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1   Paleoecology of brachiopod communities during the late Paleozoic ice age in Bolivia

2   (Copacabana Formation, Pennsylvanian-Early Permian)

3

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10   **ABSTRACT**

11   Studies of modern ecological communities demonstrate that climate change may trigger changes

12   in diversity and taxonomic composition; however, these studies are fundamentally limited to

13   short timescales and therefore cannot demonstrate the full impact of major climate change.

14   Understanding the ecological response of marine invertebrate communities to the Late Paleozoic

15   Ice Age (LPIA), the last complete transition from icehouse to greenhouse, can establish a more

16   complete picture of the climate-faunal relationship. We analyzed brachiopod community

17   structure in Moscovian-Sakmarian (mid-Pennsylvanian to Early Permian) samples spanning the

18   greatest extent of the LPIA, collected from four localities of the Copacabana Formation in

19   Bolivia: Ancoraimes, Yaurichambi, Cuyavi, and Yampupata. Cluster analysis reveals three main

20   groups that appear to coincide with pre-, syn-, and post-glacial times. Genus richness was

21   significantly greater in samples during the Asselian glacial episode; however, the difference may

22   be due to a combination of smaller body size and time averaged mixing of genera from different

23   depths during more rapid glacioeustatic sea level change. Genera present in Bolivia consistently

24   had warm-water affinities, even during the main glaciation, but warm-water taxa increased in

25   abundance over time and the samples became increasingly dominated by characteristically North

26   American genera. Overall mean body size and the size of particular genera were smaller in the

27   Asselian cluster. These size changes likely reflect variations in substrate because marine

28   invertebrates should be larger at cooler temperatures due to oxygen limitation at higher

29   temperatures. The monotonic increase in abundance of warm-water genera and increasingly

30   North American biogeographic affinity imply that community change was most likely the result

31   of the northward drift of Bolivia rather than a response to late Paleozoic glacial-nonglacial

32   cycles. This lack of climate related faunal change was probably a result of Bolivia's mid-latitude

33   location during the late Paleozoic because both the rate of temperature change and its magnitude

34   were likely smaller at lower latitudes, reducing the impact of climate change on marine

35   communities.

36   *Keywords:* Paleoecology; climate change; thermal tolerance

37

38   **1. Introduction**

39   Temperature is one of the basic controls on both marine and terrestrial community

40   composition through its influence on organism physiology, geographic distribution, and

41   interactions. Changes in temperature can force physiological changes in organisms' respiration,

42   metabolic rate, and ability to perform critical biological functions, which can lead to death

43   (Pörtner 2001; Peck et al. 2004). As temperature increases a rise in metabolic rate leads to a

44   mismatch between oxygen supply and demand, which progressively lowers long-term fitness by

45   causing tissue hypoxia and eventually forcing organisms to anaerobic metabolism (Melzner et al.

46   2007; Pörtner 2010; Somero et al. 2012). It is possible for organisms to withstand higher

47   temperatures using heat shock proteins, however, this is an energy intensive process and leaves

48   less energy for feeding and reproduction (Somero et al. 2012). Studies have shown that

49   temperature limits for long-term survival occur at much lower values than those which cause

50   rapid death (Peck et al. 2009). In addition to these direct physiological consequences,

51   temperature change can cause changes in the relative abundance of species in communities,

52   range shifts, altered species interactions, and extinction, all of which can have profound

53   consequences for the overall structure and functioning of communities (Clarke 1993; Petchey et

54   al. 2004; Harley et al. 2006). Many of these temperature driven ecological changes are already

55   evident in modern species (Peck et al. 2004), including range shifts and disruptions in the

56   coordinated life cycles of interacting species (Walther et al. 2002; Parmesan 2006). These

57   physiological and ecological changes lead to a greater possibility of extinction for many species,

58   which could have further ecological consequences.

59   The fossil record provides evidence that faunal change has occurred in conjunction with

60   climate change throughout geologic history. Studying ancient episodes of climate change can

61   help to establish the biotic response to long term natural warming, thereby providing a baseline

62   from which to assess more extreme events such as modern anthropogenic climate warming.

63   During Pleistocene glacial-interglacial transitions faunal turnover in the Sea of Japan occurred

64   when warm-water mollusk species migrated into the area, accompanied by a northward

65   contraction of ranges of both warm-water and cool-water taxa and extinction of cool-water

66   species (Kitamura et al. 2000). Similar faunal change has also been observed at the end of the

67   Late Paleozoic Ice Age (LPIA), the last glacial period before the Pleistocene. Dramatic shifts in

68   floral assemblages occurred with rapid temperature fluctuations during later LPIA glacials, with

69   deglaciation resulting in a complete floral regime change from fern dominated to conifer

70   dominated (Gastaldo et al. 1996; Montanez et al. 2007). Global data indicate a distinct mid-

71   Permian diversification, especially in the tropics, due mainly to a radiation of strophomenate

72   brachiopods (Alroy 2010) and assemblages in Australia show compositional and relative-

73   abundance changes during deglaciation as well as potentially higher extinction rates during times

74   of rapid climate shifts (Clapham and James 2008; Clapham and James 2012).

75   The LPIA was characterized by dynamic climate fluctuations lasting nearly 70 Ma from

76   the mid-Carboniferous to the mid-Permian (Fielding et al. 2008) (Figure 1). Our study spans the

77   Moscovian to the Sakmarian, corresponding with the C4 nonglacial, P1, and P1 nonglacial of

78   Fielding et al. (2008). The C4 glaciation waned in the Moscovian and was followed by a long,

79   warmer nonglacial extending to the Carboniferous-Permian boundary. Asselian-early Sakmarian

80   glaciation extended over a broader region of Gondwana, indicating that the P1 glaciation was the

81   peak of the LPIA. Following the decline of the P1 glacial in the Sakmarian, temperature

82   increased sharply, though cooling occurred in the beginning of the Artinskian during the P2

83   glacial (Montanez et al. 2007; Korte et al. 2008).

84   The majority of late Paleozoic geological and paleontological work has been conducted

85   in eastern Australia (high southern paleolatitude) and North America and China (equatorial

86   paleolatitude). The paleontology of South America has been relatively unstudied and offers a

87   look at how glaciation, and the subsequent deglaciation, affected marine invertebrate

88   communities in the climatically highly variable mid-paleolatitudes. The lateral and time

89   continuity of marine and glaciogenic deposits in the basins of South America imply that steep

90   climate gradients existed in the region (Grader et al. 2008). This regional climate variability is

91   likely to result in highly variable ecological conditions, and therefore fauna, as glaciers waxed

92   and waned throughout the LPIA.

2. Geological Setting

93

94   We assessed biotic change during the LPIA using data collected from four locations of

95   the Copacabana Formation in the Lake Titicaca region of Bolivia (Figure 2). The four sections

96   range in age from Moscovian to Sakmarian (Grader, 2003), spanning the greatest extent of the

97   LPIA and its major deglaciation (Figure 3). The section from Cuyavi spans the largest amount of

98   time (from the Moscovian to Sakmarian; Grader, 2003); however, only four samples come from

99   this section – three from the Moscovian and one most likely from the Sakmarian. Yampupata

100   samples are contained entirely within the Moscovian (Fujikawa et al., 2003). The Yaurichambi

101   section ranges from the Gzhelian into the Asselian (most of the section is likely Asselian;

102   Charles Henderson, pers. comm.) with samples coming from the bottom and middle of the

103   section. Samples from the section at Ancoraimes cover the lower two-thirds of the section,

104   ranging in age from the Gzhelian to the Sakmarian (possibly late Asselian) (Grader, 2003). The

105   precise positions of stage boundaries are not well constrained in any of our sections.

106   Lithologically, the four sections are broadly similar and composed mainly of marly lime

107   mudstones and wackestones, pure wackestones and packstones, and terrigenous siltstone.

108   Samples from Cuyavi come from bioclastic-dominated wackestones, representing deposition

109   below fair-weather wave base in a mid- to distal ramp setting, and from green calcareous

110   sandstones from slightly shallower environments. Fossiliferous units at Yampupata are

111   composed of mid-ramp green calcareous sandstones similar to those at Cuyavi. In contrast to the

112   other sections, exposed units at Yaurichambi are dominated by lime mudstone and wackestone,

113   with most of the section covered. Two samples from the base of the section are derived from

114   light grey limestones representing deposition in a restricted inner ramp environment. Most

115   samples are from cyclical mid- to inner ramp sediments in the middle part of the section, ranging

116 from shallower bioclastic and fusulinid wackestones to more distal sandstones and siltstones.

117 The majority of the Ancoraimes section is composed of marly lime mudstone or wackestone with

118 a few largely unfossiliferous shale-dominated intervals and increasingly high-energy packstones

119 above our study section. Ancoraimes samples come from marly lime mudstone or wackestone

120 beds, representing distal to mid-ramp environments close to storm wave base.

121

122 **3. Methods**

123 We collected 47 bulk samples of about 100 specimens each from measured sections at

124 Ancoraimes (31 samples), Yampupata (6 samples), Cuyavi (4 samples), and Yaurichambi (6

125 samples) for identification and counting in the lab. Each sample was collected from the entire

126 exposed length of a single bed, which ranged from <1 m to >10 m. Samples consist almost

127 entirely of strophomenate and rhynchonellate brachiopods, with trilobites and mollusks

128 occurring rarely and mostly in the samples from Yampupata. Because brachiopods comprise

129 nearly 99% of our specimens, our analysis is restricted to that group. It is possible, although we

130 think unlikely, that different groups may have exhibited a stronger (or weaker) response to late

131 Paleozoic climate changes or that brachiopods were affected by changes in the diversity of other

132 taxa. We identified specimens to genus and, where possible, species level and counted all

133 identifiable specimens. All analyses are done at the genus level. We also measured the length,

134 width, and height of all complete specimens. We assessed environmental conditions using

135 standard sedimentological criteria in the field and data from Grader (2003). No sorting or

136 grading of specimens was observed in the field and counted specimens mostly exhibit low to

137 moderate abrasion, indicating that little transportation occurred. Sample age was determined

138 from correlation with sections in Grader (2003) and conodont biostratigraphy of the Yaurichambi

139   section (C. Henderson, pers. comm.). All abundance counts and size data are stored in the

140   Paleobiology Database (reference 41985).

141   Multivariate analyses (cluster analysis and non-metric multidimensional scaling [NMS])

142   were performed in R using the Vegan package (Oksanen et al. 2012). We performed a square

143   root transformation on the count data used in multivariate analyses to reduce the influence of

144   abundant taxa, such as *Crurithyris*, which are common in most samples and could obscure trends

145   in the data. Using global Carboniferous and Permian occurrence data downloaded from the

146   Paleobiology Database (PaleoDB; http://paleodb.org) on 17 May 2012, we calculated the

147   preferred latitude of each genus found in Bolivia using the method described in Clapham (2010)

148   and (Clapham and James 2012). Due to the high sampling frequency of the tropics a latitudinal

149   bias may be introduced by simply using the mean latitude of all occurrences. To counteract this

150   bias the preferred latitude of each taxon is adjusted using the latitudinal density of all

151   occurrences (Clapham and James 2012).

152   We also used the PaleoDB data to determine the provinciality and temperature

153   classification (warm or cool) of each genus. The globe can be divided into two cool

154   biogeographic realms above 30° north (Boreal) and below 30° south (Gondwanan) and two

155   tropical realms between 30° north and south: Panthalassic on the west coast of Laurentia and

156   Tethyan surrounding the Tethys Sea. We assigned each occurrence of a genus to one of the four

157   biogeographic realms based on its occurrences in the Paleobiology Database. We considered a

158   genus to be characteristic of the biogeographic realm in which it was most common only if the

159   number of occurrences in that realm was at least twice as large as the number in the next most

160   common realm. If a taxon is not considered characteristic of a particular realm it is classified as

161   cosmopolitan.

162

4. Results

164

4.1. Taxonomic Composition

Cluster analysis reveals three clusters of samples: 1) Moscovian samples from before the main LPIA glaciation, 2) Asselian samples from the P1 glacial, and 3) Sakmarian samples from the end of the P1 glacial and (possibly) interglacial (Figure 4). Cluster 1 consists of the lower Cuyavi samples and the majority of the Yampupata samples. A greater abundance of *Gypospirifer condor* and *Linoproductus cora* is characteristic of this cluster, which lacks a group of taxa (*Fimbrinia cristatotuberculata*, *Orthotichia latirostrata*, *Rhipidomella cora*, *Chonetinella*, *Quadrochonetes*, *Hustedia*, and *Stenoscisma thevenini*, called the *Hustedia* group) typical of cluster 2. This cluster also includes sample Ya2 in which *Isogramma* is common. Samples from the lower two-thirds of Ancoraimes and Yaurichambi make up cluster 2, which is characterized by the presence of the *Hustedia* group. This cluster also more commonly contains *Kozlowskia capaci* and *Hystriculina*. Cluster 3 is mainly from the upper third of Ancoraimes and, like cluster 1, is distinguished by the lack of the *Hustedia* group. Though *Composita subtilita* and *Dasysaria inca* are present in most samples they are more common in cluster 3 samples. Three samples unassociated with these main clusters are of note: 1) sample A24 is composed of entirely *Kozlowskia capaci*, 2) *Waagenoconcha humboldti* makes up the vast majority of sample A31, and 3) sample A32 contains mostly *Neochonetes variolata*.

These clusters are also apparent when count data is analyzed using non-metric multidimensional scaling (Figure 5). The majority of samples occur in a single large group; however, samples from the clusters identified by cluster analysis plot together. Samples from

185   cluster 1 plot to the right on axis one and in the bottom two-thirds of axis two, cluster 2 plots on

186   the left on axis one and spans a greater amount of axis two than cluster 1, and cluster 3 plots at

187   an intermediate axis 1 position but at high values on axis 2. The samples that are unassociated

188   with any of the three clusters plot around the edges of the main group, with many of them

189   (especially the high-dominance, low-diversity samples A24, A31, and A32) clearly separated

190   from the rest of the samples.

191

192   *4.2. Richness*

193   Overall, mean within-sample genus richness was 10 genera, but there were significant

194   variations among clusters (ANOVA, $p<0.001$). Because richness is a proxy for the 'health' of a

195   system that may have been influenced by late Paleozoic climate fluctuations it is important to

196   look at any variations among the clusters. Cluster 2 had the highest richness, with a mean of 12.5

197   genera per sample, whereas cluster 1 (mean richness of 9.9 genera) and cluster 3 (mean richness

198   of 8.2 genera) had lower diversity (Figure 6). The increase from cluster 1 to cluster 2 is weakly

199   significant (Tukey test, $p=0.045$), but the decrease from cluster 2 to cluster 3 is highly significant

200   (Tukey test, $p<0.001$). Richness did not differ significantly between clusters 1 and 3 (Tukey test,

201    $p=0.35$). Sample richness varied greatly within clusters of samples, including some samples

202   containing only 1-2 genera, but no consistent trends or regular patterns can be observed among

203   samples from single stratigraphic sections.

204

205   *4.3. Preferred Temperatures and Biogeographic Affinity*

206   If climate changes contributed to the community restructuring, the changing faunal

207   composition of the three clusters may also have led to shifts in the proportion of warm- and cool-

208   water genera. For each genus, we quantified its preferred temperature (warm or cool water) by

209   calculating the mean paleolatitude of its global occurrences (termed 'preferred latitude'). We

210   assessed the climatic affinity of the fauna in two ways. First, we calculated the mean preferred

211   latitude of all genera found in each of the three clusters. Overall, the mean preferred latitude of

212   all genera present in each cluster was very consistent through time (25.4° in cluster 1, 26.4° in

213   cluster 2, and 25.3° in cluster 3) and is relatively low in all clusters (Figure 7). Second, we

214   calculated the preferred latitude of each sampled brachiopod assemblage by assigning each

215   specimen the preferred latitude based on its genus and calculating the mean value of all

216   specimens in each sample. Though the preferred latitude of genera within clusters shows very

217   little variation, the mean preferred latitude of specimens within samples (which weights the

218   genus preferred latitude by relative abundance) consistently decreased with time (Figure 8).

219   Samples in cluster 1 have the highest mean preferred latitude (mean of 23.8°), followed by

220   cluster 2 (mean of 20.4°), and finally cluster 3 (mean of 17.9°). The range of preferred latitude

221   values remained consistent through the three clusters.

222   Although the preferred latitude of genera is very stable throughout our study interval,

223   indicating a consistently warm-water composition, the biogeographic affinity of those genera

224   may have varied due to changing climate or oceanographic patterns. We assigned genera to five

225   different regions (Boreal, Gondwanan, Tethyan, Panthalassic, and Cosmopolitan) and compared

226   the relative proportion of specimens from each region to test for shifts in the biogeographic

227   composition of each cluster. Biogeographic affinity shows a trend of increasing Panthalassic

228   association with time, increasing from 36% of specimens in cluster 1 to 71% in cluster 2, and to

229   88% in cluster 3 (Figure 9). Cosmopolitan genera show a corresponding decrease: cluster 1 is

230   composed of 56% cosmopolitan specimens, whereas clusters 2 and 3 contain 23% and 12%,

231 respectively. Boreal, Gondwanan, and Tethyan genera make up a negligible percentage of each

232 cluster, comprising no more than 5% of specimens.

233

234 *4.4. Body Size*

235   In the fossil record, changes in body size can be indicative of physiological stress.

236 Although many factors can influence body size, maximum body size in marine invertebrates is

237 primarily controlled by oxygen (Pauly 2010). Due to the mismatch between oxygen supply and

238 demand during times of ecological stress organisms can be forced to suspend non-vital functions

239 such as growth, leading to smaller body size. The mean body size (measured by the geometric

240 mean of shell length and width) of genera in cluster 2 is 7.3 mm, which is significantly smaller

241 than the mean size of genera in either cluster 1 (11.5 mm; Welch t-test, $p<0.001$) or cluster 3

242 (11.3 mm; Welch t-test, $p<0.001$). Because these body size shifts may reflect changes in the

243 relative proportion of larger- and smaller-bodied taxa or in the identity of taxa present, it is also

244 important to assess within-genus size changes of taxa present in multiple clusters. Eleven of the

245 12 genera present in both cluster 1 and cluster 2 decrease in body size (exact binomial test,

246 $p=0.006$) (Figure 10). Ten of 13 genera increase in size between cluster 2 and cluster 3; although

247 the number of size increases is weakly non-significant (exact binomial test, $p=0.09$), the

248 magnitude of increase is generally greater than the decrease from cluster 1 to cluster 2.

249

250 **5. Discussion**

251 *5.1. Taxonomic Composition*

252   Separation of samples into three primary clusters that occur during pre-, syn-, and post-

253 glacial times is potentially consistent with the hypothesis that long-term climate change

254   restructured marine communities. Although clusters occasionally contain samples of different

255   ages (e.g., a Moscovian sample from Yampupata groups with primarily Sakmarian cluster 3),

256   there is a strong relationship between age, and therefore climate, and cluster membership. It is

257   possible that clusters reflect facies rather than time, but this seems unlikely because samples

258   within clusters span multiple lithologies and, occasionally, come from multiple sections. For

259   example, cluster 1 is composed of samples from both bioclastic-dominated wackestones at

260   Cuyavi and calcareous sandstones at Yampupata. In contrast, clusters 2 and 3 are both dominated

261   by samples from Ancoraimes (cluster 2 from the lower two-thirds of our section and cluster 3

262   from the upper one-third) and come from very similar lithologies and environments, yet have

263   different faunal compositions. Both clusters are from lime mudstones and wackestones,

264   representing distal to mid-ramp environments, but cluster 2 is dominated by the *Hustedia* group,

265   *Kozlowskia capaci*, and *Hystriculina*, whereas cluster 3 almost completely lacks the *Hustedia*

266   group and contains *Composita subilita* and *Dasysaria inca* in greater abundances. Because there

267   are no clear patterns in either lithology or location in the composition of clusters, it seems

268   unlikely that clusters are simply a result of differing facies.

269   Although the faunal change associated with the clusters seems to coincide with climate

270   change due to the P1 glacial, the clusters are distinct but not clearly separated by MDS analysis,

271   suggesting that cluster analysis may impose artificial breaks on a continuous gradient of gradual

272   faunal change related to slow northward drift of Bolivia. The preferred latitude of genera in

273   clusters was low and very consistent through time, indicating that the genera present did not

274   dramatically change with either the onset of glaciation or deglaciation. Although the preferred

275   latitude of genera did not change, the preferred latitude of individual samples based on

276   specimens consistently decreased between clusters. Constant cluster-level but decreasing sample-

277   level preferred latitude was due to an increase in the abundance of warmer-water genera rather

278   than the replacement of cooler-water genera by warmer-water taxa. The decrease in sample

279   preferred latitude is uninterrupted through the glacial period, when cool-water genera and

280   therefore preferred latitude should have increased. The continuous change from cosmopolitan to

281   Panthalassic genera is also consistent with a gradual shift rather than effects of glacial climate

282   change (Figure 11).

283   In contrast, community restructuring during post-glacial warming has been observed at

284   high latitudes in Australia (Clapham and James 2008). Similar faunal shifts may not have

285   occurred in Bolivia, which was located at mid-latitude, because the rate or magnitude of change

286   at lower latitudes may have been slow enough that organisms were capable of migrating,

287   adapting, or evolving (Holland and Bitz 2003; Hofmann and Todgham 2010; Miller et al. 2010).

288   Modern high-latitude organisms have low aerobic scopes because of their low metabolic rates, an

289   adaptation to permanently cold conditions, and therefore are less able to meet metabolic oxygen

290   demands as temperature increases (Peck et al. 2004). In contrast, mid- and low-latitude

291   organisms experience greater seasonal fluctuations and are therefore more able to cope with

292   changes in temperature (Pörtner 2001). Similarly, high-latitude Permian taxa may also have been

293   more vulnerable to temperature changes, whereas mid-latitude taxa, such as those in Bolivia,

294   would not have been as greatly affected.

295

296   *5.2. Diversity*

297   Despite the gradual faunal change rather than climate-driven restructuring, the richness of

298   samples in cluster 2 is higher than that of either cluster 1 or cluster 3. This higher diversity

299   apparently coincides with the P1 glacial; however, diversity is higher in the tropics today and

300   among Pennsylvanian-Permian brachiopods (Powell, 2009), and the onset of cooler glacial

301   conditions should have been accompanied by a drop in diversity (Roy et al. 1998; Alroy 2010).

302   This apparent decoupling of temperature and diversity could be due to an increase in surface

303   ocean nutrient levels from more vigorous ocean circulation during the glacial. The importance of

304   increased nutrient levels in Bolivia is unclear because Bolivia was located in a partially enclosed

305   basin with limited connection to wider ocean circulation during the Late Carboniferous and Early

306   Permian.

307   The higher richness of samples in cluster 2 could perhaps be explained by smaller body

308   size of taxa in the cluster. Because the size-frequency distribution of species is log-normal, many

309   species are small (Clauset and Erwin 2008). Therefore, samples that contain smaller taxa in

310   addition to large ones, like those in cluster 2, may contain greater diversity relative to the other

311   clusters where small specimens are less abundant.

312   Mixing of warmer and cooler water faunas due to time averaging is another possible

313   explanation for increased diversity during the glacial (Figure 12). The faunal boundary between

314   warmer and cooler water taxa may have shifted northward and southward with climate changes

315   (Angiolini et al. 2007), causing shifts in local faunal composition at Mikankovich timescales.

316   Time averaging is a pervasive phenomenon that mixes shells over scales of hundreds to

317   thousands of years in a single shell bed. Time averaging during deposition of the sample may

318   have mixed genera from warm and cool water faunas and inflated the diversity of these samples

319   relative to samples from climatically more stable time periods. This is unlikely, however,

320   because the range of preferred latitude of samples is fairly consistent in all three clusters. The

321   fauna of cluster 2 do not span a greater range of latitudes than the other clusters so it is unlikely

322   that they would represent a mix of both warm and cool water faunas. The effect of faunal mixing

323   would have been minimal because the timescales of Milankovitch cyclicity are much longer than

324   those of time averaging.

325   Time averaging may have mixed genera from a greater range of depths during the glacial,

326   which could also have caused the increased diversity in cluster 2 (Figure 13). Sea level changes

327   would have been more rapid and of greater magnitude during the P1 glacial (Rygel et al. 2008),

328   which would have superimposed a living community from one depth over recently dead shells

329   from a different depth. These shells from different habitats would then get mixed into a single

330   bed by time averaging.

331

332   *5.3. Biogeographic Affinity*

333   There was a shift from Cosmopolitan to Panthalassic taxa with time, with a dramatic

334   increase occurring from Carboniferous cluster 1 to Permian cluster 2. Most of these Panthalassic

335   taxa are genera found in the western U.S., especially west Texas. Although Texas is extremely

336   well-sampled, this signal of increasing proportion of Panthalassic taxa is not simply a sampling

337   bias, because we normalized each genus to the total number of occurrences in each region.

338   Increasing dominance by Texas taxa may reflect warming sea surface temperatures in Bolivia

339   during this time period as Bolivia drifted north from more than 35° S to close to 30° S. This sort

340   of faunal similarity between Bolivia and the western U.S. has also been seen in corals (Wilson

341   1990). Studies of brachiopod biogeography have variously identified a separate Andean province

342   based on chonetids (Archbold 1983) or were unable to group Bolivia with any defined province

343   because of the cosmopolitan nature of the few taxa previously reported in the literature (Shen et

344   al., 2013).

345

16

5.4. Body Size

346

347   Genera are smaller in cluster 2, roughly during the LPIA glacial, than in either cluster 1

348 or 3. This reduction in body size is evident in both the mean body size of all specimens in each

349 cluster and the within-genus size comparisons between clusters. Body size is closely related to

350 composition because samples with a larger number of smaller taxa will have a smaller overall

351 size. Clusters 1 and 3 are characterized by larger taxa, such as *Gypospirifer condor* and

352 *Linoproductus cora* in cluster 1 and *Composita subtilita* and *Dasysaria inca* in cluster 3. They

353 also lack the *Hustedia* group, which is characteristic of cluster 2 and composed of generally

354 smaller taxa, such as *Hustedia* and the chonetids *Chonetinella* and *Quadrochonetes*. While this

355 appearance and increase in abundance of larger genera in clusters 1 and 3 explains the larger

356 mean sizes of those clusters, it does not explain the within-genus trend of reduced body size in

357 cluster 2. The reduction in size during cluster 2 also cannot be explained by cooling related to

358 glaciation, because the principles of oxygen-limited thermal tolerance would predict larger body

359 size during cooler temperatures (Pauly 2010; Forster et al. 2012). The most likely explanation for

360 reduced body size in cluster 2 is a sampling issue related to lithology, because cluster 2 comes

361 almost entirely from the lower two-thirds of Ancoraimes, which is typically finer grained and

362 more fissile. This fissile nature makes it easier to find smaller specimens and harder to find

363 larger ones. Finer-grained sediments are likely to reflect softer substrates that may also have

364 favored smaller individuals (Thayer 1975). The smaller grain size of Ancoraimes can explain the

365 decrease in body size from cluster 1 (collected from Cuyavi and Yampupata) to cluster 2 but the

366 increase from cluster 2 to cluster 3 (also from Ancoraimes) is less clear because Ancoraimes is

367 lithologically and environmentally consistent throughout our section, potentially suggesting that

368 the increase is a real phenomenon.

369

6. Conclusions

370

371   Faunal changes are evident in Bolivia, but are most likely due to gradual northward drift

372 of Gondwana rather than climate change related to the LPIA. The shift in sample preferred

373 latitude towards lower latitudes and the increasingly Panthalassic biogeographic affinity are

374 consistent with this. Diversity is higher during the glacial period, contrasting with the anticipated

375 decline in diversity associated with the onset of cooler glacial conditions. The higher diversity in

376 cluster 2 is likely due to some combination of the mixing of genera from different habitat depths

377 from Milankovitch-scale glacioeustatic sea level changes during time averaging and the

378 sampling of a greater range of body sizes, including small taxa.

379   This lack of climate related faunal change in Bolivia was probably a result of its mid-

380 latitude location. Both the rate of temperature change and its magnitude were likely smaller at

381 lower latitudes than at high-latitudes, thereby reducing the impact of climate change on marine

382 communities. Furthermore, this lack of change implies that mid- and low-latitude organisms are

383 less vulnerable than high-latitude organisms during climate change, which is consistent with

384 predictions from biologists based on aerobic scope and metabolic rate. More broadly, variable

385 responses across latitudes during slow, long-term climate change implies that geographic

386 distribution would likely have been a significant predictor during more severe climate change

387 events such as the end-Permian or end-Triassic mass extinctions and suggests that high-latitude

388 organisms are likely to be at greatest risk during modern climate change.

389

390 **Acknowledgments**

394

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495

496

497

498 **Figure Captions**

499 **Fig. 1.** Summary of Late Paleozoic Ice Age glaciations and temperature records. Glacial records

500 come from Australia (Fielding et al., 2008) and basins throughout Gondwana (Isbell et al., 2003).

501 Permian temperature records from high and tropical latitudes show decreasing temperatures

502 during the early Permian glacial (Korte et al., 2008 and Montañez et al., 2007).

503

504 **Fig. 2.** Map showing the location of the four sections (Ancoraimes, Yaurichambi, Cuyavi, and

505 Yampupata) sampled for this study in the Lake Titicaca region of Bolivia.

506

507 **Fig. 3.** Composite section showing the dominant lithology, approximate age correlation of each

508 section, and stratigraphic height of samples. Stage boundaries are approximate because the

509 precise age of sections is uncertain. The cluster affinity of each sample is indicated and shows a

510 fairly robust correlation of cluster with stratigraphic position.

511

512 **Fig. 4.** Cluster-abundance diagram of all samples and genera. For each genus the abundance in a

513 sample is shown using the size of the dot and the style (black, grey, or white) indicates

514 temperature affinity. Samples are divided into three main clusters based on the presence or

515 absence and relative abundance of genera.

516

517 **Fig. 5.** Non-metric multidimensional scaling showing the grouping of samples from clusters

518 identified by cluster analysis. Samples from these clusters group together with unassociated

519 samples scattered on the fringes of the plot.

520

521 **Fig. 6.** Box and whisker plot showing median and first and third quartiles for genus richness of

522 samples in each cluster.

523

524 **Fig. 7.** Box and whisker plot of the preferred latitude of genera present in each cluster. In this

525 analysis, genera are recorded as present or absent (their relative abundance is not incorporated)

526 and the mean preferred latitude calculated for each cluster based on the taxonomic list of present

527 genera. All three clusters have similar values, indicating that the climatic affinity of genera

528 present in Bolivia did not change significantly over the study interval.

529

530 **Fig. 8.** Box and whisker plot of the preferred latitude of specimens within samples of each

531 cluster. Each specimen is assigned the preferred latitude of its genus, yielding a mean preferred

532 latitude for each sampled brachiopod assemblage, weighted by the relative abundance of genera

533 in that sample. Lower preferred latitude values for samples in cluster 3 indicate that the relative

534 abundance of tropical genera increased within samples from cluster 1 to cluster 3.

535

536 **Fig. 9.** Bar plot showing the provinciality of each cluster. Genera are assigned to Tethyan,

537 Panthalassic, cosmopolitan, Gondwanan, and Boreal regions based on the area with the largest

538 number of occurrences.

539

540 **Fig. 10.** Body size trends between clusters based on mean size of genera (solid circles) and

541 overall mean size of all specimens (open circles). Genera occurring in more than one cluster are

542 connected by solid lines to indicate between-cluster size changes.

543

544 **Fig. 11.** Richness (A), preferred latitude (B), proportion of Panthalassic taxa (C), and mean body

545 size (D) trends of samples from Yampupata (solid circles), Cuyavi (open squares), and

546   Ancoraimes (open circles). Yaurichambi samples are not included because they cannot be

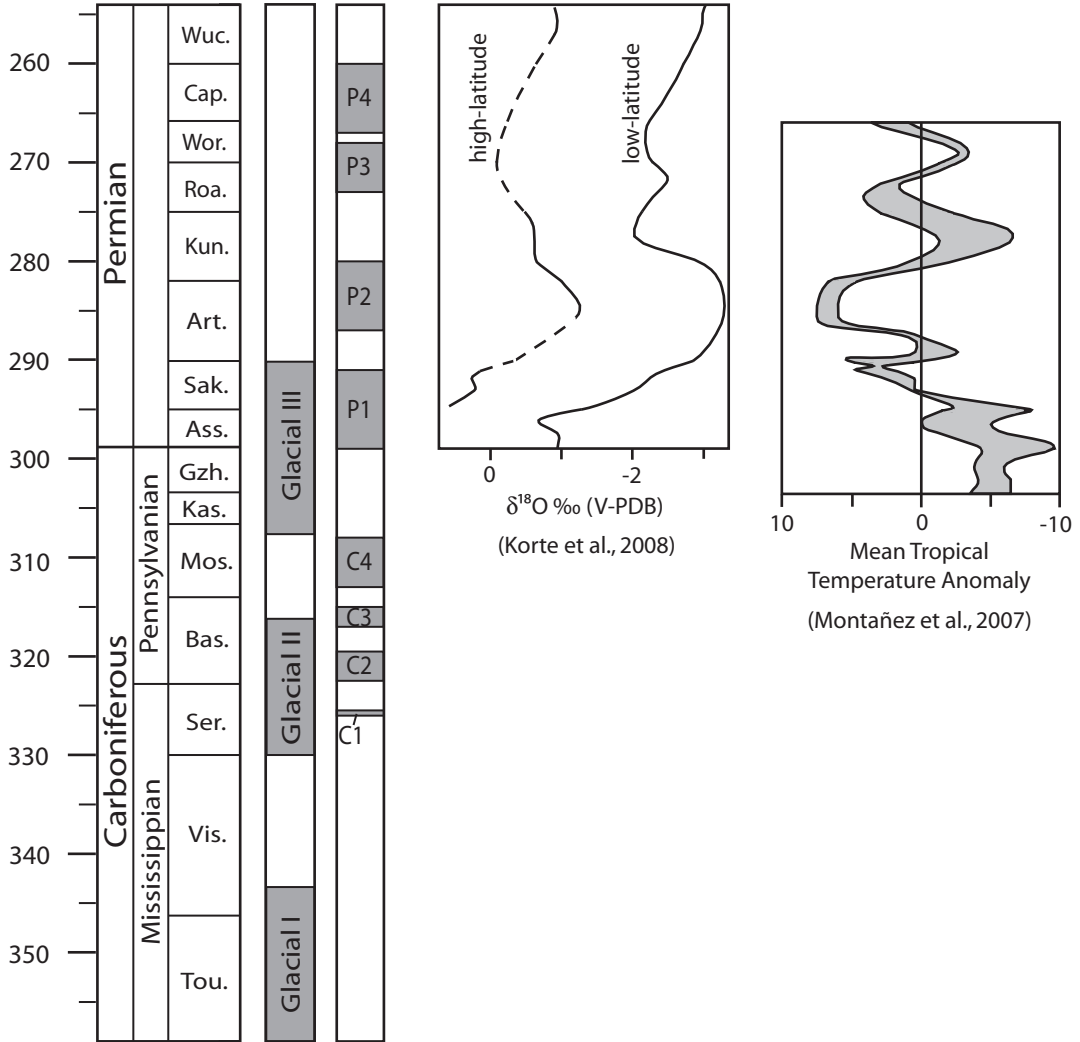547   correlated precisely with Ancoraimes.

548

549   **Fig. 12.** Base map showing hypothetical fluctuations of the faunal boundary between warm-

550   water and cool-water faunas (dashed lines) relative to Bolivia (black box) during glacial and

551   interglacial times. The latitudinal position of the faunal boundary is approximately based on data

552   from Angiolini et al. (2007).

553

554   **Fig. 13.** Cartoon showing Milankovich-scale sea level fluctuations (dashed lines) during glacial

555   and interglacial times, illustrating their effect on water depth at a hypothetical sample locality.

556   Time-averaged fossil samples would integrate specimens over a broad depth range.

Fielding et al. (2008)

δ^{18}O ‰ (V-PDB)

(Korte et al., 2008)

Mean Tropical
Temperature Anomaly

(Montañez et al., 2007)

Isbell et al. (2003)

Ancoraimes

Lake Titicaca

Bolivia

Yampupata

Copacabana

Peru

Cuyavi

Yaurichambi

Bolivia

La Paz

16° S

■ Sampled Sections
● Town
- - - Road

0   10   20   30
        km

69° W

Colquencha

0   300
    km

Sak.	Asselian	Gzh.	Kas.	Moscovian

Cuyavi

Yaurichambi

Ancoraimes

Yampupata

- ● Cluster 1
- ● Cluster 2
- ○ Cluster 3
- ✖ Unassociated

- ☐ Sandstone
- ☐ Limestone
- ☐ Marl
- ■ Siltstone

0°

Warm

glacial

30° S

interglacial

Cool

60° S

interglacial

glacial

sample region