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## Resolving of gastric cancer aetiology: an update in genetic predisposition

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### Abstract

Each year, gastric cancer accounts for nearly one million new cases and over 720,000 deaths worldwide. Prognosis is dismal as most patients are diagnosed with advanced gastric cancer. Gastric cancer outcomes will benefit from better ways to identify at risk individuals. An approach to target high-risk populations is to identify those who are genetically predisposed to gastric cancer as up to 15% of all patients report family history of the disease. Based on clinical manifestations, three gastric cancer syndromes have been described but recent findings suggest that the diagnosis of some of these syndromes is sub-optimal and it could benefit from genetic information. Using genome-wide association and next generation sequencing studies, the last decade has seen the identification of low penetrance and high-risk genes that have considerably increased our understanding of gastric cancer risk. *PALB2* has emerged as new familial gastric cancer gene from these studies. Furthermore, recent genetic analyses in sporadic patients suggest that >10% of all cases have pathogenic mutations, a finding of great importance for cancer aetiology. In this article, we provide a comprehensive review on the role of genetics in gastric cancer aetiology and the implications of recent genetics findings for the prevention of this malignancy.

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#### Contributors

PL and LGCC conceived and designed the review. PL performed the compilation of the primary literature sources, wrote the initial draft and designed figures and tables. LGCC complemented the literature review, developed Discussion and Future Directions sections and contributed to Tables and Figures. PL and LGCC reviewed and approved the final version of the review.

#### Conflicts of Interest

The authors declared no conflicts of interest.

#### Search Strategy and Selection Criteria

We performed a literature search in PubMed, Google Scholar, and GWAS Catalog for Population Risk Factors, Genetic Risk Factors, Hereditary Gastric Cancer and Related Syndromes, and Population based association studies published on or after 2000. For completeness, we also reviewed relevant reviews, meta-analysis, and studies published on gastric cancer. Keywords used in the search included “cardia”, “non-cardia”, “gastric cancer”, “stomach cancer”, “risk factors”, “hereditary gastric cancer”, “BRCAness”, “diffuse gastric cancer”, and “intestinal gastric cancer.” Preference was given to recent primary studies and reviews written or translated in the English language. However, due to reference and text length limitations, reviews were cited and in multiple instances, readers were referred to recent extensive reviews on those subjects that were beyond the scope of this manuscript.

## Introduction

With nearly one million new cases diagnosed per year, gastric cancer is the fifth most commonly diagnosed cancer worldwide.<sup>1–3</sup> After lung and liver cancer, gastric cancer is one of the most deadliest malignancies, each year accounting for >720,000 deaths.<sup>3</sup> One third of all gastric cancers are diagnosed in the cardia, the mucosa originating about five centimetres from the gastro-oesophageal junction,<sup>4</sup> and two thirds arise in the mucosa distal to the cardia (non-cardia cancers, Figure 1)<sup>5,6</sup> Histologically, gastric cancer is classified into intestinal cancers, which resemble the intestinal mucosa and have glandular formations with intercellular junctions; and diffuse cancers, where cell clusters lacking cell-cell cohesion and infiltrated stroma are seen<sup>7</sup>. Diffuse cancers include signet ring cell adenocarcinomas, where increased intracellular mucosa presses the nucleus to the cell periphery.<sup>7</sup> These anatomic and histological classifications have associations with epidemiology. *Helicobacter pylori* (*H. Pylori*) infection is closely associated with intestinal non-cardia cancers while obesity or gastro-oesophageal reflux disease (GERD) has stronger effects on cardia cancers.<sup>1,2</sup> Due mostly to *H. pylori* eradication programs, non-cardia cancer incidence is decreasing while cardia cancer incidence is increasing; particularly in countries with high obesity rates.<sup>6</sup>

The limited disparity between incidence and mortality rates highlights the poor prognosis of gastric cancer. Only a small fraction of cases are detected at early stages and, as a consequence, gastric cancer has poor outcomes.<sup>1,2,6</sup> Only 5% of metastatic cancer patients are alive five years after diagnosis.<sup>1,2,6</sup> These dismal survival rates underscore the need to identify at-risk individuals who can benefit from early interventions. Major gaps in gastric cancer prevention and treatment remain and it is hoped that recent genetic advances will provide new tools that lead to better outcomes. Here, we provide a review of gastric cancer aetiology, with an emphasis on the role genetic predisposition.

### Risk Factors for Gastric Cancer

The major gastric cancer risk factors include age, *H. pylori* and Epstein-Barr virus (EBV) infection, race, sex, obesity, GERD, tobacco, alcohol and family history (Figure 1).<sup>1,2,6</sup> Data from the U.S. Surveillance, Epidemiology and End Results program (<https://seer.cancer.gov/statfacts/html/stomach.html>) indicates that >80% of cases are diagnosed in individuals 55 years (y) or older and the median age of diagnosis is 68y.<sup>6</sup> Approximately 89% of all non-cardia cancers are attributed to *H. pylori*<sup>8</sup> and 9% to EBV.<sup>9</sup> Race/ethnicity is another risk factor. In the United States, the gastric cancer incidence in individuals of Latino and Asian ancestry is about 2-fold higher than in Whites.<sup>10</sup> However, when incidence is stratified by anatomic location, cardia cancers are more often diagnosed in Whites.<sup>11,12</sup> Indeed, cardia cancer incidence is increasing among White men as this group is enriched for risk factors including obesity and GERD<sup>11,12</sup>. Male sex increases cardia cancer risk by ~5-fold while a body mass index >30 and GERD increases risk by 82%<sup>13</sup> and 2–4-fold respectively.<sup>1,2,14,15</sup> Interestingly, a recent study suggested an increase in corpus (non-cardia) cancers among young White women<sup>16</sup>. Multiple behavioural risk factors have been also identified for gastric cancer. Nearly 17% (95% CI: 10.5–29.5%) of all gastric cancers have been ascribed to smoking; with this risk factor having a stronger effect on cardia cancers (hazard ratio, HR=4.10, 95% CI: 1.76–9.57 for cardia vs. 1.94, 95% CI: 1.05–3.60,

for non-cardia).<sup>17</sup> Heavy alcohol consumption has an increased relative risk of 1.52 (95% CI: 0.80–2.88)<sup>18</sup> and the combined effect of heavy smoking and heavy alcohol consumption increases risk by 4.9-fold (95% CI: 1.90–12.62).<sup>19</sup>

Besides non-genetic risk factors, ~10–20% of gastric cancer patients show a familial aggregation of the disease and about 2–5% can be classified as hereditary cases.<sup>1,2,20</sup> Twin studies suggested that 28% of the risk is accounted for by inherited factors.<sup>21</sup> Despite the important role of genetic factors on risk, until very recently only one gene, E-cadherin (*CDHI*),<sup>22</sup> had been associated with inherited gastric cancer. The recent advances in high-throughput DNA analysis, however, have led to the identification of several genomic regions associated with an increased risk of gastric cancer.<sup>23–32</sup>

### Hereditary Gastric Cancer Syndromes

The three primary familial gastric cancer syndromes (See Table 1) that have been described in the literature include Hereditary Diffuse Gastric Cancer (HDGC), Familial Intestinal Gastric Cancer (FIGC) and Gastric Adenocarcinoma and Proximal Polyposis of the stomach (GAPPS). Gastric cancer is also reported in cancer syndromes such as Lynch Syndrome (LS), Li-Fraumeni Syndrome (LFS), Juvenile Polyposis Syndrome (JPS), Peutz-Jeghers Syndrome (PJS) and Hereditary Breast and Ovarian Cancer Syndrome (HBOCS). Below, we provide a brief overview of the genetics and clinical characteristics of the most common syndromes where gastric cancer cases are reported.

**Hereditary Diffuse Gastric Cancer Syndrome (HDGC)**—HDGC is a highly penetrant disease caused by germline mutations in *CDHI*, which encodes E-Cadherin.<sup>22</sup> E-cadherin is a transmembrane protein that plays an integral role in maintaining cell-cell cohesion, and binds intracellular catenin molecules which mediate cell growth pathways.<sup>33</sup> It is also involved in the development and metastases of multiple malignancies through its interactions with multiple signalling pathways.<sup>33</sup> Current guidelines classify families as HDGC if they meet one or more of the following criteria: 1) two or more gastric cancer cases, where at least one is the diffuse-type, 2) one case of diffuse gastric cancer before age 40y, and 3) personal or family history of diffuse gastric cancer or lobular breast cancer within a single individual before age 50y. Testing for *CDHI* mutations should also be considered for: 1) families or persons with a history of lobular breast cancer under age 50y, 2) individuals with or family history of cleft lip/cleft palate and diffuse gastric cancer, or 3) individuals with signet ring cells in the stomach as diagnosed by an expert pathologist.<sup>34</sup>

Forty percent of HDGC cases carry *CDHI* mutations<sup>6,35</sup>, which confer a gastric cancer lifetime risk of 70% (95% CI: 59–80%) in men and of 56% (95% CI: 44–69%) in women.<sup>36</sup> Women with *CDHI* mutations also have a 42% lifetime risk of developing lobular breast cancer.<sup>35,36</sup> The median age of gastric cancer diagnosis in *CDHI* mutation carriers is 38y.<sup>6,35</sup> Due to poor prognosis, *CDHI* mutation carriers are advised to have a prophylactic gastrectomy or to have frequent endoscopic surveillance following a specialised high-risk protocol, as endoscopy is not a fail-safe and may miss cancers.<sup>34,35,37</sup> In fact, 90% of prophylactic gastrectomies in *CDHI* mutation carriers have signet ring cell gastric adenocarcinoma even after being cleared by endoscopy underscoring the need for a surgical

intervention among *CDHI* carriers.<sup>38</sup> In 2013, evidence for a second HDGC gene was provided when Majewski *et al*<sup>39</sup> identified a *CTNNA1* mutation in a *CDHI*-negative HDGC pedigree. However, *CTNNA1* mutations are rare having not been consistently found in HDGC and not often screened for in the clinical setting.<sup>25,28,31,34,36,40–43</sup>

**Gastric Adenocarcinoma and Proximal Polyposis of the Stomach (GAPPS), now a subset of Familial Adenomatous Polyposis Syndrome**—GAPPS was a recently described syndrome characterized by an autosomal dominant inheritance in which patients have over 100 fundic polyps and gastric cancer.<sup>6,35</sup> Gastric cancers are associated with such polyps. Owing to its rarity, lifetime gastric cancer risk in GAPPS was unknown and its diagnostic criteria were not derived from international consensus.<sup>44–47</sup> The originally proposed GAPPS diagnostic criteria stated that cases with other polyposis syndromes such as Familial Adenomatous Polyposis Syndrome (FAPS), a condition caused by *APC* mutations, should be excluded.<sup>45–47</sup> However, recent studies identified *APC* mutations in seven families, which suggested that GAPPS is a subset of FAPS<sup>44,45</sup> and not a standalone gastric cancer syndrome.

**Familial Intestinal Gastric Cancer (FIGC)**—FIGC is characterized by intestinal-type gastric cancer and follows an autosomal dominant inheritance pattern.<sup>35</sup> Current FIGC diagnostic criteria<sup>48</sup> is stratified on the basis of the population gastric cancer incidence. In high incidence countries, such as Portugal and Japan, FIGC is diagnosed if three criteria are met: 1) at least three relatives with intestinal gastric cancers and one of them is a first-degree relative of the other two, 2) gastric cancer patients occur in at least two generations, and 3) at least one patient is diagnosed with gastric cancer before age 50y. In low-incidence countries, such as the United States, FIGC is diagnosed if at least two first/second-degree relatives have had intestinal gastric cancer, one by age 50y, or if the family includes three or more first/second-degree relatives diagnosed with gastric cancer at any age.<sup>49</sup> Unlike HDGC or GAPPS (a subset of FAPS), the genetic basis of FIGC remains unknown. Since there is no clear evidence for the best approach to manage this syndrome, surveillance is recommended in a research environment and should involve regular endoscopy.<sup>50</sup>

**Other Syndromes where Gastric Cancer is Diagnosed**—In addition to the primary gastric cancer syndromes discussed above, six cancer syndromes (Table 1), including four gastro-intestinal (GI) cancer syndromes (JPS, PJS, LS and FAPS) and two multi-organ/extra-GI cancer syndromes (LFS and HBOCS), have been associated with an increased gastric cancer risk.

Lynch syndrome (LS), the most common GI cancer syndrome, is an autosomal dominant inheritance condition caused by mutations in DNA mismatch repair (MMR) genes (*MLH1*, *MSH2*, *MSH6*, *PMS1*, *PMS2*) or by *EPCAM* deletions.<sup>35</sup> LS patients have a gastric cancer lifetime risk that ranges from 11–19%.<sup>51–53</sup> However, it is thought that incidence rates are decreasing in Europe while remaining higher in Asia suggesting an environmental component.<sup>54</sup> Currently, LS patients living in low risk areas are not recommended for gastric cancer surveillance because of low risk and an unproven benefit.<sup>54–56</sup> Furthermore, Barrow *et al*<sup>55</sup> found evidence for LS cohort effects and suggested that gastric cancer risk in those born after 1935, a cohort where incidence rates decreased, was not high enough to not justify

surveillance. Juvenile Polyposis Syndrome (JPS) is an autosomal dominant disease caused by *SMAD4*, *BMPRIA* or *ENG* mutations<sup>35,57</sup> and is associated with a lifetime gastric cancer risk of 21%.<sup>58</sup> Peutz-Jeghers Syndrome (PJS), caused by *STK11* mutations<sup>35,59</sup>, is another syndrome that has been associated with a gastric cancer lifetime risk of 29%.<sup>60</sup> Familial Adenomatous Polyposis Syndrome (FAPS) is an autosomal dominant syndrome caused by *APC* mutations.<sup>35,57</sup> About 2% of all *APC* mutation carriers develop gastric cancer.<sup>61</sup>

Gastric cancer is also often reported in individuals with Li-Fraumeni Syndrome (LFS) and with Hereditary Breast and Ovarian Cancer Syndrome (HBOCS). LFS follows autosomal dominant inheritance and is caused by *TP53* mutations.<sup>35,57</sup> *TP53* mutation carriers have a gastric cancer lifetime risk of 2–3%.<sup>62</sup> HBOCS is an autosomal dominant condition associated with *BRCA1* and *BRCA2* mutations<sup>63</sup> and has a gastric cancer lifetime risk of ~5%.<sup>64</sup>

### The role *PALB2* and homologous recombination DNA repair genes in gastric cancer

During the last three years, multiple studies have provided evidence for a causal role of *PALB2* and other genes involved in homologous recombination DNA repair (HRDR) in gastric cancer risk.<sup>25,28,31,36,42,43</sup> *PALB2* is a breast and pancreatic cancer gene<sup>65,66</sup> and its protein, together with *BRCA1*, *BRCA2*, and *RAD51C* forms a complex that repairs chromosomal breaks during DNA replication.<sup>67</sup> Germline HRDR gene mutations generally associate with a somatic tumour phenotype called “BRCAness”, which is characterized by multiple chromosomal alterations. Cancers with BRCAness respond to certain chemotherapies and to poly (adenosine diphosphate [ADP]) ribose polymerase inhibitors (PARPi).<sup>68</sup>

The first evidence of a role for HRDR genes in gastric cancer was provided by Hansford *et al*<sup>36</sup>, who examined 183 HDGC families for mutations in 55 cancer-associated genes. Of these, 19% (n=34) had *CDHI* mutations and five of the 144 (3.5%) *CDHI* mutation-negative families had mutations in three HRDR genes. *ATM* was mutated in three families, *BRCA2* in one and *PALB2* in another. Lu *et al*<sup>43</sup> used exome sequence data from The Cancer Genome Atlas study (TCGA) in 321 sporadic gastric cancers to identify 8% of such patients as having germline mutations in HRDR genes. Recurrently mutated HRDR genes in TCGA included *PALB2* and *ATM*, mutated in four patients each, and *BRCA1* and *BRCA2*, mutated in three patients each.

In our own recent exome sequencing study in 28 *CDHI* mutation-negative HDGC cases we identified two patients with *PALB2* mutations and one with a *RAD51C* mutation.<sup>28</sup> After independent replication, we identified a total of 11 patients with mutations in *PALB2* (n=7), *BRCA1* (n=3), or *RAD51C* (n=1). Our evaluation of gastric cancers from *PALB2* and *RAD51C* mutation carriers found that they had the BRCAness mutational signature, which provided further causality evidence for these germline HRDR gene mutations.<sup>28</sup>

Two recent sequencing studies provided further evidence of a role of HRDR genes in gastric cancer.<sup>31,42</sup> Slavin *et al*<sup>31</sup> carried out targeted sequencing of 706 cancer-related genes in 43 familial cases and identified several individuals with mutations in the HRDR genes *ATM*,

*ATR*, *BRCA2*, *BRIP1* and *FANCC*. Fewings *et al*<sup>42</sup>, carried out exome sequencing in 22 *CDHI* mutation-negative HDGC families and reported patients with mutations in *PALB2* (n=1), *ATR/NBN* (n=1) and *RECQL5* (n=2). More recently, Huang *et al*<sup>25</sup> evaluated exome sequence data from 443 TCGA cases, a sample which included all patients previously examined by Lu *et al*<sup>43</sup>, and reported several sporadic gastric cancer patients with HRDR gene mutations, including seven cases with *ATM* mutations, five with *PALB2*, four with *BRCA2* and three with *BRCA1*.

**Age of onset and histology among HRDR gene mutation carriers**—The sequencing studies discussed above have independently identified mutations in six HRDR genes (Table 2).<sup>25,28,31,36,42</sup> *PALB2* mutations have been identified in four studies<sup>25,28,36,42</sup>, patients with *ATM*<sup>25,31,36</sup> or *BRCA2*<sup>25,31,36</sup> mutations have been reported by three studies each, and *BRCA1*<sup>25,28</sup>, *BRIP1*<sup>25,31</sup> and *ATR*<sup>31,42</sup> have been found to be mutated by two studies each. It now feels safe to conclude that *PALB2* is a gastric cancer gene<sup>69</sup> and that *ATM* and *BRCA1* also represent good candidates. The causal role for *BRCA2*, *BRIP1* and *ATR*, however, will require further studies. Figure 2 shows box plots with the ages of onset for gastric cancer patients with mutations in these six genes. The average age of onset for the 14 patients that have been reported with *PALB2* mutations is 63y (range 46–81y). *ATM* Mutations, reported in nine patients, are associated with an earlier age of onset (56y, range 41–70y). *BRCA1* and *BRCA2* mutations have been reported in seven and four patients, respectively, who on average have gastric cancer at ages 61y and 65y, respectively. *BRIP1* and *ATR* mutations have been more rarely reported but seem to be associated with the youngest ages of onset.

Figure 2 (lower part) also shows the histology of the gastric cancers from HRDR gene mutation carriers. The histology of these cancers is heterogeneous and involves both cancers of intestinal and diffuse histology. For example, six patients with *PALB2* mutations developed intestinal cancers, five diffuse cancers and one with a mixed histology. While two of the studies reporting *PALB2* mutations had *CDHI* mutation-negative HDGC<sup>31,42</sup> as inclusion criteria, and hence all patients included had diffuse cancers, our study and the TCGA-based study<sup>25,28</sup> included sporadic gastric cancers, which represent most known mutation carriers with intestinal cancers. Notably, we found instances where patients with the same *PALB2* mutation developed cancers with different histology.<sup>28</sup> Hence, HRDR-deficient gastric cancers do not fully conform to the histological criteria used for HDGC and current data suggest that they have multiple histological types.

### Common genetic variants conferring a high risk of gastric cancer

With the advent of the whole genome genotyping arrays, genome-wide association studies (GWAS) have been used to identify single nucleotide polymorphisms (SNPs) associated with gastric cancer risk. So far, GWAS have identified eight gastric cancer-associated genomic regions (Table 3).<sup>23,24,26,27,29,30,32</sup> Below, we briefly describe the main characteristics of these regions.

**Chromosome 1q22 (MUC1)**—The 1q22 region, tagged by SNP rs4072037-A, was first identified as a diffuse gastric cancer susceptibility variant in Japan<sup>29</sup>, independently

replicated in two East Asian studies.<sup>23,27</sup> rs4072037-A is a variant in the second exon of *MUC1*, a gene encoding a cell surface mucin that is highly expressed in the gastric epithelium and is commonly mutated in gastric cancers.<sup>70</sup> This risk allele causes a shift in *MUC1* splicing isoforms from variant 2 to variant 3, which differs by the removal of 9-amino acids from the tandem repeat (TR) domain of the N-terminal signal peptide, due to the reduction of stem-loop stability.<sup>71</sup> This change may affect its cellular localization; however, localization of these splice variants have not yet been investigated.<sup>72</sup> The MUC1 extracellular domain has been shown to protect the murine stomach epithelial lining from *H. pylori* colonization.<sup>73</sup> Evidence suggests that *MUC1* is an oncogene implicated in multiple malignancies through interactions with its intracellular and extracellular domains, as reviewed by Horm *et al.*<sup>74</sup> Recent studies have found that rs4072037-A also increases the risk of non-cardia cancers.<sup>24,32</sup>

**Chromosome 3q13.31 (ZBTB20)**—Shi *et al.*<sup>30</sup> identified rs9841504-C as a non-cardia risk variant (OR=1.32), located in an intron of *ZBTB20*. *ZBTB20* encodes a zinc finger protein which regulates alpha-fetoprotein, a tumour marker.<sup>30</sup> Little is known about how the rs9841504-C increases cancer risk, but a study showed that ZBTB20 protein expression is associated with poor prognosis in hepatocellular carcinoma.<sup>30</sup>

**Chromosome 5p13.1 (PRKAA1)**—rs13361707-C was discovered as a non-cardia gastric cancer susceptibility SNP by Shi *et al.*<sup>30</sup> It increases risk by 41% and maps to a predicted promoter region in the first intron of *PRKAA1*, a gene that encodes the alpha 1 catalytic subunit of 5' adenosine monophosphate-activated protein kinase (AMPK), a key regulator of the ATP-consuming biosynthetic pathways and cellular metabolism.<sup>75</sup> In another study, Hu *et al.*<sup>76</sup> found that rs10074991-G, a SNP in perfect linkage disequilibrium with rs11361707-C and also located in the first intron of *PRKAA1*, is associated with the risk of both cardia and non-cardia cancers. Neither rs13361707-C nor rs10074991-G have been functionally characterized and the effect of these variants on *PRKAA1* remains unverified. As an AMPK subunit, *PRKAA1* plays a key role in cancer development and proliferation as reviewed by Ross *et al.*<sup>77</sup> TCGA data shows *PRKAA1* is highly expressed in gastric cancers.<sup>70</sup>

**Chromosome 5q14.3 (lnc-POLR3G-4)**—The 5q14.3 variant (rs7712641-C) is associated with a 19% increased risk of non-cardia gastric cancer<sup>32</sup> and located within the intron of *lnc-POLR3G-4*, a long non-coding RNA (lncRNA). To explore possible effects of rs7712641-C on *lnc-POLR3G-4*, Wang *et al.*<sup>32</sup> carried out expression analyses in 75 matched gastric tumours/normal gastric tissues and found that while *lnc-POLR3G-4* was under-expressed in cancers, there was no association between rs7712641 genotypes and *lnc-POLR3G-4* expression. These analyses therefore did not support a functional role of rs7712641-C in gastric cancer. As no further functional studies have been carried out to characterize rs7712641-C, the mechanisms by which this allele increases risk remains unknown. Besides being under-expressed in gastric tumours (which suggest a tumour suppressor role), little is known about the *lnc-POLR3G-4* role in tumorigenesis.

**Chromosome 6p21.1 (UNC5CL, LRFN2)**—The 6p12.1 region harbours two independent risk variants. Jin *et al.*<sup>26</sup> found that rs2494938-C increases non-cardia gastric



cancer risk by 18%. rs2494938 is located in the first intron of the *LRFN2* gene, which encodes the leucine rich repeat and fibronectin type III domain containing 2 protein.<sup>26</sup> Located 200Kbp upstream, a second independent variant (rs2294693-A) was discovered in Asians.<sup>76</sup> rs2294693 is located in the first intron of *UNC5CL*, a gene that encodes Unc-5 family C-terminal like protein. Neither rs2494938-C nor rs2294693-A have been functionally characterized and hence the mechanisms by which they increase cancer risk remain unknown. However, *UNC5CL* inhibits NF-kappa-B-dependent (NF-kB) transcription, a nuclear factor that plays a central role in inflammation and cancer progression.<sup>78</sup> Little is known about the role of *LRFN2* in tumorigenesis.

**Chromosome 8q24.3 (PSCA)**—Sakamoto *et al*<sup>29</sup> first discovered an association between diffuse gastric cancer and two markers (rs2976392-G and rs2294008-T) in *PSCA*, a gene encoding the prostate stem cell antigen that is often silenced in gastric cancers. The association of rs2294008-G was discovered in non-cardia cancer, while rs2976392-T was discovered in intestinal cancers.<sup>29</sup> rs2294008-G increases risk by 33% and was originally reported as a frameshift mutation in the first methionine leading to an alternate start site.<sup>29</sup> Current *PSCA* gene models, however, indicate that rs2294008-G occurs 26bp upstream of the start site and creates a binding site for YY1, a transcriptional repressor, causing *PSCA* promoter methylation, which leads to lower *PSCA* transcript levels. A recent study found that *PSCA* expression is reduced in oesophageal squamous cell carcinoma (OSCC). In normal oesophageal cells, *PSCA* binds, stabilizes, and translocate the retinoblastoma 1-inducible coiled-coil 1 protein, a key regulator of cell cycle arrest and differentiation, to the nucleus thereby promoting cell proliferation.<sup>79</sup> Hence the lower *PSCA* levels associated with rs2294008-G likely lead to increased proliferation. Given the strong effect on *PSCA* expression, rs2294008-G is therefore the most likely functional 8q24.3 variant.

**Chromosome 10q23.33 (PLCE1)**—In OSCC and gastric cancer GWAS, Abnet *et al* discovered a risk association with rs2274223-G.<sup>23</sup> Interestingly, when the study stratified cases based upon anatomical location, rs2274223 was only significant in cardia cancers.<sup>23</sup> rs2274223-G changes a Histidine to Arginine in the phospholipase C Epsilon 1 (PLCE1) protein.<sup>23</sup> The exact mechanism by which rs2274223-G affects PLCE1 still needs to be elucidated. However, phospholipases are activated by tyrosine kinase or G-protein coupled receptors in response to hormones and growth factors. Activated phospholipases hydrolyse phosphoinositides to increase the intracellular level of Ca<sup>2+</sup> and produce diacylglycerol, an important mediator for signal transduction.<sup>80</sup>

**Chromosome 11q22.3 (ATM)**—Using an Icelandic cohort, Helgason *et al*<sup>24</sup> discovered three variants (rs758081262-T, rs768362387-A, rs777499935-G) in the multi-cancer HRDR *ATM* gene (see above) that were associated with gastric cancer risk. These variants were located in different haplotypes and each had similar effect sizes (OR=4.7).

### Future Directions

The role of a genetic predisposition in gastric cancer has been largely under-appreciated. This concept likely stems from the fact that gastric cancer has two strong infection-related risk factors (*H. pylori* and EBV) and that a limited number of familial gastric cancer



carriers of mutations in *PALB2*, a gene that represents a bona fide gastric cancer gene.<sup>69</sup> For now, appropriate gastric cancer surveillance among *PALB2* mutation carriers remains uncertain. On the basis of the few published data (see Figure 2), patients with family history and other risk factors could be offered, in a research setting, endoscopic surveillance after the third decade of life (i.e. about a decade earlier than the youngest patient reported). Clearly, larger studies will likely need international collaboration that helps provide gastric cancer risk estimates for *PALB2* mutation carriers.

The role of non-genetic and genetic (GWAS SNPs) risk factors in gastric cancer is considerable and it is possible that gene-gene and gene-environment interactions mediate risk. For *PALB2*, *BRCA1* and *BRCA2* mutation carriers, the average age of gastric cancer diagnosis seems to be around a decade later than reported for breast cancer among carriers of mutations in the same genes.<sup>63,65</sup> This suggests that gastric cancer penetrance among HRDR gene mutations could be mediated by additional factors. Although the role of *H. Pylori* in gastric cancer causality among *PALB2* carriers has been previously ruled out, such studies remain small.<sup>28,42</sup> Investigating interactions between inherited mutations and known risk factors should therefore represent another research priority.

Another consensus that is emerging from recent studies of HDGC and other familial syndromes is that these conditions are better defined by genetic rather than by clinical criteria, as rightly suggested by Hansford *et al.*<sup>36</sup> GAPPs should now be classified as FAPS. HDGC should be defined as carrying *CDH1* or in *CTNNA1* mutations, as the studies by Hansford *et al.*<sup>36</sup> and Fewings *et al.*<sup>42</sup>, which included cases fulfilling HDGC clinical criteria, found that several of them had mutations in genes associated with PJS (*STK11*), LS (*MSH2*), HBOCS (*BRCA1*, *BRCA2*) or with other hereditary conditions (*PALB2*, *ATM*, *TP53*, *BRIP1*, *SDHB*).<sup>36,42</sup> Given the histological heterogeneity reported among the carriers of mutations in these genes (Figure 2), FIGC is likely an amalgamation of cases with mutations in these recently discovered HRDR genes. From what has been reported and in the absence of a clearly associated intestinal gastric cancer-only/predominant syndrome, FIGC now seems unlikely to represent a unique condition.

Given the existence of gastric cancer patients who carry mutations in these multi-organ genes, another important future research area should be to estimate gastric cancer risk among carriers of germline mutations in such genes. Through genetic testing, ascertained in families with breast cancer, thousands of *BRCA1*, *BRCA2* and *PALB2* mutation carriers have been identified.<sup>63,65</sup> While having gastric cancer family history may aid in refining gastric cancer risk among such mutation carriers, there is clearly a need to identify gastric cancer risk modifiers in such mutation-positive families.

Many of the genes discussed in this review are part of clinical gene panels. Although several gaps must be filled in before most of these candidates can be used in the clinic, a potential gastric cancer panel could include genes with variable levels of causality evidence. In Table 4 we present a list of candidate gastric genes and stratified their causality evidence based on the pre- and post-next generation sequencing (NGS) studies. For pre-NGS evidence, these genes were assessed based on their involvement in cancer syndromes and in gastric cancer-related syndromes in particular. The post-NGS evidence included their mutation status

(mutated and/or recurrently mutated) and by belonging to candidate pathways (HRDR or CDH1 pathway). Based on these evidence lines, Tier 1 genes should include *CDH1* and *PALB2*; Tier 2 genes should include *CTNNA1*, *MSH2*, *TP53*, *STK11*, *ATM*, *BRCA1*, *BRCA2*, *BRIP1* and *ATR*; and Tier 3 genes should include *APC*, *SMAD4*, *BMP1A*, *ENG*, *MSR1*, *SDHA*, *SDHB*, *RAD51C*, *NBN*, *RECQL5* and *FANCC*. We emphasize that only *CDH1* and *PALB2* could be used in the clinic now. The remaining genes could be used, within research protocol settings, when gastric cancer patients seeking genetic counselling report personal or family history of gastric cancer associated syndromes (for example, BRCA½ testing could be useful in patients with HBOC history). These genes should also represent the priority list for future gastric cancer genetic studies of mutation prevalence and penetrance. Further, the clinical genetics community should be encouraged to report the characteristics of gastric cancer cases carrying mutations in these genes.

The fact that BRCAness has been found in gastric tumors<sup>28,83</sup> represents an opportunity to develop new PARPi therapies. Even though a recent trial<sup>84</sup> failed to show significant survival improvement with Olaparib (a PARPi) in ATM-negative gastric cancers, it does not necessarily mean a lack of PARPi potential for the treatment of this malignancy. Better biomarkers for the identification of HRDR tumours are however needed, as *ATM* immunohistochemistry, which was used in the recent trial, is a sub-optimal stratifier.<sup>84</sup> As more histological and molecular data in HRDR-deficient gastric cancers is reported, more precise ways to identify such cancers will be developed.

In conclusion, several important advances have been achieved in gastric cancer genetics. These findings will lead to the identification of high-risk groups that can be targeted for early interventions and that will improve our understanding of tumorigenesis. This will ultimately lead to improved outcomes for this common malignancy.

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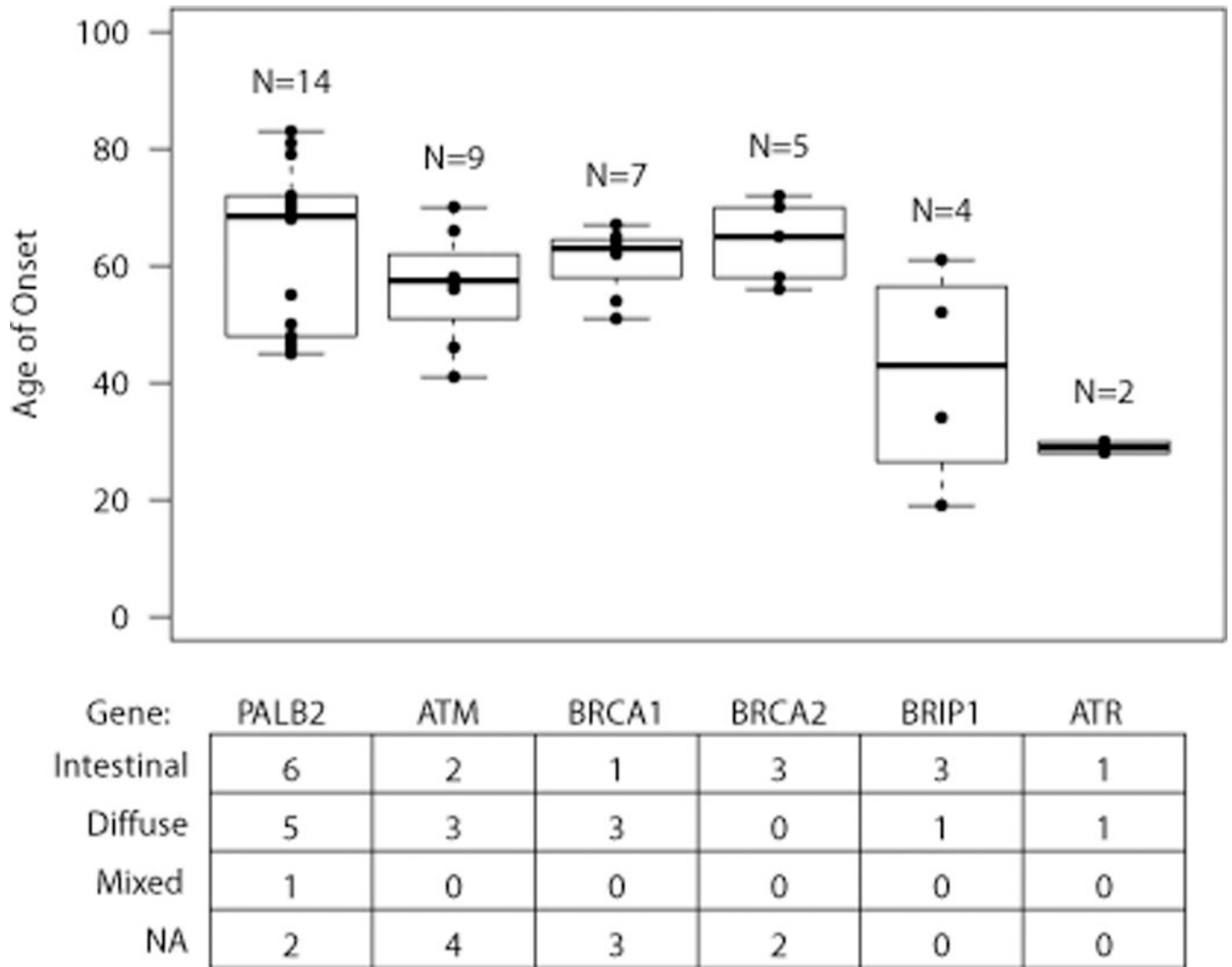
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**Figure 1:**

Gastric cancer anatomical classification into cardia and non-cardia cancer and the role of environmental and lifestyle risk factors. Risk factors for both cardia and non-cardia are shown in the middle of figure. They are shown in italics if they have stronger effects for cardia tumours and in bold if they have stronger effects for non-cardia tumours

Table 1

Genetic heritable syndromes associated with gastric cancer.

	Syndromes	Associated Genes	Predominant Histology	Prevalence	Gastric Cancer Risk
<b>Primary</b>	Hereditary diffuse gastric cancer (HDGC)	<i>CDH1</i> <i>CTNNA1</i>	Diffuse	1:1,000,000	56–70%
	Familial intestinal gastric cancer (FIGC)	<i>APC</i>	Intestinal	Unknown	Unknown
	Gastric Adenocarcinoma and proximal polyposis of the stomach (GAPPS)	<i>APC</i>	Intestinal	1:1,000,000	Unknown
<b>Secondary</b>	Familial adenomatous polyposis (FAPS)	<i>APC</i>	Intestinal	1:8,300	2.1–4.2%
	Hereditary breast and ovarian cancer (HBOC)	<i>BRCA1</i> <i>BRCA2</i>	Diffuse	1:400 – 1:500	2.6–5.5%
	Juvenile-polyposis syndrome (JPS)	<i>SMAD4</i> <i>BMPR1A</i> <i>ENG</i>	Intestinal	1:16,000 – 1:100,000	21%
	Li-Fraumeni syndrome (LFS)	<i>TP53</i>	Intestinal	1:10,000–1:25,000 (U.K.) 1:20,000 (U.S)	3.1–4.9%
	Lynch syndrome (LS)	<i>MSH2</i> <i>MSH6</i> <i>MLH1</i> <i>PMS2</i> <i>EPCAM</i>	Intestinal	1:370 to 1:2,000	11–30%
	Peutz-Jeghers syndrome (PJS)	<i>STK11</i>	Intestinal	1–9 / 1,000,000	29%

Cancer gene panel and whole exome sequencing studies that have independently reported novel candidate causal HRDR genes for gastric cancer.

**Table 2**

Study Type	Hansford <sup>36</sup>		Slavin <sup>31</sup>		Huang <sup>25</sup>		Fewings <sup>42</sup>		Sahasrabudhe <sup>28</sup>	
	HDGC	Gene Panel	Familial	Gene Panel	Sporadic	Gene Panel	HDGC	WES	HDGC/Sporadic	WES/Gene Panel
Design										
Total	183	43	443	22	28/331					
<i>CDHI</i>	34	6	1	NA	NA					
Other	16	12	38	6	3/9					
<i>PALB2</i>	1	0	6	1	7					
<i>ATM</i>	3	1	7	0	0					
<i>BRC A2</i>	1	1	4	0	0					
<i>BRIPI</i>	0	1	3	0	0					
<i>BRC A1</i>	0	0	3	0	3					
<i>ATR</i>	0	1	0	1	0					

**Table 3**

Gastric cancer susceptibility risk variants discovered by genome-wide association studies (GWAS).

Region	Gene	SNP	P	OR (95% CI)	Cancer Type	Population	Ref
<b>1q22</b>	<i>MUC1</i>	rs4072037-A	6.28E-17**	1.35 (1.27–1.45)	1,4,5	Asian; European	23,24,27,29,32
<b>3q13.31</b>	<i>ZBTB20</i>	rs9841504-C	2.00E-09	1.32 (1.20–1.45)	1	Asian	30
<b>5p13.1</b>	<i>PRKAA1</i>	rs13361707-C	8.00E-29**	1.41 (1.32–1.49)	1,2,5	Asian; European	30,76
<b>5q14.3</b>	<i>lnc-POLR3G-4</i>	rs7712641-C	1.21E-11	1.19 (1.14–1.25)	1	Asian	32
<b>6p21.1</b>	<i>UNC5CL</i>	rs2294693-C	2.50E-08	1.18 (1.12–1.26)	1	Asian	76
	<i>LRFN2</i>	rs2494938-A	5.00E-09	1.18 (1.12–1.25)	1	Asian	26
<b>8q24.3</b>	<i>PSCA</i>	rs2294008-T	1.00E-20**	1.33 (1.25–1.41)	1,3,4	Asian; European	29,32
<b>10q23.33</b>	<i>PLCE1</i>	rs2274223-G	1.00E-20**	1.57 (1.49–1.65)	2	Asian	23
		rs758081262-T					
<b>11q22.3</b>	<i>ATM</i>	rs768362387-A	8.00E-10	4.74	3,4,5	European	24
		rs777499935-G					

Cancer Type: 1) Non-cardia 2) Cardia 3) Diffuse 4) Intestinal 5) Total

\*\* Reporting values from largest genome-wide significant study

Table 4.

Genes with evidence for gastric cancer causality

Gene	Evidence for causality	Familial Cancer Syndrome Evidence		Evidence from next generation sequencing studies		
		Cancer syndrome	Gastric cancer related syndrome?	Mutated?	Recurrently mutated?	Gastric cancer related pathway?
Tier 1						
<i>CDHI</i>	Strong	HDGC	Yes	NA	NA	<i>CDHI</i>
<i>PALB2</i>	Strong	Breast/ Pancreas cancer	No	Yes <sup>25,28,36,42</sup>	Yes	HRDR
Tier 2						
<i>CTNNA1</i>	Moderate	HDGC	Yes	Yes <sup>36</sup>	Yes	<i>CDHI</i>
<i>MSH2</i>	Moderate	LS	Yes	Yes <sup>31,42</sup>	Yes	No
<i>TP53</i>	Moderate	LFS	Yes	Yes <sup>31</sup>	No	No
<i>STK11</i>	Moderate	PJS	Yes	Yes <sup>36</sup>	Yes	No
<i>ATM</i>	Moderate	Breast cancer	No	Yes <sup>25,31,36</sup>	Yes	HRDR
<i>BRCA1</i>	Moderate	HBOC	Yes	Yes <sup>25,28</sup>	Yes	HRDR
<i>BRCA2</i>	Moderate	HBOC	Yes	Yes <sup>25,31,36</sup>	Yes	HRDR
<i>BRIP1</i>	Moderate	Breast cancer	No	Yes <sup>25,31</sup>	Yes	HRDR
<i>ATR</i>	Moderate	None	No	Yes <sup>31,42</sup>		HRDR
Tier 3						
<i>APC</i>	Moderate/low	FAPS	Yes	No	No	No
<i>SMAD4</i>	Moderate/low	JPS	Yes	No	No	No
<i>BMPRII</i>	Moderate/low	JPS	Yes	No	No	No
<i>ENG</i>	Moderate/low	JPS	Yes	No	No	No
<i>MSR1</i>	Moderate/low	Oesophageal	No	Yes <sup>42</sup>	Yes	No
<i>SDHB</i>	Moderate/low	Paraganglioma-Pheochromocytoma	No	Yes <sup>36</sup>	No	<i>SDHA/B</i>
<i>SDHA</i>	Moderate/low	Paraganglioma-Pheochromocytoma	No	Yes <sup>25</sup>	No	<i>SDHA/B</i>
<i>RAD51C</i>	Moderate/low	Breast cancer	No	Yes <sup>28</sup>	No	HRDR

Gene	Evidence for causality	Familial Cancer Syndrome Evidence		Evidence from next generation sequencing studies		
<i>NBN</i>	Moderate/low	Ovarian cancer	No	Yes <sup>42</sup>	No	HRDR
<i>RECQL5</i>	Moderate/low	Breast cancer	No	Yes <sup>42</sup>	No	HRDR
<i>FANCC</i>	Moderate/low	Breast cancer	No	Yes <sup>31</sup>	No	HRDR

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