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Wall Stress on Ascending Thoracic Aortic Aneurysms with Bicuspid Compared to Tricuspid Aortic Valve

--Manuscript Draft--

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Abstract:	<p>Abstract</p> <p>Objective: Guidelines for repair of bicuspid aortic valve (BAV)- associated ascending thoracic aortic aneurysms (aTAA) have been changing, most recently to the same criteria as tricuspid aortic valve (TAV)-aTAA unless family history of dissection or sudden death exists. However, rupture/dissection occurs when wall stress exceeds wall strength. Recent studies suggest similar strength of BAV vs TAV aorta; thus comparative wall stress may better predict the dissection risks between BAV vs TAV ATAA. Our aim was to determine whether BAV-ATAA had higher wall stresses than their TAV counterparts.</p> <p>Methods: Patients with >4.5cm diameter aTAA underwent ECG-gated computed tomography angiography. 3D geometry was reconstructed for each patient to determine patient-specific geometry, which was loaded to systemic pressure after accounting for determining pre-stress geometry. Finite element analyses were performed using LS-DYNA solver with user-defined fiber-embedded material model to determine aTAA wall stress.</p> <p>Results: BAV and TAV aTAA patients (BAV=16, TAV=1920) were included in the study. Peak circumferential wall stresses on BAV-aTAA were 924 ± 223 kPa vs 807 ± 408 kPa ($p=0.29$) for TAV-aTAA at systolic pressure; while at diastolic pressure, peak circumferential wall stresses for BAV-aTAA were 598 ± 132 kPa vs 543 ± 227 kPa ($p=0.37$) for TAV-aTAA. Peak circumferential stress was not correlated to BAV-aTAA diameter ($R^2=0.0011$) but showed better correlation to TAV-aTAA diameter ($R^2=0.7164$). Peak longitudinal wall stresses on BAV-aTAA were 660 ± 169 kPa vs 367 ± 234 kPa ($p=0.77$) for TAV-aTAA at systolic pressure; while at diastolic pressure, peak longitudinal wall stresses for BAV-aTAA were 375 ± 164 kPa vs 367 ± 234 kPa</p>

	(p=0.91) for TAV-aTAA at diastolic pressure. Conclusions: In this study, circumferential and longitudinal stresses were comparable between BAV- and TAV-aTAA. Peak wall stress did not correlate with BAV-aTAA diameter, suggesting diameter alone in this population may be a poor predictor of dissection risk. Our results highlight the need for patient-specific aneurysm wall stress analysis for accurate dissection risk prediction.
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1 **Wall Stress on Ascending Thoracic Aortic Aneurysms with Bicuspid Compared to Tricuspid Aortic**
2 **Valve**

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23

24 **Abbreviations and Acronyms**

25 BAV = bicuspid aortic valve

26 TAV = tricuspid aortic valve

27 aTAA = ascending thoracic aortic aneurysm

28 SAVR = surgical aortic valve replacement

29 STJ = sinotubular junction

30 CT = computed tomography

31 CTA = computed tomography angiography

32 FE = finite element

33 FEA = finite element analyses

34 cm = centimeter

35 kPa = kilopascal

36 San Francisco Veterans Affairs Medical Center = SFVAMC

37 American College of Cardiology = ACC

38 American Heart Association = AHA

39

40 **Abstract**

41 **Objective:** Guidelines for repair of bicuspid aortic valve (BAV)-associated ascending thoracic aortic
42 aneurysms (aTAA) have been changing, most recently to the same criteria as tricuspid aortic valve (TAV)-
43 aTAA. Rupture/dissection occurs when wall stress exceeds wall strength. Recent studies suggest similar
44 strength of BAV vs. TAV-aTAA; thus, comparative wall stress may better predict dissection in BAV vs.
45 TAV-aTAA. Our aim was to determine whether BAV-aTAA had higher wall stresses than their TAV
46 counterparts.

47 **Methods:** BAV- and TAV-aTAA patients (BAV=17, TAV=19) >4.5cm underwent ECG-gated computed
48 tomography angiography. Patient-specific 3D geometry was reconstructed and loaded to systemic pressure
49 after accounting for pre-stress geometry. Finite element analyses were performed using LS-DYNA solver
50 with user-defined fiber-embedded material model to determine aTAA wall stress.

51 **Results:** BAV-aTAA 99th-percentile longitudinal stresses were 280kPa vs. 242kPa (p=0.028) for TAV-
52 aTAA in systole. These stresses did not correlate to diameter for BAV-aTAA (r=-0.004) but had better
53 correlation to TAV-aTAA diameter (r=0.677). Longitudinal stresses on sinotubular junction (STJ) were
54 significantly higher in BAV-aTAA than TAV-aTAA (405kPa vs. 329kPa, p=0.023). BAV-aTAA 99-
55 percentile circumferential stresses were 548kPa vs. 462kPa (p=0.033) for TAV-aTAA, which also did not
56 correlate to BAV-aTAA diameter (r=0.007).

57 **Conclusions:** Circumferential and longitudinal stresses were greater in BAV- than TAV-aTAA and were
58 more pronounced in the STJ. Peak wall stress did not correlate with BAV-aTAA diameter, suggesting
59 diameter alone in this population may be a poor predictor of dissection risk. Our results highlight the need
60 for patient-specific aneurysm wall stress analysis for accurate dissection risk prediction.

61 **Abstract Word count: 249**

62 **Central Message:** Wall stress was not correlated with BAV-aTAA diameter and would be an important
63 consideration for optimizing timing of surgical intervention for BAV and likely TAV patients with
64 <5.5cm aTAA.

65 **Perspective Statement:** We demonstrated that both circumferential and longitudinal stresses were greater
66 in BAV vs TAV-aTAA. Peak wall stresses did not correlate with BAV-aTAA diameter and weakly
67 correlated with TAV-aTAA diameter, suggesting that diameter alone is a poor predictor of aTAA
68 dissection risk and patient-specific aTAA wall stresses should be considered.

69

70 **Introduction**

71 Bicuspid aortic valve (BAV) is the most common congenital aortic valve defect occurring in
72 0.5% to 2% of the general population. However, BAV patients account for up to 15% of those presenting
73 with aortic dissection or rupture¹. Rupture and/or dissection of ascending thoracic aortic aneurysm
74 (aTAA) is a highly lethal condition with a 1%/hour mortality rate². To avoid complications of aortic
75 dissection/rupture, American College of Cardiology (ACC)/American Heart Association (AHA) have
76 developed guidelines²⁻⁵ for elective repair of aTAA, which include consideration of BAV vs tricuspid
77 aortic valve (TAV) phenotype. Previously, these guidelines recommended earlier repair of BAV-aTAA
78 at sizes smaller than that recommended for TAV-aTAA, i.e. >4.5cm vs 5.5cm respectively². Recently,
79 these guidelines³ changed. Operative intervention for BAV-aTAA is now ≥ 5.5 cm for asymptomatic
80 patients and ≥ 5.0 cm for patients with family history of aortic dissection or aortic growth rate
81 ≥ 0.5 cm/year. Concomitant repair is recommended for BAV-aTAA >4.5cm, when undergoing surgical
82 aortic valve replacement (SAVR). These guidelines reflect a continually evolving understanding of the
83 biomechanics of aortic dissection.

84 Aortic wall has complicated microstructure of collagen and elastin within its three layers of
85 intima, media, and adventitia and has the ability to respond to pathophysiologic conditions by remodeling.
86 Dissection/rupture is simply a material failure of the aortic wall and occurs biomechanically when wall
87 stress exceeds wall strength. Studies⁶⁻⁸ have suggested that BAV-aTAA tensile strength is equivalent or
88 higher than that of TAV-aTAA. We and others from the International Registry for Aortic Dissection
89 (IRAD)^{9,10} have also demonstrated that dissection can occur in a significant proportion of patients with
90 aTAA sizes less than the recommended guidelines. As such, optimal treatment for both BAV- and TAV-
91 aTAA patients may require elective repair at smaller aTAA sizes in a patient-specific fashion to preclude
92 dissection/rupture using clinical and biomechanical risk factors. The question remains whether BAV
93 remains a clinical risk factor for dissection from a biomechanics perspective. If BAV has similar or

94 greater wall strength than TAV-aTAA, then comparative wall stress should provide information regarding
95 relative dissection risk of BAV vs TAV.

96 Wall stress unfortunately cannot be directly measured; however, stress can be determined
97 computationally. Finite element analysis (FEA) represents a validated technique in computational
98 modeling to investigate mechanical stress in physiologic systems, where stress would otherwise be
99 impossible to measure *in vivo*. FEA has been widely used to quantify wall stress in arteries^{11,12}. The aim
100 of this study was to compare aTAA wall stress between BAV and TAV patients using FEA.

101 **Materials and Methods**

102 We performed a retrospective analysis of aTAA patients from our surgical clinic database at San
103 Francisco Veterans Affairs Medical Center (SFVAMC). Inclusion criterion was >4.5cm aTAA based on
104 ECG-gated computed tomography angiography (CTA). Exclusion criteria were those with poor image
105 quality resolution or motion artifact on imaging. Patients with previous SAVR or only aortic root
106 dilatation were excluded. There were 36 (BAV=17, TAV=19) patients with aTAA >4.5cm and suitable
107 CTA for biomechanical evaluation. BAV sub-phenotypes were not differentiated. No patients had a
108 family history of dissection or connective tissue disorder but one patient in each group (BAV and TAV)
109 had a family history of aortic aneurysm. This study was approved by Committee on Human Research at
110 University of California San Francisco Medical Center and Institutional Review Board at SFVAMC.
111 Table 1 summarizes patient clinical profiles. De-identified images were used to reconstruct 3D geometry
112 of the aortic root, ascending aorta, and portion of descending thoracic aorta.

113 ***Development of Finite Element (FE) Model***

114 FE model for each patient was developed. First, CT scan images were exported as Digital
115 Imaging and Communications in Medicine (DICOM) files and imported into MeVisLab, open source
116 surface reconstruction software (<http://www.mevislab.de/home/about-mevislab>) for image segmentation.
117 Next, smooth three-dimensional surface was constructed and imported into LS-DYNA (LSTC Inc.,

118 Livermore, CA), commercially available FE software package. LS-DYNA was used for pressure loading
119 simulations and data analysis.

120 ***Zero-pressure geometry***

121 CT images used to reconstruct patient-specific 3D aTAA geometry represented geometry under *in*
122 *vivo* physiologic blood pressure conditions and was therefore considered pre-stressed. FE simulations
123 based on these geometries would load from 0mmHg to physiologic blood pressure and thus add stress to
124 already pre-stressed geometry. We and others have demonstrated the importance of accounting for this
125 pre-stress to accurately determine *in vivo* wall stress¹³. Here, we used modified update-Lagrangian
126 method to calculate pre-stress¹⁴. In this framework FE geometry is virtually fixed in space while pre-
127 stress deformation matrix is obtained through an iterative process. Figure 1a shows representative aTAA
128 FE mesh.

129 ***Collagen-Embedded Hyperelastic Material Model***

130 ATAA wall was modeled as incompressible hyperelastic material, comprised of non-collagen
131 matrix reinforced with dispersed collagen fibers. Total strain energy density function for aTAA was
132 derived from the composite of both strain energy density function of ground matrix and that of collagen
133 fibers as:

$$134 \quad \Psi(\bar{C}) = \Psi_{matrix}(\bar{C}) + \sum_{i=1,2} \Psi_{collageni}(\bar{C}) + \Psi(J) \quad (1)$$

135 where $\bar{C} = J^{-\frac{2}{3}}C$ is isochoric part of the right Cauchy-Green deformation tensor C and J is Jacobian of
136 the deformation gradient. $\Psi(J)$ enforces the incompressibility of aortic tissue. Ground matrix was
137 assumed to be isotropic and to have neoHookean-like strain energy density function:

$$138 \quad \Psi_{matrix}(\bar{C}) = a(I_1(\bar{C}) - 3) \quad (2)$$

139 where $I_1(\bar{\mathbf{C}})$ is the first invariant of $\bar{\mathbf{C}}$ and a is a material constant.

140 We assumed two collagen fibers distributed symmetrically along the circumferential direction
141 (figure 1b) with dispersed collage fibers¹⁵:

$$142 \quad \Psi_{collageni}(\mathbf{C}) = \frac{k_1}{2k_2} \left[\exp(k_2 \bar{E}_i^2) - 1 \right] \quad i = 1, 2 \quad (3)$$

143 where \bar{E}_i is an invariant that reflects the impact of each fiber family deformation on strain energy
144 function¹⁵ as shown in figure 1b; k_1 and k_2 are material parameters determined by mechanical testing of the
145 material¹⁶ (Table 2).

146 ***Finite Element Simulation***

147 FE simulations were performed using LS-DYNA with user defined material subroutine as
148 described in Eqn 1. Reconstructed aTAA wall surface from annulus to descending thoracic aorta was
149 modeled using three-dimensional brick elements with average element size of ~1.5mm. All translational
150 motion at the proximal annulus and distal descending thoracic aorta were fixed with rotational freedom.
151 Simulation was performed by applying physiologic arterial pressure loading conditions to aTAA inner
152 lumen. Models were first pre-stressed to diastolic pressure (80mmHg). Internal pressure was then
153 ramped up from 80mmHg to systolic pressure (120mmHg) over 100ms duration, followed by decrease to
154 diastolic pressure over another 100ms period. One cardiac cycle of 800ms duration was then applied.
155 Cardiac cycle was composed of 300ms ramp upwards to maximum systolic pressure, followed by 500ms
156 ramp downwards to minimum diastolic pressure. Material properties for respective BAV vs TAV-aTAA
157 were used based on our previous biaxial testing¹⁶.

158 ***Statistical analysis***

159 The 99th-percentile wall stress as previously described¹⁷ was used for statistical analysis. 99th-
160 percentile wall stress has been demonstrated to be more reproducible than peak wall stress because it
161 avoids non-physiologic peak wall stresses that can occur from inhomogeneities in the FE mesh.
162 References to peak wall stresses will hereafter be represented by 99th-percentile wall stress for simplicity.
163 Continuous measurements of aneurysm size, patient age, and wall stress were presented as median and
164 (25%-75%) interquartile range. Categorical measurements are presented as numbers and percentages.
165 Since the data were not normally distributed, continuous and categorical variables were compared
166 between BAV and TAV patients using Mann-Whitney U-test and Kruskal-Wallis test, respectively¹⁸.
167 Spearman rank correlation coefficients were used to determine relationship between aneurysm diameter
168 and wall stress. P-value <0.05 was considered statistically significant. Statistical analyses were
169 performed using R(R 3.4.0 <http://www.r-project.org>).

170 **Results**

171 *Patient Demographics*

172 BAV and TAV-aTAA patients were similar ages (64 vs. 68, p=0.1277), had similar aneurysm
173 sizes (5.08 vs. 5, p=0.5152), and had similar incidence of aortic valve disease (p=0.3916) (Table 1).

174 *BAV-ATAA Wall Stress*

175 BAV-aTAA 99th-percentile longitudinal stresses¹⁷ were 280kPa (236-307kPa) at systolic
176 pressure. There was a trend for highest longitudinal stress to be located on aTAA greater curvature
177 (figure 2a). BAV-aTAA 99th-percentile circumferential stresses were 548kPa (483-595kPa) at systolic
178 pressure. Regions of greatest circumferential stress were located on aTAA lesser curvature (figure 2b).
179 These figures also demonstrate that greatest wall stresses did not localize to the plane of maximum aTAA
180 diameter.

181 *TAV-ATAA Wall Stress*

182 TAV-aTAA 99th-percentile longitudinal stresses were 242kPa (189-267kPa) at systolic pressure.
183 No differences were found between greater and lesser curvature regions (figure 2a). Peak longitudinal
184 stresses were greater in BAV- than TAV-aTAA (p=0.0275). TAV-aTAA 99th-percentile circumferential
185 stresses were 462kPa (357-536kPa) at systolic pressure. Similar to BAV-aTAA, regions of highest
186 circumferential stress were located on aTAA lesser curvature (figure 2b). Similarly, peak circumferential
187 stresses were greater in BAV- than TAV-aTAA (p=0.033).

188 ***ATAA Wall Stress Correlation with Diameter***

189 Maximum aortic diameter and 99th-percentile wall stress was correlated in a linear relationship.
190 For BAV-aTAA, maximum aortic diameter showed no correlation with circumferential or longitudinal
191 99th-percentile wall stress (r=0.0074 and r=-0.0037, respectively) (figure 3), while TAV-aTAA showed
192 better correlation. Correlation between maximum diameter and TAV-aTAA peak wall stress was
193 r=0.7110 for circumferential and r=0.6766 for longitudinal direction. BAV- and TAV-aTAA 99th-
194 percentile stresses in circumferential and longitudinal directions in systole are shown (figure 4a).

195 ***Wall Stress of Sinotubular Junction***

196 Since the sinotubular junction (STJ) is one well-recognized region for initial entry tear for acute
197 type A dissection, we analyzed STJ subregion from above aortic valve leaflet commissures to 1cm distal
198 to STJ. Circumferentially, STJ peak wall stresses for BAV-aTAA were 739kPa (654-846kPa) at systolic
199 pressure (figure 4b), while those for TAV-aTAA were 560kPa (498-692kPa, p=0.015). Longitudinally,
200 STJ peak wall stresses for BAV-aTAA were 405kPa (335-489kPa) at systolic pressure compared to those
201 for TAV-aTAA of 329kPa (266-377kPa, p=0.023). Correlation between maximum aneurysm diameter
202 and STJ peak stress in circumferential direction was weaker for BAV-aTAA (r=0.416) than for TAV-
203 aTAA (r= 0.600), which was also weak. Similarly, correlation between maximum aneurysm diameter
204 and STJ peak stress in longitudinal direction was much weaker for BAV-aTAA (r= 0.162) than TAV-
205 aTAA (r= 0.541), which also had poor correlation.

206 STJ greater versus lesser curvature regions were also compared (Table 3). Peak circumferential
207 stresses in BAV-aTAA were significantly larger in the lesser compared to greater curvature of STJ, and

208 peak longitudinal stresses trended toward higher stresses in greater than lesser curvature. On the other
209 hand, peak wall stresses were not significantly different between greater and lesser curvature of STJ for
210 TAV-aTAAs in either circumferential or longitudinal directions. Comparing BAV and TAV-aTAAs in
211 greater and lesser curvature STJ subregions, peak circumferential stresses of BAV-aTAAs were
212 significantly greater than that for TAV-aTAAs in both the greater and lesser curvature STJ subregions. In
213 contrast, in the longitudinal direction, no significant differences were found between wall stresses of BAV
214 and TAV-aTAAs in greater or lesser curvature STJ subregions.

215 **Discussion**

216 *Aortic size and wall stress*

217 ACC/AHA guidelines for aTAA elective repair have varied over the years primarily for BAV-
218 aTAA, which decreased from ≥ 5.0 cm in 2006⁴ to < 5 cm in 2010², then increased most recently in 2014⁵
219 and 2016³ to ≥ 5.5 cm which now matches guidelines for TAV-aTAA of ≥ 5.5 cm unless family history of
220 dissection or growth rate ≥ 0.5 mm/year is present. However, none of these guidelines reflect level A
221 evidence, suggesting better clinical and biomechanical evidence is required than size alone for BAV vs
222 TAV treatment options.

223 AATA size with addition of growth rate and symptoms has served as the basis for timing of
224 elective surgical aTAA repair to avoid the risks of dissection/rupture. However, we and IRAD have
225 shown acute type A dissection with aortic sizes smaller than the recommended guidelines^{10,19}. A
226 biomechanical study also demonstrated that maximum aortic diameter failed to predict rupture/dissection
227 especially for small sized aTAAs²⁰. BAV patients were shown to be more subject to dissection at smaller
228 size compared to TAV-aTAA patients¹⁹, while other studies suggested very low incidence of BAV-aTAA
229 dissection^{1,21}. While current criteria for BAV-aTAA include size ≥ 5.5 cm, high-volume aortic centers
230 recommended early ascending aortic replacement²² to reduce the risk of preventable type A dissection for
231 aTAA > 5.0 cm. Given the challenges of using size criteria for surgical aTAA repair and conflicting data
232 regarding risks of dissection with BAV vs TAV phenotype, wall stress can provide patient-specific
233 information regarding risk of dissection and can potentially optimize timing of operative intervention.

234 In this study, we demonstrated greater peak wall stresses in BAV-aTAA circumferentially than
235 TAV-aTAA in systole. There were no significant differences in longitudinal stresses between BAV and
236 TAV-aTAA patients in systole. However, when we examined the STJ, one subregion for intimal tears in
237 type A dissection, there were significantly greater wall stresses in BAV vs TAV-aTAA patients in both
238 circumferential and longitudinal directions. These data suggest that BAV may be at more risk of
239 dissection than TAV-aTAA in that region. We also found that neither circumferential nor longitudinal
240 peak wall stresses correlated with BAV-aTAA maximum diameter. Taken together, these results suggest
241 that diameter may not be a good criterion for evaluation of dissection risk for BAV-aTAAs and that
242 patient-specific wall stresses may improve risk stratification. Similarly, while STJ circumferential and
243 longitudinal peak wall stresses showed better correlation with maximum aTAA diameter for TAV-aTAA
244 than BAV-aTAA, overall correlation of wall stress and diameter was still weak. As such wall stress can
245 be considered an independent factor for dissection than aTAA diameter. Our results also showed that
246 BAV-aTAA of smaller size can have proportionally larger wall stress, suggesting an increased dissection
247 risk when using traditional size criteria. On the other hand, wall stress did not increase with increased
248 diameter for BAV-aTAA patients. Overall, our results suggest the need for patient-specific evaluation of
249 dissection risk based upon wall stress. Wall stress is a patient-specific factor driven primarily by patient-
250 specific geometry. Notably, we found the location of greatest wall stress was not found in the plane of
251 maximum aortic diameter. Greatest wall stress occurred by large deformation of a specific area. Thus,
252 our results emphasized the importance of patient-specific wall stress determination to independently
253 evaluate the risk of type A dissection for BAV and TAV-aTAA.

254 Compared to previous work on aTAA wall stress, our results have some similarity to those from
255 Nathan's group²³. They showed mean 99th-percentile von Mises wall stress in BAV was greater than in
256 TAV group (540kPa vs 500kPa) although without statistical significance which contrasts with our results.
257 They examined von Mises stress while we studied circumferential vs longitudinal stress. They did not
258 take into account pre-stress geometry which we did. Our results showed von Mises stress of 555kPa for
259 BAV-aTAAs and 450kPa for TAV-aTAAs with larger aTAA diameters in our study cohort than in their

260 study (5.05cm vs 4.0cm for BAV, respectively and 5.25 vs 4.1cm for TAV, respectively). Another
261 simulation study of wall stress analysis²⁴ showed similar overall peak systolic wall stresses for BAV and
262 TAV-aTAA (average maximum systolic stress 484kPa vs 471kPa, respectively) for average aTAA
263 maximum diameter of 5.1cm for BAV and 5.0cm for TAV. In that study, they found that aortic size
264 index was suitable for identifying the lowest risk patients for rupture, but unsuitable for distinguishing
265 patients at moderate vs. high risk. They suggested that BAV-aTAA carried higher dissection risk than
266 TAV-aTAA despite similar rupture pressures. Our study had similar mean aTAA diameters for BAV and
267 larger diameters for TAV-aTAA than theirs as well as greater wall stresses based upon our patient-
268 specific geometries. We also highlighted that wall stresses in BAV-aTAA could be significantly greater
269 in smaller BAV-aTAA concerning for increased risk of rupture not captured by current guidelines.

270 ***Dissection and wall strength***

271 Aortic dissection reflects mechanical failure of the aortic wall which no longer remains intact at
272 physiologic blood pressure to contain the body's blood circulation. Aortic dissection occurs when aortic
273 wall stress exceeds wall strength of the intima layer. Previous work⁶ demonstrated greater aTAA wall
274 strength along the circumferential compared to longitudinal direction. These results suggest that the
275 initial failure and intimal tear would begin transversely and propagate along the circumferential spiral¹⁰.
276 Transverse tears often occur in acute type A dissection where the initial tear is situated within the first few
277 centimeters of ascending aorta²⁵. When we analyzed the STJ subregion, peak stress along longitudinal
278 direction was greater than that for overall ascending aorta for both BAV (405KPa vs 280KPa,
279 respectively) and TAV (329KPa vs 242KPa, respectively), supporting that location for initiating tears.
280 Lower STJ wall stress was seen along greater than lesser curvature for BAV-aTAA in the circumferential
281 direction. However, there was a trend toward higher stress in the STJ greater curvature in BAV than
282 TAV-aTAA along the longitudinal direction, which requires larger patient population for further study²⁵.

283 If patient-specific peak wall stresses remain far below mean tensile strength at physiologic and
284 hypertensive blood pressures, then the risk of dissection should remain low and the aTAA not likely to
285 rupture. Given recent data on failure strength of both BAV- and TAV-aTAAs, patient-specific wall stress

286 analyses can assist clinically in determining timing for elective surgical aTAA repair to prevent risk of
287 dissection, by examining <5.5cm aTAA with peak stresses of concern that approach the tensile strength.

288 Conflicting data has been reported regarding BAV vs TAV wall strength. Gleason et al.²⁶ showed
289 greater wall tensile strength of BAV vs TAV-aTAA in both circumferential and longitudinal directions⁷
290 despite uniform collagen distribution in both. Gasser et al.⁷ showed that BAV-aTAA wall strength was
291 two times greater than TAV-aTAA with identical collagen orientation. BAV had greater collagen
292 stiffness but equivalent elastin stiffness as TAV-aTAA to account for the overall greater wall strength. In
293 contrast, Sun et al.²⁷ demonstrated that failure mechanics between BAV and TAV-aTAA were equivalent,
294 BAV was stiffer than TAV-aTAA, had less elastin, and was thinner. Histologically, studies²⁸ have
295 demonstrated accumulation of mucoid material, elastin fragmentation, and change of smooth muscle cell
296 orientation in BAV-aTAA compared with TAV-aTAA. Highly aligned elastin and collagen fibers and
297 reduced immature collagen were observed in BAV-aTAA compared to TAV-aTAA²⁶. Clearly, additional
298 work in the field of strength mechanics between BAV and TAV-aTAA will be required; however, to date,
299 none have suggested weaker BAV compared to TAV-aTAA wall strength. As such, patient-specific wall
300 stress plays an important role in distinguishing risk of dissection for BAV vs TAV-aTAAs.

301 *Influence of Wall Shear Stress*

302 Wall stress by FEA in this study represents the stress due to blood pressure on aTAA wall. Wall
303 shear stress by blood flow, on the other hand, is orders of magnitude smaller than wall stress²⁹ and
304 represents the stress seen by endothelial cells of intimal layer based upon blood flow. One postulate for
305 BAV-aTAA formation is hydrodynamic, based on abnormal flow pattern through BAV leading to helical
306 flow patterns and BAV-aTAA eccentric morphology^{29,30}. Wall shear stress from abnormal blood flow
307 was hypothesized to predispose to aneurysm development, while hemodynamics and wall stress acted
308 synergistically to initiate the intimal defect by inducing disruption of aortic wall layers whose
309 biomechanical differences could magnify those effects.

310 *Study Limitations*

311 One study limitation was inability to use patient-specific material properties, which may
312 potentially influence results. Determination of *in vivo* patient-specific material properties requires
313 measurement of *in vivo* aortic wall motion with costly and time consuming magnetic resonance imaging
314 with cine displacement encoded imaging with stimulated echoes³¹ (DENSE) and was therefore outside the
315 scope of this study. However, we did use separate material properties for calculating *in vivo* stress for
316 BAV and TAV-aTAA, respectively, which were obtained from mechanical stretch testing to determine
317 averaged material properties for BAV and TAV-aTAAs, respectively¹⁶. Our group is presently
318 quantifying differences in calculated stresses with use of averaged versus patient-specific material
319 properties in small subset of surgical aneurysm patients to determine the impact of material properties on
320 wall stresses. Another limitation was that aTAA regions were assumed to be homogeneous for each
321 patient. However, there is again conflicting evidence regarding the differences in wall thickness between
322 BAV and TAV-aTAA, with one study which showed BAV-aTAA was thinner³², while another study
323 showed BAV-aTAA had equivalent thickness as TAV-aTAA. Further information of localized thickness
324 with advances in imaging technique would improve the risk evaluation for dissection. Boundary
325 conditions were fixed for rigid body motion with rotational freedom of the aortic annulus proximally and
326 descending thoracic aorta distally. Anatomically, the ligamentum arteriosum provides restraint which can
327 impact stress results and has particular impact in entry tears for type B aortic dissection. In this study we
328 did not determine the insertion point of the ligamentum but did include the descending thoracic aorta with
329 a fixed distal end to minimize errors from applying boundary conditions too proximally in the arch. Our
330 model did not include the left ventricle and thus fixation at the annulus was the most appropriate
331 boundary condition for the current model. Additional factors not modeled that may impact wall stress
332 analysis included passive support from structures in the mediastinum such as the pulmonary artery and
333 were beyond the scope of the present work. Lastly, heterogeneity in stenosis vs regurgitation among our
334 BAV vs TAV population can impact wall shear stresses between the two groups. However, wall shear
335 stress is orders of magnitude less than wall stress based upon blood pressure²⁹. Wall shear stress caused
336 by blood flow along the intima and affecting endothelial cell lining was beyond the scope of current study

337 but may help understanding of growth and remodeling of BAV-aTAA based on flow eccentricities in the
338 future. Our study population was limited to males due to the veteran population and requires further
339 study in women. Future studies will be performed which examine the influence of valve disease, stenosis
340 vs regurgitation, in combination with valve phenotype, BAV vs TAV, and size on wall stress in aTAAs
341 but will require a much larger study population for statistical analyses.

342 **Conclusions**

343 We determined patient-specific wall stresses on aTAA patients with bicuspid aortic valve vs.
344 tricuspid aortic valve. Correlation between peak wall stress and aneurysm diameter was found to be very
345 weak especially for BAV-aTAAs, thus highlighting the need for patient-specific aneurysm wall stress
346 analysis to evaluate aortic dissection risk and optimize timing of operative intervention.

347

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	BAV (n =17)		TAV (n=19)		P
Aneurysm diameter (cm)	5.08 (4.90-5.30)		5.00 (4.84-5.70)		0.515
Age	64 (61-67)		68 (65-75)		0.133
	no.	%	no.	%	
Aortic stenosis					
None	5	29.4	14	73.7	0.392
Mild	2	11.8	2	10.5	
Moderate	1	5.9	0	0	
Severe	9	52.9	3	15.8	
Aortic insufficiency					
None	6	35.3	5	26.3	0.392
Mild	8	47.1	9	47.4	
Moderate	3	17.7	2	10.5	
Severe	0	0	3	15.8	

352 **Age and diameter are presented as median (25%-75% IQR).**

353 **Table 1. Clinical data of BAV vs. TAV aTAA patients.**

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Material parameters	$k1$	$k2$	Fiber angle (rad)
Bicuspid	66.73	17.16	0.60
Tricuspid	84.70	9.85	0.78

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357 **Table 2. Material Parameters of BAV and TAV aTAAs.**

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STJ	BAV	TAV	p value
Circumferential - Greater Curvature	545(496-612)	432(378-568)	0.038
Circumferential - Lesser Curvature	739(600-863)	521(450-662)	0.008
p value	0.005	0.085	
STJ	BAV	TAV	p value
Longitudinal - Greater Curvature	405(249-489)	299(229-368)	0.076
Longitudinal - Lesser Curvature	275(247-331)	264(217-331)	0.490
p value	0.068	0.358	

369 **Stress values are presented as median (IQR 25%-75%).**

370 **Table 3. Comparison of Wall Stress in Greater and Lesser Curvature Regions of STJ.**

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373 **Figure Legends**

374 Figure 1a. Representative mesh for FE simulation of aTAA. 1b. Sketch of fiber angle dispersion with
375 respect to circumferential direction.

376 Figure 2a. Typical wall stress distribution on BAV (a-d) and TAV-aTAA (f-i) along longitudinal
377 direction. 2b. Typical wall stress distribution on BAV (a-d) and TAV-aTAA (f-i) along circumferential
378 direction.

379 Figure 3a. Relationship between 99-percentile circumferential stress and aTAA maximum diameter for
380 BAV (circles) and TAV (squares). 3b. Relationship between 99-percentile longitudinal stress and aTAA
381 maximum diameter. Correlation between stress and diameter with r is shown as dashed line for BAV and
382 dotted line for TAV.

383 Figure 4. Peak wall stress and median values in a) ascending aorta and b) STJ of BAV vs. TAV-aTAA at
384 systolic pressure with median values in BAV- (solid line) vs. TAV-aTAA (dashed line) in systole.

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386 Video 1. Longitudinal stress of BAV-aTAA with systemic pressure loading.

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