UCLA

UCLA Previously Published Works

Title

KAT6A Syndrome: genotype-phenotype correlation in 76 patients with pathogenic KAT6A variants

Permalink

https://escholarship.org/uc/item/79s761gb

Journal

Genetics in Medicine, 21(4)

ISSN

1098-3600

Authors

Kennedy, Joanna Goudie, David Blair, Edward et al.

Publication Date

2019-04-01

DOI

10.1038/s41436-018-0259-2

Peer reviewed



Published in final edited form as:

Genet Med. 2019 April; 21(4): 850-860. doi:10.1038/s41436-018-0259-2.

KAT6A Syndrome: Genotype-phenotype correlation in 76 patients with pathogenic KAT6A variants

A full list of authors and affiliations appears at the end of the article.

Abstract

Purpose: Mutations in *KAT6A* have recently been identified as a cause of syndromic developmental delay. Within 2 years, the number of patients identified with pathogenic *KAT6A* mutations has rapidly expanded and the full extent and variability of the clinical phenotype has not been reported.

Methods: We obtained data for patients with *KAT6A* mutations through three sources: treating clinicians, an online family survey distributed through social media and a literature review.

Results: We identified 52 unreported cases, bringing the total number of published cases to 76. Our results expand the genotypic spectrum of pathogenic mutations to include missense and splicing mutations. We functionally validated a pathogenic splice site mutation and identified a likely hot-spot location for *de novo* missense mutations. The majority of clinical features in KAT6A syndrome have highly variable penetrance. For core features such as intellectual disability, speech delay, microcephaly, cardiac anomalies and gastrointestinal complications, genotype-phenotype correlations show that late-truncating mutations (exons 16-17) aare significantly more prevalent. We highlight novel associations, including an increased risk of gastrointestinal obstruction.

Conclusion: Our data expands the genotypic and phenotypic spectrum for individuals with genetic mutations in *KAT6A* and we outline appropriate clinical management.

Keywords

Syndromic Developmental Delay; histone acetylation; genotype-phenotype association; KAT6A; chromatin; genetic diagnosis; phenotypic spectrum; *KAT6A* syndrome, chromatin modifiers, intellectual disability

Introduction

Lysine (K) Acetyltransferase 6A (KAT6A, a.k.a. MOZ, MYST3) belongs to the MYST family of histone acetyl-transferases that are defined by the presence of a highly conserved MYST domain consisting of acetyl-CoA binding motif and a zinc finger¹. The MYST family of proteins (KAT6A, KAT6B, KAT5 and KAT7) take part in a wide range of core

Co-Corresponding Authors: Dr. Valerie A. Arboleda, MD PhD, Assistant Professor, Department of Pathology and Laboratory Medicine, David Geffen School of Medicine at UCLA, 615 Charles E. Young Drive, Los Angeles, CA 90095, Phone: 310-267-5296, Fax: 818-590-6702, and, Prof. Ruth Newbury-Ecob, MD, Clinical Genetics Department, St Michael's Hospital, Southwell Street, Bristol, BS28EG, Ruth.Newbury-Ecob@UHBristol.nhs.uk.

These authors contributed equally to this work

cellular functions, such as chromatin remodelling, gene regulation, protein translation, metabolism and cellular replication². Use of exome sequencing in patients with syndromic intellectual disability has revealed causative mutations in several genes that function as parts of chromatin remodelling complexes^{3–6}.

De novo, rare and protein-truncating genomic variants in a number of genes have been associated with cases of intellectual disability with speech delay^{7,8}. Genes involved in highly penetrant and syndromic developmental delay have high PLI scores⁹, a metric indicating that these same genes are not found to have predicted protein-truncating variants in a control population. Protein truncating mutations in two of the four MYST family genes, paralogs KAT6A (OMIM #6162680) and KAT6B (OMIM #606170 and #603736) have been associated with syndromic developmental delay. The phenotypic heterogeneity of pathogenic mutations in both genes is striking. De novo truncating mutations in KAT6B cause a spectrum of disorders, including Genitopatellar syndrome (MIM #606170), Ohdo syndrome (Say-Barber-Biesecker-Young-Simpson SBBYS variant MIM #603736) and a Noonan syndrome-like disorder^{10–12}. Reported cases of KAT6A syndrome have been identified primarily through clinical or research exome sequencing in a gene-centric approach. A few cases have been identified through consortia exploring broad clinical phenotypes such as neutropenia¹³.

KAT6A and KAT6B each function in a multi-subunit complex with three other proteins: BRPF1/2/3, ING5 and hEAF6¹⁴. These proteins form a complex to acetylate lysine residues on histone H3 tails, thereby promoting a wide range of developmental programs. The importance of the KAT6A/B complex has been further highlighted by recently identified pathogenic mutations in the binding partner, *BRPF1*^{15,16} resulting in clinical features which overlap with syndromes caused by mutations in *KAT6A* and *KAT6B*.

The use of model organisms to investigate *KAT6A* function has provided insight into the role of *KAT6A in vivo*. Complete knockout mouse models result in embryonic lethality, due to a failure of hematopoiesis. A knock-in mutation that eliminates the KAT6A's acetyltransferase function results in decreased life span, decreased body weight and proliferation defects¹⁷. Tissue- or cell-specific knockout have shown that KAT6A regulates transcriptional programs important for skeletogenesis, hematopoeisis, and splenic and thymic function^{17–19}. Further studies demonstrated that KAT6A-mediated acetylation promotes memory B-cell formation and the CD8 T-cell response to viral infection^{20,21}. Transcriptomic profiles of human fibroblast cell lines derived from patients harbouring heterozygous *KAT6A* truncating mutations demonstrated altered expression of p53-associated genes⁴.

To date, 7 papers^{5,6,13,22–26} describe 24 patients with pathogenic mutations in *KAT6A*. In this paper, we add 52 novel and comprehensively phenotyped cases and review all previously published cases.

Methods

Research Cohort

Phenotypic information from patients with likely causative *KAT6A* mutations was obtained by three methods: through clinical geneticists, through an online survey to families, and through literature review. The cohorts were independently identified and therefore some individuals were identified through two methods. In these cases data was combined. All patients/families included in the study provided consent through the treating clinician or through the IRB approved patient/family survey.

In the first cohort (N=33), information was obtained from the primary clinical geneticist using a targeted phenotypic questionnaire designed to identify a spectrum of clinical phenotypes in patients with convincing *de novo* mutations in *KAT6A*. In this cohort some patients were identified through the Deciphering Developmental Disorders (DDD) Study and the DDD Complementary Analysis Project allowed us to access initial phenotypic data⁷. Additional patients in Australia, Holland, Japan, Finland, Norway and the United States were identified through communication of the lead authors with treating physicians and *KAT6A* variants were identified through clinical or research exome sequencing.

A second cohort (N=43) was recruited through social media and patient advocacy groups. This was performed independently. We collected information allowing for us to match cases. A parent or family member was asked to complete an online survey spanning birth history, developmental milestones, current treatments, and associated medical conditions. Detailed information about the clinically identified genetic change in *KAT6A* gene was also collected.

A third cohort (N=24) was identified from the published medical literature. Approximately 40% of families where the proband case was previously published provided updated information via online survey.

Splice site mutation analysis

RNA was extracted from peripheral blood samples and underwent reverse transcription using a High Capacity cDNA RT kit (Thermo Fisher Scientific). PCR amplification was performed using custom designed primers (see supplemental information for additional details) and Sanger sequencing was performed using ABI3730 DNA sequencer (Applied Biosystems).

Statistical Analysis:

To assess significant differences between our early- and late-truncating mutation cohorts, we performed a two-tailed fisher-exact Test²⁷ to determine if the differences within groups were significant.

Results

Our study comprised a total of 75 patients with pathogenic or likely pathogenic variants in the gene *KAT6A* and 1 patient with a variant of unknown significance (VUS). Of these, 70%

(52/76) are novel cases that have not been previously reported in the literature. Their ages range from 1 to 32-years of age and the cohort is 49% female and 51% male.

Genotype analysis

Within the 52 novel cases, we identified 44 novel genetic variants, of which 88% (39/44) are predicted to result in a truncating frameshift or nonsense variant. Some locations have recurrent truncating changes, primarily located in the acidic domain, which is rich in arginine residues (amino acid positions 1019, 1024 and 1129).

Five novel mutations are missense changes at highly conserved residues (Supplemental Figure 2). Four of the five missense changes have been classified as likely pathogenic because they are *de novo*, rare⁹, match the clinical phenotype and are predicted to be deleterious based on *in silico* algorithms^{28–30}. The remaining missense change, p.S371Y is classified as a VUS as the patient fulfilled the criteria above except the variant was ultimately found to be maternally inherited from an unaffected parent. Although the missense Z-score⁹ for KAT6A is non-significant at Z = 2.14, this score represents a depletion across the entire gene and does not account for regional variation. In our missense cases, three of the individuals have *de novo* missense changes within a highly conserved region and known to bind to RUNX1/2, a gene important in transcriptional transactivation (Figure 1C).

We have identified 4 individuals with predicted splice site changes due to substitutions in canonical splice site of *KAT6A* exons. For patient 17 with mutation at c.1364-2A>T, sequencing of KAT6A cDNA from a patient blood sample demonstrated that the splice variant resulted in an 8bp deletion in exon 8 which is predicted to cause protein truncation (Figure 1D).

Clinical Features of Newly Reported Patients

Developmental Delay and Intellectual Disability (ID)—Intellectual disability and developmental delay are universal. ID varies from mild to severe. One young adult has a driving licence. Hypotonia is common and contributes to motor delay. Often truncal hypotonia was associated with limb hypertonia, this was more notable in the neonatal period. Specific speech and language delay combined with motor delay may lead to an over estimate of ID in the early years. To date no individuals have been reported without ID though this may represent a selection bias as most patients have been identified by sequencing patients with ID.

A correlation is observed between the site of the mutation and the level of ID. In cases in which the level of ID was reported on, 95% of late truncating cases (exon 16 and 17) were rated as moderate or severe, while 60% of early truncating cases (exons 1-15) were rated as mild (Supplementary Figure 3). This pattern was also observed when we considered the age of achieving developmental milestones for affected patients. A previously reported patient with a full gene deletion had a mild ID (20).

Oro-Motor Dyspraxia—Marked expressive speech delay is universal. Many struggle with articulation. Speech delay is often described as a form of verbal dyspraxia. Receptive

language is consistently more developed than expressive language. One patient with mild ID achieved a score typical for receptive language for his age. Use of sign language and communication aids are helpful. Communication difficulties are a source of frustration. Despite significant delays many children do make progress. For example, a patient who spoke 4 words at the age of 4 now speaks fluently as an adult. However, there are individuals with KAT6A syndrome that remain non-verbal into adulthood.

Feeding difficulties are commonly associated with verbal dyspraxia due to oro-motor dysfunction. 78% of patients experienced feeding difficulties. Many patients had difficulty establishing feeding at birth and nasogastric feeding was often required. In addition, several patients have dysphagia and a few have had recurrent aspirations.

Other Gastro-Intestinal Problems—The high prevalence of reflux and constipation is suggestive of dysfunctional intestinal motility. Reflux is a significant issue, and while some outgrow this a few report persistent vomiting and retching well beyond infancy. Many patients have had a gastrostomy tube for feedings and small number underwent a fundoplication. Constipation is a significant issue for over half of our patients and many are on long term laxatives. In addition, four patients in this study (patients 6, 22, 42 and 46) had bowel obstruction. One required surgery for a duodenal web and malrotation, the second also required surgery for a malrotation, the third had a small bowel obstruction leading to the resection of part of the ileum, and the fourth required three laparotomies for a bowel obstruction, and subsequently experienced GI failure and was transferred to hospice care. This patient also had anal stenosis and gastro-oesophageal nerve impairment. Interestingly malrotation and duodenal rupture has been reported in *KAT6B* related disease³¹ suggesting shared underlying mechanisms.

Cardiac malformations—Cardiac malformations are present in half (51%) of our cohort. Most frequent are septal defects including atrial septal defects (34%), ventricular septal defects in (8%), and persistence of the fetal anatomy (19%) (patent foreman ovale, and persistent ductus arteriosus). At least 45% of patients with cardiac malformations required intervention (open heart surgery or via cardiac catheterization). A minority of our patients are awaiting cardiology review and have not yet had an echocardiogram. The prevalence of cardiac lesions highlights the need for early cardiology assessment.

Hematological and Immunological Associations—While the majority of patients did not report hematological or immunological deficiencies, three individuals report isolated moderate to severe neutropenia^{5,13}. Many patient's parents reported frequent infections, and some were reported to take longer to recover from infections compared to peers. The majority, however, did not report that they experienced frequent infections and those that did tended to report common childhood illnesses including otitis media and upper and lower respiratory tract infections. Many children experience recurrent infections of these types, so this is not necessarily indicative of immunodysfunction.

Some unusual infections have been noted. Patient 7 has recurrent and extensive herpes simplex infections of the face and eyes and patient 13 has had impetigo and a separate staph infection. Patient 2 also has a B-cell and T-cell immunodeficiency, hypogammaglobinaemia

for which she receives regular intravenous immunoglobulins in addition to episodes of suspected perianal streptococcal dermatitis. Patient 20 has hypogammaglobinemia. Patient 11 mounted a low immune response to HibB and pneumococcal vaccine requiring booster vaccines. Patient 1 has unexplained persistent thrombocytopenia. One hypothesis is that KAT6A syndrome may result in a variety of abnormalities of blood cell lines. Alternately, there may be other genetic or environmental factors in in each individual³². Further research is required to define this further. Structural abnormalities can also predispose to infection. Patients 3 and 10 have renal abnormalities and recurrent urinary tract infections.

Facial features—A broad nasal tip, which may become more obvious with age, and a thin, tented upper lip, are the most consistent facial features in patients with *KAT6A* syndrome. Other common facial features include bi-temporal narrowing, prominence of the nasal bridge and a short and flat philtrum. Notable features present in a significant minority are epicanthic folds and low set and posteriorly rotated ears, which are occasionally folded (Figure 2).

Within the mouth a high arched narrow palate was noted in a few patients and teeth abnormalities were common. Abnormal peg-shaped teeth have been reported previously^{4,5} and are also seen in a number of our newly reported patients. Other dental abnormalities reported are small tooth size, supernumery teeth and dental crowding. Cleft palates are not frequently seen (reported in 2 patients).

Skull and Brain Abnormalities—Many patients with KAT6A syndrome have had MRI scans of the brain and major structural anomalies are rare. One patient has been reported with a pituitary malformation and related hormone deficiencies²⁶. No other pituitary stalk abnormalities have been reported. Some mild abnormalities have been reported including a thin corpus callosum or delayed myelination that resolves over time. Other structural anomalies observed in our cohort include a large cisterna magna in patient 1, a variant venous anatomy including anomalous venous sinus that traverses the falx cerebri in patient 26, and hydrocephalus and a Chiari malformation in patient 33.

Craniosynostosis is reported in a total of 6 patients⁵. Initially, microcephaly was reported in 33% of previously published patients^{4–6} and in our larger cohort, only 25% individuals had microcephaly, which was not always present at birth. Seizure activity has only been reported in seven patients and there is no consistency in seizure type (see Supplementary Table 2).

Eye features—Strabismus is reported in 54% of patients. This can be intermittent and is often worse when fatigued. Some patients have been treated with patching or surgery. Strabismus can result in amblyopia and this is more likely to occur if it is unrecognized and untreated. Amblyopia is reported in several patients.

Refractory errors are reported in a minority of patients. Myopia is more commonly reported than hypermetropia. Delayed visual maturation, cortical visual impairment and astigmatism are also occasionally reported as well as isolated cases of photophobia (patient 9), latent horizontal nystagmus (patient 11) and Jeavons epilepsy (patient 47).

Behavioural Issues—An increased frequency of behavioural difficulties is noted in our cohort. Autism and autistic features have been reported in approximately 25% of newly reported cases. Temper tantrums, inappropriate laughing, hand flapping and increased anxiety are also mentioned in multiple patients. The majority of patients in our cohort do not report behavioural difficulties and many are described as being good natured, happy and sociable.

Sleep—Over 30% of patients in this cohort reported sleep disturbance. This included difficulty initiating and maintaining sleep, and five patients reported central obstructive sleep apnea.

Other clinical features and Clinical Guidelines—A summary of all clinical features described is located in Table 1. Supplementary tables 2 and 3 contain further details on unique features seen in a few patients. For example, we found a small number of patients had undescended testes (boys), clinodactyly and/or brachydactyly.

Based on the features described above, we have put together a set of general guidelines for clinicians to help guide the clinical workup for patients with a pathogenic genetic variant in *KAT6A* (Table 2).

Phenotypic Differences between Early and Late Onset Truncating Mutations

We further subdivided the cases between early-truncating mutations (exons 1-15) and late-truncating mutations (exons 16-17) to determine if there was a difference in severity of phenotype or prevalence of specific syndromic features. Across 19 features (table 1) we performed fisher exact test and identified 8 sub-phenotypes that were significantly more common in patients with late-truncating mutations (Figure 3C). These included microcephaly, neonatal hypotonia, feeding difficulties, reflux, constipation, congenital hearing defects, and frequent infections. Our data on the subphenotypes fits with the trend we observed, in which there is more severe global developmental delay and intellectual disability in patients with late-onsert truncating mutations.

Discussion

The mutational spectrum and the wide age range of patients allowed us to perform a comprehensive phenotypic assessment to elucidate the clinical phenotypes in childhood, and in adolescence and adulthood.

The wide phenotypic spectrum in individuals with *KAT6A* variants highlights the continued importance of exome sequencing to identify the genetic etiology for patients with syndromic ID^{3,7}. Patients with *KAT6A* mutations were not phenotypically grouped prior to the advent of clinical exome sequencing as there are no unique *and* unifying features that allowed for easy recognition by physicians. While patients with KAT6A syndrome do share many phenotypic features, many of these are common to a wide range of developmental syndromes. Accurate and detailed reporting of the phenotype is critical for affected families. *KAT6A* is one of the more common causes of undiagnosed syndromic intellectual disability⁷

with some reports suggesting a rate as high as 1% of undiagnosed syndromic developmental delay⁴.

Prior to this report, the majority of mutations were found to be *de novo*, truncating and located in the ultimate and penultimate exons of the gene, which make up over half of the protein. Our analysis identifies hotspot nonsense mutations within the penultimate exons at amino acid positions 1019, 1024, and 1129 that account for 19.1% (13/68) of pathogenic variants in unrelated individuals. Protein truncating variants have been identified throughout the length of the gene, and the number of cases we present in this article allows us to consider the genotype-phenotype correlations. We see a bias of increased severity of developmental delay and an increased frequency of microcephaly, hypotonia, cardiac anomalies, and gastrointestinal complications associated with truncating mutations in the last two exons. This suggests a potential role for nonsense mediated decay (NMD), where truncating mutations in the first 15 exons trigger NMD mechanisms and result in haploinsufficiency while mutations in exons 16 and 17 would not result in NMD, therefore the mRNA would result in a translated but dysfunctional protein that may have gain-of function or dominant negative effects.

It should be noted that assessment of intellectual disability and developmental delay was based on clinicians rating the level of the patient's intellectual disability as mild, moderate or severe rather than from formal IQ testing. However, it is unlikely that this resulted in significant bias as this effect was not predicted before collecting the patient data. A large fraction of patients with KAT6A syndrome have had some form of biochemical and metabolic testing as a part of the clinical genetic work-up. None of these cases demonstrated a clear and consistent metabolic dysfunction, by standard clinical biochemical genetic testing.

The role of nonsense mediated decay allowing for differing mechanisms of mutations within the same gene has been observed in mutations of related gene KAT6B, which results in two distinct syndromes SBBYS and Genitopatellar Syndrome10,11. It has been postulated that differential truncating mutations in exon 18 may have a gain-of-function or even dominant negative effects10. The molecular effects of different mutation localization remain to be validated in careful functional studies.

The lack of distinctive clinical features makes the attribution of KAT6A syndrome to missense variants in *KAT6A* particularly challenging. In our study, we have 6 patients with missense variants (including one previously reported case), 5 of which are *de novo* and one maternally inherited. While all of these variants fall into highly conserved regions of the protein (Supplemental Figure 2) further functional studies need to be performed to definitively confirm pathogenicity. Although our sample size is small, patients with missense variants have not, to date, shown a cardiac phenotype. We are aware that there are many more individuals with *de novo* missense mutations reported through the DDD study, for which the pathogenicity is unclear. Some of these individuals have multiple potentially pathogenic mutations further increasing the difficulty of assigning pathogenicity. Additionally, it is becoming increasingly evident that multiple variants may contribute to the

phenotype, however it remains difficult to assess the relative contribution and interaction of multiple variants within an individual ^{32,33}.

We note significant clinical variability in our cohort This is not surprising as KAT6A functions as an epigenetic modifier, so its molecular effects are more nuanced and influenced by both background genetic variation and the environment. Whilst we have a fairly large cohort, it may not be large enough to confidently identify rare associations. For instance, we cannot yet be certain about the link with KAT6A syndrome and immunodeficiency or pituitary anomalies. When individuals with pathogenic *KAT6A* mutations are found to have unusual features they should be further assessed for either a second mutation³², or non-genetic cause, in addition to considering the possibility that the *KAT6A* mutation is responsible.

An interesting aspect of our study is that we collected data for many of our patients through an online family survey. The family questionnaire was received warmly and many families responded in a short timeframe. It proved to be a useful, relatively low resource, and efficient way to collect data. It allowed us to collect updated information for patients who had been previously reported in the literature. It should be noted however that although many individuals started the survey, only 60% completed the survey and were included in our study. It is not surprising that the patient families are heavily invested in their care. Many maintained a deep working knowledge of their child's medical condition. Collecting information from the family relies on the engagement of the families and a basic understanding of medical terminology, therefore consulting with family members to ensure accessibility of survey questions is critical to designing a successful survey. The family survey and the clinician questionnaire had to be designed with different wording to take into account the different baseline level of medical knowledge of the two groups. In the family survey, parents were asked to select keywords that the child was noted to have by a physician (e.g. wide spaced nipples, small jaw, small head, abnormal teeth, etc). Collecting information from family members about dysmorphic facial features can be offensive and family members are not trained to assess this. We therefore also requested families to share photos so that this could be assessed by trained dysmorphologists. We were able to obtain a photo from 9 of the 15 survey-only individuals. Other studies have found family questionnaires an effective way of investigating disease phenotypes³⁴. As the number of genetic syndromes increases, utilization of well-designed clinical surveys can provide invaluable data for clinical definition and for identifying a baseline by which future therapies can be measured.

Our report is the most comprehensive phenotypic evaluation of patients with mutations in *KAT6A*. We have provided significant insight into the range of phenotypic expressivity observed in patients with KAT6A syndrome. Further functional studies assessing the functional role genetic mutations are required to understand the effect of various missense mutations and how early and late truncating mutations ultimately affect downstream molecular processes.

Supplementary Material

Refer to Web version on PubMed Central for supplementary material.

Authors

Joanna Kennedy, MBBS^{1,2}, David Goudie, MbChB³, Edward Blair, MBChB^{4,5}, Kate Chandler, MD⁶, Shelagh Joss, MBChB, MEd⁷, Victoria McKay, MBBS⁸, Andrew Green, PhD^{9,10}, Ruth Armstrong, MSc¹¹, Melissa Lees, MD¹², Benjamin Kamien, MBBS¹³, Bruce Hopper, BSc¹³, Tiong Yang Tan, MBBS PhD^{14,15}, Patrick Yap, MBChB, MSc^{14,16}, Zornitza Stark, BMBCh DM¹⁴, Nobuhiko Okamoto, MD^{17,18}, Noriko Miyake, MD, PhD¹⁹, Naomichi Matsumoto, MD, PhD¹⁹, Ellen Macnamara, ScM, CGC²⁰, Jennifer L. Murphy, RN²⁰, Elizabeth McCormick, MS²¹, Hakon Hakonarson, MD, PhD²², Marni J. Falk, MD²¹, Dong Li, PhD²², Patrick Blackburn, PhD²³, Eric Klee, PhD^{23,24}, Dusica Babovic-Vuksanovic, MD^{23,24}, Susan Schelley, MPH²⁵, Louanne Hudgins, MD²⁵, Sarina Kant, MD, PhD²⁶, Bertrand Isidor, MD, PhD²⁷, Benjamin Cogne, MD, PhD²⁷, Kimberley Bradbury, MRCPCH²⁸, Mark Williams, RMIT, FHGSA²⁹, Chirag Patel, MBBS, MD³⁰, Helen Heussler, MBBS, DM³¹, Celia Duff-Farrier, PhD³², Phillis Lakeman, MD PhD³³, Ingrid Scurr, MBBS¹, Usha Kini, MD^{4,5}, Mariet Elting, MD PhD³⁴, Margot Reijnders, MD³⁵, Janneke Schuurs-Hoeijmakers, MD, PhD³⁵, Mohamed Wafik, MD^{4,5}, Anne Blomhoff, MD³⁶, Claudia A.L. Ruivenkamp, PhD³⁷, Esther Nibbeling, PhD³⁸, Alexander J.M. Dingemans, MD³⁵, Emilie D. Douine, MS³⁹, Stanley F. Nelson, MD^{39,40}, The DDD Study⁴¹, Valerie A. Arboleda, MD PhD*,39,40, and Ruth Newbury-Ecob, MD*,1,2

Affiliations

¹ Clinical Genetics, University Hospitals Bristol, Southwell St, Bristol, UK ² University of Bristol, UK 3. Clinical Genetics, Ninewells Hospital & Medical School, Dundee, UK Oxford Centre for Genomic Medicine, Oxford University Hospitals NHS Foundation Trust ⁵. Department of Clinical Genetics, Churchill Hospital, Old Road, Headington, Oxford, UK ⁶.Manchester Centre for Genomic Medicine, St. Mary's Hospital, Central Manchester Foundation NHS Trust, Manchester Academic Health Science Centre (MAHSC), Manchester, UK 7-West of Scotland Genetics Service, Queen Elizabeth University Hospital, Glasgow, UK 8. Cheshire & Merseyside Regional Genetics Service, Liverpool Women's NHS Foundation Trust, Crown Street, Liverpool, UK ⁹ Department of Clinical Genetics, Our Lady's Children's Hospital, Crumlin, Dublin, Ireland ^{10.}School of Medicine and Medical Science, University College Dublin, Ireland ¹¹.East Anglian Medical Genetics Service, Addenbrooke's Hospital, Cambridge, UK 12 Clinical Genetics, Great Ormond Street Hospital NHS Trust, London, UK ¹³. Hunter Genetics, Newcastle, Australia ¹⁴. Victorian Clinical Genetics Services, Murdoch Children's Research Institute, Melbourne, Australia ¹⁵. Department of Paediatrics, University of Melbourne, Melbourne, Australia ¹⁶.Genetic Health Service New Zealand, Auckland, New Zealand ¹⁷.Department of Medical Genetics, Osaka Medical Center, Japan 18. Research Institute for Maternal and Child Health, Osaka Medical Center, Japan 19. Department of Human Genetics, Yokohama City University Graduate School of Medicine, Yokohama, Japan

²⁰.National Human Genome Research Institute, NIH, USA ²¹.Division of Human Genetics, The Children's Hospital of Philadelphia, Philadelphia, PA, USA 22. Center for Applied Genomics, The Children's Hospital of Philadelphia, PA, USA 23. Center for Individualized Medicine, Mayo Clinic, Rochester, Minnesota, USA ²⁴.Department of Clinical Genomics, Mayo Clinic, Rochester, Minnesota, USA 25. Division of Medical Genetics, Department of Pediatrics, Stanford University, Stanford, CA 94305 ²⁶ Department of Clinical Genetics, Leiden University Medical Center, The Netherlands ²⁷·Service de Génétique Médicale, CHU Nantes, Nantes, France ²⁸ Clinical Genetics Guys and St Thomas' NHS Foundation Trust, Guys Hospital ²⁹ Molecular Diagnostics, Mater Group, South Brisbane, Queensland, Australia ³⁰.Genetic Health Queensland, Herston, Brisbane, Queensland, Australia ³¹.Child Development Service, Lady Cilento Children's Hospital, Brisbane, Queensland, Australia 32. Bristol Genetics Laboratory, Southmead Hospital, Bristol, UK 33. Academic Medical Center, Department of Clinical Genetics, Amsterdam, The Netherlands 34. Klinisch Geneticus, VU Medisch centrum, Amsterdam, The Netherlands ³⁵.Radboud University Medical Center, Department of Human Genetics, Nijmegen, The Netherlands ³⁶. Department of Medical Genetics, Oslo University Hospital, Oslo, Norway 37-Klinische Genetica, Leiden University, The Netherlands ³⁸ Department of Genetics, University of Groningen, The Netherlands 39. Department of Human Genetics, David Geffen School of Medicine, UCLA, USA ⁴⁰. Department of Pathology and Laboratory Medicine, David Geffen School of Medicine, UCLA, USA 41. Wellcome Sanger Institute, Hinxton, Cambridge, UK

Acknowledgements:

The authors would like to acknowledge the KAT6A foundation (http://www.kat6a.org/) and all the patients and their families who completed the survey and consented to this study. We would also like to acknowledge the DDD study. The DDD study presents independent research commissioned by the Health Innovation Challenge Fund [grant number HICF-1009-003], a parallel funding partnership between the Wellcome Trust and the Department of Health, and the Wellcome Trust Sanger Institute [grant numberWT098051]. The views expressed in this publication are those of the author(s) and not necessarily those of the Wellcome Trust or the Department of Health. The study has UK Research Ethics Committee approval (10/H0305/83, granted by the Cambridge South REC, and GEN/284/12 granted by the Republic of Ireland REC). The research team acknowledges the support of the National Institute for Health Research, through the Comprehensive Clinical Research Network. This study makes use of DECIPHER (http://decipher.sanger.ac.uk), which is funded by the Wellcome Trust.

Joanna Kennedy held an NIHR Academic Clinical Fellowship post during this course of this study. This work was also supported by an NIH Early Independence Award to Valerie Arboleda (DP5OD024579), and private funds through the Chloe KAT6A Foundation.

References

- 1. Avvakumov N, Cote J. The MYST family of histone acetyltransferases and their intimate links to cancer. Oncogene. 2007;26(37):5395–5407. [PubMed: 17694081]
- Voss AK, Collin C, Dixon MP, Thomas T. Moz and retinoic acid coordinately regulate H3K9 acetylation, Hox gene expression, and segment identity. Dev Cell. 2009;17(5):674–686. [PubMed: 19922872]
- 3. Lee H, Deignan JL, Dorrani N, Strom SP, Kantarci S, Quintero-Rivera F, Das K, Toy T, Harry B, Yourshaw M, Fox M, Fogel BL, Martinez-Agosto JA, Wong DA, Chang VY, Shieh PB, Palmer CGS, Dipple KM, Grody WW, Vilain E, Nelson SF. Clinical Exome Sequencing for Genetic

- Identification of Rare Mendelian Disorders. Journal of American Medical Association 2014(in press).
- 4. Arboleda VA, Lee H, Dorrani N, et al. De novo nonsense mutations in KAT6A, a lysine acetyltransferase gene, cause a syndrome including microcephaly and global developmental delay. Am J Hum Genet. 2015;96(3):498–506. [PubMed: 25728775]
- Tham E, Lindstrand A, Santani A, et al. Dominant mutations in KAT6A cause intellectual disability with recognizable syndromic features. Am J Hum Genet. 2015;96(3):507–513. [PubMed: 25728777]
- Millan F, Cho MT, Retterer K, et al. Whole exome sequencing reveals de novo pathogenic variants in KAT6A as a cause of a neurodevelopmental disorder. Am J Med Genet A. 2016;170(7):1791– 1798. [PubMed: 27133397]
- 7. Wright CF, Fitzgerald TW, Jones WD, et al. Genetic diagnosis of developmental disorders in the DDD study: a scalable analysis of genome-wide research data. Lancet. 2015;385(9975):1305–1314. [PubMed: 25529582]
- 8. Deciphering Developmental Disorders S. Prevalence and architecture of de novo mutations in developmental disorders. Nature. 2017;542(7642):433–438. [PubMed: 28135719]
- Lek M, Karczewski KJ, Minikel EV, et al. Analysis of protein-coding genetic variation in 60,706 humans. Nature. 2016;536(7616):285–291. [PubMed: 27535533]
- Campeau PM, Lu JT, Dawson BC, et al. The KAT6B-related disorders genitopatellar syndrome and Ohdo/SBBYS syndrome have distinct clinical features reflecting distinct molecular mechanisms. Human mutation. 2012;33(11):1520–1525. [PubMed: 22715153]
- 11. Clayton-Smith J, O'Sullivan J, Daly S, et al. Whole-exome-sequencing identifies mutations in histone acetyltransferase gene KAT6B in individuals with the Say-Barber-Biesecker variant of Ohdo syndrome. Am J Hum Genet. 2011;89(5):675–681. [PubMed: 22077973]
- Szakszon K, Salpietro C, Kakar N, et al. De novo mutations of the gene encoding the histone acetyltransferase KAT6B in two patients with Say-Barber/Biesecker/Young-Simpson syndrome. Am J Med Genet A. 2013;161A(4):884–888. [PubMed: 23436491]
- 13. Gauthier-Vasserot A, Thauvin-Robinet C, Bruel AL, et al. Application of whole-exome sequencing to unravel the molecular basis of undiagnosed syndromic congenital neutropenia with intellectual disability. Am J Med Genet A. 2017;173(1):62–71. [PubMed: 27615324]
- 14. Yang XJ. MOZ and MORF acetyltransferases: Molecular interaction, animal development and human disease. Biochim Biophys Acta. 2015;1853(8):1818–1826. [PubMed: 25920810]
- 15. Mattioli F, Schaefer E, Magee A, et al. Mutations in Histone Acetylase Modifier BRPF1 Cause an Autosomal-Dominant Form of Intellectual Disability with Associated Ptosis. Am J Hum Genet. 2017;100(1):105–116. [PubMed: 27939639]
- Yan K, Rousseau J, Littlejohn RO, et al. Mutations in the Chromatin Regulator Gene BRPF1 Cause Syndromic Intellectual Disability and Deficient Histone Acetylation. Am J Hum Genet. 2017;100(1):91–104. [PubMed: 27939640]
- Perez-Campo FM, Borrow J, Kouskoff V, Lacaud G. The histone acetyl transferase activity of monocytic leukemia zinc finger is critical for the proliferation of hematopoietic precursors. Blood. 2009;113(20):4866–4874. [PubMed: 19264921]
- 18. Sapountzi V, Cote J. MYST-family histone acetyltransferases: beyond chromatin. Cellular and molecular life sciences: CMLS. 2011;68(7):1147–1156. [PubMed: 21132344]
- 19. Thomas T, Corcoran LM, Gugasyan R, et al. Monocytic leukemia zinc finger protein is essential for the development of long-term reconstituting hematopoietic stem cells. Genes Dev. 2006;20(9): 1175–1186. [PubMed: 16651658]
- 20. Good-Jacobson KL, Chen Y, Voss AK, Smyth GK, Thomas T, Tarlinton D. Regulation of germinal center responses and B-cell memory by the chromatin modifier MOZ. Proc Natl Acad Sci U S A. 2014;111(26):9585–9590. [PubMed: 24979783]
- 21. Newman DM, Sakaguchi S, Lun A, et al. Acetylation of the Cd8 Locus by KAT6A Determines Memory T Cell Diversity. Cell Rep. 2016;16(12):3311–3321. [PubMed: 27653692]
- 22. Arboleda VALH, Dorrani N, Zadeh N, Willis M, Macmurdo CF, Manning M, Kwan A, Hudgins L, Strom SP, Deignan JL, UCLA Clinical Genomics Center, Grody WW, Vilain E, Nelson SF. De novo nonsense variants in KAT6A, a Lysine Acetyl-Transferase gene, causes a syndrome including

- Microcephaly and Global Developmental Delay. Paper presented at: 36th Annual David W. Smith Workshop on Malformations and Morphogenesis; August 17, 2015, 2015; St. Michaels, Maryland.
- 23. Chisei Satoh RM, Akira Kinoshita, Hiroyuki Mishima, Michiko Doi, Mutsuko Miyazaki, Masafumi Fukuda, Haruo Takahashi, Tatsuro Kondoh & Koh-ichiro Yoshiura. Three brothers with a nonsense mutation in KAT6A caused by parental germline mosaicism. Human Genome Variation. (4):17045.
- Elenius V, Lahdesmaki T, Hietala M, Jartti T. Food allergy in a child with de novo KAT6A mutation. Clin Transl Allergy. 2017;7:19. [PubMed: 28649320]
- 25. Murray CR, Abel SN, McClure MB, et al. Novel Causative Variants in DYRK1A, KARS, and KAT6A Associated with Intellectual Disability and Additional Phenotypic Features. J Pediatr Genet. 2017;6(2):77–83. [PubMed: 28496994]
- 26. Zwaveling-Soonawala N, Maas SM, Alders M, et al. Variants in KAT6A and pituitary anomalies. Am J Med Genet A. 2017;173(9):2562–2565. [PubMed: 28636259]
- 27. Fisher RA. On the interpretation of $\chi 2$ from contingency tables, and the calculation of P. Journal of the Royal Statistical Society. 1922;85(1).
- 28. Kircher M, Witten DM, Jain P, O'Roak BJ, Cooper GM, Shendure J. A general framework for estimating the relative pathogenicity of human genetic variants. Nat Genet. 2014;46(3):310–315. [PubMed: 24487276]
- 29. Kumar P, Henikoff S, Ng PC. Predicting the effects of coding non-synonymous variants on protein function using the SIFT algorithm. Nat Protoc. 2009;4(7):1073–1081. [PubMed: 19561590]
- 30. Adzhubei IA, Schmidt S, Peshkin L, et al. A method and server for predicting damaging missense mutations. Nat Methods. 2010;7(4):248–249. [PubMed: 20354512]
- 31. Lonardo F, Lonardo MS, Acquaviva F, Della Monica M, Scarano F, Scarano G. Say-Barber-Biesecker-Young-Simpson syndrome and Genitopatellar syndrome: Lumping or splitting? Clinical genetics. 2017.
- 32. Posey JE, Harel T, Liu P, et al. Resolution of Disease Phenotypes Resulting from Multilocus Genomic Variation. The New England journal of medicine. 2017;376(1):21–31. [PubMed: 27959697]
- 33. Katsanis N The continuum of causality in human genetic disorders. Genome Biol. 2016;17(1):233. [PubMed: 27855690]
- 34. Wang RT, Silverstein Fadlon CA, Ulm JW, et al. Online self-report data for duchenne muscular dystrophy confirms natural history and can be used to assess for therapeutic benefits. PLoS Curr. 2014;6.
- 35. UniProt: the universal protein knowledgebase. Nucleic acids research. 2017;45(D1):D158–D169. [PubMed: 27899622]

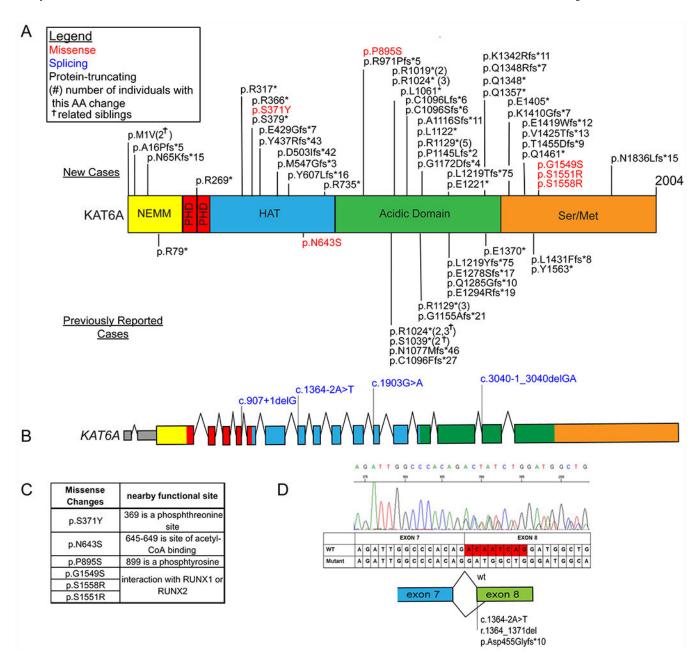


Figure 1: KAT6A domains and the distribution of pathogenic genetic variants.

A) We observed a total of 76 patients with 61 unique genetic variants across the 2004 amino acid protein. The mutations in new patients are shown above the gene, previously reported variants are displayed below the gene. Missense mutations are denoted in red while protein truncating mutations are denoted in black font. Protein ID for KAT6A is NP_006757.2 and various protein domains are: NEMM domain (AA 1-206), PHD domains (AA 207-313), HAT domain(AA 314-787), Acidic domain (788-1414) and Ser/Met domain (1414-2004). B) Splice changes are denoted in blue demonstrating their approximate intronic location in the gene model. C) Missense changes are located near important functional domains of KAT6A described in UniProt³⁵. D) The functional effect of the identified splice variant in

patient 17 was validated from sequencing of the cDNA product of blood RNA. The splicing effect resulted in a deletion of 8 base pairs and a frameshift change.



Figure 2: Clinical images of 25 newly reported cases show subtle facial features suggestive of *KAT6A* syndrome.

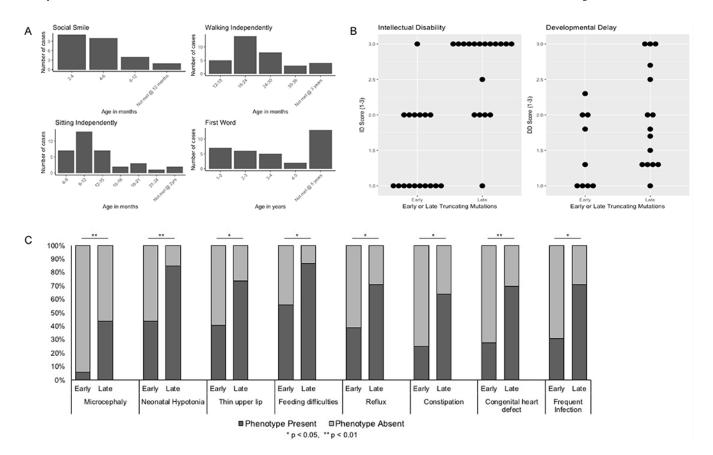


Figure 3: Developmental Delay, Intellectual Disability and Early and Late Truncating Mutations 3a: Developmental milestones in patients with pathogenic mutations in KAT6A. Delay in childhood milestones are commonly seen, with milder delays in social and motor milestones and more severe delay noted in acquisition of verbal language.

3b: Intellectual disability and developmental delay are more severe for truncating mutations in last two exons. A. More severe intellectual disability is more commonly seen in patient with truncating mutations in the last two exons of KAT6A as compared with early truncating mutations (in exons 1-15).

Contributing clinicians were asked to rate the level of intellectual disability as mild, moderate or severe on a scale from 1 to 3 (1=mild to 3=severe). Patients collected through the survey or through DDD were asked to report the age when key developmental milestones were reached. We rated the severity of delay for four milestones as mild moderate or severe; first smile (mild=2mth-4mth mod=4-6mths severe=6mths+) Sitting (mild=6-12mths mod=12-18mths severe=18mths+) Walking (mild12-24mth mod=24-30mth severe=30mths +) First word (mild=1-3yrs mod=3-5yr severe=5yr+). The scores for each individual were then averaged to give a score of 1 to 3.

3c: Several syndromic features were seen less commonly in patients with early truncating mutations. This includes microcephaly, neonatal hypotonia, gastrointestinal complications and congenital heart defects.

Table 1: Summary of Clinical Features for patients with KAT6A syndrome.

This table shows the prevalence of features in patients with KAT6A syndrome. If a feature was unknown or untested, the patient was excluded for that feature. For the purposes of this table, 2 patients with missense variants of unknown significance were excluded from this table.

Feature	Early truncating cases - exons 1-15/full gene del (18)	Late truncating mutations - exons16 + 17 (48)	Total cases excluding missense (70)	Missense cases (6)	Total cases (76)	Extra information
Sex	F=8 M=10	F=25 M=23	F=35 M=35	F=2 M=4	F=37 M=39	
Mutation type	Fs=10 n=7 del=1	Fs=19 n=29	Fs=29 n=36 s=4 del=1	M=6	Fs=29 n=36 m=6 s=4 del=1	
SGA	13% (2/15)	18% (8/44)	16% (10/62)	0% (0/4)	15% (10/66)	
Microcephaly	6% (1/18)	44% (20/45)	32% (21/65)	20% (1/5)	31% (22/70)	
Presence of ID	100% (18/18)	100% (44/44)	100% (65/65)	100% (4/4)	100% (69/69)	
Neonatal Hypotonia	44% (8/18)	85% (40/47)	75% (52/69)	83% (5/6)	76% (57/75)	
Seizures	12% (2/17)	4% (2/47)	9% (6/68)	17% (1/6)	9% (7/74)	No common type of seizure activity.
Speech delay	100% (18/18)	100% (44/44)	100% (66/66)	100% (5/5)	100% (71/71)	
Strabismus	53% (9/17)	57% (27/47)	56% (37/66)	20% (1/5)	54% (38/71)	
Ptosis	17% (3/18)	16% (7/45)	18% (12/67)	0% (0/6)	16% (12/73)	
Visual Defect	53% (9/17)	68% (26/38)	65% (37/57)	33% (1/3)	63% (38/60)	
Broad nasal tip	89% (16/18)	88% (35/40)	87% (54/62)	60% (3/5)	85% (57/67)	Prominence of this feature may increase with age
Thin upper lip	41% (7/17)	74% (28/38)	68% (40/59)	50% (2/4)	67% (42/63)	
Feeding difficulties	56% (10/18)	87% (40/46)	79% (52/66)	67% (4/6)	78% (56/72)	
Reflux	39% (7/18)	71% (27/38)	65% (35/54)	50% (3/6)	60% (38/60)	
Constipation	25% (4/16)	64% (18/28)	51% (24/47)	50% (3/6)	51% (27/53)	
Congenital heart defect	28% (5/18)	70% (32/46)	56% (38/68)	0% (0/6)	51% (38/74)	
Frequent Infection	31% (5/16)	71% (24/34)	50% (22/44)	20% (1/5)	47% (23/49)*	
Behavioural problems	27% (4/15)	44% (8/18)	33% (11/33)	100% (3/3)	39% (14/36)*	
Sleep disturbance	19% (3/16)	54% (15/28)	36% (15/42)	50% (2/4)	37% (17/46)*	

 $Fs=frame shift, \, m=missense, \, n=nonsense, \, s=splicing, \, M=Male, \, F=Female, \,$

Previous cases were not in total if feature if the information was not present in the published report or available through clinical survey.

Table 2:

KAT6A Clinical Advice and Guidelines.

These guidelines are based on the most commonly identified features across KAT6A patients. There is a wide range in variability of the clinical presentation and individual patients should have a personalised plan to reflect their own clinical features.

KAT6A Clinical Advice and Guidelines

Medical Care: Children should be under the care of a general or community paediatrician to monitor their health and development.

Speech and language: Children have marked expressive speech delay. Articulation is especially challenging. Many children have significantly benefited from the use of sign language and communication aids. Early speech and language therapy (SALT) is recommended.

<u>Gastrointestinal</u>: Feeding difficulties and reflux in infancy are common. Short term nasogastric feeding may be required. Early feeding difficulties appear to be secondary to oromotor dysfunction, early support is recommended. Constipation is common and can be severe, long term medical management with laxatives is often required. There appears to be an increased risk of malrotation and acute and sub-acute bowel obstruction, this should be considered for children in acute pain, with decreased bowel movements or with increased vomiting/reflux.

Congenital heart disease: In our cohort approximately 50% of children had congenital heart disease, commonly septal defects and persistent ductus arteriosus. Of those with CHD approximately 50% required surgical intervention. For this reason we recommend all individuals with pathogenic KAT6A mutations should have an ECG and an ECHO. A specialist cardiology review should be considered.

<u>Vision</u>: Regular visual assessments are recommended. Over half of the individuals in our cohort have experienced strabismus. This can lead to permanent amblyopia if not picked up and treated. Ptosis has been noted in some individuals. Overall, visual defects, including refractive errors and cortical visual impairments, seem to be more common in this patient group.

Immunity/Infection: Further work is needed to determine whether immunodeficiency including neutropenia is a rare feature of this condition. This possibility should be considered in individuals with recurrent severe infections. Respiratory infections, UTIs and Ear infections are relatively common features and should be considered if unwell.

<u>Sleep:</u> Many individuals experience difficult initiating and maintaining sleep. Melatonin has been used successfully in some people. Obstructive sleep apnoea is more common in this patient group.

Education: An assessment of special educational needs should be carried out so that extra help can be put in place at school. Some children have behavioural difficulties requiring support.