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### Dexmedetomidine prevents cognitive decline by enhancing resolution of HMGB1-induced inflammation through a vagomimetic action in mice

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#### Abstract

**Background:** Inflammation initiated by damage-associated molecular patterns has been implicated for the cognitive decline associated with surgical trauma and serious illness. We determined whether resolution of inflammation mediates dexmedetomidine-induced reduction of damage-associated molecular pattern-induced cognitive decline.

**Methods:** Cognitive decline (assessed by trace fear-conditioning) was induced with high molecular group box 1 protein, a damage-associated molecular pattern, in mice that also received blockers of neural (vagal) and humoral inflammation-resolving pathways. Systemic and neuroinflammation was assessed by pro-inflammatory cytokines.

**Results:** Damage-associated molecular pattern-induced cognitive decline and inflammation (mean  $\pm$  SD) was reversed by dexmedetomidine (trace fear-conditioning: 58.77  $\pm$  8.69% vs 41.45  $\pm$  7.64%, p<0.0001; plasma IL-1 $\beta$ : 7.0  $\pm$  2.2 pg/ml vs 49.8  $\pm$  6.0pg/ml, p < 0.0001; plasma IL-6: 3.2  $\pm$  1.6pg/ml vs 19.5  $\pm$  1.7pg/ml, p<0.0001; hippocampal IL-1 $\beta$ : 4.1  $\pm$  3.0pg/mg vs 41.6  $\pm$  8.0pg/mg, p<0.0001; hippocampal IL-6: 3.4  $\pm$  1.3pg/mg vs 16.2  $\pm$  2.7pg/mg, p < 0.0001). Reversal by dexmedetomidine was prevented by blockade of vagomimetic imidazoline and  $\alpha_7$  nicotinic acetylcholine receptors but not by  $\alpha_2$  adrenoceptor-blockade. Netrin-1, the orchestrator

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Conflicts of Interest

MM is a co-inventor on a patent for the use of dexmedetomidine for sedation. Between 1987–1991 MM's laboratory at Stanford University received \$250,000 for the assignment of the patent to Farmos, the company that synthesized dexmedetomidine. Between 1995–2008, MM was intermittently paid as a consultant by Orion-Farmos, Abbott Labs and Hospira for advising on the pivotal Phase III clinical trials, approval of the New Drug Application, and for subsequent marketing of the product. MM has not received any payments for at least the last 5 years. MM has not and will not receive royalty payments for sales of dexmedetomidine.

of inflammation-resolution, was upregulated (fold-change) by dexmedetomidine (Lung:  $1.5 \pm 0.1$  vs  $0.7 \pm 0.1$ , p<0.0001; Spleen:  $1.5 \pm 0.2$  vs  $0.6 \pm 0.2$ , p<0.0001) resulting in upregulation of proresolving (lipoxin-A<sub>4</sub>:  $1.7 \pm 0.2$  vs  $0.9 \pm 0.2$ , p<0.0001) and down-regulation of pro-inflammatory (leukotriene-B<sub>4</sub>:  $1.0 \pm 0.2$  vs  $3.0 \pm 0.3$ , p<0.0001) humoral mediators that was prevented by  $a_7$  nicotinic acetylcholine receptor-blockade.

**Conclusions:** Dexmedetomidine resolves inflammation through vagomimetic (neural) and humoral pathways thereby preventing damage-associated molecular pattern-mediated cognitive decline.

#### Introduction:

Over the last decade we have generated several lines of evidence that implicate surgeryinitiated systemic- and neuro-inflammation in the development of postoperative cognitive decline both in preclinical<sup>1–3</sup> as well as in clinical settings.<sup>4</sup> The engagement of the innate immune system, that triggers the inflammatory response which results in postoperative cognitive decline, is due to the damage-associated molecular pattern, high molecular group box 1 (HMGB1) protein, that is passively released from traumatized tissue.<sup>5–6</sup>

While postoperative cognitive decline was first reported in the setting of general anesthesia<sup>7</sup> no difference has been reported in the incidence of postoperative cognitive decline in patients randomized to receive general *vs* regional anesthetic techniques.<sup>8</sup> These earlier studies may have been underpowered and larger trials are progressing to understand whether regional or general anesthesia is less likely to result in postoperative cognitive decline (REGAIN Trial;NCT02507505).<sup>9</sup> Notwithstanding the outcome of the REGAIN trial, many patients will require either sedatives and/or general anesthesia combined with a regional technique. While some comparative studies have suggested that the frequency and/or severity of postoperative cognitive decline may be affected by the choice and dose of anesthetic/sedative agent that is used for the surgical procedure,<sup>10</sup> and that exposure to deeper anesthetic stages results in a higher incidence of delirium<sup>11</sup>, larger, appropriately powered studies are needed to avoid a type 2 statistical error as was suspected in the HIPELD study (NCT01199276).<sup>12</sup>

It is notable that animal cohorts receiving anesthesia and analgesia alone did not differ from the control group (no anesthesia/analgesia, no surgery).<sup>1–2</sup> Ethical considerations prevented us from using a "surgery only" (*i.e.*, without anesthesia/analgesia) cohort to which we could compare the neuroinflammatory and cognitive effects provoked by the addition of anesthetic and analgesic drugs. Now that we have demonstrated that systemically-administered HMGB1 reproduces the surgical phenotype with high fidelity<sup>5</sup>, we are ethically able to study a surrogate of the "surgery only" cohort without use of anesthesia/hypnotics to which we can compare the effects of adding these drugs.

In order to determine whether anesthetic/hypnotics have a modulating effect on the surgical phenotype, we have chosen to first study dexmedetomidine because it reduces cognitive decline<sup>13–14</sup> and inflammation<sup>15</sup>, including that associated with acute neurologic injury<sup>16</sup> by an as yet undetermined mechanism. Because of the challenge that there is "questionable biological plausibility" for the beneficial effects that were noted postoperatively with

dexmedetomidine<sup>14</sup> we addressed the hypothesis that the ameliorative effect of dexmedetomidine on cognitive decline is due to resolution of inflammation through neural and humoral processes.

#### Methods and Materials

#### Animals (Figure 1)

All experimental procedures involving animals were approved by the Institutional Animal Care and Use Committee of the University of California, San Francisco, (AN 167062) and conformed to the National Institutes of Health Guidelines. 12–14 week-old wild-type male C57BL/6J mice (Jackson Laboratory Bar Harbor, ME) were used for this study. All animals were fed standard rodent food and water and were housed (five mice per cage) in a controlled environment with 12-hour light/dark cycles. Mice were tagged and randomly allocated to each group before any treatment or procedure. Researchers were blinded to the group assignment, which was revealed only after completing analysis. Mice did not experience unexpected lethality in the study and animals were euthanized according to our institutional animal care and use committee guidelines.

#### Drug Administration (Figure 1)

Recombinant HMGB1 (R&D System, Minneapolis, MN) was dissolved in phosphatebuffered saline and administered ip 50  $\mu$ g/kg, a dose that we had earlier reported to produce a similar inflammatory and cognitive response as that seen after surgery.<sup>5</sup>

Dexmedetomidine (Sigma-Aldrich, St. Louis, MO, USA) was dissolved in 0.9% sterile saline and administered 50 µg/kg ip every 2 hours for three doses immediately following HMGB1 (Figure 1 A, B) or at 20 µg/kg ip for four doses in the surgical model (Figure 1 C, D). These doses were selected to simulate perioperative sedation either with (Figure 1 C, D) or without isoflurane anesthesia (Figure 1 A, B).

Yohimbine (Sigma-Aldrich) was dissolved in 0.9% sterile saline and 1.5 mg/kg was administered ip, a dose that effectively blocks  $\alpha_2$  adrenoceptor-mediated responses.<sup>17</sup>

Atipamezole (Sigma-Aldrich, St. Louis, MO, USA) was dissolved in 5% dimethyl sulfoxide in saline and 3 mg/kg was administered ip, a dose that effectively blocks both imidazole receptor and  $\alpha_2$  adrenoceptor-mediated responses.

Methyllycaconitine (Sigma-Aldrich, St. Louis, MO, USA) was dissolved in 0.9% sterile saline and 4mg/kg ip was administered, a dose that blocks  $a_7$  nicotinic acetylcholine receptor-mediated response.<sup>2</sup>

#### Aseptic surgical trauma

Under aseptic conditions, groups of mice were subjected to an open tibia fracture of the left hind paw with an intramedullary fixation as previously described.<sup>5</sup> Briefly, mice received general anesthesia with 2% isoflurane and analgesia was achieved with buprenorphine 0.1 mg/kg administered subcutaneously, immediately after anesthetic induction. Warming pads

and temperature-controlled lights were used to maintain body temperature. The entire procedure from induction of anesthesia to end of surgery lasted  $12 \pm 5$  minutes.

#### Cognitive Testing (Figure 1A, C)

Trace fear-conditioning was used to assess learning and memory in rodents as previously described.<sup>2–3</sup> Briefly, mice are trained to associate a conditional stimulus, such as a tone, with an aversive, unconditional stimulus, such as a foot-shock. Aversive memory is associated with freezing behavior when the rodent is re-exposed to the same context. The behavioral study was conducted using a conditioning chamber (Med. Associates Inc., St. Albans, VT) and an unconditional stimulus (two periods of 2-seconds foot shock of 0.75 mA). Behavior was captured with an infrared video camera (Video Freeze; Med. Associates Inc.). Mice underwent a context test 72 hours after training, during which no tones or foot-shocks were delivered. Lack of movement, indicating freezing behavior, was analyzed by software of video-recordings. With this model, perturbations of the hippocampus that are associated with memory impairment result in disruption of recall of the fear responses to the presentation of the same context, resulting in a reduction in freezing behavior.<sup>5</sup>

#### Blood and Tissue Sample harvesting (Figure 1B, D)

Twenty-four hours after the specific intervention, blood was collected transcardially after thoracotomy under isoflurane anesthesia and placed in heparin-coated syringes. After collection of blood, mice were immediately perfused with saline, and the hippocampus, brain, lung and spleen were then rapidly extracted and stored at  $-80^{\circ}$ C for further testing. After centrifugation of the blood sample at 3,400 rotations per minute for 10 minutes at 4°C, plasma was collected and stored at  $-80^{\circ}$ C until these were assayed.

#### Circulating Cytokines (Figure 1B, D)

Plasma interleukin IL-6 and IL-1 $\beta$  were quantified using commercially available enzymelinked immunosorbent kits, according to the manufacturer's instructions (R&D Systems, Minneapolis, MN).

#### Hippocampal Inflammatory Markers (Figure 1B, D)

Two different techniques were used to assess hippocampal inflammation. For experiments described in Figure 1B, the hippocampus was homogenized and sonicated in cell lysis buffer (Cell Signaling Technology), plus protease inhibitor (Halt Protease Inhibitor Single-Use Cocktail, Thermo Fisher Scientific) and phenylmethanesulfonyl fluoride (PMSF, Cell Signaling Technology). Protein concentration was assayed with Pierce BCA Protein Assay kit (Thermo Prod). Interleukin IL-6 and IL-1β were measured using commercially available enzyme-linked immunosorbent kits, according to the manufacturer's instructions (R&D Systems). For experiments described in Figure 1D, after mice were perfused with saline the hippocampus was rapidly extracted, placed in RNAlater<sup>TM</sup> solution (Qiagen) and stored at 4°C overnight. Total RNA was extracted using RNeasy Lipid tissue Kit (Qiagen). Extracted RNA was treated with recombinant DNase I by using a RNase-Free Dnase set<sup>TM</sup> (Qiagen). Messenger RNA (mRNA) concentrations were determined with a ND-1000 Spectrophotometer (NanoDrop®; Thermo Fisher Scientific) and mRNA was reverse

transcribed to complementary DNA with a High Capacity RNA to-cDNA Kit (Applied Biosystems). TaqMan Fast Advanced Master Mix (Applied Biosystems) and specific geneexpression assays were used for quantitative polymerase chain reaction, actin beta (NM\_007393.1) and IL-6 (Mm00446190\_m1). Quantitative polymerase chain reaction was performed using StepOnePlus<sup>™</sup> (Applied Biosystems). Each sample was run in triplicate, and relative gene expression was calculated using the comparative threshold cycle Ct and normalized to β-actin. Results are expressed as fold increases relative to controls.

#### Measurement of circulating leukotriene B<sub>4</sub> (LTB<sub>4</sub>) and lipoxin A<sub>4</sub> (LXA<sub>4</sub>)

Plasma  $LTB_4$  and  $LXA_4$  were quantified using commercially available enzyme-linked immunosorbent kits, according to the manufacturer's instructions (Biomatik USA, LLC). Results are expressed as fold-change compared with that measured in control mice that did not receive any intervention.

#### Measurement of Netrin-1 (in the Lung and Spleen) and Albumin (in the Brain)

Tissues were homogenized with RIPA Lysis Buffer (Cell Signaling Technology) plus protease inhibitor (Halt Protease Inhibitor Single-Use Cocktail, Thermo Fisher Scientific) and phenylmethanesulfonyl fluoride (PMSF, Cell Signaling Technology) and sonicated. Protein concentration was assayed with Pierce BCA Protein Assay kit (Thermo Prod). For immunoblotting the buffer for the samples was prepared by adding 950µl of 2× Laemmli Sample Buffer to 50µl of 2-mercaptoethanol (Bio-Rad). The protein samples were mixed in a 1:1 ratio with the sample buffer. After boiling for 5 minutes, 20 µg of protein was loaded onto 12% sodium dodecyl sulfate-polyacrylamide gel electrophoresis (SDS-PAGE). After electrophoresis the analytes were transferred onto nitrocellulose transfer membranes. After the membranes were incubated with blocking buffer (LICOR® Biosciences) for 1 hour at room temperature, these were incubated with primary antibodies at 1: 1,000 dilution overnight at 4°C. The primary antibodies that were used for immunoblotting were rabbit monoclonal antibodies directed against murine netrin-1 (ab126729, Abcam) and murine albumin (ab207327, Abcam). For the loading control, rabbit monoclonal directed at murine GAPDH (ab181602, Abcam) was used. After washing 4 times with TBS containing 0.1% tween (TBST), membranes were incubated with 1: 10,000 dilution of IRDye 680RD or 800RD labeled goat anti-rabbit antibody (LICOR® Biosciences) for 1 hour at room temperature. Membranes were washed 3 times with TBST and once with TBS, and images were captured and quantified using a LI-COR Imager (LICOR® Biosciences).

#### **Statistical Analysis**

All data in this study were analyzed using Prism 6.0 (GraphPad Software, San Diego, CA, USA) and were expressed as mean  $\pm$  SD. Statistical comparison was performed by a oneway ANOVA followed by Tukey test for *post hoc* analysis. Significance was set at P < 0.05. No statistical power calculation was conducted before our study, and the sample size selected was based on our previous experience using this design.<sup>3,18</sup>

#### Results

# Dexmedetomidine prevents cognitive decline induced by HMGB1 in an imidazoline- and $a_7$ nicotinic acetylcholine receptor-dependent mechanism (Figure 2)

Consistent with our previous report<sup>5</sup>, HMGB1 significantly decreased freezing time (%) compared to the control group (41.45  $\pm$  7.64% *vs* 58.94  $\pm$  7.22%, p < 0.0001). Administration of dexmedetomidine prevented HMGB1-induced cognitive decline (58.77  $\pm$  8.69% *vs* 41.45  $\pm$  7.64%; p < 0.0001). In contrast to the lack of effect by an  $\alpha_2$  adrenoceptor-blocking dose of yohimbine, atipamezole, which has blocking activity at both the  $\alpha_2$  adrenergic receptor and the imidazoline receptor, prevented dexmedetomidine-induced reversal of HMGB1-mediated cognitive decline (40.87  $\pm$  6.60% *vs* 58.77  $\pm$  8.69%; p < 0.0001). The reversal effect by dexmedetomidine of HMGB1-induced cognitive decline (37.79  $\pm$  9.51% *vs* 58.77  $\pm$  8.69%; p < 0.0001).

### Dexmedetomidine prevents HMGB1-induced systemic inflammation through an imidazoline and $\alpha_7$ nicotinic acetylcholine receptor-dependent mechanism (Figure 3)

Twenty-four hours after HMGB1 administration, plasma IL-1 $\beta$  (A) and IL-6 (B) were significantly increased 9-(IL-1 $\beta$ : 49.8 ± 6.0 pg/ml *vs* 5.2 ± 2.5 pg/ml, p < 0.0001) and 6-fold (IL-6: 19.5 ± 1.7 pg/ml *vs* 3.1 ± 1.7 pg/ml, p < 0.0001), respectively. Exposure to dexmedetomidine reduced the plasma concentration of both pro-inflammatory cytokines to normal levels (IL-1 $\beta$ : 7.0 ± 2.2 pg/ml *vs*, 49.8 ± 6.0 pg/ml, p < 0.0001; IL-6: 3.2 ± 1.6 pg/ml *vs* 19.5 ± 1.7 pg/ml, p < 0.0001). Among the  $\alpha_2$  adrenoceptor antagonists, only atipamezole, which also has activity at the imidazole receptor, abolished the anti-inflammatory response of dexmedetomidine (IL-1 $\beta$ : 47.8 ± 7.2 pg/ml *vs* 7.0 ± 2.2 pg/ml, p < 0.0001). While methyllycaconitine, the  $\alpha_7$  nicotinic acetylcholine receptor antagonist, also prevented inhibition by dexmedetomidine of the peripheral inflammatory response to HMGB1 (IL-1 $\beta$ : 65.0 ± 9.0 pg/ml *vs* 7.0 ± 2.2 pg/ml, p < 0.0001) it is notable that methyllycaconitine, alone, significantly enhanced the inflammatory response to HMGB1 (IL-1 $\beta$ : 68.4 ± 5.8 pg/ml *vs* 49.8 ± 6.0 pg/ml, p = 0.006).

# Dexmedetomidine prevents HMGB1-induced hippocampal inflammation through an imidazoline and $a_7$ nicotinic acetylcholine receptor-dependent mechanism (Figure 4)

At 24 hours after HMGB1, hippocampal IL-1 $\beta$  (A) and IL-6 (B) were significantly increased 8-(41.6± 8.0 pg/mg *vs* 5.5 ± 2.0 pg/mg, p < 0.0001) and 6-fold (16.2 ± 2.7 pg/mg *vs* 2.7 ± 1.5 pg/mg, p < 0.0001), respectively. Exposure to dexmedetomidine reduced the hippocampal concentration of both pro-inflammatory cytokines to normal levels for IL-1 $\beta$ (4.1 ± 3.0 pg/mg *vs* 41.6± 8.0 pg/mg, p < 0.0001) and for IL-6 (3.4 ± 1.3 pg/mg *vs* 16.2 ± 2.7 pg/mg, p < 0.0001). Among the α2 adrenoceptor antagonists, only atipamezole abolished dexmedetomidine's anti-inflammatory response of IL-1 $\beta$  (43.7 ± 7.7 pg/mg *vs* 4.1 ± 3.0pg/mg, p < 0.0001) and IL-6 (14.4 ± 2.8 pg/mg vs 3.4 ± 1.3 pg/mg, p < 0.0001). Methyllycaconitine, the  $\alpha_7$  nicotinic acetylcholine receptor antagonist, also prevented inhibition by dexmedetomidine of HMGB1-induced peripheral inflammatory response as reflected by IL-1 $\beta$  (45.8 ± 10.1 pg/mg *vs* 4.1 ± 3.0 pg/mg, p < 0.0001) and IL-6 (16.5 ± 2.9 pg/mg vs 3.4 ± 1.3 pg/mg, p < 0.0001) Unlike systemic inflammation (Figure 3)

methyllycaconitine, did not enhance the hippocampal inflammatory response to HMGB1 (Figure 4).

#### Dexmedetomidine reverses HMGB1-induced down-regulation of Netrin-1 expression in an a7 nicotinic acetylcholine receptor sensitive manner (Figure 5).

Accompanying the sterile inflammation induced by HMGB1 (Figures 3, 4) netrin-1 expression is significantly decreased in the lung  $(0.7 \pm 0.1 \text{ vs } 1.0 \pm 0.2, \text{ p} = 0.0173$ , Figure 5A,) and spleen  $(0.6 \pm 0.2 \text{ vs } 1.0 \pm 0.2, \text{ p} = 0.0220$ , Figure 5B), organs that are vagally-innervated. Exposure to dexmedetomidine reverses HMGB1-induced netrin-1 downregulation in both organs  $(1.5 \pm 0.1 \text{ vs } 0.7 \pm 0.1, \text{ p} < 0.0001$ , Figure 5A;  $1.4 \pm 0.2 \text{ vs}$   $0.7 \pm 0.2 \text{ p} < 0.0001$ , Figure 5B) and pretreatment with methyllycaconitine, the  $\alpha_7$  nicotinic acetylcholine receptor antagonist, prevented reversal of netrin expression by dexmedetomidine  $(0.7 \pm 0.1 \text{ vs } 1.5 \pm 0.1, \text{ p} < 0.0001$  Figure 5A;  $0.6 \pm 0.2 \text{ vs } 1.4 \pm 0.2, \text{ p} < 0.0001$ , Figure 5B).

# Dexmedetomidine reverses HMGB1-induced changes in the expression of circulating leukotriene $B_4$ (A) and lipoxin $A_4$ (B) in an a7 nicotinic acetylcholine receptor sensitive mechanism (Figure 6)

Accompanying the sterile inflammation induced by HMGB1 (Figures 3, 4) and the down-regulation of netrin-1 expression (Figure 5), there is a 3-fold upregulation in the relative expression of leukotriene B<sub>4</sub> (LTB<sub>4</sub>), the pro-inflammatory lipid mediator ( $2.7 \pm 0.4 \text{ vs } 1.0 \pm 0.2$ , p < 0.0001, Figure 6A). Dexmedetomidine reverses HMGB1-induced upregulation of LTB<sub>4</sub> ( $1.0 \pm 0.2 \text{ vs } 2.7 \pm 0.4$ , p < 0.0001, Figure 6A), and this reversal is prevented by methyllycaconitine ( $3.0 \pm 0.3 \text{ vs } 1.0 \pm 0.2$ , p < 0.0001, Figure 6A). Conversely, dexmedetomidine upregulated the expression of LXA<sub>4</sub> ( $1.7 \pm 0.2 \text{ vs } 1.2 \pm 0.2$ , p < 0.0001, Figure 6B), the specific pro-resolving mediator, an effect that was reversed by methyllycaconitine ( $0.9 \pm 0.2 \text{ vs } 1.7 \pm 0.2$ , p < 0.0001, Figure 6B).

#### Dexmedetomidine reverses HMGB1-induced leakage of the blood brain barrier (Figure 7)

Accompanying the HMGB1-induced inflammation (Figures 3, 4), the blood brain barrier is disrupted as evidenced by a significant upregulation of albumin expression in the brain assessed by immunoblotting. The upregulation in brain albumin expression  $(1.0 \pm 0.2 \text{ vs } 1.7 \pm 0.3, \text{ p} = 0.0019)$  is suppressed by dexmedetomidine  $(1.7 \pm 0.3 \text{ vs } 1.0 \pm 0.2, \text{ p} = 0.002)$ .

# Dexmedetomidine-reverses Surgery-induced Cognitive Decline (A) and Inflammation (B, C) (Figure 8)

Because isoflurane had no effect on HMGB1-induced cognitive decline (data not shown), the effect of dexmedetomidine on surgery + isoflurane-induced cognitive decline was investigated. Surgery (Sx)-induced cognitive decline was reversed by dexmedetomidine  $(35.91 \pm 5.03\% \text{ vs } 54.62 \pm 8.82\%, \text{ p} < 0.0001;$  Figure 8A). Twenty-four hours after surgery, we observed a significant decrease in circulating IL-6 ( $83.2 \pm 60.2 \text{ pg/mL vs } 30.1 \pm 13.7 \text{ pg/mL}, \text{ p} = 0.013;$  Figure 8B). The change of hippocampal mRNA expression of IL-6 after surgery was reversed by dexmedetomidine ( $8.6 \pm 1.3 \text{ vs } 10.7 \pm 1.0, \text{ p} = 0.012$  Figure 8C).

#### Discussion

#### **Recapitulation of Main Findings**

Using HMGB1 to generate a surrogate of the surgical phenotype without the confounding influence of general anesthesia, dexmedetomidine reversed HMGB1-induced cognitive decline (Figure 2), as well as systemic (Figure 3) and hippocampal (Figure 4) inflammation. In each case, reversal by dexmedetomidine of the HMGB1-induced surgical phenotype was blocked by atipamezole (Figures 2, 3, 4), an  $\alpha_2$  adrenoceptor antagonist that also has activity at the imidazole receptor<sup>19</sup>, but not by yohimbine an  $a_2$  adrenoceptor antagonist which has no activity at the imidazole receptor. Reversal by dexmedetomidine of the surgical phenotype was also blocked by methyllycaconitine (Figures 2, 3, 4) the a<sub>7</sub>nAChR antagonist. Dexmedetomidine reversed the HMGB1-induced downregulation of netrin-1 in the vagally-innervated lung (Figure 5A) and spleen (Figure 5B); reversal by dexmedetomidine was attenuated by antagonism of signaling at the vagal termini by  $\alpha_7$ nicotinic acetylcholine receptor blockade with methyllycaconitine. Upregulation of LTB<sub>4</sub>, the pro-inflammatory humoral response to HMGB1 was prevented by dexmedetomidine (Figure 6A) while the pro-resolving mediator, LXA4, was upregulated by dexmedetomidine (Figure 6B). In each case, the effects of dexmedetomidine were negated by pretreatment with methyllycaconitine, the  $a_7$  nicotinic acetylcholine receptor blocker (Figure 6). Disruption of the blood brain barrier by HMGB1 was reversed by dexmedetomidine (Figure 7). Finally, perioperative exposure to dexmedetomidine prevented surgery-induced cognitive decline and peripheral and hippocampal inflammation (Figure 8).

#### Justification for the use of HMGB1 to produce the Surgical Phenotype

HMGB1 regulates transcription of NF- $\kappa$ B<sup>20</sup> establishing it as an extracellular orchestrator of the systemic inflammatory response.<sup>21</sup> Previously, we showed the causal role of HMGB1 in mediating postoperative cognitive decline following passive release from traumatized tissues.<sup>5</sup> Following binding to pattern recognition receptors on circulating immunocytes, HMGB1 initiates the innate immune response to aseptic surgical trauma. Exogenously administered HMGB1 reproduces the surgical phenotype if myeloid-derived circulating monocytes are present,<sup>5</sup> a feature also noted following surgery. Other cardinal features of the surgical phenotype, including peripheral (Figure 3) and neuro-inflammation (Figure 4) and disruption of the blood brain barrier (Figure 7), are reproduced following HMGB1 administration.<sup>2</sup>

### Use of Antagonists with which to probe the mechanism for Dexmedetomidine's Effects in reversing the Surgical Phenotype

 $\alpha_2$  adrenoceptor agonists such as dexmedetomidine and clonidine have an imidazole ring structure facilitating binding to and activation of the imidazoline receptor.<sup>22</sup> Because atipamezole, which has antagonist activity at the imidazoline receptor<sup>20</sup>, was able to block dexmedetomidine's reversal of the surgical phenotype while yohimbine, which has no activity at the imidazoline receptor, was ineffective, we invoke an action mediated by the imidazoline receptor for the reversal of dexmedetomidine's effect. An important property of imidazoline receptor agonists is its negative chronotropic property<sup>23</sup> that is prevented by vagotomy.<sup>24</sup> As enhancement of vagal activity by  $\alpha_2$  agonists with an imidazole ring has

been well-documented<sup>25</sup> and as the bradycardic effect of  $a_2$  agonists with an imidazole ring structure is unrelated to its binding affinity to  $a_2$  adrenoceptors<sup>19</sup> we propose that this imidazoline receptor-mediated vagomimetic action may be the mechanism whereby dexmedetomidine reverses the surgical phenotype.

Increase in vagal activity has been shown to be an important mechanism for resolving inflammation.<sup>26</sup> The  $a_7$  nicotinic acetylcholine receptor transduces the inflammation-resolving vagomimetic effect.<sup>27</sup> A supporting finding that the enhanced vagal activity is the likely explanation for dexmedetomidine's reversal of the surgical phenotype is provided by the fact that  $a_7$  nicotinic acetylcholine receptor blockade by methyllycaconitine eliminated the ameliorative effect produced by dexmedetomidine (Figures 2, 3, 4).

#### The Role of Vagal Stimulation on Neural and Humoral mediated Resolution of Inflammation

Neural<sup>28</sup> and humoral<sup>23</sup> pathways have been implicated in the resolution of acute inflammation. Neural mechanisms involve efferent vagal fibers in which release of acetylcholine activates  $\alpha_7$  nicotinic acetylcholine receptor on immunocompetent cells<sup>29</sup> thereby inhibiting NF- $\kappa$ B and downregulating synthesis of pro-inflammatory cytokines.<sup>30</sup> For the humoral pathway biotransformation of free fatty acids (arachidonic acid, eicosopentaenoic acid, and docosahexaenoic acid) elaborates specific pro-resolving lipid mediators.<sup>31</sup> During synthesis of eicosanoid hormones there are juncture points at which a precursor, such as leukotriene A<sub>4</sub>, can elaborate two different products (LTB<sub>4</sub> and LXA<sub>4</sub>) with diametrically opposite effects, namely, pro-inflammation and pro-resolution, respectively.<sup>32</sup> Dexmedetomidine appears to shunt the biotransformation pathway to the synthesis of LXA<sub>4</sub> at the expense of LTB<sub>4</sub> (Figure 6).

Humoral and neural pathways have been recently linked to vagally-mediated expression of netrin-1.<sup>33</sup> Netrin-1, originally identified as a neuronal guidance protein, also limits inflammation.<sup>34</sup> Interestingly, netrin-1 expression is downregulated in vagally-innervated lung and spleen following HMGB1 administration, an effect that is reversed by dexmedetomidine (Figure 5). A similar downregulation of netrin-1 expression has been noted in other inflammation-inducing experimental settings.<sup>33</sup>

# Integrating results into existing molecular mechanism model for postoperative cognitive decline

Earlier, we had shown that trauma-induced release of HMGB1 engages circulating monocytes<sup>5</sup> to stimulate the synthesis of pro-inflammatory cytokines that are capable of disrupting the blood brain barrier<sup>2</sup> enabling the passage of bone marrow-derived monocytes to enter into the brain, attracted by upregulation of the chemokine MCP-1 by microglia in the hippocampus.<sup>3</sup> Within the hippocampus the bone marrow-derived monocytes activate microglia resulting in release of pro-inflammatory cytokines that disrupt long-term potentiation<sup>35</sup>, the neurobiologic correlate of learning and memory. Within 7 days the inflammation usually resolves, as does postoperative cognitive decline, except in vulnerable animals in which inflammation and cognitive decline can both be exaggerated<sup>3</sup> and more persistent.<sup>18</sup>

Dexmedetomidine appears to reverse postoperative cognitive decline by enhancing the inflammation-resolving pathways. Dexmedetomidine stimulates the vagus through imidazoline receptor activation resulting in inhibition of the NF- $\kappa$ B-dependent synthesis of pro-inflammatory cytokines (Figures 3, 4) through activation of  $\alpha_7$  nicotinic acetylcholine receptor (Figures 3, 4). Netrin-1 is downregulated by HMGB1 through a vagal pathway and this is reversed by dexmedetomidine (Figure 5) resulting in a change in the elaboration of specific pro-resolving mediators (increase in LXA<sub>4</sub> and decrease in LTB<sub>4</sub>) that resolves inflammation (Figure 6).

#### Caveats

#### Methyllycaconitine-induced upregulation of circulating pro-inflammatory

**Cytokines:** Methyllycaconitine was used in a dose that blocks the  $a_7$  nicotinic acetylcholine receptor-mediated inhibition of synthesis of proinflammatory cytokines.<sup>2</sup> However, at this dose there was an increase in HMGB1-induced pro-inflammatory cytokines (Figure 3) and it may be argued that the reversal of the anti-inflammatory effect of dexmedetomidine could be due to the non-specific enhancement of pro-inflammatory cytokines independent of any specific action that dexmedetomidine exerts on  $a_7$  nicotinic acetylcholine receptor through vagal stimulation. It is notable that in the hippocampus, where cognitive decline is produced, there was not a similar methyllycaconitine-induced enhancement of pro-inflammatory cytokines.

#### Doses of Dexmedetomidine used in HMGB1- and surgery-induced cognitive

**decline:** In the HMGB1-induced surrogate of the surgical phenotype we used a dexmedetomidine dose of 50  $\mu$ g/kg while in the trauma-induced model a dexmedetomidine dose of 20  $\mu$ g/kg was used. The reason that these doses differ was to prevent a significant increase in the sedative effect of dexmedetomidine in the presence of isoflurane<sup>36</sup> that may increase postoperative cognitive decline.<sup>11</sup> The lower dexmedetomidine dose in the surgical model (Figure 8) was as effective as the higher dose in the HMGB1 model (Figures 2, 3, 4) at reversing cognitive decline and inflammation.

**Relevance of findings in young mice to vulnerable animal models:** In the current study we only tested the efficacy and putative mechanisms whereby dexmedetomidine prevents inflammation and cognitive decline in young mice. Whether or not these observed cognitive decline-reducing properties of dexmetomidine occurs in vulnerable models (including aging, obesity<sup>3</sup>, and metabolic syndrome<sup>18</sup>), remains to be determined.

# Relevance of Preclinical Mechanistic Findings in the light of Dexmedetomidine's abilityto reverse the Surgical Phenotype in Clinical Studies

Sedation with dexmedetomidine reduces the likelihood of delirium in the ICU when compared to benzodiazepines<sup>13,37</sup> and when dexmedetomidine was administered during the first postoperative night to elderly surgical patients.<sup>14</sup> Because dexmedetomidine changes the activity in neuronal pathways in asimilar manner to those altered during natural sleep<sup>38</sup> and produces similar EEG changes to that seen during natural sleep<sup>39</sup> and because both sleep deprivation<sup>40</sup> and sleep fragmentation<sup>41</sup> induce neuroinflammation and cognitive decline, we had conjectured that the cognition-enhancing effects of dexmedetomidine were

due to its unique sedative profile. However, as dexmedetomidine-induced sedation is mediated by the  $\alpha_{2A}$  adrenoceptors<sup>42</sup> that are antagonized by yohimbine<sup>43</sup>, our finding that reversal by dexmedetomidine of the surgical phenotype is insensitive to yohimbine challenges that explanation.

We recognize that the reversal of postoperative cognitive decline by dexmedetomidine is not universal. Recently, Deiner and colleagues reported that dexmedetomidine lacked efficacy in preventing the onset of postoperative delirium.<sup>44</sup> Further studies are needed to define the patient subgroups that may be resistant to the cognitive decline-reducing properties of dexmedetomidine.

#### **Future applications**

These preclinical studies were performed in mice that do not exhibit exaggerated and persistent cognitive decline. The effectiveness of dexmedetomidine will need to be established in reagents that have abnormalities in their inflammation-resolving pathways including in advanced age with its "inflammaging" processes<sup>45</sup> and the metabolic syndrome with its precocious aging phenotype.<sup>46</sup>

While we have already shown that dexmedetomidine is effective at decreasing delirium in mechanically-ventilated medical/surgical intensive care patients<sup>13</sup> as well as in nonventilated surgical patients<sup>14</sup> it will be important to demonstrate that wound healing and the ability to combat infection are not jeopardized by a dexmedetomidine intervention that perturbs the innate immune system. Regarding infection it is notable that survival is enhanced by dexmedetomidine in a preclinical model of sepsis<sup>47</sup> a finding that was also noted in a post hoc analysis of the MENDS trial.<sup>48</sup> However, dexmedetomidine was not shown to improve outcome in a recently-reported trial of septic patients; this question is being further addressed in the MENDS II trial (NCT01739933) comparing outcomes in septic patients sedated with dexmedetomidine vs propofol. Whether the existing role of dexmedetomidine as a perioperative and procedural sedative agent can be supplemented by indications in which resolution of inflammation requires bolstering will need to be studied further. Furthermore, if the activation of the imidazoline receptor and subsequent vagal outflow is confirmed to be the reason for dexmedetomidine's anti-inflammatory properties, it may be possible to reproduce these effects with a selective imidazoline receptor agonist and avoid  $a_2$  adrenoceptor properties such as sedation.

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Administrations of Dexmedetomidine

Administrations of Antagonist ( Yohimbine/ Atipamezole/ Methyllycaconitine)

#### Figure 1: Study design.

(A) Mice were randomly allocated to 10 groups (n=15/group) and were pre-treated intraperitoneally (ip) with antagonists (yohimbine/atipamezole/methyllycaconitine). Thirty minutes later mice were trained in the trace-fear conditioning paradigm. After the training session, high mobility group box 1 protein (HMGB1) or vehicle (phosphate-buffered saline) was administered ip dexmedetomidine was administered every 2 hours  $\times$  3 times. 72 hours after HMGB1, testing was performed in the trace-fear conditioning.

(B) Mice were randomly allocated to 10 groups (n=8/group) and were pre-treated ip with antagonists (yohimbine/atipamezole/methyllycaconitine) and 30 minutes later HMGB1 was administered. Dexmedetomidine was administered every 2 hours  $\times$  3 times. Blood and tissue were collected 24 hours later.

(C) Mice were randomly allocated to three groups (n=15/group): control (vehicle only); surgery/anesthesia and surgery/anesthesia + dexmedetomidine. Mice were trained in the trace fear-conditioning paradigm. After the training session, animals were anesthetized with isoflurane and subjected to aseptic trauma. Dexmedetomidine was administered and the mice were tested in the trace-fear conditioning 3 days later.

(D) Mice were randomly allocated to 3 groups (n=5–6/group): control (vehicle only); surgery/anesthesia and surgery/anesthesia + dexmedetomidine. Mice were anesthetized with isoflurane and subjected to aseptic trauma. Dexmedetomidine was administered and blood and tissue were collected 24 hours later.



### Figure 2. Dexmedetomidine prevents HMGB1-induced decrement in freezing behavior in an Atipamezole and Methyllycaconitine sensitive manner.

Ten groups of randomly-assigned mice (n=15/group) were administered antagonists (methyllycaconitine, atipamezole, yohimbine) prior to HMGB1 and subjected to trace-fear conditioning training with and without dexmedetomidine exposure. Testing for freezing behavior in the trace-fear conditioning context was undertaken 72 hours later. Freezing time data are expressed as means  $\pm$  SD and were analyzed by one-way ANOVA and Tukey post hoc test, \* = P<0.0001 for comparisons shown.



### Figure 3: Dexmedetomidine prevents HMGB1-induced peripheral inflammation in an Atipamezole and Methyllycaconitine sensitive manner

Ten groups of randomly-assigned mice (n=8/group) were administered antagonists (methyllycaconitine, atipamezole, yohimbine) prior to HMGB1 in the presence or absence of dexmedetomidine. Twenty-four hours after HMGB1, mice were sacrificed and the blood was harvested and assayed by ELISA for circulating IL-1 $\beta$  (A) and IL-6 (B). Data are expressed as means  $\pm$  SD and analyzed by one-way ANOVA and Tukey post hoc test. \* = P<0.0001, # = P<0.01 for comparisons shown.



### Figure 4: Dexmedetomidine prevents HMGB1-induced hippocampal inflammation in an Atipamezole and Methyllycaconitine sensitive manner

Ten groups of randomly-assigned mice (n=8/group) were administered antagonists (methyllycaconitine, atipamezole, yohimbine) prior to HMGB1 in the presence or absence of dexmedetomidine. Twenty-four hours after HMGB1, mice were sacrificed and the hippocampus was harvested and assayed by ELISA for IL-1 $\beta$  (A) and IL-6 (B). Data are expressed as means  $\pm$  SD and were analyzed by one-way ANOVA and Tukey post hoc test, \* = P<0.0001 for comparisons shown.



Figure 5. Dexmedetomidine prevents HMGB1-induced downregulation of Netrin-1 expression in the lung (A) and spleen (B) in an  $\alpha_7$ nicotinic acetylcholine receptor dependent manner. Four groups of randomly-assigned mice (n=5/group) were administered saline vehicle (control), HMGB1 alone, HMGB1+ dexmedetomidine, or HMGB1+ dexmedetomidine + methyllycaconitine. Twenty-four hours later, mice were sacrificed and lung (A) and spleen (B) were harvested for expression of netrin-1 by immunoblotting. Data are expressed as means  $\pm$  SD fold-change relative to control and were analyzed by one-way ANOVA and Tukey post hoc test. # = P<0.05 and \* = P<0.0001 for comparisons shown



Figure 6. Dexmedetomidine downregulates the circulating pro-inflammatory mediator leukotriene B<sub>4</sub>, (LTB<sub>4</sub>; A) and upregulates the circulating pro-resolving mediator lipoxin A<sub>4</sub> (LXA<sub>4</sub>; B)

Four groups of randomly-assigned mice (n=8/group) were administered saline vehicle (control), HMGB1 alone, HMGB1+ dexmedetomidine, or HMGB1+ dexmedetomidine + methyllycaconitine. Twenty-four hours later, mice were sacrificed and the blood was harvested and assayed by ELISA for plasma LTB<sub>4</sub> (A) and LXA<sub>4</sub> (B). Data are expressed as means  $\pm$  SD fold-change relative to control and were analyzed by one-way ANOVA and Tukey post hoc test. \* = P<0.0001 for comparisons shown.



Figure 7. Dexmedetomidine reverses HMGB1-induced leakage of blood brain barrier Three groups of randomly-assigned mice (n=5/group) were treated with vehicle (control), HMGB1, or HMGB1 + dexmedetomidine. 24 hours after treatment, mice were sacrificed and the brains were harvested for immunoblotting of albumin expression. Data are expressed as means  $\pm$  SD relative to control and were analyzed by one-way ANOVA and Tukey post hoc test. \* = P<0.01 for comparisons shown.



Figure 8. Dexmedetomidine reverses Surgery-induced Cognitive Decline (A) and Peripheral (B), and Neuro-Inflammation (C)

Three groups of randomly-assigned mice (n=15/group) were treated with (i) vehicle, (ii) tibia fracture under anesthesia (Sx/Anesth) + vehicle and (iii) Sx/Anesth + dexmedetomidine prior to training in the trace fear-conditioning paradigm (A). Testing for freezing behavior in the trace-fear conditioning context was undertaken 72 hours later. Freezing time data are expressed as means  $\pm$  SD and were analyzed by one-way ANOVA and Tukey post hoc test. Three groups of randomly-assigned mice (n=6/group) were treated with (i) vehicle, (ii) tibia fracture under anesthesia (Sx/Anesth) + vehicle and (iii) Sx/Anesth + dexmedetomidine and mice were sacrificed at 24 hours and blood and brain were harvested. Plasma IL-6 was assayed by ELISA (B) and hippocampal IL-6 was assayed by quantitative PCR (C). The means  $\pm$  SD of the mean for expression of IL-6 protein (B) and mRNA (C) and were analyzed by one-way ANOVA and Tukey post hoc test. # = P < 0.05; \* = P < 0.01; \*\*P<0.0001