin{aligned}
I_1(t) &\leq -\frac{\alpha^2}{2} \|\nabla u^\alpha(t)\|^2 + \frac{\alpha^2}{2} \|\nabla u_0^\alpha\|^2 - \alpha^2 \int_{\Omega} \nabla u_0^\alpha \cdot \nabla \bar{u}_0 \, dx + K\alpha^2 \delta^{-1/2} \frac{1}{\alpha} \\
&\quad + \frac{\alpha^2}{16} \|\nabla u^\alpha(t)\|^2 + K\alpha^2 \|\nabla \bar{u}(t)\|_{L^\infty((0,T);L^2)}^2 + \frac{\alpha^2}{16} \|\nabla u^\alpha(t)\|^2 + K\alpha^2 \delta^{-1} \\
&\quad + K\alpha^2 \left(\frac{1}{\alpha}\right)^{1/2} \left(\frac{1}{\alpha^3}\right)^{1/2} \delta^{1/2} + \frac{\alpha^2}{8} \|\nabla u^\alpha(t)\|^2 + K\alpha^2 \|u^\alpha(t)\|_{H^3}^{2/3} \|u_b(t)\|^{4/3} \\
&\quad + \alpha^2 \int_0^t \|\nabla u^\alpha\|^2 \, ds + \alpha^2 \int_0^t \|\partial_s \nabla \bar{u}\|^2 \, ds + \alpha^2 \int_0^t \|\nabla u^\alpha\|^2 \, ds + \alpha^2 \int_0^t \|\partial_s \nabla u_b\|^2 \, ds \\
&\quad + \alpha^2 \int_0^t \|\nabla u^\alpha\|^2 \, ds + K\alpha^2 \int_0^t \|u^\alpha\|_{H^3}^{2/3} \|\partial_s u_b\|^{4/2} \, ds.
\end{aligned}$$

Therefore, coalescing similar terms we obtain, for all  $t \in [0, T]$ ,

$$\begin{aligned}
I_1(t) &\leq -\frac{\alpha^2}{4} \|\nabla u^\alpha(t)\|^2 + \frac{\alpha^2}{2} \|\nabla u_0^\alpha\|^2 - \alpha^2 \int_{\Omega} \nabla u_0^\alpha \cdot \nabla \bar{u}_0 \, dx + K\alpha \delta^{-1/2} \\
&\quad + K\alpha^2 + K\alpha^2 \delta^{-1} + K\delta^{1/2} + K\alpha^2 \left(\frac{1}{\alpha^3}\right)^{2/3} (\delta^{1/2})^{4/3} \\
&\quad + K\alpha^2 \int_0^t \|\nabla u^\alpha\|^2 \, ds + K\alpha^2 T + K\alpha^2 T \delta^{-1} + K\alpha^2 T \left(\frac{1}{\alpha^3}\right)^{2/3} (\delta^{1/2})^{4/3}.
\end{aligned}$$

Thus, for all  $t \in [0, T]$ , we have

$$\begin{aligned}
I_1(t) &\leq -\frac{\alpha^2}{4} \|\nabla u^\alpha(t)\|^2 + \frac{\alpha^2}{2} \|\nabla u_0^\alpha\|^2 + K\alpha^2 \int_0^t \|\nabla u^\alpha\|^2 \, ds \\
&\quad - \alpha^2 \int_{\Omega} \nabla u_0^\alpha \cdot \nabla \bar{u}_0 \, dx + K\alpha \delta^{-1/2} + K\alpha^2 + K\alpha^2 \delta^{-1} + K\delta^{1/2} + K\delta^{2/3} \quad (4.15) \\
&= -\frac{\alpha^2}{4} \|\nabla u^\alpha(t)\|^2 + \frac{\alpha^2}{2} \|\nabla u_0^\alpha\|^2 + K\alpha^2 \int_0^t \|\nabla u^\alpha\|^2 \, ds + g(\alpha, u_0^\alpha, \bar{u}_0),
\end{aligned}$$

with

$$g(\alpha, u_0^\alpha, \bar{u}_0) = -\alpha^2 \int_{\Omega} \nabla u_0^\alpha \cdot \nabla \bar{u}_0 \, dx + K\alpha^2 + K\alpha \delta^{-1/2} + K\alpha^2 \delta^{-1} + K\delta^{1/2} + K\delta^{2/3}.$$

Now, we choose  $\delta = \delta(\alpha)$  such that

$$\delta(\alpha) \rightarrow 0 \text{ and } \frac{\alpha^2}{\delta(\alpha)} \rightarrow 0, \text{ as } \alpha \rightarrow 0. \quad (4.16)$$

Therefore, it follows from the assumption (4.16) and the hypotheses of Theorem 2 that

$$g(\alpha, u_0^\alpha, \bar{u}_0) \rightarrow 0, \text{ as } \alpha \rightarrow 0. \quad (4.17)$$

Next, we examine  $I_2$  and  $I_3$ . We start by noticing, after integrating by parts, that, for all  $t \in [0, T]$ ,

$$\begin{aligned} I_2(t) + I_3(t) &:= \alpha^2 \int_0^t \int_\Omega [(u^\alpha \cdot \nabla) \Delta u^\alpha] \cdot W^\alpha \, dx \, ds + \alpha^2 \int_0^t \int_\Omega \sum_{j=1}^2 (\Delta u_j^\alpha) \nabla u_j^\alpha \cdot W^\alpha \, dx \, ds \\ &= \alpha^2 \int_0^t \int_\Omega [(u^\alpha \cdot \nabla) \Delta u^\alpha] \cdot u^\alpha \, dx \, ds - \alpha^2 \int_0^t \int_\Omega [(u^\alpha \cdot \nabla) \Delta u^\alpha] \cdot \bar{u} \, dx \, ds \\ &\quad + \alpha^2 \int_0^t \int_\Omega \sum_{j=1}^2 (\Delta u_j^\alpha) \nabla u_j^\alpha \cdot u^\alpha \, dx \, ds - \alpha^2 \int_0^t \int_\Omega \sum_{j=1}^2 (\Delta u_j^\alpha) \nabla u_j^\alpha \cdot \bar{u} \, dx \, ds \\ &= \alpha^2 \int_0^t \int_\Omega [(u^\alpha \cdot \nabla) \Delta u^\alpha] \cdot u^\alpha \, dx \, ds + \alpha^2 \int_0^t \int_\Omega \Delta u^\alpha \cdot [(u^\alpha \cdot \nabla) u^\alpha] \, dx \, ds \\ &\quad - \alpha^2 \int_0^t \int_\Omega [(u^\alpha \cdot \nabla) \Delta u^\alpha] \cdot \bar{u} \, dx \, ds - \alpha^2 \int_0^t \int_\Omega \sum_{j=1}^2 (\Delta u_j^\alpha) \nabla u_j^\alpha \cdot \bar{u} \, dx \, ds. \end{aligned}$$

Notice that since  $\operatorname{div} u^\alpha = 0$  and  $u^\alpha$  vanishes on  $\partial\Omega$ , we can integrate by parts to show that

$$\alpha^2 \int_0^t \int_\Omega [(u^\alpha \cdot \nabla) \Delta u^\alpha] \cdot u^\alpha \, dx \, ds + \alpha^2 \int_0^t \int_\Omega \Delta u^\alpha \cdot [(u^\alpha \cdot \nabla) u^\alpha] \, dx \, ds = 0.$$

As a result of all the above we have

$$\begin{aligned} I_2 + I_3 &= -\alpha^2 \int_0^t \int_\Omega [(u^\alpha \cdot \nabla) \Delta u^\alpha] \cdot \bar{u} \, dx \, ds - \alpha^2 \int_0^t \int_\Omega \sum_{j=1}^2 (\Delta u_j^\alpha) \nabla u_j^\alpha \cdot \bar{u} \, dx \, ds \\ &=: I_2'(t) + I_3'(t). \end{aligned}$$

We now estimate  $I_2'(t)$ , for all  $t \in [0, T]$ ,

$$\begin{aligned} I_2'(t) &= -\alpha^2 \int_0^t \int_\Omega [(u^\alpha \cdot \nabla) \Delta u^\alpha] \cdot \bar{u} \, dx \, ds = \alpha^2 \int_0^t \int_\Omega \Delta u^\alpha \cdot [(u^\alpha \cdot \nabla) \bar{u}] \, dx \, ds \\ &= -\alpha^2 \int_0^t \int_\Omega \sum_{k=1}^2 \partial_k u^\alpha \cdot \partial_k [(u^\alpha \cdot \nabla) \bar{u}] \, dx \, ds \\ &= -\alpha^2 \int_0^t \int_\Omega \sum_{k=1}^2 \partial_k u^\alpha \cdot [(\partial_k u^\alpha \cdot \nabla) \bar{u}] \, dx \, ds - \alpha^2 \int_0^t \int_\Omega \sum_{k=1}^2 \partial_k u^\alpha \cdot [(u^\alpha \cdot \nabla) \partial_k \bar{u}] \, dx \, ds. \end{aligned}$$



Using the fact that  $\bar{u} \in C([0, T]; (H^3(\Omega))^2) \cap C^1([0, T]; (H^2(\Omega))^2)$ , we obtain, for all  $t \in [0, T]$ ,

$$\begin{aligned}
I_2'(t) &\leq \alpha^2 \|\nabla \bar{u}\|_{L^\infty((0, T) \times \Omega)} \int_0^t \|\nabla u^\alpha(s)\|^2 ds + \alpha^2 \int_0^t \|\nabla u^\alpha(s)\| \|u^\alpha(s)\|_{L^4} \|D^2 \bar{u}(s)\|_{L^4} ds \\
&\leq K \alpha^2 \|\bar{u}\|_{L^\infty((0, T); H^3)} \int_0^t \|\nabla u^\alpha\|^2 ds + K \alpha^2 \int_0^t \|u^\alpha\|^{1/2} \|\nabla u^\alpha\|^{3/2} \|D^2 \bar{u}\|_{L^4} ds, \\
&\leq K \alpha^2 \|\bar{u}\|_{L^\infty((0, T); H^3)} \int_0^t \|\nabla u^\alpha\|^2 ds + K \alpha^2 \|\bar{u}\|_{L^\infty((0, T); H^3)}^4 \int_0^t \|u^\alpha\|^2 ds \\
&\quad + K \alpha^2 \int_0^t \|\nabla u^\alpha\|^2 ds,
\end{aligned}$$

where we used the 2D-Ladyzhenskaya inequality followed by Young's inequality in the last bound. Hence we find, after piecing together similar terms that, for every  $t \in [0, T]$ , we have

$$I_2'(t) \leq K \alpha^2 \int_0^t \|\nabla u^\alpha\|^2 ds + K \alpha^2 T. \quad (4.18)$$

Finally, we turn to  $I_3'$ . Here again we will not be able to integrate by parts, since only  $\bar{u} \cdot \hat{n} = 0$  on  $\partial\Omega$ , while the vector field  $\bar{u}$  might not vanish on  $\partial\Omega$ . To remedy this situation we consider instead the vector field  $\bar{u} - u_b$ , where we have explicit understanding, thanks to Lemma 3 of the behavior of  $u_b$  at  $\partial\Omega$ . Thus we have

$$\begin{aligned}
I_3'(t) &= -\alpha^2 \int_0^t \int_\Omega \sum_{j=1}^2 (\Delta u_j^\alpha) \nabla u_j^\alpha \cdot \bar{u} dx ds \\
&= -\alpha^2 \int_0^t \int_\Omega \sum_{j=1}^2 (\Delta u_j^\alpha) \nabla u_j^\alpha \cdot (\bar{u} - u_b) dx ds - \alpha^2 \int_0^t \int_\Omega \sum_{j=1}^2 (\Delta u_j^\alpha) \nabla u_j^\alpha \cdot u_b dx ds \\
&=: J_1(t) + J_2(t).
\end{aligned}$$

Note that, for every  $t \in [0, T]$ , we have

$$\begin{aligned}
J_1(t) &:= -\alpha^2 \int_0^t \int_\Omega \sum_{j=1}^2 (\Delta u_j^\alpha) \nabla u_j^\alpha \cdot (\bar{u} - u_b) dx ds \\
&= \alpha^2 \int_0^t \int_\Omega \sum_{j,k=1}^2 (\partial_k u_j^\alpha) \nabla u_j^\alpha \cdot \partial_k (\bar{u} - u_b) dx ds + \alpha^2 \int_0^t \int_\Omega \sum_{j,k=1}^2 (\partial_k u_j^\alpha) \partial_k \nabla u_j^\alpha \cdot (\bar{u} - u_b) dx ds \\
&= \alpha^2 \int_0^t \int_\Omega \sum_{j,k=1}^2 (\partial_k u_j^\alpha) \nabla u_j^\alpha \cdot \partial_k (\bar{u} - u_b) dx ds + \alpha^2 \int_0^t \int_\Omega \sum_{j,k=1}^2 (\bar{u} - u_b) \cdot \nabla \left[ \frac{|\partial_k u_j^\alpha|^2}{2} \right] dx ds \\
&= \alpha^2 \int_0^t \int_\Omega \sum_{j,k=1}^2 (\partial_k u_j^\alpha) \nabla u_j^\alpha \cdot \partial_k \bar{u} dx ds - \alpha^2 \int_0^t \int_\Omega \sum_{j,k=1}^2 (\partial_k u_j^\alpha) \nabla u_j^\alpha \cdot \partial_k u_b dx ds \\
&\leq \alpha^2 \|\nabla \bar{u}\|_{L^\infty((0, T) \times \Omega)} \int_0^t \|\nabla u^\alpha\|^2 ds - \alpha^2 \int_0^t \int_\Omega \sum_{\ell,j,k=1}^2 (\partial_k u_j^\alpha) (\partial_\ell u_j^\alpha) \cdot \partial_k (u_b)_\ell dx ds.
\end{aligned}$$

Therefore, after integrating by parts we obtain

$$\begin{aligned}
J_1(t) &\leq K\alpha^2 \|\bar{u}\|_{L^\infty((0,T);H^3)} \int_0^t \|\nabla u^\alpha\|^2 ds + \alpha^2 \int_0^t \int_\Omega \sum_{\ell,j,k=1}^2 (\partial_\ell \partial_k u_j^\alpha) u_j^\alpha \partial_k (u_b)_\ell dx ds \\
&= K\alpha^2 \|\bar{u}\|_{L^\infty((0,T);(H^3(\Omega))^2)} \int_0^t \|\nabla u^\alpha\|^2 ds \\
&\quad - \alpha^2 \int_0^t \int_\Omega \sum_{\ell,j,k=1}^2 (\partial_\ell \partial_k \partial_k u_j^\alpha) u_j^\alpha (u_b)_\ell dx ds - \alpha^2 \int_0^t \int_\Omega \sum_{\ell,j,k=1}^2 (\partial_\ell \partial_k u_j^\alpha) \partial_k u_j^\alpha (u_b)_\ell dx ds \\
&= K\alpha^2 \|\bar{u}\|_{L^\infty((0,T);H^3)} \int_0^t \|\nabla u^\alpha\|^2 ds \\
&\quad - \alpha^2 \int_0^t \int_\Omega \sum_{\ell,j,k=1}^2 (\partial_\ell \partial_k \partial_k u_j^\alpha) u_j^\alpha (u_b)_\ell dx ds - \alpha^2 \int_0^t \int_\Omega \sum_{j,k=1}^2 (u_b \cdot \nabla) \left[ \frac{|\partial_k u_j^\alpha|^2}{2} \right] dx ds \\
&= K\alpha^2 \|\bar{u}\|_{L^\infty((0,T);H^3)} \int_0^t \|\nabla u^\alpha\|^2 ds - \alpha^2 \int_0^t \int_\Omega \sum_{\ell,j,k=1}^2 (\partial_\ell \partial_k \partial_k u_j^\alpha) u_j^\alpha \cdot (u_b)_\ell dx ds \\
&= K\alpha^2 \|\bar{u}\|_{L^\infty((0,T);H^3)} \int_0^t \|\nabla u^\alpha\|^2 ds + \alpha^2 \int_0^t \int_\Omega \sum_{\ell,j,k=1}^2 (\partial_k \partial_k u_j^\alpha) \partial_\ell u_j^\alpha (u_b)_\ell dx ds \\
&= K\alpha^2 \|\bar{u}\|_{L^\infty((0,T);H^3)} \int_0^t \|\nabla u^\alpha(s)\|^2 ds + \alpha^2 \int_0^t \int_\Omega (\Delta u_j^\alpha) \nabla u_j^\alpha \cdot u_b dx ds.
\end{aligned}$$

Consequently,

$$J_1(t) \leq K\alpha^2 \int_0^t \|\nabla u^\alpha(s)\|^2 ds - J_2(t),$$

for all  $t \in [0, T]$ . As a result, we have obtained that

$$I_3'(t) = J_1(t) + J_2(t) \leq K\alpha^2 \int_0^t \|\nabla u^\alpha(s)\|^2 ds, \quad (4.19)$$

for all  $t \in [0, T]$ . Recalling (4.13) and putting together the estimates in (4.15), (4.18) and (4.19) we deduce that

$$\begin{aligned}
&\int_0^t \int_\Omega \operatorname{div} \sigma^\alpha \cdot W^\alpha dx ds = I_1(t) + I_2(t) + I_3(t) \\
&\leq -\frac{\alpha^2}{4} \|\nabla u^\alpha(t)\|^2 + K\alpha^2 \int_0^t \|\nabla u^\alpha\|^2 ds + g(\alpha, u_0^\alpha, \bar{u}_0) \\
&\quad + K\alpha^2 \int_0^t \|\nabla u^\alpha\|^2 ds + K\alpha^2 T + K\alpha^2 \int_0^t \|\nabla u^\alpha\|^2 ds.
\end{aligned} \quad (4.20)$$

We insert (4.12) and (4.20) into (4.11) to conclude

$$\begin{aligned}
\frac{1}{2} \|W^\alpha(t)\|^2 &\leq \frac{1}{2} \|W^\alpha(0)\|^2 + K \int_0^t \|W^\alpha\|^2 ds \\
&\quad - \frac{\alpha^2}{4} \|\nabla u^\alpha(t)\|^2 + K\alpha^2 \int_0^t \|\nabla u^\alpha\|^2 ds + \frac{\alpha^2}{2} \|\nabla u_0^\alpha\|^2 + g(\alpha, u_0^\alpha, \bar{u}_0) \\
&\quad + K\alpha^2 \int_0^t \|\nabla u^\alpha\|^2 ds + K\alpha^2 T + K\alpha^2 \int_0^t \|\nabla u^\alpha\|^2 ds.
\end{aligned} \quad (4.21)$$

We can rewrite (4.21) as

$$\begin{aligned} & \|W^\alpha(t)\|^2 + \alpha^2 \|\nabla u^\alpha(t)\|^2 \leq K_1(\|W^\alpha(0)\|^2 + \alpha^2 \|\nabla u_0^\alpha\|^2) \\ & + K_2 \int_0^t (\|W^\alpha\|^2 + \alpha^2 \|\nabla u^\alpha\|^2) ds + \tilde{g}(\alpha, u_0^\alpha, \bar{u}_0), \end{aligned} \quad (4.22)$$

where

$$\tilde{g}(\alpha, u_0^\alpha, \bar{u}_0) = g(\alpha, u_0^\alpha, \bar{u}_0) + KT\alpha^2. \quad (4.23)$$

Applying Gronwall's lemma to (4.22), we obtain

$$\sup_{t \in [0, T]} (\|W^\alpha(t)\|^2 + \alpha^2 \|\nabla u^\alpha(t)\|^2) \leq e^{K_2 T} [K_1(\|W^\alpha(0)\|^2 + \alpha^2 \|\nabla u_0^\alpha\|^2) + \tilde{g}(\alpha, u_0^\alpha, \bar{u}_0)],$$

where  $K_1, K_2$  do not depend on  $\alpha$ .

Thanks to (1.3), (4.17) and (4.23), we conclude that

$$\sup_{t \in (0, T)} (\|u^\alpha(t) - \bar{u}(t)\|^2 + \alpha^2 \|\nabla u^\alpha(t)\|^2) \rightarrow 0,$$

as  $\alpha \rightarrow 0$ . □

## 5 Comments and conclusions

In our main result, Theorem 2, we assume that the initial data for the Euler equations belongs to  $(H^3(\Omega))^2$ , is divergence free and satisfies  $u_0 \cdot \hat{n} = 0$ . In addition, we postulate the existence of a suitable family of approximations to  $u_0$ , i.e. a family of approximations verifying (1.3),  $\{u_0^\alpha\} \subset (H^3(\Omega))^2$ . A natural question which arises is whether such approximations exist for any, given,  $u_0$  as above. We begin this section by providing a construction of such an approximation. In fact, in the following result, concerning the construction of  $u_0^\alpha$ , we require considerably less regularity from  $u_0$ .

**Proposition 1.** *Let  $u_0 \in H \cap (H^1(\Omega))^2$ . Then there exists a suitable family of approximations to  $u_0$ ,  $\{u_0^\alpha\}$  satisfying (1.3).*

*Proof.* Let us denote by  $P_\sigma$  the Leray-Helmholtz projector operator, i.e. the orthogonal projection from  $(L^2(\Omega))^2$  onto  $H$ . We denote by  $A = P_\sigma(-\Delta)$  the Stokes operator, with  $D(A) = (H^2(\Omega))^2 \cap V$ . It is well known that the space  $H$  possesses an orthonormal basis  $\{w_j\}_{j=1}^\infty$  of eigenfunctions of  $A$ , with corresponding eigenvalues  $\lambda_j, j = 1, 2, \dots$ , i.e.  $Aw_j = \lambda_j w_j$  (cf. [19]). Moreover, it is well known that  $\lambda_j \sim j\lambda_1$ , for  $j = 1, 2, \dots$ , see, e.g., [32, 46]. Let us set  $H_m = \text{span}\{w_1, w_2, \dots, w_m\}$  and by  $P_m$  to be the orthogonal projection from  $H$  onto  $H_m$ .

Let  $u_0 \in H^1(\Omega) \cap H$ , we set

$$u_0^\alpha = P_m u_0 = \sum_{j=1}^m (u_0, w_j) w_j,$$

where we choose  $m = \lfloor \frac{1}{\alpha^2 \lambda_1} \rfloor$ .

It is clear that  $\|u_0^\alpha - u_0\| \rightarrow 0$ , as  $\alpha \rightarrow 0$ , and that  $u_0^\alpha = 0$  on  $\partial\Omega$ . Therefore, conditions (i) and (ii) of (1.3) are met.

We observe that for every  $s \geq 0$ , there exists a constant  $K > 0$ , which depends on  $s$ , but is independent of  $\alpha$ , so that

$$\|u_0^\alpha\|_{H^s}^2 \leq K \sum_{j=1}^m \lambda_j^s |(u_0, w_j)|^2 \leq K \lambda_m^s \|u_0\|^2 \leq K \alpha^{-2s} \|u_0\|^2. \quad (5.1)$$

Setting  $s = 3$  in (5.1) implies condition (iv) of (1.3).

All that remains to verify is condition (iii) of (1.3). Observe that

$$\begin{aligned} \|\nabla u_0^\alpha\|^2 &= \|\nabla P_m u_0\|^2 = \|A^{\frac{1}{2}} P_m u_0\|^2 = (P_m u_0, A P_m u_0) \\ &= (u_0, P_m A P_m u_0) = (u_0, A P_m u_0) = (u_0, P_\sigma(-\Delta) P_m u_0) \\ &= (u_0, (-\Delta) P_m u_0) = \int_{\Omega} (\nabla u_0 : \nabla u_0^\alpha) \, dx - \int_{\partial\Omega} u_0 \cdot \frac{\partial u_0^\alpha}{\partial \hat{n}} \, d\Gamma \\ &\leq \|\nabla u_0\| \|\nabla u_0^\alpha\| + \|u_0\|_{L^2(\partial\Omega)} \|\nabla u_0^\alpha\|_{L^2(\partial\Omega)} \\ &\leq \|\nabla u_0\| \|\nabla u_0^\alpha\| + K \|u_0\|_1 \|\nabla u_0^\alpha\|^{\frac{1}{2}} \|u_0^\alpha\|^{\frac{1}{2}}, \end{aligned} \quad (5.2)$$

where the last inequality is obtained by using the following boundary trace inequality [27]

$$\|f\|_{L^2(\partial\Omega)}^2 \leq K \|f\| \|f\|_1.$$

By virtue of Young's inequality, (5.2) implies

$$\alpha^2 \|\nabla u_0^\alpha\|^2 \leq K \alpha^2 \|\nabla u_0\|^2 + K \alpha^2 \|u_0\|_2^{\frac{2}{3}} \|u_0\|_1^{\frac{4}{3}}. \quad (5.3)$$

Using (5.1), for  $s = 2$ , we find that

$$\alpha^2 \|u_0^\alpha\|_2^{\frac{2}{3}} \|u_0\|_1^{\frac{4}{3}} \leq K \alpha^{\frac{2}{3}} \|u_0\|_2^{\frac{2}{3}} \|u_0\|_1^{\frac{4}{3}} \leq K \alpha^{\frac{2}{3}} \|u_0\|_1^2.$$

Thus it follows from the above and (5.3) that

$$\alpha^2 \|\nabla u_0^\alpha\|^2 \leq K (\alpha^2 + \alpha^{\frac{2}{3}}) \|u_0\|_1^2.$$

Hence, we obtain (iii) of (1.3) as desired.  $\square$

Our final result is an illustration of what we are calling *boundary layer indifference* of the  $\alpha \rightarrow 0$  limit. We consider  $\Omega$  the infinite channel  $\{0 < x_2 < 1, x_1 \in \mathbb{R}\}$ , and we seek stationary solutions of the Euler- $\alpha$  system of the form  $u(x_1, x_2) = (\varphi(x_2), 0)$ , known as *parallel flows*.

For the sake of comparison, let us first consider the Navier-Stokes equations, with viscosity  $\nu > 0$  in a channel, with no-slip boundary conditions. If we seek (stationary) parallel flow solutions for the Navier-Stokes equations in the context above, it is well-known that  $\varphi$  must be the Poiseuille parabolic profile, which, for any viscosity  $\nu > 0$ , is given by  $\varphi(x_2) = c x_2(1 - x_2)$ , for an arbitrary constant  $c$ . On the other hand, any parallel flow is a stationary solution of the Euler equations in the channel, and it is natural to ask which parallel flows are vanishing viscosity limits of stationary viscous flows. In fact, if one considers  $\nu$ -dependent families of Poiseuille profiles, the only possible limits as  $\nu \rightarrow 0$  are again of the form  $c x_2(1 - x_2)$  (see the Prandtl-Batchelor Theorem, for example, in [1] for a more thorough discussion of this issue).

The contrast of this rigid behavior with what happens with the Euler- $\alpha$  regularization is quite striking, as can be seen by the following result:

**Proposition 2.** *Let  $\varphi = \varphi(x_2)$  be any function in  $C^2((0, 1)) \cap C([0, 1])$  with  $\varphi(0) = \varphi(1) = 0$ . Then the velocity  $u(x_1, x_2) = (\varphi(x_2), 0)$  is a stationary solution of the Euler- $\alpha$  system for any  $\alpha$ , with pressure*

$$p = -\frac{\varphi^2 - \alpha^2(\varphi')^2}{2}.$$

*Proof.* The two-dimensional stationary Euler- $\alpha$  system can be written in the form:

$$u \cdot \nabla(u - \alpha^2 \Delta u) + \sum_j (u_j - \alpha^2 \Delta u_j) \nabla u_j = -\nabla p; \operatorname{div} u = 0$$

Setting  $u = (\varphi(x_2), 0)$ , the divergence free condition is automatically satisfied, the horizontal momentum balance becomes  $-\partial_{x_1} p = 0$  and the vertical momentum balance equation becomes:

$$(\varphi - \alpha^2 \varphi'') \varphi' = -\partial_{x_2} p,$$

so, taking  $p$  as stated concludes the proof.  $\square$

As a consequence of this result, any parallel flow in the channel can be approximated in the  $L^2$ -norm, by stationary Euler- $\alpha$  solutions through the use of a cut-off function near the boundary of the channel, and by adjusting the pressure accordingly. The resulting boundary layer is of arbitrary width and profile. This suggests that the hypothesis (1.3) on the initial approximation could be a technical limitation of our proof, and not a sharp requirement.

There are many natural questions arising from the work we have presented. First, one may seek extensions to the three-dimensional case, the case of the second-grade fluid equation, and the case of other regularized models such as Leray- $\alpha$  and the Euler-Voigt- $\alpha$  models - a subject of a current research [40]. Second, one may seek to optimize the regularity requirement on initial data, improve the space where convergence is taking place and find more precise estimates on error terms. Yet another avenue of investigation would be to examine the behavior of numerical approximations or implementations of  $\alpha$ -models with small  $\alpha$  in domains with boundary. Finally, one may look for better understanding of the boundary layer, specially in time-dependent cases.

### Acknowledgements

E.S.T. would like to acknowledge the kind hospitality of the Universidade Federal do Rio de Janeiro (UFRJ) and Instituto Nacional de Matemática Pura e Aplicada (IMPA), where part of this work was completed. The work of M.C.L.F. is partially supported by CNPq grant # 303089 / 2010-5. The work of H.J.N.L. is supported in part by CNPq grant # 306331 / 2010-1 and FAPERJ grant # E-26/103.197/2012. The work of E.S.T. is supported in part by the NSF grants DMS-1009950, DMS-1109640 and DMS-1109645, as well as by the Minerva Stiftung/Foundation. Also by CNPq-CsF grant # 401615/2012-0, through the program Ciência sem Fronteiras. The work of A.B.Z. is supported in part by the CNPq-CsF grant # 402694/2012-0, by the National Natural Science Foundation of China (11201411) and Jiangxi Provincial Natural Science Foundation of China (20122BAB211004), Higher Education Teacher Training Foundation of Jiangxi Provincial Education Department and Youth Innovation Group of Applied Mathematics in Yichun University.

### References

- [1] D.J. Acheson, *Elementary Fluid Dynamics* (corr.) 2003. Oxford University Press, Oxford Inc., New York.
- [2] C. Bardos, J. Linshiz and E.S. Titi, *Global regularity for a Birkhoff-Rott- $\alpha$  approximation of the dynamics of vortex sheets of the 2D Euler equations*, *Physica D* **237** (2008) 1905–1911.

- [3] C. Bardos, J.S. Linshiz, E.S. Titi, *Global regularity and convergence of a Birkhoff-Rott- $\alpha$  approximation of the dynamics of vortex sheets of the 2D Euler equations*. Commun. Pure Appl. Math. **63(6)** (2010) 697–746.
- [4] C. Bardos, E.S. Titi, *Mathematics and turbulence: where do we stand?*, Journal of Turbulence, **14(3)** (2013), 42–76.
- [5] A.V. Busuioc, *On second grade fluids with vanishing viscosity*. C. R. Acad. Sci. Paris 328 Ser. I (1999) 1241-1246. Also in Port. Math. (N.S.) **59(1)** (2002) 47–65.
- [6] J. Bona and J. Wu, *The zero-viscosity limit of the 2D Navier-Stokes equations*, Studies in Appl. Math. 109 (2002), 265–278.
- [7] A.V. Busuioc, D. Iftimie, M.C. Lopes Filho and H.J. Nussenzweig Lopes, *Incompressible Euler as a limit of complex fluid models with Navier boundary conditions*. J. Diff. Eqns. **252** (2012) 624–640.
- [8] A.V. Busuioc and T.S. Ratiu, *The second grade fluid and averaged Euler equations with Navier-slip boundary conditions*. Nonlinearity **16(3)** (2003) 1119–1149.
- [9] C. Cao, D.D. Holm and E.S. Titi, *On the Clark- $\alpha$  model of turbulence: global regularity and long-time dynamics*, Journal of Turbulence **6(20)** (2005) 1–11.
- [10] Y. Cao and E.S. Titi, *On the rate of convergence of the two-dimensional  $\alpha$ -models of the turbulence to the Navier-Stokes equations*. Numerical Funct. Analysis and Optimization **30(11-12)** (2009) 1231–1271.
- [11] R. Caflisch and M. Sammartino, *Zero Viscosity Limit for Analytic Solutions, of the Navier-Stokes Equation on a Half-Space. I. Existence for Euler and Prandtl Equations*. Comm. Math. Phys., **192 (2)** (1998) 433–461.
- [12] R. Caflisch and M. Sammartino, *Zero Viscosity Limit for Analytic Solutions of the Navier-Stokes Equation on a Half-Space. II. Construction of the Navier-Stokes Solution*. Comm. Math. Phys., **192 (2)**, (1998) 463–491
- [13] S. Chen, C. Foias, D.D. Holm, E. Olson, E.S. Titi and S. Wynne, *Camassa-Holm equations as a closure model for turbulent channel and pipe flow*. Phys. Rev. Lett. **81** (1998) 5338–5341.
- [14] S. Chen, C. Foias, D.D. Holm, E. Olson, E.S. Titi and S. Wynne, *The Camassa-Holm equations and turbulence*. Physica D **133** (1999) 49–65.
- [15] S. Chen, C. Foias, D.D. Holm, E. Olson, E.S. Titi and S. Wynne, *A connection between the Camassa-Holm equations and turbulent flows in channels and pipes*. Phys. Fluids **11** (1999) 2343–2353.
- [16] A. Cheskidov, D. D. Holm, E. Olson, and E. S. Titi, *On a Leray- $\alpha$  model of turbulence*, Royal Society London, Proceedings, Series A, Mathematical, Physical & Engineering Sciences **461** (2005) 629–649.
- [17] D. Cioranescu and O. El Hacène, *Existence and uniqueness for fluids of second grade*. In Pitman Research Notes in Mathematics (Edited by H. Brezis and J.L. Lions), Boston **vol. 109** (1984) 178–197.
- [18] D. Cioranescu and V. Girault, *Weak and classical solutions of a family of second grade fluids*. Int. J. Nonlinear Mech. **32** (1994) 317–335.

- [19] P. Constantin and C. Foias, *Navier-Stokes Equations*, (1988), The University of Chicago Press, Chicago and London.
- [20] P. Constantin, I. Kukavica and V. Vicol, *On the inviscid limit of the Navier- Stokes equations*. arXiv:1403.5748v2 [math.AP], 2014.
- [21] R.J. Diperna and P.L. Lions, *Ordinary differential equations, transport theory and Sobolev Spaces*. Invent. Math. **98** (1989) 511–547.
- [22] J.E. Dunn and R.L. Fosdick, *Thermodynamics, stability and boundedness of fluids of complexity 2 and fluids of second grade*. Arch. Rational Mech. Anal. **56** (1974) 191–252.
- [23] W. E, *Boundary layer theory and the zero-viscosity limit of the Navier-Stokes equation*. Acta Math. Sinica, English series, **16** (2000) 207–218.
- [24] L.C. Evans, *Partial Differential Equations*, (1997) Graduate Studies in Mathematics **Vol 19**, American Mathematical Society.
- [25] C. Foias, D.D. Holm and E.S. Titi, *The Navier-Stokes- $\alpha$  model of fluid turbulence*. Physica. D **153** (2001) 505–519.
- [26] C. Foias, D.D. Holm and E.S. Titi, *The three dimensional viscous Camassa-Holm equations and their relation to the Navier-Stokes equations and the turbulence theory*. J. Dyn. Differ. Eqs. **14** (2002) 1–35.
- [27] G.P. Galdi, *An Introduction to the Mathematical Theory of the Navier-Stokes Equations: Steady-State Problems*. 2<sup>nd</sup> Edition. Springer New York 2011.
- [28] G.P. Galdi and A. Sequeira, *Further existence results for classical solutions of the equations of a second-grade fluid*. Arch. Rational Mech. Anal. **128** (1994) 297–312.
- [29] D.D. Holm, J.E. Marsden and T.S. Ratiu, *The Euler-Poincaré equations and semidirect products with applications to continuum theories*. Adv. Math. **137** (1998) 1–81.
- [30] D.D. Holm, J.E. Marsden and T.S. Ratiu, *Euler-Poincaré models of ideal fluids with nonlinear dispersion*. Phys. Rev. Lett. **88** (1998) 4173–4176.
- [31] D. Iftimie, *Remarques sur la limite  $\alpha \rightarrow 0$  pour les fluides de grade 2*. C. R. Acad. Sci. Paris Sr. I Math. **334**, no. **1** (2002) 83–86. Also in *Studies in Mathematics and its Applications*, **31** Nonlinear partial Differential Equations and their Applications. College de France Seminar, Volume XIV. North Holland, 2002.
- [32] A.A. Ilyin, *Attractors for Navier-Stokes equations in domains with finite measure*. Nonlinear Analysis, TMA **27(5)** (1996) 605–616.
- [33] T. Kato, *Remarks on zero viscosity limit for nonstationary Navier-Stokes flows with boundary*. Seminar on Nonlinear Partial Differential Equations, Edited by S.S. Chern. Mathematical Sciences Research Institute Publications, New York, (1984) 85–98.
- [34] T. Kato and C.Y. Lai, *Nonlinear evolution equations and the Euler flow*. J. Funct. Analysis **56** (1984) 15–28.
- [35] J. Kelliher, *On Kato’s conditions for vanishing viscosity*. Indiana Univ. Math. J., **56** (4) (2007) 1711–1721.

- [36] S. Kouranbaeva, and M. Oliver, *Global well-posedness for the averaged Euler equations in two dimensions*. Physica D. **138** (2000) 197–209.
- [37] M. C. Lopes Filho, *Boundary layers and the vanishing viscosity limit for incompressible 2D flow*. In: Fanghua Lin; Xueping Wang; Ping Zhang. (Org.). Lect. Anal. Nonlin. PDEs **1**, 1<sup>st</sup> ed. Beijing/Boston: HEP and International Press (2009) 1–31.
- [38] M. Lopes Filho, A. Mazzucato, and H. Nussenzveig Lopes, *Vanishing viscosity limit for incompressible flow inside a rotating circle*. Physica D, **237** (2008) 1324–1333.
- [39] M. C. Lopes Filho, A. L. Mazzucato, H. J. Nussenzveig Lopes and M. Taylor, *Vanishing viscosity limits and boundary layers for circularly symmetric 2D flows*. Bull. Braz. Math. Soc., **39** (2008) 471–513.
- [40] M. Lopes Filho, H. Nussenzveig Lopes, E.S. Titi and A. Zang, *On the approximation of 2D Euler equations by the second-grade fluid equations with Dirichlet boundary conditions*, (in preparation).
- [41] Y. Maekawa, *On the inviscid limit problem of the vorticity equations for viscous incompressible flows in the half-plane*. Comm. Pure Appl. Math., **67** (7) (2014) 1045–1128.
- [42] J. Marsden, T. Ratiu and S. Shkoller, *The geometry and analysis of the averaged Euler equations and a new diffeomorphism group*. Geom. and Funct. Anal. **10** (2000) 582–599.
- [43] S. Matsui, *Example of zero viscosity limit for two-dimensional nonstationary Navier-Stokes flows with boundary*, Japan. J. Indust. Appl. Math., **11** (1994) 155–170.
- [44] A. L. Mazzucato and M. Taylor, *Vanishing viscosity plane parallel channel flow and related singular perturbation problems*. Analysis and PDE, **1** (2008) 35–93.
- [45] A. L. Mazzucato and M. Taylor, *Vanishing viscosity limits for a class of circular pipe flows*. Comm. Part. Diff. Eqs., **36** (2011) 328–361.
- [46] G. Métiver, *Valeurs propres d’opérateurs définis par la restriction de systèmes variationnelles a des sous-espaces*. J. Math. Pures Apl. **9(57)** (1978) 133–156.
- [47] J.S. Linshiz and E.S. Titi, *On the convergence rate of the Euler- $\alpha$ , an inviscid second-grade complex fluid, model to Euler equations*. J. Stat. Phys. **138** (2010) 305–332.
- [48] M. Oliver and S. Shkoller, *The vortex blob method as a second-grade non-Newtonian fluid*. Comm. Partial Differential Equations, **26(1-2)** (2001) 295–314.
- [49] R.S. Rivlin and J.L. Ericksen, *Stress-deformation relations for isotropic materials*. J. Rational Mech. Anal. **4** (1955) 323–425.
- [50] S. Shkoller, *Analysis on groups of diffeomorphisms of manifolds with boundary and the averaged motion of a fluid*. J. Differential Geometry **55** (2000) 145–191.
- [51] S. Shkoller, *The Lagrangian averaged Euler (LAE- $\alpha$ ) equations with free-slip or mixed boundary conditions*. Geometry, Mechanics, and Dynamics, eds. P. Holmes, P. Newton, A. Weinstein, Special Volume, Springer-Verlag, 2002, 169–180.
- [52] R. Temam, *On the Euler equations of incompressible perfect fluids*. J. Functional Anal. **20** (1975) 32–43.



- [53] R. Temam and X. Wang, *The convergence of the solutions of the Navier-Stokes equations to that of the Euler equations*. Appl. Math. Lett. **10** (1997) 29–33.
- [54] X. Wang, *A Kato type theorem on zero viscosity limit of Navier-Stokes flows*. Indiana Univ. Math. J., **50** (2001) 223–241. Dedicated to Professors Ciprian Foias and Roger Temam (Bloomington, IN, 2000).