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### Authors

Daruwalla, Anahita  
Zhang, Jianye  
Lee, Ho Jun  
et al.

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# Structural basis for carotenoid cleavage by an archaeal carotenoid dioxygenase

Anahita Daruwalla<sup>a,b</sup>, Jianye Zhang<sup>c</sup>, Ho Jun Lee<sup>a</sup>, Nimesh Khadka<sup>b</sup>, Erik R. Farquhar<sup>d,e</sup>, Wuxian Shi<sup>d</sup>, Johannes von Lintig<sup>b</sup>, and Philip D. Kiser<sup>a,c,f,1</sup>

<sup>a</sup>Department of Physiology & Biophysics, University of California School of Medicine, Irvine, CA 92617; <sup>b</sup>Department of Pharmacology, Case Western Reserve University School of Medicine, Cleveland, OH 44106; <sup>c</sup>Department of Ophthalmology, University of California School of Medicine, Irvine, CA 92617; <sup>d</sup>National Synchrotron Light Source-II, Brookhaven National Laboratory, Upton, NY 11973; <sup>e</sup>Center for Proteomics and Bioinformatics, Center for Synchrotron Biosciences, Case Western Reserve University School of Medicine, Cleveland, OH 44106; and <sup>f</sup>Research Service, Veterans Affairs Long Beach Healthcare System, Long Beach, CA 90822

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**Apocarotenoids are important signaling molecules generated from carotenoids through the action of carotenoid cleavage dioxygenases (CCDs). These enzymes have a remarkable ability to cleave carotenoids at specific alkene bonds while leaving chemically similar sites within the polyene intact. Although several bacterial and eukaryotic CCDs have been characterized, the long-standing goal of experimentally visualizing a CCD–carotenoid complex at high resolution to explain this exquisite regioselectivity remains unfulfilled. CCD genes are also present in some archaeal genomes, but the encoded enzymes remain uninvestigated. Here, we address this knowledge gap through analysis of a metazoan-like archaeal CCD from *Candidatus Nitrosotalea devanterra* (*NdCCD*). *NdCCD* was active toward  $\beta$ -apocarotenoids but did not cleave bicyclic carotenoids. It exhibited an unusual regioselectivity, cleaving apocarotenoids solely at the C14–C13' alkene bond to produce  $\beta$ -apo-14'-carotenals. The structure of *NdCCD* revealed a tapered active site cavity markedly different from the broad active site observed for the retinal-forming *Synechocystis* apocarotenoid oxygenase (*SynACO*) but similar to the vertebrate retinoid isomerase RPE65. The structure of *NdCCD* in complex with its apocarotenoid product demonstrated that the site of cleavage is defined by interactions along the substrate binding cleft as well as selective stabilization of reaction intermediates at the scissile alkene. These data on the molecular basis of CCD catalysis shed light on the origins of the varied catalytic activities found in metazoan CCDs, opening the possibility of modifying their activity through rational chemical or genetic approaches.**

$\beta$ -apo-14'-carotenal | regioselectivity | RPE65 | nonheme iron | apocarotenoid

Carotenoids are a familiar part of our visual experience. These tetraterpenoid polyenes are light-absorbing pigments responsible for many of the brilliant colors observed in nature and are well-known for their antioxidant properties (1). Living organisms also transform carotenoids to generate a series of apocarotenoid metabolites. In both prokaryotes and eukaryotes, an apocarotenoid known as retinaldehyde serves as the universal chromophore of photosensory opsins such as bacteriorhodopsin and visual pigments (2), placing this isoprenoid among life's oldest light-sensing compounds (3). Apocarotenoids are also used as hormones. In plants, abscisic acid and strigolactones influence diverse processes such as seed dormancy, morphogenesis, and environmental adaptation (4). In animals, retinoic acid regulates gene expression throughout life (5) and is the main effector molecule responsible for the nonvisual actions of vitamin A.

In general, apocarotenoids are generated from carotenoid precursors *in vivo* through the action of carotenoid cleavage dioxygenases (CCDs) (6). These enzymes are widely distributed in nature, consistent with their known biological importance (7). CCDs catalyze oxygenolysis of target alkene bonds of carotenoid

(or apocarotenoid) substrates using a nonheme Fe<sup>II</sup> prosthetic group that is coordinated by four highly conserved His residues (reviewed in ref. 8). Several CCDs from bacteria and eukaryotes have been characterized in terms of their substrate specificity, regioselectivity, and physiological functions. The first CCD to be cloned and functionally characterized was an enzyme from *Zea mays* known as viviparous-14 (VP14) (9). This enzyme cleaves 9-*cis*-epoxycarotenoids specifically at their C11–C12 double bond to generate xanthoxin, the precursor of abscisic acid. Vertebrate genomes typically encode two alkene-splitting CCDs. One of these is  $\beta$ -carotene oxygenase (BCO) 1, which cleaves carotenoids and apocarotenoids at the C15–C15' position to generate the opsin chromophore and retinoic acid precursor retinaldehyde (10). The second enzyme, BCO2, prefers hydroxylated carotenoids as substrates and cleaves them asymmetrically at the C9–C10 (and/or C10'–C9') double bond, an activity critical for cellular carotenoid homeostasis (11, 12). All of these examples illustrate the general rule that CCDs (with a few exceptions) cleave carotenoids at specific double bond positions with high fidelity despite the presence of several chemically similar alternative sites within the polyene structure.

The molecular basis of this remarkably regioselective carotenoid cleavage activity has been the subject of structure–function studies involving targeted mutagenesis, chemical biology, and

## Significance

The formation of apocarotenoids by carotenoid cleavage dioxygenases (CCDs) is a critical process for several biological signaling systems. However, the active site determinants directing CCDs to cleave a specific alkene bond within the polyene backbone of carotenoids have remained unclear. Through analysis of the previously uncharacterized group of archaeal CCDs, we identified an enzyme, closely related to animal CCDs, that could be isolated in complex with its apocarotenoid product. Its crystal structure revealed the precise molecular interactions governing the enzyme's unique regioselectivity. These insights pave the way toward control of CCD activity in carotenoid/retinoid metabolism through rational design of small-molecule modulators or targeted mutagenesis.

Author contributions: A.D., J.Z., E.R.F., J.v.L., and P.D.K. designed research; A.D., J.Z., H.J.L., N.K., E.R.F., W.S., and P.D.K. performed research; A.D., J.Z., E.R.F., W.S., J.v.L., and P.D.K. analyzed data; and A.D. and P.D.K. wrote the paper.

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<sup>1</sup>To whom correspondence may be addressed. Email: pkiser@uci.edu.

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crystallographic analyses of bacterial, plant, and animal CCDs. The first CCD to have its three-dimensional structure determined was that of an apocarotenoid oxygenase (ACO) from *Synechocystis* sp. PCC6803 (*SynACO*) (13). The structure revealed the basic CCD fold consisting of a seven-bladed  $\beta$ -propeller capped on one face by a cluster of  $\alpha$ -helical and loop segments forming a dome that covers the bound iron within the active site. In that study, *SynACO* was crystallized in a metal-free form and then incubated with iron and 3-hydroxy- $\beta$ -apo-8'-carotenol in an effort to observe the binding of this substrate to the active site. The structure showed a doubly bent electron density feature in the active site that the investigators suggested could represent a substrate molecule that had undergone isomerization from an all-*trans* to a 13,13' di-*cis* configuration, implying that *SynACO* could have a secondary isomerase activity. These results were admitted to be inconclusive owing to incomplete electron density support for the bound ligand (13). Later, the electron density attributed to a bound carotenoid was shown to derive instead from a noncarotenoid molecule, likely the linear polyoxyethylene detergent used for *SynACO* crystallization (14). Failed attempts to trap CCD-carotenoid complexes for structural studies were also reported for VP14 (15). Thus, the structure of a CCD in complex with an (apo)carotenoid has remained elusive. A major contributing factor to the difficulty of such studies is the water-insolubility of carotenoids, which limits the formation of high-occupancy complexes in aqueous solutions. Despite these challenges, determining the molecular basis of CCD regioselectivity remains an important goal, as such information could to allow targeted mutagenesis to produce novel or rare apocarotenoids and enable structure-based design of small-molecule modulators to control CCD activity in various natural processes.

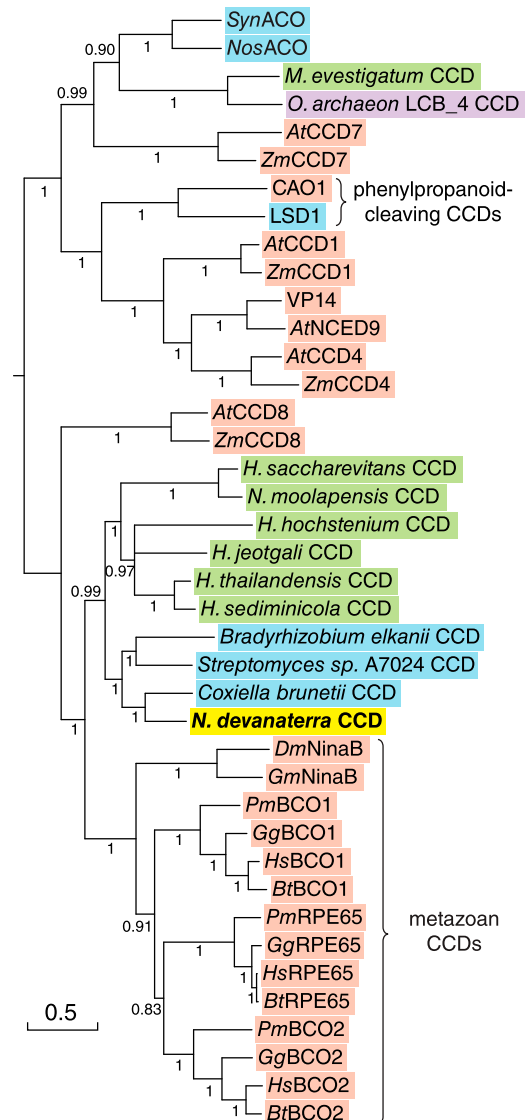
The molecular and physiological functions of metazoan CCDs have long been of interest. However, structural analysis of these proteins has been hampered by their limited capacity for heterologous expression and purification, leading to a gap in our understanding of their enzymology. In the present study, we examined a group of CCDs encoded in the genomes of archaea, a domain of life previously thought to lack CCDs (16). In doing so, we identified a metazoan-like CCD enzyme from an ammonia-oxidizing archaeon, *Candidatus Nitrosotalea devanattera*, belonging to the phylum Thaumarchaeota (17), that was amenable to detailed characterization. Here, we describe the biochemical features and structure of this enzyme in complex with its apocarotenoid product, providing high-resolution insights into the mechanisms of carotenoid cleavage that are likely applicable to the catalytically diverse and biologically important group of metazoan CCDs.

## Results

**Distribution of CCDs in Archaea.** Our study began with a BLAST search of the NCBI nonredundant protein database for archaeal CCDs using bovine RPE65 and *SynACO* as query sequences. CCDs were most commonly found in halophilic Euryarchaeota, which have a known ability to synthesize carotenoids including bacterioruberin (*SI Appendix, Fig. S1*) (18). No examples of CCDs were found among DPANN group archaea, which are thought to form an early branching archaeal lineage (19). Among members of the TACK superphylum, CCDs were found only in Thaumarchaeota, the top scoring hit to RPE65 being from the nitrogen-fixing, acidophilic soil archaeon *Ca. Nitrosotalea devanattera* (17). CCD sequences were also found in the metagenomes of some Asgard archaea such as *Candidatus Odinararchaeota* archaeon LCB\_4. Notably, these TACK and Asgard archaea appear not to be carotenogenic, as judged from the absence of encoded phytoene synthases in their genomes, and

also apparently do not express opsin proteins (*SI Appendix, Fig. S1*).

Archaea of the TACK (20) and Asgard (21) superphyla have been identified as close prokaryotic relatives of Eukarya, suggesting that CCDs from these archaea and eukaryotes might also have close phylogenetic relatedness. To test this hypothesis, we constructed a CCD phylogeny based on aligned amino acid sequences including top matching archaeal CCDs from the BLAST results (Fig. 1). The tree was topologically similar to previously



**Fig. 1.** Phylogenetic analysis of archaeal CCDs. The figure displays a CCD phylogeny illustrating the relationship of archaeal CCDs to those found in bacteria (blue background) and eukaryotes (salmon-colored background). Euryarchaeota are marked by a green background, while an Asgard CCD is shown on a purple background. The Thaumarchaeota CCD from *N. devanattera*, which is the focus of this study, is shown on a yellow background. The majority-rule consensus tree was computed using MrBayes (25). Posterior probabilities are displayed along each bipartition. One bipartition with a posterior probability of  $<0.5$  was collapsed. The tree scale indicates the number of substitutions per site. At, *Arabidopsis thaliana*; Bt, *Bos taurus*; CAO1, *Neurospora crassa* carotenoid oxygenase 1; Dm, *Drosophila melanogaster*; Gg, *Gallus gallus*; Gm, *Galleria mellonella*; Hs, *Homo sapiens*; LSD1, *Sphingomonas paucimobilis* TMY1009 lignostilbene  $\alpha,\beta$ -dioxygenase 1; NinaB, neither inactivation nor afterpotential B; Nos, *Nostoc*; Pm, *Petromyzon marinus*; Syn, *Synechocystis*; Zm, *Z. mays*.

reported phylogenies of bacterial and eukaryotic CCDs (22, 23), with archaeal CCDs appearing at two distinct locations. CCDs from *Methanohalobium evestigatum* (a euryarchaeote) and *Ca. Odinarchaeota* archaeon LCB\_4 formed a sister group to cyanobacterial CCDs from *Synechocystis* and *Nostoc*, whereas CCDs from haloarchaea and *N. devanaterra* were found on the opposite side of the tree together with metazoan CCDs. *N. devanaterra* CCD (*NdCCD*) was located outside the haloarchaeal CCD cluster embedded within a group of CCDs from *Coxiella burnetii* and soil bacteria. Interestingly, nearly all of the closest-matching prokaryotic BLAST hits to *NdCCD* were from bacteria rather than archaea despite the current availability of genome sequences for over 124 archaea in the TACK superphylum. Analysis of the *N. devanaterra* genome with the DarkHorse algorithm (24) revealed an exceptionally low lineage probability index for *NdCCD* (*SI Appendix, Fig. S2*), demonstrating that *NdCCD* is highly atypical relative to most other *N. devanaterra* proteins. Together, these findings suggest that *NdCCD* may have been acquired by horizontal gene transfer from a bacterium. This mixed archaeal/bacterial CCD cluster formed a well-supported sister group to metazoan CCDs, with *NdCCD* being least diverged from the common ancestor of the two clades. Inspection of the *NdCCD* sequence revealed regions of striking similarity to metazoan CCDs, including a partially conserved “PDPCCK” motif (“-DPCR” in *NdCCD*), a Val126 residue (Val or Ile in metazoan CCDs) that is known to influence ligand coordination by the iron center, as well as several residues predicted to line the substrate binding cleft (*SI Appendix, Fig. S3*). *NdCCD* shares 35% and 27% identity with RPE65 and *SynACO*, respectively. Owing to the excellent heterologous expression profile of *NdCCD* (*SI Appendix, Fig. S4 and Table S1*) and its similarity to metazoan CCDs of interest, we focused on this enzyme for further characterization.

**Expression and Enzymatic Characterization of *NdCCD*.** We expressed *NdCCD* in *Escherichia coli* and purified the protein in the absence of detergents by ion exchange and gel filtration chromatography (*SI Appendix, Fig. S5*). The purified sample was essentially homogenous (*SI Appendix, Table S2*) and contained nearly stoichiometric amounts of iron (*SI Appendix, Table S3*). We tested the ability of *NdCCD* to cleave  $\beta$ -apo-8'-carotenal and  $\beta$ -carotene, which are substrates of metazoan CCDs (26, 27). Whereas  $\beta$ -carotene was not accepted as a substrate (*SI Appendix, Fig. S6A*), *NdCCD* converted  $\beta$ -apo-8'-carotenal into a new compound with a  $\lambda_{\max}$  of  $\sim 408$  nm in polar solvent (Fig. 2 *A* and *B*). This value is slightly greater than the absorbance for retinal ( $\lambda_{\max} \sim 383$  nm in EtOH; ref. 28), but less than the 426-nm  $\lambda_{\max}$  known for  $\beta$ -apo-12'-carotenal in MeOH (29), suggesting a product with seven conjugated double bonds, namely  $\beta$ -apo-14'-carotenal. To confirm this identity, we further analyzed the product by LC-MS. We observed a single 311.2 *m/z* peak, corresponding to mass of protonated  $\beta$ -apo-14'-carotenal, with a retention time matching the  $\lambda_{\max} = 408$  nm peak observed by UV/Vis spectroscopy (Fig. 2 *C* and *D*). Residual substrate was also detected as a 417.3 *m/z* peak whose elution time coincided with the UV/Vis peak observed for the substrate (Fig. 2 *E* and *F*). These data demonstrated that *NdCCD* cleaves  $\beta$ -apo-8'-carotenal specifically at the C14'-C13' double bond.

Next, we investigated the range of substrates accepted by *NdCCD* using apocarotenoids of various lengths as well as the C40 carotenoids lycopene and zeaxanthin. In addition to  $\beta$ -apo-8'-carotenal (Fig. 3*A*), *NdCCD* was able to cleave both  $\beta$ -apo-8'-carotenol and  $\beta$ -apo-10'-carotenal to produce  $\beta$ -apo-14'-carotenal (Fig. 3 *B* and *C*), demonstrating that the distal region of the apocarotenoid does not influence the enzyme's regioselectivity. However, such changes did affect catalytic efficiency, as

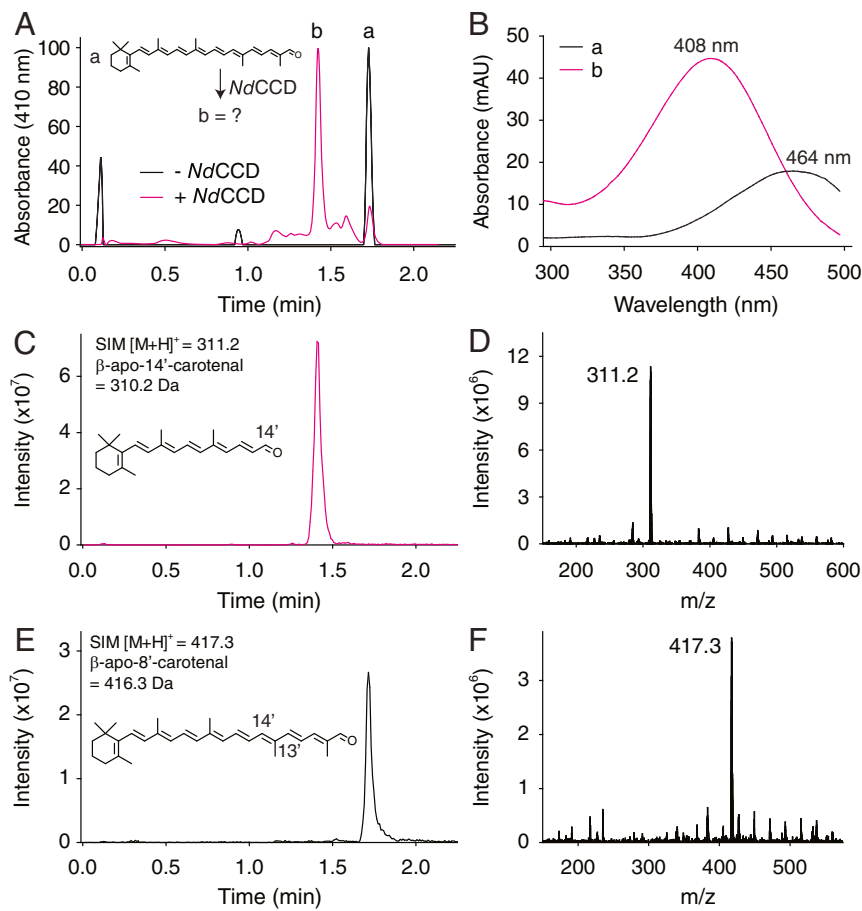
$k_{\text{cat}}$  and  $K_m$  differed substantially between  $\beta$ -apo-8'-carotenol and  $\beta$ -apo-8'-carotenol (*SI Appendix, Fig. S7*). *NdCCD* also cleaved 3-hydroxy- $\beta$ -apo-12'-carotenal, specifically at the C14'-C13' position, demonstrating that substrate ring hydroxylation was also tolerated by the enzyme (Fig. 3*D*). By contrast, we did not detect cleavage of zeaxanthin, lycopene, or retinal (*SI Appendix, Fig. S6 B-D*). We also tested the ability of *NdCCD* to cleave resveratrol and isoeugenol, but neither compound was accepted as a substrate (*SI Appendix, Fig. S6 E and F*), consistent with the position of *NdCCD* outside of the phenylpropanoid-cleaving CCD clade (Fig. 1). Together, these results show that *NdCCD* can cleave a variety of apocarotenoids and does so specifically at their C14'-C13' double bond. Such an activity has not been previously observed among molecularly characterized CCDs, although some can generate apo-14'-carotenals as side products (30-32). Interestingly, enzymatic  $\beta$ -apo-14'-carotenal production (EC 1.13.11.67) was reported previously from lysates of rabbit and rat intestinal mucosa, although the responsible enzyme was never molecularly identified (33).

Based on the close phylogenetic relationship between *NdCCD* and *C. burnetii* CCD (*CbCCD*), we hypothesized that these enzymes would exhibit the same C14'-C13' cleavage regioselectivity. To test this hypothesis, we expressed *CbCCD* in *E. coli* and measured its activity directly from the supernatant fraction (*SI Appendix, Fig. S8A and Table S1*). As predicted, *CbCCD* cleaved  $\beta$ -apo-8'-carotenol specifically at the C14'-C13' bond but did not accept  $\beta$ -carotene as a substrate (*SI Appendix, Fig. S8 B and C*). These findings indicate that CCDs with C14'-C13' apocarotenoid cleavage activity could be widespread in both archaea and bacteria.

**Structure of *NdCCD*.** To gain insights into the structural basis of *NdCCD*'s regioselective cleavage activity, we crystallized *NdCCD* and determined its structure by X-ray diffraction analysis (*SI Appendix, Table S4*). The asymmetric unit of the crystals contained six *NdCCD* monomers in a  $D_3$ -symmetric arrangement (Fig. 4*A*). Interactions between monomers related by threefold symmetry were sparse and unlikely to be stable in solution. Conversely, interactions between chains related by twofold symmetry were more extensive, burying  $\sim 1,000 \text{ \AA}^2$  per dimer pair (Fig. 4*A*). This dimer interaction is distinct from the dimers observed for RPE65 (34) and stilbene-cleaving CCDs (35). The interaction surface was moderately hydrophobic according to  $\Delta^iG$  values computed in PISA (36), but also involved electrostatic interactions including sodium ion coordination by residues from each member of the dimer pair. A sequence alignment of *NdCCD* with metazoan CCDs shows that residues contributing to dimer formation are poorly conserved between the different proteins and reside in indel-prone areas (*SI Appendix, Fig. S3*). This dimer structure could promote *NdCCD* binding to membranes as a result of its parallel arrangement of hydrophobic surface residues (described later; Fig. 4*A*).

*NdCCD* exhibits the classic CCD fold described above for *SynACO*. Compared to CCDs of known structure, *NdCCD* was most similar to RPE65, with an rms difference (rmsd) of 1.6  $\text{\AA}$  over 445 matched  $\text{C}\alpha$  positions, consistent with these two proteins also having the highest amino acid sequence identity (*SI Appendix, Figs. S3 and S9A*). In light of its apocarotenoid oxygenase activity, *NdCCD* was also compared to *SynACO*, giving a superposition rmsd of 2.2  $\text{\AA}$ . CCDs typically possess hydrophobic patches on their surfaces, often surrounded by cationic residues (8), that allow them to interact with membranes where their carotenoid substrates are dissolved (1). Such a patch was also observed on the surface of *NdCCD* consisting of Leu residues 243, 246, and 247 together with Arg191, Lys241, and Lys250 (Fig. 4 *A* and *B*). This patch is notably smaller than the patches



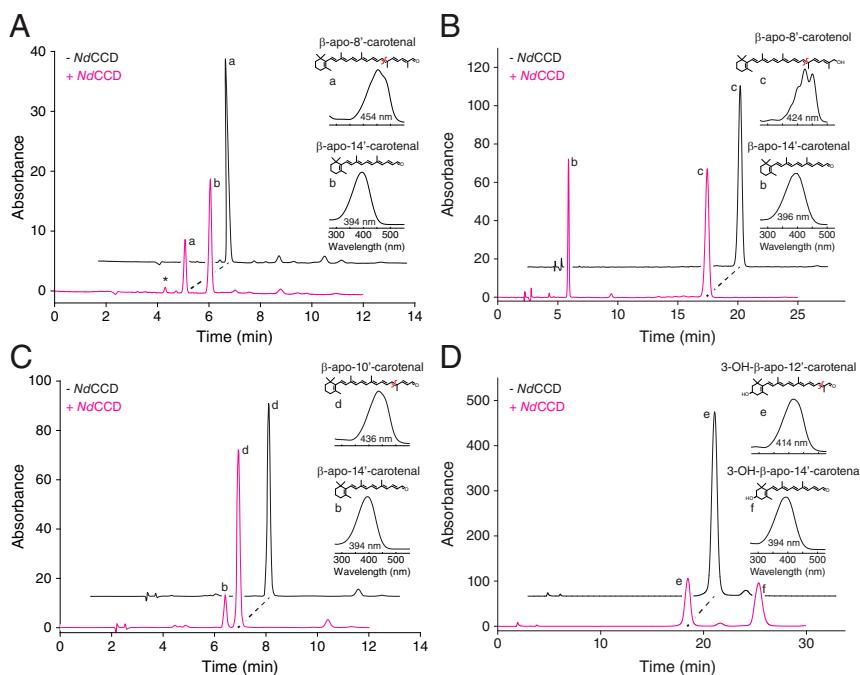


**Fig. 2.** Mass spectrometry analysis of the *NdCCD* reaction products demonstrates C14'–C13' cleavage selectivity. (A) Reversed-phase HPLC analysis of  $\beta$ -apo-8'-carotenal (a) cleavage by *NdCCD* to form cleavage product (b). Absorbance for each trace is normalized to 100. The apocarotenoids were eluted with a 5 to 95% acetonitrile gradient in the presence of 0.1% formic acid. (B) UV/Vis absorbance spectra of peaks a and b from A. (C) Selective ion monitoring (SIM) at  $m/z = 311.2$ , corresponding to the molecular ion of  $\beta$ -apo-14'-carotenal, showed a peak with a retention time identical to that of peak b in A. (D) Mass spectrum of the SIM peak in C showing a dominance of the  $m/z = 311.2$  species. (E) SIM at  $m/z = 417.3$ , corresponding to the molecular ion of  $\beta$ -apo-8'-carotenal, showed a peak with a retention time identical to that of peak a in A. (F) Mass spectrum of the SIM peak in E showing a dominance of the  $m/z = 417.3$  species.

on both RPE65 and *SynACO*, a finding that is consistent with the soluble protein behavior of *NdCCD*, in contrast to RPE65 and *SynACO*, which require detergents for purification and/or crystallization. Nevertheless, the patch could confer an ability to transiently dip into lipid bilayers for substrate access. As mentioned above, *NdCCD* features a partially conserved PDPCK motif, which is positioned on the N-terminal side of a loop region thought to be involved in membrane interactions of RPE65 and other metazoan CCDs (37). Indeed, the Cys residue of this motif is palmitoylated in RPE65 (38) and other metazoan CCDs (39), findings consistent with its proximity to the lipid bilayer. However, this region has never been fully resolved in RPE65 crystal structures (34). Interestingly, the corresponding loop in chains E and F of *NdCCD* exhibited relatively clear electron density that allowed construction of a model for a majority of the sequence (*SI Appendix, Fig. S9B*). The structure of this region is mainly irregular, with only three  $\beta$ -turn elements in contrast to the  $\alpha$ -helical structure that has been predicted for the corresponding region of RPE65 (37, 40). In *NdCCD*, this loop folds back against the helical cap region of the protein, partially occluding the main opening to the enzyme's active site: a conformation that appears incompetent for substrate uptake (Fig. 4B). The loop is hydrophobic overall, with a few positively charged residues that may enhance the ability of *NdCCD* to interact with membranes, possibly triggering a conformational change to allow substrate

entry (*SI Appendix, Fig. S9B*). Notably, residues 106 to 118 are entirely disordered in chains A through D, indicating that this region of *NdCCD* is also prone to being unstructured, similar to RPE65 (34). In the case of *NdCCD*, this disorder cannot be attributed to detergent-related destabilization.

By analogy to RPE65 (41), the active site of *NdCCD* can be divided into three regions: the substrate entry portal, the central active site including the metal center, and an expanded distal region that also connects with the protein exterior (Fig. 4B). The tunnel as a whole, which spans a length of  $\sim 30$  Å, is lined predominantly by nonpolar residues but includes a polar region in the central active site in which two water molecules are stably bound. Beginning with the relatively wide substrate entry portal, the active site tunnel narrows as it passes by the iron center before expanding and ramifying within the interior of the protein. In chains A and D, this interior pocket is nearly closed off with only a  $\sim 2.3$ -Å-wide circular opening to the protein exterior (tunnel opening widths are given with respect to the Connolly surface). In chains B and C, a conformational difference in residues 322 to 328 and 378 to 386 produced a  $\sim 4.5$ -by- $7.5$ -Å elliptical opening in the protein structure that is partially covered by Ala382 (Fig. 4B). This wider conformation was associated with sodium ion coordination by residues near the opening. The distal segment also connects with a hydrophilic cavity of unclear functional significance that contains several buried water



**Fig. 3.** Cleavage activity of *NdCCD* toward apocarotenoid substrates. (A) Normal-phase HPLC analysis demonstrating the cleavage of  $\beta$ -apo-8'-carotenol (a) by *NdCCD* to form  $\beta$ -apo-14'-carotenol (b) with an absorbance maximum of 394 nm. The asterisk indicates an isomer of  $\beta$ -apo-14'-carotenol that was generated by photoisomerization following the cleavage reaction. (B) *NdCCD* was similarly able to generate  $\beta$ -apo-14'-carotenol (b) from  $\beta$ -apo-8'-carotenol (c), indicating that the terminal polar group does not influence the site of cleavage. (C) *NdCCD* cleaved the shorter apocarotenoid,  $\beta$ -apo-10'-carotenol (d), to generate  $\beta$ -apo-14'-carotenol (b), indicating that cleavage specificity is not determined by the length of the distal end of the apocarotenoid substrate. (D) *NdCCD* also cleaved the polar carotenoid 3-hydroxy- $\beta$ -apo-12'-carotenol (e) to form a product (f) with a greater retention time but similar absorbance spectrum compared to  $\beta$ -apo-14'-carotenol, indicating it represents 3-hydroxy- $\beta$ -apo-14'-carotenol. Apocarotenoids were eluted with 90:10 hexane/ethyl acetate in A–C and 80:20 hexane/ethyl acetate in D.

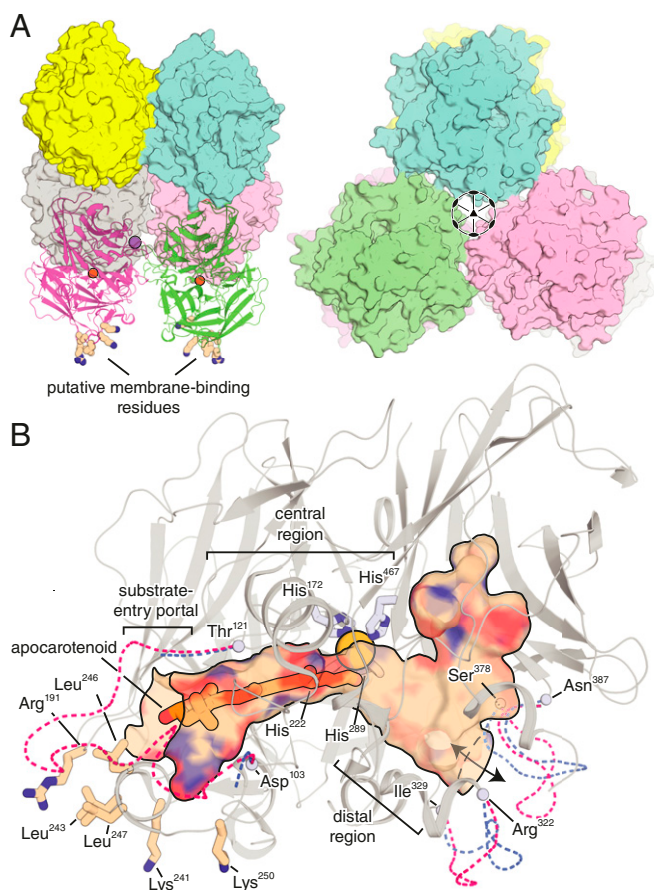
molecules. Extending from one opening to the other, the active site tunnel exhibits a shallow V-like shape with the bend located near the metal center (Fig. 4B).

The structure of the *NdCCD* active site largely resembled that of RPE65 in terms of amino acid conservation and overall shape, but differed markedly from that of *SynACO* despite the fact that these proteins have similar substrate specificities (SI Appendix, Fig. S9C). Prior studies on RPE65 have revealed that its substrate entry portal and central active site regions are responsible for binding of the retinoid, whereas the fatty acid acyl chain is accommodated in the inner pocket (41). The structure of the central active site is strikingly well conserved between *NdCCD* and RPE65, despite the fact that these proteins bind different (but related) substrates and catalyze distinct chemistry (SI Appendix, Fig. S9C). This conservation includes residues known to be critical for enforcing RPE65 isomerization regioselectivity (42), namely Phe61, Phe103, and Thr147, which are homologous to Phe58, Phe100, and Thr139 in *NdCCD* (SI Appendix, Fig. S3). The distal region of the active site pocket is more variable in terms of shape and sequence conservation, consistent with this pocket serving different functions in the two enzymes. In RPE65, this pocket exhibits a notable bend that is primarily due to the side chain of Phe418 protruding into the pocket. *NdCCD*, on the contrary, contains a less bulky Leu residue at the equivalent position, giving the pocket a more open shape. In both proteins, the distal pocket connects to both a hydrophilic cavity as well as an opening to the protein exterior, although the opening is much narrower and positioned slightly differently in RPE65 (SI Appendix, Fig. S9C).

The iron center is bound by inner sphere His residues 172, 222, 289, and 467, with the later three residues forming hydrogen

bonding interactions with outer sphere Glu residues 140, 357, and 411 (SI Appendix, Fig. S9C). Strong, but heterogeneous, electron density was also observed in the primary sphere *trans* to His-172, indicative of a diffusible ligand. In chain D, the density could be explained by a single solvent molecule bound to the iron ion at a distance of  $\sim 3$  Å, whereas, in chain A, we observed an elongated density feature extending into the distal active site cavity that was not readily interpretable. The residual density in chains B and C was not adequately quenched by a single solvent alone, nor an O<sub>2</sub> molecule. Based on the density appearance and the known binding of carboxylate ligands to the iron center of RPE65 (41), we modeled a bicarbonate anion in chains B and C, although the ligand identity could not be conclusively determined.

To clarify the resting state iron coordination, we measured iron K-edge X-ray absorption spectra on concentrated solutions of *NdCCD*. XAS provides coordination number and high-precision bond lengths for the primary metal coordination sphere and, importantly, can be carried out in simple buffered solutions closer to those used for activity studies. The XANES spectrum of *NdCCD* was similar to those of other CCDs, with a bimodal pre-edge feature exhibiting peaks at 7,112.5 and 7,114.4 eV with areas of 8.4 and 4.6 units, respectively (Fig. 5A). These peaks originate from  $1s \rightarrow 3d$  electron transitions whose intensity is related to the metal coordination number. The total pre-edge peak intensity (13 area units) and energy splitting (1.9 eV) were consistent with a five-coordinate Fe<sup>II</sup> center. The area of the higher energy peak is greater than that reported for  $C_{4v}$ -symmetric high-spin Fe<sup>II</sup> model complexes suggesting  $D_{3h}$ -symmetric character for the *NdCCD* iron center (43). The spectrum featured edge inflection points at 7,121.8 and 7,126.85 eV consistent with a ferrous iron state. Quantitative analysis of Fourier-filtered



**Fig. 4.** Crystal structure of *NdCCD*. (A) Side (Left) and front (Right) views of the  $D_3$ -symmetric *NdCCD* hexamer showing interactions between monomers related by the twofold operator and threefold operator, respectively. The side-view image shows a parallel arrangement of putative membrane-interacting residues (shown as sticks) on the dimer surface. The active-site iron ions for one of the dimers are shown as brown spheres, and a sodium ion bound at the dimer interface is shown as a lavender sphere. (B) A composite view of *NdCCD* structures reported in this study. The active-site tunnel (shown in surface representation) is composed of a substrate entry portal located in proximity to the membrane binding patch, a central region that includes the iron prosthetic group, and a distal region that connects with the protein exterior as well as a hydrophilic cavity. The apocarotenoid studied in this work (described in detail later) is bound in the region of the tunnel closest to the membrane binding patch. The dashed lines near the tunnel openings show the various conformations observed for these segments (i.e., residues 103 to 121, 322 to 329, and 378 to 387) in the different crystal structures reported in this study. The metal center (iron or cobalt) is shown as a yellow-orange sphere coordinated by four His residues conserved throughout the CCD superfamily.

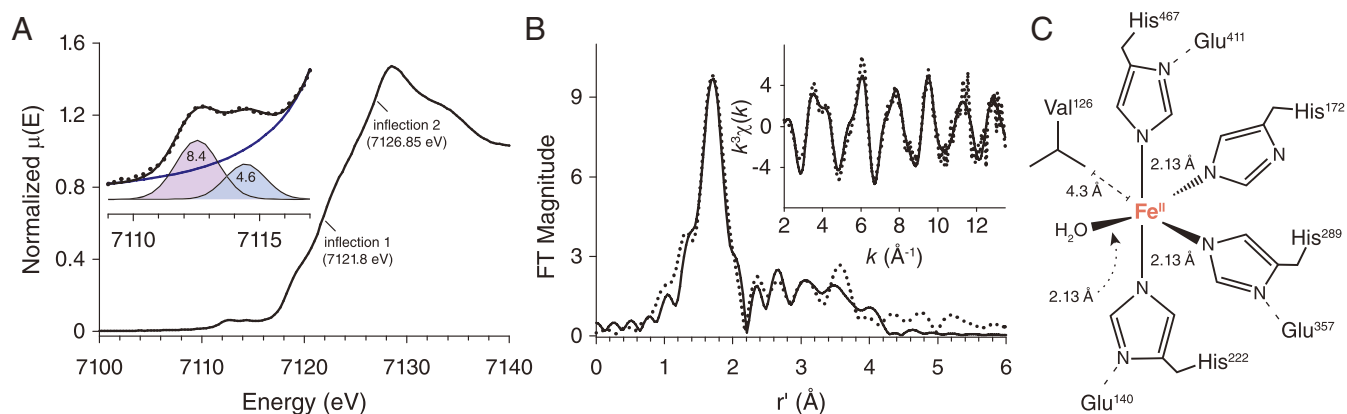
extended X-ray absorption fine structure (EXAFS) data incorporating multiple scattering paths (Fig. 5B and *SI Appendix, Table S5*) allowed construction of a model in which the iron is coordinated by four imidazole ligands and one O/N atom all at a distance of 2.13 Å (Fig. 5C). The absence of a prominent peak at  $r' = 2.5$  Å in the Fourier-transformed EXAFS spectrum together with the five-coordinate state supported by the pre-edge analysis argued against bidentate binding of a carboxylate ligand to the iron center as suggested by the crystallographic data. Instead, the EXAFS data were most simply explained by a single aquo ligand bound to the iron in addition to the four conserved His residues (Fig. 5C). Thus, the iron ligand heterogeneity we observed in crystallo may originate from bound components of the

crystallization mixture or possibly arise from pH differences between the two conditions.

**Experimental Visualization of a CCD–Product Complex.** To gain atomic-level insights into the regioselectivity of *NdCCD*, we aimed to determine its structure in complex with an apocarotenoid substrate. The ability of this enzyme to be isolated and crystallized in the absence of detergent, together with its spatially restricted active site, appeared to make it an excellent candidate for such studies. To facilitate isolation of the complex, we generated a cobalt-substituted version of *NdCCD* based on prior data showing that cobalt does not support CCD catalytic activity (25, 26). *NdCCD* was readily expressed in minimal media and incorporated cobalt in a near stoichiometric fashion as demonstrated by quantitative metal analysis (*SI Appendix, Table S3*). Concentrated Co-*NdCCD* samples had a pale pink color and optical absorbance peaks at 524, 541, and 562 nm, attributable to  $\text{Co}^{\text{II}}$  *d-d* electronic transitions (*SI Appendix, Fig. S10A*). Co-*NdCCD* crystals were isomorphous to those of the native iron enzyme but routinely diffracted to higher resolution (*SI Appendix, Table S4*). Diffraction data collected above and below the cobalt K absorption edge allowed calculation of imaginary log-likelihood gradient maps (45) that demonstrated specific occupancy of cobalt at the active site of *NdCCD* (*SI Appendix, Fig. S10B*). The structure of Co-*NdCCD* was largely similar to that of the native enzyme as evidenced by the average 0.47-Å rmsd between equivalent chains. Electron density near the cobalt ion was uniform and supported modeling of a single solvent *trans* to His172 at a distance of  $\sim 2$  Å. The cobalt center had a distorted  $D_{3h}$  symmetric structure, consistent with the symmetry of the *NdCCD* iron center derived from the XAS measurements described earlier. Structural variability was observed at the opening to the distal cavity (Fig. 4B). Specifically, residue Phe385 adopted a different conformation in chains A and D as compared to the corresponding chains in the Fe-*NdCCD* structure, resulting in a  $\sim 3.2$ -by- $5.8$ -Å elliptical opening. A polymorphic electron density feature was present in the distal cavity that could not be adequately modeled with known components of the crystallization mixture. Taken together, the Co-*NdCCD* structure closely mimicked native, iron-bound *NdCCD*.

Next, we isolated Co-*NdCCD* in complex with 3-hydroxy- $\beta$ -apo-12'-carotenal, which was selected based on its relatively high aqueous solubility. The apocarotenal was added to the enzyme sample prior to each step of chromatography, and the protein and apocarotenoid absorbances were monitored at 280 and 420 nm, respectively. For each of the three steps of purification, we observed coelution of apocarotenoid with Co-*NdCCD* by UV/Vis spectroscopy (*SI Appendix, Fig. S11*). Based on the 280- and 420-nm peak areas and the extinction coefficients for *NdCCD* ( $74,688 \text{ M}^{-1}\text{cm}^{-1}$ ) and 3-hydroxy- $\beta$ -apo-12'-carotenal ( $75,600 \text{ M}^{-1}\text{cm}^{-1}$ ; estimated from ref. 29), the final purified sample had an apocarotenoid to *NdCCD* molar stoichiometry of  $\sim 0.7$ . We subjected a portion of the purified complex to hexane extraction and LC-MS analysis to assess the apocarotenoid composition of the final sample (*SI Appendix, Fig. S12*). Surprisingly, we found that a bulk of the apocarotenoid in the final preparation was the 3-hydroxy- $\beta$ -apo-14'-carotenal product of catalysis instead of 3-hydroxy- $\beta$ -apo-12'-carotenal, indicating that the substrate was cleaved during protein isolation. This result was unexpected given that cobalt substitution in similar CCDs was shown to eliminate catalytic activity (35, 44). It is likely that unavoidable low-level iron contamination (*SI Appendix, Table S3*) could have produced sufficient activity to turn over a majority of the copurified substrate, although cobalt may allow slow catalytic activity that was not detectable in conventional assays.





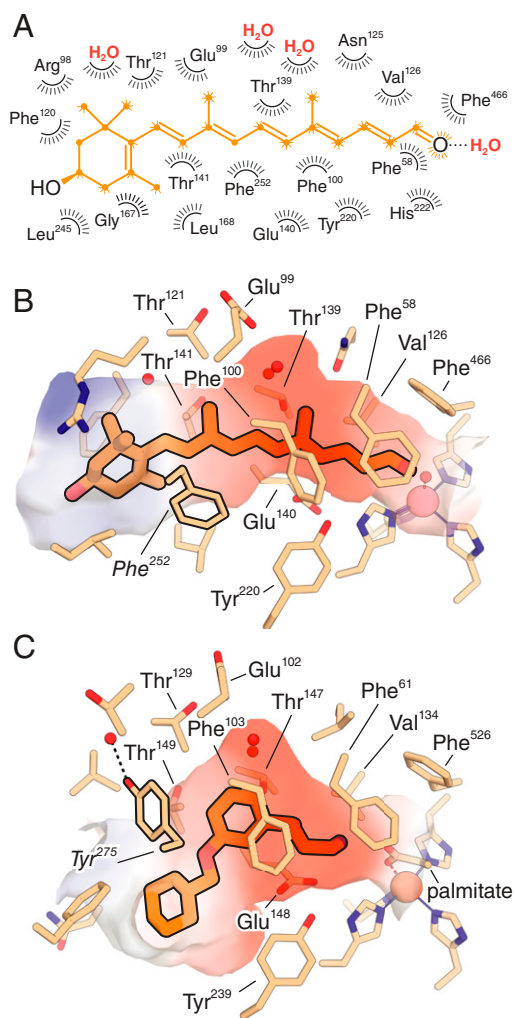
**Fig. 5.** X-ray absorption spectroscopy analysis of the NdCCD iron center. (A) The near-edge spectrum shows a bimodal pre-edge absorption feature with an energy splitting of 1.9 eV and peak areas (Inset) as well as edge inflections at 7,121.8 and 7,126.85 eV. (B) Fourier-transformed (FT) EXAFS data (dotted black line) and best-fit simulated data (solid black line) for the model shown in *SI Appendix, Table S5*. (Inset)  $k^3$ -weighted EXAFS data (dotted black line) and best-fit simulated data (solid black line). (C) Model of the NdCCD iron center derived from both XAS and crystallographic information. The Fe<sup>II</sup> center is five-coordinate with a distorted  $D_{3h}$ -symmetric ligand arrangement. The metal–ligand bond lengths are identical within the error of the data at 2.13 Å. The five-coordinate structure is promoted by the presence of a Val side chain that occludes one of the potential ligand binding sites in the coordination sphere.

We proceeded to crystallize the Co-NdCCD–product complex under the same conditions used for unliganded Co-NdCCD. The orange-colored crystals obtained (*SI Appendix, Fig. S11C*) were isomorphous to the original Co-NdCCD crystals, with similar diffraction properties (*SI Appendix, Table S4*). Following rigid-body refinement, we observed residual electron density in the substrate entry portal and central active site suggestive of a bound ligand (*SI Appendix, Fig. S13A*). This was confirmed by calculation of an isomorphous-difference map, which revealed an excess of continuous positive density (*SI Appendix, Fig. S13B*). The entire apocarotenoid structure was represented in the electron density map with characteristic features for the 3-hydroxy- $\beta$ -ionone ring and polyene methyl groups clearly present (*SI Appendix, Fig. S13C*). The molecule is snugly bound within the active site in an extended all-*trans* geometry with the C6–C7 bond in an *s-cis*-conformation (Fig. 6*A* and *B*), which is enforced by the shape of the substrate-entry portal. The 3-hydroxy- $\beta$ -ionone ring is partially solvent-exposed and does not engage in polar interactions with the protein involving its hydroxyl moiety (Fig. 4*B*). The polyene region of the molecule is completely isolated from the bulk solvent, residing in the tapered central active site. This active site region exhibits a negative electrostatic potential positioned over the central region of the apocarotenoid that originates from the main chain or side chain groups of Phe58, Glu99, Asn125, Thr139, Glu140, and Glu99 (Fig. 6*B*). Notably, the polyene binding site of RPE65 also features a negative electrostatic potential, and the responsible residues are conserved between the two proteins (Fig. 6*C* and *SI Appendix, Fig. S3*). The RPE65- and CCD-catalyzed reactions are both thought to proceed through cationic intermediates that would likely benefit from electrostatic stabilization (46). The electrostatic similarity between these evolutionarily divergent proteins indicates that anionic polyene binding sites are present in most metazoan CCDs and points to a unifying aspect of their catalytic functions. Besides these conserved residues, the polyene-binding site features two buried water molecules straddling the apocarotenoid C19 and C20 methyl groups that superimpose with equivalent water-binding sites found in RPE65 (41) (Fig. 6*A–C*). These waters contribute to the cavity geometry by generating a surface that is complementary to the shape of the central region of the polyene. The C14'–O bond, which is equivalent to the scissile bond in apocarotenoid substrates of NdCCD, is positioned next to the metal center with the carbonyl

oxygen forming a short hydrogen bond ( $\sim 2.4$  Å bond length) with the Co-bound solvent (Fig. 6*A* and *B*). The carbonyl group is additionally held in place by two orthogonal Phe side chains (residues 58 and 466) that interact with it from the top and side (Fig. 6*B*). Few active site changes were observed upon ligand complexation, the most notable being a  $\sim 30^\circ$  rotation of Glu140, which was required to avoid a clash with the polyene chain. Numerous van der Waals contacts made between the protein and its bound waters with the apocarotenoid (Fig. 6*A*) serve to enforce a strict mode of binding that leads to the high degree of regioselectivity we observed in NdCCD activity assays.

We further investigated the issue of regioselectivity in this enzyme by modeling  $\beta$ -apo-8'-carotenal in the active site using the bound 3-hydroxy- $\beta$ -apo-14'-carotenal as a guide (Fig. 7*A*). The extra polyene structure was readily accommodated within the distal segment of the active site, although it must adopt a slight bend at the 12'–13' single bond to avoid clashing with Leu358. The scissile bond is held in position by the phenyl rings of Phe58 and Phe466, the former residue being highly conserved in functionally characterized CCDs as part of the “FDG” motif (48) and the latter partially conserved among metazoan CCDs (*SI Appendix, Fig. S3*). These residues appear critical not only for constraining the position of the labile double bond but also likely help stabilize reaction intermediates via electrostatic interactions or  $\pi$ -electron donation. Together with the His222 C<sup>e1</sup> atom, these residues form a 3.8-Å constriction that would hinder or prevent passage of an  $\sim 8$ -Å-wide  $\beta$ -ionone ring in the absence of conformational changes. This finding may partially explain the inability of NdCCD to cleave  $\beta,\beta$ -carotenoids. However, the  $\beta,\beta$ -carotenoid-cleaving enzyme BCO2 also has conserved Phe residues at these positions, indicating that other factors also determine whether bicyclic carotenoids are accepted or excluded. The scissile bond is oriented with respect to the metal center such that an end-on bound O<sub>2</sub> molecule, modeled as suggested from computational studies (49, 50), would be well aligned with the C14'–C13' bond for dioxetane formation (Fig. 7*A* and *B*). To further illustrate how the active site selects this mode of substrate binding, we register-shifted the substrate by one double bond inward or outward to place the C15'–C15' or C12'–C11' bonds in position to be cleaved (*SI Appendix, Fig. S14*). However, these modes of binding resulted in severe steric clashes in the central active site and substrate entry portal involving residues Phe120, Tyr220, His222, Val126, Phe252, and





**Fig. 6.** Mode of apocarotenoid binding to the *NdCCD* active site and its comparison to RPE65. (A) A two-dimensional representation of the *NdCCD*– $\beta$ -apo-14'-carotenal complex showing numerous van der Waals contacts (radial lines surrounding atoms of the ligand) with both the protein as well as waters buried in the active site cavity that together enforce the observed mode of binding. The terminal carbonyl oxygen forms a strong hydrogen bond (dashed line) with a solvent molecule that is bound to the metal center. (B) Three-dimensional view of the *NdCCD*–product complex. Residues within 4.5 Å of the bound apocarotenoid (orange sticks) are shown as wheat-color sticks. The cobalt ion is shown as a salmon-colored sphere, and waters in proximity to the apocarotenoid are shown as red spheres. The electrostatic surface, calculated with APBS (47), in the vicinity of the bound apocarotenoid is shown, with red and blue representing negative and positive electrostatic potential, respectively. (C) Three-dimensional view of the RPE65 in complex with the 11-*cis*-retinoid mimetic emixustat (PDB accession code 4RSC). Residues within 4.5 Å of the emixustat molecule (orange sticks) are shown as wheat-colored sticks. The iron ion is shown as a brown sphere, and waters are shown as in A. A fragment of the iron-bound palmitate ligand is shown as wheat-colored sticks. Note the conserved negative electrostatic potential found in the central active site regions of both proteins as well as the presence of buried water molecules in the central region of both active sites. Also note the conformational difference between Phe252 in *NdCCD* and Tyr275 in RPE65 (equivalent positions in the CCD alignment as shown in *SI Appendix, Fig. S3*) that produces significantly different shapes near the substrate entrance. Other residues labeled in B and C are identical or highly conserved between *NdCCD*, RPE65, and other metazoan CCDs.

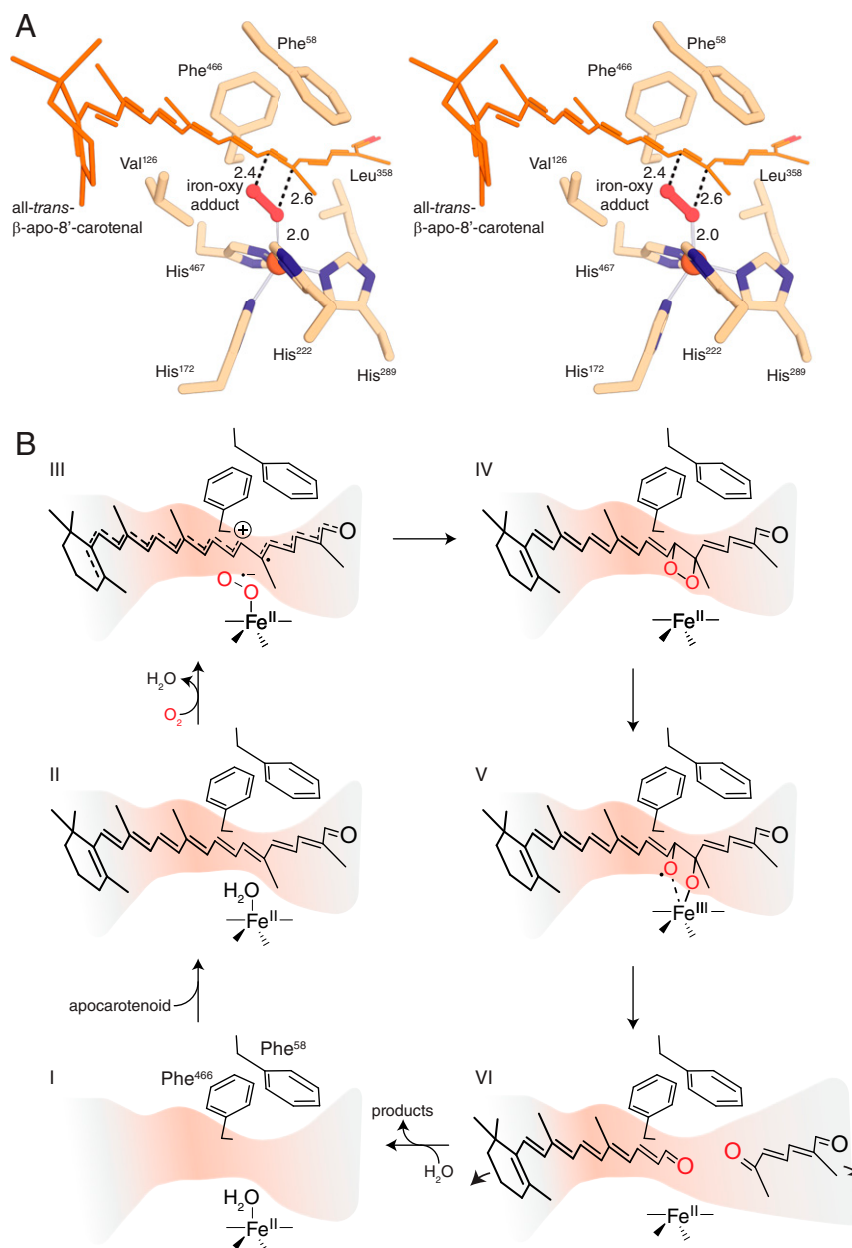
Glu140 as well as the buried water molecules. Our results indicate that the regioselectivity of *NdCCD* is enforced by multiple sites within the entry portal and central regions of the active site

cavity. This conclusion is further supported by homology modeling of *CbCCD*, which, as demonstrated above, exhibits a regioselectivity identical to that of *NdCCD*. Inspection of the *CbCCD* model shows that residues forming the central active site are perfectly conserved between *CbCCD* and *NdCCD* (*SI Appendix, Fig. S15A*). By contrast, significant differences occur at the  $\beta$ -ionone ring binding site, in addition to smaller differences in the distal cavity. Most notably, Phe and Arg residues at positions 120 and 98 in *NdCCD* are replaced by smaller Pro and Ser residues in *CbCCD*, which reduces side chain bulk that conceivably could be involved in bottleneck formation and control of regioselectivity (*SI Appendix, Fig. S15B*). Despite this change in the substrate entry portal structure, C14'–C13' regioselectivity is maintained in *CbCCD*. Taken together, the crystal structure and modeling experiments thoroughly explain the cleavage specificity of *NdCCD* for the C14'–C13' double bond of  $\beta$ -apocarotenoid substrates.

## Discussion

The foregoing results expand our understanding of CCD enzymology from a number of perspectives. Specifically, we have demonstrated that 1) carotenoid-cleaving CCDs exist in the domain archaea; 2) the archaeal enzyme focused upon in this work, *NdCCD*, has a close phylogenetic, structural, and enzymatic relationship to metazoan as well as certain bacterial CCDs; 3) *NdCCD* catalyzes C14'–C13' oxidative cleavage of apocarotenoids, a previously described enzymatic activity that was never molecularly linked to a specific gene but results in products of biological importance; and 4) this regioselective cleavage is governed by the geometry of both the entry portal and central region of the *NdCCD* active site tunnel—a finding that is likely broadly applicable to the biologically important metazoan CCD clade. Below we expand upon the implications of these results for understanding CCD structure and function.

***NdCCD* Illuminates the Determinants of Substrate Specificity, Catalytic Activity, and Regioselectivity within Metazoan CCDs.** We have shown that the overall architecture and physicochemical properties of the *NdCCD* and RPE65 active sites are remarkably similar given the vast evolutionary distance that separates them. Specifically, we have established that the central region of the active site, which encompasses most of the residues interacting with the apocarotenoid polyene chain and those surrounding the metal center, is highly conserved between these two proteins. This conservation indicates that apocarotenoid substrates of metazoan CCDs capable of cleaving such compounds are bound in a manner similar to that observed for *NdCCD*. A possible exception is the C9–C10 cleavage of apocarotenoids by some BCO2 orthologs (51). Preservation of the apocarotenoid orientation in this case would require stable binding of the substrate  $\beta$ -ionone ring within the narrow central active site close to the iron center, which seems unlikely given the strong sequence and structural conservation in this region. Instead, the  $\beta$ -ionone ring is more likely accommodated in the distal pocket with the end region of the polyene bound within the central active site. Not all BCO2 proteins are active toward apocarotenoids (52), so this potential difference is likely an exception rather than the rule. Moreover, our demonstration that the central active site region of *NdCCD* helps enforce regioselectivity suggests that this functional role is conserved throughout metazoan CCDs. Prior studies on *SynACO* posited that regioselectivity in this enzyme was controlled through a “bottleneck” mechanism whereby the depth of apocarotenoid insertion into the active site is controlled primarily by a constriction at the substrate entry portal that prevents passage of the bulky  $\beta$ -ionone ring of the substrate (13). However, subsequent mutagenesis of candidate residues forming the proposed bottleneck revealed that multiple sites within the



**Fig. 7.** Proposed mechanism for apocarotenoid cleavage by *NdCCD*. (A) A composite model of *NdCCD* in complex with  $\beta$ -apo-8'-carotenal and O<sub>2</sub> shown in walleye stereo view. The model was generated by manually overlaying the substrate onto the experimentally determined structure of the bound product with a  $\sim 30^\circ$  rotation to the C13'-C12' bond made to avoid a clash with Leu358. The geometry of the metal center and orientation of the scissile bond suggest that an end-on binding mode for O<sub>2</sub> would be best suited for appropriate reactivity. (B) Proposed catalytic cycle for *NdCCD*. I, Resting state structure with the iron in a five-coordinate state. II, Apocarotenoid binds with little conformational change to the active site structure. Dissociation of the aquo ligand may be promoted by its close interaction with the apocarotenoid. III, The aquo ligand is replaced by O<sub>2</sub>, with simultaneous electron transfer from the apocarotenoid to the iron-oxy complex, an intermediate suggested by computational studies (49, 50). The negative surface potential (red color) may help to stabilize the cationic intermediate formed during this step. IV, End-on binding of O<sub>2</sub> positions it appropriately for dioxetane formation with the target C14'-C13' alkene. V, Decomposition of the dioxetane is facilitated by temporary oxidation of the Fe<sup>II</sup> center to the +3 state as suggested by the computational studies referenced in step III. VI, The cleavage products dissociate, possibly through the two distinct openings, and water rebinds to the iron, restoring the active site to the resting state.

substrate binding cleft must jointly contribute to holding the substrate in proper position, as the site of cleavage was maintained in all mutants examined (53). The work presented here supports the idea that regioselectivity is similarly determined by multiple substrate-enzyme interaction points in *NdCCD* and, by extension, metazoan CCDs. This idea is buttressed by our finding that C14'-C13' regioselectivity is maintained in an *NdCCD* homolog from *C. burnetii* that differs substantially from *NdCCD* in

the structure of its substrate entry portal. In light of the high level of structural conservation between *NdCCD* and metazoan CCDs, we expect that the orientation of the scissile alkene bond with respect to the metal center as determined from the *NdCCD*-product complex serves as an accurate model for animal CCDs.

Given the conservation of central active site residues in direct contact with the carotenoid/retinoid substrate at both the

primary and tertiary structural levels, it is clear that sequence changes altering substrate specificity or catalytic activity must occur primarily at the active site entrance, the distal pocket, or residues that more subtly alter the conformations of residues lining the central active site. Indeed, mutagenesis (23, 51), phylogenetic (54, 55), and structural (41) studies are consistent with all of these mechanisms being operative in different metazoan CCDs. As an illustration of this point, residues thought to be responsible for stabilization of a catalytically critical retinyl cation intermediate in RPE65, namely Phe103 and Thr147 (41), are structurally conserved in *NdCCD*. Additionally, both proteins organize negative electrostatic surface potentials in the vicinity of the polyene that could help stabilize cationic reaction intermediates (Fig. 6 B and C). However, differences between these two enzymes at their substrate entry portal where the  $\beta$ -ionone ring is positioned result in markedly different cavity shapes that likely help determine whether or not the polyene is isomerically remodeled during catalysis. A difference of particular importance occurs at position 252 in *NdCCD*, where a Phe is substituted with a Tyr (position 275) in RPE65. These residues adopt two different conformations that contribute to the bent shape of the RPE65 binding pocket as opposed to the linear geometry observed for *NdCCD* (Fig. 6 B and C). This structural difference is reflected in the conformations of the bound ligands in these proteins. Specifically, emixustat adopts a bent conformation thought to mimic the structure of an 11-*cis*-retinoid (41), whereas 3-hydroxy- $\beta$ -apo-14'-carotenal binds in an extended all-*trans* configuration. In addition to these unique features of the substrate entry portal, static or dynamic variations within the distal binding pocket and at the central active site constriction must also contribute to the ability of CCDs to accommodate their particular substrates. Although the *NdCCD* active site constriction in the vicinity of the metal center would appear *prima facie* to prevent passage of a  $\beta$ -ionone ring, it is important to note that the residues responsible for the constriction are conserved in some  $\beta$ , $\beta$ -carotenoid cleaving enzymes, including BCO2. In such enzymes, these side chains likely exhibit dynamics not observed in our *NdCCD* crystal structure that allow passage of the  $\beta$ -ionone ring. Instead, variations within the distal binding pocket must determine the particularities and specificities of structures that can be accommodated in a given enzyme.

Recently, crystal structures of CCDs belonging to a subfamily whose members cleave resveratrol and related phenylpropanoids rather than carotenoids have been obtained in complex with substrates and products (35, 56). The orientation of the scissile bond in the *NdCCD*-apocarotenoid structure differs substantially from that of these stilbenoid-cleaving CCDs (35), where the labile double bond is shifted by  $\sim 2$  Å as a result of dramatically different active-site architecture (*SI Appendix*, Fig. S16). Knowledge of this positional shift will be important for future computational and experimental studies aimed at elucidating the nature of reactive carotenoid and oxygen intermediates generated during the catalytic cycles of metazoan CCDs.

**Relationship of *NdCCD* to Bacterial and Eukaryotic CCDs Implicates Horizontal Gene Transfer as a Mechanism for CCD Acquisition.** An interesting observation from our analysis of *NdCCD* is that this enzyme bears the highest sequence similarity and phylogenetic relatedness to a variety of bacterial CCDs instead of archaea homologs. This apparent phyletic discrepancy suggests that *NdCCD* was acquired within the lineage leading to *N. devanattera* by horizontal gene transfer instead of by vertical descent from the last archaeal common ancestor. This hypothesis is supported by several additional lines of evidence. First, CCDs are rarely found in members of the TACK superphylum so that a vertical mode of transmission would require assumption of

several gene losses. Additionally, domain-wide phylogenetic analysis of the archaea has suggested that the ancestor of TACK archaea was anaerobic (19), which would appear to limit a survival benefit from expression of a dioxygenase enzyme. On the contrary, bacteria to archaea HGT is a well-established phenomenon (20), in particular the transfer of metabolic genes from bacteria to mesophilic archaea such as *N. devanattera* (57). Moreover, several of the CCDs most similar to *NdCCD* are from bacteria that occupy the same ecological niche as *N. devanattera* within agricultural soil (17). Collectively, these findings indicate that our HGT hypothesis is mechanistically easily envisioned.

Recent studies have indicated that Eukarya emerged from a common ancestor with Asgard archaea (21). It is thus interesting that CCDs are sparsely found within Asgard superphylum metagenomes reported to date and are absent in known Heimdal-larchaeota, the closest known prokaryotic relatives of eukaryotes (58). Based on these observations and the occurrence of metazoan-like CCDs in the more distantly related TACK superphylum and bacteria, it is tempting to speculate that CCDs found in present-day eukaryotes did not derive from a single gene within the first common ancestor of eukaryotes (59). Instead, it seems more likely that CCDs were acquired by eukaryote lineages via nuclear gene transfer from internalized endosymbionts or potentially from external organisms. However, this hypothesis requires further detailed study to be confirmed or refuted and may become more or less likely as our knowledge of archaeal diversity and physiology becomes more complete.

#### Health and Environmental Implications of C14'–C13' Apocarotenoid Cleavage Activity.

As noted earlier, the C14'–C13' apocarotenoid cleavage enzymatic activity possessed by *NdCCD* was first described over 20 y ago during investigation of carotenoid cleavage in rat and rabbit intestinal lysates (33). Similarly, an enzyme that cleaves  $\beta$ -carotene specifically at the C14'–C13' (C13–C14) bond was also reported from vertebrate intestinal mucosa lysates (60). However, subsequent cloning of vertebrate CCDs did not reveal any enzymes with C14'–C13' cleavage activity, and this eccentric cleavage pathway has remained an orphan enzymatic activity. Our discovery of this activity being associated with a prokaryotic CCD gene that appears to be widely distributed among archaea and bacteria, including pathogens and common resident organisms of the intestinal lumen, provides a plausible explanation to reconcile these enzymatic and genomic data. Specifically, the intestinal mucosa used for the cleavage experiments are likely to have contained substantial amounts of microbiota, some of which could have expressed *NdCCD*-related proteins giving rise to the observed C14'–C13' carotenoid cleavage activity. If correct, the production of  $\beta$ -apo-14'-apocarotenal by resident microbes including gut-associated archaea (e.g., halophiles [61]) and bacteria or pathogens such as *C. burnetii* could have implications for animal physiology and health, since this compound is known to modulate the activity of nuclear hormone receptors, including retinoic acid receptors (29) and peroxisome proliferator-activated receptors (62; reviewed in ref. 63). Because retinoic acid signaling is known to play a critical role in immune system function, including the induction of lymphocyte homing to the gut (64),  $\beta$ -apo-14'-carotenal production by resident or pathogenic prokaryotes could potentially have a profound impact on gastrointestinal physiology. Disruption of host carotenoid/retinoid metabolism was also proposed for a CCD from *Mycobacterium tuberculosis* that produces  $\beta$ -apo-14'-carotenal as one of several products (30). It will be of interest to further verify the phylogenetically inferred activity of these *NdCCD*/*CbCCD*-related prokaryotic CCDs and examine the impact of genetic or pharmacologic CCD inhibition



on the physiology and pathogenicity of the organisms that harbor them.

## Materials and Methods

Details regarding phylogenetic analysis, protein expression and purification, enzymatic assays, spectroscopic methods, and crystal structure determination are provided in the *SI Appendix, Materials and Methods*.

**Data Availability.** Crystallographic coordinates and structure factor amplitudes have been deposited in the Protein Data Bank under accession codes 6VCF (Fe-NdCCD), 6VCG (Co-NdCCD), and 6VCH (Co-NdCCD-product complex). All other data are included in the manuscript or *SI Appendix*.

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