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## Inducible nitric oxide synthase (*iNOS*) regulatory region variation in non-human primates

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### ABSTRACT

Inducible nitric oxide synthase (*iNOS*) is an enzyme that plays a key role in intracellular immune response against respiratory infections. Since various species of nonhuman primates exhibit different levels of susceptibility to infectious respiratory diseases, and since variation in regulatory regions of genes is thought to play a key role in expression levels of genes, two candidate regulatory regions of *iNOS* were mapped, sequenced, and compared across five species of nonhuman primates: African green monkeys (*Chlorocebus sabaeus*), pig-tailed macaques (*Macaca nemestrina*), cynomolgus macaques (*Macaca fascicularis*), Indian rhesus macaques (*Macaca mulatta*), and Chinese rhesus macaques (*M. mulatta*). In addition, we conducted an *in silico* analysis of the transcription factor binding sites associated with genetic variation in these two candidate regulatory regions across species. We found that only one of the two candidate regions showed strong evidence of involvement in *iNOS* regulation. Specifically, we found evidence of 13 conserved binding site candidates linked to *iNOS* regulation: *AP-1*, *C/EBPB*, *CREB*, *GATA-1*, *GATA-3*, *NF-AT*, *NF-AT5*, *NF-κB*, *KLF4*, *Oct-1*, *PEA3*, *SMAD3*, and *TCF11*. Additionally, we found evidence of interspecies variation in binding sites for several regulatory elements linked to *iNOS* (*GATA-3*, *GATA-4*, *KLF6*, *SRF*, *STAT-1*, *STAT-3*, *OLF-1* and *HIF-1*) across species, especially in African green monkeys relative to other species. Given the key role of *iNOS* in respiratory immune response, the findings of this study might help guide the direction of future studies aimed to uncover the molecular mechanisms underlying the increased susceptibility of African green monkeys to several viral and bacterial respiratory infections.

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### 1. Introduction

Inducible nitric oxide synthase (*iNOS*) is an enzyme that plays a key role in immune response against pathogens through the production of peroxynitrite in macrophages (Chan et al., 1992; MacMicking et al., 1997). Genetic changes in the regulatory and/or coding regions of *NOS2A*, the gene encoding *iNOS*, might play an important role in modulating expression levels of *iNOS* and, consequently, cause variation in immune response against intracellular pathogens (Nanashima et al., 2012). Several studies indicate that genetic changes in the regulatory and/or coding region of *NOS2A* are associated with susceptibility to various diseases (Nanashima et al., 2012; Park et al., 2014; Lim et al., 2013;

AlFadhli et al., 2013; Fabisiewicz et al., 2013; Karasneh et al., 2011; Wang et al., 2013; Raffei et al., 2012; Zhang et al., 2011; Planche et al., 2010; Levesque et al., 2010). It has been shown, for example, that mutations in the promoter region of *NOS2A* correlate with susceptibility to malaria (Levesque et al., 2010) and that *iNOS* expression levels are strongly driven by exposure to *Mycobacterium tuberculosis* vaccination (Roodgar et al., 2013). In addition, recent epigenetic studies of the promoter and enhancers of *NOS2A* in humans demonstrate the effect of changes in *NOS2A* regulatory regions on *iNOS* expression (Gross et al., 2014) and the pathogenesis of infectious diseases (de Andrés et al., 2013; Angrisano et al., 2012; Jia et al., 2011; Hobbs et al., 2002).

In this study, we investigated the patterning and functional significance of variation in two candidate regulatory regions of *NOS2A* (which we label R1 and R2 for notational convenience, see definitions in Section 4) across five taxa of non-human primates (NHPs) relevant to biomedical research: African green monkeys

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(*Chlorocebus sabaues*), pig-tailed macaques (*Macaca nemestrina*), cynomolgus macaques (*Macaca fascicularis*), Indian rhesus macaques (*M. mulatta*), and Chinese rhesus macaques (*M. mulatta*). Variation in regulation of *iNOS* expression may play a role in the variable susceptibility of these species to several infectious diseases (Roodgar et al., 2013; Lyashchenko et al., 2007; McAuliffe et al., 2004). We investigated whether or not there is evidence for interspecies differences in the regulatory regions of *iNOS* that might account for such variability in disease susceptibility. Since *NOS2A* plays a key role in immunity against intracellular pathogens (Wienerroither et al., 2014; Obermajer et al., 2013) relevant to human health, information on DNA sequence variation in the regulatory region of *NOS2A* in species of NHP that are more closely related to humans than the mouse should provide better information about the relationship between variation in *NOS2A* gene expression and human-like immune responses to intracellular pathogens (Lyashchenko et al., 2007; McAuliffe et al., 2004).

We sequenced two candidate regulatory regions of the *NOS2A* gene in several animals in each of five species or subspecies of NHP that exhibit differing levels of susceptibility to infectious respiratory diseases, especially tuberculosis. The basic primer sequences for the candidate *iNOS* promoter regions were identified using the human genome Chip-seq data available at the University of California, Santa Cruz (UCSC) Genome Browser. We then used an Applied Biosystems 3130XL genetic analyzer to produce DNA sequence data for each sample. Sequences were aligned using Kalign2 (Lassmann et al., 2009; Lassmann and Sonnhammer, 2006; Lassmann and Sonnhammer, 2005), and variation in the candidate promoter regions was analyzed using the *adegenet* package in the R programming environment (R Core Team, 2014). The effect of cross-species genetic conservation and variation on transcription factor and regulatory element bindings sites was then evaluated using the MatInspector software (Quandt et al., 1995; Cartharius et al., 2005).

## 2. Results

### 2.1. Multiple sequence alignment and promoter localization

We localized the *iNOS* coding region and the candidate promoter/regulatory regions on the rhesus macaque and human reference sequences using the NCBI genome browser. Fig. 1 plots the location of the R2 region on the human reference sequence.

Multiple sequence alignment of R1 and R2 across species was conducted using Kalign2 (Lassmann et al., 2009; Lassmann and Sonnhammer, 2006; Lassmann and Sonnhammer, 2005). The performance of the alignment was evaluated using Mumsa (Lassmann and Sonnhammer, 2006) and visual inspection. The Jalview program (Clamp et al., 2004; Waterhouse et al., 2009) was used to visualize and trim the alignments and construct species-specific consensus sequences. In Fig. 2, we plot the animal-specific nucleotide sequences and species-specific consensus sequences used in this analysis.

### 2.2. Promoter variation and interspecies clustering

To investigate whether or not variation in R1 and R2 followed the pattern expected from the phylogenetic relationships among these NHP species and subspecies, we used the R packages *ape* and *adegenet* to extract the SNPs from the aligned and trimmed DNA sequences. We then used discriminant analysis of principal components (DAPC) (Jombart et al., 2010) to investigate the cross-species partitioning of genetic variation.

Fig. 3 plots the location of all animals included in this study on the first two principal components of variation. Fig. 3 illustrates

that for both R1 and R2 the first principal component separates African green monkeys from the other species. The second principal component separates Chinese and Indian rhesus macaques from cynomolgus and pig-tailed macaques. In the R1 region, the second principal component fails to separate Chinese rhesus macaques from Indian rhesus macaques and cynomolgus macaques from pig-tailed macaques. In the R2 region, the second principal component separates Chinese rhesus macaques from Indian rhesus macaques to some extent, while cynomolgus macaques and pig-tailed macaques remain unseparated.

Fig. 4 illustrates the group assignment probabilities based on the DAPC analysis of SNPs in the R1 and R2 regions. We find that African green monkeys can be distinguished from other species with high probability at both R1 and R2. In R2, but not R1, Indian rhesus, and to some extent Chinese rhesus, can be discriminated from other species with high probability. In both R1 and R2, cynomolgus macaques cannot be distinguished from pig-tailed macaques.

### 2.3. *In silico* regulatory element and transcription factor binding site analysis

To understand the possible phenotypic consequence of genetic variation in the R1 and R2 regions and identify whether or not each region is likely to be involved in regulation of *iNOS* transcription, we conducted an *in silico* analysis of regulatory element (RE) and transcription factor (TF) binding to the DNA sequences in R1 and R2 using MatInspector (Quandt et al., 1995; Cartharius et al., 2005). We identified several key RE and TF bindings sites inside both R1 and R2. Many of these sites were conserved across all species included in this analysis. Notably, region R2 contains binding sites for the majority of REs and TFs known from laboratory studies to influence *iNOS* expression (see Section 3), while the R1 region lacks binding sites for almost all of these elements.

We also identified several species-specific RE and TF bindings sites, indicating that variation in TF and RE binding to the DNA sequences of R1 and R2 is influenced by the SNPs discovered in this analysis. We detail these findings in the subsections that follow.

#### 2.3.1. Cross species conservation

We found 39 RE/TF binding sites that were conserved across all animals in R1 and 71 that were conserved across all animals in R2. Supplementary Table 1 contains the full list of the MatInspector Matrix IDs of these REs and TFs. Notably, in R2, binding sites for *AP-1*, *C/EBPB*, *CREB*, *GATA-1*, *GATA-3*, *NF-AT*, *NF-AT5*, *NF-κB*, *KLF4*, *Oct-1*, *PEA3*, *SMAD3*, and *TCF11*, 13 genes that have been previously associated with *iNOS* regulation (Pautz et al., 2010; Liao et al., 2011), were conserved. In contrast, only 2 binding sites previously associated with *iNOS* regulation were conserved in R1 (*PPAR-γ* and *USF-1*) (Pautz et al., 2010).

#### 2.3.2. Cross species variation

We identified 105 RE/TF binding sites in R1 and 95 RE/TF binding sites in R2 which included a SNP that disrupted the simulated binding of the REs and TFs to the DNA sequence. Supplementary Table 2 contains the full list of the MatInspector Matrix IDs of these REs and TFs, paired with annotations and the animal specific data. To identify which of these binding sites were predictive of interspecies differences, we used an  $\ell_1$ -regularized categorical Bayesian multiple regression model to classify animals into three groups (African green monkeys, Chinese/Indian rhesus macaque, or cynomolgus/pig-tailed macaque), using the existence or non-existence of RE and TF bindings sites as predictors. The maximum *a posteriori* parameter vector representing the strength of association between any RE or TF binding site and all three outcome categories can be plotted in barycentric space using a tertiary plot to represent the

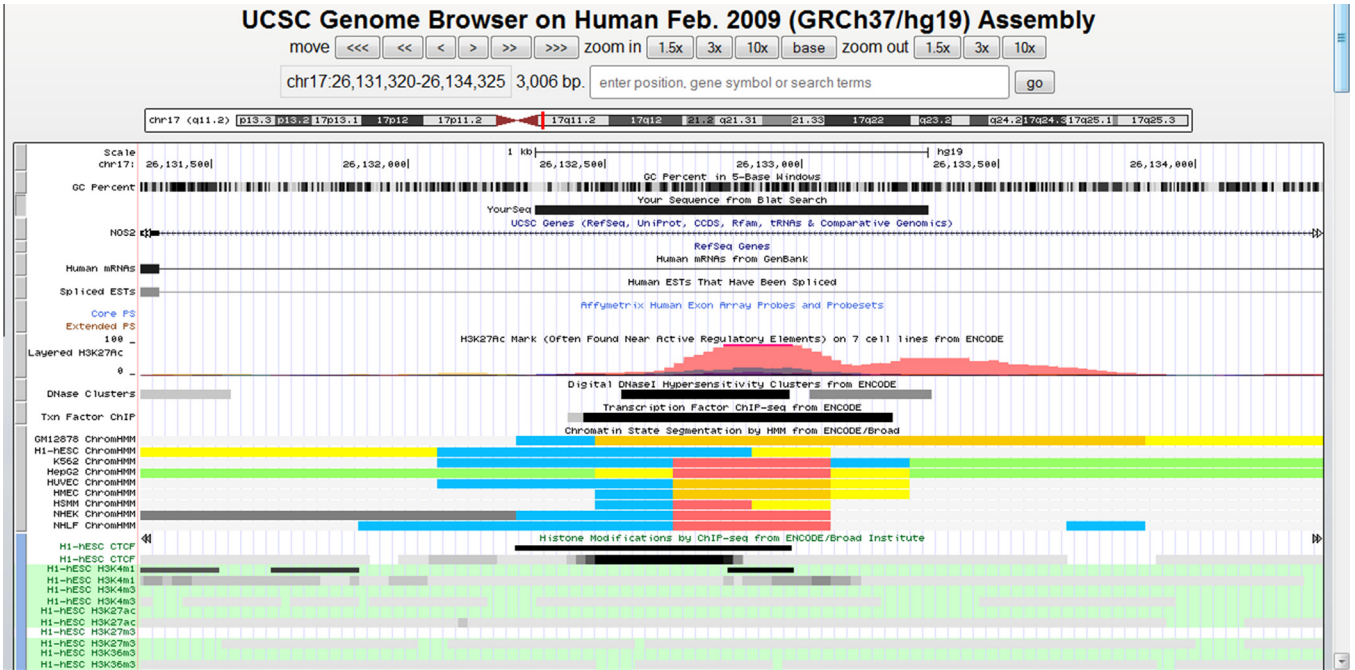
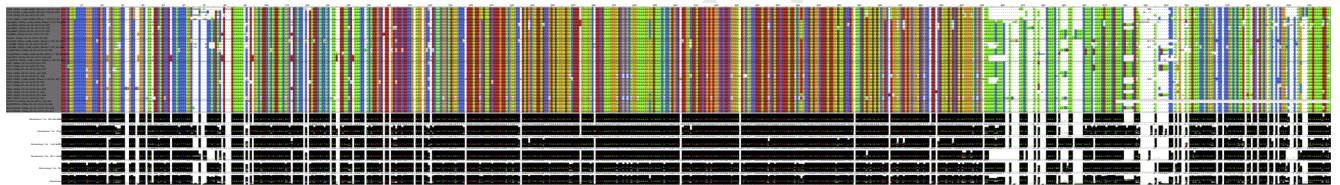
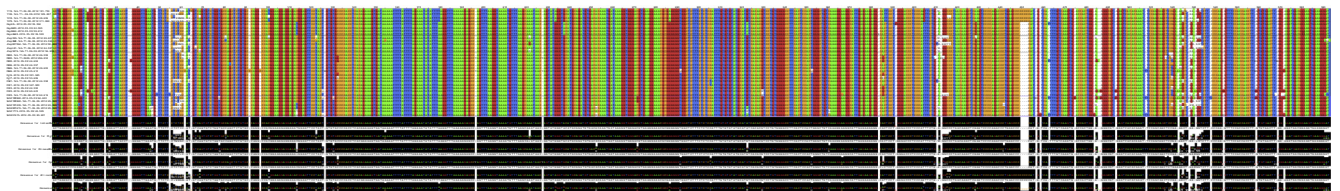


Fig. 1. Location of the *iNOS* coding region and the R2 candidate promoter/regulatory region on the human reference frame.



(a) Alignment and Consensus sequences of R1



(b) Alignment and Consensus sequences of R2

Fig. 2. Results of multiple sequence alignment in regions R1 (Frame 2a) and R2 (Frame 2b).

relative strength of association across categories. Fig. 5 displays such plots for R1 and R2. Each colored letter represents an RE or TF binding site and links it to its gene name in Supplementary Table 3.

Most points in the tertiary plot are shrunken towards the center of the plotting space by the regularizing priors of the Bayesian model, showing that most variation in binding sites is not indicative of cross species differences. However, a few RE/TF binding sites in both R1 and R2 reflect differences between African green monkeys and the other species. For example, in R1, binding sites for *GATA-3*, *MEIS1*, *PU.1/SPI-1*, and *EBF1* show increased association with African green monkeys, and those for *HOXB8*, *GATA-4*, *DLX3*, *CDX1*, *HOXA9*, *HOXB6*, *IRF4*, *ZNF384*, *TEF*, *NF-AT5*, *MSX3*, *MEOX1*, and *HSF2* show a decreased association with African green monkeys, relative to other species.

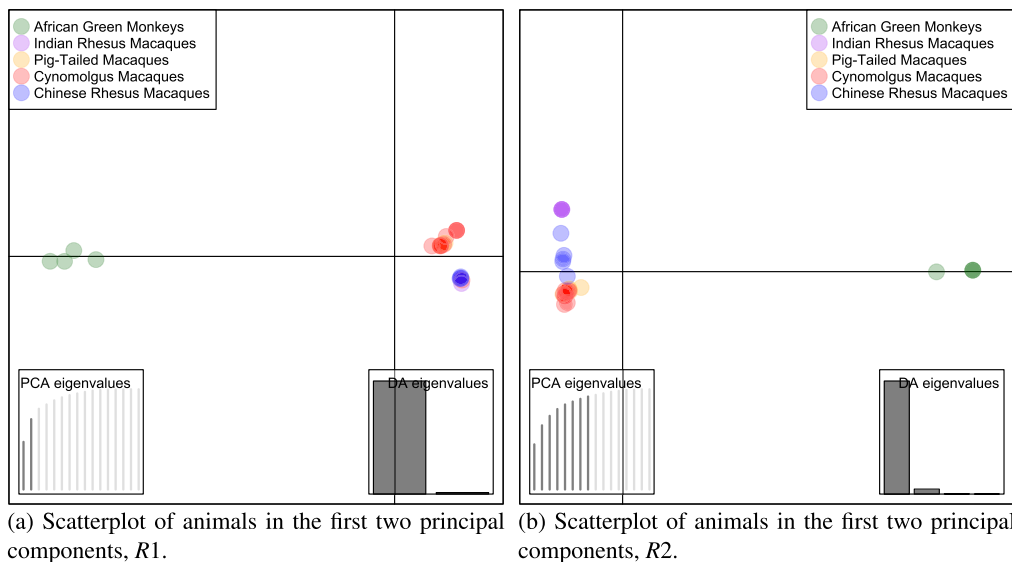
In R2 we find an increased association of binding sites for *GCM1*, *GLIS2*, *KLF6*, *MAZ*, *MTBF*, *NM23*, *PAX6*, *RTR*, *SRF*, *STAT-1*, *STAT-3*, *ZIC2*, and *ZNF219* with African green monkeys, and a decreased association of *ELF-1*, *GLS3*, *HOXB9*, *SMARCA2*, *OLF-1*, and *TIEG* with African green monkeys, relative to other species.

It is also notable that binding sites for *PAX3* and *HIF-1* are negatively associated with rhesus macaques relative to the other groups.

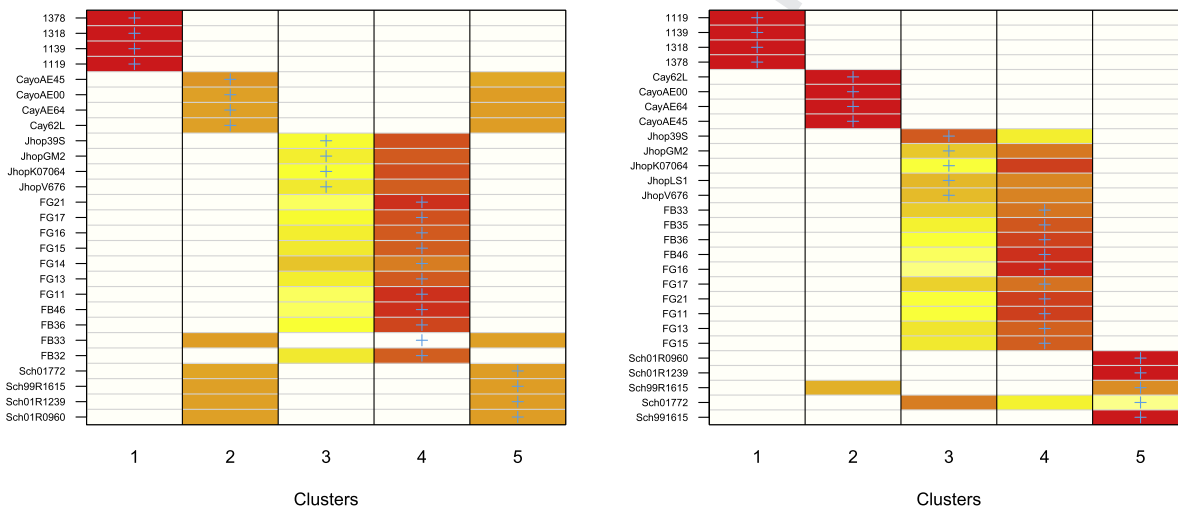
### 3. Discussion

#### 3.1. Promoter variation and interspecies clustering

The results of this study indicate that genetic divergence in R1 and R2 across species roughly corresponds to that which would be



**Fig. 3.** Discriminant analysis of principal components in regions R1 (Frame 3a) and R2 (Frame 3b). The first principal component (horizontal axis) separates African green monkeys from the other species in both R1 and R2. The second principal component (vertical axis) separates Rhesus macaques from cynomolgus and pig-tailed macaques.



**Fig. 4.** Group assignment plots based on DAPC analysis of regions R1 (Frame 4a) and R2 (Frame 4b). The row labels are animal IDs (the numeric values are African green monkeys, the *Cay* prefix indicates Indian rhesus macaques, the *Jhop* prefix indicates pig-tailed macaques, the *FG* and *FB* prefixes are cynomolgus macaques, and the *Sch* prefix indicates Chinese rhesus macaques). The blue crosses indicate the true group assignment, and the cell color indicates increasing group assignment probability (based on SNP data) as the scale shifts from yellow to red. We note three distinct genetic clusters in R1 (African green monkey, rhesus macaque, and cynomolgus/pig-tailed macaques), and four distinct genetic clusters in R2 (African green monkey, Indian rhesus macaque, Chinese rhesus macaque, and cynomolgus/pig-tailed macaques). (For interpretation of the references to color in this figure legend, the reader is referred to the web version of this article.)

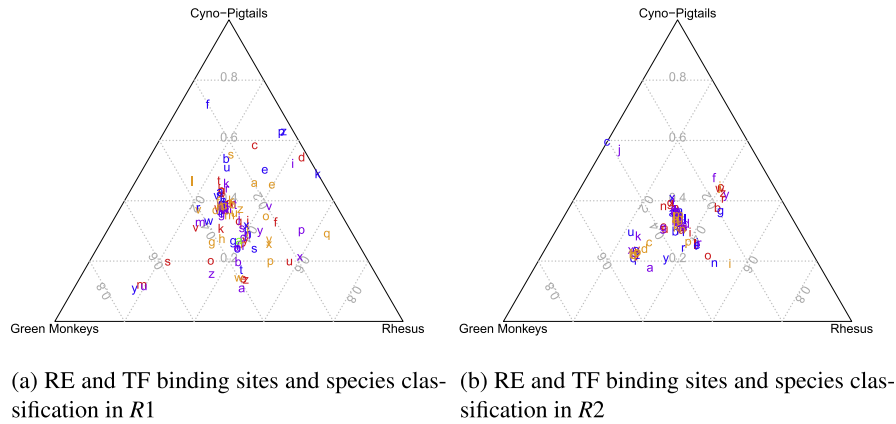
229 expected from phylogenetic relationships. African green monkeys  
230 exhibit the most distant evolutionary relationship with the other  
231 species, followed in order by pig-tailed macaques, cynomolgus  
232 macaques, Chinese rhesus macaques (with gene flow to cynomolgus  
233 macaques), and finally Indian rhesus macaques, the most highly  
234 derived of the five taxa (Hayasaka et al., 1996). However, in contrast  
235 to expectations under purely neutral evolution, pig-tailed and cyno-  
236 molgus macaques exhibit greater clustering than cynomolgus and  
237 Chinese rhesus macaques even though the evolutionary distance  
238 between pig-tailed and cynomolgus macaques is greater than that

between cynomolgus and Chinese rhesus macaques (Tosi et al.,  
2000). This pattern of divergence of rhesus from other species might  
be indicative of rhesus specific selection or demographic effects.

### 3.2. In silico regulatory element and transcription factor binding site analysis

#### 3.2.1. Conserved RE/TF binding sites

In this study, we discovered 13 conserved binding site candi-  
dates linked to *iNOS* regulation (*AP-1*, *C/EBPB*, *CREB*, *GATA-1*,



**Fig. 5.** The associations between RE and TF binding sites and species classification in regions R1 (Frame 5a) and R2 (Frame 5b). Each colored letter represents an RE/TF binding site (see Supplementary Materials Table 3 for the codebook linking each RE/TF binding site to each data point in the plot) plotted in barycentric space using a ternary plot to represent the relative strength of association across categories. Data points in the center cluster of the plotting space are not indicative of interspecies differences in RE/TF binding sites; data points located near the boundary of the triangle are indicative of interspecies differences in RE/TF binding. Only a small fraction of the RE/TF binding sites identified in our analysis are indicative of interspecies differences.

247 *GATA-3, NF-AT, NF-AT5, NF-κB, KLF4, Oct-1, PEA3, SMAD3, and*  
248 *TCF11) in R2 across species. In addition to the results of in silico*  
249 *analyses presented here (Pautz et al., 2010; Liao et al., 2011;*  
250 *Kleinert et al., 2004), many of these transcription factors have been*  
251 *shown to play a key role in the regulation of iNOS expression in*  
252 *laboratory studies. In contrast, we identified only two conserved*  
253 *binding sites previously linked to iNOS regulation (PPAR-γ and*  
254 *USF-1) in R1 (Pautz et al., 2010). These results provide evidence*  
255 *that R2 is a more appropriate candidate region for iNOS regulation*  
256 *in these species of NHPs than R1.*

257 In the list of conserved RE/TF binding sites across these species  
258 of NHP, *NF-κB* is the most well-studied transcription factor known  
259 an important role in regulation of *iNOS* expression (Uffort et al.,  
260 2009; Chan et al., 2001; Jaramillo et al., 2003; Oussaief et al.,  
261 2011; Kelleher et al., 2007; Feng et al., 2002). Previous studies have  
262 shown that *NF-κB* modulates *iNOS* expression during various viral,  
263 bacterial, and parasitic infections (Oussaief et al., 2011; Chan et al.,  
264 2001; Jaramillo et al., 2003). It has also been shown that interfer-  
265 ence in the *NF-κB*-dependent regulation of *iNOS* expression is part  
266 of the pathogenic mechanism for *Helicobacter pylori* (Kim et al.,  
267 2003); a similar mechanism may be involved in the pathogenesis  
268 of other infectious diseases (e.g., *Mycobacterium tuberculosis*).

### 3.2.2. Non-conserved RE/TF binding sites

269 While searching for interspecies differences in TF binding sites  
270 in R1 and R2, we found evidence of a decreased association of bind-  
271 ing sites for *HOXB8, GATA-4, DLX3, CDX1, HOXA9, HOXB6, IRF4,*  
272 *ZNF384, TEF, MSX3, MEOX1, and HSF2* with African green monkeys  
273 relative to other species in R1, as well as a decreased association of  
274 binding sites for *ELF-1, GLS3, HOXB9, SMARCA2, OLF-1, and TIEG*  
275 with African green monkeys relative to other species in R2.  
276

277 Additionally, we found an increased association of binding sites  
278 for *GATA-3, MEIS1, PU.1/SPI-1, and EBF1* in R1, and an increased  
279 association of binding sites for *GCM1, GLIS2, KLF6, MAZ, MTBF,*  
280 *NM23, PAX6, RTR, SRF, STAT-1, STAT-3, ZIC2, and ZNF219* in R2,  
281 with African green monkeys relative to other species.

282 Some of the SNPs we have identified might be responsible for  
283 interspecies differences in *iNOS* regulation and expression, as well  
284 as susceptibility to diseases linked to *iNOS* expression levels, such  
285 as tuberculosis. While there is no clear biological link between  
286 many of the genes cited above and *iNOS* production, several of  
287 these genes (e.g. *GATA-3, GATA-4, KLF6, SRF, STAT-1, STAT-3, OLF-*  
288 *1 and HIF-1*) have been linked to *iNOS* regulation in laboratory  
289 studies (Pautz et al., 2010).

### 3.3. Candidate genes for interspecies differences in *iNOS* regulation

#### 3.3.1. *STAT*

290 Given the significant role of *STAT* proteins in inducing IFN-  
291 dependent expression of MHC II in macrophages (Zhao et al.,  
292 2007), interspecies variation in *STAT* binding sites might contribute  
293 to variation in innate immune response across these species. *STAT-*  
294 *1*, specifically, is known to be an important transcription factor for  
295 regulating *iNOS* expression (Ganster et al., 2001; Ohmori and  
296 Hamilton, 2001). Since several studies indicate a significant role  
297 for *STAT-1* in *iNOS* activation and expression (Samardzic et al.,  
298 2001), variation in *STAT-1* binding sites across these species might  
299 contribute to variable susceptibility to respiratory diseases, given  
300 the role of *iNOS* expression in immune response to respiratory  
301 infection (Roodgar et al., 2013). Moreover, *STAT-1* plays a key role  
302 in inducing the expression of IFN-inducible 10kD protein (*IP-10*)  
303 and interferon regulatory factor 1 (*IRF-1*), which are also known  
304 to play key roles in innate immune response by macrophages  
305 (Ohmori and Hamilton, 2001). Future *in vivo* investigations may  
306 clarify the influence of genetic changes in *STAT-1* binding sites in  
307 *iNOS* regulatory regions across non-human primates on suscepti-  
308 bility to respiratory diseases.  
309  
310

#### 3.3.2. *KLF*

311 Among these species of NHP, African green monkeys, but not  
312 members of the other species, exhibit a binding site for kruppel-  
313 like factor 6 (*KLF6*), a key transcription factor of *iNOS*. Several stud-  
314 ies indicate that *KLF6*, which transactivates *iNOS* expression  
315 (*Warke et al., 2003*), plays a key role in immunity against viral  
316 and bacterial respiratory infections. A direct interaction between  
317 *KLF6* and *iNOS* has been observed in *in vitro* infection of human  
318 lung cells with influenza A virus (Mgbemena et al., 2012). *KLF6* also  
319 regulates apoptosis through the activation of *iNOS* expression and  
320 plays a critical role in *iNOS* expression during respiratory syncytial  
321 virus (RSV) (Mgbemena et al., 2013) and influenza A virus infection  
322 (Mgbemena et al., 2012).  
323

### 3.4. Binding site differences in African green monkeys

324 The African green monkey has been widely used as an animal  
325 model for viral and bacterial respiratory diseases (e.g., RSV and  
326 *M. tuberculosis* (MTB) (Bukreyev et al., 2004; Tang et al., 2004; Jin  
327 et al., 2003; Lyashchenko et al., 2007) to which rhesus and cyno-  
328 molgus macaques seem less susceptible. For example, African  
329

green monkeys exhibit more severe symptoms when infected with MTB (Lyashchenko et al., 2007). Previous studies also indicate that replication of SARS virus occurs more rapidly in African green monkeys than in cynomolgus and rhesus macaques (McAuliffe et al., 2004).

Because of the key role of *iNOS* in innate immune response against respiratory disease pathogens and the unique presence of binding sites for *STAT-1*, *KLF6*, and other *iNOS* REs/TFs in African green monkeys relative to the other considered species, these RE/TF binding sites may play a role in the varying levels of susceptibility to viral and bacterial respiratory infections across these NHP species. However, variation in susceptibility to respiratory diseases is clearly multifactorial and regulated by many genes. Variation in binding sites for the above REs/TFs (i.e., *STAT-1* and *KLF6*), however, might partially explain variation in *iNOS* expression, and subsequently variation in innate immune response to respiratory infections.

More detailed laboratory studies are required to investigate: (1) if the RE/TF binding sites discovered through our *in silico* analysis are actually representative of biologically-relevant, *in vivo*, binding sites, (2) how these binding sites, if biologically relevant, modulate *iNOS* expression across species of NHP, and (3) how variation in *iNOS* expression is related to variation in disease susceptibility across species.

## 4. Materials and methods

### 4.1. Study subjects, sample preparation, and DNA extraction

DNA was extracted from lung tissue of 12 cynomolgus macaques (*M. fascicularis*) from the Tulane National Primate Research Center that were previously used in a tuberculosis study (Roodgar et al., 2013), and from blood drawn from 5 Indian and 5 Chinese rhesus macaques (*M. mulatta*) from the California National Primate Research Center, 5 pig-tailed macaques (*M. nemestrina*) from Johns Hopkins University, and 6 African green monkeys (*C. sabaeus*) from the Wake Forest Primate Center using Qiagen QIAamp DNA Mini Kit (Qiagen Inc., Valencia, CA) following the manufacturer's protocol.

### 4.2. Candidate promoter regions of *iNOS*

The first candidate regulatory region for *iNOS* that we label R1, for convenience, had been previously described in the literature (see Nunokawa et al., 1994).

A second candidate regulatory region for *iNOS* that we label R2 was mapped using the UCSC genome browser. The R2 region lays approximately 700–1000 bp upstream of the first exon of *iNOS* and was identified as a regulatory region candidate through visual inspection of the UCSC genome browser (see Fig. 1) and literature review (see Pautz et al., 2010). This region corresponds to the peak of *H3K4me3* in normal lung fibroblasts, and according to the UCSC genome browser, contains several transcription factor binding sites (TFBS). The location of the orthologous sequence in NHP species was located using the UCSC genome browser and the Basic Local Alignment Tool (BLAT).

#### 4.2.1. Primer design and sequencing of two possible regulatory regions of *iNOS*

Two sets of primers were designed and tested to amplify the R1 candidate regulatory region for *iNOS* (Nunokawa et al., 1994). Primer set 1 was composed of forward and reverse primers 5'GGCAA TGAGTGGACTGGCA3' and 5'TGGCACAGAGATGCCCTCTGAGAAGT3', respectively, and primer set 2 was composed of forward and

reverse primers 5'GGAAGGCAATGAGTGGACTGGCC3' and 5'GCTTTG GCAGAATGGCAAGTAGGA3', respectively.

Two sets of primers were used to amplify the R2 region: primer set 1 was composed of forward and reverse primers 5'CTACAGGTG AGTACACCCAGGAGCA3' and 5'GGCCTGTCCACCTGGAGTGA3', respectively, and primer set 2 was composed of forward and reverse primers 5'CCCAGGAGCAAGGAGAGGTGACA3' and 5'TGACTCACGCC TCCAGTGGT3', respectively.

The primers described above were tested using a gradient to optimize the annealing temperature for the polymerase chain reaction (PCR). The PCR conditions used to amplify these two candidate regions for 60 PCR cycles were: an initial hold at 94 °C for 3 min, followed by denaturing at 94 °C for 30 s, annealing at either 58.7 °C for 20 s (for R2) or 57.4 °C for 20 s (for R1), extension at 72 °C for 45 s, and a final hold at 72 °C for 5 min.

The amplified products were first quantified and checked by agarose and native acrylamide gel electrophoresis. DNA sequencing was accomplished using the ABI BigDye Terminator sequencing chemistry and an ABI 3130XL DNA sequencer (Applied Biosystems, Inc., Foster City, CA, USA) at the Molecular Anthropology Laboratory (MAL) at UC Davis.

### 4.3. Multiple sequence alignment

Multiple sequence alignment was conducted with the command line version of Kalign2 (Lassmann et al., 2009; Lassmann and Sonnhammer, 2006, 2005) using several hundred parameter permutations. The command line version of Mumsa (Lassmann and Sonnhammer, 2006) was used to select the best performing alignments. The best performing alignments were then visually inspected and the procedure was repeated until a sequence alignment with no noticeable pathologies was obtained.

The parameters used to obtain the final alignments for R1 were: gap open penalty = 10, gap extension penalty = 1, and terminal gap penalty = 3. For R2, the final alignment parameters were: gap open penalty = 40, gap extension penalty = 1, and terminal gap penalty = 6.

The Jalview program (Clamp et al., 2004; Waterhouse et al., 2009) was used to visualize the sequence alignments and obtain consensus sequences.

### 4.4. SNP identification and statistical analysis

The R Environment for Statistical Computing (R Core Team, 2014) was used for all SNP-based analysis of aligned sequences and visualization of the results from the simulated regulatory element and transcription factor binding analysis.

#### 4.4.1. SNP identification

SNPs were extracted from the aligned sequences using the *ape* (Paradis et al., 2004) and *adegenet* (Jombart, 2008) packages in R. Twenty-eight SNPs were identified in the trimmed version of R1 (at locations 4, 7, 36, 68, 120, 135, 206, 288, 362, 394, 403, 406, 467, 478, 488, 492, 501, 509, 543, 550, 580, 592, 598, 599, 606, 612, 617, and 619 of the sequence). Twenty-eight SNPs were also identified in the trimmed version of R2 (at locations 13, 19, 54, 81, 83, 101, 114, 123, 133, 155, 180, 222, 234, 238, 272, 287, 306, 323, 367, 386, 387, 405, 429, 485, 520, 591, 592, and 593 of the sequence). Tables 1 and 2 plot the sample frequencies of each SNP by species.

#### 4.4.2. Discriminant analysis of principal components

The *adegenet* (Jombart, 2008) package was utilized to conduct discriminant analysis of principal components (Jombart et al., 2010) of the SNP data for each of the two sequence alignments.

**Table 1**  
Sample SNP frequencies by species in region R1.

SNP location	African green monkey	Indian rhesus macaque	Pig-tailed macaque	Cynomolgus macaque	Chinese rhesus macaque
4	0	0	0	0.083	0
7	0	1	1	1	1
36	0	1	1	1	1
68	0.333	1	1	1	1
120	0	0	0.143	0	0
135	NA	NA	0.667	NA	NA
206	0	1	1	1	1
288	0	1	0	0.083	1
362	0	1	0	0.083	1
394	0.5	1	1	1	1
403	0	1	1	1	1
406	0	1	1	1	1
467	NA	0	1	NA	NA
478	0	0	0.143	0	0
488	1	1	0.857	1	1
492	NA	1	0	1	1
501	1	1	0.715	1	1
509	1	0	0	0	0
543	0.75	1	1	1	1
550	1	0.75	1	1	1
580	1	1	1	0.917	1
592	1	0.667	1	1	1
598	1	1	0.857	1	1
599	1	1	0.857	1	1
606	0.75	1	1	0.667	1
612	1	0.833	1	1	1
617	0.75	1	1	1	1
619	1	1	0.857	1	1

**Table 2**  
Sample SNP frequencies by species in region R2.

SNP location	African green monkey	Indian rhesus macaque	Pig-tailed macaque	Cynomolgus macaque	Chinese rhesus macaque
13	0	0	0	0	0.167
19	0	0	0	0.077	0
54	0	1	1	1	1
81	0	0	0.25	0	0
83	0	0	0	0.077	0
101	0	1	1	1	1
114	0	1	0	0	0.5
123	0	0	0.25	0	0
133	0	0	0	0.25	0
155	0	0	0.2	0	0
180	0	1	1	1	1
222	0	0	0.333	0	0
234	0	1	1	1	1
238	0	1	0	0	0
272	0	0	0	0	0.333
287	0	1	1	1	1
306	0	0	0	0.154	0
323	0	1	0	0	0.4
367	0	0	0	0.077	0
386	0	0	0	0.5	0
387	0	1	1	1	1
405	0	1	1	1	1
429	0	1	1	1	1
485	0	0	0	0.154	0.2
520	0	0	0	0.667	0
591	0	0	0.2	0.077	0
592	0	0	0	0.077	0
593	0	0	0.2	0	0

447 Further, *adegenet* was used to produce visualizations of these  
448 results both as scatter plots and group assignment probability  
449 plots.

450 **4.4.3. Simulated transcription factor binding analysis**

451 We used the MatInspector software (Quandt et al., 1995;  
452 Cartharius et al., 2005) to search for RE and TF binding sites located  
453 inside R1 and R2. This analysis used the most conservative default

parameters settings in MatInspector and was conducted independently on each animal's aligned and trimmed FASTA formatted sequence.

MatInspector returns a large list of possible RE/TF binding sites for each DNA sequence. Because we are interested in understanding both conservation of and variation in RE/TF binding in R1 and R2 across species, we divided the full list of candidate RE/TF binding sites into two smaller list: (1) a list of RE/TF binding sites that

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462 did not include SNPs and were, therefore, conserved across species,  
463 and (2) a list of RE/TF binding sites that included at least one of the  
464 28 SNPs and were, therefore, variable across animals/species.

#### 4.4.4. An $\ell_1$ -regularized categorical bayesian multiple regression model

465 For each region, R1 and R2, we investigated how variation in  
466 RE/TF binding sites was associated with the classification of  
467 animals into the  $K = 3$  major clusters observed in our data: African  
468 green monkeys, Chinese and Indian rhesus macaques, and cyno-  
469 molgus and pig-tailed macaques. We used a categorical regression  
470 model to predict the classification,  $Y_{[n]} \in \{1 \dots K\}$ , of animal  $n$  using  
471 data on the RE/TF binding profile of that animal. Each RE/TF bind-  
472 ing profile,  $X_{[1 \dots (P+1), n]}$ , is a vector beginning with an intercept value  
473 of 1, and continuing with  $P = 105$  (in R1, or  $P = 95$  in R2) binary  
474 data points that indicate the presence or absence of the  $p_{th}$  RE/TF  
475 binding site in animal  $n$ . Because we had many more predictors  
476 than animals in our sample, we used a full Bayesian regression  
477 model with Laplace (also known as double exponential) priors on  
478 the regression coefficients. This model formulation imposes the  
479 Bayesian corollary of Lasso, or  $\ell_1$ -regularized regression, which  
480 penalizes the number of non-zero parameter values, reducing  
481 effective model complexity (Tibshirani, 1996). Accordingly, each  
482 outcome is modeled as:

$$487 Y_{[n]} \sim \text{Categorical}(\phi_{[n]}) \quad (1)$$

488 where:

$$489 \phi_{[n]} = \text{Softmax}(\beta * X_{[1 \dots (P+1), n]}) \quad (2)$$

492 and  $\beta$  is a  $K$  by  $P + 1$  matrix of parameters representing the inter-  
493 cepts as well as the associations of each of the  $P$  predictors with  
494 each of the  $K$  outcome categories. The Softmax function is defined  
495 for a  $K$ -vector  $\theta \in \mathbb{R}^K$  as:

$$496 \text{Softmax}(\theta) = \left( \frac{e^{\theta_{[1]}}}{\sum_{k=1}^K e^{\theta_{[k]}}}, \dots, \frac{e^{\theta_{[K]}}}{\sum_{k=1}^K e^{\theta_{[k]}}} \right) \quad (3)$$

499 and yields a vector in the unit  $K$ -simplex which is appropriate to use  
500 as the parameter vector for a categorical distribution. The Softmax  
501 function is invariant under adding a constant to each component  
502 of its input (Stan Development Team, 2014), but strongly regulariz-  
503 ing priors identify the model.

504 We declare weakly regularizing Gaussian priors on the intercept  
505 parameter for each category where  $k \in \{1 \dots K\}$ :

$$508 \beta_{[k,1]} \sim \text{Normal}(0, 10) \quad (4)$$

509 and strongly regularizing Laplace priors on the remaining (slope)  
510 parameters of the  $\beta$  matrix. Thus, for  $k \in \{1 \dots K\}$  and  $p \in \{2 \dots$   
511  $(P + 1)\}$ , we model:

$$514 \beta_{[k,p]} \sim \text{Double Exponential}(0, 0.1) \quad (5)$$

515 We use Hamiltonian Markov Chain Monte Carlo simulation  
516 (Hoffman and Gelman, xxxx) to fit this model. Our Markov chains  
517 are coded in templated C++ using the R implementation of the Stan  
518 2.2.0 C++ library (Stan Development Team, 2013). We then use the  
519 *vcd* package (Meyer et al., 2006) in R to plot the posterior mean esti-  
520 mate of each  $\beta_{[1 \dots K, p]}$  parameter vector in barycentric coordinates.  
521 Points located in the center of the barycentric space indicate vari-  
522 ables that are not informative about inter-group differences in RE/  
523 TF binding sites, while points located near the edges of the barycen-  
524 tric space are indicative of inter-group differences in RE/TF binding  
525 sites.

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## Appendix A. Supplementary data

Supplementary Tables 1, 2, and 3, as well as FASTA formatted  
sequences for each animal are available online. Supplementary  
data associated with this article can be found, in the online version,  
at <http://dx.doi.org/10.1016/j.meegid.2015.01.015>.

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