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**Analytical Characterization of Laser Induced Plasmas
towards Uranium Isotopic Analysis
in Gaseous Uranium Hexafluoride**

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1 **Abstract**

2 To perform direct enrichment assay on gaseous uranium hexafluoride (UF₆) with laser induced
3 breakdown spectroscopy (LIBS), the dominant spectral-line features, evolution of the signal and
4 background of the U II 424.437 nm line, and its Stark width and shift, were studied as a function of
5 UF₆ gas pressure and pulse energy of a nanosecond Nd:YAG laser. Vapor pressure of UF₆ was
6 found to be the most important parameter for LIBS analysis of gaseous UF₆. Spectral congestion
7 with numerous U lines of high excitation potential was observed and signal-to-background ratio
8 (SBR) was low for measurements with 80 torr UF₆. Only when both UF₆ vapor pressure and laser
9 pulse energy were low, for example, less than 20 torr pressure and 30 mJ pulse energy, the
10 resultant LIBS spectra from gaseous UF₆ resembled those obtained from solid U samples. The
11 experimental data also suggest that U and F atoms recombine back to UF₆ after the laser pulse.
12 The U emission was found decay fast with a persistent background signal, degrading SBR with
13 delay time. Systematic positive biases were found for UF₆ enrichment assays performed with the
14 ²³⁵U–²³⁸U line pair at 424.412–424.437 nm, which was confirmed to be caused by self-absorption.
15 Even with optimization of experimental parameters and incorporation of a self-absorption term
16 into the spectral-fitting algorithm, to reduce and compensate for self-absorption, self-absorption is
17 still a main factor limiting accurate UF₆ enrichment assay. The use of another spectral window
18 which contains no resonance line is a prospective solution for the self-absorption issue.

19 **Keywords:** Uranium hexafluoride; Enrichment assay; Isotopic analysis; Isotopic shift; LIBS

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21 **1. Introduction**

22 Within the chemical analysis community, on-site measurement with fieldable instrumentation is
23 one direction under concerted development [1-3]. In the area of analytical atomic spectrometry
24 for inorganic elemental analysis where portable commercial instruments are available, laser
25 induced breakdown spectroscopy (LIBS) and X-ray fluorescence (XRF), are the two most
26 commonly used in-field techniques [1, 3-5]. LIBS and XRF share some similarities but also
27 offer different capabilities; the two techniques are complementary. For instance, XFR is non-
28 destructive whereas LIBS, although nominally destructive offers the option of standoff analysis,
29 depth profiling of the sample, and significantly, the analysis of isotopes.

30 Although it is still largely in the development stage, optical emission from laser induced plasma
31 (LIBS and a very similar technique termed LAMIS – laser ablation molecular isotopic
32 spectrometry, which is based on molecular, instead of atomic, emission from a laser induced
33 plasma) offers the feasibility for isotopic analysis, which is one area that LIBS is superior to
34 XRF [4, 6]. Recent advances include: isotopic analyses of U with atomic emission [7, 8] and Li
35 with self-reversal lines [9]; isotopic analyses of boron with the diatomic BO emission and
36 multivariate calibration [10] and with the triatomic BO₂ molecular bands [11]; and comparison
37 of hydrogen isotopic analysis with OH–OD and NH–ND molecular emission bands for liquid
38 and frozen water samples [12]. In addition, a review on laser-induced plasma for standoff
39 isotopic analysis [13] was recently published.

40 An analytical method for uranium isotopic analysis with LIBS was recently developed by our
41 group [8]. Isotopic information of the solid sample (U₃O₈–Li₂B₄O₇ fused glassy disks) was

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4 42 extracted from the LIBS spectra through multivariate nonlinear spectral fitting, which is theoretical
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6 43 in basis and does not require calibration with isotopically enriched standards. In brief, a spectral-
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9 44 line database consisting of twelve emission-line pairs with the corresponding isotopic shifts was
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11 45 built. The spectral-line database covers a relatively narrow spectral window from 424.300 to
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14 46 424.500 nm. The experimentally measured LIBS spectra were fitted against this theoretical
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16 47 spectral-line database with the unknown ^{235}U isotopic content of the sample as one of the fitting
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19 48 parameters. The method was tested with a set of U-bearing glassy disks with ^{235}U enrichment
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21 49 ranging from natural (0.72%) to highly enriched levels (~93.2%), with results cross-validated with
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24 50 those obtained by thermal ionization mass spectrometry (TIMS) [8]. The analytical accuracies
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26 51 (biases) and precision in absolute $[\text{}^{235}\text{U} / (\text{}^{235}\text{U} + \text{}^{238}\text{U})]$ ratios were about $\pm 1\%$ and 0.2%,
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29 52 respectively, and compare favorably with radiometric, non-destructive techniques (e.g., gamma
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31 53 spectroscopy).

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35 54 Although LIBS is most commonly used for solid analysis, it can be applied to samples of any
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38 55 physical forms including liquid and gas. The objective of the present work is to test the
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41 56 feasibility of extending the application domain of the previously developed method [8] to a direct
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43 57 measurement of gaseous uranium hexafluoride (UF_6). Uranium hexafluoride is a white solid at
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45 58 room temperature but with very high vapor pressure (~80 torr [14]) and is the only known
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48 59 volatile compound of uranium [15]. Its low sublimation temperature together with the fact that
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51 60 fluorine has only a single naturally occurring isotope (so any difference in the molecular weight
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53 61 of UF_6 isotopologues must be from the different uranium isotopes [15]) makes UF_6 arguably the
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55 62 most important uranium compound involved in the uranium enrichment process. As the first line
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58 63 of defense against proliferation, accurate determinations of the uranium isotopic ratio (or
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60 64 enrichment) in UF_6 are critical for materials verification, accounting and safeguards. Currently,

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4 65 mass spectrometry (MS) is the most sensitive measurement technique for the analysis of stable
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6 66 and long-lived isotopes. However, current MS techniques require too much infrastructure and
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9 67 operator expertise for field deployment and operation. Furthermore, shipping gaseous UF₆
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11 68 samples off-site for MS-analysis is cumbersome, costly, and the results are not available for
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14 69 some time (months). In general, LIBS allows rapid, in-field analysis with near real-time results.
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16 70 Accordingly, LIBS is becoming increasingly popular for the analysis of special nuclear materials
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19 71 [7, 13, 16-18].
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23 72 The present work is a first attempt, to our knowledge, to apply LIBS directly to gaseous UF₆ for
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25 73 enrichment assay. Here, we limit ourselves to direct UF₆ analysis without the use of a buffer gas
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28 74 because this method is designed for field (e.g., inside an enrichment plant) analysis. For field
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31 75 analysis, not only the method and the instrument hardware need to be as simple as possible, but
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33 76 also the requirements of consumables and procedures. Although the use of a buffer gas allows
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35 77 one to better tailor the plasma behavior and characteristics [19, 20], consumables (compressed
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38 78 gas) and procedures (particularly safety ones to avoid chamber overpressure with the introduced
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41 79 buffer gas) unavoidably complicate the process. Potentially, the method described in this paper
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43 80 greatly simplifies the procedures (only sampling without any sample preparation) and shortens
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45 81 the response time (on-site analysis, measurement within minutes) required for an UF₆ safeguards
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52 83 As the characteristics of a laser induced plasma formed directly in gaseous UF₆ is almost an
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54 84 unexplored territory, we first performed fundamental characterization of the plasma, for
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57 85 example, the change of signal, background, Stark width and spectral shift with time, laser pulse
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60 86 energy, and UF₆ pressure. As will be discussed in subsequent sections, there is a fundamental
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4 87 difference in the evolution of the laser induced plasma formed with solid U-bearing samples and
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6 88 breakdown directly in gaseous UF₆. The difference is significant so that the same spectral
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9 89 window from 424.300 to 424.500 nm, which showed success when applied to solid sample, is
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12 90 inadequate for gaseous UF₆ samples, primarily because of severe self-absorption.
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16 91 **2. Experimental**

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19 92 The experimental hardware was identical to that previously described [8], with two
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22 93 modifications as noted below. Figure 1 shows a photograph of the experimental setup. Briefly,
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24 94 a nanosecond (~5 ns pulse duration FWHM) Nd:YAG laser operated at its fundamental
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27 95 wavelength at 1064 nm with a repetition rate at 10 Hz was used. The maximum laser pulse
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30 96 energy of the laser was approximately 100 mJ/pulse. Instead of using a single plano-convex lens
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32 97 as previously reported [8], the laser beam was focused by a lens pair consisting of a plano-
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34 98 convex lens and a positive meniscus lens, each of a focal length of 10 cm. To minimize the laser
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37 99 fluence falling onto the window of the UF₆-containing chamber, the focusing-lens pair was
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39 100 placed flush with the chamber window. The laser induced plasma was formed roughly in the
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42 101 center of the chamber (cf. Figure 1).
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46 102 A novel UF₆ corrosion-resistant sampling chamber was designed, fabricated and tested at Oak
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49 103 Ridge National Laboratory for use in this work. The internal volume of the chamber is about
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51 104 270 cm³. At room temperature, it takes 0.39 g of gaseous UF₆ to fill the chamber to its saturated
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54 105 vapor pressure of 80 torr. Two UF₆ sampling chambers were prepared and filled with UF₆ at
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56 106 different ²³⁵U enrichment levels. One UF₆ sample is of natural uranium (NU) with ²³⁵U
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58 107 abundance at 0.72 atom-% whereas the other is of low enriched uranium (LEU) with ²³⁵U at
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108 4.67 atom-%. The enrichment levels of the UF₆ samples were validated using a multi-collector
109 inductively coupled plasma mass spectrometry (MC-ICP-MS). The respective chambers were
110 loaded with 0.95 g of UF₆ for the NU sample and 0.70 g of UF₆ for the LEU sample. An excess
111 UF₆, was added to the cell to allow for some consumption of the gas before the cell would need
112 recharging, the excess material appears as white crystalline solid deposited at the bottom of the
113 chamber.

114 Because its vapor pressure strongly depends on temperature [14], UF₆ pressure is varied
115 indirectly but efficiently through temperature control at the bottom surface of the chamber. The
116 chamber sits on a solid-state thermoelectric cooler (cf. Figure 1), which allows user-controllable
117 setting of the UF₆ vapor pressure between ~ 80 torr (room temperature) and 5.5 torr (equivalent
118 to a temperature of -13°C) within 10 minutes. The chambers contain UF₆ only, without any
119 buffer gas, and each one is equipped with a pressure transducer (diaphragm type with a piezo
120 sensor, model 902B, MKS Instruments, Longmont, CO, USA). The LIBS spectra obtained in
121 gaseous UF₆ (NU) samples were compared to those from a solid sample described in our
122 previous study [8] prepared at the Y-12 National Security Complex. The uranium content was
123 approximately 30% by weight [8] and contained ²³⁵U at natural abundance level (i.e.,
124 0.72 atom-%). LIBS measurement on this U-glass sample was performed under air at
125 atmospheric pressure.

126 Plasma emission was collected with a fused-silica lens through another optical port of the sample
127 chamber orthogonal to the laser, and directed to the entrance slit of the optical spectrometer
128 through an optical fiber bundle (cf. Figure 1). The focal length of the spectrometer is 1.25 m
129 (Horiba Jobin-Yvon 1250M, Longjumeau, France). Compared to our previous setup [8], the

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130 ICCD detector was upgraded to a new one with a 25 mm \varnothing intensifier and 2048×512 pixels
131 (DH340T-25F-03, Andor, Belfast, Northern Ireland). The entrance slit of the spectrometer was
132 set at 30 μm . With the exception of one study, as noted below, a grating with 3600 grooves/mm
133 was used. The spectrometer was set to approximately 424.4 nm, and the measured spectral
134 bandpass (instrumental broadening) was approximately 8 pm. For one particular measurement
135 which required the simultaneous acquisition of the U II 424.437 nm and U II 430.146 nm lines, a
136 grating with 2400 grooves/mm was used and the spectral bandpass was increased to about
137 16 pm. All presented data were corrected for dark counts of the ICCD detector. Furthermore,
138 for measurements involving the two U II lines at 424.437 nm and 430.146 nm, the spectral
139 response of the spectrometer–detector system was calibrated with a NIST traceable UV/VIS
140 deuterium-halogen light source (AvaLight-DH-CAL, Avantes, Broomfield, CO, USA). For U
141 isotopic analysis with spectral fitting, because the span of the spectral window was only 0.2 nm,
142 spectral-response correction was not needed.

143 For measurements of the plasma temporal emission profiles, a series of 50 ICCD frames, each
144 with progressively increasing detector gate delay and width, was acquired. The gate delay of a
145 particular ICCD frame was set at the ending temporal window of the previous one, whereas the
146 gate width was set to be 25 ns longer than the previous frame. This arrangement allows
147 continuous monitoring of the temporal emission profile from 0 to almost 30 μs , with short
148 enough gate width that each exposure can be regarded as a still frame showing the frozen plasma
149 evolution. For the first frame, the delay and gate width were set at 0 and 25 ns, respectively (i.e.,
150 a temporal window from 0 to 25 ns) with respect to the onset of the laser. For the second frame,
151 the delay and gate width were 25 and 50 ns, respectively (i.e., from 25 to 75 ns). For the third

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7 153 the 10th, 20th, 30th and 40th frame, the temporal windows were 1.125-1.375 μ s, 4.75-5.25 μ s,
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9 154 10.875-11.625 μ s, and 19.5-20.5 μ s respectively. The integrated exposure time for each ICCD
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12 155 frame was 8 s (i.e., accumulation of LIBS signal from 80 laser shots).
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16 156 For U isotopic analysis and the measurements of the U II lines at 424.437 nm and 430.146 nm,
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19 157 the gate delay, gate width and integrated exposure time were all varied. Gate delay and width for
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21 158 each experiment will be noted in the pertaining discussions. For measurements with UF₆ at 15
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24 159 and 6 torr, the integrated exposure time for each ICCD frame were 60 s (i.e., signal accumulation
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26 160 from 600 laser shots) and 120 s (i.e., 1200 laser shots), respectively.
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31 161 **3. Results and Discussion**

32 33 34 162 **3.1 Temporal evolution of U LIBS intensity with solid samples**

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38 163 As the present study is based on the previously developed LIBS method for solid samples [8], it
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40 164 is useful to use the emission characteristics of a solid sample as a reference. If the plasma
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43 165 emission behaviors are vastly different between the solid U-bearing and gaseous UF₆ samples,
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45 166 the likelihood that the method designed for solid samples can be *directly* applied for gaseous UF₆
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48 167 becomes questionable. Particularly, because isotopic analysis is performed through multivariate
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50 168 nonlinear spectral fitting, a requisite for successful adaptation of the developed method [8] to
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52 169 gaseous UF₆ is comparable emission characteristics and spectral features between the two sample
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55 170 types. For instance, if additional spectral features are present in the UF₆ samples, the
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57 171 spectral-line fitting database needs to be modified to account for the additional lines. Therefore,
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60 172 an important strategy for the present work is to characterize and understand the difference of
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4 173 laser induced plasma produced with solid sample and gaseous UF₆, and uncover the operating
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6 174 conditions that would result in comparable spectral characteristics as solid samples.
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11 175 Figure 2 shows the temporally resolved LIBS spectra in the wavelength vicinity of 424 nm from
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14 176 the solid U₃O₈–Li₂B₄O₇ fused glassy sample [8]. The laser pulse energy was 100 mJ and was
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16 177 identical to that in our previous study [8]. Stark broadening and shift are functions of electron
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18 178 density and temperature of the plasma, and therefore, change with laser power and time as the
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21 179 plasma decays. As will be discussed later, the magnitudes of Stark broadening and shift follow
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23 180 exponential decay, and the decay constants for Stark width are larger than those of Stark shift.
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26 181 The delay times presented in Figure 2 were chosen in multiple (one to five) units of the decay
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28 182 constant (denoted by τ) of the measured U II 424.437 nm line width. For optical isotopic
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31 183 analysis with atomic lines, the detector delay time for spectral acquisition should be determined
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33 184 by the decay constant of the Stark effect. Both Stark broadening and shift are important and
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36 185 detrimental on isotopic analysis [8]. Line broadening blurs the separation of the isotopic peaks
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38 186 because isotopic shifts, even for large ones, are minute and are of similar magnitude as Stark
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41 187 broadening. Stark shift causes asymmetrical, often indeterminate, temporally integrated line
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43 188 profiles [8]. Accordingly, it is necessary to mandate a detector delay time sufficiently long so
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46 189 that almost all the Stark effect subsides. For an exponential decay, approximately only 5% and
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48 190 2% of the effect remain, respectively, after three and four decay constants. Therefore, it is a
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51 191 good assumption that Stark effect largely subsides after three decay constants and can be
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53 192 considered as negligible after four decay constants.
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57 193 The five time-resolved spectra depicted in Figure 2 are normalized to their individual baselines
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60 194 with an assigned value of 1. As such, signal-to-background ratio (SBR) can be readily inferred
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195 from the displayed spectral peak height as these two parameters are related by $SBR = \text{height} - 1$.
196 In this small wavelength region from 423.0 to 425.5 nm, the LIBS spectra for U are relatively
197 simple. The strongest emission line in this spectral window is U II 424.166 nm followed by U II
198 424.437 nm (the one labeled with a vertical dotted line), which is the main spectral peak for U
199 isotopic analysis with a pronounced isotopic splitting of -25 pm [8, 21, 22]. Because the U II
200 424.437 nm line contains the largest amount of information for isotopic analysis, it is used as the
201 reference in subsequent discussion for SBR. Other relatively strong emission lines in this
202 window are U I 423.167 nm, U II 423.204 nm, U I 423.604 nm, U II 424.059 nm, U I
203 424.626 nm, and U II 425.243 nm. Although many more U lines are present, they are
204 significantly weaker compared to the reference U II 424.437 nm line and appear only as bumps
205 in the baseline. As expected, peak width narrows and SBR improves as delay time increases
206 from 1τ to 3τ (cf. Figure 2). The SBRs of the reference U II 424.437 nm peak were 2.3, 13, 20,
207 25 and 24 for delay times from 1τ to 5τ , respectively. The reasonably simple spectral features as
208 presented in Figure 2 and the large SBRs for the U II 424.437 nm line for delay time $\geq 3\tau$ will be
209 used as comparison benchmarks for LIBS spectra generated in gaseous UF_6 .

210 **3.2 Temporal evolution of U LIBS signal in gaseous UF_6 at room temperature**

211 Figure 3a shows the time-resolved LIBS spectra from a gaseous UF_6 sample. No cooling was
212 applied and the UF_6 vapor pressure was 80 torr; the chamber contains no buffer gas. Laser pulse
213 energy was 100 mJ, identical to the case of the solid sample presented in Figure 2. The
214 measured spectra from gaseous UF_6 are vastly different from those of the solid sample. First,
215 many more spectral lines are registered in the UF_6 LIBS spectra. Many of these additional
216 emission lines appear with similar intensity as the reference U II 424.437 nm line. These lines

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4 217 belong to U (either neutral-atomic or ionic) and an expansion of the baseline from the solid-
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6 218 sample spectra (cf. Figure 2) reveals bumps at the same wavelength positions. These U lines are
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9 219 of high excitation potentials. The result that the laser induced plasma formed in gaseous UF_6
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11 220 excites high-energy emission lines is regarded as undesirable as it complicates the spectra and
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14 221 requires substantial modification on the spectral-line database for fitting. Although the spectral
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16 222 features clean up a bit at longer delay times (e.g., at 4τ and 5τ), many U emissions are still
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19 223 strongly present. Second, SBRs are low; the SBR of the strongest line were only slightly larger
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21 224 than 1. For the reference U II 424.437 nm line, the SBRs were 1.3, 1.3, 0.95, 0.86 and 0.71 for
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24 225 delay times from 1τ to 5τ , respectively, i.e., decreasing with increasing delay time. Clearly, the
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26 226 characteristics of the laser induced plasma generated with a solid sample and gaseous UF_6 are
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29 227 different, and further characterization is needed to comprehend the effect of experimental
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31 228 conditions on the plasma behavior and the resultant spectra.
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36 229 Figure 3b shows a similar set of time-resolved spectra but for decreased laser pulse energy of
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38 230 15 mJ. Compared to the case with 100 mJ laser energy (cf. Figure 3a), two observations are
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41 231 noticeable. First, SBRs improved but were still low. The SBRs of the reference line for delay
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43 232 times from 1τ to 5τ were 3.0, 2.4, 1.8, 1.3 and 1.0, respectively. Second, those high-excitation
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46 233 potential U emission lines, which congest the spectra, show lower intensities compared to the
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48 234 main, lower-energy U lines (e.g., the eight prominent emission lines from the solid sample as
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51 235 discussed in Section 3.1). The effect is noticeable for the cluster of lines between 423.7 and
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53 236 424.0 nm. With lower laser pulse energy, the plasma is weaker (which is also confirmed through
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56 237 visual inspection of the plasma brightness) but the complexity of the spectrum is reduced.
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238 3.3 Effects of laser pulse energy and UF₆ pressure on temporally integrated spectra

239 The preceding discussion suggests that a weaker plasma is beneficial in simplifying the LIBS
240 emission spectra from gaseous UF₆ sample. One objective of the present study is to locate the
241 range of experimental conditions, specifically the laser pulse energy and the UF₆ gas pressure, so
242 that the spectral characteristics (i.e., both the set of dominant emission lines and SBR behavior)
243 are similar between UF₆ and solid samples. A total of 54 experimental conditions (9 settings on
244 laser pulse energy from 8 to 100 mJ × 6 settings on UF₆ pressure from 10 to 80 torr) were
245 studied, though not all studied combinations (i.e., those conditions in which both the laser energy
246 and UF₆ pressure are low) lead to successful generation of a laser induced plasma.

247 Figure 4 shows the temporally integrated LIBS emission spectra from the gaseous UF₆ sample
248 (the top four panels) and the U-glassy solid sample (the bottom panel). Detector gate width was
249 fixed at 8 μs. Gate delays were varied in absolute time scale but were all started at 4τ of the
250 individually measured Stark-width delay constants under each condition. These UF₆ spectra are
251 representative of the four quadrants of the laser energy–UF₆ pressure combinations. First, let us
252 take a look at the LIBS spectrum from a solid sample as it will be used as a comparison
253 benchmark for UF₆ sample. Like the temporally resolved spectra presented in Figure 2, the
254 temporally integrated LIBS spectrum of the U-glassy solid sample is comparatively simple and is
255 in agreement with another published U spectra measured with LIBS [23]. The SBR of the
256 reference U II 424.437 nm line was 22.2.

257 At high pressure (80 torr) and high laser pulse energy (100 mJ, the top panel of Figure 4), the
258 temporally integrated spectra is congested with U emission lines of high excitation potential,

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4 259 similar to the temporally resolved spectra presented in Figure 3a. The SBR of the U reference
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7 260 line was only 0.67. The relative intensities of those high-energy U lines slightly weakened and
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9 261 the SBR mildly improved to 0.87 as the laser pulse energy was lowered to 15 mJ (the second
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11 262 panel from the top). When the UF₆ pressure was reduced, SBR improved. A comparison of the
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14 263 LIBS spectra obtained under 10 torr (the third panel from the top) and 80 torr (the top panel)
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16 264 both under 100 mJ laser pulse energy reveals that, although the complexity of the spectra were
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19 265 somewhat similar, the SBR of the U reference line significantly enhanced to 5.5. Under the
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21 266 condition that both the laser pulse energy and UF₆ pressure were low (e.g., 20 mJ and 10 torr, the
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24 267 fourth panel from the top), the SBR further improved to 8.0 and the emission from those high-
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26 268 energy U lines diminished. Although there is some dissimilarity, for example, differences in the
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29 269 relative intensities among the dominant lines and degraded SBR, the overall characteristics of the
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31 270 LIBS spectra for gaseous UF₆ measured under low laser energy and UF₆ pressure are comparable
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33 271 to the reference spectra from the solid U sample. Figure 4 clearly concludes that UF₆ pressure is
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36 272 a more important parameter than laser pulse energy for direct LIBS analysis in gaseous UF₆.

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40 273 **3.4 Temporal characterization of LIBS signal and background from gaseous UF₆**

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44 274 The low SBRs from gaseous UF₆ sample and in particular their overall decreasing trends with
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46 275 delay time call for a closer examination on the temporal evolution of signal and background
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49 276 emission. Although the physics and expansion dynamics of the laser plasma are very different
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51 277 for solid and gaseous samples and a direct comparison on a fundamental level is beyond the
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54 278 scope of this study, it is still useful to note the phenomenological difference in the signal and
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56 279 background evolution between the two samples. Figure 5a shows the temporal evolution of the
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59 280 U II 424.437 nm emission line and its background from the solid U-glassy sample. Laser pulse

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281 energy was 100 mJ. The behavior is typical for LIBS analysis of solid samples under
282 atmospheric pressure and has been observed for many other samples. In brief, the analyte signal
283 rapidly rises and reaches its maximum within 1 to 2 μ s after the laser pulse and then decays.
284 Background continuum emission is intense at the onset of the plasma formation and rapidly
285 decays. Both the signal and the background can be described by a sum made up of two
286 exponential decay functions (i.e., with a fast and a slow decaying component). Because the fast-
287 decay component for the background is much quicker than that of the signal, SBR improves with
288 delay time (up to a reasonable extent).

289 Figure 5b shows the evolution of the U line and its background for LIBS measurement of
290 gaseous UF₆ at 80 torr with laser pulse energy of 100 mJ. Although the temporal behavior of the
291 two curves can still be described by a two-component exponential decay, the fast-decay
292 components of the U signal and its background are similar whereas the slow-decay component is
293 longer for the background than for the signal. As a result, the background decays at a slower
294 pace than the signal and SBR degrades with delay time. Similar behavior was observed in many
295 combinations of laser pulse energy and UF₆ pressure. Only when both the laser pulse energy and
296 the UF₆ pressure are low, the U signal and background decay at comparable rates. However, no
297 studied experimental condition gave a fast decay for background but a comparatively slow decay
298 for signal – the type of behavior that favors SBR as presented in Figure 5a.

299 The distinct difference between signal and background evolution between solid U-glassy and
300 gaseous UF₆ samples triggers one to ask whether the observed behavior on UF₆ is generic for
301 gaseous sample analysis with LIBS. To answer this question, another gaseous sample,
302 (methylcyclopentadienyl)manganese(I) tricarbonyl (MMT), was tested. This Mn-containing

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4 303 compound is in liquid form at room temperature and is volatile, although not as much as UF₆. A
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6 304 few drops of MMT were placed in another gas-sampling chamber, which contains air with a total
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9 305 pressure maintained at 80 torr. Figure 5c depicts the evolution of the Mn signal and its
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11 306 background. Surprisingly, the behaviors of the analyte (Mn) signal and its background adhere to
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14 307 the general observation of LIBS with solid samples (cf. Figure 5a) – that is, a speedy decay for
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16 308 background but a comparatively slower decay for signal, resulting in a favorable condition for
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19 309 SBR. In fact, the background emission in this case was significantly decayed after 6 μs to a level
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21 310 such that the measured background level was largely limited by the detector noise.
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26 311 A hypothesis that can explain the unique temporal signal and background behavior of gaseous
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28 312 UF₆ sample is the recombination of U and F atoms. The observation of U atomic emission line
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31 313 obviously requires the complete breakdown of the six U–F bonds in a UF₆ molecule. Because
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33 314 both U and F atoms are unstable and highly reactive, they tend to recombine with each other
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36 315 when the plasma expands and cools. A fraction of the recombined molecular radicals is likely in
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38 316 an excited state capable of emitting photons through radiative de-excitation. The recombination
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41 317 plausibly proceeds in a stepwise fashion but even the simplest diatomic radical—UF—has highly
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43 318 crowded electronic excited states [24, 25], which can give rise to overlapping molecular
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45 319 emission like a pseudo-continuum. Emission from polyatomic species generally appears as
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48 320 broad, continuum-like structure. The recombination not only can increase the plasma
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51 321 background emission, but also quenches the population of free U atoms (and hence the U atomic
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53 322 emission signal).
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57 323 This U–F recombination hypothesis is supported by literature examples [26, 27] and longevity of
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59 324 our UF₆ samples subjected to LIBS measurements. Laser ablation as an atom source combined
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4 325 with reaction free-jet expansion is a powerful and commonly used technique in the field of
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6 326 molecular spectroscopy for the generation of gas-phase molecules [28-31]. Specific to U,
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9 327 reactions of laser-ablated U atoms with gases like F₂, ClF, Cl₂, and HF have been reported [26,
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12 328 27, 31]. Hunt *et al.* [26] characterized the reaction products between F₂ (in an Ar gas buffer) and
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14 329 laser ablated U atoms and reported the presence of all the six fluorides, *viz.* UF, UF₂, UF₃, UF₄,
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16 330 UF₅, and UF₆ in the deposited matrix which was kept at a temperature of 12 K [26]. With
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19 331 annealing the matrix to a temperature of 35 K, the UF₆ product was reported to grow at the
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21 332 expense of other UF_x species and became the dominant one [26]. Clearly, recombination of U
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24 333 and F atoms proceeding all the way back to UF₆ is feasible and we believe that the same reaction
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26 334 takes place in our case because of the longevity of the loaded UF₆ in the sampling chamber. If
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29 335 one assumes that all the UF₆ molecules contained inside the physical volume of the laser induced
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31 336 plasma (approximately 1 mm in radius and 4 mm in length) are dissociated, each laser pulse
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34 337 would consume about 18 μg of UF₆. For our chamber in which ~ 1 g of UF₆ was loaded, the
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36 338 sample would last only for 56,000 laser pulses (i.e., less than 2 hours of continuous operation)
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39 339 before complete exhaustion. In marked contrast, the UF₆ sample chamber has undergone LIBS
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41 340 measurement time for at least several hundreds of hours and both the LIBS signal and gas
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43 341 pressure are still normal. The excess solid UF₆ loaded has not yet been entirely consumed.
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46 342 Although some experiments were performed at pressures lower than 80 torr on which the
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49 343 consumption rate calculation discussed above is based, most experiments were performed at
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51 344 pressure above 8 torr. In other words, the pressure factor could extend the lifetime by at most
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53 345 only one order of magnitude and would not be able to explain the longevity of the loaded UF₆
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56 346 sample by more than two orders of magnitude. Based on our observations on the signal and

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347 background temporal characteristics, and the longevity of the UF₆ sample, we believe that a
348 significant fraction of U and F atoms are recombined all the way back to UF₆.

349 Another route, complementing the U–F recombination mechanism presented above, for the
350 formation of excited polyatomic species that subsequently give continuum-like emission is
351 incomplete dissociation of the UF₆ molecules. Fragments of UF_x (x = 1 to 5) can be formed
352 directly by the high-temperature laser-induced plasma or through photo-dissociation of UF₆
353 molecules. Although there is no questions that some UF₆ molecules in or near to the volume
354 containing the laser-induced plasma are dissociated completely to U and F atoms, other UF₆
355 molecules probably undergo only partial decomposition to give UF_x (x = 1 to 5) fragments
356 because the laser-induced plasma must have a thermal gradient at its periphery. At its boundary,
357 efficiency of chemical-bond dissociation decreases as the temperature of the plasma is lower.
358 Yet, the plasma is energetic enough to break some, but not all, of the bonds in a UF₆ molecule to
359 give respective molecular fragments. In addition, photo-dissociation of UF₆ molecules by UV
360 emission of the plasma continuum is another source of molecular fragments. Photolysis of UF₆
361 to UF₅ is well documented in the literature [32-34] and is an efficient process with UV [35]. The
362 absorption cross section of UF₆ rapidly rises from the order of 10⁻²² cm² at ~420 nm to 10⁻¹⁷ cm²
363 at ~200 nm [36].

3.5 Temporal characterization of Stark width and shift of U II 424.437 nm peak in LIBS spectra from gaseous UF₆ and solid U-glass sample

366 The understanding of the evolution of Stark width and shift is essential for optical isotopic
367 analysis because the Stark effect contributes to distortion of the measured spectral-line shape and

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the apparent separation of the isotopic peaks. The width and shift of the U II 424.437 nm emission line were investigated under different combinations of laser pulse energy and UF₆ pressure. For all conditions studied, the measured line width and shift are well described by exponential-decay functions. Figure 6a displays a representative case with 20 mJ laser pulse energy and 30 torr UF₆ pressure. The measured width of the U II 424.437 nm line was 0.0204 nm at a delay time of 0.8 μs and decay with a time constant of 0.987 μs to an asymptotic value of 0.0084 nm, which is limited by instrumental broadening. The pre-exponential factor, which defines the width at zero-time delay, was 0.027 nm. Likewise, at 0.8 μs, the measured shift was -0.0036 nm (i.e., a blue shift). The pre-exponential factor and the decay constant for the shift were -0.010 nm and 0.858 μs, respectively. The asymptotic value of 0.0004 nm for the shift is an artefact caused by imperfect wavelength calibration of the spectrometer.

Figure 6b shows the temporal response of the measured width and shift of the U II 424.437 nm line with the solid U-glass sample. Because the laser pulse energy was much higher (100 mJ) than in the case of the UF₆ sample presented in Figure 6a and laser-material coupling is more efficient for a solid than for a gaseous sample, the plasma is stronger. As a result, compared to Figure 6a, decay constants as well as the pre-exponential factors are larger for both the measured width and shift.

Figure 7a compares the measured decay constants of line width and shift of the U II 424.437 nm line under different combinations of laser pulse energy and UF₆ pressure. The error bars represent the uncertainties associated with the exponential fittings. Overall, the decay constants for line width and shift are different. The dotted line shows the hypothetical situation in which the decay constants of line width and shift would be identical. For most conditions, line width

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390 decay is slower than line shift (cf. Figure 7a). However, for conditions in which both the laser
391 pulse energy (e.g., ≤ 25 mJ) and UF₆ pressure (e.g., ≤ 20 torr) are low, line shifts take slightly
392 longer to decay than the widths. The four measurements under the four highest pressures (80, 60,
393 42 and 30 torr) with the highest laser pulse energy (100 mJ) deviate the most from the dotted line
394 in Figure 7. Substantial deviations are also present for measurements under the three highest
395 studied pressures with the second highest laser power (60 mJ). As will be discussed in
396 Section 3.7, severe self-absorption is present for the resonance U II 424.437 nm line in LIBS
397 analysis with gaseous UF₆ sample. Optical thickness of the line causes significantly slower
398 decays (larger decay constants) of the measured width compared to shift.

399 Figure 7b depicts the relationship between the pre-exponential factor of the measured line width
400 and shift. This pre-exponential factor governs the magnitude of the corresponding width or shift
401 at the onset of plasma, and a good correlation was found. In all cases, the pre-exponential factors
402 of Stark shift are significantly smaller than that of Stark width. The linear regression line almost
403 passed through the origin with a slope of -0.364.

404 **3.6 Spectral window centered at 424.44 nm for UF₆ enrichment assay**

405 Based on the preceding fundamental characterization of the signal, background, and the Stark
406 width and shift, multivariate nonlinear spectral fitting was applied to the LIBS spectra acquired
407 from gaseous UF₆ sample. Several laser pulse energies under two UF₆ pressures (15 and 6 torr)
408 were tested. Figure 8a shows representative spectra collected from the NU (with 0.72% ²³⁵U)
409 and LEU (with 4.67% ²³⁵U) UF₆ samples. The isotopic splitting between the ²³⁵U–²³⁸U line pair
410 at 424.412–424.437 nm is unambiguously identifiable, confirming the practical feasibility to

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411 perform enrichment assay directly on gaseous UF₆ samples in laser induced plasmas. Figure 8b
412 summarizes the determined ²³⁵U content under different conditions for the LEU sample. The
413 detector delay time was optimized for each condition by taking into account the decay of the
414 Stark effect. In all cases, large positive biases were observed in the determined ²³⁵U abundance.
415 For the measurements with 15 torr UF₆, the determined ²³⁵U contents for the same LEU sample
416 exhibited a monotonic increasing trend from 6.06% (15 mJ laser pulse energy) to 9.91% (60 mJ),
417 whereas the reference value as determined by MC-ICP-MS is only 4.67%. The large error bars
418 at lower laser pulse energies (e.g., 15 and 20 mJ) were caused by the comparatively weak
419 emission signals under those conditions. For measurements under 6 torr of UF₆, the determined
420 ²³⁵U contents ranged from 5.77% to 7.15%. The systematic biases were lessened yet still
421 significant.

422 To investigate the source of this large systematic bias, the fitted spectra and the fitting residuals
423 were examined. Figure 8c presents the measured, the fitted, the decomposed ²³⁵U and ²³⁸U
424 components, and the fitting residual of the spectrum collected under 15 torr UF₆ and 40 mJ laser
425 pulse energy. This particular example is selected as the determined ²³⁵U content was 9.37% (cf.
426 Figure 8b), which is double the 4.67% reference value. If the positive bias is caused by a misfit,
427 it should become apparent through a visual inspection of the fitted spectrum. Overall, the
428 experimental spectra were well fitted, and similar fitting qualities also were observed for spectra
429 taken at other conditions. Specifically, the isotopic peak from ²³⁵U at 424.412 nm was well fitted.
430 The span of fitting residual ($\Delta \approx 2740$) in Figure 8c is about 7.5% of the span of the experimental
431 spectra ($\Delta \approx 33100$), which is only slightly larger than those in our previous work with LIBS of
432 solid samples [8], which ranged from 4.9% to 6.3%.

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433 3.7 Problem of self-absorption and its verification

434 The good overall fitting quality but large deviated results for the determined ^{235}U content as
435 presented in Figure 8b suggests that the systematic bias is unlikely caused by an artefact in the
436 fitting algorithm and points to a source of a more fundamental nature. A visual examination of
437 the measured spectra normalized to the U II 424.437 nm (i.e., the ^{238}U component) spectral peak
438 and its baseline with assigned values of 1 and 0, respectively, revealed that the normalized ^{235}U
439 profiles exhibited notable increments with rising laser pulse energy. Through independent
440 experimental means, we confirmed that the analytical bias shown in Figure 8b is a result of self-
441 absorption.

442 Self-absorption is a common problem reported for quantitative analysis with LIBS [37-40] and
443 could cause the phenomenon observed in Figure 8b. To elaborate, among other factors, self-
444 absorption is proportional to the number densities of the absorbing species (the ^{235}U and ^{238}U
445 isotopic atoms in this case). When the emitted photons travel from the center portion of the
446 plasma to the periphery, part of the emission could be reabsorbed because some atoms (here, the
447 term “atoms” collectively refer to neutral atoms or atomic ions) belonging to the same species
448 but in an electronic state capable of absorbing the emitted radiation are almost always present.
449 The total absorption depends on the number density, absorption cross section, and the absorption
450 path length. For a UF_6 sample with 4.67% enrichment, number density of ^{238}U atoms is roughly
451 $20\times$ that of ^{235}U . As a result, if self-absorption occurs, there is much more self-absorption for
452 ^{238}U than for ^{235}U . Although the emission from both the ^{235}U and ^{238}U atoms are being re-
453 absorbed, because self-absorption is non-linear with respect to number density of the absorber,
454 the ^{238}U emission is being absorbed to a greater extent. Consequently, the ^{235}U peak appears to

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455 be larger than it should be compared to the more-attenuated ^{238}U counterpart and causes a
456 positive bias in the determined ^{235}U content.

457 The self-absorption hypothesis was experimentally confirmed with the ratio of a pair of emission
458 line which share a common lower energy state (in this specific case, it is the ground state, i.e.,
459 U II 424.437 nm is a resonance line). Ideally, different components from a multiplet should be
460 used [41, 42], but because a nearby multiplet for the U II 424.437 nm line could not be found, a
461 line with comparable excitation energy (the U II 430.146 nm) was used instead. Because the
462 excitation energy of both the U II 424.437 nm and the U II 430.146 nm lines are very close (cf.
463 Figure 9a, $\Delta E = 0.0387$ eV), the intensity ratio of this line-pair should be insensitive to
464 temperature and very close to a constant in the *absence* of self-absorption. In contrast, if the
465 emission line intensity ratios show deviations with experimental conditions, it can be regarded as
466 conclusive evidence of self-absorption. The duplicating mirror method [43]—another commonly
467 used method for checking self-absorption of plasma—cannot be readily applied to our setup
468 because the UF_6 chamber has only three optical windows. The laser beam needs to enter and exit
469 the chamber through two windows to avoid ablation of any chamber wall material.

470 Figure 9b displays representative spectra of this U II line pair. A grating with lower groove
471 density had to be used so that both U II lines could be measured simultaneously. The spectral
472 resolution was degraded but was still adequate. Furthermore, several spectral atlases [23, 44, 45]
473 were consulted to confirm the spectral purity (i.e., no direct-overlapping emission line) of the
474 U II 430.146 nm line. In fact, there are two other U II resonance lines at 420.435 nm and
475 428.786 nm, which are even closer to the U II 424.437 nm line; however, they suffer spectral

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476 interference from other U lines and therefore are not used. Emission signals for the two desired
477 U II peaks were decomposed and evaluated through spectral fitting (cf. Figure 9b).

478 The measured intensity ratios of the U II 424.437 nm / U II 430.146 nm line pair at an UF₆
479 pressure of 15 torr is presented in Figure 9c. The data unambiguously confirm that the U II
480 424.437 nm line suffered from self-absorption for three reasons. Through published values of
481 the oscillator strengths (*f* values) of these two U II lines, theoretical ratios at various assumed
482 temperatures can be calculated. Table 1 shows the *gf* factor [45-47] of this pair of U II lines. As
483 emission intensity, I_{21} , of an atomic line transitioning between state 2 and 1 is proportional to:

$$I_{21} \propto N_2 \frac{g_1}{g_2} \frac{1}{(\lambda_{21})^3} f_{12} \quad (1)$$

484 where N_2 is the number density of atoms at excited level 2, g_1 and g_2 are the degeneracy of the
485 lower and upper levels 1 and 2, respectively, λ_{21} is the transition wavelength, and f_{12} is the
486 oscillator strength of the transition. In this case, because the U II 424.437 nm
487 and U II 430.146 nm line pair share the same lower state and the degeneracies of their upper
488 states are coincidentally identical, the intensity ratio of this line pair is further simplified to:

$$\frac{I_{U II 424.437}}{I_{U II 430.146}} = \left(\frac{430.146}{424.437}\right)^3 \frac{f_{U II 424.437}}{f_{U II 430.146}} \exp\left(\frac{-E_{U II 424.437} + E_{U II 430.146}}{kT_{exc}}\right) \quad (2)$$

489 where $E_{U II 424.437}$ and $E_{U II 430.146}$ are, respectively, the excitation potential of the U II 424.437 nm
490 and U II 430.146 nm lines, k is the Boltzmann constant and T_{exc} is the excitation temperature.

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491 Also plotted in Figure 9c are some theoretical line pair ratios at various hypothetical plasma
492 excitation temperatures. It should be emphasize again that, for this experiment, the spectrometer
493 and detector system were calibrated for its spectral response. In agreement with previous
494 discussions, this ratio is rather insensitive to plasma excitation temperature. For instance, the
495 theoretical ratio is 2.81 if the exponential term is neglected (i.e., assuming infinite temperature)
496 and reduced to only 2.57 for a temperature of 5000 K. The measured ratios span between 1.68
497 and 1.98, which according to thermodynamic model, infer excitation temperatures below 1500 K.
498 Although the excitation temperature of the laser induced plasma is unknown, a realistic lower
499 bound is at least 3000 K.

500 Second, the self-absorption hypothesis still stands even if one ignores the theoretical-ratio
501 argument presented above (perhaps because an authoritative compilation of critically evaluated
502 oscillator strength or transition probability is not yet available for uranium, or there is doubt on
503 the uncertainty associated with the spectral-response calibration) but just compares the relative
504 U II 424.437 nm / U II 430.146 nm ratio (R) under different experiment conditions. According
505 to Boltzmann distribution, a change in the ratio (ΔR) is related to a change in temperature (ΔT)
506 through:

$$\frac{\Delta R}{R} = \frac{\Delta E}{kT^2} \Delta T = \frac{449}{T} \left(\frac{\Delta T}{T} \right) \quad (3)$$

507 where ΔE is the energy difference of the two U lines (0.0387 eV) which translates to 449 K (in
508 kT unit). If there is no self-absorption, a decline of the ratio from 1.98 to 1.68 (-15.1%) is
509 unrealistically large for the small difference in the excitation energies of these two lines. If one
510 assumes an initial plasma temperature of several thousand Kelvin, this extent of decrease in the

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511 line ratio corresponds to several thousand Kelvin drop in plasma temperature (cf. Equation 3).
512 Clearly, such a dramatic change in the line ratio is thermodynamically unrealistic. In addition,
513 one should expect either an increase or no significant change, but not a decrease, in plasma
514 temperature for increased laser pulse energy. However, the experimental data exhibited a
515 decrease in ratio with increase in laser energy.

516 Third, as the oscillator strength of the U II 424.437 nm line is larger than that of the
517 U II 430.146 nm line [45-47], if the ground state causes self-absorption for both U lines, the
518 stronger emitting U II 424.437 nm line would exhibit larger self-absorption [48]. Because it is
519 reasonable to expect that for higher laser pulse energy, more energetic the plasma and thus more
520 U free atoms and ions are created, a declining trend in the line ratio as a result of more severe
521 self-absorption is expected and indeed experimentally observed with rising laser pulse energy.
522 Likewise, the self-absorption of the U II 424.437 nm line also caused positive bias as outlined
523 above in the determined ^{235}U content (cf. Figure 8b).

3.8 Attempts to minimize and compensate for self-absorption

525 The effect of plasma operating parameters (namely: detector delay time, UF_6 pressure, and laser
526 pulse energy) on self-absorption in gaseous UF_6 sample was studied. The objective was to
527 understand and locate operating conditions that self-absorption was hopefully absent. In this
528 experiment, the spectra were acquired in a temporally resolved fashion with 1 μs detector gate
529 width whereas the detection gate delay was scanned from 2 μs to 7 μs , in steps of 1 μs , after the
530 laser pulse. A total of thirty combinations with five UF_6 pressures (from 10 to 30 torr) and six
531 laser pulse energies (from 10 to 60 mJ) were studied. Figure 10 presents the determined ^{235}U

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532 contents of the LEU UF₆ sample as a function of detector delay time for some representative UF₆
533 pressures and laser energies.

534 Although the delay time has an effect in reducing the extent of self-absorption in some cases and
535 makes the determined ²³⁵U contents closer to the reference value of 4.67%, the deviations are
536 still considerable. Further increasing the delay time to beyond 7 μs is not practical because the
537 signal levels become too weak for reliable measurements in many cases with low UF₆ pressure
538 and low laser pulse energy. In addition, because there is still a substantial difference between the
539 determined ²³⁵U and the reference values, it is unlikely that self-absorption can be reduced to a
540 negligible level by simply lengthening the delay time. In contrast, the reduction of self-
541 absorption (gauged from the difference between the determined ²³⁵U and the reference values)
542 was significant when either the laser pulse energy (cf. 10 torr UF₆, 60 mJ vs. 40 mJ) or the UF₆
543 pressure (40 mJ, 12 torr vs. 10 torr UF₆) were reduced. Clearly, optimization of laser pulse
544 energy and UF₆ pressure is a more effective means to reduce self-absorption than the detector
545 time delay. However, as already presented in Figure 8b, even reducing the UF₆ pressure to 6 torr
546 – a level close to the breakdown threshold with 15 mJ laser pulse energy, self-absorption still
547 persists. Further lowering the UF₆ pressure through cooling of the chamber is of limited
548 practicality because of the temperature required as well as the proportionally reduced number
549 density of UF₆ molecules available for measurement.

550 Another attempt to compensate for self-absorption was through implementation of a correction
551 term in the fitting algorithm. This is in principle feasible because self-absorption causes
552 distortion and broadening in the spectral peak shape [42], which provides a basis for its
553 compensation. Several algorithms had been built and tested. Although some satisfactory results

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554 were obtained under some conditions, more testing showed that the validity domain of all these
555 examined algorithms were rather narrow and not robust to be applicable under wide-enough
556 operation conditions that likely would be encountered in the field. Here, we define satisfactory
557 performance as matching the ^{235}U reference value of the LEU UF_6 sample within at most a few
558 percent in the relative scale, and ideally within a fraction of a percent. This high level of
559 accuracy and precision is demanding but is what normally would be required for nuclear
560 safeguards application. Because none of these evaluated algorithms performed at a level that fits
561 the purpose, only a brief account is given.

562 The assessed models for compensation of self-absorption were a simple two-component,
563 heterogeneous-plasma model in which the emission and the (self) absorption regions are
564 completely separated in space, and a homogeneous plasma model in which the plasma is
565 regarded as one uniformly homogeneous body with simultaneous emission and absorption
566 happening in the same space. For these two models, several absorption spectral-line profiles (i.e.,
567 Lorentzian, Gaussian and Pseudo-Voigt) were examined, with or without deconvolution of the
568 instrumental profile of the spectrometer–detector system. A two-component homogeneous
569 plasma model was also evaluated, but overfitting likely occurred. Furthermore, the self-
570 absorption coefficient (SA) method [38, 39], which is based on changes in line width was also
571 tested. Despite their different assumptions on the distribution of the absorbing species in the
572 plasma, all these models gauge the extent of and correct for self-absorption (typically denoted by
573 the parameter τ_{SA}) of the spectral peak based on the slight peak distortion and minute broadened
574 width as in our cases.

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4 575 We found that the result for the determined ^{235}U isotopic abundance is very sensitive to the
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6 576 fitting parameter τ_{SA} , which governs the self-absorption correction. We also noted that the fitting
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9 577 uncertainty of τ_{SA} was large and because τ_{SA} can be determined only with coarse precision, the
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12 578 precision of the determined ^{235}U content in the sample was also degraded. The large fitting
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14 579 uncertainty of τ_{SA} can be understood from the fact that this parameter is determined through the
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17 580 slightly distorted line profile and the minute difference in the spectral line width in the presence
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19 581 and absence of small self-absorption. Because there are only a very limited number (~ 10) of
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22 582 data points in the measured U II 424.437 nm spectral profile (cf. Figure 8c), and the instrumental
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24 583 broadening which is about 8 pm already transforms to 4 pixels, the available information is
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27 584 insufficient for robust fitting.

31 585 **4. Conclusion**

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34 586 In this study, we characterized the U signal, background emission, Stark width and shift in the
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37 587 laser induced plasma formed directly in gaseous UF_6 samples. It was found that UF_6 vapor
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40 588 pressure is a crucial parameter for LIBS analysis. At 80 torr of UF_6 (i.e., room temperature
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42 589 without cooling), many U lines with high-excitation potential emit strongly and result in a
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45 590 crowded spectrum. In addition, background is elevated and signal-to-background ratio is low.
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47 591 Only with conditions in which both UF_6 vapor pressure and laser pulse energy are low, for
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50 592 example, less than 20 torr pressure and 30 mJ pulse energy, the overall LIBS spectral
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52 593 characteristics (e.g., dominant emission lines and SBR) for solid U sample and gaseous UF_6
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54 594 become comparable. Although conditions with reduced UF_6 pressure and laser energy give less
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57 595 congested spectra with higher SBR, the absolute emission intensity becomes low and so a
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59 596 compromise is needed.

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597 The temporal evolution of the LIBS signal and its background was investigated in detail. Only
598 when both the UF₆ pressure and the laser pulse energy were low, did the U signal and
599 background decay at comparable rates. In other experimental conditions, the background
600 decayed at a slower pace and persisted for a considerably longer time than the signal;
601 consequently, SBR degraded with delay time. The comparatively long-persisting plasma
602 background but rapid-decaying signal is a special feature for UF₆ and is not generic for gaseous
603 sample analysis with LIBS, as confirmed by another Mn-containing volatile compound. A
604 hypothesis involving recombination of U and F atoms all the way back to UF₆ molecule is
605 proposed, which quenches the U atomic species and boosts pseudo-continuum background
606 through molecular emission from excited UF_x radicals. This recombination hypothesis is also
607 supported by the longevity of the UF₆ sample in the loaded chamber.

608 Direct isotopic analysis of gaseous UF₆ was evaluated with multivariate nonlinear spectral fitting
609 on the LIBS spectra for extraction of isotopic information. The overall fitting quality is good but
610 systematic bias on the determined ²³⁵U content was observed. The origin of the analytical bias
611 was traced back to significant self-absorption of the U II 424.437 nm resonance line.
612 Compensation for self-absorption, through implementation of a correction term in the fitting
613 algorithm, was attempted but in vain. This outcome is not surprising because all fitting
614 algorithms for self-absorption correction are based on the minute distortion in the measured
615 spectral profile (after self-absorption) and there is simply not enough information (number of
616 data points) in the spectral peak profile that allows the extent of self-absorption be determined to
617 a high degree of accuracy. Although the isotopic analysis results were not satisfactory, the
618 knowledge gained in the present study on the interplays between operating conditions (e.g.,
619 detector delay time, UF₆ pressure, and laser pulse energy) and spectral characteristics (e.g.,

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620 signal and background behavior, dominant spectral-line features, and self-absorption) would be
621 useful to guide experimental design for future work on direct gaseous UF₆ enrichment assay with
622 LIBS. Because the identified main barrier is self-absorption on the U II 424.437 nm resonance
623 line, the best approach to correct for self-absorption is by avoiding it. In fact, we learned from
624 this study that other U lines need to be used. A new spectral window that does not suffer from
625 self-absorption but with appreciable ²³⁵U–²³⁸U isotopic shifts has been located, and further
626 investigation is currently underway.

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755 Table 1 *gf* values of the U II 424.437 nm and U II 430.146 nm emission lines [45-47].

Remark	U II 424.437 nm	U II 430.146 nm	$gf_{\text{U II 424.437 nm}} / gf_{\text{U II 430.146 nm}}$
Corliss [46], relative <i>gf</i>	0.046	0.017	2.71
Compant La Fontaine [47] derived from Palmer <i>et al.</i> [45], relative <i>gf</i>	0.058	0.022	2.64
Compant La Fontaine [47], absolute <i>gf</i>	0.13	0.047	2.77
Average			2.71

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Figure Captions

- 758
- 759 Figure 1 Photograph showing the experimental setup and generation of laser induced plasma
760 directly in gaseous UF_6 at a pressure of 13.1 torr.
- 761 Figure 2 Temporally resolved LIBS spectra of a U-glassy solid sample measured at delay
762 times of (from top to bottom panel) 0.90, 2.28, 3.00, 4.28 and 5.25 μs , which
763 correspond to approximately one to five decay constants ($\tau = 1.06 \mu\text{s}$) of the Stark
764 width. Laser pulse energy was 100 mJ. Intensities are normalized to their respective
765 individual baselines.
- 766 Figure 3 Temporally resolved LIBS spectra of gaseous UF_6 sample at 80 torr (i.e., room
767 temperature) (a) The delay times (from top to bottom panel) were 1.95, 3.83, 5.78,
768 7.50 and 9.45 μs , which correspond to approximately one to five decay constants
769 ($\tau = 1.89 \mu\text{s}$) of the Stark width. Laser pulse energy was 100 mJ. (b) The delay
770 times (from top to bottom panel) were 1.13, 2.63, 3.83, 5.25, and 6.33 μs , which
771 correspond to approximately one to five decay constants ($\tau = 1.24 \mu\text{s}$) of the Stark
772 width. Laser pulse energy was 15 mJ. Intensities are normalized to their respective
773 individual baselines.
- 774 Figure 4 Temporally integrated LIBS spectra of gaseous UF_6 and U-glassy samples. The
775 sample and condition (from top to bottom panel) were (i) 80 torr UF_6 , 100 mJ laser
776 energy; (ii) 80 torr UF_6 , 15 mJ laser energy; (iii) 10 torr UF_6 , 100 mJ laser energy;
777 (iv) 10 torr UF_6 , 20 mJ laser energy; and (v) U-glassy solid sample, 100 mJ laser
778 energy. Integration window started at four decay constants (i.e., 4τ) of the
779 corresponding measured Stark width. Gate widths were 8 μs for all spectra.
780 Intensities are normalized to their respective individual baselines.

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781 Figure 5 Temporal evolutions of the U II 424.437 nm peak height and its background of
782 (a) the U-glassy solid sample with 100 mJ laser energy; (b) 80 torr UF₆ with 100 mJ
783 laser energy. (c) Temporal evolutions of the Mn II 259.373 nm peak height and its
784 background of MMT in air at a total pressure of 80 torr with 100 mJ laser energy.

785 Figure 6 Temporal evolutions of the measured width and shift of the U II 424.437 nm line of
786 (a) a UF₆ sample at 30 torr with 20 mJ laser pulse energy, and (b) a U-glass sample
787 under air at atmospheric pressure with 100 mJ laser pulse energy. Both width and
788 shift can be well represented by an exponential decay function.

789 Figure 7 Correlation of (a) exponential decay constants, and (b) pre-exponential wavelength
790 factor between measured line shift and width of the U II 424.437 nm line.

791 Figure 8 (a) Experimentally measured LIBS emission spectra from two UF₆ samples (with
792 0.72% and 4.67% ²³⁵U), showing the distinct isotopic peak from ²³⁵U. Spectra were
793 taken with UF₆ at a pressure of 6 torr and with laser pulse energy of 30 mJ.
794 (b) Determined ²³⁵U content of the LEU UF₆ sample (reference value of ²³⁵U is
795 4.67%) with nonlinear spectral fitting with a database containing twelve line pairs.
796 (c) Experimental LIBS and fitted spectra, and the fitting residual of the LEU UF₆
797 sample. Spectra were taken with UF₆ at a pressure of 15 torr and with laser pulse
798 energy of 40 mJ. The wavelength positions of the ²³⁵U and ²³⁸U spectral peaks are
799 marked by different symbols with vertical lines at the bottom of the figure.

800 Figure 9 (a) A schematic diagram showing the relative energy of the U II 424.437 nm and
801 U II 430.146 nm emission lines, and the principle of how the emission ratio from this
802 line pair can be used to gauge self-absorption. (b) Experimental LIBS and fitted

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4 803 spectra of this U II line pair from a UF₆ sample (15 torr UF₆ and 30 mJ laser energy).

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6 804 (c) Measured and theoretical U II 424.437 nm / U II 430.146 nm ratios.

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9 805 Figure 10 Determined ²³⁵U content of the LEU UF₆ sample (reference value of ²³⁵U is 4.67%)

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11 806 as a function of delay time. Gate width was fixed at 1 μs. Experimental conditions

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14 807 are as marked.
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Figure 1

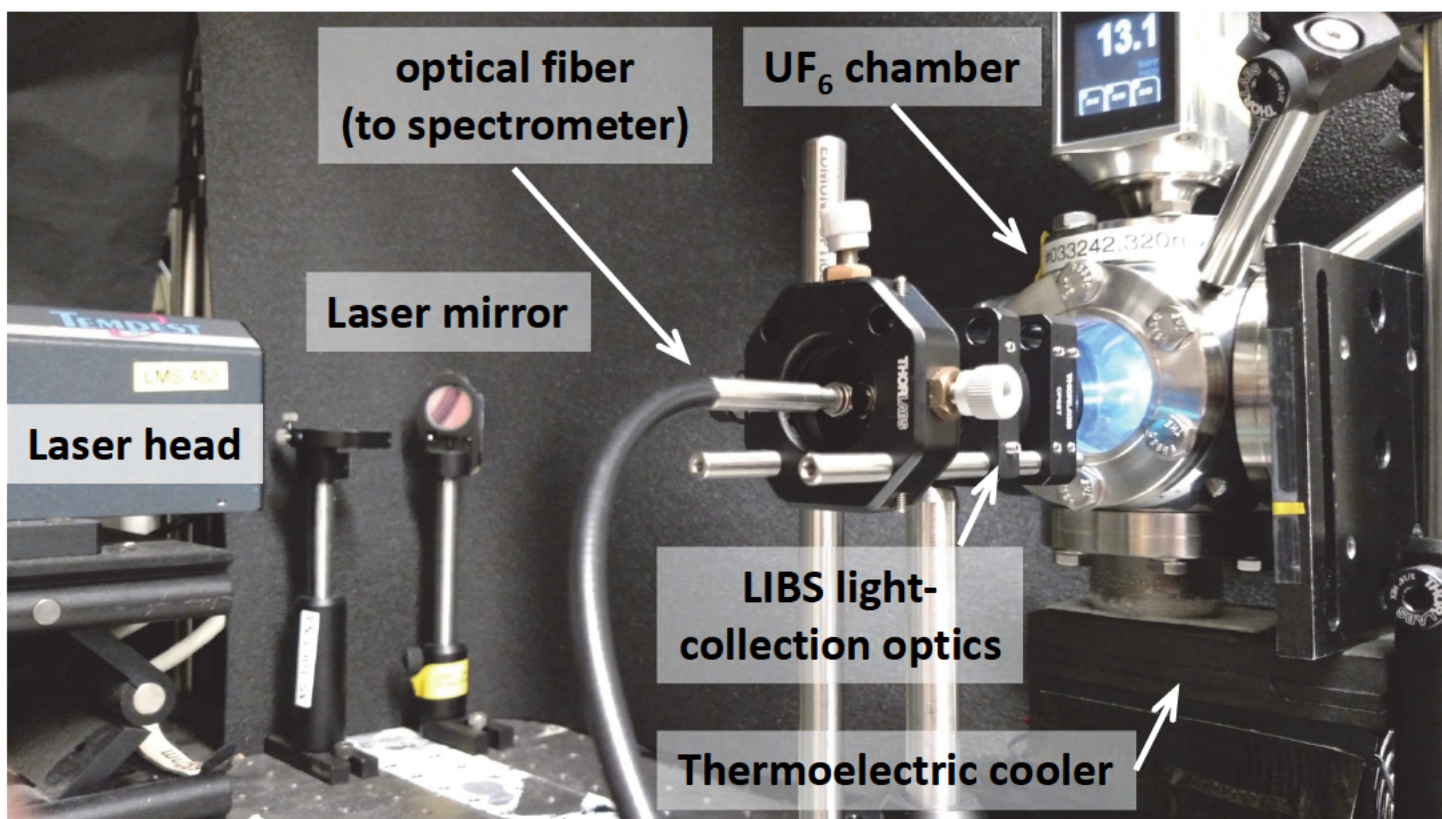


Figure 2

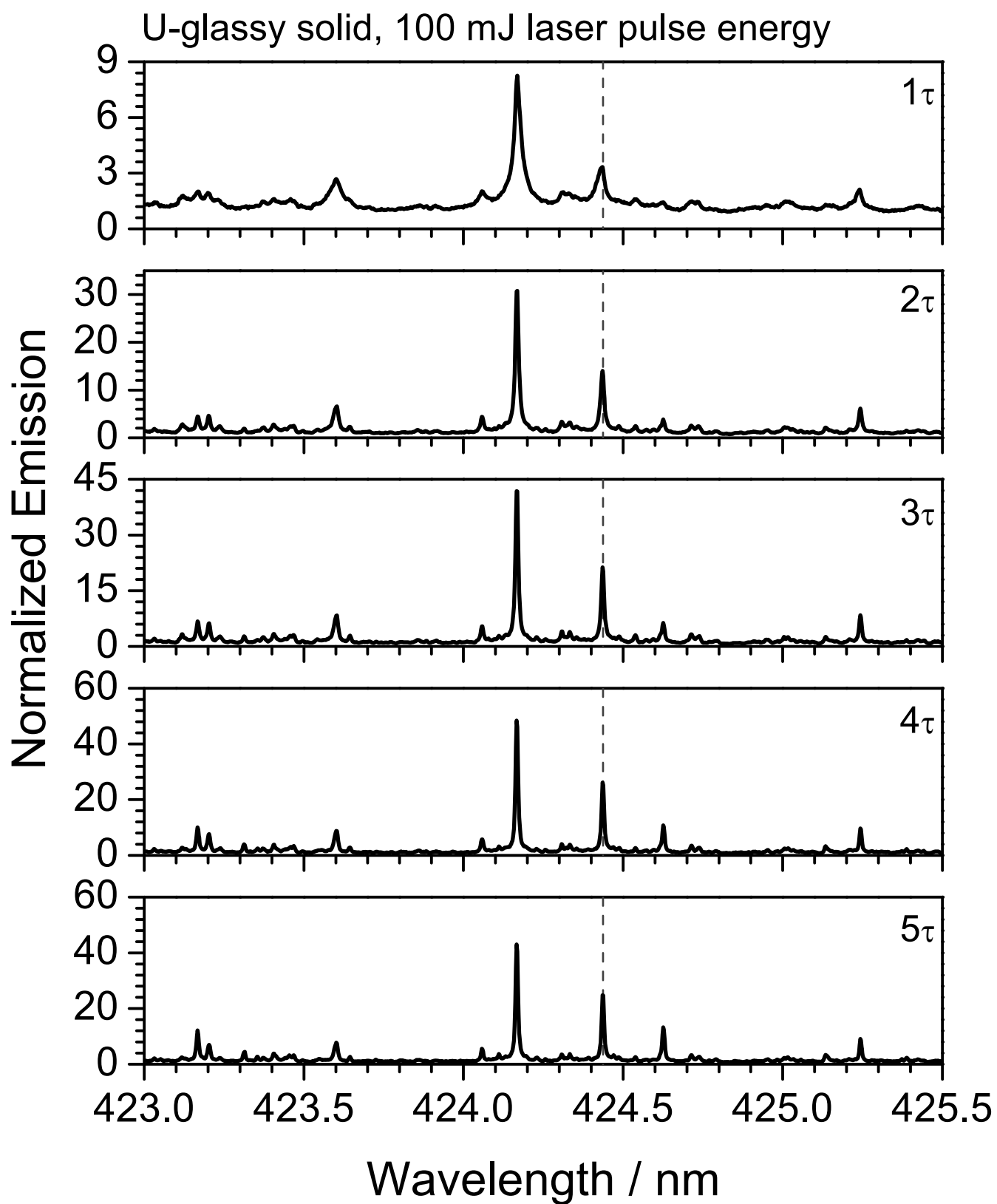


Figure 3a

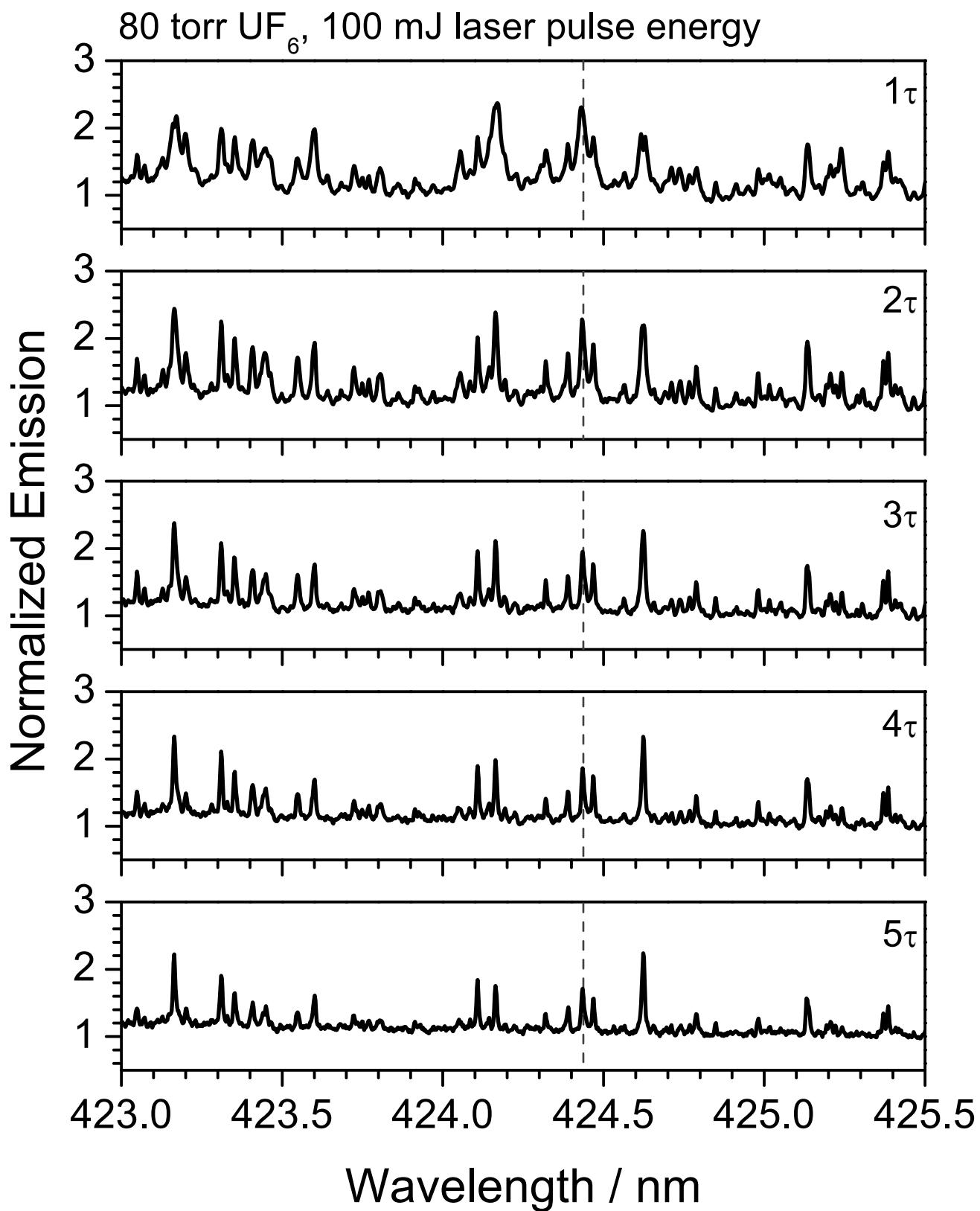


Figure 3b

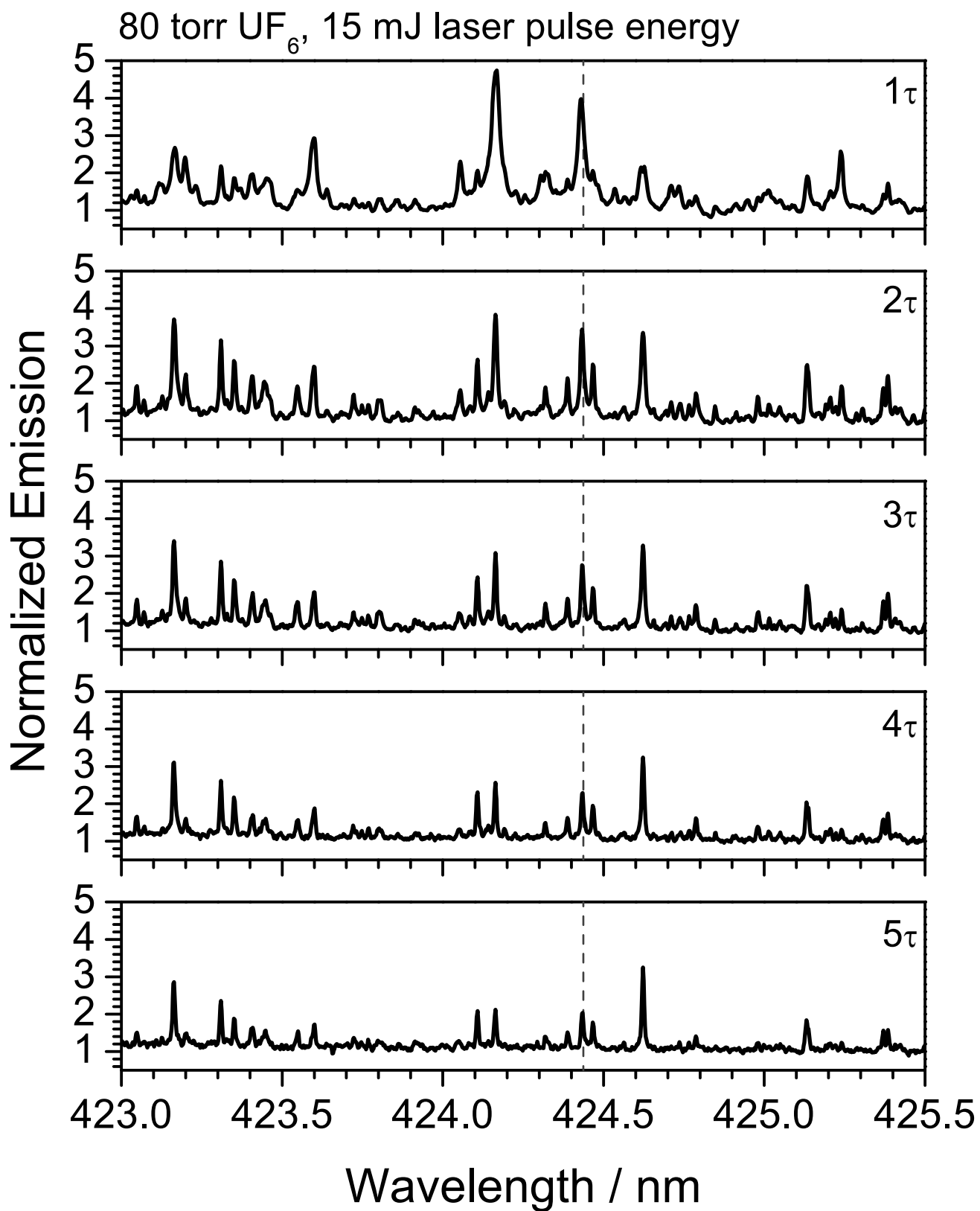


Figure 4

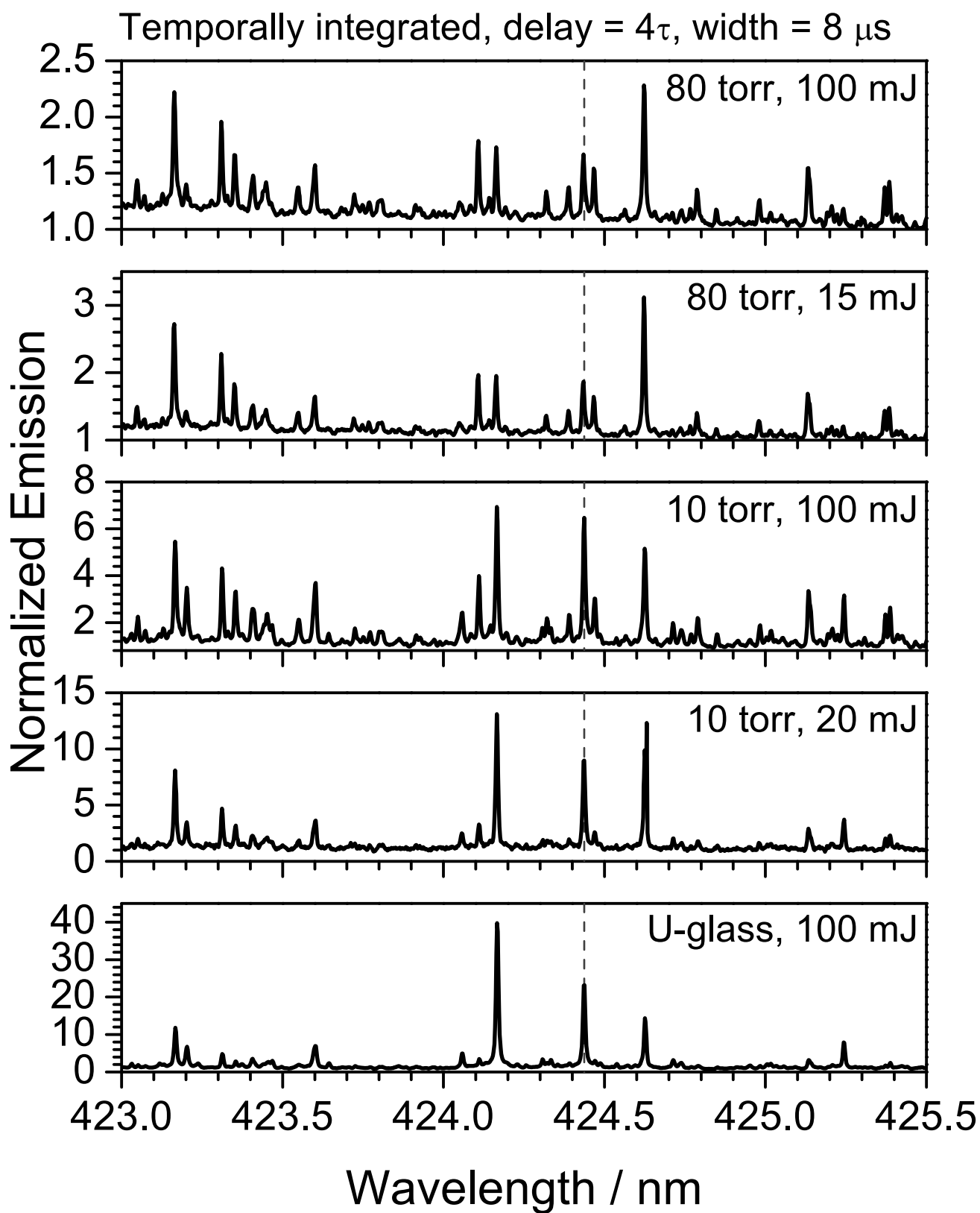


Figure 5a

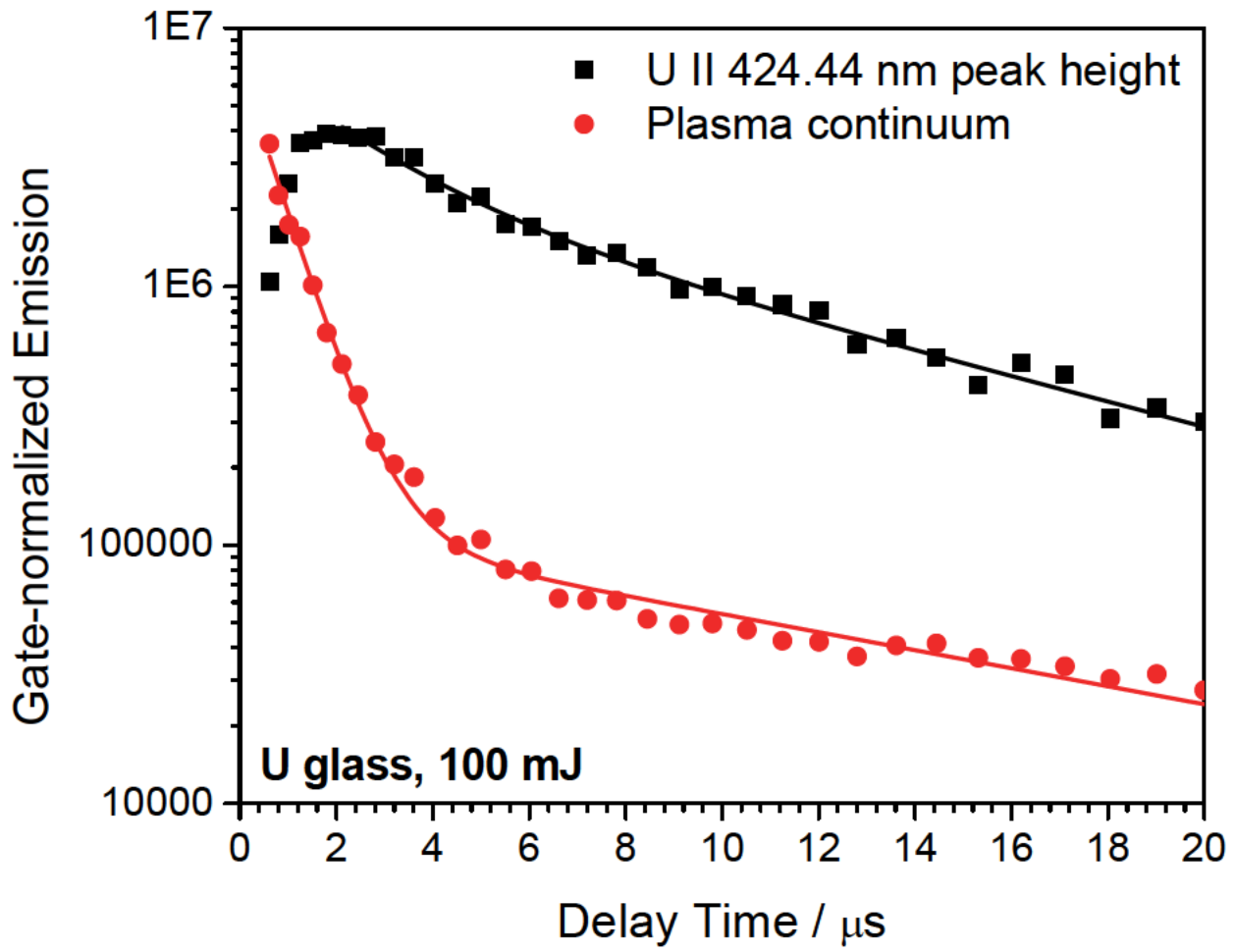


Figure 5b

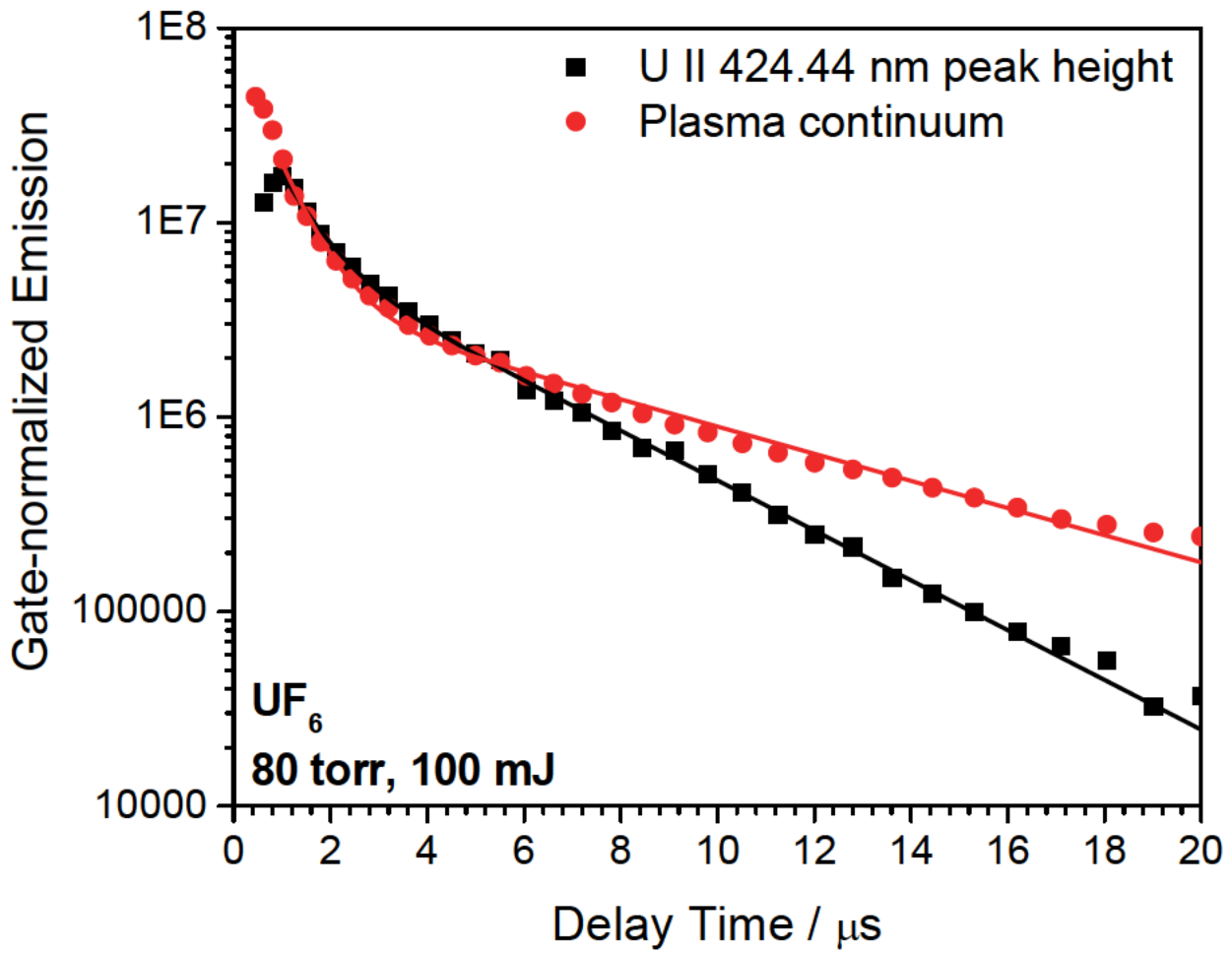


Figure 5c

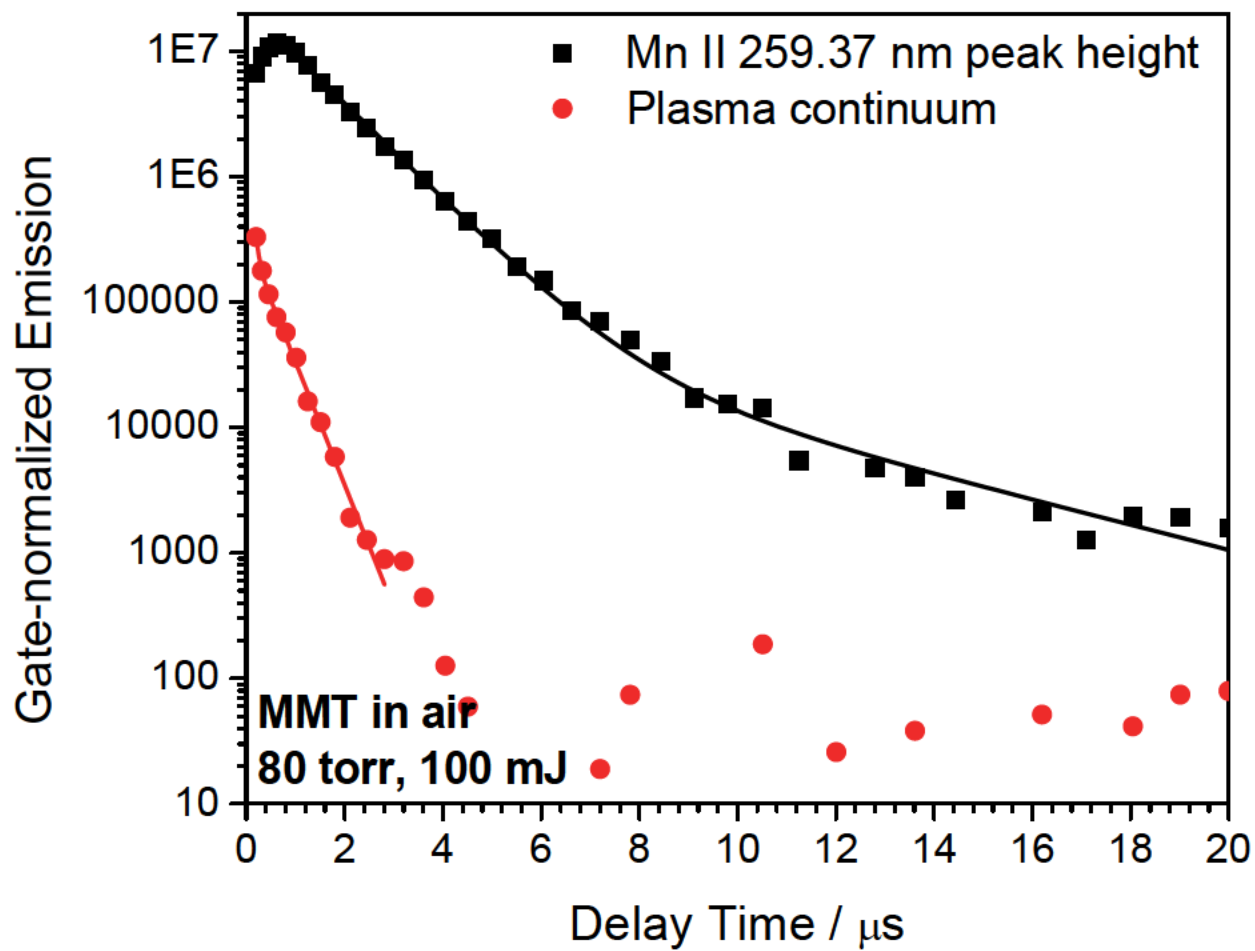


Figure 6a

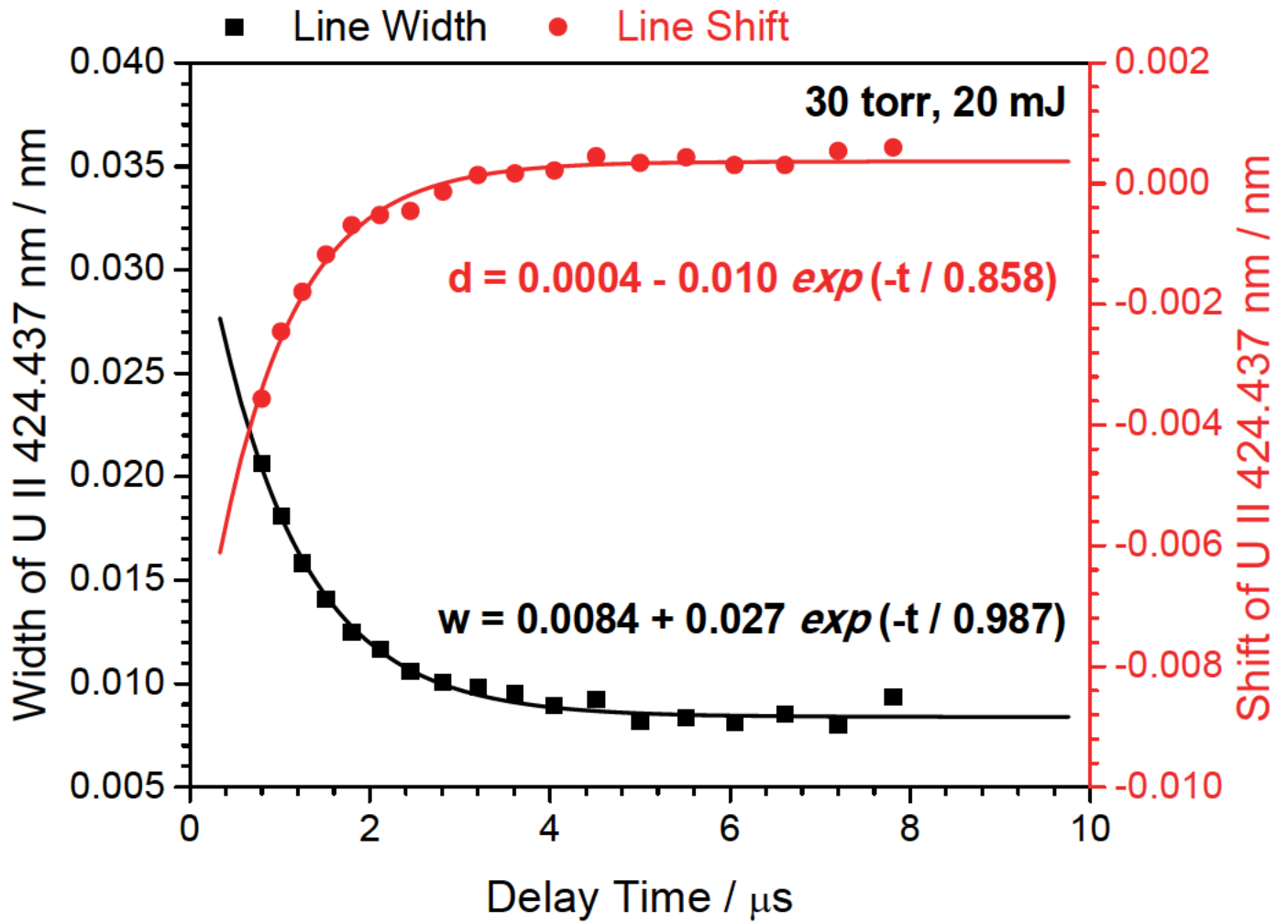


Figure 6b

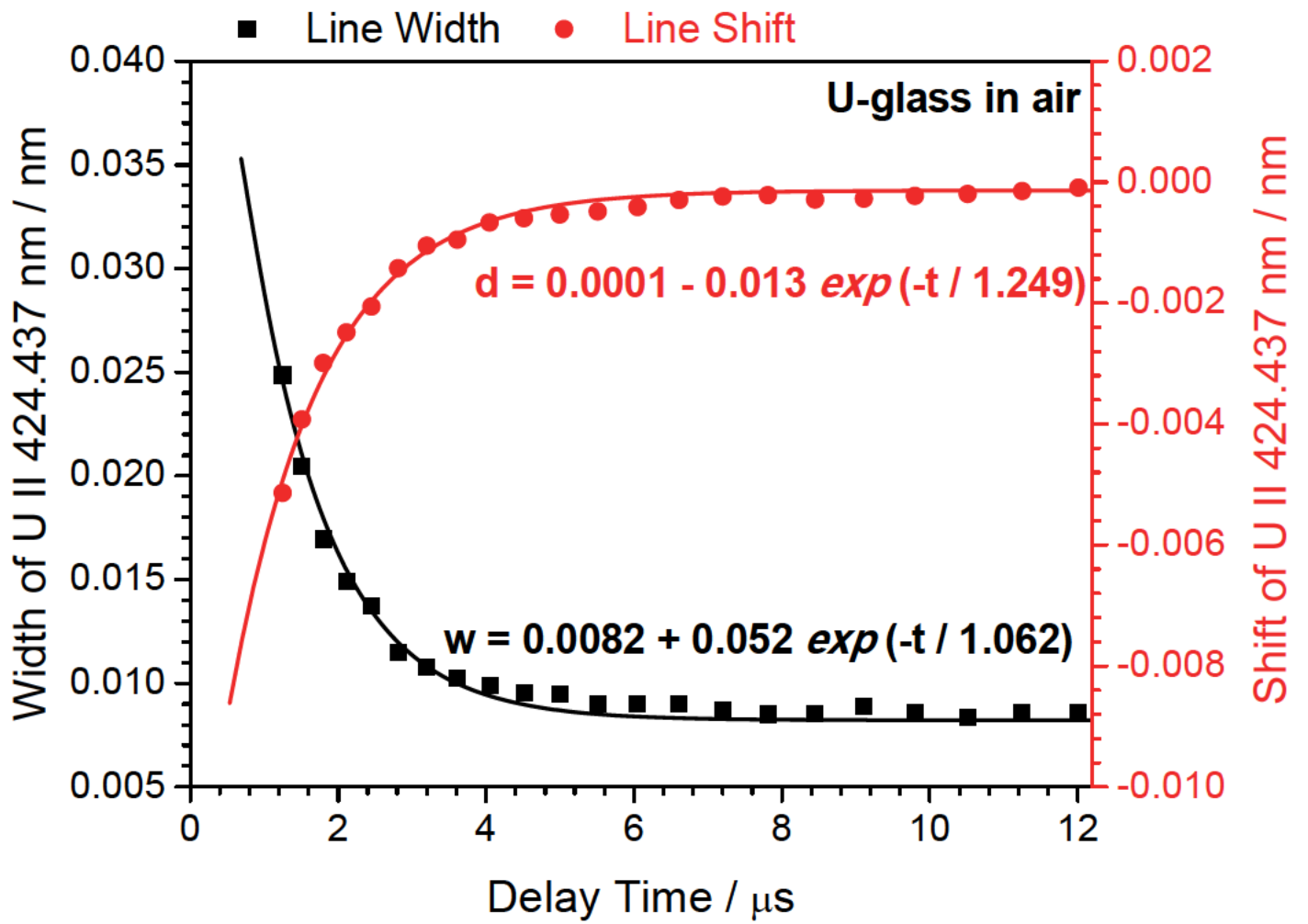


Figure 7a

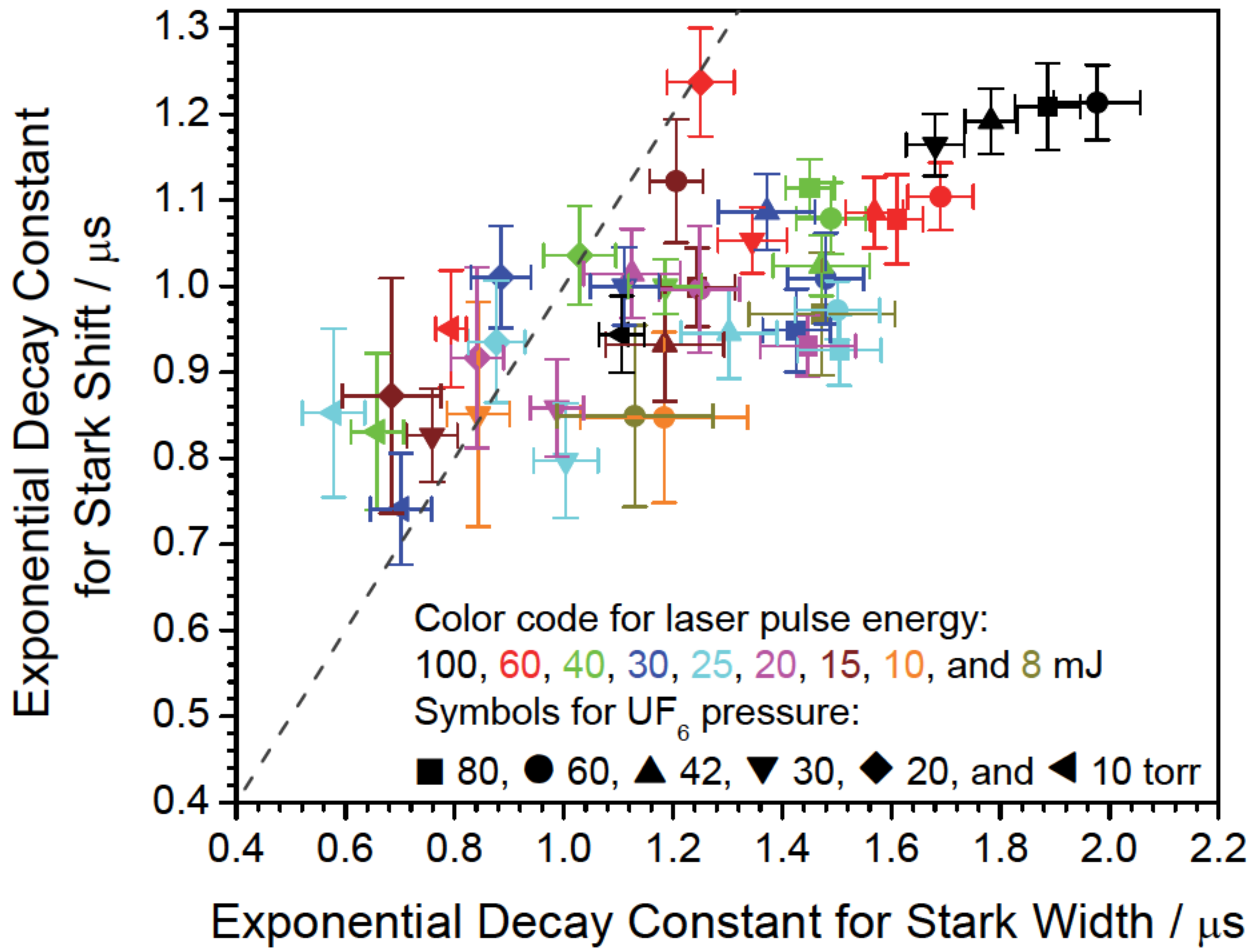


Figure 7b

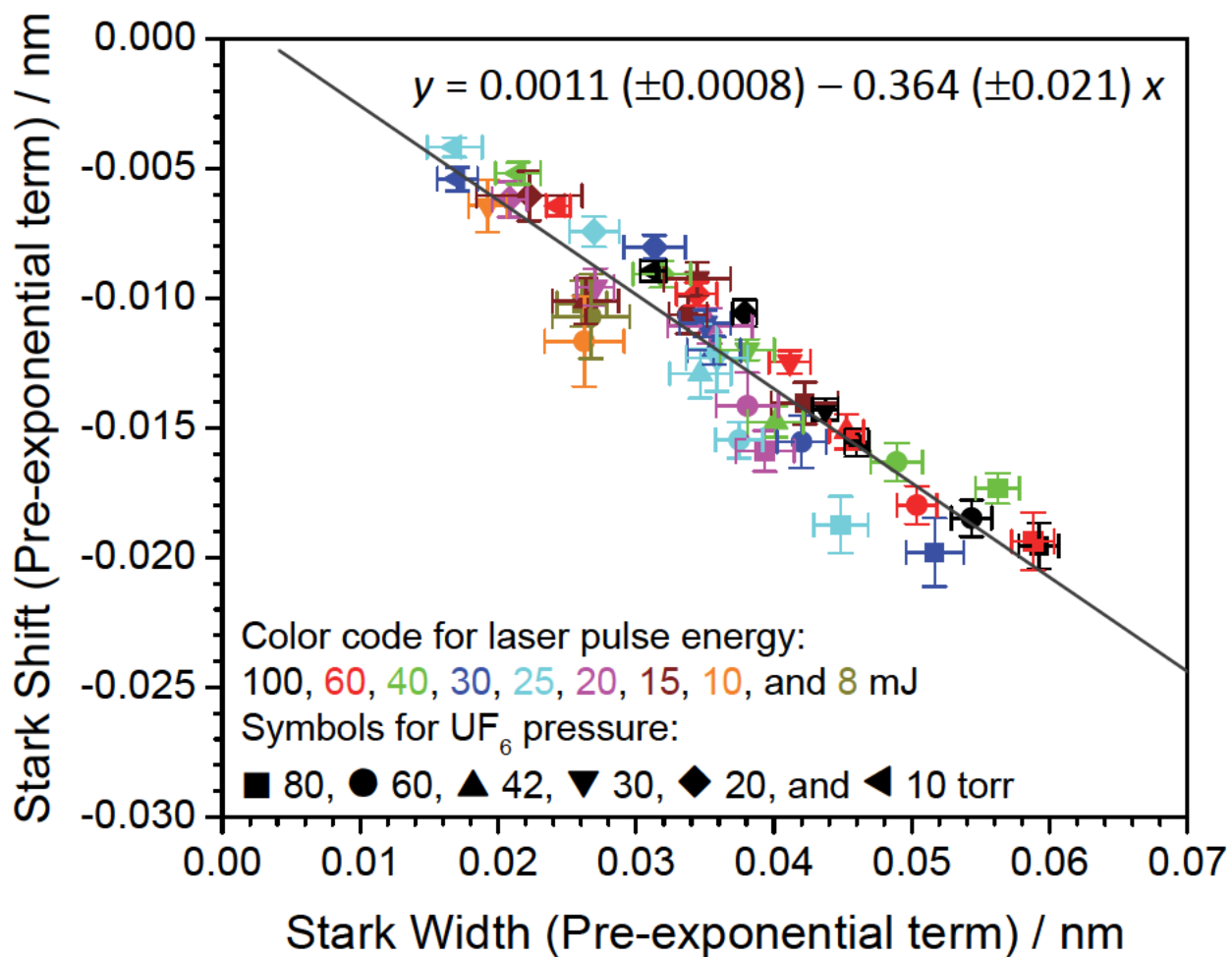
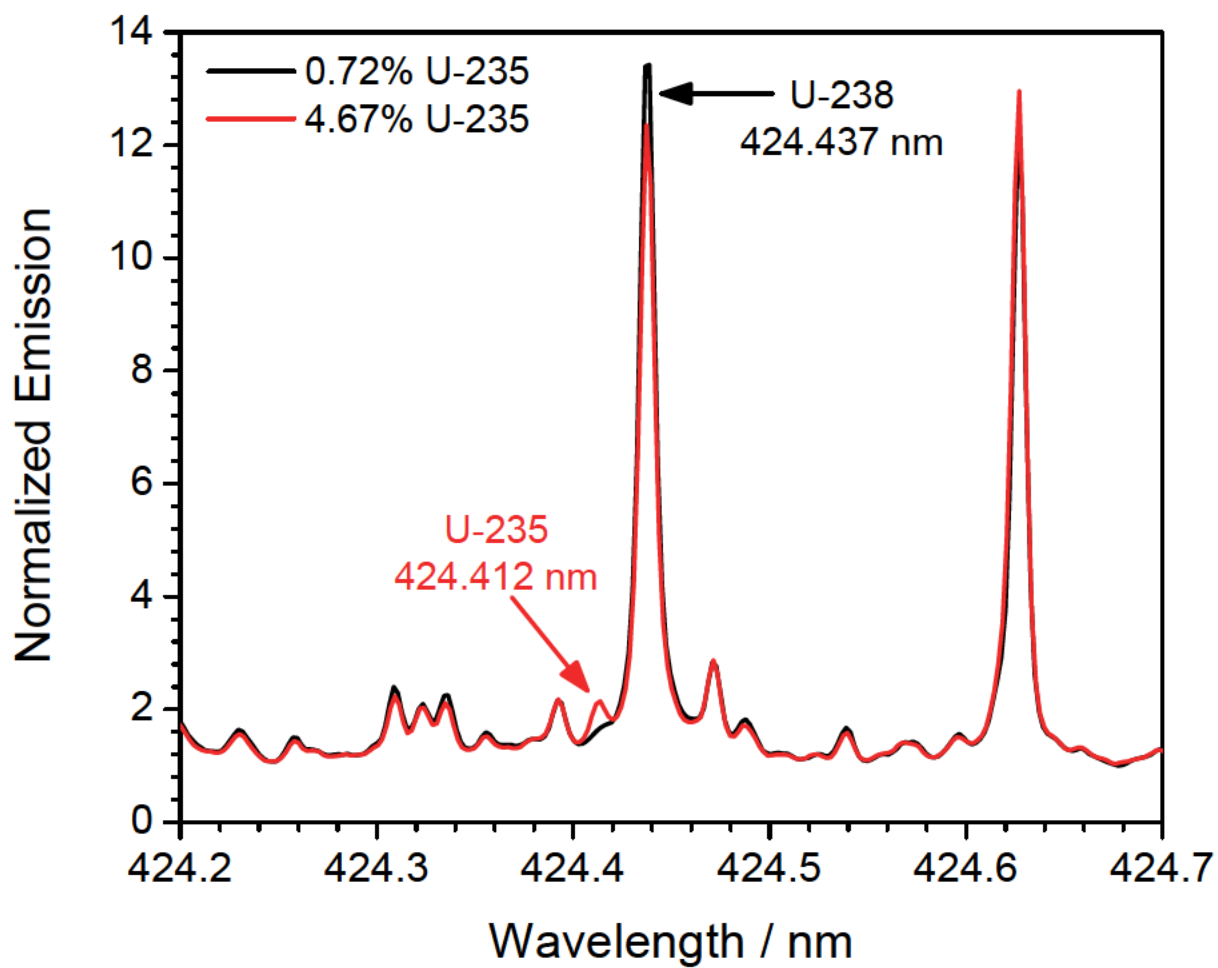


Figure 8a



20190312, 6 torr, 30 mJ

Figure 8b

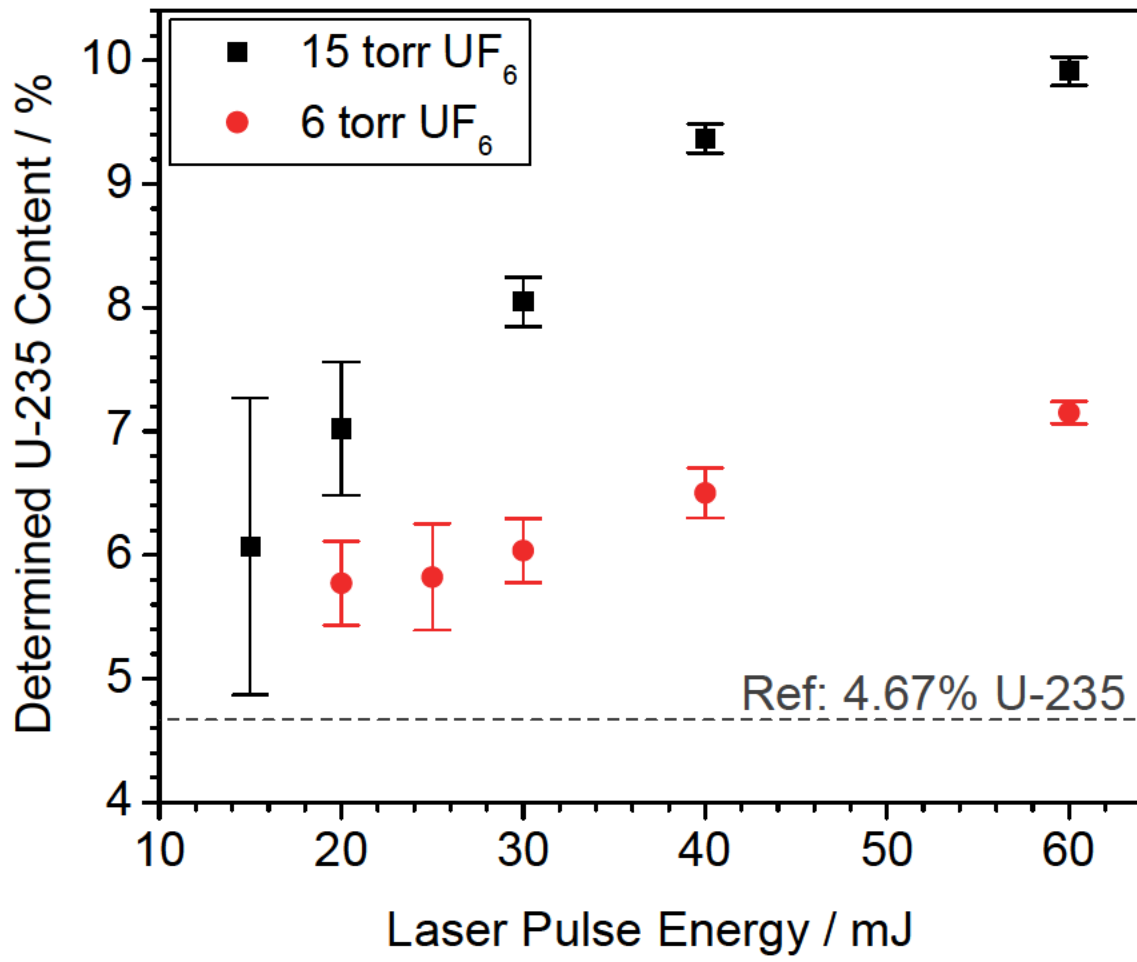
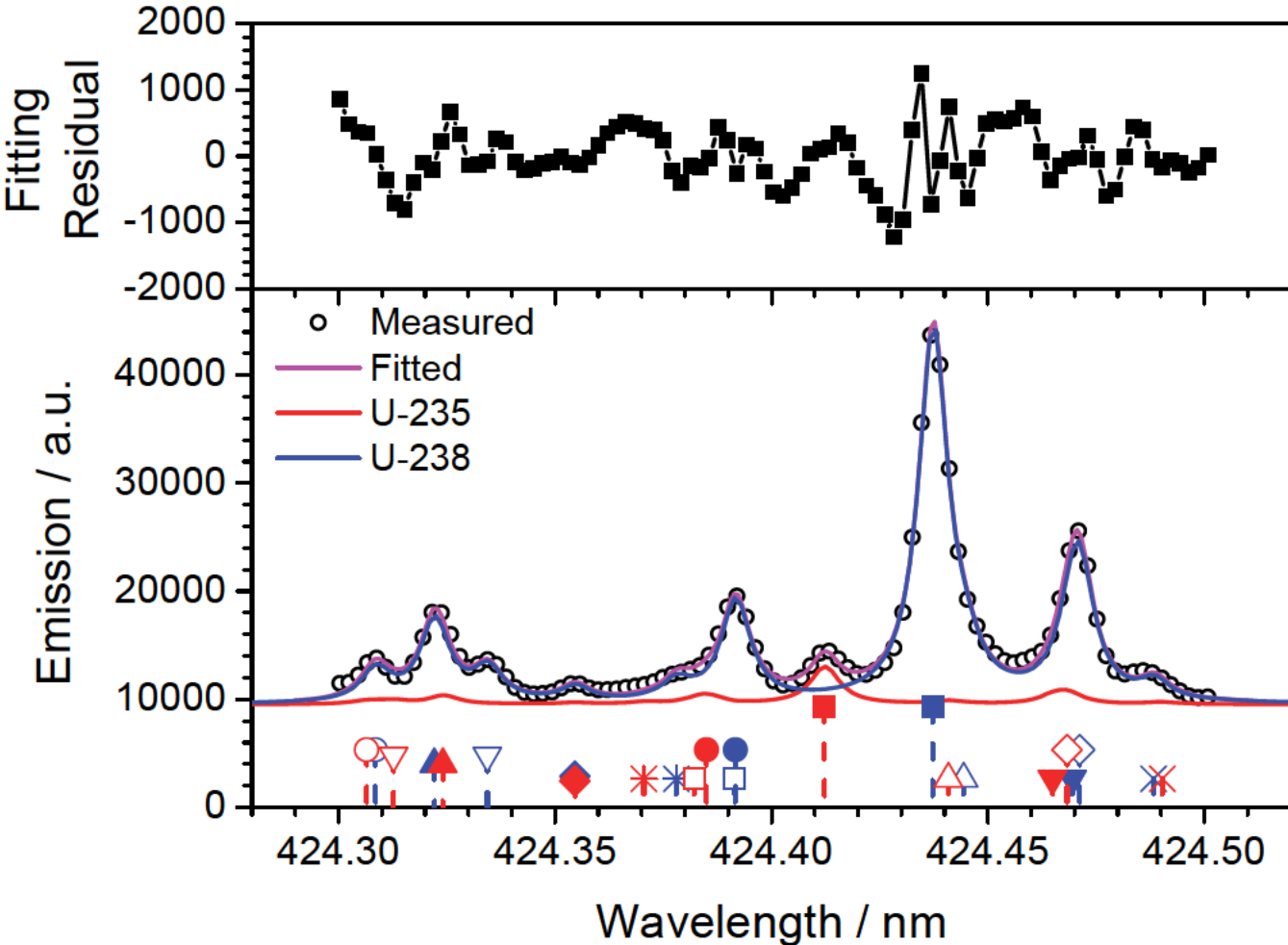


Figure 8c



40 mJ, 15 torr

Figure 9a

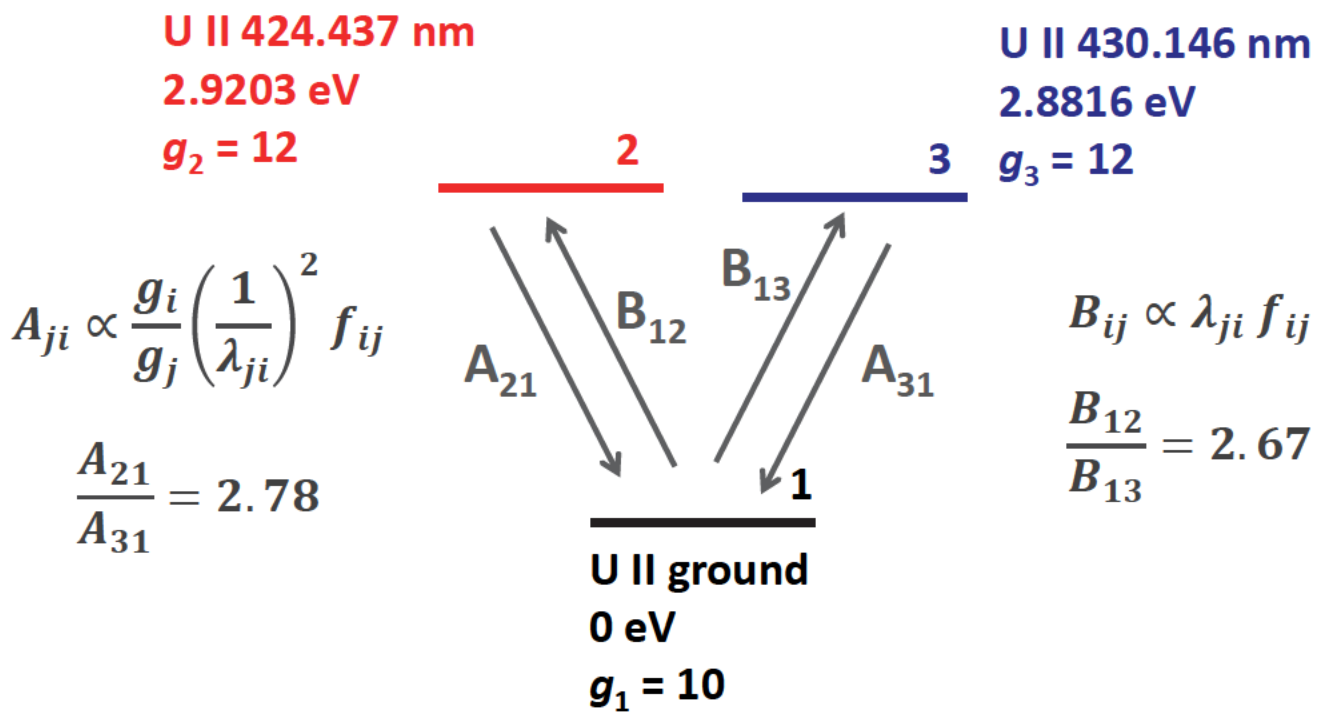
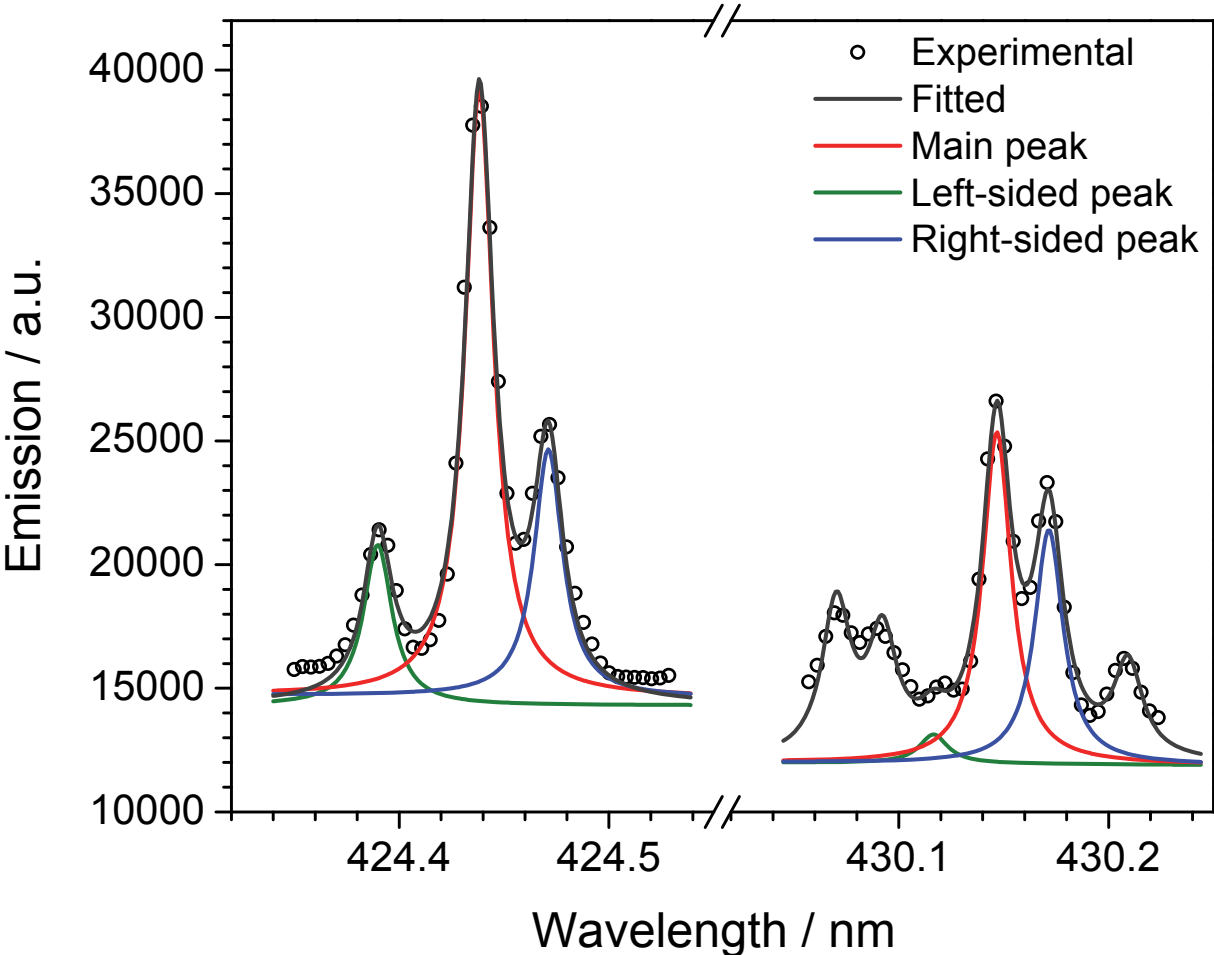


Figure 9b



30 mJ

Figure 9c

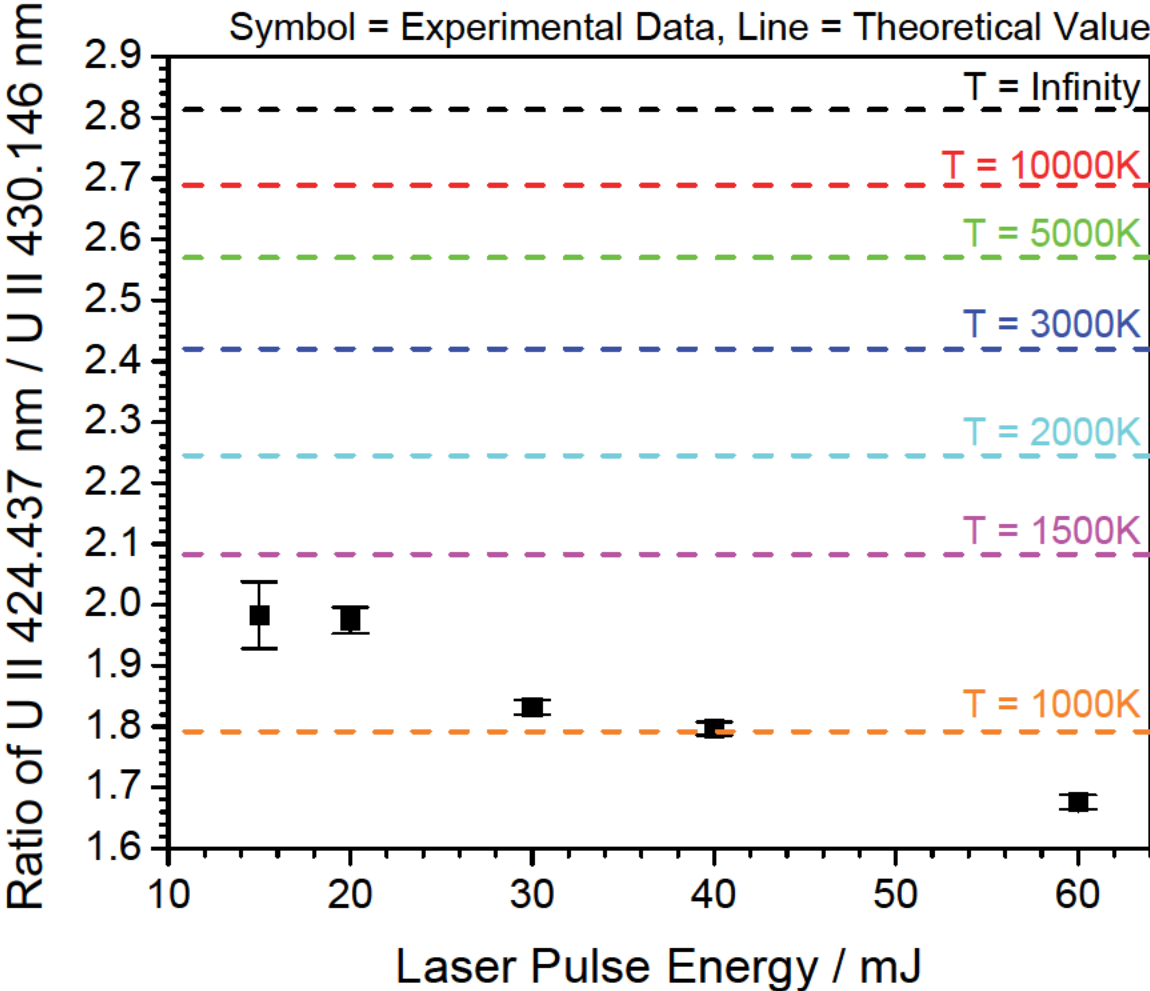


Figure 10

