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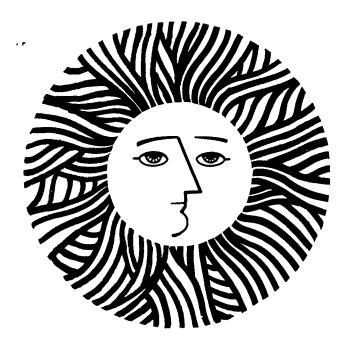
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Manu Seth and Sabri Ergun

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THE POTENTIAL FOR BIOMASS LIQUEFACTION

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ABSTRACT

A first, broad, overview of biomass liquefaction is presented. Four desirable chemical conversion routes that may be useful in the liquefaction of wood have been identified. Process conceptualization has been attempted based on an analysis of changes in physical structure, elemental composition and chemical transformation that may occur during liquefaction. Possible process streams have been characterized and likely separation procedures identified.

INTRODUCTION

As technical monitors for the Thermochemical Conversion of Biomass, a major responsibility of the Coal and Biomass Group at LBL is to identify and define promising research and developmental areas related to the production of liquid fuels from biomass. As a first step a framework for technoeconomic evaluation of developing liquefaction options is being formulated. This paper outlines the objectives, scope, approach and consequences of our first overview of biomass liquefaction. Subsequent papers will attempt to fill in the details and discuss experimental results and how these fit into or modify the evaluation framework.

OVERVIEW

Several biomass feed-stocks are available for conversion to desirable fuels. These feedstocks in turn may be used to obtain a broad mix of products. Our objective, then, is to look for and develop a family of conversion processes. An integrated approach would enable us to apply experience gained with one feedstock or process-concept to other biomass feeds and conversion options. The developmental scheme is well represented by Figure 1, which outlines some of the feed-stocks and products of interest.

Each biomass liquefaction scheme can be characterized by the feed being processed, the products produced and the severity of the treatment. Apart from their ability to handle various feedstocks, the processes selected for development must also span a wide range of processing conditions. High severity processes, being capital intensive, could be used for large scale production wehreas low severity processes could be used for dispersed, small-scale applications. As a first approximation, the severity of a process can be defined by the reaction temperature and residence time in the major conversion step. Figure 2 shows a hypothetical temperature-time region which future biomass conversion processes must attempt to span.

(Family of Processes)

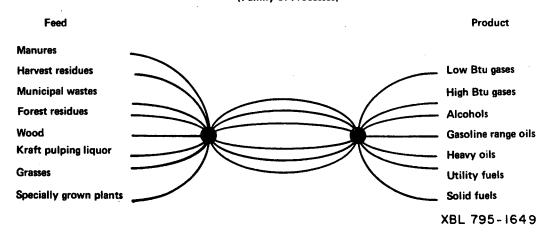


Fig. 1: Possible biomass feeds and products.

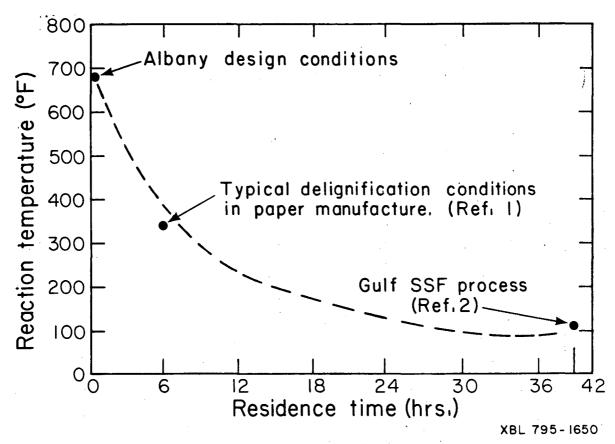


Fig. 2: Hypothetical temperature-time region for future biomass processes.

The conversion of solid biomass, especially wood, can be viewed on three levels. The physical state, elemental composition and chemical structure of the biomass feed all change simultaneously as the material moves through a process train. Analysis of each of these transformations and identification of desirable changes leads to an approximate definition of process boundaries and flow schemes. For the sake of simplicity and clarity their discussion is limited to a single biomass feed-stock --wood.

CHEMICAL STRUCTURE OF WOOD

Wood is composed of three major components, cellulose (30-50 wt-%), hemicellulose (10-35 wt-%) and lignin (15-35 wt-%). The chemistry of wood can for the most part be described by the chemistry of its constituents.

Cellulose is formed from D-glucose blocks joined by $\beta\text{--}1,4\text{--glucosidic}$ bonds. Wood cellulose occurs as polymeric molecules with molecular weights generally in the range of 80,000 to 340,000. The structure of cellulose is shown in Figure 3.

Hemicelluloses are complex molecular chains of xylose or arabinose backbones. Xylans combined with substantial amounts of uronic acids are the most important hemicelluloses in wood. Lignin is a polymeric substance whose complexity results from the variety of ways in which constituent phenyl propane and other building blocks can be linked. Several models have been proposed for the structure of lignin. One such model, by Freudenberg [3] is shown in Figure 4. The molecular weight of lignin polymers in wood ranges from 8,000 to 11,000 (or higher) corresponding to a degree of polymerization of 35 to 55.

WOOD CHEMISTRY AND ITS APPLICATION TO LIQUEFACTION

Several areas of wood chemistry have been well explored. A variety of chemical conversions were tested with a view to elucidate the chemical structure of cellulose, lignin and hemicellulose [1,3, 4,5]. Pulping of wood for the manufacture of paper is probably the most studied and best understood area of wood chemistry [1,6]. Hydrogenation of waste liquor from paper manufacturing processes has also been well investigated [4,7,8]. The hydrogenation and hydrogenolysis of wood and lignin were extensively studied by Lindblad [9], Harris [10], Lautsch [11] and Hachihama [12], among others. Substantial information also exists on the selective hydrogenation of carbohydrate materials such as cellulose, sugars and polyhydric alcohols [e.g., 13, 14].

XBL 795-1647

Fig. 3: Structure of cellulose.

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Fig. 4: Structure of lignin.

Review of the various methods of the degradation and hydrogenolysis of wood and its components lead to the selection of four major chemical routes for liquefaction. Criteria used for the selection included acceptable process conditions and the possibility for pilot-scale testing at the DOE Experimental Facility at Albany, Oregon.

The four selected chemical conversion routes are briefly discussed below, together with illustrative examples of processing conditions, reagents and possible applications to wood liquefaction.

TREATMENT WITH INORGANIC SALT SOLUTIONS

In both the Soda and Kraft processes for the production of cellulosic pulp for paper manufacture, the lignin in wood is degraded by the cooking chemicals and its fragments dissolve in the liquor. Typically cooking times of 4 to 6 hours at 170°C are used for delignification. Several complex reactions occur during the delignification process. It has been suggested [15,16] that aromatic ether links in lignin are hydrolyzed by the alkaline cooking liquors. Etherification of specific phenolic groups are postulated to result in rapid cleavage of some of the ether groups [15], resulting in the solubilization of about 1/3 of the lignin. The presence of sodium hydrosulfide ions (-SHT) in Kraft cooking liquors are assumed to cause the increased extent of lignin solubilization in this process [15]. Model experiments indicate the initial step is addition of -SHT ions to quinone methide lignin intermediates to form mercaptide ions (S-). The mercaptide ion is a strong nucleophile, and by a complex series of rearrangemets leads to the depolymerization of virtually all the lignin [15].

Modifications of the chemistry of paper manufacture could lead to new process schemes for wood liquefaction. Research in this area must be geared to overcoming three drawbacks. Other inorganic nucleophiles should be screened to find salts that i) do not result in sulfur (or nitrogen) incorporation in the products; ii) substantially depolymerize cellulose; iii) result in products which either have no inorganic base incorporation or products from which incorporated inorganic materials can be easily removed.

Extensive screening of various catalysts by the LBL group has resulted in the identification of an acceptable catalyst. These results will be reported when testing is completed.

Mild oxidation of wood prior to treatment with alkali may also result in the simultaneous depolymerization of cellulose and lignin at 170-180°C [15]. This option is also being tested at LBL.

Hydrogen Transfer from Hydrocarbon Molecules

Hydrogenation of wood to distillable products can be achieved by treatment with hydrogen donor solvents at 320 to 400°C for 1 to 4 hrs [17]. Cyclo-

hexanol [18], tetralin [17] and decalin [19] have all been tested as sources of hydrogen. Liquefaction occurs by the donation of hydrogen from solvent molecules to thermally cleaved bonds in wood. An alternative approach for the transfer of hydrogen to wood is the acid catalyzed hydride transfer reaction. In this reaction, a hydrogen atom is transferred with its pair of electrons to a carbonium ion [20]. α -Pinene has been used as a hydride donor in the presence of a strong acid at 120-150°C [21].

Both thermal hydrogen donation and catalytic hydride transfer hold promise for wood liquefaction. In each case the spent solvent would have to be hydrogenated preferably in a separate hydrogenation reactor. Two possible problems may occur with either scheme. First, incorporation of solvent by condensation reactions with products or with wood residues could lead to unacceptably high solvent losses. Second, separation of solvent from reaction products by distillation may be difficult because of overlapping boiling ranges. To circumvent both problems wood-derived molecules should be tested as hydrogen donors or hydride transfer agents. Partially hydrogenated phenyl propane units (derived from lignin hydrogenaration) and/or alcohols (from cellulose hydrogenation) may prove to be adequate hydrogen sources.

Solvolysis

Solvolytic degradation of cellulose and of lignin have both been well studied [7,22]. Solvolysis in an acidic medium can occur under a variety of conditions ranging from 0.8 wt-% acid at 170° C to 40% acid at $40-60^{\circ}$ C, with solvents such as water (hydrolysis), methanol, ethanol and even phenol [8].

Solvolysis of cellulose is an acid catalyzed reaction involving the rapid formation of an intermediate complex between the glycosidic oxygen and a proton; this is followed by the slow, ratedetermining scission of the glycosidic bond adjacent to C(1) [22]. When lignin is treated with alcohols in an acidic medium new alkoxy groups are introduced at ambient conditions [7] without significant lignin depolymerization. At higher temperatures (e.g., 79°C with ethanol) this rapid hydroxyl displacement reaction is followed by degradation of lignin to monomers and soluble oligomers.

By carefully controlling reaction temperature and acid concentrations it may be possible to liquefy wood by simultaneous solvolysis of lignin and cellulose, using acceptably small levels of solvent consumption. If low boiling alcohols are used for solvolysis the overall process must also include an alcohol recovery or production unit.

Organometallic Complexes as Liquefaction Catalysts

The application of homogeneous organometallic complexes for hydrogenation and hydroformulation reactions are well known. Such catalysts appear to hold considerable promise for wood liquefaction. Recently Gaslini [23] and Nahum [24] reported the use of dicobalt octacarbonyl as an effective catalyst for the delignification of wood. Their objective was to produce a cellulosic pulp suitable for the manufacture of paper. Red spruce wood meal was suspended in a polar solvent and treated with 1:1 hydrogen/carbon monoxide at 130-170°C in the presence of dicobalt octacarbonyl, a soluble catalyst. Tests were run for residence times ranging from 6 to 24 hrs [23,24]. Under these conditions about 97% of the lignin was solubilized. Extensive characterization of the products indicated the formation of substituted guaiacols and guiacyl tetrahydrofurans, with up to 70% of the soluble product boiling under 400°C. The cellulose and hemicellulose fractions of the wood were essentially unchanged.

To effectively utilize homogeneous organometallic complexes (especially carbonyls) for wood liquefaction, two changes in the above scheme must become possible. First, the catalysts selected must be soluble in dilute aqueous acids (pH \cong 2-4). The acid would hydrolyze the wood to soluble sugars, which in turn may also be hydrogenated. The activity of catalysts must also be improved so as to achieve hydrogenation rates comparable to those of sugar degradation.

Having selected potentially attractive process chemistries we now turn our attention to process conceptualization.

PROCESS DEFINITION

Process development involves establishing of chemical, technical and economic feasibility of a process scheme, usually in that order. When an array of partially understood chemical conversion schemes exist, even a limited prior understanding of process parameters and economics can be of invaluable assistance in formulating a reasonable experimental program. The changes in physical structure and elemental composition of wood as it is converted to liquid products have been analyzed for a first overview of process concepts.

Physical Transformations

Wood may be fed to a process as chips (3/8" to 1" size pieces) or as flour (typically -60 mesh powder). Use of wood chips avoids the cost of drying, crushing and grinding associated with the production of flour. Chemical (or mechanical) degradation of chips to fine particles is, however, necessary if the wood is to be fed as a slurry through high pressure pumps to pressurized reaction tanks. In the first lower pressure chemical conversion step wood chips must be transformed into a pumpable slurry and/or material soluble in the slurrying solvent. Early depolymerization of wood to soluble material is also chemically advantageous because of greater accessibility of the substrate due to reduced mass transfer limitations. Furthermore, cellulose must be depolymerized in the first

conversion step, since delignification alone would result in the formation of fibrous cellulose which would still be difficult to pump.

The next major physical transformation would be the conversion of the pumpable slurry to low molecular weight product. This step could, if necessary, occur at high pressures.

In retrospect the chemical structures of major wood components involve a large number of functional groups containing oxygen. In the early stages of depolymerization a polar reaction medium could be expected to be beneficial because the oxygen-containing groups are quite polar.

Elemental Transformations

The overall mass balance for any biomass conversion scheme can be represented by:

$$C_x H_y O_z + a H_2 + b CO_2 + c CO + d C_p H_q O_r$$
(feed) (product)

This equation is a plant-battery-limit mass balance that ignores ash, nitrogen and sulfur but includes the production of any reducing gases or wood derived reagents needed for the overall conversion (e.g., CO, $\rm H_2$ or alcohols).

An essential element for wood liquefaction is the removal of nearly all the chemically bound oxygen which, together with depolymerization, can lead to formation of liquid products with a high heating value. Oxygen removed from wood would exit any processing scheme as water, carbon dioxide or carbon monoxide. Removal or addition of water to or from an organic molecule results in little change in its molar heating value, as indicated by the Dulong Formula for estimation of heating values. Spontaneous removal of carbon dioxide in the major reaction step would lower the consumption of reducing gases and hence the load on any auxiliary gasification system. Better carbon utilization would be obtained if an increased fraction of CO2 is produced since two oxygen atoms are removed for every carbon (instead of one for one in a CO molecule).

If hydrogen, carbon monoxide or alcohols are used for depolymerization and/or deoxygenation of wood the extent to which they are consumed will affect the total wood consumption per unit of oil. Furthermore, the ability of a process to accept mixtures of CO and $\rm H_2$ could result in a substantial saving in overall cost by eliminating the need for cryogenic gas separation.

Separation Processes

Separation and recovery of products from reactor effluents could be an important (and expensive) part of biomass liquefaction processes. Typical intermediates and products obtained from the depolymerization of cellulose and lignin in reduc-

ing atmospheres were examined so as to obtain some understanding of the unit operations that could be used for product separation and recovery. A partial list of cellulose and lignin decomposition products is shown in Figures 5 and 6, together with their estimated boiling points and solubilities in water.

The depolymerization of wood in reducing atmospheres results in the formation of products of progressively lower oxygen content and polarity. In general the lignin in wood decomposes to form water-insoluble, high boiling products, whereas cellulose decomposition results in the formation of water-soluble products having lower boiling points. This is a rather simplified view of wood liquefaction since it ignores the possibility of

	Example	Boiling point	Solubility in water
Cellulose	•		
Oligomers			Insoluble
Sugars	Glucose	_	Soluble
Polyalcohols	Sorbitol	295(@ 3.5mm Hg)	Soluble
	Glycerol	290	v. Soluble
Alcohols	Propanol	98	v. Solubie
Alkanes	Ethanol	79	v. Soluble

Fig. 5: Cellulose depolymerization products in reducing atmospheres.

the formation of polymeric materials from condensation and degradation reactions. It does, however, enable us to begin estimation of the physical properties of process streams and selection of possible separation processes.

A two-phase liquid effluent can be expected from the liquefaction reactor(s). The light, aqueous, phase would contain any added process water (and water from wood dehydration) together with dissolved low-molecular-weight phenols and alcohols. Higher molecular weight wood-derived oils and any non-polar organic slurring solvents could be expected in the heavy, organic, phase. The organic phase would also contain any unreacted wood or high-molecular-weight condensation and degradation products.

Where the aqueous phase is a large fraction of the product stream, decantation or centrifugal separation may be considered so as to avoid high evaporation costs. Such phase separation would also permit the recirculation of water soluble catalysts.

Of the organic phase only materials lower boiling than dilignols (from lignin) and sugars (from cellulose) can be distilled. Reactor conditions should therefore be optimized for the production of substituted phenyl propanes (monoliquids) and polyhydridic alcohols. The non-distillable fraction of the organic phase could be used for the production of reducing gases and/or process heat generation.

CONCLUSION

A two-step wood liquefaction process has been envisioned using wood chips as the feed. The first low-pressure step would be used to obtain

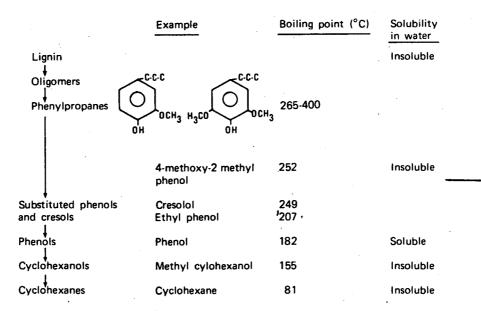


Fig. 6: Lignin depolymerization products in reducing atmospheres.

a pumpable slurry. The second step, which could occur at higher pressures, would convert the biomass to distillable oil. Four possible chemical routes that could prove useful for wood liquefaction have been identified. An analysis of likely changes in elemental and physical composition during liquefaction resulted in a broad definition of process characteristics and the identification of desirable changes. Probable components of reactor effluent streams have also been identified and appropriate separation procedures suggested.

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