Investigation of the Structure and Function Relationship in Bioelectricity using M13 Virus Model System

By

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Abstract

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Bioelectricity is generated by a wide spectrum of living organisms, ranging from single-celled entities to intricate multicellular organisms. These organisms have evolved intricate mechanisms to regulate and manage electrical activity. The understanding and controlling of bioelectricity hold the potential to yield diverse bioelectrical applications. However, comprehending bioelectrical systems using conventional organic/inorganic materials remains challenging because these materials lack the inherent complexity and adaptability that make replication difficult, limiting their capacity for modification and customization. Biological systems are highly complex, comprising various components such as nucleic acids, proteins, and tissues that display high specificity and selectivity in their interactions and processes. This complexity and heterogeneity hinder the accurate replication or mimicry of biological processes at the molecular level. Viruses, being submicroscopic infectious entities, serve as powerful tools for advancing our understanding of fundamental biological systems. Using the M13 phage as a model system due to its simple structure, versatile, biocompatible, and massively replicable characteristics, the structure-function relationship of bioelectricity is studied. This thesis explores the responsive electrical properties of viruses to external stimuli such as friction, mechanical stress, heat, and chemical environments. It examines how genetic modifications of viruses alter their structural and electrical properties, introducing practical virus-based bioelectrical applications. By using the M13 phage as a model system for investigating bioelectricity, this research aims to offer valuable insights into the design and development of innovative bioelectrical applications, bridging the gap between conventional materials and biological systems.

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CHAPTER 1: INTRODUCTION

1.1 Advances in bioelectricity

The bioelectricity has developed from the observation of "animal electricity", known as the electric generation from the eel and the torpedo (electric ray). Subsequently, animal electricity garnered interest, leading Luigi Galvani to demonstrate an experiment using frog fragments connected to metals to observe muscle contraction, presenting significant curiosities in bioelectrical phenomena. Bioelectricity involves the generation of electrical potential and activity in biological systems.⁸ The growing interest in bioelectricity originates from the development of biotechnology, which seeks to understand natural physiological processes and ons and address energy challenges. 9-14 apply them to develop biomedical applicati Biological materials hold promise for bioelectrical applications due to their inherent biocompatibility, making them suitable for bioelectronics and biomedicine. ¹⁵ Advancing bioelectricity requires understanding the mechanisms generating bioelectrical signals at the molecular level. 16 While energy conversion mechanisms in conventional materials are wellstudied, 17-20 the complexity of bioelectricity arises from the intricacy and heterogeneity of biomaterials, whose electrical response mechanisms are not fully understood. 21-24 Hierarchically organized self-assembled nanostructures in biological materials result in electric dipole structures, significantly influencing their electrical properties and functionalities. These materials respond to external stimuli like mechanical stress, temperature, and electric fields, producing bioelectric potentials.^{25,26} These materials respond to external stimuli, such as mechanical stress, temperature, and electric field, producing bioelectric potentials. Understanding the structure-function relationships in these materials can develop material properties to enhance energy conversion efficiency or discover new properties.

Extensive research spanning decades has focused on understanding how biological materials respond electrically, employing an array of advanced experimental tools and computational methods. ^{25,27-32} These tools include impedance analyzers, polarization-electric field (P-E) ferroelectric testers, dielectric spectroscopy, X-ray diffraction, Fourier-transform infrared spectroscopy, X-ray photoelectron spectroscopy (XPS), and scanning probe microscopy (SPM). Their use enables the study of both the electrical and chemical structural properties of biological materials. This comprehensive approach offers several advantages, including the ability to characterize biological materials comprehensively with complementary information across different scales, from molecules to tissues. Additionally, it enhances precision in understanding their electrical properties. ³³⁻³⁹ For example, SPM techniques allow researchers to visualize and analyze electrical phenomena, such as polarization, electric charge distribution, surface potential, dielectric constant, conductivity, and capacitance. ⁴⁰⁻⁴⁴ This capability is essential for deciphering the complex electrical behavior of biological systems at the nanoscale, where many critical biological processes occur.

Recent advancements in computational tools have significantly improved our understanding of how biological materials behave electrically. Techniques such as molecular dynamics (MD) simulations, finite element analysis, and density functional theory (DFT) have enabled the simulation of biological components ranging from amino acids to tissue levels. ^{29,45-47} These tools allow for the optimization of molecular structures under specific environmental conditions, such as varying ion concentrations, pressures, temperatures, and surrounding molecules. ^{3,48} Moreover, they facilitate the simulation of various electrical properties of biological materials, including energy levels, dipole moments, charge and electron distribution, electrical potential generation, conformational deformation, and molecular interactions. ^{2,3,47} Additionally, these simulations can reproduce responses to a variety of external stimuli, such as mechanical stresses, thermal fluctuations, optical stimulations, acoustic stimulations, and electric field applications. ^{29,31,49-52} Consequently, computational analyses help model intricate biological structures and systems, deepening our understanding of their underlying mechanisms and predicting their behavior under challenging experimental conditions. ⁵³⁻⁵⁵

The integration of experimental and computational approaches deepens our understanding of the molecular mechanisms that govern the electrical behaviors of biological materials, bridging the gap between experimental observations and theoretical insights.^{2,3,56} However, the complexity of building structures, combined with the heterogeneity found in biological tissue samples, poses a challenge in applying traditional electrical principles to biological systems.^{57,58} This challenge leads to limitations in both experimental and computational analyses of comprehensive electrical characteristics. Therefore, establishing suitable biological model systems is crucial for gaining insights into molecular-level electrical characteristics within biological systems and for advancing bioelectrical applications.^{59,60} These model systems, with their well-defined and adjustable structures, ability to control distinct electric dipole and polarization ordering, and capacity for precise manipulation of electrical characteristics at the molecular level, along with a range of nanostructure attributes, would be immensely valuable for advancing our understanding of the electrical properties of biological materials.

1.2. Electrical properties of materials

Electrical properties pertain to how materials interact with electric currents, generate electric charge, or respond to electric fields. Biological materials, due to their unique compositions and structures, exhibit a wide range of electrical properties. For example, tissues like muscle and nerve have intrinsic electrical conductivity, crucial for transmitting essential electrical signals. Parameters defining these properties include conductivity, dielectric constant, and electrochemical behavior. While biological tissues generally have low conductivity (0.03-0.6 S m⁻¹ for ventricular muscle, nerve, lung, cardiac, and skeletal muscle) due to being composed of amino acids, which are not inherently conductive, proteins—composed of amino acids—can indirectly influence electrical properties. Proteins are

primarily composed of elongated chains of amino acids that fold into complex three-dimensional structures.⁶⁴ While some amino acids have polar or charged side chains, the overall protein structure does not promote efficient electron flow or electrical conductivity.⁶⁵ Despite this, proteins can indirectly impact the electrical properties of biological systems. For example, membrane proteins such as ion channels transport charged ions, influencing the electrical potential across cellular membranes.⁶⁶ However, proteins typically do not exhibit significant electrical conductivity in their pure form and are considered insulating materials. The ability to understand and control the electrical properties of biological materials holds promise for advancing biomedical technologies, including diagnostic tools and therapeutic interventions.^{67,68} This section focuses specifically on the stimuli-responsive electrical properties of biomaterials, such as triboelectricity, piezoelectricity, pyroelectricity, and ferroelectricity (Figure 1-1).

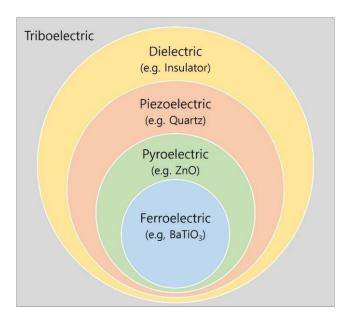


Figure 1-1. Hierarchical relationship among various subgroups of materials.

1.2.1 Triboelectricity

Triboelectrification is the phenomenon where specific materials acquire an electric charge when they come into contact and separation (Figure 1-2a). ^{69,70} An everyday example of triboelectricity is the creation of an electricity when brush our hair with a comb or grabbing a doorknob with hand. The ancient Greeks recognized this phenomenon more than 2500 years ago when they observed that rubbing amber could attract straw⁷¹. The word "electron" itself is derived from the Greek word for amber, emphasizing the historical importance of this electrical phenomenon. This phenomenon is universal to all materials and is originated in the exchange of electrons between them. When two dissimilar materials make contact, electron transfer is

driven by differences in their electronic structures – energy levels – resulting in different electron affinity and ionization energy. Each material inherently tends to either accept or donate electrons, a characteristic highlighted in the triboelectric series (Figure 1-2b). As materials interact, electrons transfer from a material with a preference for donating electrons (low work function) to one with a preference for accepting electrons (high work function). Hence, the manipulation of the triboelectric effect is achievable through the careful choice of triboelectric materials, emphasizing the significance of material selection. Enhancing the triboelectric effect is most efficiently achieved by identifying the optimal pair of materials with positive and negative properties in the triboelectric series. However, the underlying mechanisms are still under investigation and require additional theoretical and experimental validation. Biological materials exhibit diverse capabilities in electron donation and acceptance, which stem from the presence of various charged groups or structures within them. These materials play a vital role in biological systems by engaging in triboelectric interactions, which regulate numerous natural biological functions and processes. For instance, bumblebees facilitate flow pollination, immune cells combat pathogens, and viruses invade host cells.

The triboelectric properties of materials have been extensively studied for practical applications, particularly in the advancement of energy harvesting systems. 42,52,93-96 Triboelectric energy harvesting devices use the triboelectric effect for the conversion of mechanical energy into electrical energy. The first triboelectric device, as reported by Wang et al. in 2012⁹⁷, comprised two triboelectric layers with electrodes affixed at the backside. During the subsequent contact and separation, the triboelectric layers acquire positive and negative charges, and electrons flow through an external circuit to equalize the electrical equilibrium, thus generating an electric current. This electron flow constitutes the electrical output of triboelectric devices. Triboelectric devices demonstrate versatility in harnessing various types of energy sources. Triboelectric devices can be used for environmental monitoring by harvesting mechanical energy from wind and ocean waves. 98-109 By integrating triboelectric devices into the infrastructure of buildings, bridges, or industrial machinery, they can also serve for structural safety monitoring. 110-114 Additionally, the incorporating these devices into vehicle components contributes to harvesting wasted mechanical energy and using it as a sustainable energy source for transportation systems. 115-117 Furthermore, triboelectric devices can be integrated into wearable applications to harvest mechanical energy from the body movements or monitor healthcare systems. 95,96,118-123 Since triboelectric devices can be operated by vibrations generated by ultrasound waves, they can be implanted in our bodies and used as biomedical sensors. 124-127 This adaptability and efficiency highlight the significant potential of triboelectric devices in shaping a variety of self-powered and sustainable technologies.

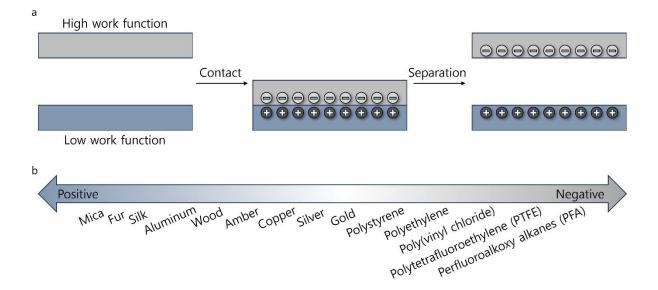


Figure 1-2. (a) Working principle of the triboelectric effect. The triboelectric effect is a phenomenon related to the generation of electric charge through the contact and separation of materials. It involves the transfer of electrons between two materials, resulting in one material acquiring a positive charge while the other acquires a negative charge. (b) Triboelectric series of conventional materials. The triboelectric series is a list that ranks materials based on their tendency to gain or lose electrons when in contact with other materials. The materials at the left of the series have a lower affinity for electrons, while those at the right have a higher affinity.

1.2.2 Dielectric

Dielectric materials have the capacity to store electrical energy when exposed to an electric field (Figure 1-3). 128 Once an external electric field is applied to a dielectric material, electric dipoles align inside the dielectric material. 129,130 The internal polarized molecule shifts from its original equilibrium state to one with higher potential energy, allowing temporary energy storage of external power source energy. 131,132 Then, when the external electric field is removed, the internal polarized molecules recover their alignment to their original states. This change in polarization of the internal molecules can be used for electric charging and discharging. The charging and discharging capability of dielectric materials can be employed as a capacitor for storing electrical energy. Therefore, enhancing the capacity of electrical storage in dielectric materials is crucial. Notably, the degree of dipole alignment of polarized molecules is affected by the strength of the external electric field, and precise electric field strength optimization should be considered due to irreversible characteristics at certain threshold points. 131,133 Thus, the capability of energy storage in dielectric materials should be carefully optimized to prevent material destruction by current leakage and a loss of energy storage capacity, requiring a profound understanding of materials properties. 134-136 In general, the efficiency of material in storing energy is equally significant to its energy storage

density. 137,138 Typically, enhancing the energy storage efficiency of dielectrics leads to reduced energy loss and improved reliability. 139-141 Several approaches have been extensively explored to improve the energy storage density in film capacitors. The energy storage density of materials can be enhanced by either increasing the dielectric constant through methods like polarity enhancement and organic-inorganic hybridization or enhancing the field strength of materials through techniques such as blending, hybridization, and the design of multilayer structures. 142-150

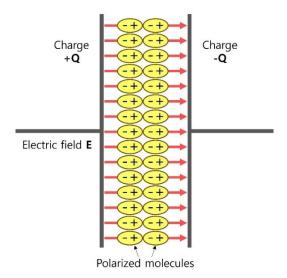


Figure 1-3. Dielectric properties in materials. When an electric field is applied to a dielectric, the electric charges within the material do not move freely as there are not loosely bound or free electrons available for drifting. Instead, they undergo a slight shift from their average equilibrium positions, leading to dielectric polarization.

1.2.3 Piezoelectricity

Piezoelectric materials can generate an electric charge in response to mechanical stress or deformation and vice versa (Figure 1-4).¹⁵¹ In 1880, Jacques Curie and Pierre Curie observed electric charge generation on quartz, tourmaline, and Rochelle salt upon the application of pressure, a phenomenon known as piezoelectricity.¹⁵² The term "piezoelectricity", originating from the Greek word "piezein", meaning 'to press', refers to the generation of electricity under pressure.¹⁵³ Following this discovery, they developed the piezoelectric quartz electrometer, a device capable of measuring the surface charges that appear on crystals when subjected to mechanical stress, enabling measurements down to tenths of a picoampere.^{154,155} Since then, the piezoelectric effect has been extensively studied in crystallographic frameworks, classifying materials based on their crystal symmetry.¹⁵⁶⁻¹⁶⁰ Particularly, the piezoelectric effect arises from certain materials lacking inversion symmetry. When mechanical stress is applied

to a piezoelectric material, the crystal lattice is deformed, leading to creation of an internal electric dipole moment. Piezoelectricity is exhibited by any material, including biological materials, that meets this structural criterion. Due to their symmetric structure, piezoelectric materials demonstrate anisotropic piezoelectric properties, which are characterized by a piezoelectric tensor (Figure 1-5). This tensor defines how mechanical stress relates to electric field in the material, encompassing longitudinal/transverse and normal/shear piezoelectric modes. The components of a piezoelectric tensor are the piezoelectric coefficients of 18 different modes, denoted as d_{ij} , where i is the direction of the induced polarization, and j is the direction of the applied stress. Longitudinal piezoelectricity $(d_{ij}, \text{ where } i=j)$ refers to the generation of an electric field along the same axis as the applied stress, while transverse piezoelectricity $(d_{ij}, \text{ where } i \neq j)$ involves the generation of an electric field perpendicular to the applied stress. Normal piezoelectricity is exhibited by materials that respond to stress in the axial direction, while shear piezoelectricity is observed in materials that respond to twist stress. The presence of piezoelectricity in biological materials plays a critical role in several biological functions. For example, in bone, mechanical stress induces piezoelectric potentials, which in turn stimulate osteoblasts. This stimulation influences the balance between bone formation and resorption, a vital process for bone remodeling and its ability to adapt to mechanical stress. 161,162 Additionally, piezoelectricity in tissues such as tendons and cartilage is essential for preserving tissue integrity and function. These tissues can sense mechanical stresses and regulate cellular responses accordingly.¹⁶³ A thorough understanding of piezoelectricity in crystallographic classification provides methods to enhance the piezoelectric properties, including poling¹⁶⁴⁻¹⁶⁶, doping¹⁶⁷⁻¹⁶⁹, grain size control¹⁷⁰⁻¹⁷², temperature and pressure treatments. 173-175 Additionally, computational modeling guides the optimization process, allowing for the prediction and understanding of piezoelectric properties, contributing to the advancement of technologies such as sensors, actuators, and energy harvesters. 176-178 Piezoelectric materials serve as versatile components in mechanical energy conversion applications. 179-182 These materials can be used for sensors and actuators by converting mechanical energy into electrical energy vice versa. 183-186 Additionally, piezoelectric materials can be integrated into piezoelectric energy harvesters for operating electronic devices and sensors. 187,188 Moreover, these devices can be integrated into wearable and implantable devices to harvest and monitor mechanical energy from body movements, serving as self-powered energy harvesters and sensing systems. 189-194

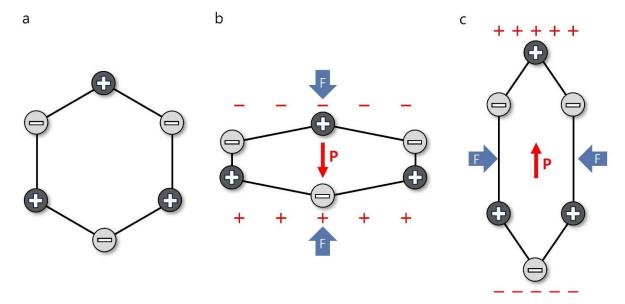


Figure 1-4. Working principle of the piezoelectric effect. When mechanical stress is applied to a piezoelectric material, it causes a deformation in the crystal lattice. This deformation leads to a displacement of positive and negative charges within the material, creating an internal electric dipole moment. The movement of charges results in the separation of positive and negative charges, breaking its electrical equilibrium.

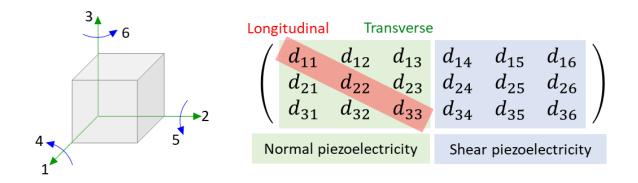


Figure 1-5. Schematic representation of a piezoelectric tensor. A piezoelectric tensor described the relationship between mechanical stress and electrical polarization in a material.

1.2.4 Pyroelectricity

Pyroelectric materials can generate electric charge in response to changes in temperature (Figure 1-6).¹⁹⁵ The term "pyroelectricity", derived from the Greek word "pyr", meaning "fire", denotes the generation of electricity under heat fluctuations. In the 1880s, Jacques Curie and Pierre Curie demonstrated pyroelectricity in tourmaline and quartz.¹⁹⁶ This pyroelectric effect is observed in materials lacking inversion symmetry and exhibiting

spontaneous polarization.¹⁹⁷ When these pyroelectric materials experience temperature variations, especially changes in temperature gradients or thermal fluctuations, they can develop temporary electric polarization. 198,199 During thermal fluctuations, the orientation of the electric dipole undergoes increased randomness and freedom, resulting in enhanced oscillation.²⁰⁰ This change in the orientation of electric dipoles alters the distribution of electric charges within the crystal lattice, leading to the generation of an electric potential across the material.²⁰¹ Biological materials respond to temperature changes through structural changes or the breaking of weak bonds, such as hydrogen bonds, van der Waals interactions, hydrophobic interactions, and electrostatic interactions. These disruptions affect the alignment of electric dipoles within the material, leading to a pyroelectric response. Therefore, many biological materials, including bone, tendon, skin, collagen, silk, keratin, viruses, and others, exhibit pyroelectric properties. Improving the pyroelectric properties of materials requires a comprehensive and varied strategy, including temperature treatment 202,203, doping 204-206, and electric field applications. 207,208 These methods enhance pyroelectric performance by improving electric dipole orientations. Pyroelectric materials are widely used for various energy conversion and energy storage technologies. These materials are applicable to infrared sensors and thermal imaging devices, converting thermal radiation into electrical signals for diverse applications such as surveillance and medical diagnostics. 209-216 Additionally, they can be employed for ambient energy harvesting, where temperature fluctuations induce electric polarization, offering a sustainable source for low-power devices. ^{217,218} These devices can be integrated to harvest thermal energy from human body for wearable and healthcare applications. 219,220

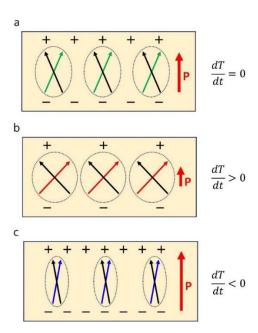


Figure 1-6. Working principle of the pyroelectric effect. Pyroelectric materials exhibit a change in their electric polarization in response to temperature fluctuations. Pyroelectric materials

exhibit a spontaneous electric polarization due to the arrangement of charges within the crystal lattice. Under the application of heat fluctuations, theses electric polarization oscillates, leading to the redistribution of electric state of the material.

1.2.5 Ferroelectricity

Ferroelectric materials exhibit spontaneous polarization that can be reversed by an external electric field (Figure 1-7). 221,222 Ferroelectricity requires a crystallographic structure lacking a center of symmetry and exhibiting a spontaneous polarization. 223-225. Ferroelectric materials have domains with distinct polarization within their structures.^{226,227} Upon the application of an external electric field, the polarization in the domains aligns with the electric field direction to varying degrees, following a hysteresis loop. 228,229 Specifically, the typical characteristics of ferroelectric materials is that this polarization can be reversed and maintain its reversed polarization even when the electric field is removed. 230,231 Additionally, remanent polarization, which is the electric polarization that remains in a ferroelectric material after the external electric field is removed, contributes to the ferroelectric behavior of the material, making it a crucial factor for various ferroelectric applications. ²³² Amino acids possess intrinsic dipole moments originating from their partial positive amino- and negative acid-groups. These amino acids serve as basic building blocks for peptides, proteins, and many proteinaceous tissues. Typically, the orientation of dipole moments can be manipulated by an external electric field, while weak interactions can transiently stabilize their metastable configurations within local structures. Therefore, certain crystals or ordered structures of biological materials can exhibit ferroelectricity, such as cortical bone tissue, aorta, and elastin. 31,233-235 Enhancing ferroelectric performance of ferroelectric materials requires strategies, including doping^{236,237}, alloying²³⁸, poling^{239,240}, and controlling the material fabrication process.²⁴¹ Additionally, recent advancements in characterization tools (e.g., piezoresponse force microscopy (PFM), X-ray diffraction (XRD)) and computational methods provided in-depth analysis on ferroelectric materials and their behaviors.²⁴²⁻²⁴⁹ Ferroelectric materials are widely used in memory devices, specifically for "writing, reading, and erasing" based on the unique property of ferroelectricity. 250-252 Basically, the writing process involves applying an external electric field to induce a change in polarization direction, allowing for the storage of information.²⁵³ Ferroelectric materials retain stored data even after the removal of the external electric field.²³¹ By applying a smaller electric field, the stored data can be read based on the resulting voltage direction.²⁵⁴ Additionally, the stored data can be erased by applying an external electric field in the opposite direction to the writing process.²⁵⁵ These dynamic ferroelectric characteristics make ferroelectric memory devices versatile for various memory devices. 256-263 These diverse applications highlight the versatility of ferroelectric materials in shaping the landscape of energy conversion technologies.

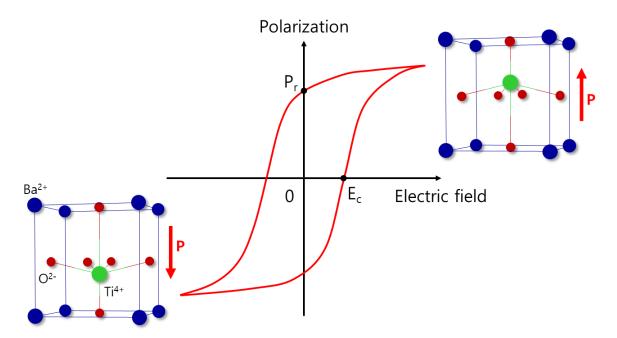


Figure 1-7. Working principle of the ferroelectric effect. The ferroelectric effect is a phenomenon observed in specific materials with non-centrosymmetric structure and spontaneous polarization. When an external electric field is applied to ferroelectric materials, their electric dipoles reorient in alignment along the electric field. After removing the external electric field, electric dipoles in ferroelectric materials maintain their reversed alignment.

1.3 Bioelectricity in amino acids

Amino acids are crucial in the structure and function of biological materials, serving as the basic components of peptides, proteins, and tissues. 264 Each amino acid comprises an α carbon bonded to four side groups: an amino group (-NH₂), a carboxyl group (-COOH), a hydrogen atom, and a variable side chain (R) that differs among amino acids (Figure 1-8). The electrical properties of amino acids mainly arise from the dipole moments of their molecular structures, which arise from contributions from the the amino group, carboxyl group, and side chain. 265 In individual amino acids, the amino group (-NH₂) is basic and contains a lone pair of electrons on the nitrogen atom.²⁶⁶ The presence of this lone pair results in a region of negative charge, leading to a partial negative charge on the nitrogen atom and influencing the overall dipole moment of the amino acid. In contrast, the carboxyl group (-COOH) is acidic and consists of a carbonyl group (-C=O) and a hydroxyl group (-OH). The oxygen atom in the carbonyl group withdraws electron density, creating a partial positive charge on the carbon atom and a partial negative charge on the oxygen atom. 266 Similarly, the oxygen atom in the hydroxyl group can induce a partial negative charge, further enhancing the overall dipole moment of the amino acid backbone. With the exception of glycine, the side chain (R group) of the amino acid can also influence its overall dipole moment^{267,268}, contingent upon its unique structure and properties, as discussed later. Certain side chains may contain polar functional

groups (e.g., hydrophobic, hydroxyl, negative, positive, polar groups, etc.), which can modulate the dipole moment.

Amino acids exhibit a range of electrical properties when subjected to external stimuli such as mechanical stress, temperature, and electric fields. These variations are crucial as they underlie specific electrical phenomena like piezoelectricity, pyroelectricity, ferroelectricity, and triboelectricity. With the exception of glycine, all amino acids possess a chiral structure without an inversion center, enabling them to demonstrate piezoelectric properties. 269,270 The piezoelectric resonances of different amino acids with various molecular configurations (e.g., right-handed (D), left-handed (L), and DL amino acids (racemic mixtures)) were first observed by Vasilescu et al. using a nuclear quadrupole resonance spectrometer. 271,272 They noted that most amino acids studied exhibited piezoelectric responses. Examination of amino acid crystals confirmed that those with chiral symmetry showed piezoelectric properties. Materials lacking a center of inversion and possessing spontaneous polarization demonstrate pyroelectricity and have the potential for ferroelectricity. 269,273 Materials with distinct electronic structure energy levels exhibit varying triboelectric effects.²⁷⁴ As a result, amino acids demonstrate diverse electrical properties based on their structural characteristics, as outlined in Table 1. To understand the relationship between structure and function in bioelectricity, we will review the essential electrical properties of glycine as a model system and briefly extend the discussion to other amino acids.

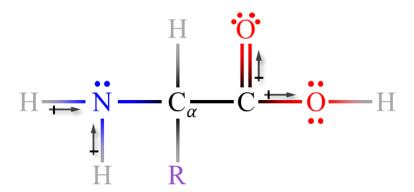


Figure 1-8. The chemical structure of an amino acid includes electron lone pairs on the nitrogen in the amino group on the oxygens in the carboxyl group, leading to the presence of partial dipole moments.

1.3.1 Glycine

Glycine is the simplest and smallest amino acid that consists of two hydrogen atoms bonded to the alpha carbon (Figure 1-9). Its compact structure is essential for constructing frameworks in biological materials, contributing to a variety of mechanical properties seen in

nature, such as those in collagen, elastin, and spider silk. $^{275-277}$ Glycine can crystallize in three different crystal lattices: α -glycine, β -glycine, and γ -glycine. 278 The space group of α -glycine is monoclinic P2₁/c, indicating a centrosymmetric structure (Figure 1-10a). $^{278-281}$ As a result, the dipole moments within the unit cell of α -glycine counteract each other, leading to a lack of piezoelectric effect. In contrast, β -glycine and γ -glycine have space groups of monoclinic P2₁ and trigonal P3₂, respectively, which establish a non-centrosymmetric structure conducive to exhibiting the piezoelectric effect (Figure 1-10b and 1-10c). $^{278-280,282-284}$ The piezoelectric properties of glycine were first observed in bulk powder characterization using nuclear quadrupole resonance spectroscopy. In this study, Lemanov et al. applied sequential electrical pulses to bulk glycine crystals and monitored the decrease in vibration frequency. 270 This measurement allowed them to determine how well the material mechanically responded to the electric potential stimulation. The bulk characterization confirmed that α -glycine did not exhibit piezoelectricity, while γ -glycine did exhibit a piezoelectric response. Subsequent studies observed the piezoelectric properties of β -glycine, which will be discussed later.

The development of SPM and novel crystal preparation techniques has allowed scientists to confirm the structure-dependent piezoelectric properties of glycine. Zhang et al. conducted a study on β-glycine crystals, creating crystal films using the electrohydrodynamic spray method.²⁸⁵ During spraying, an electric field was applied between the nozzle tip and the conductive substrate. These films grew in a monoclinic crystal system, aligning the spontaneous polarization along the crystallographic y-axis parallel to the electric field, which maximized the out-of-plane polarization orientation and thus the piezoelectricity. The piezoelectric coefficient of β-glycine crystal films was assessed using PFM, which applies a bias to a conductive cantilever with a PFM tip, inducing a deformation that measures the piezoelectric properties of the material with nanoscale precision. The measured effective piezoelectric coefficient was 11.2 pm V⁻¹. The application of β-glycine crystal films with a large-scale out-of-plane alignment of crystal grains to piezoelectric devices resulted in an output voltage of 14.5 V, an output current of 4 µA, and a power density of 3.61 µW cm⁻² at $2.6 \text{ M}\Omega$ under a compressive pressure of 1.5 MPa. Guerin et al. elucidated the piezoelectric properties of β-glycine using DFT calculations, determining the shear piezoelectric coefficients to be relatively high compared to normal piezoelectric coefficients.²⁵ Particularly noteworthy was the calculated shear transverse piezoelectric coefficient for the d₁₆ mode of β-glycine. which exhibited a significantly high value of 195 pm V⁻¹. The authors attributed the piezoelectric effect to the structural properties of molecular packing, influencing the orientation of dipole moments and stiffness. The highest piezoelectric coefficient for the d₁₆ mode in βglycine was likely due to its molecular packing and relatively show elastic stiffness. Consequently, the DFT studies suggested that the loosely packed structure around the monoclinic angle could reduce resistance to deformation, enhancing piezoelectricity by promoting efficient straining. The piezoelectric properties of γ-glycine were studied using PFM measurements.²⁸⁶ To grow the crystals, the slow evaporation method was employed from a supersaturated solution, resulting in the formation of pure trigonal γ-glycine crystals. Unlike

heterogeneous nucleation and random growth, the orientation of the dipole moments in these crystals was not determined. The confirmation of the piezoelectricity in γ-glycine was achieved through out-of-plane PFM measurements, which yielded an effective piezoelectric coefficient of 10 pm V⁻¹. The piezoelectric response along the crystallographic c-axis of the γ-glycine crystals was also examined.²⁵ Using a piezometer, a quasi-static force was applied to the (001) plane of the crystals. This device measured the voltage generated when pressure was applied using a vibrating lever or measured the lever deflection due to deformation under an applied electric field. The resulting piezoelectric coefficient for the d₃₃ mode was 9.93 pm V⁻¹. Additionally, when a quasi-static force was applied to the (100) and (010) planes of the γ-glycine crystals, the piezoelectric coefficients for the d₁₁ and d₂₂ modes were 1.7 and -1.1 pm V⁻¹, respectively.

The pyroelectricity in γ -glycine was investigated by Lemanov. Using a charge amplifier, the pyroelectric coefficient of γ -glycine crystals was determined to be 13 μ C m⁻² K⁻¹ at room temperature, measuring the pyroelectric charge generation in response to heat application. Tylczynski et al. explored the pyroelectric characteristics of γ -glycine through quasi-static resonance methods. They grew single crystals of γ -glycine in a trigonal crystal system slowly evaporating a saturated solution at 305 K. Their method involved measuring the change in electric charge on the surface of a glycine sample as the temperature varied from 100 to 385 K, using an electrometer. The pyroelectric coefficient of pure γ -glycine at 295 K was determined to be 21.4 μ C m⁻² K⁻¹. While these investigations confirmed the pyroelectric effect in γ -glycine, further exploration is needed for a structure-dependent nanoscale analysis. Although the pyroelectric properties of β -glycine have not been reported, it is presumed to exhibit such properties due to its association with ferroelectric materials.

The ferroelectricity in γ -glycine was studied by Heredia et al. ²⁸⁶ Thy grew trigonal γ glycine crystals using the slow evaporation method from a supersaturated solution. To observe polarization switching under an external electric field, they employed switching spectroscopy PFM. Applying biases up to 25 V to the crystals, they measured resulting changes, revealing a ferroelectric hysteresis with a coercive voltage of ~10 V, indicating polarization switching in y-glycine crystals. The researchers conducted DFT calculations and MD simulations to understand the origin of the ferroelectric behavior of γ -glycine. These computational methods determine the electronic structure of atoms and molecules by minimizing total energy, illustrating interactions between them over time and offering insights into dynamic behavior and properties of the materials. They calculated the number of switched molecules under an applied electric field ranging from 0 to 13 GV m⁻¹. MD simulation results displayed a clear transition at ~4 GV m⁻¹ and complete switching in the simulation box's central region at ~8 GV m⁻¹, confirming the ferroelectric behavior in γ-glycine. Hu et al. further explored the ferroelectric properties of γ-glycine using DFT calculations and MD simulations.²⁸⁸ Their computational findings revealed a spontaneous polarization of 70.7 μC cm⁻² for trigonal γglycine along the crystallographic z-axis at 300 K. To investigate polarization switching, MD simulations tracked polarization changes under an external electric field along the axis ranging from -3 to 3 GV m⁻¹. As the magnitude of the applied electric field increased, polarization switched between 1 to 2 GV m⁻¹, with a coercive field of 1 GV m⁻¹, indicating a ferroelectric behavior. The Curie temperature, at which a material loses its ferroelectric properties and becomes paraelectric, was estimated to be 630 K for γ -glycine, suggesting potential ferroelectric applications in biological systems. Computational studies on γ -glycine confirmed its ferroelectric behavior through rational design at the nanoscale, monitoring polarization switching in a time-dependent manner to systematically determine ferroelectric characteristics parameters. Investigation into the ferroelectric properties of β -glycine was excluded mainly due to its extreme instability.

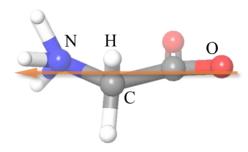


Figure 1-9. The formation of a dipole moment in glycine.

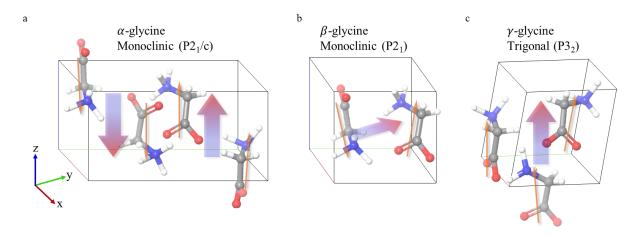


Figure 1-10. (a) α -glycine exhibit zero spontaneous polarization due to its centrosymmetric structure. The polarization of glycine molecules counteract each other, resulting in zero polarization. (b) The dipole moments in glycine molecules create a spontaneous polarization along the y-axis, contributing to an increased longitudinal 22 piezoelectric coefficient. (c) The γ -glycine unit cell exhibit a spontaneous polarization along the z-axis due to the collective alignment of dipole moments, which is directly linked to the longitudinal 33 piezoelectric coefficient.

1.3.2 Other amino acids

Amino acids exhibit diverse electrical properties based on their side chains. These side chains can be classified based on their chemical structures into nonpolar aliphatic, aromatic, positively/negatively charged, and polar uncharged groups. Amino acids with nonpolar aliphatic side chains, such as glycine, L-alanine, L-valine, L-leucine, L-isoleucine, L-proline, and L-methionine, are hydrophobic due to the absence of polar groups or bonds in their side chains. Longer or bulkier side chains tend to increase hydrophobic interactions within molecular structures. However, these bulky side chains can impede close-packing arrangements, affecting the crystallinity and elasticity of materials. Thus, variations in side chain structures can impact the electrical properties of amino acids. For example, L-alanine and L-proline crystallize into an orthorhombic P2₁2₁2₁ crystal system, while L-valine, L-leucine, L-isoleucine, and L-methionine crystallize into a monoclinic P2₁ crystal system.

Tylczyński et al. explored the piezoelectric properties of L-alanine by growing a single crystal using the slow evaporation method from a saturated solution.²⁹¹ Their study used a series resonance method to demonstrate the piezoelectric properties of L-alanine crystals. By subjecting the crystals to vibrations across various frequency ranges using an impedance meter, they measured the resulting piezoelectric resonances. The analysis of these resonances yielded elastic stiffness constants ranging from 5.34 to 6.26 GPa, which in turn led to piezoelectric coefficients of 1.92, 3.60, and 2.19 pm V⁻¹ for the d₁₄, d₂₅, and d₃₆ modes, respectively. Guerin et al. employed DFT calculations to study the piezoelectric properties of L-alanine.²⁶ They calculated elastic stiffness constants ranging from 6.87 to 8.74 GPa, consistent with experimental findings. The piezoelectric tensor of L-alanine was then determined, revealing calculated piezoelectric coefficients of -6.26, -3.78, and -6.30 pm V-1 for the d₁₄, d₂₅, and d₃₆ modes, respectively. Yuan et al. investigated the piezoelectric properties of L-valine by examining its elastic stiffness constants.²⁹² This exploration is crucial for understanding the piezoelectric properties of the material, as the piezoelectric coefficient is inversely proportional to the elastic stiffness constants. The prediction of these constants is crucial for understanding a material's piezoelectric properties, with the piezoelectric coefficient inversely proportional to the elastic stiffness constants.²⁹³ They used atomic force microscopy (AFM) nanoindentation techniques to measure the elastic stiffness of the crystals, revealing a stiffness value of 163.6 N m⁻¹ for L-valine crystals. DFT calculations were employed to further investigate the elastic and piezoelectric constants, revealing the lowest calculated d₂₂ piezoelectric coefficient of 12.3 pm V⁻¹ and an effective piezoelectric coefficient of 11.4 pm V⁻¹ for L-valine compared to Lleucine and L-methionine crystals, which will be discussed later. Nonpolar aliphatic amino acid-based piezoelectric devices were fabricated and tested, with L-valine-based devices producing an output voltage of 0.84 V and an output current of 35 nA under an applied force of 20 N. O'Donnell et al. conducted a study on the piezoelectric properties of L-leucine, using crystals grown through the evaporation method.²⁹³ They measured the piezoelectric coefficient of L-leucine crystal films using a piezometer, obtaining a value of 1.57 pm V⁻¹ for the d₃₃ mode. Through DFT calculations, a range of 1.5 to 20 pm V⁻¹ was determined for the piezoelectric

coefficients of L-leucine, with higher values attributed to the attenuation of the piezoelectric response of many single crystals in the crystalline film due to the quasi-random orientations of individual single crystals in the film. Elastic stiffness was assessed using AFM nanoindentation techniques, yielding stiffness values of 49.7 N m⁻¹. ²⁹² The piezoelectric tensor of L-leucine was derived from the calculation of elastic constants, revealing a high shear d₃₆ piezoelectric coefficient of 42.2 pm V⁻¹ due to the weak hydrogen bonding interactions of the crystal. The remarkable piezoelectric properties of L-leucine crystals originates from their low stiffness and weak hydrogen bonding interactions, which promote ionic displacement under mechanical stress, leading to substantial polarization. Cheng et al. examined the piezoelectric properties of isoleucine crystals, which were produced through the evaporation method.²⁹⁴ Their study included measuring the elastic stiffness constant using AFM nanoindentation techniques, yielding a value of 68.2 N m⁻¹. The molecular arrangement of L-isoleucine crystals, featuring seven hydrogen bonds between neighboring molecules, indicates their potential for piezoelectric behavior. Piezoelectric properties were evaluated using a piezometer, determining a piezoelectric coefficient of 1.2 pm V⁻¹ along the normal direction of the film. Additionally, DFT calculations by Guerin et al. estimated elastic stiffness constants ranging from 0.4 to 24 GPa and a maximum calculated piezoelectric coefficient of 18.3 pm V⁻¹ for L-isoleucine.²⁵ The piezoelectric properties of L-proline were examined using DFT calculations, as experimental data were limited.²⁶ The calculated elastic stiffness constants ranged from 3.28 to 8.80 GPa, resulting in calculated piezoelectric coefficients of 3.35, -0.09, and -0.4 pm V⁻¹ for the d₁₄, d₂₅, and d₃₆ modes, respectively. Yuan et al. investigated the piezoelectric properties of Lmethionine by analyzing its elastic stiffness constants.²⁹² Using AFM nanoindentation techniques, they determined a stiffness value of 60.4 N m⁻¹ for L-methionine crystals. Through DFT calculations, the researchers identified the elastic and piezoelectric constants, revealing a longitudinal d₂₂ piezoelectric coefficient of 37.6 pm V⁻¹, attributed to the low stiffness and loose packing of the crystal. The shear d₃₆ piezoelectric coefficient was notably high at 42.2 pm V⁻¹ due to the weak hydrogen bonding interactions of the crystal. The piezoelectric tensor of L-methionine was established based on elastic constant calculations. Their findings indicated that L-methionine could serve as a material for nonpolar aliphatic amino acid-based piezoelectric devices, with fabricated devices generating an output voltage of 1.62 V and an output current of 55.1 nA under an application of force of 42 N, achieving a maximum power of 31.4 nW cm⁻² at 40 MΩ.²⁹² Amino acids with aromatic side chains, such as L-tyrosine, Lphenylalanine, and L-tryptophan, contribute to the overall electrical properties similarly to those with aliphatic side chains. These aromatic amino acids possess a dipole moments due to the uneven electron density distribution in their aromatic rings. Their aromatic side chains can engage in hydrophobic interactions and π - π stacking with neighboring molecules. Moreover, their bulkier structures can significantly impact the crystallinity and elasticity of crystals. Specifically, L-tyrosine, L-phenylalanine, and L-tryptophan exhibit orthorhombic P2₁2₁2₁, monoclinic P2₁, and triclinic P1 crystal systems, respectively. Ji et al. investigated the piezoelectric properties of L-tyrosine and L-phenylalanine, determining the stiffness of the crystals through AFM nanoindentation measurements. They found that L-tyrosine exhibited a

higher stiffness of 633 N m⁻¹ compared to L-phenylalanine's 84.2 N m⁻¹, indicating greater rigidity in L-tyrosine crystals.²⁹⁵ This rigidity was attributed to the densely packed dimers in L-tyrosine, resulting in strong supramolecular packing. Hydrogen bonds were identified as the primary force driving the packing in both amino acids. The dimer building block in L-tyrosine crystals differed from that in L-phenylalanine in terms of hydrogen bonded units and crystal packing. DFT calculations revealed piezoelectric coefficients of L-tyrosine ranging from 5.0 to 9.7 pm V⁻¹, with the highest value observed for the d₂₅ mode. In contrast, L-phenylalanine exhibited a maximum piezoelectric coefficient of 5.4 pm V⁻¹ for the d14 mode.^{296,297} Due to their mechanical stability, piezoelectric devices based on L-tyrosine were fabricated, demonstrating an output voltage of 0.5 V and an output current of 35 nA under an application force of 31 N. Amino acids with charged side chains can affect their electrical properties by either donating or withdrawing electron density. Mandal et al. used DFT calculations to illustrate the magnitude and direction of dipole moments in these amino acids.²⁹⁸ Their study categorized amino acid structures into donor, bridge, and acceptor segments. Positively charged amino acids like L-lysine, L-arginine, and L-histidine adopt a monoclinic P21 crystal system, with the backbone, alkyl side chain, and amino or imidazole moieties serving as the donor, bridge, and acceptor, respectively. The excess positive charge on these cationic species causes dipole moments to form from the donor to the acceptor direction (Figure 1-11). Calculated dipole moments for L-lysine, L-arginine, and L-histidine were 22.7, 20.8, and 6.9 D, respectively. Conversely, negatively charged amino acids such as L-aspartate and L-glutamate crystallize into monoclinic P2₁ and orthorhombic P2₁2₁2₁ crystal systems, with the backbone, alkyl side chain, and carboxylate moieties acting as the acceptor, bridge, and donor, respectively. The excess negative charge on these anionic species leads to dipole moments forming from the acceptor to the donor direction (Figure 1-11). Calculated dipole moments for L-aspartate and L-glutamate were 14.4 and 17.4 D, respectively. Amino acids featuring polar uncharged side chains (e.g., L-serine, L-threonine, L-asparagine, L-glutamine, and L-cysteine) exhibit a dipole moment due to their polar functional groups, which include hydroxyl, thiol, and amide. Specifically, L-serine, L-threonine, and L-glutamine crystallize in an orthorhombic P2₁2₁2₁ system, while L-asparagine and L-cysteine adopt a monoclinic P2₁ crystal system. The crystal systems and piezoelectric tensors of these amino acids are outlined in Table 1. Through the use of a quadrupole spectrometer, researchers have observed a piezoelectric response in these amino acids, showcasing the presence of piezoelectricity in polar uncharged variants.^{270,271} DFT calculations were employed to determine the piezoelectric coefficients of L-serine, as experimental data was not accessible.²⁹⁶ These calculations revealed the piezoelectric coefficients for the d₁₄, d₂₅, and d₃₆ modes of L-serine to be 4.69, 4.33, and -3.00 pm V⁻¹, respectively. Furthermore, the elastic stiffness constants of L-serine were calculated across a range of 2.3 to 12.6 GPa. The study involved examining the piezoelectric response of L-threonine crystals by using a piezometer.²⁹⁶ These crystals were grown in an orthorhombic structure using the slow evaporation method from a saturated aqueous solution. Measurements on polycrystalline L-threonine crystal films revealed an effective longitudinal d₃₃ piezoelectric coefficient of 0.1 pm V-1. Furthermore, predictive DFT calculations were performed to

determine the piezoelectric coefficients for the d₁₄, d₂₅, and d₃₆ modes of L-threonine crystals, resulting in values of 3.78, -3.40, and -4.90 pm V⁻¹, respectively. The study also determined the elastic stiffness constants of L-threonine, which ranged from 5.1 to 11.5 GPa. Guerin et al. used DFT calculations to establish the piezoelectric properties of L-asparagine, as there was no experimental measurements.²⁵ They found that the piezoelectric coefficients varied between 0.9 and 13.0 pm V⁻¹, with the highest value observed for the d₁₆ shear mode. Additionally, the elastic stiffness constants were determined to range from 7 to 83 GPa. DFT calculations were used to determine the piezoelectric properties of L-glutamine. ²⁹⁶ The calculated piezoelectric coefficients for the d₁₄, d₂₅, and d₃₆ modes were found to be -1.85, -3.78, and -11.40 pm V⁻¹, respectively. Additionally, the elastic stiffness constants were calculated to range from 8.1 to 16.8 GPa. DFT calculations were employed to determine the piezoelectric properties of Lcysteine.²⁵ The calculated piezoelectric coefficients ranged from 1.2 to 11.4 pm V⁻¹, with the highest value observed for the longitudinal d₂₂ mode. Additionally, the calculated elastic stiffness constants ranged from 3 to 37 GPa. Polar uncharged amino acids exhibited minimal variation in their elastic stiffness constants, leading to minor discrepancies in their piezoelectric behaviors.

Most amino acids in proteins exhibit polar symmetry groups, suggesting they may demonstrate pyroelectric properties.²⁶⁹ Although there is a lack of structure-dependent pyroelectric analyses, some amino acids have been reported to display this behavior. Yarmakin et al. studied the pyroelectric properties of L-aspartate crystals.²⁹⁹ These single crystals were grown by slowly cooling saturated aqueous solutions, and then cut perpendicular to the symmetry axis. Pyroelectric measurements on the monoclinic L-aspartate crystals were conducted using a dynamic method. The crystals were illuminated with infrared light at a heat rate of 100 K min⁻¹, resulting in a measured pyroelectric coefficient of 4 μC m⁻² K⁻¹ at 295 K. Mishuk et al. conducted a study on DL-alanine crystals to demonstrate their pyroelectric properties, which were grown using the slow evaporation method.³⁰⁰ These crystals have an orthorhombic structure with exposed carboxylate groups along the [001] direction. When subjected to periodic temperature changes using an infrared laser, the crystals showed pyroelectric coefficients of 5-20 µC m⁻² K⁻¹ along the [001] direction and -210 µC m⁻² K⁻¹ along the [210] direction. The authors suggested that the significant pyroelectricity along the [210] direction is due to local distortions caused by these molecules, which displace neighboring host molecules from their lattice positions and align them in a polar configuration.

The triboelectric properties of amino acids, including L-arginine, L-histidine, L-glutamate, and L-aspartate, were examined by Pal et al.²⁷⁴ Kelvin probe force microscopy (KPFM), a technique that measures the contact potential difference (CPD) between a conductive tip and a sample surface, was employed to provide nanoscale insights into surface potential and variations in work function. Surface potential mapping using KPFM revealed distinct work function profiles for amino acids with different side chain structures. Positively charged amino acids, including L-arginine and L-histidine, demonstrated low work functions of approximately 3.8 eV, whereas negatively charged amino acids like L-glutamate and L-

aspartate exhibited higher values around 5 eV. Amino acids that were neither positively nor negatively charged had work functions ranging from approximately 4.4 to 4.6 eV. This variation suggests that the differing electron-donating and -accepting capabilities among amino acids lead to distinct electronic energy level structures, resulting in diverse triboelectric effects. XPS involves irradiating a sample with X-rays to release photoelectrons, the energies of which are measured to analyze the elemental composition and chemical state of the material. Through XPS analysis, they clarified the reasons for these distinctions, which include the material's atomic composition and the existence of various chemical structures in side chain functional groups. XPS characterizations analyses demonstrated that the guanidine group in the side chain of L-arginine and the imidazole group in the side chain of L-histidine exhibited electrondonating properties, while oxygen-based functional groups in L-glutamate and L-aspartate displayed electron-accepting properties. The atomic composition, particularly the nitrogen-tooxygen ratio, was determined for these amino acids, with positively charged amino acids showing high values and negatively charged amino acids showing low values. These results emphasized the varied electron-donating and -accepting capabilities of amino acids at the atomic level, which were consistent with the observations from the work function analyses. Additionally, the triboelectric series of amino acids was established through the measurement of triboelectric transfer charges (Figure 1-12). Amino acid-based triboelectric devices were created by using amino acids as positive materials and polytetrafluoroethylene (PTFE) as the negative material, selected for its strong negative triboelectric characteristics. The quantity of generated triboelectric transfer charges was determined and found to be closely related to the work function values; materials with a greater difference in work function yielded more triboelectric transfer charges. Consequently, the triboelectric series of amino acids categorized amino acids with a high nitrogen content as positive triboelectric materials, those with a high oxygen content as negative triboelectric materials, and non-charged amino acids in between. This investigation illustrates how the atomic structure of amino acids influences their ability to donate or accept electrons in a triboelectric context. Yuan et al. investigated the influence of amino acid molecular packing on triboelectric performance using valine crystals.³⁰¹ They employed a vapor deposition process to fabricate various self-assembled molecular packing structures of L-valine, D-valine, and DL-valine. Valine molecules naturally form layered structures due to interactions between the amino and carboxyl groups, resulting in double layers parallel to the (001) plane. While L-valine and D-valine formed three-dimensional films, DLvaline formed a two-dimensional film, possibly due to differing hydrogen bonding interactions. The molecular packing of the valine crystals influenced their triboelectric properties. In the three-dimensional L-valine and D-valine crystals, the amino groups were oriented in the same direction, enhancing their electron-donating capabilities. Conversely, in the two-dimensional DL-valine crystal, the amino groups pointed in different directions, diminishing their ability to donate electrons due to the opposing negative charges from the carboxyl group. Hirshfeld surface mapping of valine crystals revealed differing electrostatic potentials for the interaction between the donor (H) atom in the amino group and the acceptor (O) atom in the carboxyl group. The potentials were measured as 0.0043, 0.0037, and 0.0030 a.u. for L-valine, D-valine,

and DL-valine, respectively, indicating higher electropositivity in the order of L-valine, D-valine, and DL-valine. KPFM measurements supported this trend, showing a higher surface potential in the same order. This suggests that triboelectric devices based on valine, particularly those with L-valine in a three-dimensional structure, exhibited superior triboelectric performance due to their high electropositivity and large contact area. For instance, the L-valine-based triboelectric device demonstrated an impressive output voltage of 112 V, an output current of 1.05 μ A, and a power density of 92.9 mW m-2 at 300 M Ω under an application force of 57 N.

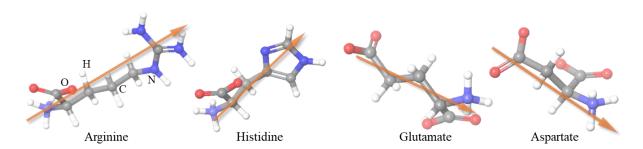


Figure 1-11. Schematic images of positively charged amino acids (arginine and histidine) and negatively charged amino acids (glutamate and aspartate) depict dipole moments. In positively charged amino acids, these dipole moments are formed from the carboxyl group toward the amino group, whereas in negatively charged amino acids, they are oriented in the opposite direction.

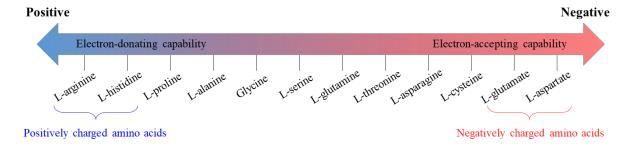


Figure 1-12. The triboelectric series of amino acids indicates that positively charged amino acids, classified as positive triboelectric materials, typically donate electrons due to their low work functions. Conversely, negatively charged amino acids, categorized as negative triboelectric materials, tend to accept electrons due to their high work functions.

| Material Electrical characteristics | Symmetry Methods | Refs. |
|-------------------------------------|------------------|-------|
|-------------------------------------|------------------|-------|

| β-Glycine | (0 0 0 15.8 0 195) | Monoclinic | DFT | [25] |
|---|--|--|--|---------------------|
| | $ \begin{pmatrix} 1.8 & -5.7 & 1.9 & 0 & 5.1 & 0 \\ 0 & 0 & 0 & 1.3 & 0 & 7.5 \end{pmatrix} $ | $(P2_1)$ | | |
| | $d_{16} = -178$ | | Resonance method | |
| | $d_{33,eff} = 11.2$ | | PFM | $[^{285}]$ |
| | $14.5~V, 4~\mu A, 3.61~\mu W~cm^{\text{-}2}$ at $2.6~M\Omega$ | | Piezo-device | |
| | $E_c = 1.5 - 2$ | | DFT & MD | $[^{284}]$ |
| γ-Glycine | $\begin{pmatrix} 1.6 & -1.1 & 0 & -5.8 & 7.2 & 5.6 \\ 1.2 & -1.1 & 0 & 7.5 & 5.6 & -5.6 \\ -0.8 & -0.7 & 10.4 & 0 & 0 & 0 \end{pmatrix}$ | Trigonal (P3 ₂) | DFT | [25] |
| | $d_{11} = 1.7, \ d_{22} = -1.1, \ d_{33} = 9.93$ | | Quasi-static method | |
| | $d_{33} = 5.8 - 11.6$ | | DFT & MD | $[^{284}]$ |
| | $d_{33} = 7.37$ | | Piezometer | $[^{302}]$ |
| | 0.45 V at 0.172 N | | Piezo-device | $[^{25}]$ |
| | p = 13 | | - | $[^{303}]$ |
| | p = 21.4 | | Quasi-static method | $[^{287}]$ |
| | $E_c = 0.03 - 0.08$ | | DFT & MD | [284] |
| | $E_c = 1$ | | DFT & MD | $[^{288}]$ |
| L-lysine | $d_{34} = 6.0$ | Monoclinic | DFT | $[^{297}]$ |
| L-phenylalanine | $d_{14} = 5.4$ | $(P2_1)$ | | |
| L-tryptophan | $d_{25} = 28$ | Triclinic | | |
| | | (P1) | | |
| L-arginine | $\begin{pmatrix} 0 & 0 & 0 & 0.28 & 0 & 6.52 \\ -0.71 & 3.65 & 0.36 & 0 & -0.73 & 0 \\ 0 & 0 & 0 & 2.33 & 0 & 3.64 \end{pmatrix}$ | Monoclinic (P2 ₁) | DFT | [304] |
| L-valine | $\begin{pmatrix} 0 & 0 & 0 & 0.13 & 0 & 1.37 \\ -0.15 & -0.12 & 0.05 & 0 & 0.95 & 0 \\ 0 & 0 & 0 & 1.81 & 0 & 1.62 \end{pmatrix}$ | | | |
| | d = 11.4 | | PFM | $[^{305}]$ |
| | 0.84 V, 35 nA at 20 N | | Piezo-device | |
| | $\begin{pmatrix} 0 & 0 & 0 & -7.36 & 0 & -7.7 \\ 0.16 & -12.4 & 5.75 & 0 & -3.23 & 0 \\ 0 & 0 & 0 & -5.33 & 0 & 0.61 \end{pmatrix}$ | | DFT | [305] |
| | $112~V,1.05~\mu A,92.9~mW~m^{2}$ at $300~M\Omega$ | | Tribo-device | $[^{301}]$ |
| D-valine | $100\mathrm{V},1.0\mu\mathrm{A}$ | | | |
| DL-valine | 75 V, 808 nA | | | |
| L-asparagine | $\begin{pmatrix} 0 & 0 & 0 & 12.0 & 0 & -13.0 \\ 2.1 & 2.0 & 1.1 & 0 & -4.6 & 0 \\ 0 & 0 & 0 & -0.9 & 0 & 5.7 \end{pmatrix}$ | | DFT | [²⁵] |
| L-aspartate | $\begin{pmatrix} 0 & 0 & 0 & 3 & 0 & 3 \\ -0.73 & -1.85 & -1.82 & 0 & 0 & 0 \\ 0 & 0 & 0 & 13 & 0 & 10 \end{pmatrix}$ | | | |
| | p = 4 | | Dynamic method | $[^{299}]$ |
| L-cysteine | $\begin{pmatrix} 0 & 0 & 0 & 10.7 & 0 & 10.6 \\ 4.1 & 11.4 & 2.9 & 0 & 2.6 & 0 \\ 0 & 0 & 0 & 13 & 0 & 1.2 \end{pmatrix}$ | | DFT | [25] |
| L-histidine | $\begin{pmatrix} 0 & 0 & 0 & 4 & 0 & 18 \\ 1.6 & 1.25 & 0.43 & 0 & 6.67 & 0 \\ 0 & 0 & 0 & 2.5 & 0 & 2 \end{pmatrix}$ | | | |
| L-phenylalanine L-tryptophan L-arginine L-valine D-valine DL-valine L-asparagine L-asparate L-cysteine | $d_{34} = 6.0$ $d_{14} = 5.4$ $d_{25} = 28$ $\begin{pmatrix} 0 & 0 & 0 & 0.28 & 0 & 6.52 \\ -0.71 & 3.65 & 0.36 & 0 & -0.73 & 0 \\ 0 & 0 & 0 & 2.33 & 0 & 3.64 \end{pmatrix}$ $\begin{pmatrix} 0 & 0 & 0 & 0.13 & 0 & 1.37 \\ -0.15 & -0.12 & 0.05 & 0 & 0.95 & 0 \\ 0 & 0 & 0 & 1.81 & 0 & 1.62 \end{pmatrix}$ $d = 11.4$ $0.84 \text{ V, } 35 \text{ nA at } 20 \text{ N}$ $\begin{pmatrix} 0 & 0 & 0 & -7.36 & 0 & -7.7 \\ 0.16 & -12.4 & 5.75 & 0 & -3.23 & 0 \\ 0 & 0 & 0 & -5.33 & 0 & 0.61 \end{pmatrix}$ $112 \text{ V, } 1.05 $ | (P2 ₁) Triclinic (P1) Monoclinic | DFT PFM Piezo-device DFT Tribo-device DFT Dynamic method | [30] [30] [30] [22] |

```
12.25
                                                     18.3
L-isoleucine
                       2.6
                                  1.1
                             10
                                         0
                                                10
                                                      0
                                                     3.33/
                                   0
                                         25
                                                0
                              0
                                   0
                                         0
                                               0
                                                    12.5
L-leucine
                       2.7
                            8.6
                                  1.5
                                        0
                                             12.5
                       0 /
                                               0
                              0
                                   0
                                        20
                                                    12.5/
                                                                                        Piezometer
                                                                                                               [^{293}]
                      d_{33} = 1.57
                         0
L-methionine
                                                  13\
                                                                                        DFT
                                                                                                                [^{25}]
                       2.42 6.9
                                         0
                                    4.1
                                              5
                                                   0
                                     0
                                         15
                       0 /
                               0
                                                                                                               [292]
                      d = 18.0
                                                                                        PFM
                                                                                                               [^{292}]
                      1.62 V, 55.1 nA, 31.4 nW cm ^{-2} at 40 M\Omega
                                                                                        Piezo-device
                                                6.83
                                                                                        DFT
                                                                                                               [292]
                                      -8.96
                        -12.9
                                                      18.3
                                37.6
                                                 0
                         0
                                                             11.5
                                  0
                                         0
                                                16.4
                                  3.35
                       0 0 0
                                            0
L-proline
                                                                       Orthorhombic
                                                                                        DFT
                                                                                                                [^{26}]
                                          -0.09
                          0 0
                                                                          (P2_12_12_1)
                           0 0
                                    0
                                            0
                          0 0
                       /0
                                   -6.26
                                              0
                                                                                                               [296]
                                                                                        DFT
L-alanine
                       0 0 0
                                     0
                                           -3.78
                       /<sub>000</sub>
                                                                                                               [296]
                      p = -0.11
                                                                                        Dynamic method
                                                  8.0
                                                       0\
                                                                                        DFT
                                                                                                               [<sup>306,30</sup>
DL-alanine
                                                                       Orthorhombic
                                      0
                                           17.8
                                                        0
                                                                          (Pna2_1)
                                                                                                                7]
                       \0.9 -1.1
                                    10.3
                                                                                                               [306]
                      d_{33} = 10.8
                                                                                        PFM
                      p = 5 - 20 along [001], p = -210 along
                                                                                        Quasi-static method
                                                                                                               [300]
                      [210]
                                  -5.00
L-tyrosine
                              0
                                                                       Orthorhombic
                                                                                        DFT
                                                                                                               [^{296}]
                                     0
                                           -9.73
                       0
                           0 0
                                                                          (P2_12_12_1)
                       \sqrt{0} \ 0 \ 0
                                     0
                      0.5 V, 35 nA at 31 N
                                                                                                               [^{295}]
                                                                                        Piezo-device
                                 0
                                        0
                                                                                                               [306]
DL-tyrosine
                                                                                        DFT
                                                               0
                                              -10.7
                                0
                                        0
                                                        0
                       -0.9 -2.2 -8.4
                      d_{33} = 8.4
                                                                                                               [^{306}]
                                                                                        PFM
                           0
                                              0
                                                                                                               [^{296}]
                                  -1.85
L-glutamine
                                                                                        DFT
                          0 0
                                           -3.78
                       0
                                     0
                       0/
                           0 0
                                     0
                       /0
                           0 0
                                  3.78
                                            0
L-threonine
                          \begin{array}{cc} 0 & 0 \\ 0 & 0 \end{array}
                       0
                                    0
                                          -3.40
                       /0
                                                                                                               [^{206}]
                      p = -0.24
                                                                                        Dynamic method
                       0 0 0
                                  4.69
                                                                                        DFT
                                                                                                               [296]
L-serine
                          0 0
                                    0
                                          4.33
                       /o o o
                                    0
                                                                                                               [206]
                      p = 0.11
                                                                                        Dynamic method
L-glutamate
                           0
                                  0.54
                                            0
                                                                                        DFT
                                                                                                               [^{296}]
                           0 0
                                    0
                                          -1.60
                       0
                       0
                           0 0
                                    0
                                            0
Hydroxy-L-proline
                       /0
                           0
                                  3.72
                                            0
                       0
                           0
                               0
                                    0
                                          -27.75
                                                     0
                                                   4.55/
                       0/
                           0
                               0
                                    0
                                            0
```

Table 1. Electrical characteristics of amino acids, including piezoelectric coefficient, d (pC N⁻¹), pyroelectric coefficient, p (μ C m⁻² K⁻¹), coercive field, E_c (GV m⁻¹), and electrical outputs of the device. Matrices in the table represent the piezoelectric tensor of the material.

1.4 Bioelectricity in peptides

Peptides, short amino acid chains linked by amide bonds, can form a variety of structures such as dipeptides, tripeptides, and oligopeptides using the 20 natural amino acids and their analogs, each exhibiting unique dipole structures. These structures, including nanofibers, nanotubes, and nanoribbons, can organize their dipole structures and display diverse electrical properties based on their primary structure, the arrangement of polar groups, and their response to external stimuli like mechanical stress, temperature changes, and electric fields. Extensive research has focused on understanding the structural and electrical properties of dipeptides (e.g., diphenylalanine, FF) and tripeptides (e.g., PFF and OFF) (Table 2). These studies aim to elucidate the various electrical properties of these hierarchically structured peptide assemblies.

Diphenylalanine (FF), composed of two phenylalanine amino acids, has the ability to self-assemble into structured nanostructures, demonstrating a range of electrical characteristics. Phenylalanine, the foundational element of diphenylalanine, crystallizes in a monoclinic crystal system and bonds to create diphenylalanine, potentially showing electrical properties due to its inherent polarization. FF is naturally involved in the formation of amyloid- β proteins, which are associated with Alzheimer's disease. The electrical characteristics of self-assembled FF nanotubes have been extensively studied, focusing on their structural features. FF has the ability to self-assemble into a hexagonal ring structure, where six individual FF monomers join to form a ring plane with six-fold hexagonal symmetry (space group P61). This structure is non-centrosymmetric and exhibits a spontaneous polarization of 1.3 D along the axis perpendicular to the ring plane (Figure 1-13). Through a combination of hydrogen bonding and π - π stacking interactions, the FF hexagon ring can further assemble into a nanotube structure, demonstrating a dipole moment of 42-52 D along the tubular axis. 317,318,321

Kholkin et al. were the first to discover the piezoelectric effect in FF nanotubes.³¹⁶ They created horizontally aligned FF nanotubes by dissolving FF building blocks in lyophilized form in hexafluoro propanol. A 2 μL aliquot of the resulting solution was diluted in ddH₂O, placed on a gold substrate, and then dried. The piezoelectric properties of these aligned FF nanotubes were examined using PFM measurements. They found that a 100 nm diameter tube exhibited a shear piezoelectric coefficient of 35 pm V⁻¹ in the d₁₅ mode, which increased to 60 pm V⁻¹ when the diameter was increased to 200 nm. This increase in piezoelectric effect with larger diameter was attributed to a bulk effect. Assuming a consistent inner diameter of the FF nanotube with varying outer diameters, the change in the piezoelectric response can be explained by the transition from a thin wall to a thick wall. In the case of a thick wall, the

piezoelectric properties resemble those of a solid material, approaching what is known as the bulk piezoelectric effect. Heredia et al. investigated the piezoelectric characteristics of vertically aligned FF nanotubes.317 They produced these vertically aligned nanotubes by dissolving FF building blocks in lyophilized form in hexafluoro propanol. A 30 µL portion of the resulting solution was deposited directly onto a substrate and allowed to dry. Using PFM measurements, they estimated the effective longitudinal piezoelectric coefficient for the d₃₃ mode in vertically aligned FF tubes to be 30 pm V⁻¹. Later, Vasilev et al. established a piezoelectric tensor for FF microtubes.³²² Their study reported shear piezoelectric coefficients of 80 pm V⁻¹ for the d₁₅ mode and -10 pm V⁻¹ for the d₁₄ mode, alongside normal piezoelectric coefficients ranging from 4-18 pm V⁻¹. The larger piezoelectric coefficient for the d15 mode may have been a result of constructing larger FF nanotubes. In 2016, Nguyen et al. devised piezoelectric devices featuring polarization-controlled arrays of FF tubes.³²³ These tubes were grown on a 1.25×1.25 cm² gold substrate and positioned between two electrodes, then subjected to cyclic compressive force. Upon applying 60 N of force, the device generated an output voltage of 1.4 V and an output current of 39.2 nA. In piezoelectric output measurements dependent on impedance with various electrical resistors ranging from 1 k Ω to 1 G Ω , the device displayed a peak power of 3.3 nW cm⁻² at 50 M Ω , which rose to 7 nW cm⁻² with an increasing strain rate to approximately 0.011 s⁻¹. Su et al. later improved the piezoelectric performance of FF tube-based devices by introducing an electric field during the peptide growth process.³²⁴ This electric field enhanced the crystallinity and uniform polarization of the peptides. PFM measurements showed that the piezoelectric coefficient (d₃₃) increased proportionally with the strength of the applied electric field, reaching up to 18.91 pm V⁻¹ at a growth voltage of 7 kV. The piezoelectric device was composed of FF peptide films sandwiched between silver-coated glass substrates. When subjected to an applied force of 90 N, the device produced an output voltage of 3.4 V, an output current of 235 nA, and a power density of 9.98 W m⁻³. In 2018, Lee et al. improved the piezoelectric energy generation of FF tubes by aligning them in a unidirectional polarization manner.³²⁵ They achieved this by controlling the nucleation of FF nanotubes using a meniscus-driven self-assembly process, which laterally aligned the FF tubes on the substrates. The optimization of the FF films was carried out by adjusting the thermodynamic and kinetic parameters of the self-assembly process. The resulting FF-based piezoelectric device produced an output voltage of 2.8 V and an output current of 37.4 nA when subjected to a force of 42 N. At 44 M Ω , the device demonstrated a maximum power output of 8.2 nW. The authors conducted finite element simulations and showed that the asymmetric geometries of FF nanotubes contribute to the strong generation of piezoelectric potential. This is due to the asymmetric shape of the tubes, which facilitates the application of axial forces and the generation of strong shear stresses, leading to the generation of electrical energy. Additionally, the replacement of hexafluoro propanol with ethanol as the solvent contributed to the eco-friendliness of the FF-based piezoelectric devices.³²⁶ Further studies are exploring the versatility of FF-based piezoelectric applications for potential use in piezoelectric resonators, wearable devices, and biomedical devices. Concurrently, research is underway to evaluate the flexibility and degradability of these devices through experimental and

The tubular structures of FF possess pyroelectric properties due to their non-centrosymmetric structure and spontaneous polarization. FF microtubes were grown by Esin et al. on a platinum substrate, with diameters ranging from 1-3 µm and lengths of 1 mm. These tubes were subjected to periodic heating and cooling using optical stimulation with varying laser intensity and frequency. Monitoring the resulting pyroelectric current with a current preamplifier revealed positive peaks during heating and negative peaks during cooling. The pyroelectric coefficient of the FF microtubes was determined to be 2 µC m⁻² K⁻¹. The researchers showed that enhancing the pyroelectric properties is achievable by fabricating the tubes at the nanoscopic level, which prevents opposing polarization between adjacent tubes. This discovery of pyroelectricity in FF tubes holds promise for bioelectrical applications such as energy harvesting and implantable biomedical devices.

Ferroelectric behavior is observed in FF nanotubes. The Kholkin group induced the orthorhombic phase in FF nanotubes by annealing the hexagonal phase at temperatures around $140\text{-}150\,^{\circ}\text{C}.^{331\text{-}333}$ While the hexagonal phase of FF nanotubes shows no polarization switching under an external electric field due to its extremely high coercive field of $0.030\text{-}0.045\,\text{GV}\,\text{m}^{-1}$, the annealed orthorhombic FF nanotubes exhibit a decrease in axial dipole moment, resulting in antiparallel orientations but a radial component of polarization when subjected to an external field. Confirmation of ferroelectric hysteresis in the orthorhombic FF nanotubes was achieved through PFM measurements and MD simulations, revealing ferroelectric hysteresis characteristics and obtaining a remanent polarization of $0.8\,\mu\text{C}$ cm-2 in the radial direction.

FF peptide can go through dehydration and cyclization of its linear backbone to form orthorhombic cyclo-diphenylalanine (cyclo-FF), which then self-assembles into nanotubes through a vapor deposition process.³³⁴ These nanotubes exhibit structural features with outward-facing aromatic side chains, which are a result of strong electrostatic interactions between the backbones, giving them highly hydrophobic properties.³³⁵ Through dehydration and cyclization, the linear backbone of the FF peptide can form orthorhombic cyclodiphenylalanine (cyclo-FF), which then self-assembles into nanotubes via vapor deposition.³³⁶ Vertical construction of cyclo-FF nanowires on a substrate was achieved using direct thermal evaporation. These nanowires were used as the positive material in a triboelectric device, with PTFE serving as the negative material, covering an area of 12.04 cm². When the device underwent cyclic vertical contact and separation, the corona-treated cyclo-FF nanowire-based triboelectric device generated an output voltage of 350 V and an output current of 10 µA. The device reached its maximum power output of 73.7 mW m⁻² at 100 MΩ. The efficiency of triboelectric charging is significantly impacted by the water content.337-339 Slabov et al. investigated how the triboelectric properties of diphenylalanine nanotubes are influenced by the confinement of water within their nanochannels.³⁴⁰ They studied the behavior of water molecules, including those bound to the peptide backbone (bound water) and those localized near the tube axis (mobile water). The researchers found that the open-circuit voltage remained

stable up to 60 °C, decreased at 115 °C, and then increased at 140 °C. In contrast, the short-circuit current decreased gradually with increasing temperature up to 115 °C but then increased at 140 °C. According to their observations, the open-circuit voltage is affected by bound water, while the decrease in short-circuit current is attributed to the evaporation of mobile water as the temperature rises. The concurrent increase in both open-circuit voltage and short-circuit current at 140 °C resulted in the device's output power increasing from 200 to 260 nW, which was attributed to enhanced electrostatic interactions during cyclization.

The helical structure of Pro-Phe-Phe (PFF) resembles the functional phenol-soluble modulin α3 (PSMα3) peptide secreted by Staphylococcus aureus³⁴¹, and has been engineered to exhibit strong mechanical properties, showing promise for designing functional and electrical biomaterials.³¹⁴ In 2021, Bera et al. demonstrated the discovery of the piezoelectric effect in collagen-mimicking peptide assemblies, focusing on the PFF and OFF peptides (Figure 1-14).⁵⁹ These tripeptides form single crystals that extend their structure through intermolecular hydrogen bonding (head-to-tail) and aromatic zipper packing (π – π interactions). The PFF peptide crystallizes in a monoclinic crystal system, with a molecular dipole of 7.9 D and a crystal dipole of 2.8 D along the b-axis. Its piezoelectric coefficient for the d₃₄ mode is 3.1 pm V⁻¹. For the OFF peptide, hydroxylation of the OFF peptide reduces the unit cell's symmetry, leading to a triclinic crystal system. This change results in molecular and crystal dipoles of 6.7 and 1.9 D, respectively, along the b-axis. A decrease in the elastic stiffness constant causes an increase in ionic displacement under mechanical stress, leading to a rise in the piezoelectric coefficients for the d_{16} and d_{35} modes, which can reach up to 27.3 pm V^{-1} . The PFF and OFF peptides form self-assembled supramolecular structures with helical-like sheet formations, stabilized by hydrophobic interactions among the F residues. The OFF peptide assembly displays a high aspect ratio structure, featuring a diameter of 500 nm and a length of several micrometers. PFM analysis of single crystals from PFF and OFF peptide assemblies showed a longitudinal effective piezoelectric coefficient (d₃₃) of 2.15 pm V⁻¹ for PFF and 4.03 pm V⁻¹ for OFF. Additionally, the shear effective piezoelectric coefficient (d₃₄) for OFF was determined to be 16.12 pm V⁻¹. These assemblies were integrated into piezoelectric devices and subjected to compressive mechanical stress to evaluate their performance. The piezoelectric device based on PFF produced 1.4 V and 52 nA of output voltage and current, respectively, under a 55 N force. In contrast, the OFF-based device yielded a comparable output voltage of 0.45 V and current of 39.3 nA, but with a lower force of 23 N, showcasing superior piezoelectric performance. This research demonstrates the ability to modify the primary structure of biomaterials to influence their electrical properties.

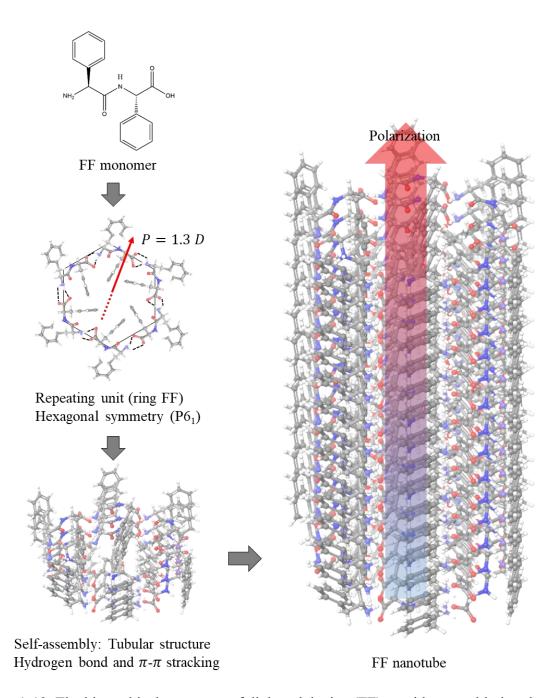


Figure 1-13. The hierarchical structures of diphenylalanine (FF) peptide assembly involve six FF monomers forming a repeating unit in a hexagonally symmetric structure. This structure exhibits a spontaneous polarization of 1.3 D perpendicular to the plane. The repeating units are stacked through hydrogen bonds and π - π stacking, resulting in self-assembled FF peptide tubular structures.

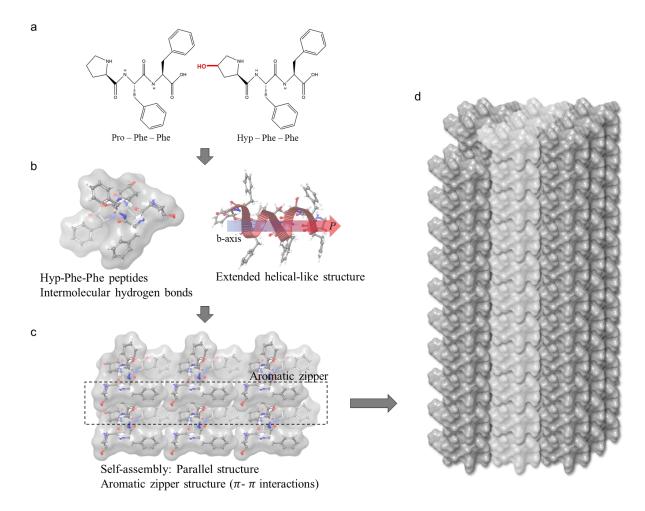


Figure 1-14. The hierarchical structures of Pro-Phe-Phe (PFF) and Hyp-Phe-Phe (OFF) peptides. (a) The chemical structures of the tripeptides PFF and OFF. (b) OFF peptides form through intermolecular hydrogen bonds, creating an extended helical-like structure. The net dipole moment of the molecule aligns along the helical axis, with molecular and crystal dipole moments of 6.7 and 1.9 D, respectively. (c) OFF peptides assemble in parallel structures using aromatic zipper structures. (d) OFF peptides self-assemble into fibrillar structures.

| Material | Electrical characteristics | Symmetry | Methods | Refs. |
|----------|---|-----------|--------------|------------|
| FF | $d_{33,\text{eff}} = 17.9$ | Hexagonal | PFM | [323] |
| | 1.4 V, 39.2 nA, 3.3 nW cm ⁻² at 60 N | $(P6_1)$ | Piezo-device | |
| | $d_{33,\text{eff}} = 18.91$ | | PFM | [324] |
| | 3.4 V, 235 nA, 9.98 W m ⁻³ at 90 N | | Piezo-device | |
| | $d_{15,\text{eff}} = 60$ | | PFM | [316] |
| | $\begin{pmatrix} 0 & 0 & 0 & -10 & 80 & 0 \\ 0 & 0 & 0 & 80 & 10 & 0 \\ 4 & 4 & 18 & 0 & 0 & 0 \end{pmatrix}$ | | PFM | [322] |
| | $d_{33} = 9.9$ | | PFM | $[^{342}]$ |
| | $d_{15} = 46.6$ | | PFM | $[^{325}]$ |
| | 2.8 V, 37.4 nA, 8.2 nW at 42 N | | Piezo-device | |
| | p = 2 | | Quasi-static | $[^{330}]$ |

| | | method | r3//3n |
|-------------|--|--------------|-------------------|
| | $d_{15,\text{eff}} = 62.3$ (porphyrin treated) | PFM | $[^{343}]$ |
| | 2.6 V, 5.8 μA cm ⁻² , 3.1 μW cm ⁻² at 20 N | Piezo-device | |
| | $d_{15} = 64.5$ (ethanol solvent) | PFM | $[^{326}]$ |
| | 1.66 V, 19.4 nA, 19.2 nW at 40 N | Piezo-device | |
| Cyclo-FF | 350 V, 10 μA, 73.7 mW m ⁻² at 100 MΩ Orthorhombic (P2 ₁ 2 ₁ 2) | Tribo-device | $[^{336}]$ |
| Hyp-Phe-Phe | / 0 0.2 -0.1 3.5 0.6 27.3 Triclinic | DFT | [⁵⁹] |
| | $ \begin{pmatrix} 0 & 0.2 & -0.1 & 3.5 & 0.6 & 27.3 & \text{Triclinic} \\ 2.4 & -1.3 & -0.1 & -2.2 & -1.4 & 0.7 \\ -0.1 & 0.3 & 4.82 & -2.2 & -27.3 & 17.1 \end{pmatrix} $ | | |
| | | | |
| | $d_{33} = 4.03, \ d_{34} = 16.12$ | PFM | |
| | 1.4 V, 52 nA at 55 N | Piezo-device | |
| Pro-Phe-Phe | $\begin{pmatrix} 0 & 0 & 0 & 2.4 & 0 & -1.4 \end{pmatrix}$ Monoclinic | DFT | $[^{59}]$ |
| | $\begin{pmatrix} 0 & 0 & 0 & 2.4 & 0 & -1.4 \\ -2.5 & 1.9 & -0.8 & 0 & -1.0 & 0 \\ 0 & 0 & 0 & 3.1 & 0 & -2.4 \end{pmatrix}$ Monoclinic | | |
| | 0 0 0 3.1 0 -2.4/ | | |
| | $d_{33} = 2.15$ | PFM | |
| | 0.45 V, 39.3 nA at 23 N | Piezo-device | |
| Hyp-Leu-Phe | $\begin{pmatrix} 0 & 0 & 0 & -1.6 & 0 & 0.6 \end{pmatrix}$ Monoclinic | DFT | $[^{59}]$ |
| | $\begin{pmatrix} 0 & 0 & 0 & -1.6 & 0 & 0.6 \\ 0.3 & 0.4 & -0.2 & 0 & -3.5 & 0 \end{pmatrix} $ Monoclinic (P2 ₁) | | _ |
| | 0 0 0 3.6 0 -3.3/ | | |

Table 2. Electrical characteristics of amino acids, including piezoelectric coefficient, d (pC N⁻¹), pyroelectric coefficient, p (μ C m⁻² K⁻¹), coercive field, E_c (GV m⁻¹), and electrical outputs of the device. Matrices in the table represent the piezoelectric tensor of the material.

1.5 Bioelectricity in proteins

Proteins play crucial roles as structural components in the body, consisting of long amino acid chains connected by peptide bonds. These molecules have the ability to adopt a variety of structures, including α -helices, β -sheets, and random coils, as well as intricate tertiary structures. Proteins can also function as subunits in larger quaternary structures. The specific structure of each protein is dictated by its unique amino acid sequence, which is influenced by various interactions such as hydrogen bonding, hydrophobic interactions, van der Waals forces, and electrostatic forces. While proteins themselves do not conduct electricity, their structures can exhibit diverse electrical properties when exposed to external stimuli like mechanical force, heat, and contact. This section will delve into the structure and electrical characteristics of collagen, a fundamental structural protein found in the body.

A tropocollagen, which serves as the foundational unit of collagen fibrils, is approximately 300 nm long and 1.5 nm in diameter, following a right-handed hierarchical arrangement (Figure 15). 346-348 It comprises a left-handed triple helix of polypeptides (α chains) with a glycine-X-Y repeating amino acid sequence, where X and Y represent various amino acids, primarily proline or hydroxyproline. 349-351 The tropocollagen contains electrically charged amino acid residues that create a dipole moment extending from the N-terminal to the C-terminal direction. 352 Collagen fibrils are created by cross-linking tropocollagens laterally through covalent bonds, resulting in approximately 67 nm staggered spacing between adjacent tropocollagens. This arrangement forms periodic overlap and gap regions within the fibril. 353 The arrangement of collagen fibrils enables the culmination of tropocollagen polarizations, ensuring a continuous polarization across the fibril. These fibrils then organize into collagen

fibers, forming a quasi-hexagonal structure. This structural organization results in the collective dipole moments of collagen molecules aligning, which generates a macroscopic polarization along the fiber axis. 352,354,355 Minary-Jolandan et al. investigated the piezoelectric properties of collagen at the nanoscale.³⁵² They used collagen samples from bovine Achilles tendon, which consisted of individual collagen type I fibrils. These fibrils displayed characteristic periodic banding structures, and their piezoelectric properties were assessed using PFM measurements. A single collagen fibril underwent lateral PFM measurements, revealing an effective shear piezoelectric coefficient of 0.6 pm V⁻¹ for the d₁₅ mode, with no observed piezoelectric response in the radial direction. When the collagen was rotated by 180°, the PFM phase signals exhibited a 180° phase shift, indicating axial polarization of the collagen fibril. The researchers demonstrated that the polarization of the collagen fibril occurs from the N-terminal to the Cterminal direction. They further explained that the unique shear piezoelectric response, observed solely in the collagen fibril, can be attributed to its quasi-hexagonal symmetry. Using MD simulations, Ravi et al. investigated the piezoelectricity origin in collagen.²⁹ Their model included diverse glycine-proline-hydroxyproline sequences to construct collagen. The researchers specifically analyzed the alteration in the dipole moment, which forms from the Nterminal to the C-terminal due to peptide bonds and the hydroxyl group in the side chain of hydroxyproline, aligned longitudinally along the collagen axis. Compression of the collagen model led to a winding of its helical structure, while stretching caused the helix to unwind. This structural deformation caused a conformational change in the dihedral angles of the backbone peptides, resulting in the reorientation of the polar groups of the collagen model. Consequently, the collagen model exhibited a shear piezoelectric effect. Zhou et al. used MD simulations to show the piezoelectric effect in a collagen fibril model.²⁸ Their model accurately represented a quasi-hexagonally packed structure, comprising five right-handed super-twisted tropocollagens. This structure included three α-helices with the primary sequence of type I collagen, along with the distinctive "gap" and "overlap" structural features. The study investigated how the dipole moment changes under mechanical stress. It was confirmed that collagen polarizes along the fibril axis, and polarization increased with greater tensile stress. The piezoelectric coefficient, d₃₃, was determined by analyzing the slope of induced polarization against stress, yielding values of 1.10 pC N⁻¹ for a tropocollagen and 2.64 pC N⁻¹ for a collagen fibril. Upon stretching, they noted that the triple-helix structure of collagen uncoiled and disentangled. This caused the side chains of the collagen residues to align along the fibril axis, leading to a reorientation and change in the magnitude of their dipole moments. These computational analyses revealed the fundamental mechanism behind the piezoelectric properties of collagen by quantitatively illustrating the polarization changes at the nanoscale level. Furthermore, the authors observed that collagen fibrils have not demonstrated ferroelectric behavior in experiments, possibly due to the difficulty of polarization switching. Vivekananthan et al. designed a piezoelectric device based on collagen nanofibrils.³⁵⁶ These nanofibrils were applied onto a 9 cm² cotton fabric and placed between aluminum electrodes. When a 5 N force was applied, the collagen nanofibril-based piezoelectric device generated an output peak-to-peak voltage of 45 V and an output current of 250 nA.

Collagen displays pyroelectric properties because of its highly structure and the existence of spontaneous polarization arising from amino acid residues aligning from the N-terminal to the C-terminal. Plepis et al. studied the pyroelectric characteristics of natural collagen extracted from bovine serosa.³⁵⁷ The samples predominantly consisted of glycine, proline, and hydroxyproline, the key amino acids in collagen. The pyroelectric coefficient was determined using a direct method, where the collagen sample was placed in a thermal chamber with temperatures ranging from 293 to 313 K and heated at a rate of 0.5 K min⁻¹. At 313 K, the pyroelectric coefficient of native collagen was found to be 37 μ C m⁻² K⁻¹.

Ravi et al. employed MD simulations to investigate how collagen fibrils exhibit pyroelectric properties.²⁹ Their collagen model featured amino acid sequences [(GPP)₃-GOO-(GPP)₃]₃, with G, P, and O representing glycine, proline, and hydroxyproline, respectively. They analyzed the alterations in dipole moment along the helical axis of the collagen model at temperatures of 300, 320, and 340 K. The simulation findings showed an increase in the dipole moment of fibril from 0.994 to 1.020 D as the temperature rose from 300 to 340 K, suggesting a temperature-dependent rise in polarization. At 360 K, a partial conformational change at the N-terminal of the collagen helix structure caused unwinding features, disrupting the symmetry of the triple helix structure of the collagen fibril. The authors demonstrated the decomposition of the pyroelectric effect into primary and secondary effects to clarify how collagen exhibits pyroelectric behavior. The primary effect involves changes in electric displacement with temperature variations under constant strain, while the secondary effect results from straininduced alterations in electric displacement due to piezoelectric contributions from thermal effects.³⁵⁸ They noted that as the temperature rose to 320 K, the collagen helix structure exhibited increased winding (approximately 0.5°), which enhanced total polarization by realigning the polar groups along the helical axis. Consequently, the pyroelectric behavior of the collagen fibril was attributed to the secondary pyroelectric effect. However, its primary effect remains unclear due to computational limitations.

Collagen demonstrates triboelectric properties. In 2022, Li et al. developed collagen-based triboelectric devices, where collagen nanofiber were created using electrospinning, sized 4 cm², and served as the positive triboelectric layer.³⁵⁹ The negative triboelectric layer was composed of poly(vinylidene fluoride). These devices were capable of producing an output voltage of 118 V, an output current of 3.8 nA, and transferred charges of 52 nC per cycle. When tested under various electrical resistors, the device exhibited a maximum power density of 21.06 mW m⁻² at 1 G Ω .

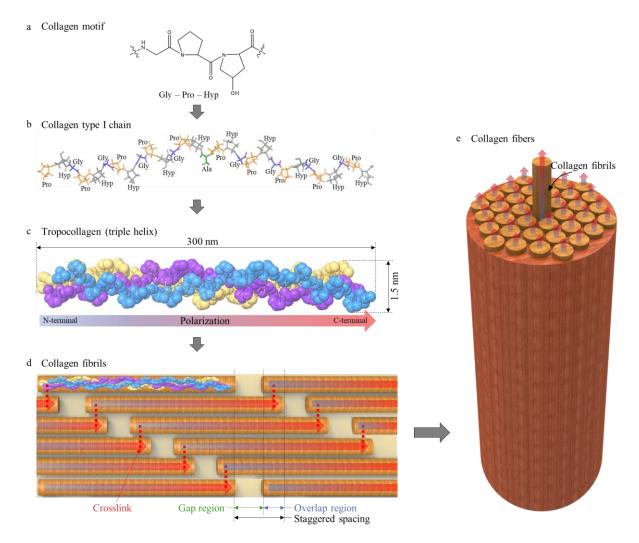


Figure 1-15. The hierarchical structures of collagen. (a) The chemical structure of the collagen motif: Gly-Pro-Hyp. (b) The chemical structure of the collagen chain, specifically Type I (PDB code: 1CAG). (c) The structure of tropocollagen, which consists of triple helices with a length of 300 nm and a diameter of 1.5 nm. (d) The structure of collagen fibrils, which are stabilized through crosslinks between collagen molecules and exhibit staggered spacing with gap and overlap regions. (e) The highly oriented structure of collagen fibers in connective tissues.

1.6 Bioelectricity in tissues

Biological tissues consist of cells and extracellular matrices, with their conductivity levels varying depending on the circumstances. This conductivity primarily arises from the existence of ions like sodium (Na⁺), potassium (K⁺), chloride (Cl⁻), and calcium (Ca²⁺) dissolved in both intra- and extracellular fluids. Variations in tissue conductivity are influenced by factors such as hydration, temperature, and the concentration of specific ions. Cellular membranes also play a role by enabling the transport of ions through membrane proteins, which makes cells electrophysiologically active. For decades, electrophysiology has been a crucial

field of study in biology. This section will focus on understanding how the structural-functional relationship within extracellular matrices and other non-cellular components contributes to tissue electrical properties. Fibrous proteins such as collagen, elastin, and keratin are essential components of the matrix, imparting strength, elasticity, and structural support to tissues. ³⁶⁴⁻³⁶⁶ These matrix proteins are involved in creating a variety of hard and soft tissue structures throughout the body. Hard tissues are predominantly made up of organic matrices, such as collagens, along with inorganic minerals, primarily hydroxyapatite, while soft tissues are composed mainly of the matrix proteins. Differences in the proportions of these elements throughout the body impact the electrical properties of tissues. Moreover, tissues demonstrate a range of hierarchically organized structures, each displaying distinct electrical phenomena (Table 3). ³⁶⁷⁻³⁷¹

Piezoelectricity has been observed in biological tissues, both in hard tissues (e.g., bone, dentin, and cementum, etc.)372,373 as well as soft tissues (e.g., tendon, aorta, cartilage, ligament, cornea, skin, pineal gland, intestine, etc.)^{31,374-379}. Fukada et al. studied the piezoelectric effect in bone using samples from human and bovine femurs (Figure 1-16).³⁷² The femur bone comprises hard outer layers made of collagen and soft inner tissues. Removing the soft tissues from a bone specimen reveals a hollow structure primarily composed of highly-oriented collagen fibrils with interspersed bone minerals (hydroxyapatite). In the femur, the collagen fibrils are arranged spirally along the bone axis, with each layer alternating the direction of the spiral. This axial symmetry is evident in the properties of the bone. Applying mechanical stresses to bone specimens at different angles along the bone axis, from -90° to 90°, resulted in anisotropic piezoelectric behavior. The piezoelectric response of the bone were mainly a result of shear mechanical stresses causing the oriented collagen fibers to slip. This led to the determination of piezoelectric coefficients for the d₁₄ and d₂₅ modes from the piezoelectric tensor of bone, with values ranging from 0.067-0.12 pC N⁻¹. Marino et al. examined the piezoelectric properties of human cortical bone, which contains both organic and inorganic matrices. 380 Each specimen's structure was divided into three components: Bone (collagen+mineral), bone collagen, and bone mineral. The researchers investigated the anisotropic piezoelectric properties of bone by measuring its response to mechanical stress at different angles relative to the bone axis, ranging from 0 to 90°. They found that the d₁₄ piezoelectric coefficients of 0.10 pC N⁻¹ for bone and 1.8 pC N⁻¹ for bone collagen. In contrast, the piezoelectric response for the bone mineral was negligible because of its centrosymmetric structure (space group P6₃/m) (Figure 1-16e). The presence of piezoelectricity in bone collagen suggests that the effect in bone is primarily attributed to the collagen matrix. The variation in the piezoelectric responses of bone collagen and bone is likely due to the lower elastic modulus of collagen compared to the higher elastic modulus of bone mineral. The research suggests that the piezoelectric properties of bone, particularly in the d₁₄ mode, may be affected by its collagen content. The displacement of mineral crystals along the fibers at the collagen-mineral interface under shear stress caused by collagen could contribute to these properties. PFM measurements were employed to study the nanoscale piezoelectric properties of bones.³⁸¹

Samples from human humerus and tibia diaphyseal fragments were prepared by cutting them in transverse (perpendicular to the diaphyseal axis) and longitudinal (parallel to the diaphyseal axis) orientations. The application of PFM measurements provided a direct method to assess the nanoscale piezoelectric characteristics within the collagen matrix of the bone specimens. A piezoelectric coefficient of 8.72 pC N⁻¹ was observed in the transversely cut bone samples. This observation suggests that the piezoelectric effect primarily originates from the highly aligned collagen matrix, which facilitates the formation of peptide groups and intramolecular hydrogen bonds, leading to spontaneous polarization. The piezoelectric coefficient measured in the bone differed by two orders of magnitude from Fukada's measurement.372 The discrepancy in measurements might be explained by the use of nanoscale PFM measurements directly on the collagen matrix, as opposed to previous measurements influenced by the microscopic scale. At the microscopic level, the presence of piezoelectric domains with different polarities could interact with adjacent fibrils, potentially reducing the overall piezoelectric response.²⁷ In contrast, there was no observed piezoelectricity in the longitudinally cut bone samples, which is attributed to their centrosymmetric structure. These observations are expected to deepen our understanding of how the piezoelectric effect influences bone growth, regeneration, wound healing, and tissue engineering. 13,162,382-385

Fukada et al. demonstrated the presence of piezoelectricity in tendon using samples from bovine Achilles tendon (Figure 1-17).³⁷⁴ The Achilles tendon primarily consists of collagen type I fibrils, which are highly oriented along the tendon's long axis and exhibit over 90 % crystallinity. The researchers cut the samples at various angles to investigate their anisotropic piezoelectric properties. They demonstrated the direct piezoelectric effect by applying mechanical stresses in both normal and shear directions. When normal stress was applied along the tendon axis, a piezoelectric response was observed, yielding a piezoelectric coefficient of 6.7×10⁻² pC N⁻¹ for the d₃₃ mode. Conversely, applying stress perpendicular to the tendon axis resulted in a piezoelectric coefficient of 8.7×10⁻² pC N⁻¹ for the d₃₁ mode. In terms of shear stresses, applying stress to cause collagen fibers to slip past each other led to a piezoelectric response both perpendicular to the shear direction and in a direction lying in the same plane as the shear stress but perpendicular to the tendon axis, with piezoelectric coefficients of 2.7 and 1.4 pC N⁻¹ for the d₁₄ and d₁₅ modes, respectively. Fukada et al. explained this phenomenon by highlighting the helical structure of collagen fibrils, which generates a dipole moment from the N-terminal to the C-terminal through hydrogen bonds between NH and CO groups along the fibril's axis. This polarization causes collagen molecules to align in the direction of the tendon's long axis. Denning et al. investigated the piezoelectric characteristics of rat tail tendon using PFM measurements.²⁷ The tendon sample is comprised of collagen fibrils arranged in a hexagonal packing orientation. PFM techniques allowed for nanoscale piezoelectric characterization, enabling the determination of the piezoelectric tensor of individual collagen fibrils. Measurements were conducted on tendon sections at varying angles (0, 50, and 90°) relative to the plane perpendicular to the tendon axis. The researchers determined the piezoelectric tensor of the collagen-based tendon, revealing piezoelectric

coefficients of 0.89, -4.84, -12, and 6.21 pm V^{-1} for the d_{33} , d_{31} , d_{14} , and d_{15} modes, respectively. This study showcased a nanoscale approach to defining the piezoelectric tensor of highly symmetric collagen fibrils, indicating a narrowing of the gap between macroscopic and nanoscopic piezoelectric properties in biological materials.

Bone and tendon demonstrate potential for the pyroelectric effect, particularly due to the alignment of collagen fibers along their axes. Lang's investigation involved pyroelectric characterization of bovine femur, phalanx, and hoof tendon samples. 386 These samples are primarily composed of two major crystalline components: hydroxyapatite mineral and collagen protein. Hydroxyapatite possesses a centrosymmetric structure with a six-fold axis perpendicular to a mirror plane. The collagen molecule is classified under point group 3, which enables it to exhibit piezoelectric and pyroelectric properties. To prepare the bone and tendon samples, they were cut longitudinally and transversely along their respective axes. To remove all inorganic components, the phalanx samples underwent treatment with nitric acid. Heating and cooling of the samples were achieved by adjusting the temperature of the sample holder, while the resulting pyroelectric voltage was monitored using a vibrating reed electrometer. The pyroelectric characterization showed that longitudinally cut samples did not exhibit a pyroelectric effect. In contrast, samples cut transversely displayed a pyroelectric effect, with pyroelectric coefficients ranging from 2.5 to 4.1 nC cm⁻² °C⁻¹ for phalanx, femur, and hoof tendon. These findings indicate that the pyroelectric properties are a result of the crystallographic structure of collagen orientation. Athenstaedt expanded the investigation into the pyroelectric behavior of tissues in humans and various vertebrates.³⁸⁷ The study specifically explored how spontaneous polarization forms, a key requirement for showing the pyroelectric effect, in dried tissues. To measure this, pyroelectric properties were studied by observing voltage changes using a charge amplifier with precise temperature regulation. Because collagen fibrils naturally generate spontaneous polarization along their axis, the pyroelectric properties were primarily observed in the longitudinal direction of these fibrils. Interestingly, in tendons, tissues containing inorganic bone mineral exhibited lower pyroelectric properties compared to those with pure collagen structures. This difference is attributed to the organized arrangement of inorganic bone mineral within the collagen matrix. Given that inorganic bone mineral has a centrosymmetric crystalline structure that does not exhibit piezoelectricity, the pyroelectric behavior in bone tissues is mainly attributed to collagen components.

Bone tissue displays ferroelectric properties.²³³ El Messiery et al. conducted a study on the ferroelectricity of bone using samples from human cortical bone in a dried state. They found that collagen fibrils in bone have varying alignments between adjacent layers, similar to the domain structure seen in ferroelectric materials. This similarity in alignment suggests a potential microscopic structural resemblance between bone and ferroelectric materials. The researchers investigated the ferroelectric characteristics of bone tissue using a ferroelectric loop tracer, following a circuit proposed by Roetschi.³⁸⁸ This device measures the induced charge density when an electric field is applied. They observed a typical ferroelectric hysteresis loop in the bone tissue. The authors showed that this loop in cortical bone could be explained by the

dipolar or interspace charge electret criterion commonly seen in bone research. Later, scientists presented a more thorough illustration of ferroelectricity in the human tibia.³⁸⁹ The bone specimen was obtained from the limb and dried. It was then cut into different angles according to the desired orientation. The ferroelectric analysis was carried out following Roetschi's method, but with a modification using the voltage phase shift technique to measure displacement current under an applied electric field.³⁸⁸ Electric fields were applied at different angles relative to the bone axis (0, 30, 45, 60, and 90°). The researchers found that there were no differences in the ferroelectric properties based on orientation. They observed a maximum remanent polarization of 0.68 nC cm⁻² and a coercive field of 0.95 kV cm⁻¹ when the electric field was applied at a 45° angle relative to the bone axis. The bone tissue showed that it could maintain reversed polarization even after the external electric field was removed, indicating its ferroelectric properties. This suggests that the bone sample contains numerous domains with different polarization directions that can change under an external electric field. While these studies highlighted the ferroelectric nature of bone, the exact mechanism behind this behavior based on structural orientation is still unknown. Bone is mainly made up of bone collagen and bone mineral. No research has been conducted yet on the ferroelectric properties of collagen. Thus, the ferroelectric behavior of bone remains a compelling area for further investigation.

The aorta demonstrates diverse electrical properties (Figure 1-18). 31,235,376,390,391 Fukada et al. examined its anisotropic piezoelectric characteristics.³⁷⁶ The elastic and robust nature of the aorta is due to its composition of fibrous elastin and collagen proteins. ³⁹² To study its properties, samples were cut along the tubular axis and then elongated both lengthwise and widthwise. The resulting piezoelectric responses were comparable in magnitude to those of bone but with opposite polarity. The alignment of elastin and collagen fibers in the longitudinally stretched sample matched the anisotropy observed in bone. In contrast, fibers in the transversely stretched sample were oriented in the circumferential direction. Furthermore, the piezoelectric effect in elastin was verified through anisotropic piezoelectric characterization using a ligament from a bovine femur.³⁷⁶ Liu et al. investigated the piezoelectric properties of the aorta at the nanoscale, focusing on its inner wall composed of fibrous elastin and collagen proteins.²³⁵ They utilized PFM to directly measure the piezoelectric coefficient, obtaining a value of 1 pm V⁻¹. This value was found to be two orders of magnitude larger than that reported by Fukada et al. The discrepancy in results could be attributed to the nanoscale PFM measurements applied directly to the elastin and collagen matrices, contrasting with earlier measurements conducted on bulk samples.²⁷ In bulk samples, the opposing polarities within the piezoelectric domains might decrease the overall piezoelectric response. Furthermore, differences in sample types and levels of dryness could have also affected the results.³⁹³

Researchers studied the pyroelectric properties of the aorta across different temperature ranges.³⁷⁶ Samples were prepared by cutting along the tubular axis both longitudinally and transversely. In both cases, the change in polarization increased linearly with temperature when the samples were dried. However, the specific mechanism behind this behavior has not yet been explored and warrants further investigation in future studies.

The ferroelectric properties of the aorta were studied using switching spectroscopy PFM.²³⁵ Samples were taken from the porcine aortic wall, which is made up of fibrous elastin and collagen proteins. In the experiment, voltage was applied to the inner wall of the aorta samples. When a positive voltage was applied and reached the coercive voltage of 8.4 V, the polarization of the aorta reversed and persisted even after the positive bias was removed. Conversely, with a negative voltage hitting the coercive level (-10.8 V), the polarization also reversed but did not remain altered after the bias was removed. This asymmetry suggests an intrinsic outward bias in the aorta's polarization. The measurements displayed reversal hysteresis in phase and butterfly loops in amplitude, characteristic of ferroelectric materials. While collagen is thought not to exhibit ferroelectricity based on past studies^{28,31,235}, the ferroelectric properties observed in the aorta may stem from elastin, a crucial component of its structure. Liu et al. used PFM and MD simulations to demonstrate the ferroelectric properties of elastin and its molecular mechanism.³¹ They harvested elastin samples from a porcine thoracic aorta, which exhibited a fibrous structure comprising cross-linked tropoelastin monomers. By removing collagen proteins from the sample, they obtained a pure elastin sample. The switching spectroscopy PFM measurements revealed ferroelectric hysteresis and butterfly loops, indicating that elastin maintained its ferroelectric behavior even at 473 K. MD simulations were used to investigate the polarization response and ferroelectric switching in elastin at 300 K. A model of elastin was constructed using tropoelastin chains, showing a spontaneous polarization of 801 D. When an electric field was applied perpendicular to the chain axis, the tropoelastin adjusted its configuration until its polarization aligned with the electric field, resulting in reversed polarization. The simulations demonstrated ferroelectric hysteresis under an external electric field, with an estimated Curie temperature of 580 K. These computational analyses enhanced the understanding of the aorta's ferroelectric mechanism by illustrating how the polarization of elastin building blocks changes under an electric field.

Recent discussions have emerged regarding the ferroelectric nature of the aorta. In 2017, Lenz et al. proposed the argument that the aorta may not exhibit ferroelectric properties.³⁹⁴ They conducted examinations of ferroelectric and piezoelectric effects using a multiferroic tester and piezometer. The results showed that the electric displacement had a linear relationship with the electric field up to 6 MV m⁻¹, indicating dielectric behavior rather than the characteristic hysteresis of ferroelectric materials. Similarly, the strain displayed a parabolic response to the electric field, lacking the typical butterfly loop feature of ferroelectricity. Based on these findings, the authors suggested that the aorta is neither ferroelectric nor piezoelectric, but rather behaves as a dielectric material. These controversial results may be due to common artifacts such as Maxwell strain and electrostriction.³⁹⁴⁻³⁹⁶. Maxwell strain is the result of polar molecules or ions reorienting in response to an electric field, leading to temporary dipole moments and material deformation. Unlike in ferroelectric materials, this induced dipole moment and resulting strain in non-ferroelectric materials are reversible and linearly correlated with the applied electric field, returning to their original state once the field is removed. Electrostriction, in contrast, does not involve permanent

reorientation or switching of polarization; it results in reversible deformation due to dipole reorientation in response to the electric field. These findings emphasize the intricate nature of studying ferroelectricity in biological tissues and highlight the necessity for further research using well-defined biological systems and molecular-level characterization.³⁹⁷

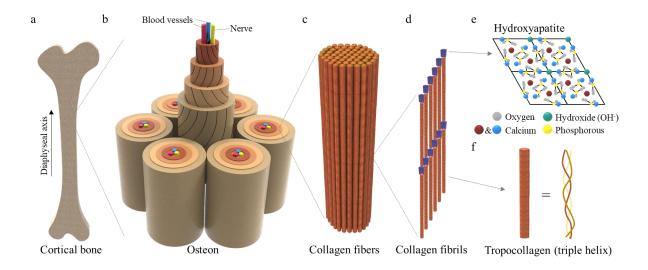


Figure 1-16. The hierarchical structures of bone. (a) Schematic image of bone. (b) The basic structural unit of bone, known as the osteon, is composed of concentric layers of bone matrix called lamellae. (c) Collagen fibers are the primary structural component of bone, composed of collagen fibrils. (d) Collagen fibrils are bundles of collagen molecules (tropocollagens) arranged in a staggered, overlapping pattern. (e) Hydroxyapatite, a mineral in bone, has crystals deposited between the collagen molecules in a hexagonal centrosymmetric structure. (f) Tropocollagen is the basic unit of collagen in tissues, forming a triple helix structure consisting of three polypeptide chains.

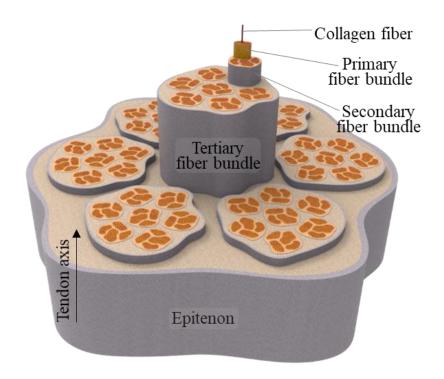


Figure 1-17. The hierarchical structure of a tendon includes collagen fibers as the primary structural component, aligned parallel to each other. Individual collagen fibers group together to form primary fiber bundles, which are surrounded by a thin layer of connective tissue called endotenon. Primary bundles then group to form secondary fiber bundles, surrounded by a thicker layer of connective tissue called peritenon. Tertiary fiber bundles, formed by grouping secondary bundles, are surrounded by a layer of loose connective tissue containing blood vessels and nerves, known as epitenon.

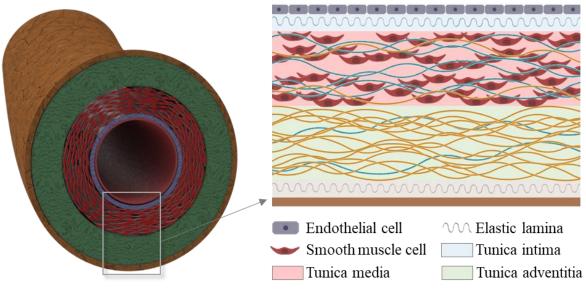


Figure 1-18. The hierarchical structure of aorta. It consists of an innermost layer lined by a single layer of endothelial cells. The tunica intima, a thin connective tissue layer, maintains the artery wall's integrity and regulates substance passage between blood and surrounding tissues. The tunica media, the thickest layer, is separated from the tunica intima by an elastic lamina and is composed of collagen fibers, elastin fibers, and smooth muscle cells. The outermost layer, the tunica adventitia, provides structural support to the artery and mainly consists of collagen fibers.

| Material | Electrical characteristics | Methods | Refs. |
|--------------------------------|---|------------------|--------------------|
| Bone (man) | d = 0.067 - 0.12 | Quasi-static & | [372] |
| | | dynamical method | |
| Bone (bovine) | d = 0.2 | Dynamical method | |
| Demineralized phalanx (bovine) | p = 0.0038 | Vibrating reed | $[^{386}]$ |
| Femur (bovine) | p = 0.0036 | electrometer | |
| Bone (human tibia) | $E_c = 0.95$ | Sawyer method | [389] |
| Enamel (human teeth) | d = 0.25 - 0.51 (with organic material) | PFM | $[^{398}]$ |
| | d = 0.04 - 0.26 (without organic material) | | |
| Dentine (human teeth) | d = 0.49 - 0.69 (with organic material) | | |
| | d = 0.13 - 0.22 (without organic material) | | |
| Dentine (human teeth) | d = 0.15 - 0.25 | PFM | [399] |
| Dentine and cementum (human | p = 0.0015 - 0.025 | Electrometer | [400] |
| teeth) | | | |
| Tendon (bovine Achilles) | $d_{14} = -2.7, \ d_{15} = 1.4,$ | Dynamical method | [374] |
| | $d_{33} = 0.067, \ d_{31} = 0.087$ | | |
| Tendon (horse Achilles) | $d_{14} = -1.9, \ d_{15} = 0.53,$ | | |
| | $d_{33} = 0.067, \ d_{31} = 0.013$ | | |
| Femur (horse) | $d_{14} = -0.22, \ d_{15} = 0.043,$ | | |
| | $d_{33} = 0.0033, \ d_{31} = 0.0033$ | | |
| Tendon (rat tail) | $\begin{pmatrix} 0 & 0 & 0 & -12.00 & 6.21 & 0 \\ 0 & 0 & 0 & 6.21 & 12.00 & 0 \\ -4.84 & -4.84 & 0.89 & 0 & 0 & 0 \end{pmatrix}$ | PFM | [²⁷] |
| Tendon (bovine hoof) | p = 0.0041 | Vibrating reed | $[^{386}]$ |
| | | electrometer | |
| Collagen type I | $d_{15} = 0.6$ | PFM | $[^{352}]$ |
| (bovine Achilles) | | | |
| Collagen type I | $d_{15} = 2.2$ | PFM | [⁴⁰¹] |
| (bovine Achilles) | | | |
| Collagen type II | $d_{15} = 0.7$ | | |
| (chicken sternum cartilage) | | | |
| Collagen (bovine serosa) | $d_{14} = 0.096$ | Resonance | [402] |
| | | measurement | |

| | p = 37 | Direct method | [³⁵⁷] |
|-----------------------------|--|----------------------|--------------------|
| Scleral collagen | $d_{31} = 12.6 - 31.8$ | Rheolograph solid | [377] |
| (bovine and human) | | | |
| Tropocollagen | $d_{33} = 1.10$ | MD | $[^{28}]$ |
| (Collagen type I) | | | |
| Collagen microfibril | $d_{33} = 2.64$ | | |
| (9 tropocollagens) | | | |
| Collagen film | 45 V, 250 nA at 5 N | Piezo-device | $[^{356}]$ |
| Collagen film | 118 V, 3.8 nA, 21.06 mW m $^{\text{-}2}$ at 1 $G\Omega$ | Tribo-device | $[^{359}]$ |
| Elastin (murine lung) | $d_{33,eff}=0.1$ | PFM | [403] |
| Elastin (porcine aorta) | $400~\mu C~m^{-2}~K^{-1}$ | PFM | $[^{31}]$ |
| Human skin | $d_{14} = 0.01 - 0.03$ (Epidermis) | Quasi-static method | $[^{404}]$ |
| | $d_{14} = 0.05 - 0.1$ (Dermis) | | |
| Human skin (Epidermis) | $d_{33} = 0.02 - 0.19$ | Quasi-static method | $[^{378}]$ |
| | p = 0.021 - 0.27 | | |
| Gelatin (porcine skin) | $d_{33} = 24$ (interlocked film) | Ferroelectric tester | $[^{405}]$ |
| | $d_{33} = 4$ (planar film) | Piezo/pyro-device | |
| | p = 13 (interlocked film) | | |
| | p = 1.1 (planar) | | |
| Keratin | $d_{14} = 1.8$ | Quasi-static method | $[^{406}]$ |
| Silk | $d_{33} = 8.39$ | PFM | $[^{407}]$ |
| Silk (Spider silk) | $d_{33,eff} = 0.36$ | PFM | $[^{408}]$ |
| | 21.3 V, $0.68~\mu A,4.56~\mu W~cm^{2}$ at $14~M\Omega$ | Piezo-device | |
| Silk (Bombyx mori silkworm) | $d_{14} = 0.01 - 1.50$ | Quasi-static method | [409] |
| | ${\sim}15$ V, 2.5 ${\mu}A,4.3~mW~m^{2}$ at 5 $M\Omega$ | Tribo-device | [⁴¹⁰] |
| Lysozyme | 19.3 pC N ⁻¹ | PFM | [⁴¹¹] |
| Lysozyme | p = 1441 | Quasi-static method | [412] |

Table 3. Electrical characteristics of amino acids, including piezoelectric coefficient, d (pC N⁻¹), pyroelectric coefficient, p (μ C m⁻² K⁻¹), coercive field, E_c (GV m⁻¹), and electrical outputs of the device. Matrices in the table represent the piezoelectric tensor of the material.

1.7 Virus model system

1.7.1 Virus-based nanomaterials

A virus is an infectious microorganism that replicates within a host cell.⁴¹³ Traditionally, viruses have been considered invaders that threaten living organisms.^{414,415} Nowadays, researchers use them as programmable biomolecules for myriad biological applications due to their well-defined structure (e.g., shape, size, and symmetry) and tenability.⁴¹⁶⁻⁴¹⁹ Essentially, viruses have genetic material, such as DNA or RNA, covered by coat proteins in diverse shapes, sizes, and symmetries, including filamentous, spherical,

enveloped, and complex structures. 420-422 Filamentous viruses (e.g., M13 bacteriophage (phage), tobacco mosaic virus, and influenza A virus) have a high-aspect ratio in helical arrangements. 423-425 Spherical viruses (e.g., cowpea chlorotic mottle virus, adenovirus, and herpesvirus) exhibit a spherical shape with icosahedral symmetry. 418,426-428 Enveloped viruses (e.g., coronavirus, influenza virus, human immunodeficiency virus, and zika virus) have an additional outer envelope derived from the host cell membrane, exhibiting shapes like spheres, irregular shapes, or long filaments. 429-435 Complex viruses (e.g., T7 phage, poxvirus, and geminivirus) combine icosahedral or helical structures. 436-438 The structural features of these viruses are well-defined, sophisticated, and studied, showcasing highly ordered coat protein assemblies. 439-442 Notably, the variability of virus structures exhibits unique electronic characteristics due to their diverse surface charge properties. 443-446 A tremendous characteristic of viruses in biotechnology is their tunability for use as programmable tools, achievable through genetic engineering. 417,447,448 The fundamental principle of protein expression in viruses involves manipulating specific genes of interest within the viral genome. 449,450 Once the gene of interest is cloned into a plasmid, it can be inserted into the viral genome through recombinant DNA technology. 451-454 Through bacterial infection of the resulting genetically modified viral genome, viruses are reconstructed by assembling genetically modified coat proteins. 455 This tunable characteristic enables a wide range of programmable biomolecule fabrication in a precisely controlled manner. 456-459 Therefore, the structural flexibility of viruses makes it possible to understand the structure-function relationship of bioelectrical phenomena and develop various virus-based nanomaterial fabrications and bioelectrical applications.

1.7.2 M13 bacteriophage model system

1.7.2.1 Structural features of the M13 phage

M13 phage serves as an excellent model system for studying the structure-function relationship in bioelectricity. M13 phage is a bacterial virus that infects *Escherichia coli* (*E. Coli*) bacteria. 460,461 With a filamentous shape measuring 880 nm in length and 6.6 nm in diameter, this structure provides a large surface area for functionalization and interaction with different materials (Figure 1-19a). 462 Comprising 2700 copies of α -helical major coat proteins (pVIII), M13 phage encloses its single-stranded DNA genome, consisting of 50 residues, generates spontaneous polarization from the N-terminal to C-terminal direction, establishing it as an intrinsic polarization biomolecule. Assembled in a five-fold rotational and two-fold screw symmetry with a ~20° tilt angle relative to the long axis of the phage, M13 phage exhibits a non-centrosymmetric structure (Figure 1-19b and 1-19c). 1,3,423 The minor coat proteins (e.g., pIII, pVI, pVII, and pIX) of M13 phage play a critical role in its function. These proteins, distinct from the major coat proteins, participate in various aspects of the phage's life cycle, including host recognition, infection, and assembly.

Genetic engineering of the M13 phage offers a variety of advantages and applications

in biotechnology (Figure 1-20). 463 Modifying these proteins allows researchers to customize the phage for specific applications, enhancing its interaction with certain materials or enabling specific functions within bioelectrical devices.¹⁻³ Genetically engineered M13 phage, displaying desired peptides and proteins, can serve as a building block for bioelectrical studies. 1,3-5,464-466 The M13 phage has been used as a template for bioelectrical applications, such as electrodes for batteries⁴⁶⁷⁻⁴⁷⁰ and solar cells.⁴⁷¹⁻⁴⁷⁴ The performance can be enhanced through the genetic engineering of the phage by displaying specific binding motifs on the protein surface. Additionally, the use of phages in bioelectric studies is particularly advantageous because the surface charge and dipole moment can be precisely tuned through genetic engineering. 1-3,444 This precise control extends from the nanoscopic subunit, exemplified by the pVIII protein, to the macroscopic scale, encompassing the entire M13 phage. 1-3 Moreover, the structure of the M13 phage, including its shape, dimension, and size, can be precisely tuned through genetic engineering. 423,475 This control over the surface charge, dipole moment, and structural characteristics provides researchers with the unique advantage of optimizing the electrical and physical properties of the phage across multiple length scales. 2,3,423,444,475 The ability to manipulate these characteristics from the molecular to the macroscopic level enhances the versatility of M13 phages, making them invaluable for exploring and manipulating bioelectric phenomena in various research applications. 476-479

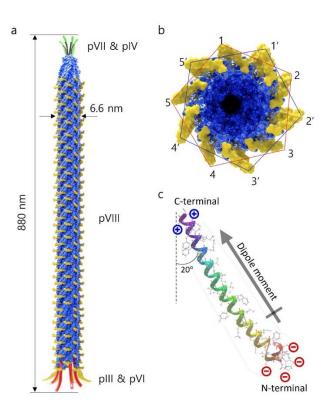


Figure 1-19. The structure of the M13 phage. (a) M13 phage has a filamentous shape measuring 880 nm in length and 6.6 nm in diameter. (b) and (c) It is composed of 2700 copies

of pVIII proteins with five-fold rotational and two-fold screw symmetry, exhibiting a non-centrosymmetric structure. pVIII protein consists of 50 residues and forms a dipole moment from the N-terminal to C-terminal direction.

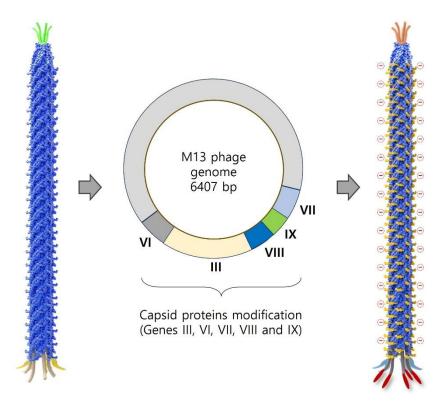


Figure 1-20. Schematic of the M13 phage depicting the arrangement of coat proteins. The surface structure of these coat proteins can be modified through genetic engineering techniques. This allows for the customization of the molecular configurations of the phage surface to align with specific characteristics, enabling the presentation of the corresponding peptide motif on the coat proteins of the phage.

1.7.2.2 Biocompatibility and mass production

M13 phages are generally considered to be biocompatible due to being non-pathogenic to humans and other organisms, signifying their well-tolerance by biological systems (Figure 21). This characteristic is essential for applications where the phage interacts with living cells or tissues, such as in bioelectrical devices and biomedical devices. Moreover, the capacity of M13 phage for mass production is a significant advantage. The phage naturally replicates within its bacterial host, allowing for efficient and cost-effective production on a large scale (Figure 1-21). This scalability is crucial for biomaterial research, enabling researchers to obtain substantial quantities of the phage for systematic and reproducible studies. Specifically, it is invaluable that we can produce identical genetically programmed

biomolecules in massive amounts. The ease of production with genetically engineered biomolecules can contribute to the feasibility of conducting experiments with statistically significant sample sizes and electronic characteristics, thereby enhancing the reliability and robustness of the findings.

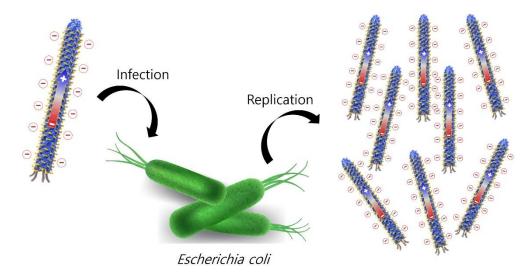


Figure 1-21. M13 phages can be efficiently produced in large quantities through bacterial infection, providing a cost-effective means of generating a significant number of phages. The life cycle of the M13 phage involves rapid replication, allowing for quick and scalable production of the phage.

1.7.2.3 Self-assembled nanostructures

High ordered building blocks are critical for the fabrication of self-assembled nanostructures, providing precise control over the size, shape, and arrangement, and ensuring a reproducible process. 423,462,483,484 The M13 phage has been widely studied as a building block, serving as a framework for bioelectrical applications. 1,3,4,466 The body of the M13 phage is constructed by pVIII proteins in a helical manner with a high-aspect ratio, resulting in a highly organized surface with regular structural characteristics that facilitate consistent and predictable nanostructure. 423,462 Additionally, the positively charged domain of the pVIII proteins covers the phage DNA, exposing the negatively charged domain to the surface of the phage.^{2,423} This imparts negative characteristics to the phage surface at the exterior and contains spontaneous polarization at the interior of the proteins.³ The M13 phage is highly organized not only in coat protein structures but also in surface charge and electric polarization structures.³ The pVIII proteins interact with each other non-covalently, imparting monodispersive characteristics to the M13 phage and enabling various interactions (e.g., hydrogen bonding, hydrophobic-hydrophobic interactions, electrostatic interactions) during the self-assembly process. 462-464,485 The pVIII protein, consisting of 50 amino acids with varying numbers of positively or negatively charged and hydrophobic amino acids, make the monodispersity of the phage sensitive to variations in pH, temperature, and ionic strength. 462,466,486,487 By controlling these parameters in the phage solution, the M13 phage undergoes varying self-assembly mechanisms, influencing the fabrication of diverse self-assembled nanostructures. 462,466,486,487 Furthermore, surface modification of the M13 phage can be achieved through both genetic engineering and chemical modification. 463,488 The M13 phage can be genetically modified by incorporating specific peptide motifs on its surface, contributing to the self-assembly process by changing the monodispersive characteristics of the phage and exhibiting specific interactions between the pVIII proteins and counter materials. 467,468,489 Chemical modification allows the M13 phage to be functionalized to display specific chemical functional groups on its surface. 490,491 The ability to transform its structure makes the M13 phage diverse in self-assembled nanostructure fabrication. 490,491 Therefore, the highly organized helical structure and surface characteristics of the M13 phage, particularly he pVIII proteins, make it a crucial and versatile building block for self-assembled nanostructures, with controllable features influenced by environmental factors.

1.7.2.4 M13 phage-based piezoelectric energy generation

Due to its well-defined shape and tunability for displaying functional peptides or proteins on the coat proteins¹, the M13 phage has been widely used in bioelectrical energy generating systems. 1,3,4,466 In 2012, Lee et al. In 2012, Lee and colleagues explored the piezoelectric properties of the M13 phage by subjecting it to electric pulses and then measuring the resulting mechanical responses with PFM measurements. They utilized lateral and vertical PFM measurements to examine both the axial and lateral components of the phage's piezoelectricity. Initially, a monolayer of M13 phage film was developed on gold substrates. Their lateral PFM analysis indicated a strong signal when the PFM tip moved parallel to the long axis of the phage alignment, whereas scanning perpendicular to this direction resulted in a weaker signal due to the antiparallel alignment of the monolayer phage film. Furthermore, vertical PFM characterization confirmed the phage's capability to generate out-of-plane piezoelectric signals. The researchers demonstrated that modifying the phage's charge through genetic means could enhance its piezoelectric response. Introducing additional negatively charged residues, such as glutamate, significantly improved its piezoelectric properties. The engineered phage with four glutamate residues (4E) exhibited a 2.3 times increase in piezoelectric response compared to the wild-type (WT) phage. Moreover, as they produced thicker phage films, they observed an increase in the piezoelectric response up to 200 nm (about 30 layers of phages), after which the piezoelectric signal saturated. This saturation phenomenon was attributed to the reduced clamping effect with increasing film thickness, allowing for greater deformation and consequently a higher piezoelectric response. Hence, both chemical and physical structure manipulations can enhance the piezoelectric properties of phage films. Subsequently, in 2019, Heo et al. created hierarchically structured piezoelectric thin films with a two-dimensional (2D) dot pattern. 466 They achieved this pattern by using a self-templating technique that controlled the ionic strength and phage concentration. This technique induced finger instability and stick-slip motion at the air-liquid-solid interface, allowing for localized phage deposition at the substrate's meniscus. The resulting 2D-dot patterned 4E-phage-based piezoelectric devices showed an output voltage of 0.95 V and an output current of 94 nA. The improved piezoelectric performance was attributed to the greater crystallinity of the smecticordered nanofiber bundles, which possessed a pseudo-hexagonally packed crystalline structure leading to alignment of dipole moments. Furthermore, in 2019, Lee et al. reported that the M13 phage exhibited a significantly higher vertical piezoelectric coefficient compared to its lateral counterpart.⁴ To explore this vertical piezoelectric behavior, they modified the minor coat proteins of the phage by introducing six-histidine (6H) residues capable of binding to nickelnitriloacetic acid (Ni-NTA). Through a self-assembly process using polydimethylsiloxane (PDMS) templates, they arranged the phages in a vertical orientation on gold electrodes. These vertically engineered phages demonstrated a 3.3 times increase in effective piezoelectric coefficients compared to the laterally aligned ones (13.2 and 3.96 pm V⁻¹, respectively). The researchers attributed this improved piezoelectric behavior to the unique structural arrangement of the pVIII major coat protein, which is tilted at a 20° angle along the phage's long axis, resulting in a stronger piezoelectric response in the vertical direction.

Despite considerable progress in optimizing the piezoelectric performance of the phage, a comprehensive understanding of the intrinsic piezoelectric properties of the phage at the molecular level remains elusive. Hence, further investigation into the molecular mechanisms of phage piezoelectricity under different modes of mechanical stimulation is essential for comprehending its role in applications related to mechanical energy conversion.

1.8 Challenges in the structure-function relationship in biomaterials

Biological systems produce electrical signals through various mechanisms, including mechanical stresses, temperature variants, biochemical reactions, and electric fields. ^{1-3,31,371,492} The increasing importance of using these biological signals for electricity generation holds promise for bioelectrical applications, such as biomedical devices, biosensors, and bioelectronic devices, especially applicable for living tissues and cells. ⁴⁹³⁻⁴⁹⁵ Biomaterials, in particular, are attractive for harvesting energy due to their distinctive characteristics that can be leveraged to develop sustainable, biocompatible, and versatile energy technologies. ^{1-4,191,496,497} However, despite the growing demand for biological energy harvesting systems, biomaterials typically present challenges such as low power output, limited efficiency, and structural intricacy when compared to traditional energy sources. ^{479,498,499} Furthermore, optimizing their performance lacks a straightforward approach. ⁵⁰⁰⁻⁵⁰² Understanding the fundamental molecular mechanisms of bioelectrical systems remains a formidable task due to the intricate nature of biological systems. ⁵⁰⁰⁻⁵⁰² Biological building blocks such as nucleic acids, proteins, and tissues, exhibit diverse electrical responses to various external stimuli. ^{269,499,503-505} Nucleic acid exhibits electrical responses due to their inherent electric potential distribution

along their phosphate backbones. 21,506 The change in electric potential upon external stimuli allows nucleic acids to be used for nucleic acid machines⁵⁰⁷, nucleic acid-based sensors⁵⁰⁸, and carriers used for controlled drug release.⁵⁰⁹ The electric potential of proteins can be manipulated under structural deformation, temperature change, and electric field applications. These electrically responsive phenomena of proteins can be applied to protein mechanics⁵¹⁰, soft robotics⁵¹¹, energy harvesters^{189,512}, and molecular sensing systems³. At the tissue level, tissues exhibit electric potential generation under mechanical stresses, temperature variations, and electric field application. These electrically responsive phenomena of tissues can be observed in bone and tissue regeneration^{513,514}, and cell membrane regulation.^{515,516} Understanding the underlying mechanisms of each elementary component is crucial because these biomaterials react in highly complex ways, resulting in intricate and often unpredictable outcomes in bioelectricity.^{21,369,378,517-520} This inherent complexity makes unraveling the intricacies of bioelectricity more challenging. 369,520 Nevertheless, since this understanding forms the basis of biological energy conversion technologies, it is important to comprehend their underlying molecular mechanisms. 521-525 In contrast, conventional organic/inorganic materials, while possessing relatively simple structures and well-documented characteristics, lack the dynamic properties and versatility of biological systems. 526,527 The intricacy and heterogeneity of biological systems make it challenging to accurately reproduce them using organic/inorganic materials. Furthermore, the ability to modify materials at the molecular level is constrained by the scarcity of inherent functional groups, strong bonding characteristics, and limited reactivity. 528-530 Therefore, a suitable model system is required to understand and optimize biological electricity at the molecular level.

1.9 Thesis outline

My work focuses on investigating the structure-function relationship in biomaterials using the M13 phage as a model system. The filamentous structure of the phage provides a significant surface area with 2700 copies of genetically engineerable major coat proteins. These proteins assemble into a non-centrosymmetric structure with spontaneous polarization, exhibiting interesting stimuli responsive electrical properties. Phage genome engineering allows precise customization, providing control over surface charge and dipole moment and offering researchers unprecedented control for exploring the electrical responses to external stimuli (e.g., friction, mechanical stress, heat, and chemical environment). This represents bioelectrical energy conversion mechanisms at the molecular level. In the upcoming chapters, the exploration of triboelectricity, piezoelectricity, and pyroelectricity in the context of M13 phage bioelectrical applications will unfold.

In Chapter 2, I demonstrate the triboelectric properties of the M13 phage, which involve the generation of electrical charge resulting from frictional interactions. This chapter will delve into the molecular mechanisms and potential applications of triboelectricity in M13 phage-based triboelectric devices. Firstly, the triboelectric charging and discharging behavior

of the M13 phage, characterized by the KPFM technique, is described. Next, the underlying principle of the triboelectric effect of the phage is studied by demonstrating the energy levels through computational methods. Lastly, the output performance of the phage-based triboelectric device is demonstrated.

In Chapter 3, I investigate the piezoelectric properties of the M13 phage, focusing on its ability to generate electric fields in response to mechanical stress. This section will discuss the fundamental principles of piezoelectricity in the phage and its applications. To begin, a computational study on the dipole moment of the phage—the origin of the piezoelectric effect—and its directional dependence under various mechanical stresses is examined. Next, the relationship between surface charge engineering, dipole moment, and the piezoelectric response of the phage is explored using electrostatic force microscopy (EFM) and PFM. Finally, the directional dependence of the piezoelectric output is illustrated by fabricating M13 phage-based piezoelectric devices.

In Chapter 4, I explore the pyroelectric properties of the M13 phage, which enable it to generate electric charge in response to temperature changes. This discussion will encompass the underlying pyroelectric mechanisms of the phage and highlight the applications of pyroelectric properties in areas such as energy harvesting and molecular sensing systems. First, the pyroelectric effect of the M13 phage, characterized by the KPFM technique, is demonstrated. Next, the molecular mechanisms of the pyroelectric effect in the M13 phage, studied through MD simulations and circular dichroism (CD) spectroscopy, are examined. Lastly, the applications of phage-based pyroelectric devices for energy harvesting and molecular sensing systems are explored.

In Chapter 5, I summarize the achievements presented in my Ph.D. dissertation and discuss the future perspectives of my research, along with recommendations for further work based on this thesis. I anticipate that my research will convey a comprehensive understanding of the molecular mechanisms underlying bioelectric phenomena and will serve as inspiration for future innovative investigations aimed at developing novel bioelectrical properties.

CHAPTER 2: Triboelectricity in M13 bacteriophage

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2.1 Introduction

Triboelectricity refers to the phenomenon of generating electric potentials during the contact and separation of different materials. ^{69,70} In modern society, electricity is indispensable, and the generation of triboelectric energy has been identified as a promising method to harness widespread mechanical energy. ^{43,95,97,126,531-537} Additionally, triboelectric and contact-induced electrostatic interactions play a crucial role in regulating various biological functions and processes in nature, such as bumblebees pollinating flowers, immune cells engulfing pathogens, and viruses infecting host cells. ⁸⁹⁻⁹² Given that many of these interactions rely on biological structures, even minor alterations like single amino acid mutations can completely change biological behaviors and functions. ^{538,539} Despite the well-established understanding of the electrical properties of inorganic and organic materials over several decades, our comprehension of the electrical properties in biological materials remains elusive. This gap in knowledge persists because natural biomaterials are complex, and there are few model systems available to precisely modify their chemical and physical structures and study their electrical properties at the molecular level. ⁵⁴⁰

In this chapter, I will illustrate the phenomenon of triboelectricity exhibited by viral particles upon interacting with metals and other materials. Employing the M13 phage as a programmable biomaterial, I created precisely defined physical and chemical structures, allowing me to study their triboelectric properties. By genetically engineering glutamate fusions ranging from one to four on the N-terminus of the major coat proteins of the M13 phage, I generated monolayer and multilayer phage films. Subsequently, I explored their ability to generate triboelectric potential upon contact with a Ti/Pt-coated AFM tip, observing charge generation in the range of 2-4 μC m⁻². Using KPFM, I presented that more negatively charged phages exhibit a higher triboelectric potential and faster charge diffusion compared to less negatively charged phages. The relative polarity analysis of the engineered phages, coupled with computational simulation results, confirms that an increase in engineered glutamates leads to a lower lowest unoccupied molecular orbital (LUMO) energy level. Consequently, these phages can readily accept electrons from counter materials upon contact. Additionally, my demonstration revealed that phage-based triboelectric devices (Tribo-PhD) can generate approximately 76 V of voltage and around 5.1 µA of current, powering 30 light-emitting diode devices through the application of mechanical forces. My findings suggest that viral particles can induce triboelectric potential upon contact, and this characterization opens avenues for harvesting ubiquitous mechanical vibrational energy and presents an innovative approach for detecting specific viruses in the future.

2.2 The structure of genetically engineered M13 phages

The M13 phage serves as an excellent tunable model system for exploring the structure-function relationship of triboelectricity in biomaterials. The filamentous shaped M13 phage is covered by 2700 pVIII proteins, exhibiting large surface area of the protein surface (Figure 2-1a-c). 460,462,541,542 The N-terminus of the pVIII major coat protein is exposed on the outer surface of the phage (Figure 2-1b). In this study, I used genetically engineered phages with varying numbers of negatively charged amino acids (E), ranging from one glutamate to four glutamates, denoted as 1E-, 2E-, 3E-, and 4E-phage, respectively (Figure 2-1b and Table 4). Since 21 amino acids of the pVIII protein at the N-terminus are exposed externally on the phage surface⁵⁴³ (Figure 2-1c), the surface charge density of the phage can be predicted. With an estimated surface area of approximately 18,700 nm², the net electric charge of the pVIII coat protein at pH 7.5 is calculated to be -2.2, -3.2, -4.2, and -5.2e (where e is the elementary charge) for the 1E-, 2E-, 3E-, and 4E-phages, respectively. The corresponding surface charge densities are estimated as 50.8, 73.9, 97.0, and 120 mC m⁻² for the 1E-, 2E-, 3E-, and 4E-phages, respectively. This demonstrates that the structural modification of the pVIII protein with varying amounts of charged residues allows for control over the electrical properties of the phage.

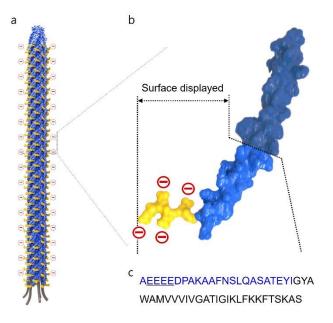


Figure 2-1. Schematic of the M13 phage structure and surface charges. (a) The M13 phage possess a filamentous structure, measuring 880 nm in length and 6.6 nm in diameter, and is

encased by 2700 copies of pVIII major coat proteins. (b) Side view of the pVIII protein of 4E-phage highlighted with the surface exposed area (light blue color) and the engineered peptides (yellow color). (c) Primary structure of the major coat protein for the 4E-phage. The engineered four glutamates (4E) are underlined. Amino acids displayed on the surface are indicated in blue. Reprinted with permission.² Copyright 2021, American Chemical Society.

| Phage | Primary structure of pVIII proteins* | | | | | | |
|-------|---|--|--|--|--|--|--|
| type | | | | | | | |
| WT | AEGDDPAKAAFNSLQASATEYIGYAWAMVVVIVGATIGKLFKKFTSKAS | | | | | | |
| 1E | A <u>EG</u> DPAKAAFNSLQASATEYIGYAWAMVVVIVGATIGKLFKKFTSKAS | | | | | | |
| 2E | A <i>EEG</i> DPAKAAFNSLQASATEYIGYAWAMVVVIVGATIGKLFKKFTSKAS | | | | | | |
| 3E | A <i>EEEG</i> DPAKAAFNSLQASATEYIGYAWAMVVVIVGATIGKLFKKFTSKAS | | | | | | |
| 4E | A <i>EEEE</i> DPAKAAFNSLQASATEYIGYAWAMVVVIVGATIGKLFKKFTSKAS | | | | | | |

Table 4. Primary structures for pVIII proteins¹. Reprinted with permission.² Copyright 2021, American Chemical Society.

2.3 Characterization of the triboelectricity in M13 phage

2.3.1 Triboelectric effect using Kelvin probe force microscopy

I conducted a thorough examination of the triboelectric properties exhibited by the phage. To elucidate how mechanical contact influences the manifestation of triboelectric properties in the phage, I performed KPFM in conjunction with AFM (Figure 2-2). This approach involved visualizing the surface potential of the phage based on the contact potential difference (CPD) between the AFM tip and the phage film. For characterizing the triboelectric properties, I applied a single line of contact forces by 200 nm (indicated by the red dotted box in Figure 2-3a and 2-3b) to the phage film with a force of 1.4 nN and a scan rate of 0.50 Hz. This was achieved using a Ti/Pt-coated AFM tip with an approximate radius of ~28 nm in contact mode. I observed the induction of electric potential and its changes through time-lapse KPFM imaging. The work function of the phage, denoted as ϕ_{phage} , is defined by equation (1). $^{546-548}$

$$\phi_{phage} = \phi_{AFM \ tip} - eV_{CPD} \qquad (1)$$

where $\phi_{AFM\ tip}$ is the work function of the Ti/Pt-coated AFM tip, e is the electric charge, and V_{CPD} is the measured CPD. I determined the triboelectric potential, defined as the net variations of the surface potential with reference to that of the non-friction region, by measuring

^{*}The primary structure of the pVIII protein of the M13 phage and the insert is <u>underlined and italicized.</u>

the surface potential of the rubbed phage. The dark brown color in the KPFM images illustrates the spatial distribution of negative electric charges on the 4E-phage monolayer film (Figure 2-3b). From the first scanning of the KPFM measurement following the application of force, I observed the development of 48.8 mV of electric potential. Using the same experimental setup, the triboelectric potentials of the 1E-, 2E-, and 3E-phages were determined to be 22.9, 32.8, and 40.3 mV, respectively.

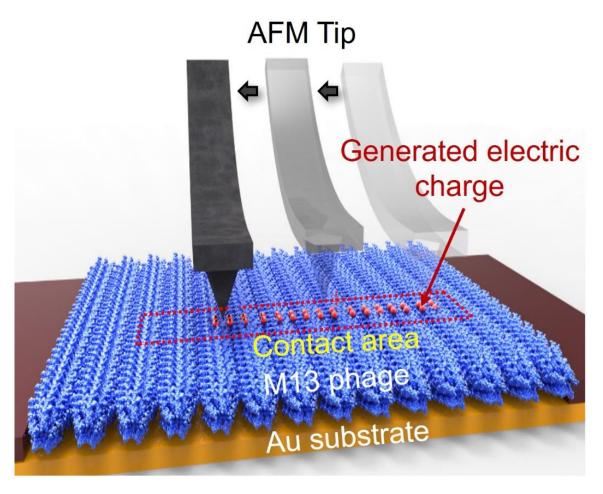


Figure 2-2. Characterization of the triboelectrification of the M13 phage using a Ti/Pt-coated AFM tip. Reprinted with permission.² Copyright 2021, American Chemical Society.

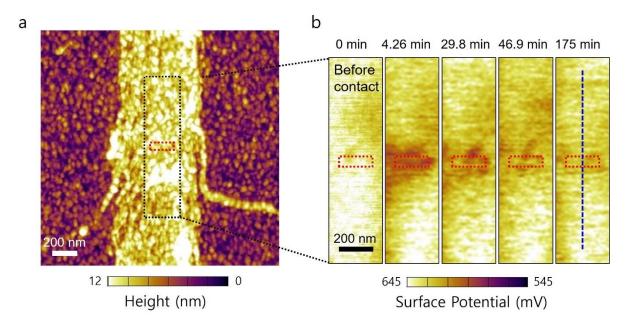


Figure 2-3. Characterization of triboelectrification of the M13 phage. (a) AFM height profile image of a monolayer phage film, where mechanical force was applied within the red dotted box, and the triboelectric charges were observed in the black dotted box. (b) Time-lapse KPFM images capturing the evolution of triboelectric charges on the surface of the M13 phage within the region outlined by the dotted box in (a). Reprinted with permission.² Copyright 2021, American Chemical Society.

2.3.2 Diffusion of the triboelectric charges

I conducted an analysis of the diffusion of triboelectric potentials using time-lapse KPFM. Following the application of friction on the monolayer phage film with an AFM tip, I continuously monitored the surface potential of the phage film over time. The observations revealed that the contact-induced electric charges diffused over time, as evidenced by the triboelectric potential profiles as a function of time. Remarkably, the surface potential recovered to a state similar to the initial condition after 175 minutes (Figure 2-3b). For a quantitative analysis of the diffusion of contact-induced electric charges (Figure 2-4), I assumed that these charges predominantly diffuse on the surface in two dimensions rather than through the bulk.⁵⁴⁹ The two-dimensional diffusion model to characterize the surface charge diffusion coefficient is expressed by equation (2).

$$\sigma = \frac{A}{t - t_0} \exp\left[-\frac{(x - x_0)^2 + (y - y_0)^2}{4D(t - t_0)}\right]$$
 (2)

where σ is the surface triboelectric charge density of point (x,y) at time t, A is the constant, D is the diffusion coefficient. To estimate the diffusion coefficient, we applied Gaussian fitting to the one-dimensional surface potential profile with the Gaussian distribution function, f(x), as

expressed by equation (3).

$$f(x) = B \cdot exp\left[-\frac{(x-x_0)^2}{2w^2}\right]$$
 (3)

where B is the constant and w is the diffusing distance. In consideration of equations (2) and $(3)^{545,549}$, I obtain equation (4).

$$D(t - t_0) = \frac{1}{2}w^2 \tag{4}$$

By plotting Dt for different diffusing times, I obtained the diffusion coefficient of the triboelectric charges through linear fitting, with the slope representing the diffusion coefficient. The calculated diffusion coefficient of the 4E-phage was 5.20×10^{-18} m² s⁻¹. By analyzing differently charged phages (1E-, 2E-, and 3E-phage), I could characterize the chemical structure-dependent triboelectric potential generation and its diffusion. The observed diffusion coefficients for the 1E-, 2E-, and 3E-phages were 0.517×10^{-18} , 1.50×10^{-18} , and 3.57×10^{-18} m² s⁻¹, respectively.

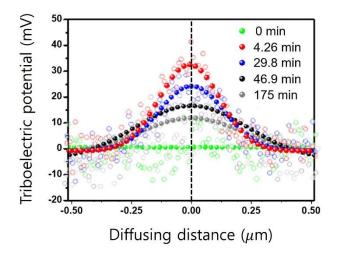


Figure 2-4. Time-dependent triboelectric potential profiles of the phage surface along the dashed line in Figure 2-3b. The contact area is indicated by the dotted line, measured as 0 μ m. Reprinted with permission.² Copyright 2021, American Chemical Society.

2.3.3 Triboelectric charge characterization

I determined the intrinsic triboelectric potential and generated charge density of the engineered phage films through the analysis of discharging plots. Since I observed the initial triboelectric potential after 4.26 minutes from the application of force, and the potential diffused over time, I drew a trend line on the charge discharging plot for each type of phage

(Figure 2-5) to obtain the corresponding equation and values. By considering the diffusing time at 0 minute, I estimated the intrinsic triboelectric potential of the phages (Figure 2-5). Consequently, the calculated intrinsic triboelectric potentials were found to be 29.1, 40.3, 46.5, and 52.5 mV for 1E-, 2E-, 3E-, and 4E-phage, respectively (Figure 2-6). To further analyze these measurements, I calculated the density of the generated electric charge on the surface of the phage films using equation (5). 550

$$\sigma_{surface\ triboelectirc\ charge\ density} = \frac{\Delta Q}{A} = \frac{C\Delta V}{A} = \varepsilon_0 \varepsilon_{phage} \frac{\Delta V_{CPD}}{d}$$
 (5)

where ΔQ is the quantity of generated electric charge on the surface, A is the friction area, C is the capacitance of the phage, ε_0 is the vacuum permittivity, ε_{phage} is the dielectric constant of phage, ΔV_{CPD} is the triboelectric potential and d is the thickness of the phage film. The calculated density of generated electric charge of the engineered phages was 2.44, 3.38, 3.90, and 4.40 μ C m⁻² for 1E-, 2E-, 3E-, and 4E-phage, respectively.

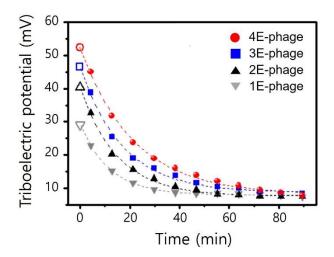


Figure 2-5. Time-dependent electric discharging and diffusion behavior in the 1E- to 4E-phages. Experimentally measured data is represented by filled markers, while calculated data is indicated by open markers. Reprinted with permission.² Copyright 2021, American Chemical Society.

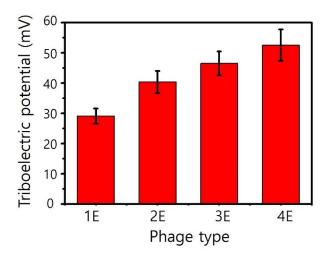


Figure 2-6. Intrinsic triboelectric potentials for the 1E- to 4E-phages were computed. The mean values from seven replicates are specified. Reprinted with permission.² Copyright 2021, American Chemical Society.

2.4 Molecular mechanisms of the triboelectricity in M13 phage

2.4.1 Relative triboelectric charging behaviors of phage films

Because the engineered phage films, with varying amounts of glutamate, demonstrate distinct electrical properties, I proceeded to characterize the relative polarity and magnitude of the triboelectric potentials among the 1E- to 4E-phages. Initially, I deposited the desired phages on indium tin oxide (ITO) electrodes, each having an area of 70 mm² and a thickness of approximately 300 nm.1 Subsequently, I subjected the phage films to a dynamic mechanical test using a system. The electric potentials generated by successive contact and separation of two materials were measured and compared, applying a force of 5 N at a distance of 4 mm (Figure 2-7). I assessed the relative electric charging behavior of the materials based on the direction and magnitude of the output voltage signals. Specifically, I used 1E-phage as the bottom substrate against 1E-, 2E-, 3E-, and 4E-phages as the top substrates, all serving as triboelectric materials. An electrometer was employed for this purpose. Connecting the positive material to the probe tip and linking the negative material to the ground lead resulted in a negative voltage signal upon contact and a positive signal upon separation. During the contact of two triboelectric layers, an electrostatic phenomenon generated positive and negative charges on the surfaces of each side of the layers. Subsequently, upon the separation of the two substrates, electrons tended to flow through the external load from the positively electrified electrode (the bottom electrode in Figure 2-7) to the negatively electrified electrode (the top electrode in Figure 2-7) until the system reached an electrical equilibrium. In this process, a negative voltage was observed, signifying that electron flowed from the more negative phage layer toward the more positive phage layer, inducing positive electric charges on the surface of the more positive layer upon contact with the phage surface. After reaching equilibrium, there was no electron flow. Upon the contact of the two substrates, a positive voltage was exhibited, indicating that the electrons flowed in the opposite direction compared to the previous step.

The output voltage from electrification between identical phage types (e.g., 1E-phage against 1E-phage) is minimal (0.01 V). However, when 1E-phage contacts 2E-, 3E-, and 4Ephages, positive polarity is observed during contact-separation, with magnitudes of 0.56, 0.79, and 1.26 V, respectively. Contact with more negatively charged phages (2E-, 3E-, and 4Ephages) results in the generation of positive charges on the surface of the 1E-phage. Additionally, the greater the disparity in the number of glutamates on the phages, the larger the quantity of generated charges (Figure 2-8). This outcome indicates that the 1E-phage, being relatively positive, tends to donate electrons to the counter, relatively negative phages (e.g., 2E-, 3E-, and 4E-phages) upon contact, resulting in a larger electric potential. This principle is confirmed across various combinations of different phages (Figure 2-9 and Figure 2-10). In the case of 2E-phage against 1E-phage, a negative voltage of -0.65 V is observed, signifying the generation of negative electric charges on the surface of the 2E-phage and positive charges on the 1E-phage. Similar to the scenario of 1E against 1E-phage, electrification between 2E-phage against 2E-phage yields negligible potential (0.01 V). The signal polarity for 2E-phage against 3E- and 4E-phages is reversed compared to the 1E-phage, with output values of 0.63 and 0.92 V. Similarly, in 3E- and 4E-phages, the electric potential between identical phage types is negligible, but the signal polarity reverses when the phage interfaces with a more negatively charged counterpart (Figure 2-9 and Figure 2-10). Analyzing the relative output voltage signal between each phage, I conclude that the electric properties can be systematically controlled based on the engineered glutamates on the phages at the single amino acid level. The phages exhibit a tendency to generate more negative electric charges in the order of 4E-, 3E-, 2E-, and 1E-phages.

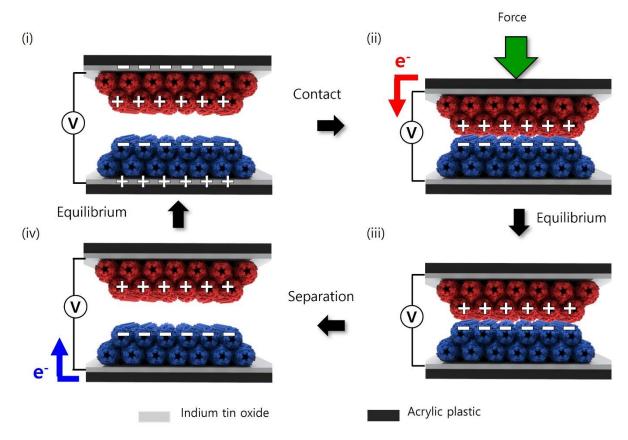


Figure 2-7. Working principle of a Tribo-PhD. Reprinted with permission.² Copyright 2021, American Chemical Society.

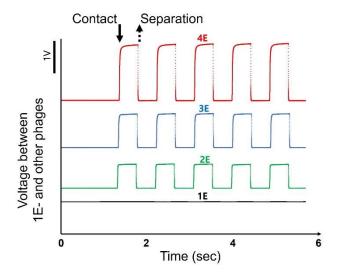


Figure 2-8. Triboelectric voltage observed during the contact and separation of the 1E-phage (bottom substrate) with the 1E- to 4E-phages (top substrate). Reprinted with permission.² Copyright 2021, American Chemical Society.

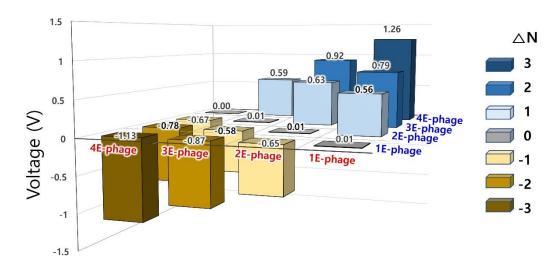


Figure 2-9. Generation of Relative triboelectric voltage among different phage types. ΔN represents the disparity in glutamate numbers between the engineered phages at the top and bottom. Reprinted with permission.² Copyright 2021, American Chemical Society.

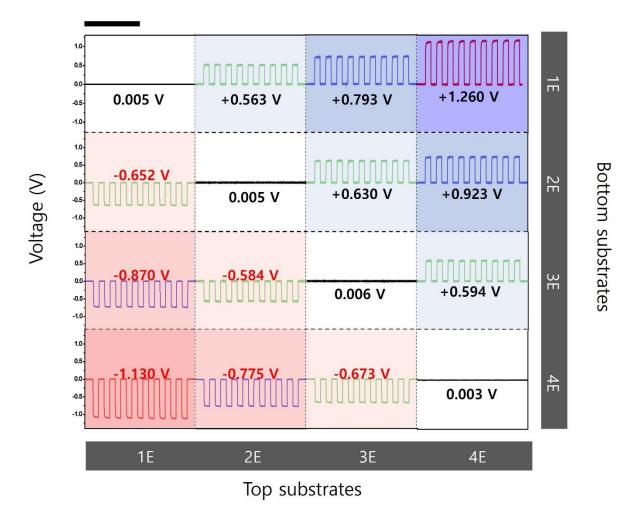


Figure 2-10. The relative triboelectric charging characteristics of each phage in relation to other phage types (1E-4E-phages). Scale bar represents 5 seconds. Reprinted with permission.² Copyright 2021, American Chemical Society.

2.4.2 Computational analysis – Extended semiempirical tight-binding calculations

To understand the variations in electric charging behavior among the glutamate-engineered phages, I employed an extended semiempirical tight-binding calculation (GFN2-xTB). The modeling approach involved extracting the pVIII protein structure from the molecular configuration of the M13 phage, as obtained from the Protein Data Bank (PDB code: 1IFJ). I used the mutagenesis function in Pymol 2.4.0 software to manipulate the genetically engineered segment of the pVIII protein, creating both the molecular structure with the complete amino acid sequences of the pVIII coat protein and partial sequences modified through genetic engineering. Following geometric optimization, we employed GFN2-xTB with the Gaussian 16 package interface for xTB code at 300 K. This investigation focused on the variance in the LUMO energy level, representing the electron acceptor energy state, up to the LUMO+4 level in response to the glutamate insertion changes. The LUMO levels of the pVIII

coat protein were found to be -7.82, -7.84, -7.86, and -8.04 eV for 1E-, 2E-, 3E-, and 4E-phages, respectively (Figure 2-11). It was observed that the LUMO level decreased with an increase in the number of inserted glutamates, indicating a heightened inclination to accept electrons. I also demonstrated the LUMO level of the inserted peptides (ranging from one to four glutamates) of the pVIII protein, revealing a consistent trend with the pVIII protein itself that the LUMO level decreased with an increasing number of glutamates (Figure 2-12). Thus, alterations in the LUMO of the engineered glutamates on the pVIII protein play a role in controlling electric properties, aligning with experimental results that highlight the 4E-phage's increased tendency to accept electrons from the counter material in contact electrification.

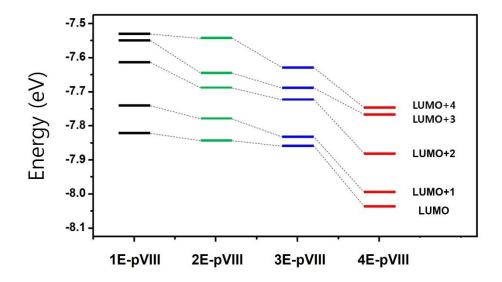


Figure 2-11. Extended semiempirical tight-binding calculations reveal the LUMO level of the pVIII coat protein in the 1E- to 4E-phages. Reprinted with permission.² Copyright 2021, American Chemical Society.

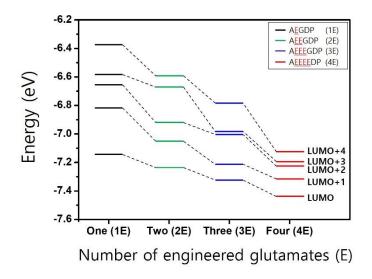


Figure 2-12. The extended semiempirical tight-binding calculation results to show the LUMO level of the N-terminus peptides of the pVIII coat protein. The LUMO levels for AEGDP, AEEGDP, and AEEEEDP are -7.14, -7.24, -7.32, and -7.44 eV, respectively. Reprinted with permission.² Copyright 2021, American Chemical Society.

2.4.3 Triboelectric series

To determine the relative order of the phage material in the conventional triboelectric series, I examined its triboelectric behavior in comparison to representative materials within the series. What is measurements of phage film output were conducted with the same contact area, focusing on the 4E-phage against five different materials: nylon, polyethylene (PET), polyimide (PI), PTFE, and perfluoroalkoxy (PFA) (Figure 2-13a). In electrification with other materials, those on the positive side (left) of the series typically donate electrons to those on the negative side (right). The output voltage signals from the phage-nylon and phage-PET pairs exhibited positive voltages, while the pairs of phage-PI, phage-PTFE, and phage-PFA displayed negative voltages (Figure 2-13b). Therefore, the phage under investigation falls between PET and PI in the triboelectric series, elucidating how the phage generates triboelectric charges in interactions with various materials.

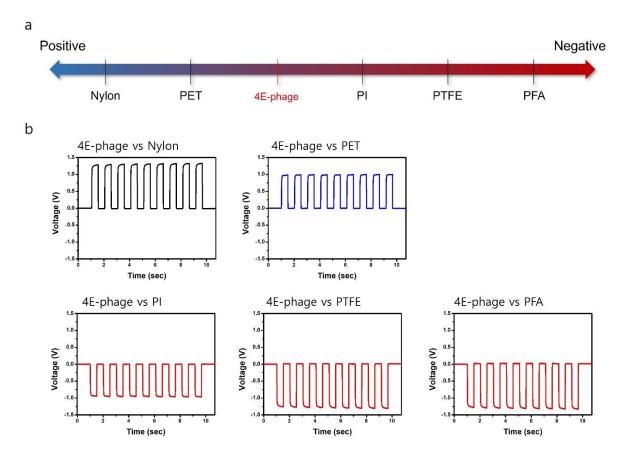


Figure 2-13. The relative triboelectrification of the 4E-phage in relation to traditional triboelectric materials such as nylon, polyethylene terephthalate (PET), polyimide (PI), polytetrafluoroethylene (PTFE), and perfluoroalkoxy (PFA). Reprinted with permission.² Copyright 2021, American Chemical Society.

2.5 Characterization of phage-based triboelectric nanogenerators

I constructed a Tribo-PhD and assessed its triboelectric energy generation. Briefly, I prepared a 5.0 mg mL⁻¹ film of 4E-phage covering an area of 25 cm² on an ITO electrode using the drop-casting method, which was then air-dried for 2 days. Another ITO counter electrode of equal size was positioned facing the first electrode (Figure 2-14). Applying a force of 7.5 N to the Tribo-PhD typically resulted in an observed voltage of 76 V and a current of 5.1 μA (Figure 2-15a and 2-15b). The polarity of the voltage and current signals switched when they were connected in reverse, confirming that the output signals were attributable to the triboelectrification from the Tribo-PhD (Figure 2-16). Given the known piezoelectricity of the M13 phage¹, the piezoelectric effect can contribute to the Tribo-PhD output under the application of a compressive force.⁵⁵² When both compressive and tensile forces were applied to the attached Tribo-PhDs, an approximate 0.5 V output voltage was observed. However, it was confirmed that the contribution of the piezoelectric effect is significantly lower than that

of the triboelectric effect (Figure 2-17). As the electrical output of the Tribo-PhD varies with the load level in the system, the output voltage and current of the Tribo-PhD were measured under various electrical resistors ranging from 10 k Ω to 1 G Ω (Figure 2-18). As the external load resistance increased, the voltage gradually increased while the current gradually decreased. The instantaneous power (W= I^2R) reached its maximum at a load resistance of 100 M Ω , with a calculated maximum power density of 6.7 µW cm⁻² (Figure 2-19). Durability tests demonstrated that the Tribo-PhD could produce continuous electricity over approximately 10,000 applications of compressive forces with minimal change in output power (Figure 2-20). Due to the protein-covered surface of the M13 phage, it is sensitive to ambient humidity and temperature. Voltage and current characteristics of the Tribo-PhD were characterized under various humidity and temperature conditions, revealing that both output voltage and current gradually decreased with increasing humidity and temperature (Figure 2-21). Furthermore, the generated charge could be stored in capacitors. The direct current (DC) output obtained by rectifying the alternating current (AC) output generated from the P-TENG successfully charged a 4.7 µF capacitor to 2.1 V within 25 s (Figure 2-22). Finally, I demonstrated the practical application of our P-TENG by operating multiple light-emitting diodes (LEDs). By connecting 30 LEDs to the Tribo-PhD, the word "CAL" was displayed, and upon the application of force, all 30 LEDs turned on simultaneously (Figure 2-23). Similarly, the Tribo-PhD was applied to operate flexible organic LED (OLED) devices (Figure 2-24).

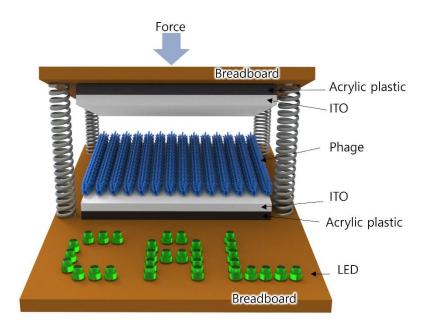


Figure 2-14. Schematic figure of a large-scaled Tribo-PhD. Reprinted with permission.² Copyright 2021, American Chemical Society.

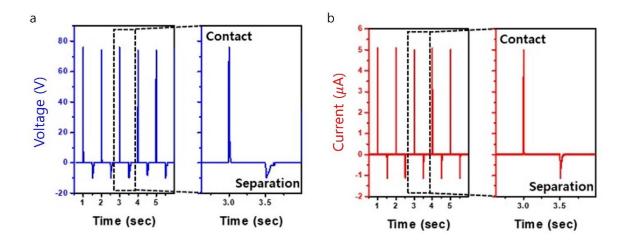


Figure 2-15. Output voltage and current of the Tribo-PhD. Reprinted with permission.² Copyright 2021, American Chemical Society.

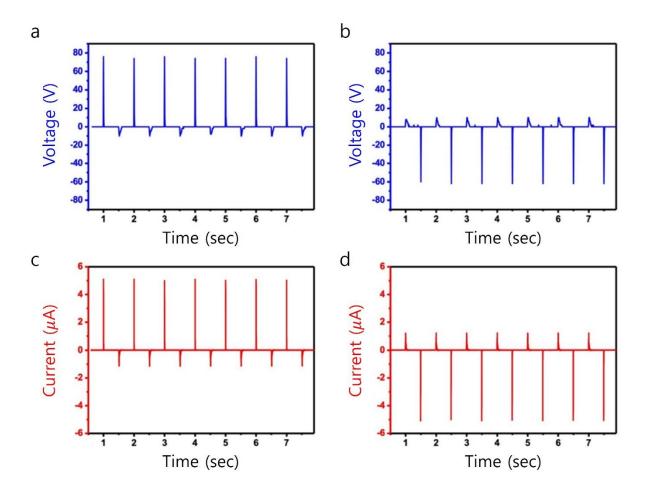


Figure 2-16. Switching polarity test on the Tribo-PhD. Output voltage (a) and (b) and current

(c) and (d) were measured by connecting the probes in the opposite orientation to the Tribo-PhD, demonstrating the reverse polarity changes. Reprinted with permission.² Copyright 2021, American Chemical Society.

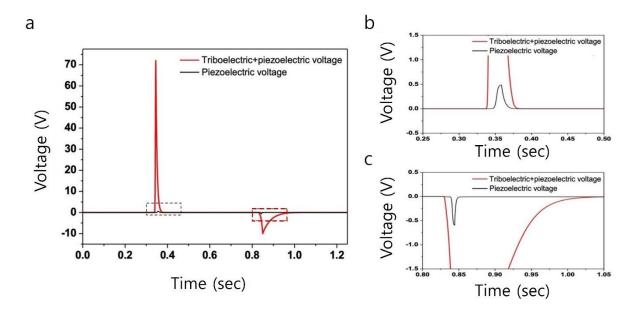


Figure 2-17. Contrasting the impact of piezoelectricity and triboelectricity on the output voltages of a Tribo-PhD. (a) Output voltage of Tribo-PhD resulting from both piezoelectric and triboelectric sources. (b-c) Enlarged output voltage signals extracted from the black- and red-dashed boxes in Figure 2-16a. Reprinted with permission.² Copyright 2021, American Chemical Society.

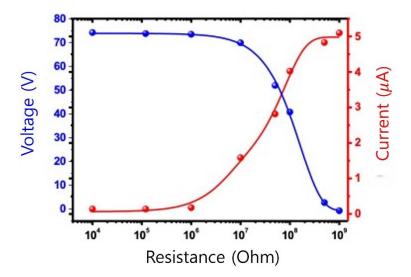


Figure 2-18. Output voltage and current observed across various external resistances, ranging

from $10 \text{ k} \Omega$ to $1 \text{ G}\Omega$, in the Tribo-PhD. Reprinted with permission.² Copyright 2021, American Chemical Society.

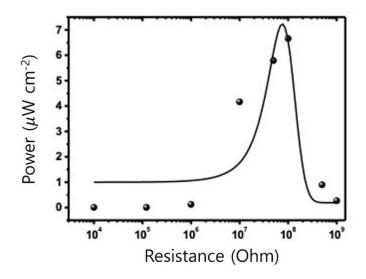


Figure 2-19. Analysis of power density based on resistance reveals that the highest power density is attainable at 100 MΩ. Reprinted with permission.² Copyright 2021, American Chemical Society.

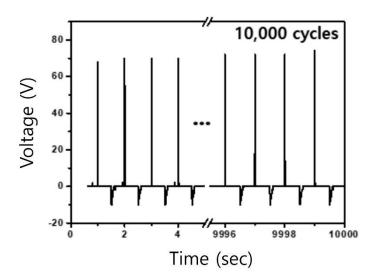


Figure 2-20. Evaluation of the durability of the Tribo-PhD through a 10,000-cycle test. The mechanical durability assessment, conducted under a compressive force of 7.5 N and a frequency of 1.0 Hz, demonstrates outstanding stability and resilience in the Tribo-PhD. Reprinted with permission.² Copyright 2021, American Chemical Society.

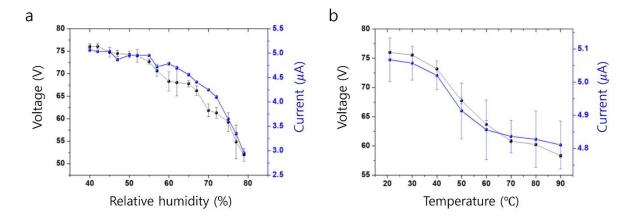


Figure 2-21. Triboelectric characterization of the Tribo-PhD in relation to humidity and temperature. (a) With an increase in humidity from 40 to 80 %, both voltage and current decreased from 76 to 52 V and from 5.1 to 2.9 μA, respectively. (b) With a temperature rise from 20 to 90 °C, the voltage and current decreased from 76 to 58 V and 5.1 to 2.9 μA, respectively. Reprinted with permission. ² Copyright 2021, American Chemical Society.

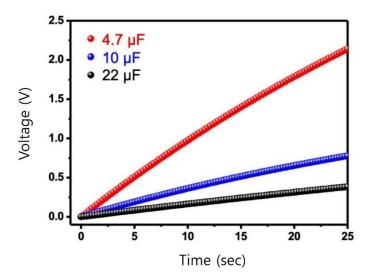


Figure 2-22. Charging characteristics of the Tribo-PhD device across various capacitors. Reprinted with permission.² Copyright 2021, American Chemical Society.



Figure 2-23. Photographs of 30 LEDs connected to the Tribo-PhD. The images show the LEDs when the room light was switched on (left) and off (right). Reprinted with permission.² Copyright 2021, American Chemical Society.

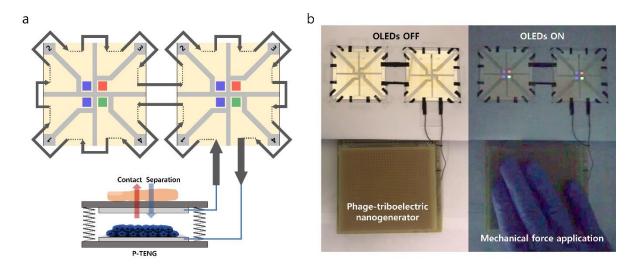


Figure 2-24. (a) Diagram illustrating the connections between the OLEDs and the Tribo-PhD. 8 OLEDs were linked in series to the Tribo-PhD. (b) Images capturing the operation of the OLEDs. All 8 OLEDs emitted light when manual compressive force was applied. The photos show the OLEDs in both illuminated (left) and non-illuminated (right) conditions with the room light on and off, respectively. Reprinted with permission.² Copyright 2021, American Chemical Society.

2.6 Conclusion

In summary, I present findings indicating that virus particles possess the capability for triboelectrification, thus qualifying them for application in energy harvesting materials. This study involved the creation of precisely defined phage structures to investigate triboelectrification and to characterize the electric potential behaviors. I observed that more negatively charged phages not only exhibited higher triboelectric potential generation but also demonstrated faster charge mobility compared to their less negatively charged counterparts. The distinct differences in polarity and triboelectric potential magnitude between various glutamate-engineered phages were evident at the level of a single amino acid. Additionally, I successfully augmented the electrification property of M13 phages through genetic engineering. These approaches, harnessing the triboelectricity of viral particles, offer a promising avenue for sustainable energy harvesting and present a novel methodology for detecting specific viruses in future applications.

2.7 Methods

2.7.1 Genetic engineering of M13 phage

I investigated the triboelectric properties of the M13 phage by adapting genetically engineered phages used in our previous work.⁴⁶¹ The pVIII protein was engineered using recombinant DNA techniques. A variable number of negatively charged glutamates (E) (from one (1E) to four (4E)) was incorporated into the first alanine and aspartate of WT-pVIII protein. To facilitate genetic engineering, a *PstI* restriction site (CTGCAG) was utilized by introducing mutations to the M13KE vector (New England Biolabs, Ipswich, MA) through site-directed mutagenesis (QuickChange® Kit, Stratagene, La Jolla, CA). Primers (IDT, Coralville, IA) were created to incorporate the desired genetic information. The polymerase chain reaction (PCR) was carried out using PhusionTM High-Fidelity DNA polymerase (Finnzymes, Espoo, Finland), along with the primers and the M13 KE vector. Subsequently, the resulting digestion products underwent separation via agarose gel electrophoresis, followed by digestion with the PstI enzyme (New England Biolabs, Ipswich, MA), and ligation using T4 DNA Ligase (New England Biolabs) overnight at 16 °C. The ligated DNA vector was then introduced into XL 1-Blue electroporation competent cells (Stratagene, La Jolla, CA). Following the verification of the DNA at the DNA sequencing facility located at the University of California, Berkeley, CA, I proceeded to amplify the engineered phage of interest through bacterial amplification.

2.7.2 Surface charge estimation

I used Protein Calculator v3.4 (C. Putnam, Scripps Research Institute, USA) to estimate the theoretical net charge on the surface of the engineered phage at various pH levels. This calculation was based on the outermost 21 amino acids among its overall primary structure.

The calculation assumes that all three residues have identical pKa values as the individual amino acids. To determine the surface charge density of the phage, I multiplied the net charge value at pH 7.5 by the number of copies of the major coat proteins and then divided by the surface area of the phage.

2.7.3 Fabrication of the monolayer M13 phage films

I created monolayer phage films through a soft-lithography technique and the phage self-templating assembly method. Initially, a 1-μm patterned PDMS (Slygard 184 silicone elastomer) stamp was crafted using a silicon dioxide wafer that had been patterned through photolithography. With the stamp, we generated octadecanethiol (ODT) layers on a gold-coated silicon substrate (300 nm gold layer on a 50 mm Cr adhesion layer) from Platypus Technologies in Madison, WI. Following the ODT patterning, I cleansed the substrate with ethanol and deionized water, and subsequently dried it using N₂ gas. Then, utilizing a 5.0 μg mL⁻¹ phage solution, I applied a monolayer of phage onto the ODT-patterned substrate through the self-templating assembly process. I

2.7.4 Phage quantification

The M13 phage concentration was determined using the following equation (6), as outlined in our previously published literature.⁴⁶¹

$$\frac{mg \ of \ phage}{mL} = \frac{(A_{269} - A_{320})}{3.84} \tag{6}$$

where A₂₆₉ is the UV absorbance at 269 nm, and A₃₂₀ is the UV absorbance at 320 nm.

2.7.5 Triboelectric characterization using Kelvin probe force microscopy

I explored the triboelectric properties of the phage using KPFM coupled with AFM on the MFP-3D AFM system from Asylum Research in Santa Barbara, CA. AC240TM-R3 AFM tips coated with Ti/Pt (Oxford Instruments) were employed, featuring a tip radius of approximately 28 nm and a force constant of around 2 N m⁻¹. The surface potential of the phage was measured using KPFM with a scan rate of 1.0 Hz, a scan size of 3 μ m \times 3 μ m, and a distance of 300 nm from the phage film surface. Triboelectric charges were generated by a single line of friction in contact mode, using an AFM tip with a force of 1.4 nN and a scan rate of 0.50 Hz. The work function of the phage, denoted as ϕ_{phage} , is defined as equation (7). ⁵⁴⁶⁻⁵⁴⁸

$$\phi_{phage} = \phi_{AFM \ tip} - eV_{CPD} \qquad (7)$$

where $\phi_{AFM\ tip}$ is the work function of the Ti/Pt-coated AFM tip, e is the electric charge, and

 V_{CPD} is the measured CPD. The triboelectric potential, indicating the net variations in surface potential with reference to the non-friction region, was obtained by measuring the surface potential of the rubbed phage. KPFM measurements were conducted under consistent conditions in a time-lapse manner post-friction. All experiments were carried out at atmospheric pressure at 20 °C and 40 % relative humidity.

2.7.6 Fabrication of the multilayer M13 phage films

I generated multilayer phage films using the phage self-templating assembly method. ⁴⁶² Phage films were produced on an ITO substrate (Sigma-Aldrich) using a phage solution with a concentration of 1.5 mg mL⁻¹ and a pulling speed of 20 μm min⁻¹.

2.7.7 Calculation of the energy levels of M13 phage

I performed the extended semiempirical tight-binding calculation (GFN2-xTB) to investigate the LUMO level of the pVIII coat protein of the M13 phage. I designed the modeling the pVIII protein by extracting from the molecular structure of the M13 phage obtained from the Protein Data Bank (PDB code: 1IFJ). I manipulated the genetically engineered part of the pVIII protein using the mutagenesis function of Pymol 2.4.0 software. I designed the molecular structure with the full amino acid sequences of the pVIII coat protein. The LUMO level of the protein model was calculated using GFN2-xTB with the Gaussian 16 package (Revision A. 03). After importing the protein model into GaussView 6.0.16 software, I set up the Optimization to a minimum and the Force constants to Default in the Job Type tab. Additionally, I selected Ground State, Zero charge, and Doublet spin in the Method tab. After the geometric optimization, the LUMO level of the protein model was calculated under 300 K.

2.7.8 Device fabrication and output characterization

I constructed Tribo-PhDs by utilizing a 4E-phage film and an ITO film. The ITO layer, measuring 5 cm × 5 cm with a PET substrate, was completely coated with a 5.0 mg mL⁻¹ solution of 4E-phage using a drop-casting method and allowed to air-dry for 2 days. To ensure a flat contact surface, the PET side of the electrode was affixed to acrylic plastics of the same dimensions. As an alternative contact material, a 5 cm × 5 cm ITO film from Sigma-Aldrich, attached with 1.5 mm thick acrylic plastics (TAP Plastics), was employed. The ITO side of the electric materials was wired, and the two electrodes were connected. To enhance durability under repeated compressive forces, the two triboelectric materials were combined with four springs. The Tribo-PhD was loaded onto a dynamic mechanical test system (Electroforce 3200, Bose, MN), and cyclic force was applied to establish contact and separation within the device. The gap distance between contact surfaces was set at 4 mm, the contact area was 25 cm², and

the applied compressive force amounted to 7.5~N. Output voltage was measured using an oscilloscope (Tektronix TDS 1001B) and Keithley 6514 System Electrometer, while output current was measured with a Low-Noise Current Preamplifier (Stanford Research SR570). All experiments were conducted at 20 °C and 40 % relative humidity.

CHAPTER 3: Piezoelectricity in M13 bacteriophage

3.1 Introduction

Electric responses originating from biological materials demonstrate intricate and complex reactions to diverse external stimuli, presenting a remarkable spectrum of manifestations. Recently, the exploration of harnessing this bioelectricity has gained considerable attention due to its potential to address key challenges in diverse applications, including biomedical devices, biosensors, and bioelectronic devices interfacing with living tissues and cells. 553-556 Biomaterials have emerged as particularly promising candidates for energy harvesting systems, given their unique properties that offer opportunities to develop biocompatible, sustainable, and versatile energy technologies. 22,557-559 For instance, materials like collagen, bone, spider silk, and plant proteins have been utilized to harvest energy from mechanical movements. 195,356,408,560-564 However, despite the increasing demand for biological energy harvesting systems, biomaterials generally demonstrate limited power output, efficiency, and structural complexity compared to conventional energy sources. 479,497,498 These limitations originate from the lack of precise molecular-level control over their structure and bioelectrical properties. 565-568 In contrast, conventional inorganic piezoelectric materials (e.g., PZT, BaTiO₃, ZnO, PMN-PT, pBNb₂O₆, and NiNbO₃) possess well-defined structures, forming a solid foundation for understanding the fundamental principles of the piezoelectric effect. 179,569-579 Consequently, they are widely employed in various piezoelectric applications due to their high piezoelectric coefficients, durability, and stability. 558,580-583 Extensive research has explored methods to enhance the piezoelectric properties of materials, including crystal structure modification through doping or texturing, stoichiometry optimization during crystal growth, and domain alignment through poling or mechanical/thermal treatments during the fabrication process. 165,167,285,584-592 However, applying conventional piezoelectric theories methodologies to understand piezoelectric structure-function relationships in biomaterials poses challenges. 368,369,520 Thus, there is a clear need for an appropriate model system to comprehend and optimize the piezoelectric properties of biomaterials at the molecular level.

In our prior works, we demonstrated the structurally advantageous features of the M13 phage, making the groundbreaking discovery of the piezoelectric effect in the M13 phage¹. This was achieved by constructing liquid crystalline phage matrices on top of electrodes, demonstrating that mechanical stimulation could generate an electric potential. Through genetic engineering, we also enhanced its energy harvesting capabilities. A466 More recently, we have confirmed that M13 phages exhibit triboelectricity and pyroelectricity. Despite significant progress in understanding phage-based electric performance, a molecular-level understanding of the intrinsic electrical properties of the M13 phage remains elusive. Consequently, unraveling the structure-function relationship of viral electricity and understanding how different modes of mechanical stimulation contribute to the conversion of

mechanical energy into bioelectricity remains a complex challenge.

In this chapter, I will elucidate a molecular-level exploration into the source of piezoelectricity in the M13 phage, utilizing a combination of MD simulations and the verification of piezoelectricity through SPM characterization of phage films. My investigation leverages the M13 phage as a programmable biomaterial, delving into its bio-piezoelectric properties and unraveling the structure-function relationship. Initially, I conducted MD simulations to calculate all possible piezoelectric tensors within the M13 phage, assessing its response to various mechanical stresses. Specifically, I constructed a model repeat unit consisting of ten α-helical pVIII coat proteins with varying numbers of negatively charged glutamate residues. I computed the contribution of the dipole moment resulting from surface charges and α-helical proteins. Additionally, through the application of different directional mechanical deformations, I calculated 18 modes of piezoelectric constants, demonstrating how the distribution of surface charges on the phage surface influences dipole moment and subsequent changes in the piezoelectric effect under different mechanical stresses. To experimentally verify these effects, I employed EFM and PFM, confirming observable piezoelectric effects in both individual phages and vertically oriented monolayered phage films. The results highlighted that genetic engineering of the negatively charged amino acids on the outer coat proteins significantly contributes to the enhancement of piezoelectricity. Notably, the phage engineered with four glutamate residues exhibited a surface charge density of 16.8 μC cm⁻² and effective piezoelectric coefficients of 14.5 and 4.31 pm V⁻¹ in the vertical (normal longitudinal d₃₃) and horizontal (normal longitudinal d₁₁) modes, respectively. I conducted additional measurements on the piezoelectric outputs of the phage films across various modes (such as vertical, horizontal, and shear). The outcomes revealed a stronger piezoelectric response in the vertical mode as opposed to shear and horizontal modes, attributed to its greater changes in dipole moment. This engineered phage, with its four-glutamate modification in vertical piezoelectric mode, demonstrated enhanced piezoelectric performance, generating 43.2 V and 208 nA, resulting in 4.2 µW cm⁻² under 8.6 N of mechanical stress. The integration of computational analysis and surface probe microscopy characterization in our study of the M13 phage unveils the molecular-level origin of piezoelectricity. This comprehensive approach significantly contributes to our understanding of how nature generates electric potential in biological systems in response to mechanical stimulation. Moreover, the ability to harness different piezoelectric modes of biomaterials can find applications in various fields, from energy harvesting to sensing technologies, making a critical contribution to materials science and biotechnology.

3.2 Piezoelectric structure of M13 phage

The M13 phage is covered by 2700 copies of pVIII proteins, organized in a pattern involving both five-fold rotational and two-fold screw symmetry that make its piezoelectric properties (Figure 3-1a and 3-1b). The pVIII protein is arranged at an angle of approximately

20 degrees relative to the long axis of the M13 phage (Figure 3-1c). ^{1,3,423} The WT-pVIII protein is composed of 50 amino acids with varying charges, where negatively charged amino acids are predominantly situated at the outward-facing N-terminal, while positively charged amino acids are concentrated at the inward-facing C-terminal. This configuration results in the creation of a surface charge dipole moment from the N-terminal to the C-terminal, indicated by the red arrow in Figure 3-1c. Concurrently, the pVIII protein's backbone forms an α-helical structure through hydrogen bonds, generating a core dipole moment in the opposite direction, as denoted by the blue arrow in Figure 3-1c. The overall dipole moment of the pVIII protein is established in the direction from the N-terminal to the C-terminal, arising from a combination of the surface charge and core dipole moments, as represented by the gray arrow in Figure 3-1c. Due to the 20-degree tilted angle between the coat protein and the long axis of the M13 phage, the collective dipole moments of these proteins culminate in a macroscopic polarization aligned with the long axis of the phage.^{3,4}

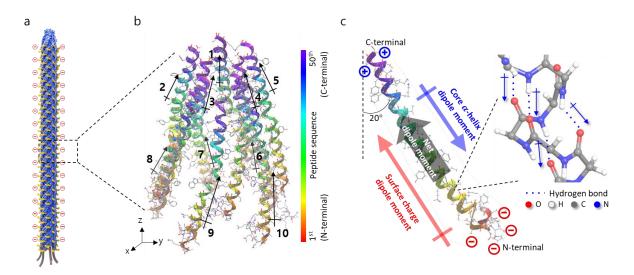


Figure 3-1. Schematic diagram of the M13 phage and its piezoelectric properties. (a-b) The M13 phage has a non-centrosymmetric structure due to its five-fold rotational and two-fold screw symmetry of pVIII proteins. (c) Schematic representation of a pVIII protein. The formation of the net dipole moment from the N-terminal to the C-terminal is ascribed to the surface charge dipole moment and core dipole moment, which arise from the surface charges and the α -helical protein structure, respectively.

3.3 Molecular mechanism of the piezoelectricity in M13 phage

3.3.1 Dipole moment of genetically engineered M13 phages

The M13 phage exhibits a directional piezoelectric effect in response to various mechanical stresses (Figure 3-2a-c). A piezoelectric tensor, depicted in Figure 3-3, serves as a

mathematical representation of the relationship between mechanical stress and electric polarization in piezoelectric materials. While conventional research has predominantly focused on inorganic and organic piezoelectric materials, biomaterials present unique challenges due to their departure from conventional crystallographic frameworks. 593-595 The symmetric structure of the M13 phage deviates from traditional classification (Figure 3-4). To address this knowledge gap, I conducted MD simulations to explore the piezoelectric tensor of the M13 phage and investigate changes in its dipole moment under mechanical stimulation. To calculate the dipole moment of the phage, I constructed a model repeat unit (RU) featuring ten α-helical pVIII coat proteins (Figure 3-5). This calculation was based on one RU of the phage. Our previous research demonstrated a linear correlation between one RU calculation and bulk bioelectric properties, such as pyroelectricity, in the M13 phage due to its repeating structures.³ Various phage models were created, introducing different numbers of negatively charged glutamates, ranging from one-glutamate (1E) to four glutamates (4E), to modulate the dipole strength. The net dipole moment of 1 RU of the WT-phage was determined to be 4.57 kD, with 8.27 kD majorly attributed to the surface charge dipole moment and -3.75 kD to the core dipole moment (Figure 3-5). The partial dipole moment produced by C_{α} of the 1 RU made a minimal contribution, accounting for approximately 3%. In the investigation of 1E- to 4E-phages, the surface charge dipole moment and core dipole moment ranged from 6.33 to 10.5 kD and from -3.61 to -3.96 kD, respectively. As the number of inserted glutamates increased, a corresponding rise in the net dipole moment of the engineered phages was observed, resulting in values of 2.80, 4.25, 5.76, and 6.52 kD for the 1E-, 2E-, 3E-, and 4E-phages, respectively. The introduction of charges into a protein influenced the center of positive and negative charges, impacting the overall charge distribution. 267,596,597 From 1E- to 4E-RU, the addition of glutamates led to an increase in the displacement of the center of positive and negative charges, constituting the dipole moment, from 1.45 to 2.05 Å (Figure 3-6 and Table 5). Consequently, computational results indicate a significant increase in the dipole moment with the introduction of charges to the side chain of the phage, while the contribution from the α -helical backbone remains minimal.

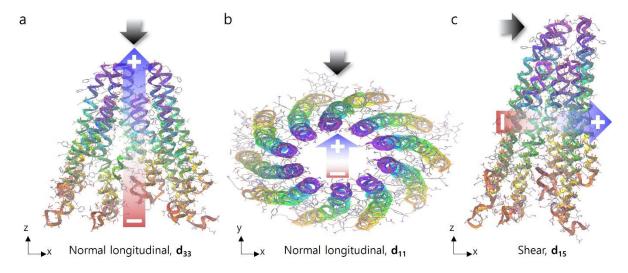


Figure 3-2. (a-c) The polarization of the phage reacts to diverse mechanical stresses, resulting in the manifestation of a directional piezoelectric effect.

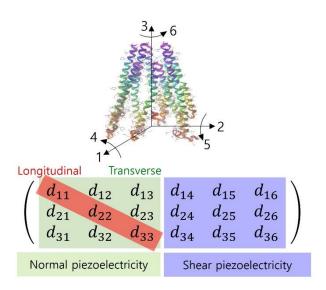


Figure 3-3. Schematic representation of a piezoelectric tensor.

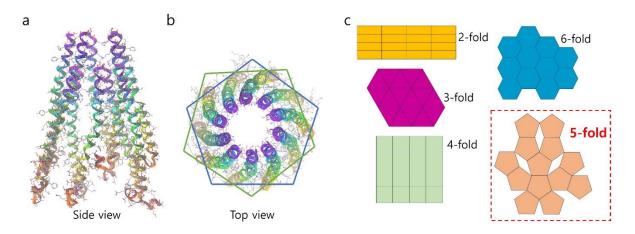


Figure 3-4. (a) and (b) The M13 phage structure with five-fold rotational and two-fold screw symmetry. (c) The structure of the M13 phage does not fit into the traditional crystallographic framework.

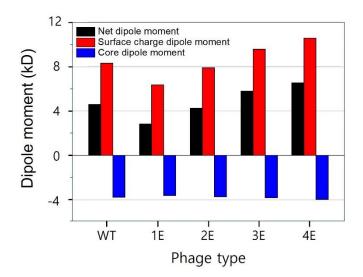


Figure 3-5. The calculated dipole moment of a 1 repeating unit (RU) of the M13 phage.

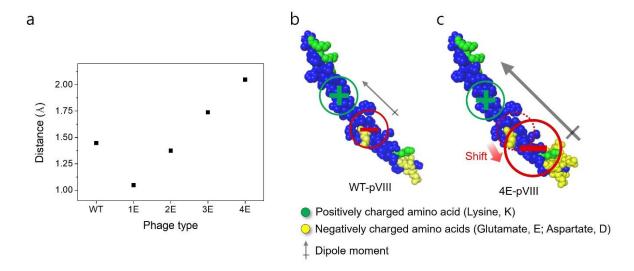


Figure 3-6. (a) The shift between the center of positive and negative charges within a pVIII protein. (b) and (c) The displacement of the charge center expands with the integration of negatively charged residues on the protein surface, leading to an elevation in the dipole moment.

| Phage type | Center of negative charge | | | Center of positive charge charge | | | Displacement |
|------------|---------------------------|---------|------|----------------------------------|---------|------|--------------|
| | X | у | Z | X | у | z | [Å] |
| WT-pVIII | -1.75 | -0.137 | 25.1 | -0.725 | -0.0437 | 26.1 | 1.44 |
| 1E-pVIII | -1.24 | -0.0657 | 25.9 | -0.557 | -0.0247 | 26.7 | 1.05 |
| 2E-pVIII | 1.59 | 0.122 | 25.1 | 0.638 | 0.0429 | 26.0 | 1.31 |
| 3E-pVIII | -1.98 | -0.222 | 24.2 | -0.722 | -0.0418 | 25.4 | 1.75 |
| 4E-pVIII | 2.19 | 0.271 | 23.8 | 0.710 | 0.0504 | 25.2 | 2.05 |

Table 5. The coordinates of the center of negative and positive charges.

3.3.2 Directional dependency of the dipole moment of M13 phage

I calculated the magnitude of the change in the dipole moment (Δ dipole moment) of one RU of the 4E-phage for different piezoelectric modes (e.g., normal longitudinal, normal transverse, and shear) (Figure 3-7a-c). To investigate the different modes of piezoelectric behavior of the phage, I created deformed phage structures for each piezoelectric mode (Figure 3-8). Because the dipole moment and strain exhibit a linear relationship, I demonstrated the Δ dipole moment of the phage across a range of strains from 0 to 0.20. To ensure the reliability of phage models in maintaining protein structures under mechanical stimulation (e.g., vertical (normal longitudinal d₃₃), horizontal (normal longitudinal d₁₁), and shear deformation), I

investigated atomic distances and dihedral angles at a strain of 0.20 (Figure 3-9 and 3-10). All atomic distances (e.g, C_{α} -C, N-C_{α}, and C-O) in a deformed pVIII protein are measured, exhibiting negligible changes during deformation (Figure 3-9). The dihedral angles (e.g., psi (ψ) , phi (ϕ) , and omega (ω)) in a deformed pVIII protein are investigated, presenting negligible changes during deformation (Figure 3-10a and 3-10b). Furthermore, I plotted Ramachandran plot for the deformed pVIII proteins. The measurements revealed the pVIII proteins maintain their protein structure upon deformation (Figure 3-10c-f). These results support the suitability of our deformed phage models for piezoelectric analysis. The Δdipole moment for the vertical mode (d₃₃) exhibited a significant increase upon strain, with a Δdipole moment of 529 D at a normal strain of 0.20 (Figure 3-7a and Table 3). In contrast, the Δdipole moment for the horizontal modes (d₁₁ and d₂₂) increased by 48.4 and 45.8 D at a strain of 0.20, indicating a minor change (Figure 3-7a and Table 4 and 5). The Δdipole moment in normal transverse piezoelectric modes (d₁₂, d₁₃, d₂₁, d₂₃, d₃₁, and d₃₂) increased with normal strain, ranging from 28.8 to 132 D at a normal strain of 0.20 (Figure 3-7b and Table 6-8). Figure 3-7c shows the Δ dipole moment in shear piezoelectric modes (d₁₄, d₁₅, d₁₆, d₂₄, d₂₅, d₂₆, d₃₄, d₃₅, and d₃₆). The Δ dipole moment for d₁₅, d₂₄, d₂₆, and d₁₆ modes increased with shear strain, ranging from 73.7 to 226 D at a shear strain of 0.20 (Figure 3-7c and Table 9-11). However, the Δdipole moment for the remaining shear piezoelectric modes (d₁₄, d₂₅, d₃₄, d₃₅, d₃₆) showed negligible change, ranging from 3.67 to 14.8 D at a shear strain of 0.20. Piezoelectric coefficients for various piezoelectric modes were calculated based on the dipole moment calculations. The d₃₃ mode exhibits the highest value among the normal longitudinal modes, ranging from 1.97 to 22.8 pC N⁻¹ (Figure 3-11a and Table 9). In contrast, the d₃₂ mode exhibited the highest value for normal transverse modes, ranging from 0.656 to 2.89 pC N⁻¹, while the d₁₅ piezoelectric mode had the highest value for shear modes, ranging from 0.156 to 15.5 pC N⁻¹ (Figure 3-11b, 3-11c and Table 12). This computational analysis suggests that the piezoelectric properties of the phage are tunable through molecular engineering of the charged structures and exhibit multiple different modes of piezoelectric behavior that are sensitive to strain.

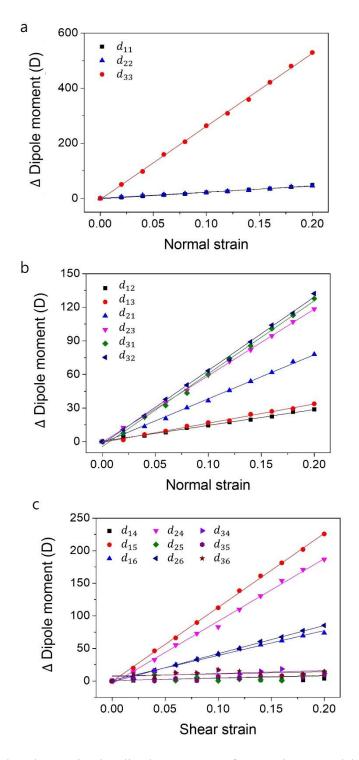


Figure 3-7. (a-c) The change in the dipole moment of 1 RU in normal longitudinal, normal transverse, and shear orientations.

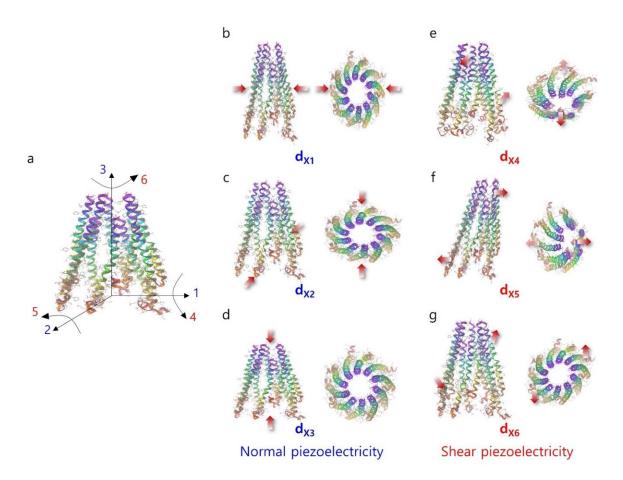


Figure 3-8. (a) The model of an unstrained 1 RU of the M13 phage. (b-d) The structural modifications in normal piezoelectric modes. (e-g) The structural adjustments in shear piezoelectric modes.

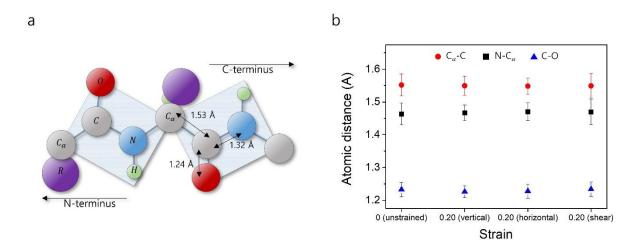


Figure 3-9. (a) Atomic configurations within the peptide bond. (b) Variations in atomic distance for the unstrained, vertical (normal longitudinal d₃₃), horizontal (normal longitudinal

 d_{11}), and shear d_{15} piezoelectric modes. The minimal changes in atomic distance suggest that the pVIII protein retains its structural integrity under mechanical stresses.

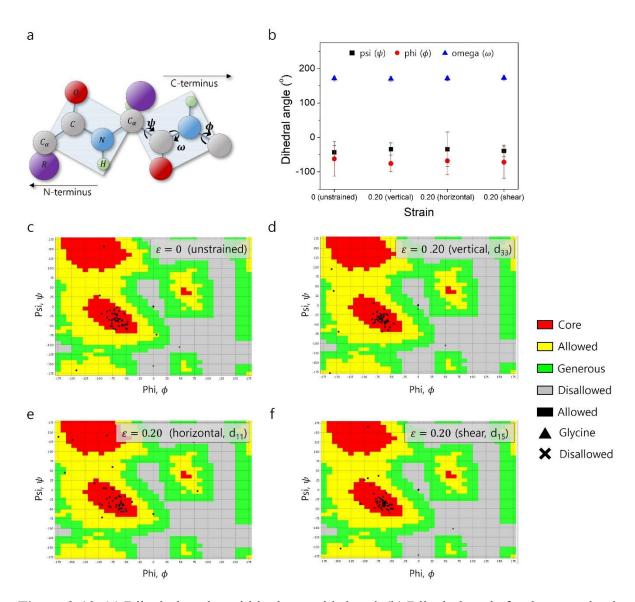


Figure 3-10. (a) Dihedral angles within the peptide bond. (b) Dihedral angle for the unstrained, vertical (normal longitudinal d_{33}), horizontal (normal longitudinal d_{11}), and shear d_{15} piezoelectric modes. The minimal changes in dihedral angle suggest that the pVIII protein retains its structural integrity under mechanical stresses.

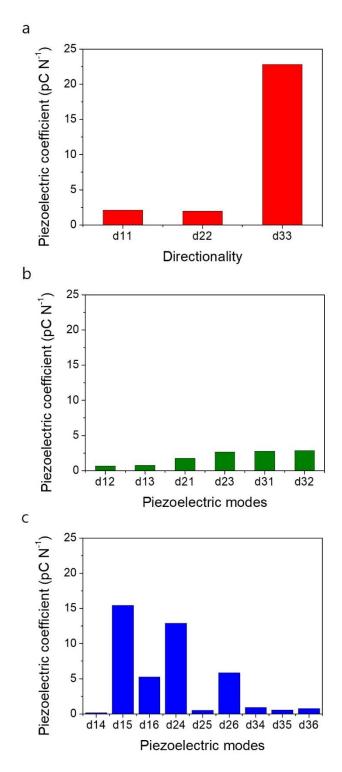


Figure 3-11. The calculated piezoelectric coefficients for different piezoelectric modes for (a) normal longitudinal, (b) normal transverse, and (c) shear piezoelectric modes.

| | Di | pole mome | ent | Ι | Dipole mome | ent | | | | | |
|--------|--------------|-----------|--------|--------|-------------|--------|----------------------|------------|------------|--|--|
| | (unstrained) | | | | (strained) | | Dipole moment change | | | | |
| Strain | | [D] | | | [D] | | | [D] | | | |
| Strain | 1-axis | 2-axis | 3-axis | 1-axis | 2-axis | 3-axis | 1-axis | 2-axis | 3-axis | | |
| | 1-ax18 2-ax | | Junis | 1 uxis | L dais | J dais | (d_{13}) | (d_{23}) | (d_{33}) | | |
| 0.02 | | | | 178 | 441 | 5938 | 1.55 | 12.6 | -50.4 | | |
| 0.04 | | | | 183 | 450 | 5891 | 6.28 | 21.9 | -97.8 | | |
| 0.06 | | | | 186 | 463 | 5829 | 9.35 | 34.6 | -159 | | |
| 0.08 | | | | 190 | 474 | 5783 | 13.8 | 45.5 | -206 | | |
| 0.10 | 176 | 420 | 5000 | 193 | 488 | 5725 | 17.0 | 59.4 | -263 | | |
| 0.12 | 176 | 428 | 5989 | 195 | 500 | 5680 | 18.7 | 71.7 | -309 | | |
| 0.14 | | | | 201 | 510 | 5630 | 24.4 | 81.9 | -359 | | |
| 0.16 | | | | 203 | 523 | 5568 | 27.1 | 94.5 | -421 | | |
| 0.18 | | | | 206 | 536 | 5509 | 29.5 | 107 | -480 | | |
| 0.20 | | | | 210 | 547 | 5460 | 33.7 | 118 | -529 | | |

Table 6. Dipole moment calculation for normal piezoelectric mode under mechanical stimulation in 3-axis direction.

| - | Di | pole mome | ent | Ι | Dipole mom | ent | | | | |
|--------|--------|---|------|--------|------------|------------|------------|----------------------|--------|--|
| | (| unstrained |) | | (strained) | | | Dipole moment change | | |
| Strain | [D] | | | [D] | | | [D] | | | |
| | 1 | axis 2-axis 3-axis 1-axis 2-axis 3-axis | | 1 | 2 | 2 | 1-axis | 2-axis | 3-axis | |
| | 1-axis | | | 3-axis | (d_{11}) | (d_{21}) | (d_{31}) | | | |
| 0.02 | | | | 173 | 437 | 5996 | -3.93 | 8.53 | 7.60 | |
| 0.04 | | | | 168 | 442 | 6011 | -8.22 | 13.5 | 22.1 | |
| 0.06 | | | | 165 | 449 | 6021 | -11.9 | 20.6 | 33.2 | |
| 0.08 | | | | 160 | 459 | 6032 | -16.1 | 30.3 | 43.4 | |
| 0.10 | 176 | 420 | 5000 | 155 | 465 | 6049 | -21.5 | 36.6 | 60.0 | |
| 0.12 | 176 | 428 | 5989 | 151 | 474 | 6063 | -25.2 | 45.8 | 74.3 | |
| 0.14 | | | | 146 | 482 | 6074 | -30.9 | 53.9 | 85.5 | |
| 0.16 | | | | 142 | 490 | 6090 | -34.9 | 61.8 | 101 | |
| 0.18 | | | | 135 | 500 | 6102 | -41.8 | 71.4 | 113 | |
| 0.20 | | | | 128 | 506 | 6117 | -48.4 | 77.9 | 128 | |

Table 7. Dipole moment calculation for normal piezoelectric mode under mechanical stimulation in 1-axis direction.

| - | Di | pole mome | ent | Ι | Dipole moment | | | | | | |
|--------|--------|--------------|--------|---------------|---------------|--------|------------|----------------------|------------|------|--|
| | (| (unstrained) | | | (strained) | | | Dipole moment change | | | |
| Strain | [D] | | | | [D] | | | [D] | | | |
| | 1 avia | 2 avia | 2 avia | 1-axis | 2 avia | 2 ovis | 1-axis | 2-axis | 3-axis | | |
| | 1-axis | 2-axis | 3-axis | 1-axis 2-axis | 2-axis | 3-axis | (d_{12}) | (d_{22}) | (d_{32}) | | |
| 0.02 | | | | 181 | 423 | 5999 | 4.27 | -5.78 | 10.6 | | |
| 0.04 | | | | 182 | 417 | 6012 | 5.47 | -11.2 | 23.1 | | |
| 0.06 | | | | | 185 | 415 | 6027 | 8.31 | -13.9 | 37.7 | |
| 0.08 | | | | 189 | 411 | 6039 | 12.1 | -17.5 | 50.4 | | |
| 0.10 | 176 | 428 | 5989 | 191 | 407 | 6052 | 14.4 | -21.5 | 63.2 | | |
| 0.12 | 170 | 420 | 3909 | 194 | 402 | 6062 | 17.3 | -26.2 | 72.9 | | |
| 0.14 | | | | 196 | 399 | 6078 | 19.5 | -29.9 | 89.0 | | |
| 0.16 | | | | 199 | 393 | 6093 | 22.5 | -35.3 | 104 | | |
| 0.18 | | | | 203 | 386 | 6014 | 26.7 | -42.1 | 115 | | |
| 0.20 | | | | 205 | 383 | 6121 | 28.8 | -45.8 | 132 | | |

Table 8. Dipole moment calculation for normal piezoelectric mode under mechanical stimulation in 2-axis direction.

| | Di | pole mome | ent | Ι | Dipole mome | | | | | |
|--------|--------|------------|--------|--------|-------------|--------|----------------------|------------|------------|--|
| | (| unstrained |) | | (strained) | | Dipole moment change | | | |
| Strain | [D] | | | [D] | | [D] | | | | |
| | 1-axis | 2-axis | 3-axis | 1-axis | 2-axis | 3-axis | 1-axis | 2-axis | 3-axis | |
| | | | | | | | (d_{14}) | (d_{24}) | (d_{34}) | |
| 0.02 | | | | 177 | 442 | 5992 | 0.331 | 14.0 | 2.56 | |
| 0.04 | | | | 174 | 461 | 6005 | 2.20 | 32.5 | 15.7 | |
| 0.06 | | | | 180 | 484 | 6002 | 3.20 | 55.2 | 12.6 | |
| 0.08 | 176 | 428 | 5989 | 176 | 501 | 6002 | 0.352 | 72.9 | 12.3 | |
| 0.10 | | | | 172 | 511 | 6006 | 4.05 | 82.9 | 16.7 | |
| 0.12 | | | | 181 | 538 | 5999 | 4.13 | 110 | 9.89 | |
| 0.14 | | | | 175 | 559 | 6004 | 1.17 | 130 | 14.9 | |

| 0.16 | 178 | 582 | 6008 | 1.06 | 154 | 18.5 |
|------|-----|-----|------|-------|-----|------|
| 0.18 | 177 | 599 | 6000 | 0.973 | 171 | 10.5 |
| 0.20 | 173 | 615 | 6001 | 3.67 | 187 | 12.0 |

Table 9. Dipole moment calculation for shear piezoelectric mode under mechanical stimulation in 4-axis direction.

| | Di | pole mome | ent | Ι | Dipole mome | ent | | | | |
|--------|--------------|----------------------|-----------|----------------------|-------------|-----------|------------|----------------------|------------|--|
| | (unstrained) | | | | (strained) | | | Dipole moment change | | |
| Strain | [D] | | | [D] | | | [D] | | | |
| | 1-axis | 1-axis 2-axis 3-axis | | 1-axis 2-axis 3-axis | | | 1-axis | 2-axis | 3-axis | |
| | 1-axis 2 | | 5 | | | 5 | (d_{15}) | (d_{25}) | (d_{35}) | |
| 0.02 | | | | 196 | 427 | 5993 | 19.8 | 1.92 | 3.97 | |
| 0.04 | | | | 223 | 438 | 5989 | 46.2 | 9.40 | 0.130 | |
| 0.06 | | | | 243 | 428 | 5993 | 66.2 | 0.596 | 3.94 | |
| 0.08 | | | | 266 | 423 | 5990 | 89.6 | 5.32 | 0.810 | |
| 0.10 | 176 | 420 | 5000 | 289 | 429 | 5996 | 112 | 0.355 | 6.97 | |
| 0.12 | 176 | 428 | 5989 | 315 | 431 | 5993 | 139 | 2.72 | 4.57 | |
| 0.14 | | | | 338 | 430 | 5996 | 161 | 1.79 | 7.37 | |
| 0.16 | | | | 358 | 428 | 5997 | 181 | 0.696 | 8.01 | |
| 0.18 | | | | 378 | 438 | 5995 | 202 | 9.98 | 5.92 | |
| 0.20 | | | | 402 | 443 | 5998 | 226 | 14.3 | 9.30 | |

Table 10. Dipole moment calculation for shear piezoelectric mode under mechanical stimulation in 5-axis direction.

| | Dipole moment (unstrained) | | | | Dipole mome (strained) | | Dipole | e moment c | hange |
|--------|----------------------------|--------|--------|--------|---------------------------|--------|------------|------------|------------|
| Strain | [D] | | | [D] | | | [D] | | |
| | 1-axis | 2-axis | 3-axis | 1-axis | 2-axis | 3-axis | 1-axis | 2-axis | 3-axis |
| | | | | | | | (d_{16}) | (d_{26}) | (d_{36}) |
| 0.02 | | | | 170 | 435 | 6001 | -6.42 | 6.66 | 11.2 |
| 0.04 | 176 | 428 | 5989 | 161 | 445 | 5997 | -15.1 | 16.5 | 7.42 |
| 0.06 | | | | 152 | 453 | 6002 | -24.6 | 24.5 | 12.4 |

| 0.08 | 143 | 461 | 6001 | -33.5 | 32.7 | 11.9 |
|------|-----|-----|------|-------|------|------|
| 0.10 | 135 | 469 | 6007 | -41.9 | 40.7 | 17.7 |
| 0.12 | 126 | 478 | 6004 | -50.0 | 49.6 | 15.1 |
| 0.14 | 121 | 489 | 6001 | -55.6 | 60.7 | 12.1 |
| 0.16 | 115 | 496 | 5996 | -61.8 | 67.6 | 6.51 |
| 0.18 | 107 | 506 | 6000 | -69.5 | 77.2 | 10.6 |
| 0.20 | 103 | 514 | 6004 | -73.7 | 85.2 | 14.8 |
| | | | | | | |

Table 11. Dipole moment calculation for shear piezoelectric mode under mechanical stimulation in 6-axis direction.

| Piezoelectric | Piezoelectric mode | | Dipole moment of 1 M13 phage [C m] | Unit cell volume [m³] | Stress [N m ⁻²] | Piezoelectric coefficient [pC N ⁻¹] |
|----------------|--------------------|-------|---|------------------------|--------------------------------|---|
| Normal | d ₁₁ | -48.4 | -4.36×10 ⁻²⁶ | | | -2.09 |
| longitudinal | d_{22} | -45.8 | -4.12×10 ⁻²⁶ | | | -1.97 |
| loligitudiliai | d_{33} | -529 | -4.77×10 ⁻²⁵ | | | -22.8 |
| | d_{21} | 40.3 | 3.63×10 ⁻²⁶ | | | 1.74 |
| | d_{31} | 64.1 | 5.78×10 ⁻²⁶ | | | 2.76 |
| Normal | d_{12} | 15.2 | 1.37×10 ⁻²⁶ | | | 0.656 |
| transverse | d_{32} | 67.0 | 6.04×10^{-26} | | | 2.89 |
| | d_{13} | 17.4 | 1.57×10 ⁻²⁶ | | | 0.750 |
| | d_{23} | 62.1 | 5.59×10 ⁻²⁶ | 4.84×10 ⁻²³ | 4.22×108 | 2.67 |
| | d_{14} | 3.61 | 3.25×10 ⁻²⁷ | 4.84×10 ²³ | 4.32×10 ⁸ | 0.156 |
| | d_{15} | 359 | 3.23×10 ⁻²⁵ | | | 15.5 |
| | $d_{16} \\$ | -122 | -1.10×10 ⁻²⁵ | | | -5.24 |
| Shear | $d_{24} \\$ | 299 | 2.69×10 ⁻²⁵ | | | 12.9 |
| Sileai | d_{25} | 11.9 | 1.07×10^{-26} | | | 0.513 |
| | d_{26} | 135 | 1.22×10 ⁻²⁵ | | | 5.83 |
| | $d_{34} \\$ | 21.4 | 1.93×10 ⁻²⁶ | | | 0.923 |
| | d_{35} | 13.3 | 1.20×10^{-26} | | | 0.574 |
| | d_{36} | 17.9 | 1.62×10^{-26} | | | 0.773 |

Table 12. Calculation of piezoelectric coefficient of the M13 phage in various piezoelectric modes.

3.4 Surface charge to piezoelectric response studies using scanning probe microscopy

3.4.1 Dielectric constant characterization

To verify the outcomes of the MD simulations, I used SPM techniques to characterize the piezoelectric properties of the phage. Initially, I created monolayer phage films on gold substrates and evaluated their electric potential using EFM.² When a surface probe tip encounters the inherently charged phage, a Coulomb force arises between the tip and the phage (Figure 3-12). Consequently, when a DC voltage is applied between the tip and the sample, deflection occurs in the cantilever due to capacitance contributions.^{598,599} The local dielectric constant of the monolayer phage film can be calculated using the theoretical capacitive model, and the formula can be demonstrated through SPM and finite element simulations.⁶⁰⁰ The total energy of the system, E_T , can be expressed by equation (8).

$$E_T = E_K + E_{vdw} - E_C \quad (8)$$

where E_K is the recovering elastic energy, E_{vdw} is the remaining energy contributed by Van der Waals force, and E_C is the electrostatic probe-sample energy. I made approximations assuming that Van der Waals forces are negligible due to the long distance between the AFM tip and the sample.

$$E_T = \frac{1}{2}k(z_0 - z)^2 - \frac{1}{2}C_T V^2$$
 (9)

where k is the spring constant of the cantilever, z is the AFM tip-sample distance, C_T is the total capacitance, and V is the applied bias. The minimum energy with respect to z can be calculated.

$$\frac{\partial E_T}{\partial z} = -k(z_0 - z) - \frac{1}{2} \frac{\partial C_T}{\partial z} V^2 = 0 \tag{10}$$

where

$$\frac{\partial c_T}{\partial z} \approx -F - f(z) = \frac{\partial (c_l + c_c)}{\partial z} - f(z) \tag{11}$$

and

$$\frac{\partial c_{apex}}{\partial z} = -f(z) = -\frac{2\pi\varepsilon_0 R\tilde{R}}{(z + \tilde{R} + \frac{h}{\varepsilon_w})(z + \frac{h}{\varepsilon_w})}$$
(12)

with $\tilde{R} = R(1 - \sin \theta)$ where R is the tip radius, h is the thickness of the capacitive layer, ε_{θ} is the vacuum permittivity, C_l is the cantilever capacitance and C_c is the cone capacitance. By substituting equation (11) and (12) into equation (10), we obtain the following equation (13) for the local dielectric constant, ε_r , as a function of the cantilever deflection, D.

$$\varepsilon_r(D) = 2h \left\{ -2z - \tilde{R} + \left([2z + \tilde{R}]^2 - 4z(z + \tilde{r}) + \frac{4(V_{tip} - V_{sp})^2 \pi \varepsilon_0 R\tilde{R}}{k(D - D_0)} \right)^{\frac{1}{2}} \right\}^{-1}$$
(13)

where h is the diameter of the phage, z is the AFM tip-phage distance, V_{tip} is the applied voltage, and V_{sp} is the surface potential of the phage film. The calculated dielectric constant of WT- and engineered phages was approximately 3.95 ± 0.15 (4 measurements were performed for each type of phage; total 20 measurements), indicating a negligible difference resulting from genetic modification (Figure 3-13).

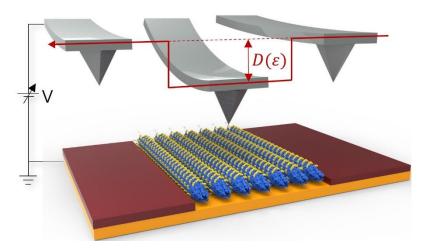


Figure 3-12. Diagram illustrating the setup of the EFM system used for dielectric constant characterization.

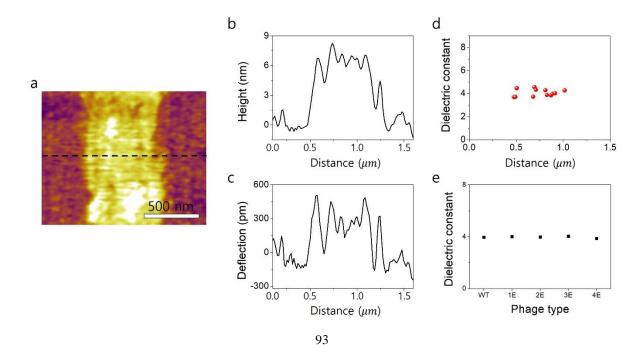


Figure 3-13. (a) EFM image of the horizontally aligned WT-phage film. (b-c) Profiles indicating height and deflection along the dashed line in (a) for the horizontally aligned WT-phage film. (d) Dielectric constant of the WT-phage calculated from (b) and (c). (e) Dielectric constants computed for WT- and engineered phages.

3.4.2 Surface charge density characterization

I prepared individual phage samples on gold substrates and evaluated their electric potential using EFM (Figure 3-14). The tip measured the force gradient in the vertical direction, and consequently, the shift in frequency (Δf) relative to the resonant frequency of the cantilever (f_0) was monitored. The surface charge density was determined based on the Coulomb force between the AFM tip and the sample. When the AFM tip interacted with the charged phage, it recorded the force gradient in the vertical direction ($F'(z) = \partial F/\partial z$), resulting in a frequency shift (Δf). This allowed us to obtain the frequency shift relative to the resonant frequency of the cantilever (f_0).

$$\frac{\Delta f}{f_0} = -\frac{\partial F/\partial z}{2k} \qquad (14)$$

To calculate the surface charge density of the phage, a parallel plate model was employed.^{601,602} The Coulomb force driven by the charged phage during the EFM measurement was given by equation (15).

$$F(z) = \frac{A}{(z+t/\varepsilon)^2} \times \left(-\frac{t^2 \sigma^2}{2\varepsilon_0 \varepsilon^2} + \frac{2tV_{tip} \sigma}{\varepsilon} + \frac{\varepsilon_0 V_{tip}^2}{2} \right)$$
(15)

where A is the surface area of the charged region, z is the AFM tip-sample distance, t is the thickness of the capacitive layer, and ε is the dielectric constant. By combining equation (14) and (15), the formula for the $\Delta f(\sigma)$ is obtained as equation (16).

$$\Delta f = \frac{f_0 A}{k(z + t/\varepsilon)^3} \times \left[-\frac{t^2 \sigma^2}{2\varepsilon_0 \varepsilon^2} + \frac{2t V_{tip} \sigma}{\varepsilon} + \frac{\varepsilon_0 V_{tip}^2}{2} \right]$$
 (16)

At a resonant frequency of 70.938 kHz, the oscillation frequency decreased upon the AFM tip encountering the phage. In the case of the 4E-phage, the phage background displayed a frequency of -153 Hz, indicating an average frequency difference of 6.80 Hz between the phage and background area (Figure 3-15a and 3-15b). Consequently, I observed that the actual frequency of the 4E-phage with respect to the free-air resonant frequency was -146 Hz. The frequency shifts of WT-, 1E-, 2E-, and 3E-phages were observed as -93.7, -68.3, -92.1, and -104 Hz, respectively (Figure 3-15a and 3-15b and Table 13). Subsequently, I employed an electrostatic analysis of the EFM system, using a parallel plate model to calculate surface charge density. The calculated surface charge densities were determined to be 15.1, 14.2, 15.0, 15.4, and 16.7 μC cm⁻² for WT-, 1E-, 2E-, 3E-, and 4E-phages, respectively (Figure 3-16 and Table 14). The surface charge properties of the phages were further elucidated using gel

electrophoresis. Figure 3-17 illustrates that both the WT-phage and engineered phages migrated towards the anode due to their negatively charged surfaces. It was observed that 1E- and 2E-phages migrated less towards the anode, indicating lower surface charge than the WT-phage. Conversely, 3E- and 4E-phages migrated towards the anode more than the WT-phage due to their abundant surface charge. Additionally, the surface charge properties of the phages were characterized using the isoelectric point, revealing that phages with more negative charges on their surfaces exhibited stronger surface charge characteristics, aligning with the consistent trend observed in the EFM analysis (Figure 3-18). To further confirm the surface charge characteristics of the phages, zeta potential measurements were employed. Figure 3-19 indicates that the zeta potential of the WT-phage was -31.9 mV, confirming its negatively charged nature. The phages with different numbers of glutamate insertions (1E-4E) exhibited sequentially different zeta potentials of -17.1, -26.6, -35.3, and -45.7 mV, respectively. These results affirm that the structural modification of the pVIII protein with varying amounts of charged residues can indeed control the surface charge properties of the phage.

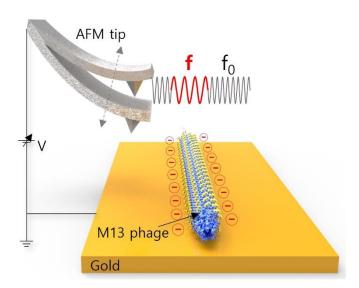


Figure 3-14. Schematic of the EFM system used for surface charge density characterization.

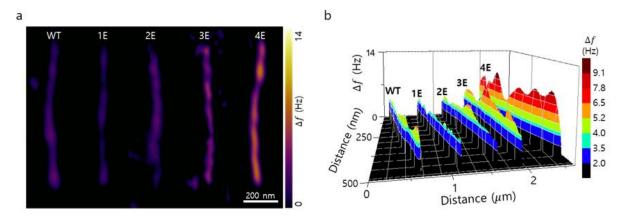


Figure 3-15. (a) The frequency images of WT- and engineered phages. (b) Frequency profiles of the WT- and engineered phages from (a).

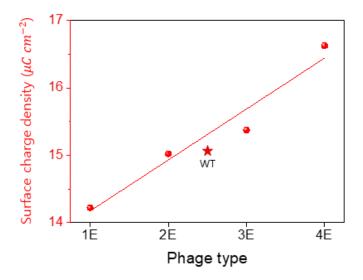


Figure 3-16. Calculated surface charge densities of the WT- and engineered-phages. Error bars indicate one standard deviation.

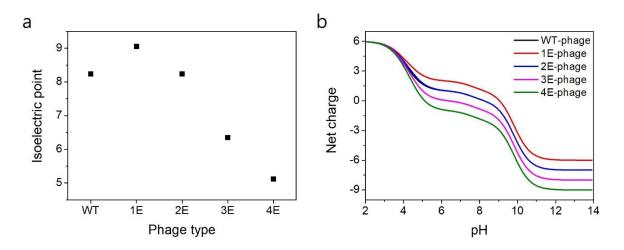


Figure 3-17. Isoelectric point calculations for WT- and engineered-phages.

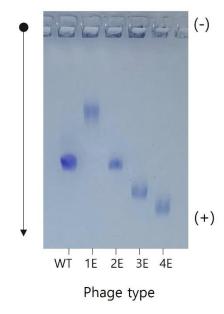


Figure 3-18. Gel electrophoresis measurements for WT- and engineered-phages.

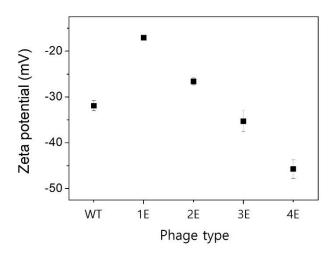


Figure 3-19. Zeta potential measurements for WT- and engineered-phages.

| Phage type | $\Delta f_{phage-droplet}$ [Hz] | fo [Hz] | $\Delta f_{droplet-f_0}$ [Hz] | Actual Δf $(\Delta f_{phage-f_0})$ [Hz] |
|------------|---------------------------------|------------|-------------------------------|---|
| WT | 4.04 | | -97.7 | -93.7 |
| 1E | 3.08 | | -71.4 | -68.3 |
| 2E | 3.90 | 70938 | -96.0 | -92.1 |
| 3E | 5.06 | | -109 | -104 |
| 4E | 6.80 | | -153 | -146 |

 Table 13. Calculation of the frequency shifts of the M13 phage.

| Phage type | Actual Δf $(\Delta f_{phage-f_0})$ $[Hz]$ | <i>A</i> [m ⁻²] | k [N m ⁻¹] | z [nm] | t [nm] | ε | V_{tip} [V] | Surface charge density [µC cm ⁻²] |
|---------------|---|-----------------------------|---------------------------|-----------|-----------|------|---------------|---|
| WT | -93.7 | | | | | | | 15.1 |
| 1E | -68.3 | | | | | | | 14.2 |
| 2E | -92.1 | 5.81×10^{-15} | 2.43 | 118 | 6.60 | 3.95 | 5.00 | 15.0 |
| 3E | -104 | | | | | | | 15.4 |
| 4E | -146 | | | | | | | 16.7 |

Table 14. Calculation of the surface charge density of the M13 phage.

3.5 Vertical and horizontal piezoelectric output characterization

I carried out PFM to investigate how the surface charge modifications of the phage contribute to its piezoelectric behavior, examining both vertical and horizontal piezoelectric modes. Employing pIII tail-engineered phage with 6 histidine (6H), I fabricated vertically standing phage films using the capillary force-driven self-assembly method, introducing a varying number of glutamates (ranging from 1E to 4E) (Table 15).^{3,4} This approach ensures a unidirectional arrangement of phage polarization, facilitating the measurement of the vertical (normal longitudinal d₃₃) piezoelectric mode. By applying different voltages ranging from 0 to 7 V between the PFM tip and substrate, I monitored the forces generated based on the phage type and their mechanical responses.^{1,4} In the vertical mode (d₃₃) of piezoelectric characterization, the effective piezoelectric coefficients were measured to be 12.2, 11.6, 12.2, 12.9, and 15.4 pm V⁻¹ for WT-, 1E-, 2E-, 3E-, and 4E-phage films, respectively (Figure 3-20). This outcome suggests that phages with higher surface charges form a larger dipole moment, indicating stronger piezoelectricity. Additionally, I fabricated horizontally aligned phage films to measure the horizontal piezoelectric mode (d_{11} and d_{22} modes are randomly mixed). Given that the piezoelectric response of horizontally aligned phage film is established to be independent of thickness at 100 nm or beyond¹, I carried out the measurements within the thickness range of 300 nm. The resulting effective piezoelectric coefficient in the horizontal mode (d₁₁) measured to be 3.49, 3.32, 3.52, 3.96, and 4.31 for WT-, 1E-, 2E-, 3E-, and 4Ephage films, respectively (Figure 3-21). The PFM measurements were calibrated using a periodically-poled lithium niobate (PPLN).

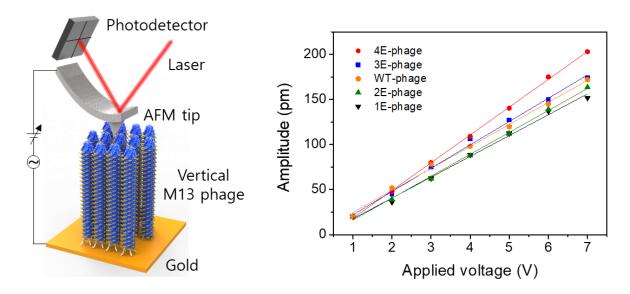


Figure 3-20. Comparison of PFM amplitude versus applied voltage for WT- and engineered phages along the normal longitudinal d_{33} piezoelectric mode.

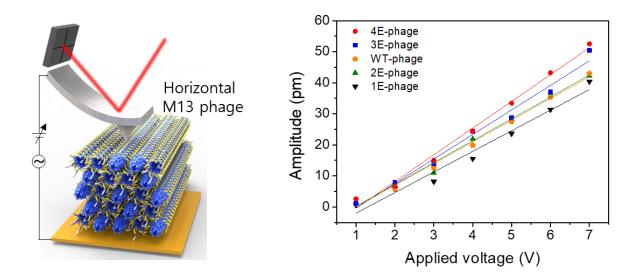


Figure 3-21. Comparison of PFM amplitude versus applied voltage for WT- and 4E-phages along the normal longitudinal d_{11} piezoelectric mode.

| Phage | Primary structure of pVIII proteins* |
|-------|--|
| type | Timaly structure of pviii proteins |
| WT | AEGDDPAKAAFNSLQASATEYIGYAWAMVVVIVGATIGIKLFKKFTSKAS |
| 1E | A <u>EG</u> DPAKAAFNSLQASATEYIGYAWAMVVVIVGATIGIKLFKKFTSKAS |
| 2E | $A\underline{\mathit{EEG}}DPAKAAFNSLQASATEYIGYAWAMVVVIVGATIGIKLFKKFTSKAS$ |
| 3E | $A\underline{\mathit{EEEG}} DPAKAAFNSLQASATEYIGYAWAMVVVIVGATIGIKLFKKFTSKAS$ |
| 4E | ${\bf A}\underline{\it EEEE} {\bf DPAKAAFNSLQASATEYIGYAWAMVVVIVGATIGIKLFKKFTSKAS}$ |
| Phage | Primary structure of pIII proteins* |
| type | Timary structure of pirit proteins |
| All | <u>HHHHHH</u> GGGSAETVESCLAKSHT |

Table 15. Primary structures for pVIII and pIII proteins^{1,3}.

3.6 Shear piezoelectric output characterization

I studied the shear piezoelectric properties of the M13 phage using vertically aligned monolayered 4E-phage films. By varying the magnitude and direction of forces using PDMS

^{*}The primary structure of the pVIII and pIII proteins of the M13 phage and the insert is *underlined and italicized*.

molds tilted at angles of $\theta = 15, 30, 45,$ and 60° (Figure 3-22a-c), I measured the generation of piezoelectric potential. At a 0° tilted angle, the vertical (d₃₃) piezoelectric output was measured, as the forces in this mode are purely vertical. Increasing the tilt angles up to 60° resulted in piezoelectric outputs that combined vertical and shear contributions (Figure 3-23 and 3-24). The measured outputs initially increased linearly with force up to 3 N, after which they exhibited a nonlinear increase due to the nonlinear elasticity and dipole moment change of the phage film, consistent with my previous findings. The shear piezoelectric output, calculated by subtracting the vertical contribution, exhibited a trend towards a specific force-dependent shear piezoelectricity. In this characterization system, the shear piezoelectric output was found to be negative, which is attributed to the opposite signs of the piezoelectric coefficients of the vertical and shear modes as determined by MD simulations. The mechanical-to-electrical conversion values for the vertical (d_{33}) and shear (d_{34}) modes of phage piezoelectricity at low forces (< 3 N) were 8.5 V N⁻¹ (38 nA N⁻¹) and 2.1 V N⁻¹ (9.7 nA N⁻¹), respectively. To compare with the horizontal mode (d11), I also fabricated horizontally aligned phage films and measured their piezoelectric outputs, which yielded a mechanical-to-electrical conversion value of 0.066 V N ¹ (2.3 nA N⁻¹) (Figure 3-23c, 3-24c, and 3-25).

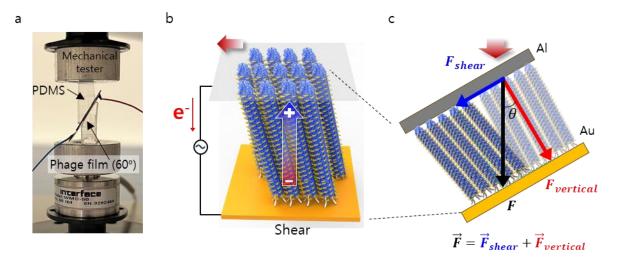


Figure 3-22. Schematic representation of Piezo-PhD in shear orientation.

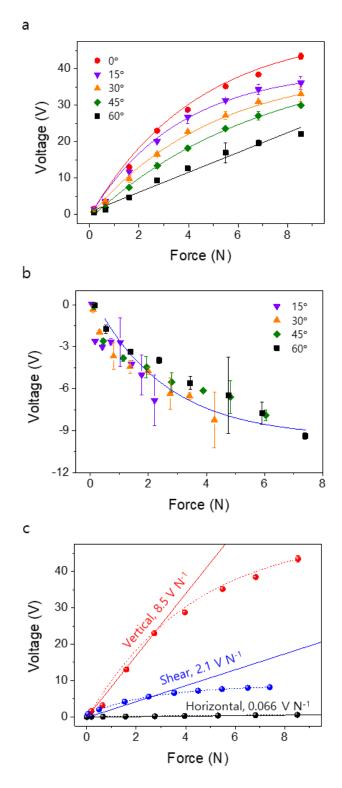


Figure 3-23. Shear output voltage measurements of Piezo-PhDs. (a) Shear output voltage of Piezo-PhDs at different tilted angles. (b) Calculated shear output voltage from (a). (c) Comparison of piezoelectric output voltage among vertical, shear, and horizontal modes.

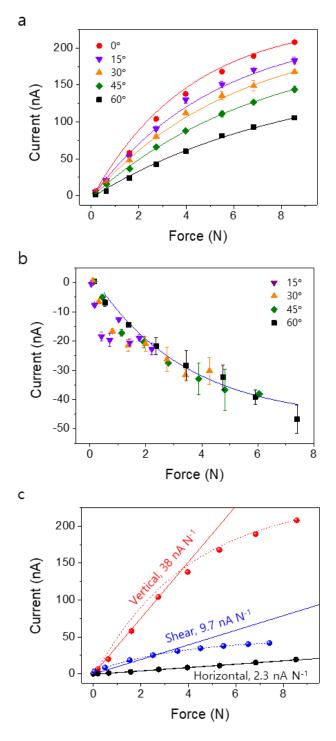


Figure 3-24. Shear output current measurements of Piezo-PhDs. (a) Shear output current of Piezo-PhDs at different tilted angles. (b) Calculated shear output current from (a). (c) Comparison of piezoelectric output current among vertical, shear, and horizontal modes.

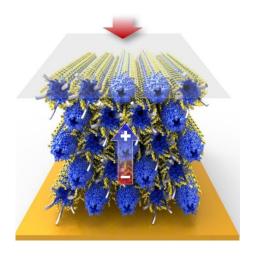


Figure 3-25. Schematic representation of Piezo-PhD in horizontal orientation.

3.7 Characterization of phage-based piezoelectric devices

I produced energy harvesting devices based on phages and assessed their piezoelectric performance using vertically standing 4E-Piezo-PhDs (Figure 3-26a-c). I measured the output voltage and current of the Piezo-PhDs under periodic mechanical force applications (Figure 3-27). With the application of 8.6 N of force, I observed an output voltage of 43.2 V and an output current of 208 nA (Figure 3-28). When the probes were connected in reverse, resulting in the reversal of the polarities of the voltage and current (Figure 3-29), it indicated that the output signal is a consequence of the piezoelectric effect of the Piezo-PhD. Through impedance-dependent piezoelectric output measurements across various electrical resistors ranging from 120 to 1 Gohm, the Piezo-PhD demonstrated a maximum power density of 4.6 μ W cm⁻² at 50 Mohm (Figure 3-30). Rectifying the alternating current (AC) generated from the phage-based piezoelectric device into a direct current (DC) output allowed us to charge a 1 μ F capacitor to 2.5 V within 60 s (Figure 3-31). The Piezo-PhD generated sufficient electrical energy to illuminate 22 LEDs upon mechanical stimulation, surpassing phage-based Piezo-PhDs published to date (Figure 3-32).

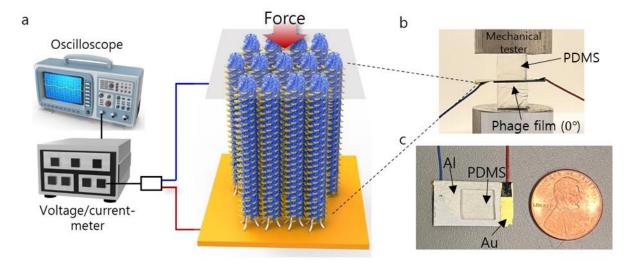


Figure 3-26. (a) and (b) Schematic of piezoelectric output characterization measurement setup. (c) Photograph of a Piezo-PhD.

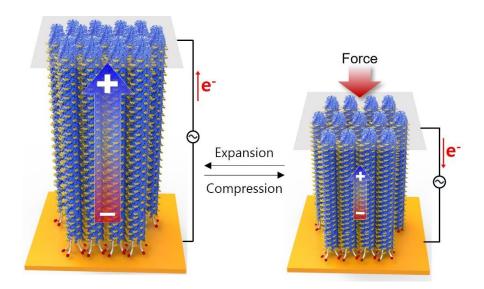


Figure 3-27. Working principle of a Piezo-PhD. Upon applying mechanical force to the piezoelectric device based on phages, the polarization of the phages undergoes a shift. Consequently, electrons migrate through the external conductive wire to establish electrical equilibrium.

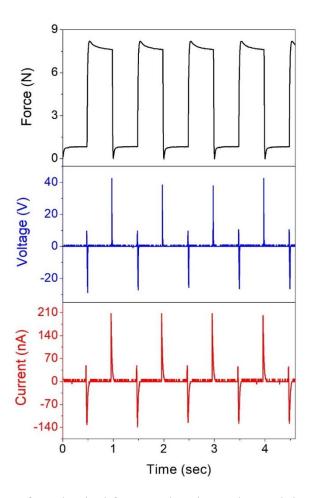


Figure 3-28. Application of mechanical force to the Piezo-PhD and the resultant generation of voltage and current.

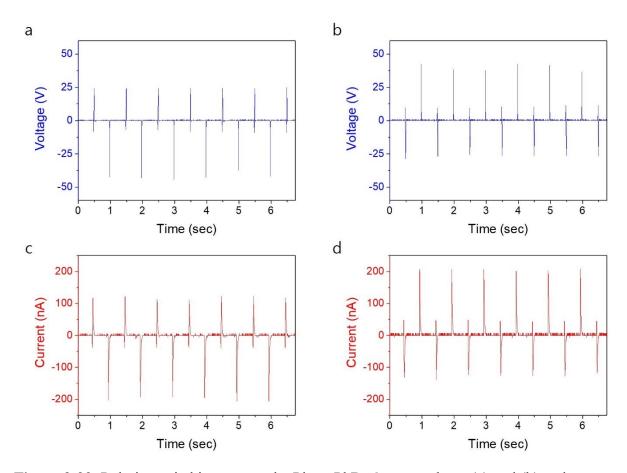


Figure 3-29. Polarity switching test on the Piezo-PhD. Output voltage (a) and (b) and current (c) and (d) were recorded by connecting the probes in the opposite orientation to the Piezo-PhD, presenting the reverse polarity changes.

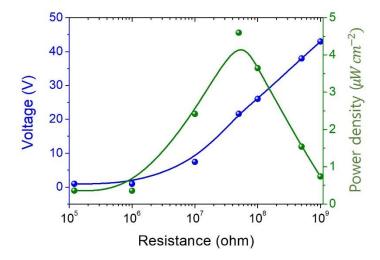


Figure 3-30. Output voltage and power density observed across various external resistances,

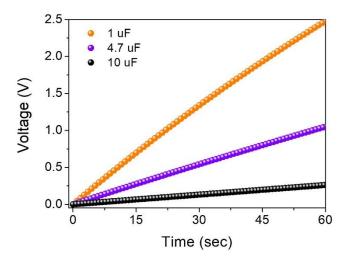


Figure 3-31. Charging characteristics of the Piezo-PhD device across various capacitors.

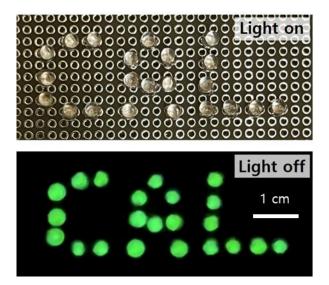


Figure 3-32. Photographs of 22 light-emitting diodes illuminating by the operation of the Piezo-PhD.

3.8 Conclusion

The challenging aspect of understanding the molecular mechanisms responsible for the complex piezoelectric response in biomaterials originates from the diverse and intricate hierarchical structures they possess. To overcome this barrier, an essential solution requires a systematic approach and molecular-level analysis. This study addresses these challenges by providing a comprehensive understanding of the molecular mechanisms underlying the piezoelectric capabilities of the M13 phage at the molecular level, particularly in response to various mechanical stimulations. Through computational analysis, I revealed the M13 phage's electrical response to various external mechanical stresses by defining its piezoelectric tensor. Demonstrating the impact of tuning the surface charge of genetically engineered phages, I illustrated how surface charge contributes to the formation of the dipole moment and how these dipole moment components collectively result in a macroscopic polarization of the phage. Additionally, I fabricated vertical and horizontal self-assembled phage films, quantifying the directional dependency of the piezoelectric effect of the phage. In this study, validated by both computational analysis and measurements of piezoelectric output, demonstrated that the piezoelectric effect is more pronounced in the normal longitudinal d₃₃ mode compared to the shear mode. This difference is primarily attributed to the greater changes in dipole moment associated with the former. This bioengineering approach, encompassing surface charge engineering, genetic modification, and precise polarization control, proves pivotal in optimizing the piezoelectric effect. Beyond advancing phage-based triboelectric and pyroelectric energy harvesting systems, our approach broadens horizons for designing and producing bioelectrical materials. These materials hold promise for diverse applications, including energy harvesting, bioelectronics, and bio-inspired devices.

3.9 Methods

3.9.1 Computations of the dipole moment of the M13 phage

I employed MD simulations using the Maestro package (Maestro release 2021-2 by Schrödinger) and Desmond MD to investigate the internal electrical properties of the genetically engineered phages. The pVIII protein model, sourced from the Protein Data Bank (PDB code: 1IFJ), underwent manipulation of the genetically modified segment using Maestro software. A repeating unit of pVIII protein assembly was constructed with five-fold rotational and two-fold screw symmetry, comprising ten pVIII proteins. After geometric optimization, dipole moments were computed using Desmond MD with the Maestro software interface over a 10 ns period at 300 K. The partial dipole moments generated by the side chains, backbones, and C_{α} atoms of the repeating unit were calculated. The formula used for computing the dipole moment of a collection of point charges is expressed as equation (17).

$$\sum_{i=1}^{N} q_i(r_i - r)$$
 (17)

where q_i is the charge, r_i is the position of a charge, and r is the reference point, using the average atom position. For computing the centers of charge, the formula used is expressed as equation (18).

$$R = \frac{1}{Q} \sum_{i=1}^{n} q_i \cdot r_i \tag{18}$$

where $Q = \sum_{i=1}^{n} q_i$ is the total charge of all the particles. The displacement of positive and negative charges was calculated based on the three-dimensional coordinates of the net positive and negative charges. The displacement of the center of positive and negative charges was calculated as the distance between the three-dimensional coordinates of the charges (see Appendix A.1). Subsequently, deformed phage models were prepared for normal longitudinal, normal transverse, and shear strain manners by converting the unit cell into a triclinic unit cell with variable lengths and angles (see Appendix A.2). The lengths and angles of the deformed structure were calculated, and the dipole moment was compared to that of the unstrained model. The assumed Poisson's ratio of the M13 phage was 0.5.

3.9.2 Gel electrophoresis

I used agarose gel (1 wt %) with 0.5X Tris-acetate-EDTA (TAE) buffer solution to apply WT- and engineered phage solutions at a concentration of 0.2 mg mL⁻¹. Subsequently, I subjected the gel to a voltage of 30 V for 8 hours and stained it using Coomassie-blue staining. After a 15-minute staining period, I removed the stain using a destaining buffer composed of deionized water, methanol, and acetic acid in a ratio of 70/20/10 (v/v/v).

3.9.3 Isoelectric point

The isoelectric point of the M13 phage was calculated using an isoelectric point calculator (https://www.protpi.ch/Calculator/ProteinTool#Results).

3.9.4 Zeta potential

I conducted zeta potential measurements through dynamic light scattering (DLS) using a disposable cuvette (ZEN0040, Malvern Instruments Ltd, Westborough, MA). The DLS characterization of the M13 phage took place in a Tris buffer containing 20 mM Tris and 10 mM NaCl.

3.9.5 Dielectric constant measurements

I examined the dielectric constant of the M13 phage using EFM coupled with AFM (MFP-3D AFM, Asylum Research Santa Barbara, CA). Ti/Pt-coated AC240TM-R3 (Oxford Instruments) AFM tips, featuring a radius of approximately 28 nm and a spring constant of around 2 N m⁻¹, were employed. The EFM was conducted at a scan rate of 1.0 Hz, with 256 scan lines, capturing the topography and deflection of the phage. The initial AFM tip-substrate distance was set at 40 nm, and an applied voltage of 10 V was used. To eliminate topographical errors, only the thickness of the phage film within a 3 % error range of the phage diameter was

considered. The calculated dielectric constant for both WT- and engineered phages was determined to be 4.0, aligning closely with the reported dielectric constant for bulk phage samples $(\varepsilon_{phage}=2.8)^2$.

3.9.6 Surface charge density measurements

I assessed the surface charge density of the M13 phages through EFM combined with AFM using the MFP-3D AFM system from Asylum Research in Santa Barbara, CA. For this analysis, Ti/Pt-coated AC240TM-R3 (Oxford Instruments) AFM tips, featuring a radius of around 28 nm and a spring constant of approximately 2 N m⁻¹, were employed. The topography and frequency of the phage were acquired at an applied voltage of 5 V, a scan rate of 0.80 Hz, and 256 scan lines, maintaining a tip-sample distance of 118 nm. The frequency data of the phages illustrated in Figure B16 were processed using a flatten function in the MFP3D Igor 6.38B01 software (version 16.14.216).

3.9.7 Fabrication of the vertically standing phage films

I fabricated phage films with unidirectional polarization using a template-assisted selfassembly technique.^{3,4} I fabricated Ni-NTA functionalized gold substrates to induce a specific binding between the Ni-NTA layer and 6-His on the pIII of the M13 phage.^{3,4} Rinsed gold substrates were immersed in a solution of 1 mg mL⁻¹ of 3,3'-dithiodipropionic acid di(N hydroxysuccinimide ester) (Sigma Aldrich) in dimethyl sulfoxide (DMSO) for 15 min. I rinsed the substrate with pure DMSO and dried it with nitrogen gas. The substrate was immersed in an aqueous solution of 150 mM Nα,Nα-bis(carboxymethyl)-L-lysine hydrate (Sigma Aldrich) in 0.5 M K2CO3 buffer (pH 9.8) for 1 hr. I rinsed the substrate with deionized water and dried it with nitrogen gas. Then, I incubated the NTA-terminated surface with 50 mM nickel (II) sulfate heptahydrate (Sigma Aldrich) solution for 10 min. Finally, I rinsed the Ni-NTA/gold substrate with deionized water and dried it with nitrogen gas. Next, I drop-casted 30 mg mL⁻¹ of phage solution on the Ni-NTA/gold substrate. Subsequently, I stamped the phage solution using a micropatterned PDMS mold and exposed it to ultraviolet light for 2 hours. The incubation process leveraged the specific binding between the pIII protein and the substrate, promoting the upright orientation of phages through capillary forces. After removing the PDMS mold, I covered it with an Al-ITO-coated PET substrate (Sigma Aldrich) as the top electrode and applied a PDMS layer to prevent damage to the phage film.

3.9.8 Fabrication of the horizontally aligned phage films

I prepared horizontally oriented phage films using the phage self-templating assembly method². I created these films on gold substrates by applying a phage solution with a

concentration of 10 mg mL⁻¹ and a pulling speed in the range of 10-20 µm min⁻¹.

3.9.9 Characterization of the piezoelectric coefficient of M13 phage film

To explore the piezoelectric coefficient of the phage films, I conducted PFM combined with AFM using the MFP-3D AFM system by Asylum Research. In this process, Ti/Pt-coated AC240TM-R3 (Oxford Instruments) AFM tips, featuring a radius of around 28 nm, a spring constant of approximately 2 N m⁻¹, and a free-air resonance frequency of about 70 kHz, were utilized. An applied voltage ranging from 0 to 7 V was used on the AFM tip to measure the piezoelectric coefficient of the phage films. Calibration was performed using periodically poled lithium niobate (PPLN), with a known d₃₃ value of 14.1 pm V⁻¹, consistent with previously reported values.^{1,4}

3.9.10 Characterization of phage-based piezoelectric devices.

The phage-based piezoelectric devices underwent testing on a dynamic mechanical test system (Electroforce 3200, Bose, MN), where mechanical forces were applied to the devices. I used an oscilloscope (Tektronix TDS 1001B), a Keithley 6514 system electrometer, and a low-noise current preamplifier (Stanford Research SR570) to measure the resulting output voltage and current. For shear measurements, tilted angled PDMS holders were employed, capturing both normal and shear outputs. The isolation of the shear output involved subtracting the normal output portion, determined by the standard curve derived from the normal longitudinal d₃₃ piezoelectric mode measurements. The standard curves for output voltage and current of the d₃₃ piezoelectric device were represented by a generic exponential equation, as indicated by the trend line as expressed by equation (19).

$$y = A_1 \cdot \exp\left(-\frac{F}{t_1}\right) + y_0 \tag{19}$$

where y is the output, F is the force, A_I , t_I , and y_0 are constants. Specifically, for output voltage, these constants were 48.03134, -48.03134, and 3.72268, while for output current, they were 254.48634, -254.48634, and 5.02915.

CHAPTER 4: Pyroelectricity in M13 bacteriophage

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4.1 Introduction

Designing functional materials based on viruses provides a versatile platform to address real-world challenges in environmental, energy, and health-related fields. Viruses offer unique advantages in material design and synthesis compared to traditional materials. By encapsulating genetic material (DNA/RNA) within a protein coat, viruses can rapidly replicate identical copies of materials on a large scale through host cell infection. Genetic engineering techniques allow viruses to be customized for fabricating materials with specific biological functions or evolving to acquire novel functionalities. Additionally, viruses possess self-assembly capabilities, enabling the formation of diverse supramolecular structures that exhibit various optical and photonic properties. A86,487,607 These advantageous material features have led to the use of viruses in bioenergy applications 1,468,469,473,474, biosensors 486,487, and biomedical materials.

In my previous works, I explored the M13 bacteriophage as a model system to investigate bioelectricity in biomaterials. I demonstrated the triboelectric and piezoelectric properties of M13 phage, allowing them to generate electricity by touch or sensing pressure, respectively.^{2,466} Through genetic engineering of the coat protein structures, I successfully enhanced their triboelectric and piezoelectric performance. 2,466 While M13 phages do not directly sense heat, they undergo structural changes in response to thermal stimuli. Due to the non-centrosymmetric structure of M13 without an inversion center¹, I hypothesize that M13 exhibits pyroelectricity—a phenomenon where an electric potential is generated upon heatinduced polarization changes. Extensive research and characterization efforts have contributed to our understanding of pyroelectricity in a wide range of inorganic and organic materials. 198,610-⁶¹⁵ The molecular-level mechanisms of pyroelectricity have been comprehensively elucidated through theoretical models and experimental investigations. 198,616,617 Although pyroelectricity has been observed in various biological entities such as cells, tissues, and proteins^{29,30,269,387,618}, understanding the molecular mechanisms underlying the biological pyroelectric effect has been limited due to the complex nature of biological structures and the lack of tools for manipulating desired structures and properties.

In this chapter, I will present the first demonstration of generating pyroelectric potential in a virus, using the M13 phage as a model system. To explore the pyroelectric properties of the phage, I conducted genetic modifications on the tail segment of pIII minor coat proteins by introducing six histidine (6H) residues. This led to the creation of

unidirectionally polarized phage structures on Ni-NTA-coated gold substrates through template-assisted self-assembly (ref 17). Using KPFM, I characterized the surface potential generation of the unidirectionally polarized phage films when subjected to heating. Through additional engineering of the major coat protein with varying numbers of negatively charged glutamates, I demonstrated the structure-dependent pyroelectric properties of the phage. Specifically, the phage engineered with four glutamates demonstrated pyroelectricity with a pyroelectric coefficient of 0.13 μ C m⁻² °C⁻¹. Computational modeling and CD spectroscopy analysis validated that heat-induced changes led to the unfolding of α -helices in the pVIII proteins, causing polarization changes along the long axis of the phage. By genetically modifying the phage with molecular recognition elements, I also demonstrated the potential of pyroelectric phage as an innovative sensing modality for detecting various volatile organic chemicals (VOCs). This phage-based approach enhances our understanding of the thermobioelectrical behaviors of biomolecular materials and sets the stage for the development of novel bionanomaterials with applications in biosensors and energy harvesting.

4.2 Pyroelectric structure of M13 phage

4.2.1 Microscopic pyroelectric structure of M13 phage

The categorization of pyroelectric materials is based on their crystallographic symmetry, specifically characterized by the absence of inversion symmetry and the presence of spontaneous polarization. The M13 phage is covered by 2700 copies of α -helical pVIII proteins with five-fold rotational and two-fold screw symmetry, which exhibits a noncentrosymmetric structure (Figure 4-1). Additionally, the net dipole moment in pVIII protein forms a spontaneous polarization of the entire phage. Therefore, the M13 phage is expected to exhibit the pyroelectric effect (Figure 4-2).

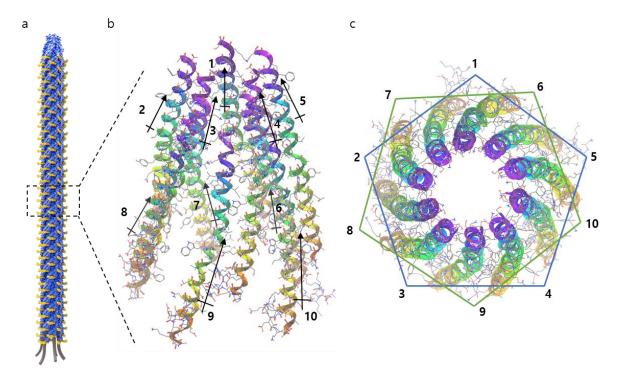


Figure 4-1. (a) Schematic diagram of the M13 phage and its pyroelectric properties. (b) and (c) The M13 phage has a non-centrosymmetric structure due to its five-fold and two-fold screw symmetry of pVIII proteins. Additionally, the M13 phage has a spontaneous polarization due to the intrinsic dipole moment of the pVIII protein. Reprinted with permission.³ Copyright 2023, John Wiley and Sons.

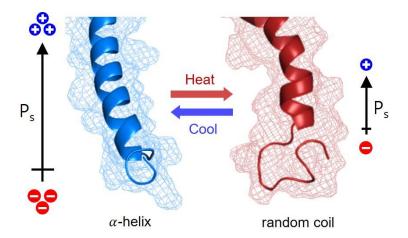


Figure 4-2. The α -helical protein structures of the pVIII protein impart it with inherent polarization. When the secondary structure transitions from an α -helix to a random coil, the spontaneous polarization diminishes. Reprinted with permission.³ Copyright 2023, John Wiley and Sons.

4.2.2 Macroscopic pyroelectric structure of M13 phage film

While a single M13 phage molecule is anticipated to display pyroelectricity, achieving a macroscopic characterization of phage pyroelectric properties necessitates the engineering of a polar structure with unidirectionally aligned dipoles. To create such nanostructures with tunable surface charges, I conducted dual engineering on the pIII minor coat and pVIII major coat proteins of the M13 phage (Figure 4-3). The minor coat protein (pIII tail) was genetically modified with six-histidine to facilitate specific binding to Ni-NTA coated on gold substrates. Furthermore, by additional engineering of the major coat protein with varying numbers of glutamates (ranging from one (1E) to four (4E)), I adjusted the quantity of negative charges on the outer surface of the M13 phage (Figure B3). Using these engineered phages, alongside the WT-phage, I fabricated vertically standing phage films on Ni-NTA-coated gold substrates.

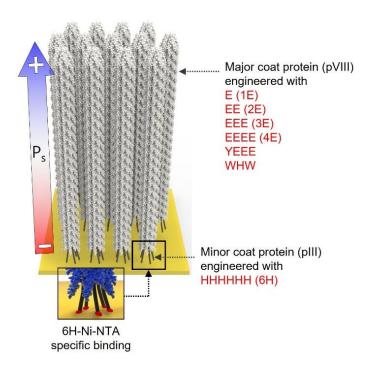


Figure 4-3. Schematic diagram of a vertically standing M13 phage film. Through the implementation of specific binding between the pIII proteins and the substrate using 6H-Ni-NTA, the phage film demonstrates a unidirectional arrangement of spontaneous polarization (P_s). Reprinted with permission.³ Copyright 2023, John Wiley and Sons.

4.2.3 Pyroelectric characterization of the vertically standing phage films

The AFM and KPFM were employed to assess the topographic and electric potential profiles of a vertically standing phage film (Figure 4-4). The height profile of the phage film revealed that its height corresponds to a single phage length (~980 nm), implying a monolayer

alignment of phages on the substrate (Figure 4-5). The resultant monolayer film displayed a consistent surface potential (Figure 4-6). Additionally, I conducted a comprehensive analysis of their surface roughness, mechanical properties, and compactness. The resulting phage films exhibited comparable roughness, Young's modulus, and Fourier-transform infrared spectroscopy (FT-IR) with minimal variation (Figures 4-7–4-9). These findings suggest that the pyroelectric properties of the phages were analyzed under standardized conditions.

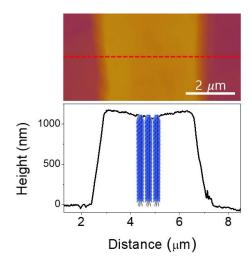


Figure 4-4. AFM topographic image of a vertically standing phage film. The height of the phage film aligns closely with the length of an individual phage, as illustrated by the red dotted lines. Reprinted with permission.³ Copyright 2023, John Wiley and Sons.

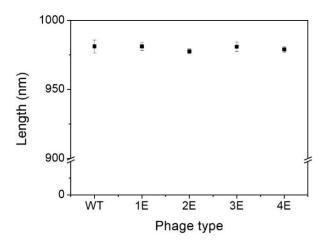


Figure 4-5. Individual phage length profiles for both wild-type and engineered phages. All phages exhibited a length of approximately 980 nm with minimal variations. Reprinted with permission.³ Copyright 2023, John Wiley and Sons.

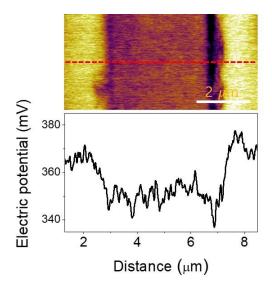


Figure 4-6. KPFM surface potential images of a vertically standing phage film. The surface potential of the phage film demonstrates a consistent electric potential throughout its surface, as highlighted by the red dotted lines. Reprinted with permission.³ Copyright 2023, John Wiley and Sons.

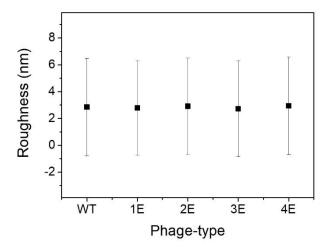


Figure 4-7. Surface roughness characterization of vertically standing phage films. The variation of the roughness for different phage types was negligible. Reprinted with permission.³ Copyright 2023, John Wiley and Sons.

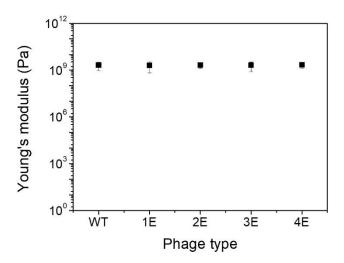


Figure 4-8. Young's modulus characterization of vertically standing phage films. The variation of the Young's modulus for different phage types was negligible. Reprinted with permission.³ Copyright 2023, John Wiley and Sons.

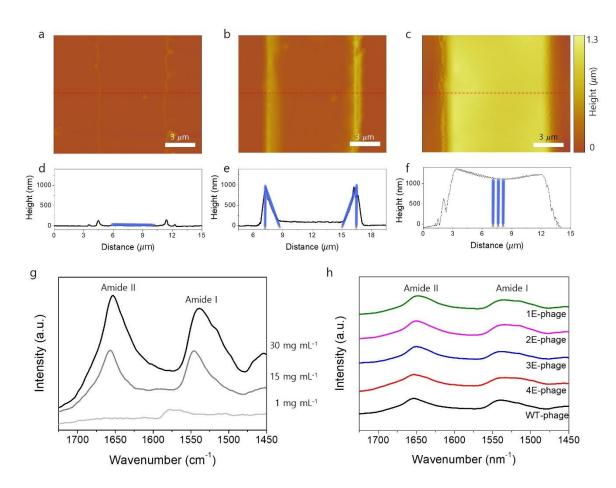


Figure 4-9. AFM height profile images and FT-IR spectra of vertically standing phage films.

AFM height images and profiles of vertically standing phage were created using phage concentrations of (a) and (d) 1 mg mL⁻¹, (b) and (e) 15 mg mL⁻¹, and (c) and (f) 30 mg mL⁻¹. Schematic representations of the phages illustrate their potential orientations within the films. (g) FT-IR spectra of the vertically standing phage film on gold substrates, corresponding to the concentrations indicated in AFM images (a-f). (h) FT-IR spectra of the 1E-, 2E-, 3E-, 4E-, and WT-phage films prepared using a phage concentration of 30 mg mL⁻¹. Reprinted with permission.³ Copyright 2023, John Wiley and Sons.

4.3 Pyroelectric potential studies using Kelvin probe force microscopy (KPFM)

4.3.1 Pyroelectric potential characterization

I examined the pyroelectric surface potential variations in WT and genetically modified phages (1E~4E-phage) utilizing KPFM. A temperature controller was positioned beneath the vertically standing phage film, and heat was administered to the film (Appendix A.3). Prior to heat application, the phage film displayed a uniform equipotential surface across its entire area (Figure 4-10). Subsequently, I measured the surface potential at the same position of the phage film during temperature modulation, defining the surface potential change magnitude as the pyroelectric potential. An observed pyroelectric potential of 16.3 mV was recorded with a temperature change variation rate (dT/dt) of 1.14 °C min⁻¹, signifying a reduction in phage film polarization (Figure 4-11). Once the set temperature was reached, and the temperature variation rate became zero, no further pyroelectric potential generation occurred. The surface potential reverted to its initial state through charge redistribution on the phage surface. Similar charge redistribution was noted in the triboelectric charge generation of the phage films.^{2,619} Conversely, the surface potential of the randomly aligned phage film remained unchanged under equivalent heat application (Figure 4-12). This is attributed to the negligible net polarization and pyroelectric effect when individual phages are randomly aligned in the control sample.

Distinct pyroelectric potential generation and charge redistribution were observed among different phage types (Figure 4-13). At a temperature variation rate of 1.08 °C min⁻¹, a higher pyroelectric potential was observed in the order of 4E-, 3E-, WT-, 2E-, and 1E-phages. Comparing the pyroelectric potential magnitude among phage types revealed that a greater pyroelectric potential was generated when more negative charges were distributed on the phage surface. Additionally, applying varying degrees of heat to vertically standing phage films demonstrated an increased pyroelectric effect with rising temperature change. Moreover, phages with more negative charges on their surfaces yielded a larger pyroelectric potential (Figure 4-14).

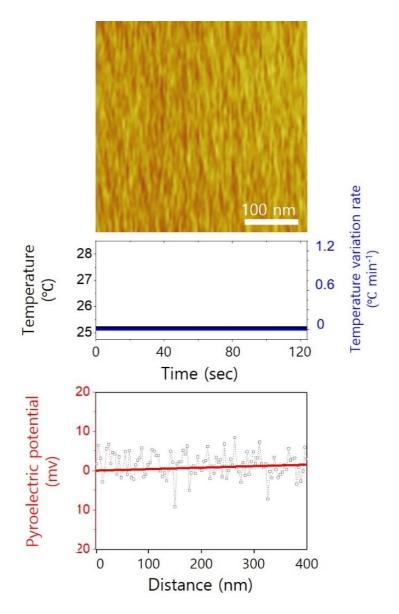


Figure 4-10. Surface potential representation of a vertically standing phage film without the application of heat using KPFM. The associated profiles of pyroelectric potential, temperature, and temperature variation rate for the phage film are graphed. Reprinted with permission.³ Copyright 2023, John Wiley and Sons.

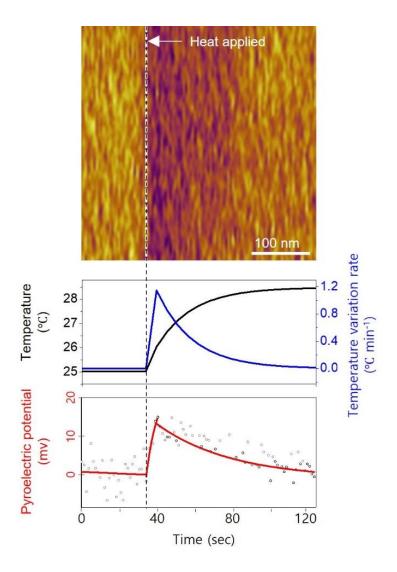


Figure 4-11. KPFM image of a 4E-phage film while subjected to heat. The accompanying profiles illustrate the pyroelectric potential, temperature, and temperature variation rate of the phage film. Reprinted with permission.³ Copyright 2023, John Wiley and Sons.

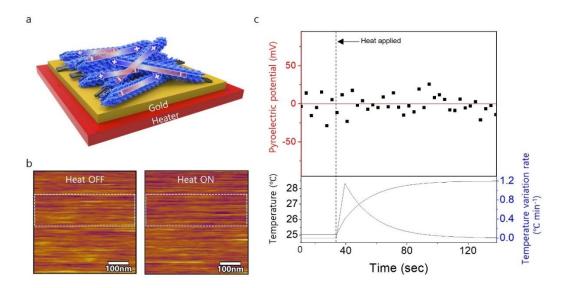


Figure 4-12. Pyroelectric assessment of M13 phage with randomly organized structures. (a) Illustration of the pyroelectric characterization using KPFM. By applying a 4E phage solution on Ni-NTA coated Au films, we generated randomly oriented phage films with minimal net polarization. (b) KPFM images of a randomly oriented phage film, showing the surface potential of the 4E phage film (a) without heat application and (b) under heat application at a temperature variation rate of 1.14 °C min⁻¹. (c) The pyroelectric potential of the phage film depicted in (b). The corresponding profiles of pyroelectric potential, temperature, and temperature variation rate for the phage film are illustrated. The pyroelectric potential is negligible due to the randomly aligned polarization of the phage film. Reprinted with permission.³ Copyright 2023, John Wiley and Sons.

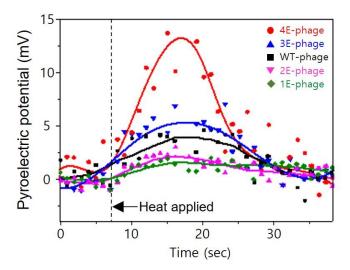


Figure 4-13. Pyroelectric potentials of various phage films under heat exposure with a temperature variation rate of 1.08 °C min⁻¹. Reprinted with permission.³ Copyright 2023, John

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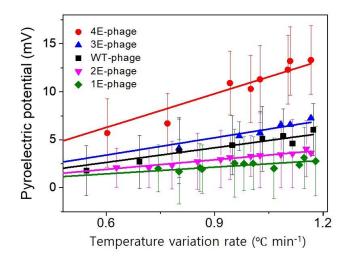


Figure 4-14. Pyroelectric potentials exhibited by different types of phage films in response to diverse temperature fluctuations. Reprinted with permission.³ Copyright 2023, John Wiley and Sons.

4.3.2 Pyroelectric coefficient calculation

I conducted a quantitative analysis of the pyroelectric coefficient of the phages based on their temperature-dependent pyroelectric potentials, applying Equation (20).⁶¹⁹

$$p_{phage} = \frac{1}{\Delta T} \frac{\Delta V}{d} \varepsilon_0 \varepsilon_{phage}$$
 (20)

where ΔT is the temperature change, ΔV is the surface potential change induced by the change in the spontaneous polarization, d is the thickness of the pyroelectric layer along the polar axis, ε_0 is the vacuum permittivity, and ε_{phage} is the dielectric constant of the phage film, with a value of 2.84². The determined pyroelectric coefficients were 0.13, 0.066, 0.058, 0.040, and 0.028 μ C m⁻² °C⁻¹ for 4E-, 3E-, WT-, 2E-, and 1E-phages, respectively. These findings illustrate that the pyroelectric properties of phages can be clarified through the manipulation of charged peptides on the phage surface and the adjustment of spontaneous polarization within the phage. While the observed pyroelectric coefficients of the phages surpass those of most natural biological materials, they are smaller than those of inorganic or organic crystalline biomaterials (e.g., hydroxyapatites, γ -glycine, and lysozymes) (Table 16). $^{30,195,378,405,620-628}$ This is likely due to the unidirectionally aligned polarizations of the vertically standing phage nanostructures.

| Known pyroelectric | Pyroelectric coefficient | Catagony | Dofa |
|--------------------------|--|-------------------|-------|
| biomaterials | $(\mu \text{C m}^{-2} \text{ K}^{-1})$ | Category | Refs. |
| Lysozyme film | 1441∓536 | Organic | 30 |
| Hydroxyapatite ceramics | 150-640 | Inorganic | 620 |
| Hydroxyapatite thin film | 12 | Inorganic | 621 |
| Porcine skin gelatine | 13 | Organic | 405 |
| γ-glycine | 13 | Organic | 30 |
| Wheat | 3.5-4.6 | Organic | 622 |
| Human skin | 2.1-27.0 | Organic | 378 |
| Cellulose nanocrystal | 0.58 | Organic | 623 |
| Periplaneta americana | 0.20-3.5 | Organic | 624 |
| M13 bacteriophage | 0.028-0.13 | Organic | - |
| Fluorapatite/gelatin | 0.05 | Organic/inorganic | 625 |
| | | composite | |
| Dentine and cementum | 0.025-0.0015 | Organic/inorganic | 627 |
| Dentine and cementum | | composite | |
| Rhododendron leaf | 0.02-0.15 | Organic | 624 |
| Encephalartos villosus | 0.0129 | Organic | 628 |
| Hoof tendon | 0.0041 | Organic | 195 |
| Demineralized phalanx | 0.0038 | Organic/inorganic | 195 |
| | | composite | 193 |
| F | 0.0036 | Organic/inorganic | 195 |
| Femur | | composite | 1,0 |
| Encephalartox leaves | 0.005-0.06 | Organic | 624 |

Table 16. Pyroelectric coefficient of known pyroelectric biomaterials. Reprinted with permission.³ Copyright 2023, John Wiley and Sons.

4.4 Molecular mechanism of the pyroelectricity in M13 phage

4.4.1 Molecular dynamics simulations

To explore the molecular mechanisms underlying the pyroelectric effect in the M13 phage, I employed MD simulations. These simulations encompassed the computation of temperature-dependent alterations in the secondary structure of a single pVIII coat protein and multilayers of repeating units of the M13 phage. Dipole moments were also calculated through Desmond MD simulation. The MD simulations unveiled that the pVIII protein primarily adopts α -helical structures and manifests a dipole moment of 355.0 D at 300 K (Figure 4-15a and 4-15b). With an increase in temperature to 350 K, the hydrogen bonds between the loops of the α -helices weakened (Figure 4-16). Consequently, the N-terminal end of the pVIII protein adopted a random coil conformation, leading to a reduction in the dipole moment to 226.9 D. To assess the polarization changes in the supramolecular structure of the pVIII protein within

the M13 phage, I constructed a model of supramolecular structures consisting of repeating units composed of 10 pVIII proteins with a five-fold rotational and two-fold screw symmetry. Simulating the dipole moment changes in these supramolecular structures with increasing numbers of repeat units revealed a decrease in the dipole moment for each repeating unit within the temperature range of 300 to 350 K. These trends aligned with those observed in the single pVIII protein simulations (Figure 4-17). Additionally, simulations of the changes in the distance between the loops of the α-helices of the pVIII protein as the temperature increased indicated a negligible standard deviation of 0.23 Å during the temperature change from 300 to 350 K (Figure 4-18). Thus, the study demonstrates that the variation in the dipole moment of a phage is directly linked to the secondary structure changes of the major coat proteins in response to temperature fluctuations, rather than being influenced by the thermal expansion effect of the major coat proteins.

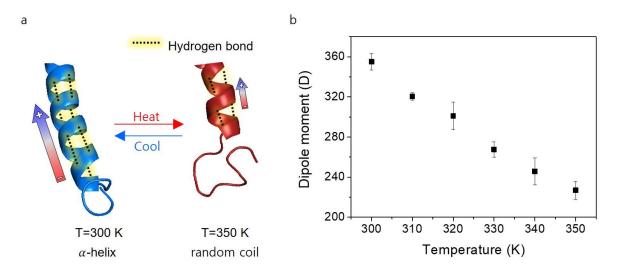


Figure 4-15. Temperature-dependent polarization change in the M13 phage. (a) MD simulated structures of a pVIII protein at 300 K and 350 K. The unfolding of the α-helical structure of the pVIII protein leads to a reduction in the polarization of the M13 phage. (b) MD results depicting the temperature-dependent dipole moment of an individual pVIII protein. Reprinted with permission.³ Copyright 2023, John Wiley and Sons.

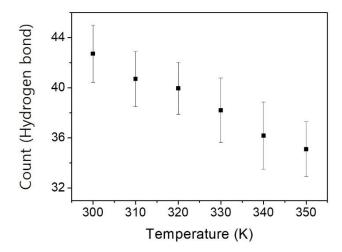


Figure 4-16. Assessment of the quantity of hydrogen bonds existing within the loops of the pVIII protein. With the rise in temperature, the number of hydrogen bonds steadily diminished, attributed to the structural transformation from α -helices to random coils. Reprinted with permission.³ Copyright 2023, John Wiley and Sons.

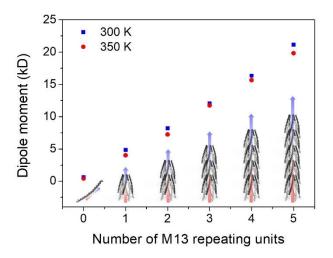


Figure 4-17. Determination of the dipole moment of the M13 phage at temperatures of 300 K and 350 K. Dipole moments were computed in supramolecular structures with escalating repeating units. The dipole moment exhibited a consistent decrease with increasing temperature. 0 repeating unit signifies a single pVIII protein. Reprinted with permission. Copyright 2023, John Wiley and Sons.

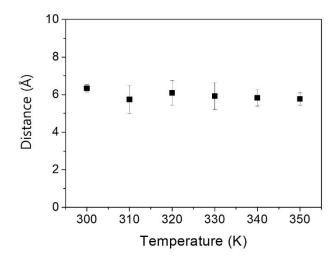


Figure 4-18. Variation in the spacing between the loops of the pVIII protein based on temperature. The change in the polarization of the M13 phage is attributed to the modification in the secondary structure of the major coat proteins, rather than a thermal expansion effect in these proteins. Reprinted with permission.³ Copyright 2023, John Wiley and Sons.

4.4.2 Circular dichroism spectroscopy

To validate the structural alterations of the coat proteins, CD spectroscopy was employed on the M13 phage. With an increase in temperature, the characteristic α -helical structure of the pVIII protein diminished (Figure 4-19). Specifically, the CD signals at 208 and 222 nm increased from -49.9 to -40.1 mdeg and 78.6 to 56.6 mdeg, respectively, while the signal at 193 nm decreased from 119 to 3.98 mdeg as the temperature rose from 293 to 353 K, indicating a reduction in the α -helical structure of the pVIII protein. 629,630 All engineered phages utilized in this study exhibited a similar decrease in α -helical content during heating (Figure 4-20). Dynamic light scattering (DLS) analysis revealed no alteration in particle size distribution in the phage solution throughout the heating and cooling process, suggesting that the unfolded pVIII protein tail structure does not induce phage aggregation due to the intrinsic negative charge repulsion of phage surfaces (Figures 4-21 and 4-22). The conformational change observed through CD analysis aligns with the results of MD simulations, indicating changes in the dipole moment of the phage upon heating. Both CD spectroscopy and MD simulations indicate that the pyroelectricity of the M13 phage results from the weakening of the α -helical characteristics of the pVIII protein and the subsequent reduction in dipole moment during heating. Consequently, the conformational changes within the phage structure and the pyroelectric response with varying degrees of heat application exhibit a clear correlation. Thus, the primary factor influencing the pyroelectric effect in the phage is the conformational changes within the phage structure, rather than being influenced by the presence or migration of salt or buffer residues in the phage films.

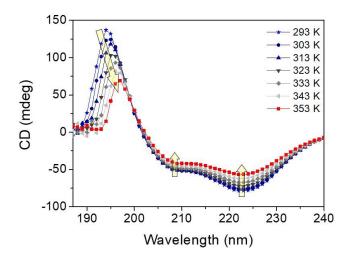


Figure 4-19. Circular dichroism spectra of the WT-phage exhibiting a temperature-dependent structural transition from an α -helix to a random coil configuration during heating. Reprinted with permission.³ Copyright 2023, John Wiley and Sons.

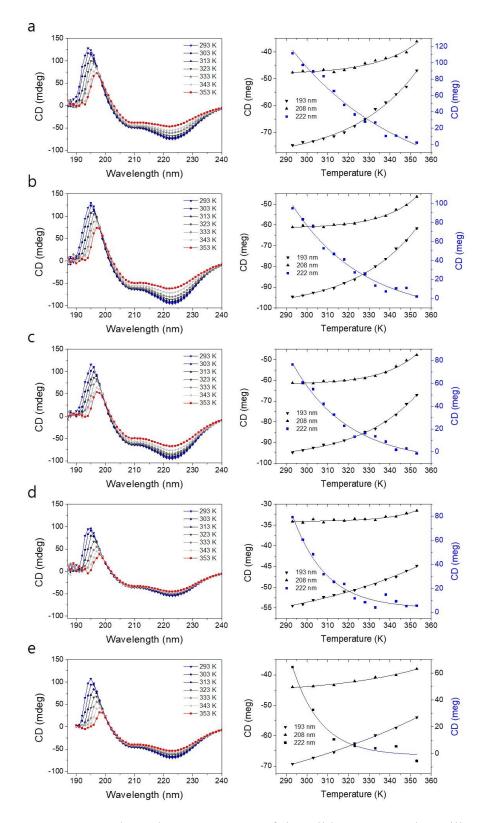


Figure 4-20. Temperature-dependent CD spectra of the wild-type M13 phage illustrating the shift from an α -helix to a random coil configuration during heating. Additionally, CD spectra for various genetically engineered phages are depicted: (a) 1E phage, (b) 2E phage, (c) 3E

phage, (d) 4E phage, and (e) YEEE phage. The CD spectra reveal the structural transformation from an α-helix to a random coil for each type of phage. Reprinted with permission.³ Copyright 2023, John Wiley and Sons.

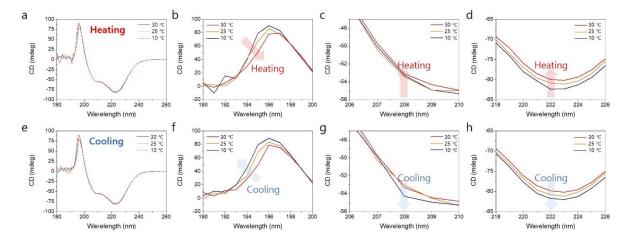


Figure 4-21. CD spectra of the M13 phage. (a) Changes in the CD signal during the heating process. (b-d) CD spectra at specific wavelength regions (193, 208, and 222 nm), revealing a decrease in the α-helical structural feature of the pVIII protein. (e) The CD signal during the cooling phase. (f-h) CD spectra at typical wavelength regions (193, 208, and 222 nm), illustrating a restoration of the α-helical structural characteristic of the pVIII protein to its initial state. These findings indicate a reversible structural transformation. Reprinted with permission. Copyright 2023, John Wiley and Sons.

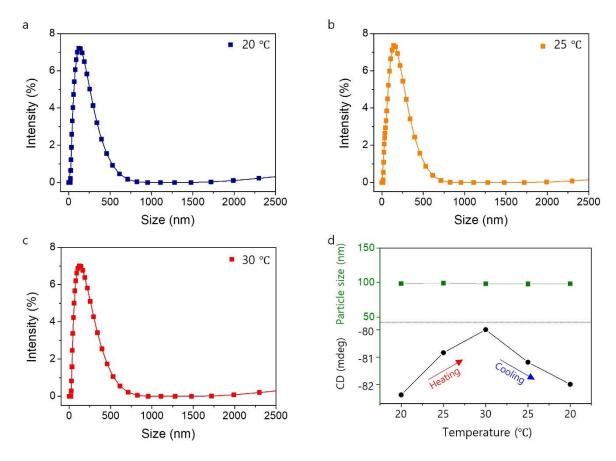


Figure 4-22. DLS analysis during the heating and cooling sequences. The DLS results indicate the absence of phage aggregation during both the heating and cooling phases. DLS characterization of the M13 phage was conducted in a Tris buffer (12.5 mM Tris and 37.5 mM NaCl) at (a) 20 °C, (b) 25 °C, and (c) 30 °C. Despite minimal adjustments in particle size throughout the heating and cooling procedures, alterations were observed in the CD signal at 222 nm. Reprinted with permission.³ Copyright 2023, John Wiley and Sons.

4.5 Pyroelectric signal measurement using near-infrared (NIR) heat

4.5.1 Infrared heating mechanism

To demonstrate the potential generation of pyroelectric phage-based devices (Pyro-PhDs), I created vertically standing phage films on Ni-NTA-coated gold substrates and applied heat to the Pyro-PhDs (Figure 4-23). For more precise control over the heating and cooling processes, I investigated the pyroelectric characteristics of M13 phage under optical stimulation using a Near-Infrared laser (808 nm). To elucidate the mechanism of infrared heating for the pyroelectric characterizations of M13 phage, I employed spectrophotometry. A UV-vis spectrophotometer was used to measure the absorbance of M13 phage and the gold film at 800 nm, close to the wavelength of the NIR laser setup. In the absorbance spectra, a peak at

269 nm was observed for the phage film deposited on the gold thin film, and a separate peak was seen for the gold thin film with a glass substrate baseline (Figure 4-24). Notably, the phage film deposited on the gold thin film exhibited a higher absorbance peak, as confirmed by the baseline absorbance at 269 nm. The average absorbance was 2.0 at 800 nm for the gold thin film with a glass substrate as the baseline and approximately 0.12 at 800 nm for the phage film with the gold thin film as a baseline. Thus, there is minimal absorbance of NIR irradiation by the phage film, suggesting its insignificance for heat transfer. Instead, in the NIR laser setup, heat transfer primarily occurs through the gold thin film absorbing NIR irradiation and conducting heat to the phage film. The absorption spectra of the gold thin film were compared to literature values, revealing a decrease in absorbance near 500 nm and an increase from 500 to 800 nm, in line with increasing wavelengths at which absorption peaked with rising gold thin film thickness.⁶³¹

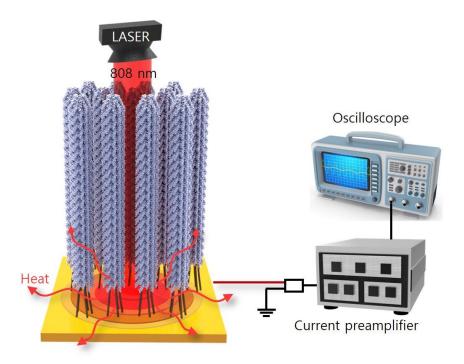


Figure 4-23. Pyroelectric characterization of a Pyro-PhD. Schematic diagram depicting the generation of pyroelectric potential. Schematic illustration outlining the pyroelectric characterization of a vertically standing Pyro-PhD through NIR laser thermal stimulation. Reprinted with permission.³ Copyright 2023, John Wiley and Sons.

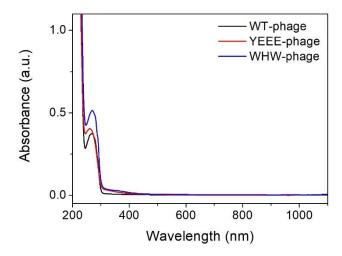


Figure 4-24. UV-vis spectra of M13 phages. The absorbance at the wavelength of 808 nm is minimal. Reprinted with permission.³ Copyright 2023, John Wiley and Sons.

4.5.2 Pyroelectric output characterization

I employed a vertically standing YEEE-phage film for potential application as a gas sensor. Through periodic optical stimulation, I induced periodic heating and cooling with a pulse frequency of 0.10 Hz (Figure 4-25 and 4-26). During the heating process, the polarization of the phage decreased, prompting electrons to flow through the external load from the ground to the electrode until the temperature variation rate reached zero. Conversely, during the cooling process, the polarization of the phage increased, causing electrons to flow in the opposite direction compared to the heating process until the temperature variation rate reached zero. 615 At a temperature variation rate of 1.31 °C s⁻¹, I observed a voltage of 69.7 mV and a current of 50.6 pA (Figure 4-27). The pyroelectric voltage and current gradually increased with the laser intensity rising from 0.70 to 2.6 W cm⁻² (Figure 4-28), aligning with the temperature variation rates (Figure 4-29). In a control experiment using a randomly aligned phage film, no output voltage or current was observed from the device, confirming that the electric signals were indeed generated by the pyroelectric effect along the polar direction of the phage (Figure 4-30). Notably, the M13 phage does not absorb 808 nm light (Figure 4-24). However, I could remotely induce pyroelectricity in the vertically standing phage films by heating the gold substrate. To assess the durability of Pyro-PhDs, I examined the performance of the Pyro-PhD under 3000 cycles of laser illumination (Figure 4-31). The output voltage and current showed negligible degradation. Therefore, the pyroelectric outputs under varied thermal conditions are well-defined and robust, making Pyro-PhDs suitable for further applications involving pyroelectric phages.

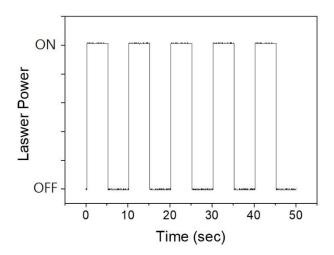


Figure 4-25. Temperature fluctuation generated by a NIR laser (808 nm) with a square function. Reprinted with permission.³ Copyright 2023, John Wiley and Sons.

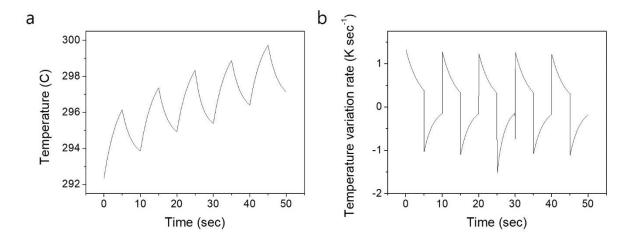


Figure 4-26. (a) Temperature profile under laser irradiation. (b) Temperature variation rate under laser irradiation. Reprinted with permission.³ Copyright 2023, John Wiley and Sons.

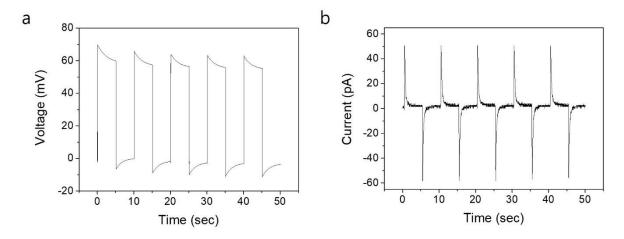


Figure 4-27. (a) Output voltage of the Pyro-PhD. (b) Output current of the Pyro-PhD. Reprinted with permission.³ Copyright 2023, John Wiley and Sons.

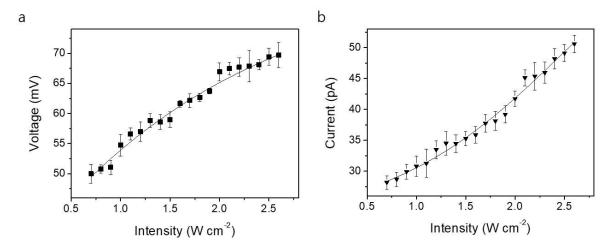


Figure 4-28. (a) Output voltage of the Pyro-PhD under different NIR intensities. (b) Output current of the Pyro-PhD under varying NIR intensities. Reprinted with permission.³ Copyright 2023, John Wiley and Sons.

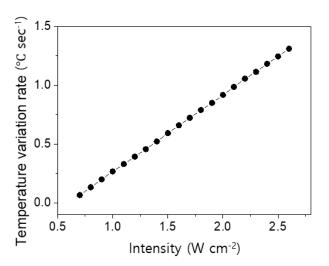


Figure 4-29. Temperature variation rates of the Pyro-PhD under varying levels of NIR intensity irradiation. Reprinted with permission.³ Copyright 2023, John Wiley and Sons.

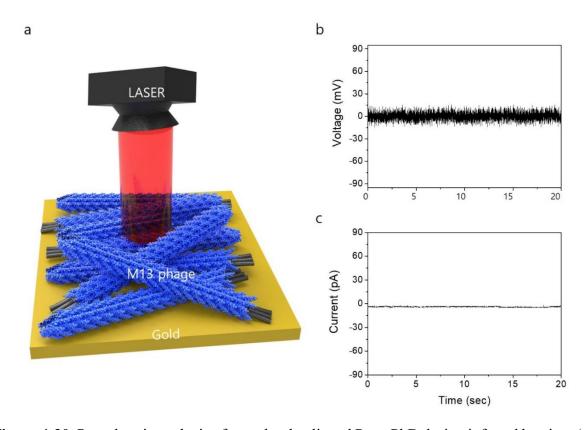


Figure 4-30. Pyroelectric analysis of a randomly aligned Pyro-PhD during infrared heating. (a) Diagram illustrating the pyroelectric characterization of a randomly aligned Pyro-PhD under NIR laser thermal stimulation. (b) Temperature fluctuations induced by a NIR laser with a square function. (c) and (d) Temperature and temperature variation rate during laser application.

(e) and (f) Output voltage and current of the Pyro-PhD. Reprinted with permission.³ Copyright 2023, John Wiley and Sons.

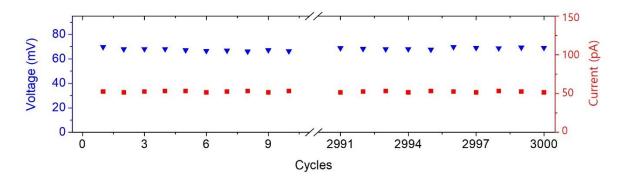


Figure 4-31. Durability test of the Pyro-PhD for 3000 cycles. Reprinted with permission.³ Copyright 2023, John Wiley and Sons.

4.6 Pyroelectric responses to molecular interactions

4.6.1 Introduction

M13 phage exhibits remarkable genetic adaptability, allowing the presentation of specific peptide motifs on its surface and customizable interactions with external molecules. I observed notable alterations in pyroelectric response due to the interaction of the engineered motifs with external organic vapors. Leveraging this feature of the M13 phage, it becomes feasible to detect VOCs based on their pyroelectric responses. Previous investigations have demonstrated that YEEE- and WHW-engineered phages exhibit heightened sensitivities for detecting hydrophilic and aromatic VOCs (Table 17). 464,487 In this study, I engineered YEEE- and WHW-peptides onto the major coat protein of the M13 phage, in conjunction with 6-His on the minor coat proteins. Subsequently, Pyro-PhDs featuring vertically standing and unidirectionally polarized phage nanostructures were developed. Employing the same NIR laser setup, we monitored the pyroelectric response (changes in pyroelectric current, $|\Delta I_p|$) upon exposure to the specified VOCs (Table 18). The application of VOCs was systematically controlled by mass flow controllers (MFCs) (Figure 4-32).

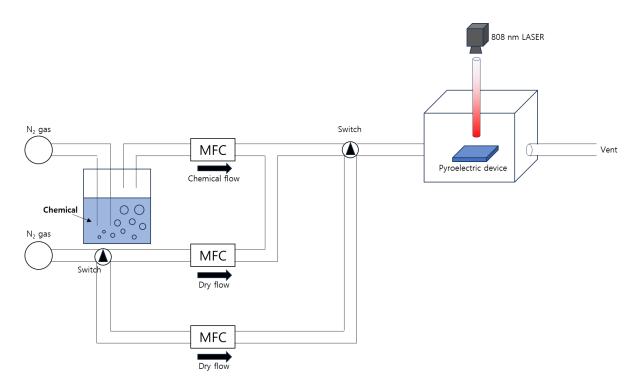


Figure 4-32. Configuration for molecular sensing experiments employing Pyro-PhDs. A Pyro-PhD is enclosed within a cage, and the introduction of target VOCs is regulated using MFCs.

| Phage | Primary structure of pVIII proteins* | |
|-------|--|--|
| type | Timary structure of pvin proteins | |
| YEEE | A <i>GYEEERGT</i> DPAKAAFNSLQASATEYIGYAWAMVVVIVGATIGKLFKKFTSKAS | |
| WHW | $A \underline{DDWHWQEG} DPAKAAFNSLQASATEYIGYAWAMVVVIVGATIGKLFKKFTSKAS$ | |
| | 1.7 | |

Table 17. Primary structures for pVIII proteins^{4,5}. Reprinted with permission.³ Copyright 2023, John Wiley and Sons.

^{*}The primary structure of the pVIII protein of the M13 phage and the insert is <u>underlined and italicized.</u>

| Surrounding solvents* | Relative polarity | |
|-----------------------|-------------------|--|
| Water | 1.00 | |
| Methanol | 0.762 | |
| Ethanol | 0.654 | |
| Octanol | 0.537 | |
| Acetone | 0.355 | |
| Diethyl ether | 0.117 | |
| <u>Benzene</u> | 0.111 | |
| <u>Toluene</u> | 0.099 | |

<u>Xylene</u> 0.077 Hexane 0.009

Table 18. Relative polarity of surrounding solvents. Reprinted with permission.³ Copyright 2023, **John Wiley and Sons**.

4.6.2 Hydrophilic molecular interactions

Figure 4-33 illustrates the pyroelectric response curve of the YEEE Pyro-PhD in different environments characterized by varying relative humidity (RH). The developed YEEE Pyro-PhD demonstrated the ability to differentiate between 0 and 100 % RH. As humidity levels increased from 0 to 100 %, the change in pyroelectric current exhibited a gradual increase, with a more pronounced rate of change observed in the higher humidity ranges (50–100 %). Additionally, I explored the pyroelectric response and the associated dipole moment of the YEEE Pyro-PhD in the presence of various hydrophilic VOCs. Exposure to 8000 ppm of different polar solvents was conducted for both YEEE- and WHW-Pyro-PhDs, and the resulting changes in pyroelectric current were observed. Notably, the YEEE-Pyro-PhD displayed a more substantial pyroelectric response compared to the WHW-Pyro-PhD when exposed to more polar solvents, indicating stronger hydrophilic interactions with the phage surface (Figure 4-34 and Figure 4-35).

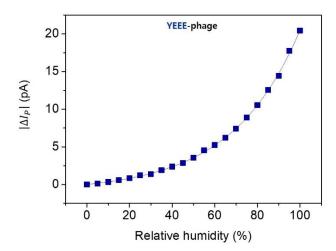


Figure 4-33. Pyroelectric response of YEEE Pyro-PhD under different levels of relative humidity. Reprinted with permission.³ Copyright 2023, John Wiley and Sons.

^{*}The aromatic hydrocarbons are underlined and italicized.

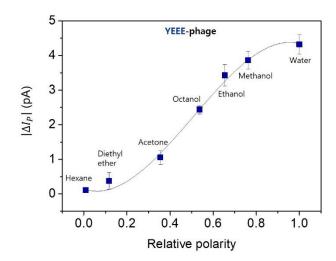


Figure 4-34. Pyroelectric response of YEEE Pyro-PhD to diverse VOCs as a means for sensing different polarities. Reprinted with permission.³ Copyright 2023, John Wiley and Sons.

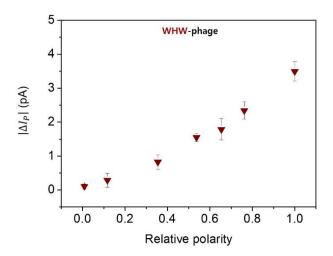


Figure 4-35. Pyroelectric response of WHW Pyro-PhD to various VOCs, enabling the detection of differing polarities. Reprinted with permission.³ Copyright 2023, John Wiley and Sons.

4.6.3 Aromatic molecular interactions

To enhance the pyroelectric sensing capability of the phage for toxic aromatic molecules, I incorporated the previously identified aromatic molecule-responsive peptide (WHW) onto the Pyro-PhD.^{5,465,486,487} Exposure to various concentrations of xylene revealed a rapid increase in the pyroelectric response between 0–120 ppm, followed by a gradual increase

in the 200–1000 ppm range (Figure 4-36). Given the known toxicity and carcinogenicity of xylene, I assessed the detection limit of the Pyro-PhDs for xylene. The resulting WHW-Pyro-PhD demonstrated a detection limit of 50 ppm, which is half the permissible exposure limit level. When subjected to 8000 ppm of other aromatic solvents, including xylene, toluene, and benzene, the pyroelectric response of the WHW Pyro-PhD measured 1.4, 1.2, and 0.83 pA, respectively (Figure 4-37). In contrast to the observed trend for hydrophilic interactions, the pyroelectric response of the WHW-Pyro-PhD increased as the relative polarity of the molecules decreased, while the YEEE-Pyro-PhD exhibited negligible pyroelectric response (Figure 4-38).

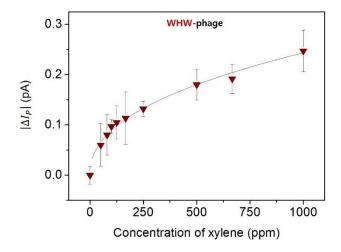


Figure 4-36. Pyroelectric response of WHW Pyro-PhD under different levels of xylene. Reprinted with permission.³ Copyright 2023, John Wiley and Sons.

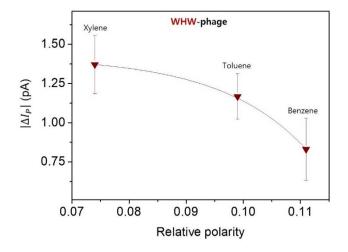


Figure 4-37. Pyroelectric response of WHW Pyro-PhD to various aromatic hydrocarbons. Reprinted with permission.³ Copyright 2023, John Wiley and Sons.

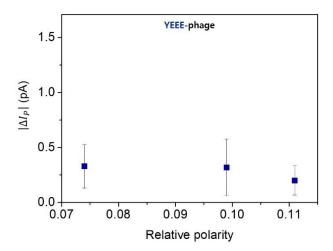


Figure 4-38. Pyroelectric response of YEEE Pyro-PhD to various aromatic hydrocarbons. Reprinted with permission.³ Copyright 2023, John Wiley and Sons.

4.6.4 Mechanisms of the molecular interactions

To enhance our understanding of the specific pyroelectric signal generation triggered by molecular interactions in the Pyro-PhDs, I conducted MD simulations to examine the structural changes and variations in dipole moment of the engineered phages when exposed to solvents within the temperature range of 300 to 350 K. The YEEE-phage exhibited a more pronounced change in the number of hydrogen bonds with molecules of higher relative polarity, resulting in a greater change in dipole moment (Figure 4-39). This implies that a stronger hydrophilic interaction between the phage and surrounding molecules induces more substantial structural changes and a heightened pyroelectric response. Similarly, when the phage was genetically engineered with the aromatic-interacting WHW peptide, structural changes in the WHW-phage were observed upon exposure to aromatic molecules. The WHW phage displayed more significant changes in the number of hydrogen bonds and dipole moment, following the order of xylene, toluene, and benzene (Figure 4-40). Intriguingly, the structural changes were less pronounced with more polar molecules. This can be attributed to the strong aromatic interaction observed with xylene, resulting in a more significant structural change and a heightened pyroelectric response in the WHW-phage. Hence, I demonstrate that the M13 phage exhibits pyroelectric characteristics based on polarization changes resulting from molecular structural deformation. Through genetic modifications of the phage surface and the introduction of preferred molecular interactions, I have showcased its potential application as a novel VOC sensing system.

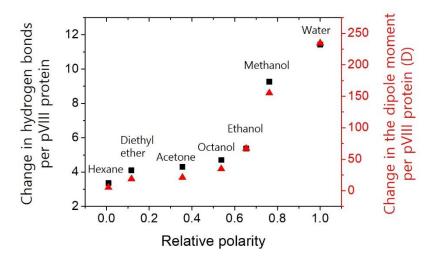


Figure 4-39. MD simulated dipole moment of the YEEE-pVIII protein model in the presence of different hydrophilic VOCs. The change in hydrogen bonds between the loops of the YEEE-pVIII protein and the change in dipole moment of the pVIII protein are observed from 300 to 350 K. Reprinted with permission.³ Copyright 2023, John Wiley and Sons.

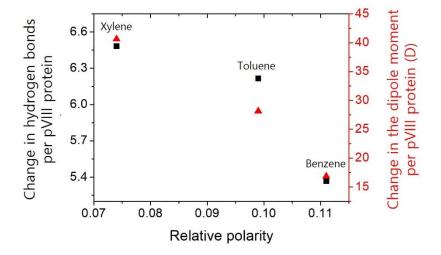


Figure 4-40. MD simulations were employed to determine the dipole moment of the WHW-pVIII protein model in the presence of different VOCs. The analysis considered the changes in hydrogen bonds between the loops of the WHW-pVIII protein and the changes in the dipole moment of the pVIII protein across the temperature range from 300 to 350 K. Reprinted with permission.³ Copyright 2023, John Wiley and Sons.

4.7 Conclusion

Currently, the molecular-level comprehension of pyroelectricity in biomaterials remains elusive. ^{369,378,520} The intricate and heterogeneous hierarchical structure of biomaterials poses challenges in exploring their intrinsic material properties. Moreover, unlike inorganic piezoelectric materials, the tools available for manipulating desired structures and characterizing their properties are limited. In this investigation, we present the novel insight that viruses can sense heat through pyroelectricity, utilizing them as a model system to probe the structure-function relationship of bio-pyroelectricity at the molecular level. Through surface potential measurements, computational modeling, and spectroscopy, we demonstrate that virus particles undergo structural changes upon the application of heat. These changes lead to alterations in spontaneous polarization and the generation of pyroelectric potential. By focusing on the M13 phage and its inherent dipole structures, our study offers valuable insights into the connection between viral structures and electrical properties. This discovery suggests the potential application of our established bio-pyroelectric modality for detecting various viral particles and biomaterials. Such an approach could have significant implications for developing innovative technologies to detect new virus strains in biomedical applications, diagnostics, and biosensors. Furthermore, our strategy of engineering phages with tailored pyroelectric properties broadens the scope for designing and fabricating bioelectric materials in diverse fields, including bioelectronics, energy harvesting, and bio-inspired devices in the future.

4.8 Methods

4.8.1 Single phage length measurements

I conducted quantitative analysis of AFM images to determine the length of individual phages. For each type of phage (1E-, 2E-, 3E-, 4E-, and WT-phages), I deposited 2 μ L of dialyzed phage solutions, allowing them to air-dry overnight. Employing Ti/Pt-coated AC240TM-R3 (Oxford Instruments) AFM tips, I performed topography imaging at a scan rate of 0.80 Hz. Using ImageJ software, I calculated the lengths of the engineered phages, and the measured lengths were approximately 980 nm.

4.8.2 Mechanical property characterization of phage films

I explored the mechanical characteristics of the phage films through AFM using the MFP-3D AFM system from Asylum Research in Santa Barbara, CA. To image the surface of the phage films, I employed Ti/Pt-coated AC240TM-R3 (Oxford Instruments) AFM tips with a radius of approximately 28 nm and a force constant of about 2 N m⁻¹. The AFM software (Asylum Research version 16.14.216) was used for the analysis of the roughness of the phage films. Additionally, I conducted nanoindentation for assessing the Young's modulus of the phage films, employing RTESP-525 (Bruker) AFM tips with a radius of around 8 nm and a force constant of about 200 N m⁻¹.

4.8.3 Fourier-transform infrared (FT-IR) spectroscopy

To assess the density of the phage films, I employed Fourier-transform infrared spectroscopy (FTIR) using a Shinmadzu IR Tracer-100 instrument. The measurements were conducted with a diamond attenuated total reflection (ATR) accessory featuring a diameter of 1.8 mm.

4.8.4 Pyroelectric characterization using Kelvin probe force microscopy

I explored the generation of surface charge induced by the pyroelectric effect in M13 phage, employing KPFM combined with AFM using an MFP3D AFM system from Asylum Research in Santa Barbara, CA. For imaging the surface, I used Ti/Pt-coated AC240TM-R3 AFM tips with a radius of approximately 28 nm and a force constant of about 2 N m⁻¹. The surface potential of the phage film was assessed using KPFM with a scan rate of 1.5 Hz for Figure 4-11 and 1.0 Hz for Figure 4-13 and 4-14, and scan lines of 512 and 128, respectively. A temperature controller was positioned between the sample and the AFM stage, and heat was applied to the sample by controlling the temperature controller. The temperature of the phage film was monitored using a thermoprobe attached to the sample surface and Arduino software (version 1.8.13). The pyroelectric potential, defined as the absolute value of the net variations in surface potential with reference to that of the non-heated region, was obtained by measuring the surface potential of the heated region.

4.8.5 Molecular dynamics simulations

To unravel the molecular mechanism behind pyroelectricity in the M13 phage, I conducted MD simulations using the Maestro package (version 2021-2 by Schrödinger). The M13 phage was designed using Pymol 2.4.0 software and subsequently imported into Maestro. MD simulations were executed using Desmond MD within the Maestro software interface, spanning the temperature range of 300 to 350 K for a duration of 20 ns. Dipole moments were computed for both a single pVIII protein and multilayers of pVIII layers. Additionally, I assessed the change in distance between M13 repeating units to ascertain whether alterations in spacing at the phage level contributed to changes in dipole moment. The results indicated that the variation in the dipole moment of phage was attributable to the pyroelectric effect rather than the thermal expansion effect.

4.8.6 Structural analysis using circular dichroism spectroscopy

I examined changes in the secondary structure of the M13 phage through CD

spectroscopy, employing a J-815 CD spectrometer by JASCO. Wavelength scans were conducted across the range of 170 to 900 nm, with temperatures varying from 293 to 353 K, utilizing a 0.1 cm cuvette and a 200 µL solution containing 0.2 mg mL⁻¹ of the phage. The acquired data were analyzed for structural changes in the phage using CDtoolX software.⁶³⁰

4.8.7 Structural analysis using dynamic light scattering

I explored the impact of temperature on the particle size of the M13 phage using DLS with a disposable cuvette (ZEN0040, Malvern Instruments Ltd, Westborough, MA). The Z-average of the phage was determined through Zetasizer software (version 8.1, Malvern). The DLS analysis of the M13 phage was carried out in a Tris buffer (12.5 mM Tris and 37.5 mM NaCl) within the temperature range of 20-30 °C.

4.8.8 Pyroelectric characterization upon near-infrared heating

I assessed the electrical responses of vertically standing Pyro-PhDs by subjecting them to periodic heating. This was achieved using a transistor-transistor logic (TTL)-modulated NIR laser (Lasever LSR808NL-FC-3W), connected to a laser diode driver (Lasever LSR-PS-II) and waveform function generator (Rigol DG1022). This setup allowed for precise and systematic control of laser thermal stimulation. The output voltage and current were monitored using an oscilloscope (Tektronix TDS 1001B), Keithley 6514 System Electrometer, and a Low-Noise Current Preamplifier (Stanford Research SR570), respectively. The heat generation profiles were examined with a Thermal imager (Klein Tools TI250) and optimized using COMSOL Multiphysics software. I exposed the Pyro-PhDs to 8000 ppm of various organic compounds to explore how the pyroelectric properties of M13 phage respond to the surrounding environment.

4.8.9 Optimization of the temperature variations of infrared heating

To precisely optimize the temperature profile of the pyroelectric phage device, I employed finite element method simulations through COMSOL Multiphysics software. Within the software, I computationally determined the temperature variation in a gold substrate under laser application by constructing a pulse-modulated laser heating model incorporating *Heat transfer in solids physics*. 632 The laser was modeled as a Gaussian beam-type laser, with a radius of 3 mm (actual dimensions: 4 mm x 2 mm). For the heat flux, the *General inward heat flux*, P_g , was defined by equation (21).

$$P_g = A \cdot \left[\frac{E_p}{P_w(\frac{\pi}{4}D^2)} \right] exp \left[-\left(\frac{(x-x_0)^2}{2\phi^2} \right) \right]$$
 (21)

where A is the absorptivity, E_p is the pulse energy, P_w is the pulse width, D is the beam diameter, x_r is the reference point of the laser beam, and ϕ is the standard deviation of the Gaussian laser beam. Convective heat flux, q_0 , was defined as equation (22).

$$q_0 = h \cdot (T_{ext} - T) \tag{22}$$

where h is the heat transfer coefficient, T_{ext} is the ambient temperature.

CHAPTER 5: Conclusion and future perspectives

5.1 Concluding remarks

A virus serves as a powerful programmable tool for advancing our understanding of bioelectricity. Using the M13 phage for its simple structure, versatility, and self-assembled nanostructures, I have focused on investigating bioelectrical phenomena at the molecular level under various external stimuli, including friction, mechanical stress, heat, and chemical environments. This thesis demonstrates how genetic modifications of viruses alter structural and electrical properties, thereby introducing practical virus-based bioelectrical applications.

In Chapter 2, the triboelectric phenomenon in the M13 phage is studied. By creating precisely defined structures with varying numbers of negatively charged residues on the protein surface, we investigated the triboelectric effect of the M13 phage using scanning probe microscopy at the molecular level. Computational analysis revealed that genetic engineering of the phage alters the energy levels of the phage, resulting in differences in electron transfer under friction. The proposed phage-based triboelectric devices could generate 76 V of voltage and 5.1 μ A of current, powering 30 LED devices. This study offers a promising avenue for sustainable green energy harvesting and a novel methodology for detecting specific viruses in future applications.

In Chapter 3, the piezoelectric property of the M13 phage is demonstrated. This study demonstrates a breakthrough of understanding the piezoelectric capabilities of biomaterials by studying how the surface charge and dipole moment of the material correlates and how they react to various mechanical stimulations. Through computational analysis, the piezoelectric tensor of the phage is defined and the electrical intricacies of the phage and its response to diverse external mechanical stresses are explained. Moreover, the proposed phage-based piezoelectric devices exhibited directional dependency of the piezoelectric effect of the phage, including normal and shear piezoelectricity. This bioengineering approach, encompassing surface charge engineering, genetic modification, and precise polarization control, proves pivotal in optimizing the piezoelectric effect.

In Chapter 4, the pyroelectric characteristics of the M13 phage are described. The molecular-level comprehension of the pyroelectric effect of the phage provides insight into the underlying mechanisms of pyroelectricity in biomaterials. The pyroelectric phenomenon of the phage was characterized using scanning probe microscopy. Furthermore, the molecular mechanism of the pyroelectric effect of the phage was demonstrated through computational analysis and circular dichroism spectroscopy. The results revealed that the coat proteins undergo structural changes upon the application of heat, resulting in alterations in spontaneous polarization and the generation of pyroelectric potential. This discovery suggests potential applications for novel biological pyroelectric modalities in detecting various viral particles and biomaterials.

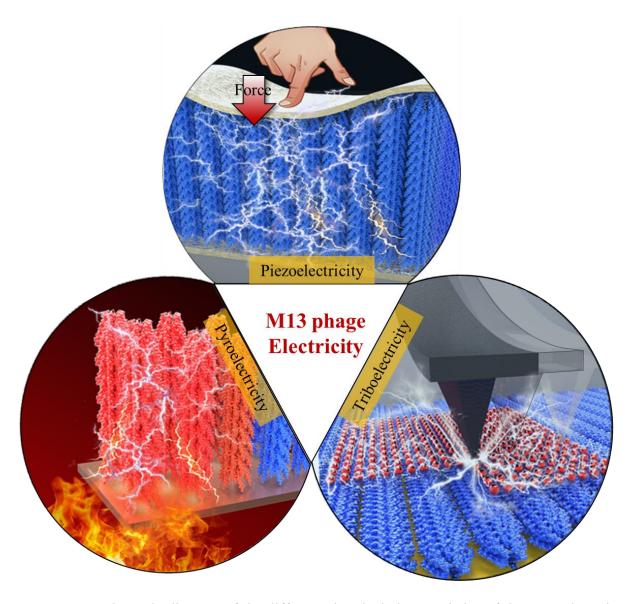


Figure 5-1. Schematic diagram of the different electrical characteristics of the M13 phage in response to different external stimuli: Piezoelectricity (mechanical stress), pyroelectricity (temperature change), and triboelectricity (contact).

5.2 Future perspectives

Building on the extensive exploration of the bioelectrical properties of the M13 phage that unveiled novel avenues in virus-based bioelectrical applications, Chapters 2, 3, and 4 intricately detail the triboelectric, piezoelectric, and pyroelectric characteristics of this versatile viral platform. These chapters collectively demonstrate the potential of the M13 phage in generating sustainable energy and pioneering innovative molecular sensing methodologies. The future perspective, now expanded with Sections 5.2.1, 5.2.2, 5.2.3, and 5.2.4, continues the trajectory of innovation. Section 5.2.1 emphasizes pathways for enhancing the performance

of phage-based triboelectric devices through genetic engineering, chemical modification, and self-assembled nanostructure control. In Section 5.2.2, the focus shifts to optimizing the piezoelectric effect of phage-based devices, addressing challenges in random alignment of phage during self-assembly. Precise control over phage alignment, coupled with advanced deposition and fabrication methods, aims to elevate practical efficacy, particularly in wearable and implantable energy harvesting technologies. Section 5.2.3 explores the responsivity of the pyroelectric characteristics of the M13 phage, aligning with the broader goal of enhancing sensitivity in pyroelectric devices for applications like infrared detection and thermal imaging. The exploration extends to a phage-based pyroelectric molecular sensing system, laying the foundation for potential expansions into protein sensing systems through genome engineering and the expression of specific protein motifs on the M13 phage surface. Lastly, Section 5.2.4 suggests exploring ferroelectricity as a new material property and underscores the importance of controlling dipole moments through genetic engineering for experimental characterization. This discovery could inspire novel applications, drawing parallels with established ferroelectric materials like ferroelectric liquid crystals and exploring concepts from bioelectric memory devices. Collectively, this ongoing research significantly contributes to the evolving field of bioelectricity, establishing a robust foundation for practical applications poised to revolutionize energy harvesting, molecular sensing system, and the study of ferroelectric biomaterials.

5.2.1 Enhancing the performance of phage-based triboelectric devices

The electrical output performance of phage-based triboelectric devices can be further improved through various techniques, including genetic engineering, chemical modification, and self-assembled nanostructure control. Firstly, energy level modification is crucial in the triboelectric effect because it is closely related to electron transfer. Computational analysis in Chapter 2 revealed that the LUMO level of the phage protein decreases with the introduction of glutamates to the phage surface. This demonstrates that genetic engineering of the phage by introducing residues that can significantly lower the LUMO level or by introducing a larger number of glutamates into the phage surface can enhance the triboelectric effect. Next, chemical modification on the phage surface can be effective. It is known that fluorinating the surface of certain materials enhances the triboelectric effect because fluorine is a highly electronegative element, demonstrating its strong tendency to extract electrons. Lastly, enlarging the surface area of the triboelectric layer is significant for the enhancement of the triboelectric effect. M13 phage exhibits various self-assembled nanostructures. Notably, the self-templating phage deposition method has been precisely developed. By depositing phage over a large surface area and controlling the evaporation kinetics and ionic concentration of the phage solution, I expect superior enhancement of the triboelectric performance of phage-based triboelectric devices.

5.2.2 Optimizing the piezoelectric effect of phage-based piezoelectric devices

In the process of self-assembly for the M13 phage, the inherent random alignment

concerning rotational degree of freedom of the phage leads to the simultaneous measurement of various piezoelectric constants in phage-based piezoelectric devices. This simultaneity makes it challenging to differentiate between these integrated piezoelectric constants. For instance, in the horizontal mode of phage-based piezoelectric devices, normal longitudinal modes of d₁₁ and d₂₂ are randomly mixed, presenting an integrated piezoelectric output. Although I have thoroughly investigated every possible individual piezoelectric constant of the phage using computational methods, it is still necessary to experimentally quantify these constants. Therefore, precise control over phage alignment should be developed. Moreover, limitations in electrode fabrication and phage deposition methods restrict the characterization of all different types of piezoelectric constants. Consequently, the development of appropriate phage deposition and electrode fabrication methods is required. Lastly, it is crucial to not only characterize the inherent normal and shear piezoelectric constants but also to understand the intricate interplay of piezoelectric constants that may manifest during bending or stretching motions, ensuring their efficacy in practical applications. Through a thorough understanding of these complex piezoelectric situations, phage-based piezoelectric devices can be assured of their suitability for practical applications, such as wearable and implantable energy harvesting technologies.

5.2.3 Investigating the responsivity and novel phage-based pyroelectrical applications

Responsivity in the context of pyroelectric devices refers to the ability of the device to generate an electrical response in proportion to changes in temperature or thermal radiation, indicating the effectiveness of the pyroelectric material converts thermal changes into an electrical signal. Higher responsivity is generally desirable in pyroelectric applications, as it suggests that the material is more sensitive to temperature variations. Since phage-based pyroelectric devices can be used for infrared detection, thermal imaging, and other applications where precise measurement of temperature changes is essential, a higher responsivity is desired. Thus, investigating the responsivity of the pyroelectric characteristics of the M13 phage becomes crucial. Furthermore, I have investigated a phage-based pyroelectric molecular sensing system designed to detect polar and toxic molecules using hydrophilic and aromatic interactions. By expressing specific protein motifs on the M13 phage surface through phage genome engineering, the expressed protein motifs have the potential to interact with other protein materials through protein-protein interactions. Leveraging the pyroelectric molecular sensing mechanism, I anticipate that this phage-based pyroelectric sensing system can be expanded to protein sensing systems.

5.2.4 Exploring the ferroelectricity in M13 phage

The non-centrosymmetric structure and the existence of spontaneous polarization of the M13 phage satisfy the requirements to exhibit piezoelectric and pyroelectric properties. We pose a question: Does the M13 phage exhibit ferroelectric properties? Since the M13 phage may demonstrate ferroelectric properties from a crystallographic perspective, I suggest

exploring a new material property—ferroelectricity. This can be an excellent model system to understand the ferroelectric properties of biomaterials in general. To achieve this aim, we must consider the possibility of polarization switching in the phage. The determination of polarization formation in M13 phage is explained in Chapter 3. Phage polarization is primarily determined by the surface charge dipole moment and core dipole moment, which can be manipulated through genetic engineering. If the net dipole moment of the phage is too large, we can expect that polarization switching may be limited and challenging to characterize experimentally. This is because the phage would require substantial energy to significantly deform its physical structure under an external electric field. Therefore, by controlling the magnitude of the surface charge dipole moment and core dipole moment to ensure the phage has a small net dipole moment, I anticipate that it may be applicable for characterizing the ferroelectric property of the phage experimentally. Thus, initially optimizing the magnitude of the dipole moment of the phage through genetic engineering, the ferroelectric properties of the phage can be characterized using scanning probe microscopy or phage-based ferroelectric devices. The discovery of ferroelectricity in the M13 phage could inspire novel applications. Drawing parallels with established ferroelectric materials, such as ferroelectric liquid crystals, and incorporating concepts from bioelectric memory devices inspired by ferroelectric memory might provide insights into potential applications.

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Appendix

A.1 Calculation of the coordinates of positive and negative charges

```
#!/bin/bash
# Author: David Small, Molecular Graphics and Computation Facility
# usage: charge centers from mae file.mae
# file.mae must contain (force-field) partial charges
        one way to get this is to use desmond system builder, then export that entry as mae
   the script uses the r m charge1 column from file.mae, because that's what
compute_dipole_charged.py uses
m atom line=\$(grep - n m atom) = 1 | awk | split($1,arr,":"); print arr[1]; }')
tail -n +$m atom line $1 | awk 'BEGIN {
 readData = 0;
 n_charge = 0.0; p_charge = 0.0;
 for (i=1; i \le 6; i++) nr[i] = 0.0;
 if (readData)
  if (\$1 == ":::") exit;
  else
        n=split($0,arr,"\"");
        count = 1;
        for (i = 1; i \le n; i++)
        {
                if ((i \% 2) == 0)
                {
```

```
lineCols[count] = "";
                         count++;
                }
                else
                 {
                         nn = split(arr[i], arrarr, " ");
                         for (ii = 1; ii <= nn; ii++)
                         {
                                  lineCols[count] = arrarr[ii];
                                  count++;
                         }
                }
       }
       # print count;
    chg = lineCols[qcol];
    if (chg > 0.0)
       p\_charge \mathrel{+=} chg;
       j = 3;
    }
   else
    {
       n_charge += chg;
        j = 0;
    for (i=1; i<4; i++) nr[j+i] += chg * lineCols[rcol[i]];
 }
else
                                               195
```

```
{
  if (1 = "r m x coord") rcol[1] = NR-1;
  if (\$1 == "r m y coord") rcol[2] = NR-1;
  if (1 == r m z coord) rcol[3] = NR-1;
  if (\$1 == "r_m_charge1") qcol = NR-1;
  if ($1 == ":::") readData = 1;
}
END {
 for (i=1; i \le 3; i++)
 {
  if (n charge < 0.0) nr[i] /= n charge;
  if (p charge > 0.0) nr[i+3] /= p charge;
 }
 print "Total negative charge:",n charge;
 print "Center of negative charge:",nr[1],nr[2],nr[3];
 print "Total positive charge:",p charge;
 print "Center of positive charge:",nr[4],nr[5],nr[6];
}'
```

A.2 Deformation of a M13 phage model

```
# Author: David Small, Molecular Graphics and Computation Facility
import sys

from schrodinger.structure import StructureReader

st = list(StructureReader(sys.argv[1]))[0]

# print(st.property["r_pdb_PDB_CRYST1_alpha"])

from schrodinger.application.matsci.nano import xtal

# xtal.trans_cart_to_frac_from_vecs(coords, a_vec, b_vec, c_vec, rec=False)
```

```
# xtal.trans frac to cart from vecs(coords, a vec, b vec, c vec, rec=False)
       # xtal.get lattice vectors(a param, b param, c param, alpha param, beta param,
gamma param)
                      [st.property["r chorus box ax"],
                                                          st.property["r chorus box ay"],
       a vec
st.property["r chorus box az"]]
       b vec
                      [st.property["r chorus box bx"],
                                                         st.property["r chorus box by"],
st.property["r_chorus_box_bz"]]
                      [st.property["r chorus box cx"],
       c vec
                                                          st.property["r chorus box cy"],
st.property["r chorus box cz"]]
       f coords = xtal.trans cart to frac from vecs(st.getXYZ(), a vec, b vec, c vec)
       print(f coords)
       new lattice constants = [float(gta) for gta in sys.argv[2:8]]
       new a, new b, new c = xtal.get lattice vectors(*new lattice constants)
       new xyz coords = xtal.trans frac to cart from vecs(f coords, new a, new b,
new c)
                                ["r pdb PDB CRYST1",
       prefixes
                                                                "s pdb PDB CRYST1",
"i pdb PDB CRYST1", "r_chorus_box"]
       for key in st.property:
            if any(key.startswith(sta) for sta in prefixes):
                 del st.property[key]
       st.property["r chorus box ax"] = new a[0]
       st.property["r chorus box ay"] = new a[1]
       st.property["r chorus box az"] = new a[2]
       st.property["r chorus box bx"] = new b[0]
       st.property["r_chorus_box_by"] = new_b[1]
       st.property["r chorus box bz"] = new b[2]
       st.property["r chorus box cx"] = new c[0]
       st.property["r chorus box cy"] = new c[1]
       st.property["r_chorus_box_cz"] = new c[2]
```

```
st.property["r_pdb_PDB_CRYST1_a"] = new_lattice_constants[0]
st.property["r_pdb_PDB_CRYST1_b"] = new_lattice_constants[1]
st.property["r_pdb_PDB_CRYST1_c"] = new_lattice_constants[2]
st.property["r_pdb_PDB_CRYST1_alpha"] = new_lattice_constants[3]
st.property["r_pdb_PDB_CRYST1_beta"] = new_lattice_constants[4]
st.property["r_pdb_PDB_CRYST1_gamma"] = new_lattice_constants[5]
st.setXYZ(new_xyz_coords)
st.write("deformed_structure.mae")
```

A.3 Temperature controller in Kelvin probe force microscopy measurements

```
# Author: Seung-Wuk Lee
       #include <SPI.h>
       #include <Wire.h>
       #include <Adafruit GFX.h>
       #include <Adafruit SSD1306.h>
       #include <PIDController.h>
       // Max6675 thermosensor was not used: instead thermister with 100k ohn register read
thorugh A0
       // Define Rotary Encoder Pins
       #define CLK PIN 2
       #define DATA PIN 3
       #define SW PIN 4
       // Mosfet Pin
       #define mosfet pin 11
       // Serial Enable
       #define DEBUG
       #define SCREEN WIDTH 128 // OLED display width, in pixels
       #define SCREEN HEIGHT 64 // OLED display height, in pixels
```

```
#define OLED RESET
                                    -1 // Reset pin # (or -1 if sharing Arduino reset pin)
       /*In this section we have defined the gain values for the
         * proportional, integral, and derivative controller I have set
         * the gain values with the help of trial and error methods.
        */
       #define Kp 30 // Proportional constant
       #define Ki 0.7 // Integral Constant
       #define Kd 200 // Derivative Constant
       int clockPin; // Placeholder por pin status used by the rotary encoder
       int clockPinState; // Placeholder por pin status used by the rotary encoder
       int set temperature = 25; // This set temperature value will increas or decreas if when
the rotarty encoder is turned
       float temperature value c = 0.0; // stores temperature value
       long debounce = 0; // Debounce delay
       int encoder btn count = 0; // used to check encoder button press
       /*
       MAX6675 thermocouple(thermoCLK, thermoCS, thermoDO); // Create an instance
for the MAX6675 Sensor Called "thermocouple"
        */
       Adafruit SSD1306
                             display(SCREEN WIDTH,
                                                            SCREEN HEIGHT,
                                                                                   &Wire,
OLED_RESET);// Create an instance for the SSD1306 128X64 OLED "display"
       PIDController pid; // Create an instance of the PID controller class, called "pid"
       ///////// add all necessary parameter /////////
       int led pin = 6;
                                 //PWM output pin
       const int VOL PIN = 0; // voltage input pin
       //float set temp = 40;
       int ThermistorPin = 1;
       float Vo;
```

```
float V1;
float R1 = 10000;
float logR2, R2, T;
float c1 = 1.009249522e-03, c2 = 2.378405444e-04, c3 = 2.019202697e-07;
int value;
float volt;
float average;
float average_1;
float pyro_coeff;
int i;
int j;
//define time
//unsigned long time;
int sec = 0;
int Min = 0;
int Hour = 0;
int m_{sec} = 0;
float FT=0;
float CT=0;
// Voltage reading
float V2;
float V3;
float R3 = 100000;
float R4 = 10000;
void setup() {
#ifdef __DEBUG__
```

```
Serial.begin(9600);
        #endif
          pinMode(mosfet_pin, OUTPUT); // MOSFET output PIN
          pinMode(CLK PIN, INPUT); // Encoer Clock Pin
          pinMode(DATA PIN, INPUT); //Encoder Data Pin
          pinMode(SW_PIN, INPUT_PULLUP);// Encoder SW Pin
                                 // initialize the PID instance
          pid.begin();
          pid.setpoint(150);
                                // The "goal" the PID controller tries to "reach"
          pid.tune( Kp, Ki, Kd);
                                           // Tune the PID, arguments: kP, kI, kD
          pid.limit(0, 255);
                                // Limit the PID output between 0 and 255, this is important
to get rid of integral windup!
          if (!display.begin(SSD1306 SWITCHCAPVCC, 0x3C)) {
        #ifdef DEBUG
            Serial.println(F("SSD1306 allocation failed"));
        #endif
            for (;;); // Don't proceed, loop forever
          }
          //
          display.setRotation(2); //Rotate the Display
          display.display(); //Show initial display buffer contents on the screen -- the library
initializes this with an Adafruit splash screen.
          display.clearDisplay(); // Cleear the Display
          display.setTextSize(2); // Set text Size
          display.setTextColor(WHITE); // set LCD Colour
          display.setCursor(30, 0); // Set Cursor Position
          display.println("Lee Lab"); // Print the this Text
          display.setCursor(0, 20); // Set Cursor Position
          display.println("Temperatur"); // Print the this Text
```

```
display.setCursor(22, 40); // Set Cursor Position
          display.println("Control"); // Print the this Text
          display.display(); // Update the Display
          delay(2000); // Delay of 200 ms
        }
        void set temp()
        {
          if (encoder btn count == 2) // check if the button is pressed twice and its in
temperature set mode.
             display.clearDisplay(); // clear the display
             display.setTextSize(2); // Set text Size
             display.setCursor(16, 0); // set the diplay cursor
             display.print("Set Temp."); // Print Set Temp. on the display
             display.setCursor(45, 25); // set the cursor
             display.print(set temperature);// print the set temperature value on the display
             display.display(); // Update the Display
           }
        }
        void read encoder() // In this function we read the encoder data and increment the
counter if its rotating clockwise and decrement the counter if its rotating counter clockwis
          clockPin = digitalRead(CLK PIN); // we read the clock pin of the rotary encoder
          if (clockPin!= clockPinState && clockPin == 1) { // if this condition is true then
the encoder is rotaing counter clockwise and we decremet  the counter
             if (digitalRead(DATA PIN) != clockPin) set temperature = set temperature - 3;
// decrmetn the counter.
             else
                    set temperature = set temperature + 1; // Encoder is rotating CW so
increment
```

```
if (set_temperature < 1 )set_temperature = 1; // if the counter value is less than 1 the set it back to 1
```

if (set_temperature > 150) set_temperature = 150; //if the counter value is grater than 150 then set it back to 150

```
#ifdef DEBUG
```

Serial.println(set_temperature); // print the set temperature value on the serial monitor window

```
#endif
  }
  clockPinState = clockPin; // Remember last CLK PIN state
  if (digitalRead(SW PIN) == LOW) //If we detect LOW signal, button is pressed
    if (millis() - debounce > 80) { //debounce delay
       encoder_btn_count++; // Increment the values
       if (encoder btn count > 2) encoder btn count = 1;
#ifdef DEBUG
       Serial.println(encoder btn count);
#endif
     }
    debounce = millis(); // update the time variable
  }
}
void loop()
{
  Vo = analogRead(ThermistorPin); // thermister reading
  V1 = analogRead(0);
                          // voltage reading after amplification
float samples;
float NUMSAMPLES= 5;
```

```
// take N samples in a row, with a slight delay
  for (int i = 0; i < NUMSAMPLES; i++) samples += Vo;
    Vo = samples/NUMSAMPLES;
    delay(1);
float samples 1;
float NUMSAMPLES_1= 5;
  // take N samples in a row, with a slight delay
  for (int i = 0; i < NUMSAMPLES 1; i++) samples 1 += Vo;
    V1 = samples 1/NUMSAMPLES 1;
    delay(1);
  R2 = R1 * (1023.0 / (float)Vo - 1.0);
  logR2 = log(R2);
  T = (1.0 / (c1 + c2*logR2 + c3*logR2*logR2*logR2));
  T = T - 273.15;
  FT = (T * 9.0) / 5.0 + 32.0; // Farhenheit temp
  CT=(FT-32)/1.8;
                                 // Celcius temp
float vPow = 5.0;
   float V2 = (V1 * vPow) / 1024.0;
   float V3 = V2 / (R4 / (R3 + R4));
  Serial.print("Temperature: ");
  Serial.print(CT);
  Serial.print(" C");
  Serial.print("; ");
  Serial.print("Voltage: ");
  Serial.print(V3);
  Serial.print("mV");
  Serial.print("; ");
```

```
read encoder(); //Call The Read Encoder Function
          set temp(); // Call the Set Temperature Function
          if (encoder btn count == 1) // check if the button is pressed and its in Free Running
Mode -- in this mode the arduino continiously updates the screen and adjusts the PWM output
according to the temperature.
              temperature value c = thermocouple.readCelsius(); // Read the Temperature
using the readCelsius methode from MAX6675 Library.
           temperature value c = CT; // Read the Temperature using the readCelsius methode
from MAX6675 Library.
             int output = pid.compute(temperature value c);
                                                              // Let the PID compute the
value, returns the optimal output
             analogWrite(mosfet pin, output);
                                                           // Write the output to the output
pin
             pid.setpoint(set temperature); // Use the setpoint methode of the PID library to
             display.clearDisplay(); // Clear the display
             display.setTextSize(2); // Set text Size
             display.setCursor(16, 0); // Set the Display Cursor
             display.print("Cur Temp."); //Print to the Display
             display.setCursor(45, 25);// Set the Display Cursor
             //
             display.print(CT); // Print the Temperature value to the display in celcius
                 display.print(temperature value c); // Print the Temperature value to the
         //
display in celcius
             display.display(); // Update the Display
        #ifdef DEBUG
          // Serial.print(temperature value c); // Print the Temperature value in *C on serial
monitor
          // Serial.print("
                              "); // Print an Empty Space
             Serial.print("; feedback output: "); // Print the Calculate Output value in the
```

serial monitor.

```
Serial.println(output); // Print the Calculate Output value in the serial monitor.

#endif
delay(200); // Wait 200ms to update the OLED dispaly.
}
```

A.4 Information of graphic figures

The graphic figures in this dissertation were constructed by Han Kim using Powerpoint, Pymol, Maestro, Chemdraw, Rhinoceros 3D, and KeyShot software. Particularly, several components were purchased: Escherichia coli in Figure 1-21 was purchased from Pngtree, the oscilloscope in Figure 4-23 was purchased from TURBOSQUID, and the electric spark, fire, and finger in Figure 5-1 were purchased from Pngtree.