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### Authors

Li, Yang  
Samant, Pratik  
Wang, Siqi  
[et al.](#)

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## 3D X-ray-induced Acoustic Computed Tomography with a Spherical Array: A Simulation Study on Bone Imaging

**Y. Li,**

Shandong Key Laboratory of Medical Physics and Image Processing, Shandong Institute of Industrial Technology for Health Sciences and Precision Medicine, School of Physics and Electronics, Shandong Normal University, Jinan, Shandong 250358, China.

**P. Samant,**

The School of Biomedical Engineering at the University of Oklahoma, Norman, US

**S. Wang,**

The School of Electrical and Computer Engineering at the University of Oklahoma, Norman, US

**A. Behrooz,**

Alphabet, Inc.

**D. Li<sup>\*</sup>,**

Shandong Key Laboratory of Medical Physics and Image Processing, Shandong Institute of Industrial Technology for Health Sciences and Precision Medicine, School of Physics and Electronics, Shandong Normal University, Jinan, Shandong 250358, China.

**L. Xiang<sup>\*</sup>**

The School of Electrical and Computer Engineering at the University of Oklahoma, Norman, US

### Abstract

X-ray-induced acoustic computed tomography (XACT) is a promising imaging modality combining high x-ray absorption contrast with the 3D propagation advantages provided by high resolution ultrasound waves. The purpose of this study was to optimize the configuration of a 3D XACT imaging system for bone imaging. A 280 ultrasonic sensors with peak frequency of 10 MHz was designed to distribute on a spherical surface to optimize the 3D volumetric imaging capability. We performed both theoretical calculations and simulations of this optimized XACT imaging configuration on a mouse-sized digital phantom containing various X-ray absorption coefficients. Iteration algorithm based on total variation has been used for 3D XACT image reconstruction. The spatial resolution of imaging was estimated to about 130  $\mu\text{m}$  along both axial and lateral directions. We simulate XACT imaging of bone microstructures using digital phantoms generated from microCT images of real biological samples, showing XACT imaging can provide high resolution imaging of the mouse paw. Results of this study will greatly enhance the potential of XACT imaging in the evaluation of bone diseases for future clinical use.

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<sup>\*</sup>(dengwang.li@139.com), (xianglzh@ou.edu).

## Keywords

X-ray-induced Acoustic Computed Tomography (XACT); X-ray absorption; 3D ultrasound array; 3D volumetric imaging; bone density map

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## I Introduction

Bone's resistance to fracture depends on several factors, such as bone mass, microarchitecture, and tissue material properties [1]. The gold standard assessment of bone strength in clinic is performed by dual-energy x-ray absorptiometry (DEXA). It can measure bone mineral density (BMD) and trabecular bone score (TBS). Although it is considered the major predictor of bone strength, BMD only accounts for about 70% of fragility fractures. Moreover, BMD measured by DEXA imaging is limited by its two-dimensional nature, and it cannot capture the three-dimensional bone microarchitecture [2, 3]. An obvious pitfall of this method is that a larger bone will convey superior strength but may in fact have the same bone density as a smaller bone. Three-dimensional imaging modalities have held the potential to provide a much more comprehensive understanding of bone microarchitecture. State-of-the-art 3D bone imaging modalities, including magnetic resonance imaging (MRI) [4, 5], high resolution peripheral quantitative computed tomography (HR-pQCT) [6, 7], and modern multidetector row CT (MDCT) [8] have been investigated for quantitative assessment of bone microarchitecture at peripheral skeletal sites. Despite considerable success, these techniques suffer from slow scanning that causes motion artifacts [9], smaller field of view (FOV) susceptible to positioning error [10]; and, in the case of MRI, failure to provide quantitative BMD measures[8]. Micro-CT has achieved widespread use in the laboratory for imaging animal models with high imaging resolution. The application of Micro-CT to clinical research is limited to examine bone biopsy specimens because of its large radiation dose and invasiveness of the procedure [11]. Accurate measurement of these skeletal parameters through the development of better imaging technologies is critical to advancing fracture risk assessment and informing clinicians on the best treatment strategy.

In 2013, XACT imaging for biomedical purposes was first proposed and demonstrated and has since been investigated by different groups all over the world in various applications [12–18]. In XACT imaging, pulsed x-ray excitation of a sample results in localized heating (<mK), and subsequent thermoelastic expansion, this results in the emission of a detectable acoustic wave in the ultrasound regime, with an amplitude proportional to x-ray absorption. The conventional XACT imaging system utilizes a single ultrasound transducer and requires mechanical scanning for acquisition of a two-dimensional (2D) image, leading to tens of minutes of scanning [12, 19–21]. Recently, a new system [22], consisting of a sample that is irradiated by a nanosecond pulsed X-ray source, uses a ring array to capture the X-ray induced acoustic waves, and only single 2D slices of the sample can be obtained. In order to achieve 3D imaging capability, simulation is needed to design and optimize the new XACT imaging system before the prototype is being built.

In this paper, we use computer simulate to design and optimize the 3D XACT imaging system for volumetric bone imaging. Both theoretical calculation and simulations have been

performed to optimize the ultrasound array detector configuration. *K*-wave simulation was used to simulate the X-ray induced acoustic signal generation, propagation, and attenuation in a digital phantom generated from micro-CT images. Then, iteration algorithm based on total variation has been used for 3D XACT image reconstruction and tested on three different simulation experiments.

## II. Methods

### A. Theory of XACT imaging

**1) X-ray induced acoustic signal generation and detection**—In XACT, we detect the acoustic signals generated by X-rays. When thermal confinement is satisfied, the physical models of XA signal generation and propagation for an arbitrary absorbing target can be written as [23],

$$\left( \nabla^2 - \frac{1}{v_s} \frac{\partial^2}{\partial t^2} \right) p(\vec{r}, t) = - \frac{\beta}{C_p} \frac{\partial H(\vec{r}, t)}{\partial t} \quad (1)$$

where  $p(\vec{r}, t)$  denotes the acoustic pressure rise at location  $\vec{r}$  and time  $t$ ,  $v_s$  is the speed of sound,  $\beta$  denotes the thermal expansion coefficient,  $C_p$  denotes the specific heat capacity at a constant pressure, and  $H(\vec{r}, t)$  is the heating function. In the case of delta function excitation, the initial pressure rise  $p_0$  related to X-ray absorption can be expressed by [23],

$$p_0 = \Gamma \cdot \eta_{th} \cdot \mu \cdot F \quad (2)$$

$\Gamma = \frac{\beta V_s^2}{C_p}$  is the Gruneisen absorbed energy that is converted to heat.  $\eta_{th}$  is the x-ray absorption coefficient, and  $F$  is the x-ray fluence with a unit of  $J/m^2$ . Since x-ray fluence attenuates exponentially when the photon propagation in the medium. Therefore, the X-ray fluence in distance  $r$  from the position of the X-ray source can be expressed as

$$F(r) = \frac{n \cdot E \cdot e}{A} e^{-\mu_A \rho r} \quad (3)$$

where  $n$  is the number of x-ray photons which set to  $10^9$  per pulse,  $\mu_A$  is the mass-energy absorption coefficient, and  $E$  is the effective X-ray energy which is set to 20 KeV in the simulation [25, 26].  $e$  is the electric charge with a value of  $1.6 \times \frac{10^{-19} J}{eV}$ .  $A$  is the radiation area of the X-ray beam. TABLE I lists all the parameters for bone [27]. Then equation (2) can be written as,

$$p_0 = \Gamma \eta_{th} \mu_a \frac{n E e}{A} \rho e^{-\mu_{en} \rho (r - r_0)} = M \rho(r) \quad (4)$$

where  $p(r) = \rho e^{-\mu_a \rho (r - r_0)}$ ,  $r_0$  represents the entrance position of X-ray beam, and  $M = \Gamma \eta_{th} \mu_a \frac{n E e}{A}$  is a constant. Therefore, there is a linear relationship between initial pressure and bone density.

## B. Relationship between initial pressure and bone density

In our simulation study, we need to find out the relationship between initial pressure and the bone density matrix. The workflow is outlined below:

- a. Micro-CT DICOM images from nu/nu adult mice were obtained [28], and  $30 \times 30 \times 20$  voxels from the mouse's paw as the region of interest (ROI) were selected and segmented using a threshold-based approach.
- b. The HU values of the DICOM images can be extracted using the formula

$$HU = pixel \times slope + Intercept \quad (5)$$

where the values of slope and intercept were 1 and  $-731$ , respectively, which can be found from the Micro-CT DICOM data.

- c. The true density of the mouse bone is calculated based on the principle of the built-in module. Fat and muscle with fixed CT values were selected from the mice as calibrators. Through a large number of clinical trials and physical tests, the CT value of pure fat was  $-106.26$ , and the CT value of pure muscle was  $31.74$  [29]. The CT value of the corrected bone can be obtained by the formula,

$$CT = A \times HU + B \quad (6)$$

where A and B are obtained from the CT and HU values of fat and muscle, which are  $0.46$  and  $-773.26$ , respectively. The density of fat and the density of muscle are  $0.11 \text{ g/cm}^3$  and  $1.09 \text{ g/cm}^3$ , respectively. The linear relationship between bone mineral density and CT value can be expressed as [30],

$$BMD = a \times CT + b \quad (7)$$

The density and CT values of fat and muscle were taken into equation (7), and the values of a and b were calculated. Equation (7) can be written as formula

$$BMD = 0.0013 \times CT + 1.049 \quad (8)$$

The bone density distribution of mice can be calculated by Equation (8).

## C. Design of spherically curved array transducer

To optimize 3D XACT imaging, we simulated a spherically curved array transducer to capture X-ray-induced acoustic signals. For 3D XACT imaging, the x-ray pulse irradiates the target from the top, and a 3D sphere transducer array is used to capture the XA signals generated by the x-ray (Fig. 1(a)). Figure 2(a) shows the arrangement of transducers on a spherically curved surface which consists of 280 elements, forming seven tracks of equal width at a peak frequency of 10 MHz with 60% bandwidth. A hole of 12.38 mm diameter in the center of the transducer array allows the delivery of cone beam X-rays to the targets. The transducer had a detection angle of 92 degrees and a spherical radius of curvature of 40 mm. The element size was roughly  $3 \text{ mm} \times 3 \text{ mm}$ . The field of view of this design can be calculated as [31],

$$\xi < \frac{R}{2d}\lambda \approx \frac{20}{3}\lambda \quad (9)$$

where  $\xi$  is distance from the center of the focal plane,  $\lambda$  is the acoustic wavelength in the medium of tissue or water,  $R$  is the focal length, and  $d$  is a pitch between adjacent elements. Therefore, the field of view of the ultrasound array is calculated in which  $-6$ -dB lateral and axial spacing as 2.4 and 3.9 mm respectively.

#### D. K-wave simulations

We use the MATLAB *K-Wave* toolbox [32] to simulate X-ray-induced ultrasonic wave generation, propagation and attenuation in the bone. To demonstrate the imaging capability, we designed three testing models. Model 1 is a module consisting of five spherical absorbers, each of which has a radius of 300  $\mu\text{m}$  (Fig.3(b)). It has been placed at the following coordinates: (0, 0, 0); (0, -10, 0); (0, 10, 0); (-10, 0, 0); and (10, 0, 0). Model 2 is a complex neuron-like structure (Fig.3(a)). Model 3 is bone density map of the mouse paw described in section B. The k-wave simulation was run in 3-D.

#### E. 3D XACT image reconstruction algorithm

Considering only partial projection data is available with the 3D spherical array detectors in this design, we propose to use a variation regularized iterative method for XACT image reconstruction [33]. Briefly, the inverse problem to be solved is

$$f = Ap_0 + \epsilon \quad (10)$$

Where  $p_0$  is the initial pressure distribution to be recovered,  $f$  is the measured acoustic pressure as a function of time, and  $\epsilon$  is an additional measurement error. The linear operator  $A$  models both ultrasonic wave propagation in the tissue and the measurement process. Numerical models of wave propagation can be used within the variational image reconstruction framework to find a regularized least-squares solution of (10) by solving the optimization problem,

$$P_{rec} = \underset{p_0}{\operatorname{argmin}} \left\{ \frac{1}{2} \|A p_0 - f\|_2^2 + \lambda J(p_0) \right\} \quad (11)$$

Here,  $\lambda > 0$  is a regularization parameter and  $J(p_0)$  is a suitable regularization functional that aims to encode *a priori* knowledge about the true solution,  $p_0$ . Then, an iterative reconstruction method based on a variational model is performed as described in Refs [30]. The image is updated by calculating the residual of the reconstructed image and the residual of the actual signal and iterated to obtain the reconstructed image. In the process of iterative reconstruction, the image with the smallest variation is obtained by the gradient descent method.

### III. RESULTS

#### A. Imaging resolution test

In order to investigate how the orientation of the ultrasound transducer array will affect the achievable resolution and overall quality of the XACT images, we first tested the imaging resolution in 3D. A single 100- $\mu\text{m}$  XA point source moves along the x-, y-, and z-axes and the FWHM of the point source has been measured at different locations in three dimensions (Fig. 3(a)). When the point source moves 0, 2, 4, and 6 mm away from the center of the array along the X-axial direction, the FWHMs are 123  $\mu\text{m}$ , 132  $\mu\text{m}$ , 170  $\mu\text{m}$ , and 275  $\mu\text{m}$ , respectively. When the point source moves 0, 2, 4, and 6 mm away from the center of the array along the Y-axial direction, the FWHMs are 125  $\mu\text{m}$ , 132  $\mu\text{m}$ , 170  $\mu\text{m}$ , and 275  $\mu\text{m}$ , respectively. When the point source moves away from the center of the array along the Z-axial direction by -2, 0, 2, 4, and 6 mm, the FWHMs are 350  $\mu\text{m}$ , 130  $\mu\text{m}$ , 500  $\mu\text{m}$ , 950  $\mu\text{m}$ , and 1300  $\mu\text{m}$ , respectively. We conclude that spatial resolution of this XACT imaging system is in the range of 123  $\mu\text{m}$ –130  $\mu\text{m}$ .

#### B. XACT image reconstruction of model 1

In addition to the imaging resolution test, five spherical X-ray absorbers with radius of 300  $\mu\text{m}$  in a region of interest (ROI) was designed to test the imaging capability. The absorbers were positioned at the following coordinates (in voxels): (0, 0, 0); (0, 0, 10); (0, 10, 0); (-10, 0, 0); and (10, 0, 0) (Fig. 3(b)). In order to demonstrate that our 3D XACT system can be used for bone density map in 3D, we have simulated XACT imaging on mouse paws -10, 0; (0, 10, 0); (-10, 0, 0); and (10, 0, 0) (Fig. 3(c)). Fig. 3(c) shows Initial pressure (left) and reconstructed image (middle) in the x-y plane and profile plot (right) along the blue dashed line from the reconstructed image. The FWHM of three balls from left to right in Fig. 3(b) are 570, 440 and 570  $\mu\text{m}$ , respectively. And Fig. 3(d) shows the corresponding images in x-z plane. The FWHM of the ball along the z-axis direction (green dotted line) is 442 $\mu\text{m}$ . Therefore, the designed XACT system has the best imaging resolution when the target is closer to the center of the detector array.

#### C. XACT image reconstruction of model 2

In order to improve the image quality of XACT imaging, we compared time-reversal image reconstruction algorithm and iterative image reconstruction algorithm for a complex neuron like structure as shown in Fig. 4.

Fig. 4(a) shows the XA initial in x-y, x-z and y-z planes. Fig. 4(b) shows the reconstructed XACT images in the same x-y, x-z and y-z plane using time-reversal algorithm. Fig. 4(c) shows the reconstructed XACT images using an iterative algorithm converged with 25 iterations. The zoom-in images show that the image quality is better by using the iterative algorithm compared with the time-reversal image reconstruction algorithm.

Fig. 5(a)–(d) show reconstructed XACT images in x-y plane using iterative algorithm with 10, 15, 20, 25 iterations, respectively. Figure 5 shows that the reconstructed pressure value will become closer to the real pressure as the number of iterations increase. However, the computation time will also increase.

#### D. 3D XACT image reconstruction of model 3

In order to demonstrate the 3D imaging capability of the designed system, we have simulated XACT imaging on mouse paws.

*In vivo* micro-CT DICOM images from nu/nu adult mice were obtained from a GE eXplore scanner with scan settings of 100 kV and 50 mA. The voxel size of the microCT images is about 100  $\mu\text{m}$  [28]. A region of interest (ROI) with  $30 \times 30 \times 20$  voxels have been selected from a mouse paw, and the images have been segmented by using a threshold-based approach. In this study, tissues with a HU value greater than 3000 were defined as mouse bone. The detailed simulation workflow is described in section B and illustrated in Fig.1(b). The initial pressure was calculated by equation (4) for each point  $\vec{r}$  in 3D. Fig. 6(b) shows the reconstructed image in a section perpendicular to the x-y plane with 450  $\mu\text{m}$  between each slide. Fig. 6(c) shows the reconstructed XACT image of the bone density distribution on the mouse paw in 3D.

Fig. 7 shows the 3D XACT images of the mouse paw in different angles. Fig. 7(a) shows the initial pressure of the bone density distribution on the mouse paw in different angles. Fig. 7 (b) shows the corresponding 3D XACT images of the bone microstructure. These simulations clearly demonstrate that XACT imaging can be used to visualize the bone microstructure in three dimensions.

### IV. Discussions

#### A. Comparison between time reversal method and iterative algorithm based on total variation method

The time reversal algorithm is an efficient and popular tomographic image reconstruction method for XACT image reconstruction in the cases where the complete data set is available [22] which is similar in many photoacoustic/optoacoustic imaging settings [34–36]. However, XACT measurement data are often incomplete (limited detection view and sparse sampling) for future clinical application, which results in artefacts in the images reconstructed with time reversal algorithm. To address this challenge, we propose to improve time reversal algorithm based on a variation regularized iterative method for XACT image reconstruction. In scenarios with incomplete or sub-sampled data, numerical model of wave propagation was used within the variational image reconstruction framework to find a proximal gradient descent solution of equation (11) by solving the optimization problem. The results show that the iterative reconstruction algorithm can be applied to a set of incomplete data from a mouse paw (Fig. 6) and the image quality has been improved compared to the standard time reversal algorithm (Fig. 4). Currently it takes 1.5 hours to reconstruct a 3D XACT image on an Intel(R) Core(TM) i5–9300H CPU @ 2.4GHz desktop with a k-grid size of  $300 \times 300 \times 300$ . The image reconstruction speed can be improved on GPU in the future study.

#### B. Relationships between imaging resolution and ultrasound detector configuration

The relationship between the axial and lateral resolution differs depending on the configuration of the ultrasound probe in XACT. The lateral resolution of XACT imaging



using a commercial ultrasonic probe is much lower than the axial resolution, but it can be significantly improved in the case of an array probe with a large angle of aperture. In this simulation, an array of ultrasonic detectors was designed and would be beneficial for future prototype development, considering the following three factors:

1. a large solid angle of the aperture of the ultrasonic detector;
2. a wide bandwidth and high center frequency of the ultrasonic detector match the frequency of the XA signal;
3. The relatively low electrical impedance of the ultrasonic detector avoids impedance mismatch with the cable and preamplifier.

A spherical curved array sensor designed in this paper has a large angular aperture and densely arranged components to achieve high sensitivity. The size of the selected array elements is much larger than the wavelength can avoid a reduction in reception voltage and bandwidth due to cable capacitance in the future prototype. The spatial resolution is in the range of 123–130  $\mu\text{m}$  along both axial and lateral directions in the designed imaging system. However, the field of view of the transducer is limited to 2.4 and 3.9 mm, respectively.

### C. Relationship between bone density and generated XA signals

The XA signal is proportional to the density of the X-ray absorber, and therefore XACT imaging offers a potential method through which the bone density can be quantified in images. Areas of samples with higher density will in turn absorb more x-ray photons, and therefore produce strong X-ray signals. This means that, if desired, it is possible to achieve quantitative bone density measurement with XACT imaging if the heat defect, and Grüneisen coefficient are accurately determined and the transducer and amplification system is well defined and calibrated. This has important biomedical applications towards bone density mapping. However, quantitative imaging so far in XACT has not been achieved. The development of quantitative image reconstruction algorithms remains an important future goal.

### D. Future applications

At present, DEXA is a two-dimensional X-ray based imaging modality recognized as the gold standard for BMD measurement. XACT imaging as alternative to DEXA has the potential to provide 3D volumetric imaging for bone microstructure information. New compact x-ray sources with much shorter pulse duration (down to femtosecond) based on laser driven Betatron and Compton radiation providing X-rays with high flux ( $10^9$  photons/s), and tunable energy (tens of KeV to Mev) could be idea excitation sources for XACT imaging in the future study [37–39]. Moreover, combining with X-ray imaging and ultrasound imaging, triplex imaging can provide rich information in bone density, elasticity information for osteoporosis for future application[40]. Like the rise of photoacoustic imaging in 2003[41–43], the field of X-ray induced acoustic computed tomography has been exponentially growing for various applications after year 2013. We hope to demonstrate the potential of 3D XACT imaging in assessing bone disease for future clinical use.

## V. Conclusions

A simulation study was conducted in this paper to evaluate the configuration of a 3D XACT imaging system optimized for bone imaging. Ultrasonic array with 280 sensors at peak frequencies of 10 MHz were designed to be distributed over the sphere to optimize 3D volumetric imaging capabilities. Theoretical calculations and simulations have been performed on a mouse-sized model containing various X-ray absorption coefficients. An iterative algorithm based on total variation has been used for 3D XACT image reconstruction of bone microstructures on mouse models. The results of this study will greatly enhance the potential of XACT imaging for future clinical applications in assessing bone disease.

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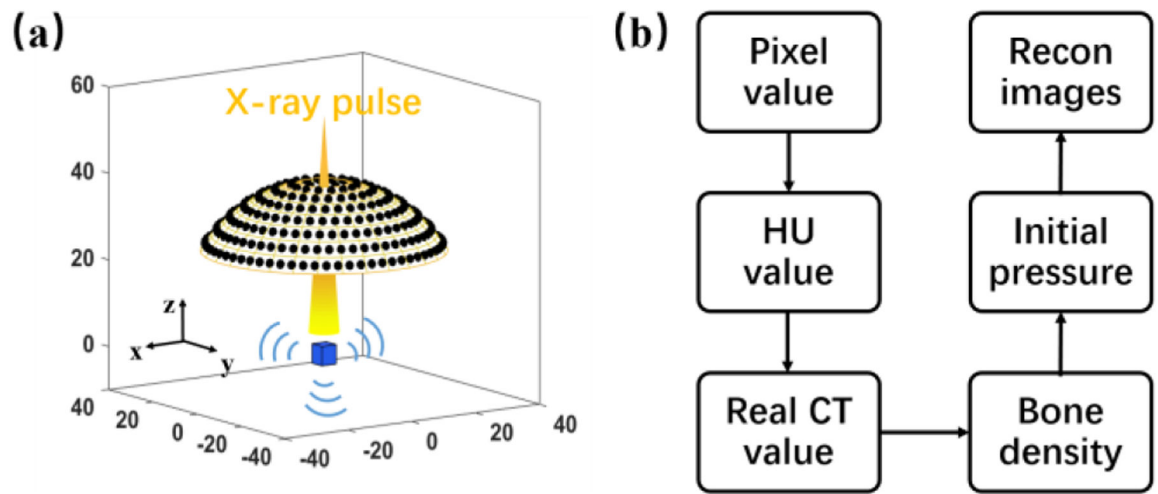
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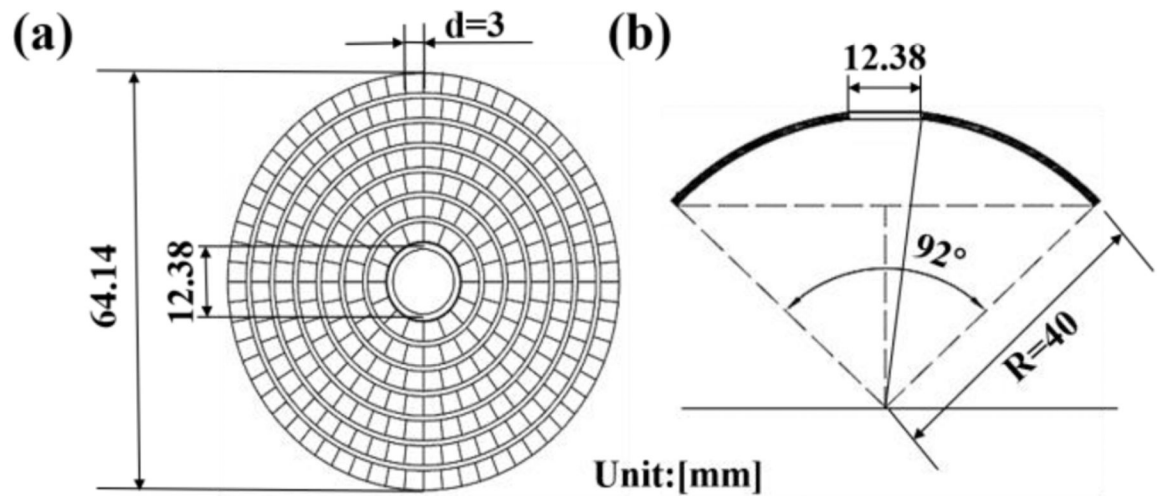
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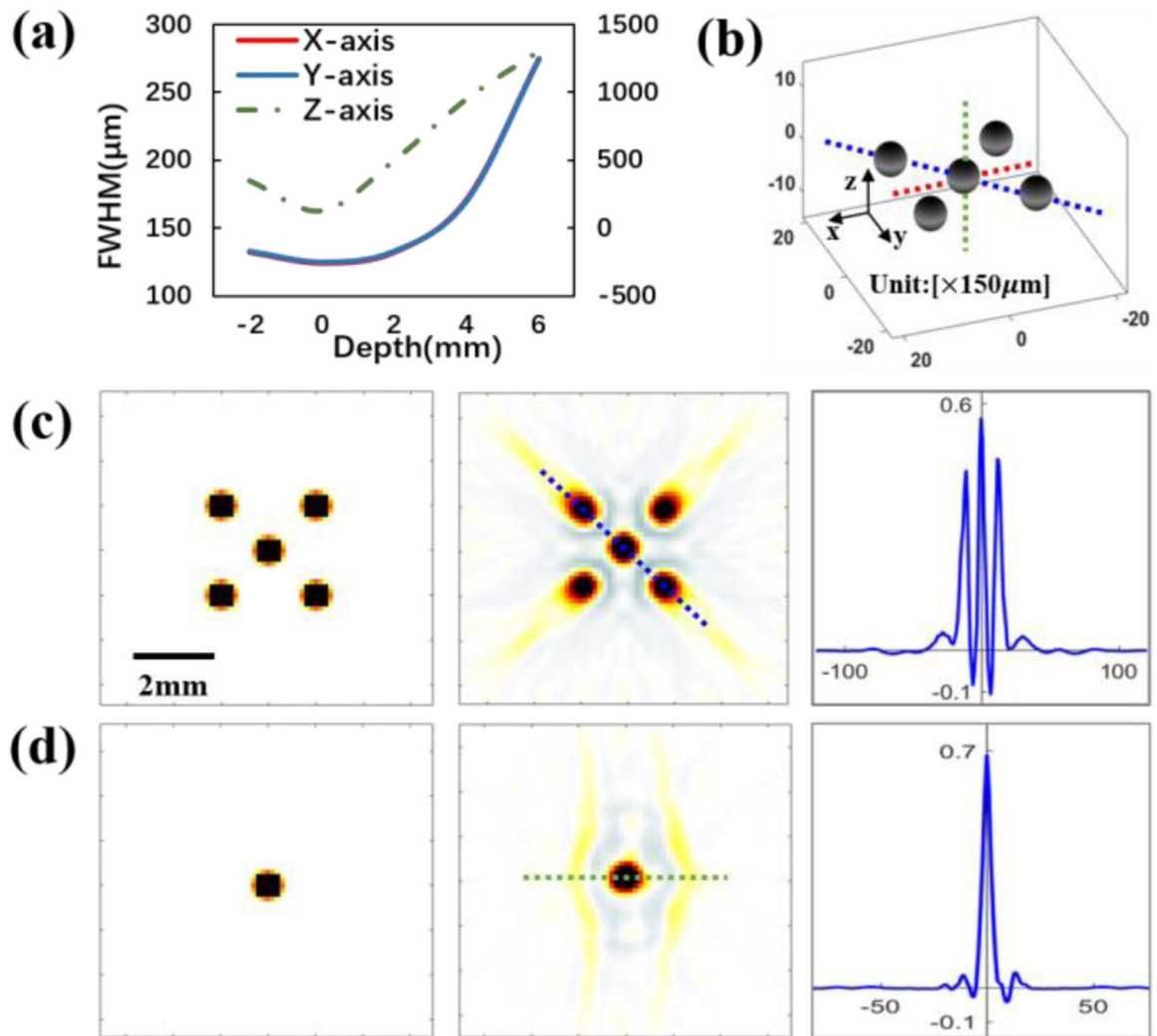
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**Fig. 1.**  
 (a) Schematic diagram of X-ray induced acoustic signal generation and detection. (b) Simulation workflow of XACT on bone imaging.

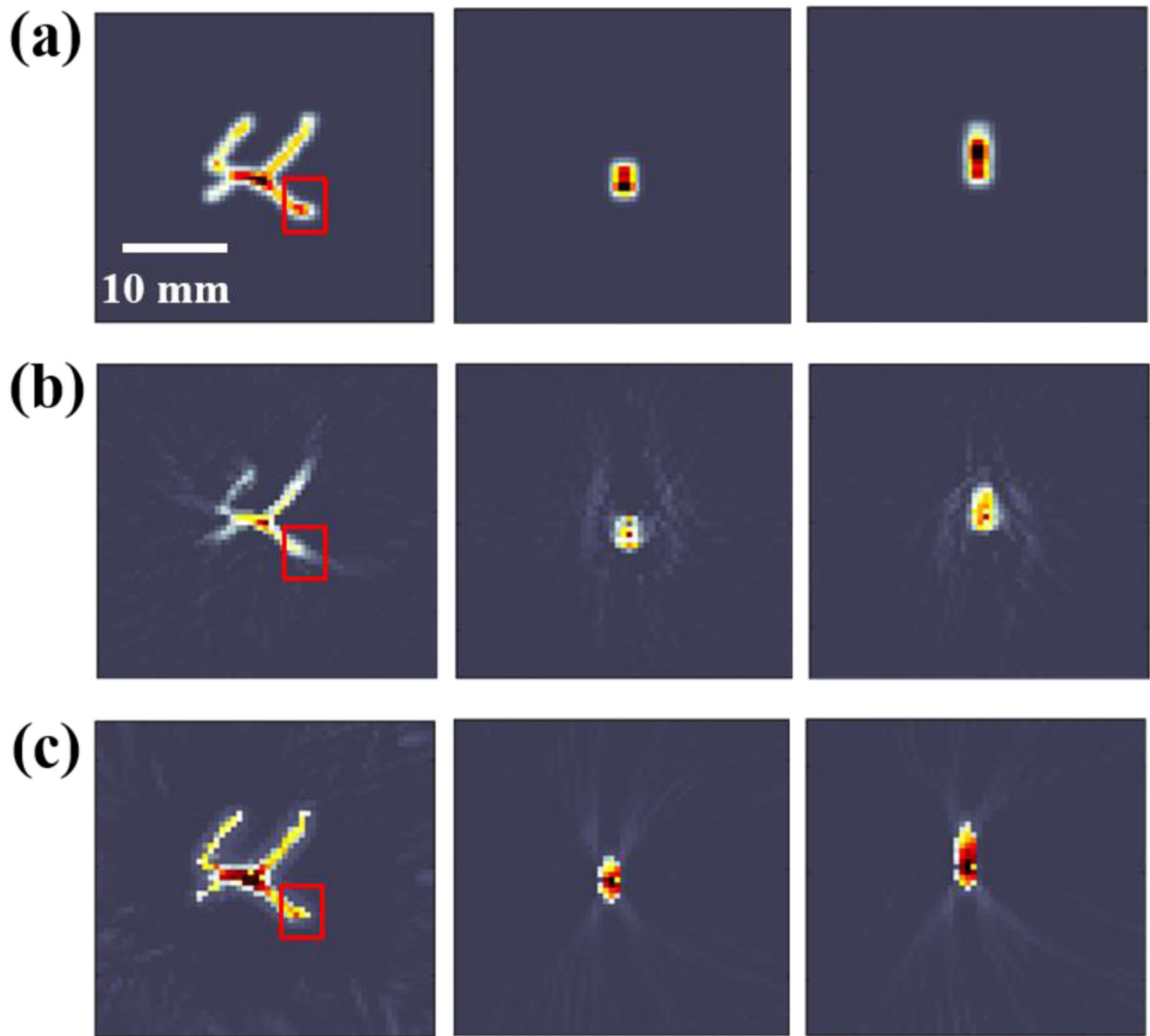


**Fig. 2.** (a) Geometric arrangement of the spherical transducer array. (b) The front view of the array. The array had an opening angle of  $92^\circ$  and a spherical curvature radius of 40 mm.



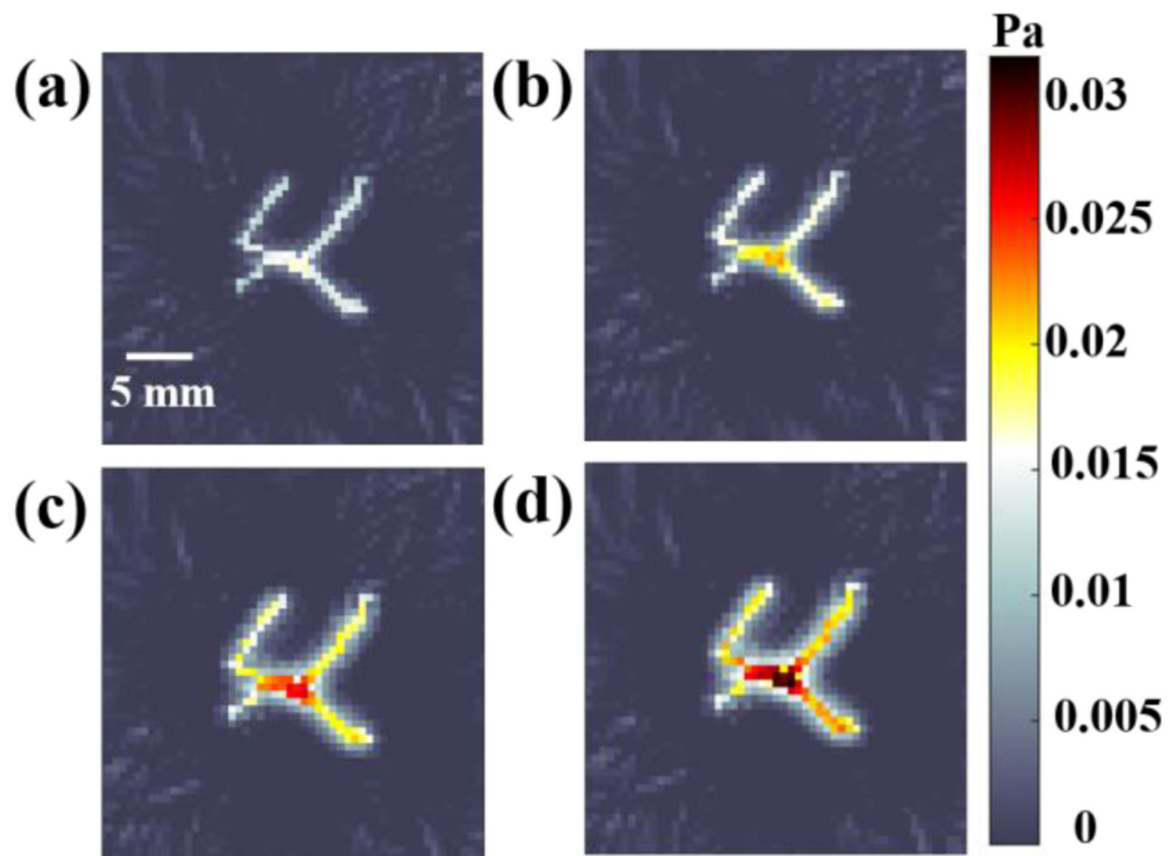
**Fig. 3.** XACT images of model 1. (a) The FWHMs of the point spread function (PSF) varies with the depth in X-axial, Y-axial and Z-axial directions. (b) Sketch of five spherical absorbers. (c) Initial pressure (left) and reconstructed image (middle) in the x-y plane and profile plot (right) along the blue dashed line from the reconstructed image. (d) Initial pressure (left) and reconstructed image on the x-z plane (middle) and profile plot (right) along the green dashed line on the reconstructed image.



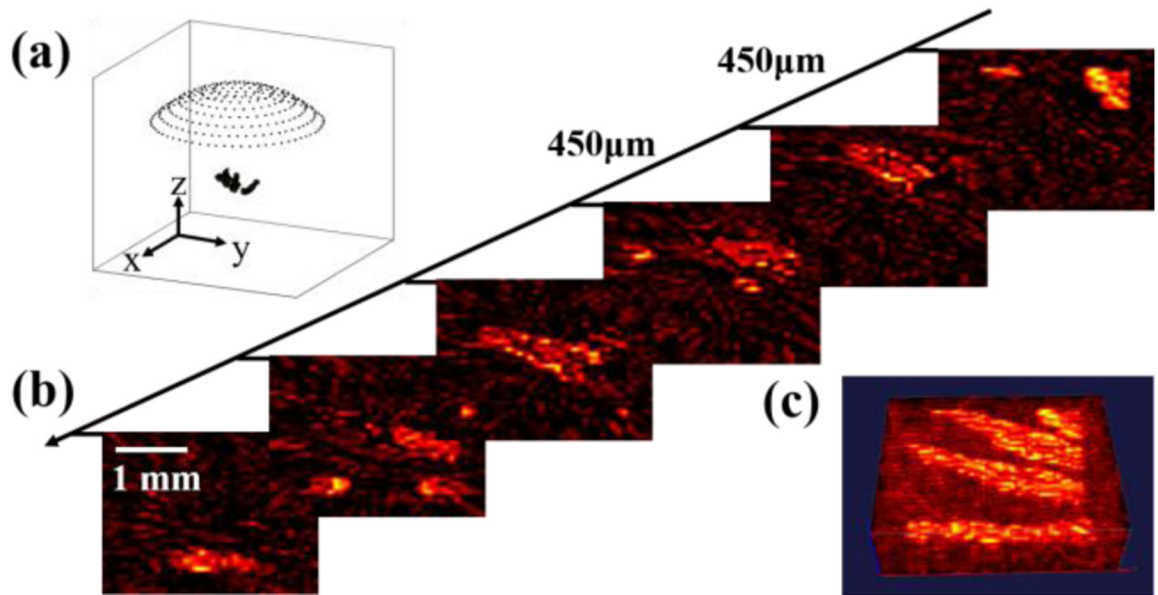


**Fig. 4.** XACT images of model 2. (a) The initial pressure distribution in the  $x$ - $y$ ,  $x$ - $z$  and  $y$ - $z$  planes. (b) Reconstructed XACT image in the same  $x$ - $y$ ,  $x$ - $z$  and  $y$ - $z$  planes using time-reversal algorithm. (c) Reconstructed XACT image in the same  $x$ - $y$ ,  $x$ - $z$  and  $y$ - $z$  planes using an iterative algorithm based on gradient descent converged in 25 iterations.

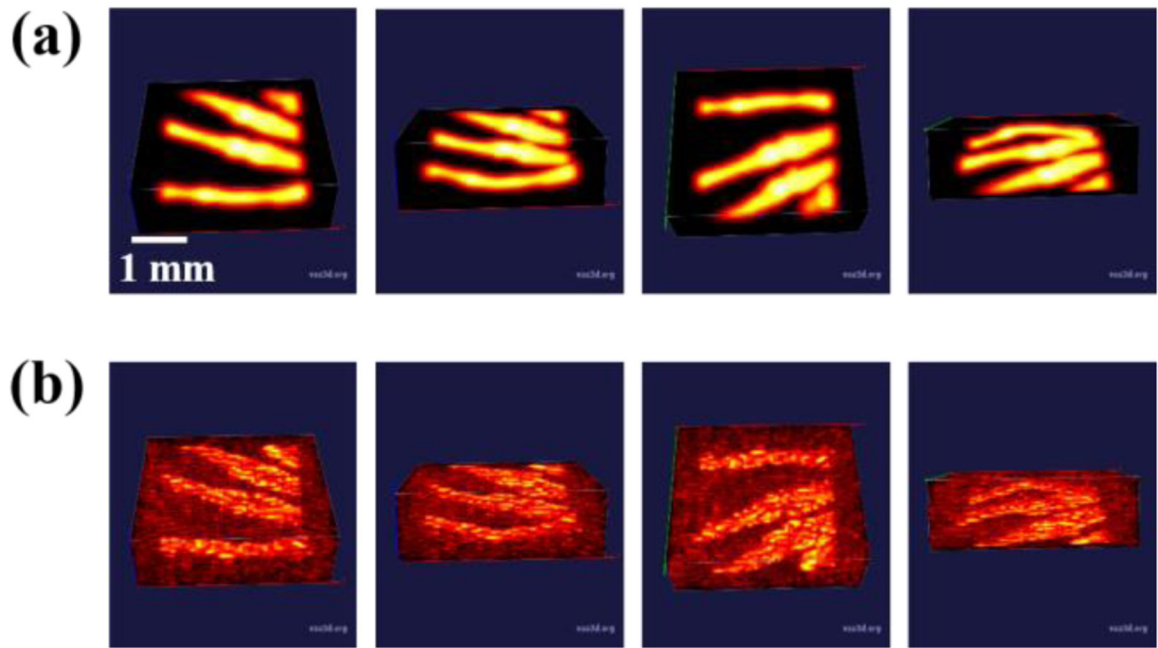




**Fig. 5.** XACT images of model 2 with different iterations. (a)-(d) Reconstructed XACT image in x-y planes using an iterative algorithm in 10, 15, 20, 25 iterations, respectively. All XACT images used the same color bar.



**Fig. 6.**  
 (a) The relative location of the ultrasound array and the imaging target. (b) The 2D reconstructed image slices extracted from a 3D image in the direction which is perpendicular to the x-y plane with 450 μm between each 2D slices. (c) 3D XACT reconstructed image of the bone density distribution on a mouse paw.



**Fig. 7.** 3D XACT images on paw in different angles. (a) 3D images of the initial pressure of the bone density distribution on the mouse paw. (b) 3D XACT reconstructed images of the bone density distribution on the mouse paw.

**TABLE I**

## THERMAL ACOUSTIC PARAMETERS FOR THE BONE

Parameters	$\beta$ ( $\times 10^{-4}/\text{K}$ )	$v_s$ (m/s)	$C_p$ (J/kg·K)	$\mu_a@20\text{KeV}$ ( $\text{cm}^2/\text{g}$ )
value	0.27	3515	1312.8	4

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