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# Authors

Salazar, James W Meisel, Karl Smith, Eric R <u>et al.</u>

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# **Depression in Patients with Tinnitus: A Systematic Review**

James W. Salazar, MD, MAS<sup>1</sup>, Karl Meisel, MD, MA<sup>2</sup>, Eric R. Smith, MD<sup>3</sup>, Aaron Quiggle, MD, MS<sup>4</sup>, David B. McCoy, MSc<sup>5</sup>, Matthew R. Amans, MD, MSc<sup>5</sup>

<sup>1</sup>Department of Medicine, University of California, San Francisco, California, USA

<sup>2</sup>Department of Neurology, University of California, San Francisco, California, USA

<sup>3</sup>Department of Radiology, Medical College of Wisconsin, Milwaukee, Wisconsin, USA

<sup>4</sup>Department of Psychiatry, University of California, San Francisco, California, USA

<sup>5</sup>Department of Radiology and Biomedical Imaging, University of California, San Francisco, California, USA

# Abstract

**Objective.**—Tinnitus is a condition that causes distress and impairment across cognitive, functional, and psychiatric spectra. In the psychiatric realm, tinnitus has long been associated with depression. To better characterize the co-occurrence of depression and tinnitus, we performed a systematic review of the prevalence of depression among patients with tinnitus.

**Data Sources.**—We comprehensively examined original studies reporting the prevalence of depression in adult populations with tinnitus, as indexed in the PubMed and Web of Science databases and published from January 2006 to August 2016.

**Review Methods.**—All identified articles were reviewed independently by 2 researchers, with a third reviewer for adjudication. Included studies were evaluated for threats to validity across 3 domains—representativeness, response rate, and ascertainment of outcome—on a 4-point modified Newcastle-Ottawa Quality Assessment Scale.

**Results.**—Twenty-eight studies were included, representing 15 countries and 9979 patients with tinnitus. Among the included studies, the median prevalence of depression was 33%, with an interquartile range of 19% to 49% and an overall range of 6% to 84%. Studies were high quality overall, with a mean score of 3.3 (SD = 0.76), and 89% utilized a validated tool to ascertain depression.

Competing interests: Matthew R. Amans, Covidien-consultant, Stryker Neurovascular-consultant.

Supplemental Material

Additional supporting information is available in the online version of the article.

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**Corresponding Author:** Matthew R. Amans, MD, MSc, Department of Radiology and Biomedical Imaging, University of California, San Francisco, 505 Parnassus Avenue, Room L-349, San Francisco, CA 94143, USA. matthew.amans@ucsf.edu. Author Contributions

James W. Salazar, contributed to study design, data collection and analysis, drafting of article; Karl Meisel, contributed to study design, adjudication of data collection, critical revision of article; Eric R. Smith, contributed to interpretation of data, critical revision of article; Aaron Quiggle, contributed to interpretation of data, critical revision of article; David B. McCoy, substantial contribution to the design of the work, critical revision of important intellectual content, final review, and agreement to be accountable for all aspects; Matthew R. Amans, contributed to study design, data collection, critical revision of article.

**Conclusions.**—We conducted one of the largest contemporary comprehensive reviews, which suggests a 33% prevalence of depression among patients with tinnitus. Our review reaffirms that a substantial proportion of patients with tinnitus have depression, and we recommend that all who treat tinnitus should screen and treat their patients for depression, if present.

## Keywords

tinnitus; depression; quality assessment; systematic review

Tinnitus is the perception of sound in the absence of an external auditory stimulus.<sup>1</sup> It is very common; a recent study conducted in the United States reported that tinnitus affects 9.6% of the adult population.<sup>2</sup> Population-based studies of other nations demonstrated similar prevalence estimates ranging from 4.6% to 30%.<sup>3–6</sup> Tinnitus can manifest differently in regard to laterality, loudness, and type of sound. In turn, tinnitus can have varying impacts on the quality of life, from mild annoyance to moderate functional impairment and, in extreme cases, suicide.<sup>7</sup>

Available therapies for tinnitus have limited success and are primarily focused on mitigating the impact of the sound on patients' lives. Success rates of these tools are largely dependent on the patient's perception of severity.<sup>8</sup> As such, characterizing and quantifying the level of distress and impairment across different cognitive, functional, and psychiatric spectra within tinnitus experiencers has long been an active and necessary area of study. A number of studies demonstrated an association between tinnitus and a variety of psychological and psychiatric disorders, most commonly depression.<sup>9,10</sup>

The prevailing theory behind the relationship between tinnitus and depression is that tinnitus triggers depression in depression-prone individuals.<sup>11</sup> Another theory is that the relationship is bidirectional, with a cyclical process unfolding in which psychological processes contribute to worsening awareness and severity of tinnitus.<sup>11</sup> Tinnitus is also a known side effect of a number of antidepressant agents. Although the exact mechanism of the relationship between tinnitus and depression remains a point of debate—and the reported prevalence of depression and tinnitus has varied considerably—there is a broad evidence base demonstrating the association between depression and tinnitus.<sup>9,10</sup> In this study, we aimed to systematically and comprehensively review the current evidence on the prevalence of depression among patients with tinnitus to quantify the extent of depressive distress in this population.

# Methods

This review adhered to the guidelines outlined by the PRISMA statement (Preferred Reporting Items for Systematic Reviews and Meta-analyses).<sup>12</sup> This includes a 27-item checklist and 4-phase flow diagram designed to ensure standard undertaking and reporting of systematic reviews and meta-analyses.

Eligible studies were original studies that reported the prevalence of depression diagnoses or depressive symptoms sensu lato among adult patients (>18 years) with tinnitus. Studies were included regardless of clinical setting, provided that tinnitus was a prominent symptom (eg,

vestibular schwannoma) or was the primary complaint. We excluded studies that were not original full-length research articles, such as poster abstracts, review articles, meta-analyses, and commentaries. Case reports and studies in which there were < 5 patients were also excluded. In addition, articles deemed to be unrelated and excluded were as follows: animal studies, studies that did not describe the prevalence of depression in tinnitus, and studies that reported a prevalence but excluded patients on the basis of a psychiatric condition. Last, we excluded foreign language studies that would require full-text review and did not have a suitable translation.

## Search Strategy

We performed a comprehensive literature search of articles on tinnitus and depression that were indexed in PubMed and Web of Science and published from January 2006 to August 2016 (10 full calendar years from onset of study).

For the PubMed database, we employed keywords from the Medical Subject Headings (MeSH) terms with plain text terms to develop the following advanced search strategy: (tinnitus[MeSH] OR tinnitus) AND ("Depressive Disorder"[MeSH] OR "Depression" [MeSH] or depress\*) AND "adult"[MeSH Terms]. For the Web of Science database, we employed the following search strategy: TS (topic) = (tinnitus AND depress\*). For this search, the following indices were utilized: SCI-EXPANDED, SSCI, A&HCI, CPCI-S, CPCI-SSH, BKCI-S, BKCI-SSH, ESCI, CCR-EXPANDED, IC.

### Screening

All articles identified during the search were independently reviewed by 2 researchers (J.W.S., M.A.) for inclusion on the basis of the inclusion and exclusion criteria. This was completed in 2 stages: first by abstract and then, if meeting criteria, by full-text review. Inconsistencies regarding inclusion of a given study were adjudicated by a third reviewer (K.M.). Foreign language studies were evaluated at the abstract and full-text levels as long as there was a suitable English translation. As the last step of the screening process, studies that were included after full-text review were evaluated for any potential overlap in study base. In the case that there was overlap, we excluded studies that were subpopulations of other studies. The studies resultant of this final screen advanced to quality review and data extraction.

#### **Quality Review and Data Extraction**

Our systematic review aimed to capture a measure of prevalence and thus dealt only with cross-sectional data, even if the original study design was a different type. We therefore elected to apply a modified version of the Newcastle-Ottawa Quality Assessment Scale adapted for cross-sectional studies to assess bias (see supplemental material, available in the online version of the article).<sup>13</sup> We assessed bias in each of the following domains on an overall scale of 0-4: representativeness of the sample, response, and ascertainment of the outcome. Studies that were deemed to be at high risk of bias included (1) those that employed criteria that selected for a narrow subset of the target population of patients with tinnitus (eg, selecting for or excluding a population that had a specific comorbidity), (2) those that did not have a satisfactory response rate (<50%) or did not report a response rate,

and (3) those that used a nonvalidated measure for ascertainment of depression (eg, self-report).

Quality scores were tabulated independently by 2 researchers for each included study. Any discrepancies regarding quality review were discussed, and a consensus was established. This process was also conducted for all other data that were extracted: year of study, instrument, study design, country, and prevalence of depression (by severity, if available). These data were examined and summarized with descriptive statistics, including means, medians, and interquartile ranges.

# Results

## **Study Characteristics**

Our database search identified 590 unique abstracts. Of these, 202 advanced to full-text review, of which 28 were ultimately included in the systematic review (Figure 1).<sup>7,8,11,14–38</sup> The included studies were published between 2007 and 2016 and represented 9979 patients with tinnitus from 15 countries (5 from Brazil, 5 from the United States, and 3 from Germany; 2 each from Italy, Belgium, and Sweden; 1 each from Nigeria, Netherlands, Turkey, Egypt, Switzerland, Japan, Finland, Korea, and Australia). Of the included studies that reported it, the mean age ranged from 36 to 68 years, with the median being 49.2. Of the included studies that reported sex, the percentage male ranged from12.5% to 77.1%, with the median being 51%. A full summary of the characteristics of the included studies is provided in Table I.

### **Quality Assessment**

Of the included studies, quality scores ranged from 2 to 4, with a mean score of 3.3 (SD = 0.76).

With respect to the representativeness of the sample domain, the majority earned a point for being truly or somewhat representative; 46% were rated truly representative (studies that captured all patients in a given period or random sampling); and 25% were rated somewhat representative of the target population (studies that used nonrandom sampling, including those that recruited volunteers in person or via mailed questionnaires). The remaining 29% of studies were rated as utilizing a selected group and did not earn a point. These studies featured substantial inclusion criteria (eg, treatment-resistant tinnitus, severe to profound hearing impairment, concomitant musical hallucinations) or exclusion criteria (eg, chronic disease comorbidity, systemic disease beyond control, Ménière's disease).

With respect to the response domain, 75% of the included studies were awarded a point for achieving comparability between respondents and nonrespondents. These included studies that comprised all patients (avoiding the issue of nonrespondents completely) or studies that were based on nationally representative cohorts (eg, Korea National Health and Nutrition Examination Survey, National Health and Nutrition Examination Survey). The remaining 25% did not achieve a satisfactory response rate (<50% respondents) or, more commonly, did not sufficiently comment on nonrespondent characteristics.

With respect to the ascertainment domain, the majority of studies (89%) earned 2 points for employing a validated measure of depression. The most common validated measures used for evaluating depression were variants of the Beck Depression Index (BDI, BDI-II, abbreviated BDI: 25% of studies) and the Hospital Anxiety and Depression Scale (21% of studies). Other validated measurement tools employed included the Patient Health Questionnaire-9, the Symptom Checklist-90 R, the Hamilton Rating Scale for Depression, and structured interview techniques coupled with criteria from the *Diagnostic and Statistical Manual of Mental Disorders*. Of the 3 studies not earning a point in this domain, 2 employed self-report measures of depression in the form of 1 question on experiencing depressed mood, and 1 utilized a clinical diagnosis of depression, although it did not provide sufficient description of how the diagnosis was ascertained.

### Findings

The median prevalence of depression was 33%, with an interquartile range of 19% to 49%. The overall range was 6% to 84%. Five studies comprising 547 patients provided a breakdown of severe depression; 23% of the total patients scored as severely depressed. Notably, 2 of the groups among the highest prevalence of depression had a significant concomitant symptom: orofacial pain (68%) and musical hallucinations (69%). However, a similar prevalence of depression was also identified in studies without such concomitant conditions.

# Discussion

There are multiple reviews on the association of tinnitus and depression, but this review is the first to systematically review the data on prevalence of depression among patients with tinnitus. In our review, we provide a strong pool of evidence further establishing a substantial burden of depression among patients with tinnitus, with a median prevalence of 33% among our 28 studies and nearly 10,000 patients. This is considerably greater than estimates in comparable general populations. For instance, data from the National Health and Nutritional Examination Survey showed that 8.1% of American adults experienced depressive symptoms in a given 2-week period from 2013 to 2016.<sup>39</sup> Furthermore, the studies that provided a breakdown of depression showed a disproportional number of patients with tinnitus experiencing severe depression.

This review suggests that the burden of depression is comparable to that of other medical conditions, such as poststroke (33%) and myocardial infarction (31%) states.<sup>40,41</sup> Screening for depression among patients with stroke or myocardial infarction is routine, due to a known association with worse clinical outcomes. The impact of depression and its treatment on tinnitus outcomes is not yet fully elucidated and warrants further study outside the scope of this review. However, given the similar burden and the possible cyclical relationship between depression and tinnitus, our results suggest that there may be value in similarly screening and treating depression in cases of tinnitus.

To achieve the highest-quality data, we employed an all-inclusive search query to ensure that our results were applicable to the general population, regardless of clinical setting or cultural differences. Our vast search on PubMed and Web of Science sought a large group of

heterogenous data, thus allowing our findings to be as applicable to the general population as possible. Based on studies from 15 countries during the most recent decade, our data set is one of the largest contemporary analyses to evaluate the prevalence of depression among those affected by tinnitus.<sup>9,42–44</sup> This includes studies written in different languages, albeit with suitable translations into English. Only a minority of screened studies were excluded due to absence of a suitable translation (n = 21).

A major critique of similar large-scale analyses is the lack of validation of the data quality. <sup>42,43</sup> A comparable large study by Trevis et al reported a quality score of 64%.<sup>10</sup> We verified the quality of our data utilizing a modified Newcastle-Ottawa Quality Assessment Scale, achieving a mean score of 3.3 out of 4, indicating that included studies were consistently of high quality with respect to representativeness, response, and use of validated measures of depression. Excluded studies commonly had narrow inclusion criteria, low response rates, and nonvalidated measurements of depression.

A second critique of comparable studies involves the "positive result" bias that occurs with patients presenting to ear, nose, and throat clinics. In theory, these patients are more likely to seek help than the general population, often with worse tinnitus symptoms and a higher likelihood of suffering from concomitant depression. However, a 2010 study by Krog et al focused solely on the general population and found a weak link between tinnitus and depression.<sup>45</sup> To compromise on these polarizing perspectives, our data employed studies including populations of "help seekers" and "non-help seekers" to maximize generalizability. While we sought to include the highest-quality data possible, we chose to avoid excluding several patient populations, namely those with preexisting psychosomatic conditions. For example, a recent study by Pinto et al chose to exclude those with known psychosis, personality and somatoform disorders, otitis, outer ear diseases, Ménière's disease, and somatosensory tinnitus and those with tinnitus due to metabolic derangements.<sup>9</sup> Studies such as this offer select value to a homogenous population yet fail to identify the true prevalence of the largely heterogenous population experiencing tinnitus.

While utilizing a variety of study types to achieve this goal, we acknowledge that a limitation of any systematic review lies within the heterogeneous nature of the data. In our work, this was particularly evident in the variety of tools used to diagnose depression. Although the majority (89%) employed validated measures with various tools, there was heterogeneity in the scores needed to define "depression." Nonetheless, numerous studies found a high degree of convergent validity among assessment measures.<sup>46–48</sup> For example, a recent study commissioned by the National Health Services (Scotland) examining depression severity in the primary care setting found a high concordance in classification across the Hospital Anxiety and Depression Scale, Patient Health Questionnaire-9, and BDI. <sup>49</sup> Additionally, although we quantified an important association between tinnitus and depression, there were limited data on the temporality of onset of tinnitus versus depression. As such, we are limited in our ability to comment on the underpinnings of the association.

Acknowledging the inherent limitations of systematic reviews and meta-analyses, our review still holds much value. It is the most robust contemporary review to quantify a long-studied association of depression and tinnitus from a cohort of high-quality studies, and it

establishes depression to be far more prevalent in the tinnitus population than the general population, similar to other highly morbid diseases, such as myocardial infarction and stroke. Our results suggest that the routine screening and treatment of depression among patients with tinnitus warrant strong consideration and further investigation.

# Supplementary Material

Refer to Web version on PubMed Central for supplementary material.

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## Figure 1.

Flowchart of the article review process to arrive at the final articles included for data extraction and analysis: abstract identification, abstract screening, and full-text screening by tiebreak status.

### Table I.

### Studies Included in the Review.

Study	Year	Country	Tinnitus Population Studied	Instrument	Patients with Tinnitus, n	Men, %	Mean Age or Range, y	Depression Prevalence, %	Quality Score
Adoga <sup>7</sup>	2008	Nigeria	All tinnitus patients who presented to ENT clinic.	HADS	92	45.7	36.0	17.4	4
Andersson <sup>14</sup>	2009	Sweden	Cochlear implant recipients who reported tinnitus	HADS	107	38.7	54.4	5.6	3
Barros Suzuki <sup>15</sup>	2016	Brazil	Patients with tinnitus complaints for > 1 y without improvement with drug therapies and no tinnitus treatment for at least 3 mo	HADS	10	50	41–78	10.0	3
Bartels <sup>16</sup>	2008	Netherlands	Consecutive patients with chronic tinnitus seen at ENT clinic	HADS 8	265	69.8	55.4	49.1	4
Belli <sup>8</sup>	2008	Turkey	Patients with tinnitus symptoms for at least 1 mo with no drug therapies for 3 mo prior to the study	SCI for DSM-III	85	45.6	38.4	5.6	4
Carlsson <sup>17</sup>	2015	Sweden	Patients with severe to profound hearing impairment who reported tinnitus	HADS 8	704	51	68.0	24.6	3
Crocetti <sup>18</sup>	2009	Italy	Patients with idiopathic tinnitus for at least 6 mo (exclusion criteria: Meniere's disease and chronic pain)	BDI	108	66.7	48.0	13.0	4
Das <sup>19</sup>	2012	USA	Patients with nonpulsatile tinnitus of at least 6 mo (exclusion criteria: active diagnosis of any acute or chronic brain- related neurologic condition)	PHQ-9 6	37	54	50.0	24.3	2
Fernandes <sup>20</sup>	2013	Brazil	Patients with orofacial pain and self-reported tinnitus	RDC/TMD	129	17.9	37.7	68.2	3
Folmer <sup>21</sup>	2008	USA	Consecutive patients with chronic tinnitus presenting to ENT clinic for initial appointment	aBDI	196	68.4	52.1	49.0	4
Gomaa <sup>22</sup>	2014	Egypt	Patients with subjective tinnitus associated with hearing loss presenting to ENT clinic	DASS	100	40	20-60	84	4
Granjeiro <sup>23</sup>	2013	Brazil	Patients with tinnitus and normal hearing (exclusion criteria: drug treatment for	BDI	68	45.6	36.8	66.2	3

Study	Year	Country	Tinnitus Population Studied	Instrument	Patients with Tinnitus, n	Men, %	Mean Age or Range, y	Depression Prevalence, %	Quality Score
			tinnitus in past 6 mo; tinnitus <6 mo; history of acoustic trauma, vascular disease, ear surgery, vestibular disorders, head trauma, and neurologic diseases; recent intake of ototoxic drugs and chemo-/radiotherapy).						
Hilgenberg <sup>24</sup>	2012	Brazil	Patients with tinnitus receiving regular dental care (exclusion criteria: Ménière's disease, history of noise exposure or ear surgery or infections; frequent use of headphones; abuse of ototoxic medication or substances, including fluoxetine and alcohol; and systemic diseases [eg, diabetes, hypertension] beyond control)	RDC/TMD	100	16	39.2	70.0	3
Jacques <sup>25</sup>	2013	Belgium	Patients with chronic tinnitus consulting an audiophonology center.	Clinical diagnosis of MDD (exact criteria unclear)	80	_		28.8	2
Langguth <sup>26</sup>	2007	Germany	Consecutive patients presenting to tinnitus clinic for tinnitus as their primary complaint	BDI 10	72	69.4	49.3	55.6	3
Meyer <sup>27</sup>	2014	Switzerland	Chronic tinnitus patients volunteering for EEG study	BDI	24	54.2	39.8	50.0	4
Michikawa <sup>28</sup>	2010	Japan	Patients who self- reported tinnitus in the past year in a community-based cross-sectional study	Self-report question <sup>a</sup>	243	44.2	>65	33.7	2
Milhomem Rocha <sup>29</sup>	2015	Brazil	Concomitant tinnitus and musical hallucinations	SCID	16	12.5	61.4	68.8	3
Nondahl <sup>30</sup>	2011	USA	Patients who self- reported tinnitus in the past year from the Beaver Dam Offspring Study, an epidemiologic cohort study of aging	CES-D 16	345	51.3	50.8	24.6	4
Ooms <sup>11</sup>	2011	Belgium	Consecutive tinnitus patients seen at ENT clinic	BDI-II	136	64.7	49.1	33.1	4
Robinson <sup>31</sup>	2008	USA	Patients with self- reported tinnitus distress volunteering for cognitive-behavior therapy trial (exclusion criterion:	HRSD	65	52	55	24.6	4

Study	Year	Country	Tinnitus Population Studied	Instrument	Patients with Tinnitus, n	Men, %	Mean Age or Range, v	Depression Prevalence, %	Quality Score
			inability to participate in group)						
Salonen <sup>32</sup>	2007	Finland	Subjects who responded to survey on hearing loss and reported tinnitus with or without annoyance	aBDI	343	41.2	70–85	19.0	3
Seo <sup>33</sup>	2016	Korea	Subjects who were part of KNHANES and reported experiencing tinnitus in the past year	Self-report question <sup>b</sup>	3949	_	48.3	18.2	2
Shargorodsky <sup>34</sup>	2010	USA	Subjects who were part of NHANES and reported experiencing tinnitus in the past year.	WHO WMH-CIDI	2265	_	20–39	7.9	4
Sireci <sup>35</sup>	2012	Italy	Patients with tinnitus referred to audiology clinic	SCL 90-R	191	58.1	48.6	33.0	4
Trevis <sup>36</sup>	2016	Australia	Patients who self- identified as experiencing constant tinnitus for at least 3 mo	BDI-II	26	58	40.3	42.3	4
Vtillmann <sup>37</sup>	2013	Germany	Patients with chronic tinnitus responding to mailed questionnaires	HADS 8	118	77.1	55.6	41.5	3
Zirke <sup>38</sup>	2013	Germany	Patients with tinnitus for at least 3 mo admitted to tinnitus center	CIDI	100	45	49.6	37.0	2

Abbreviations: aBDI, abbreviated Beck Depression Inventory; (0–4, no or minimal depression; 5–7, mild depression; 8–15, moderate depression; and 16, severe depression); BDI, Beck Depression Inventory; CES-D, Center for Epidemiologic Studies Depression Scale; CIDI, Composite International Diagnostic Interview (evaluated per criteria of the *International Classification of Diseases, Tenth Revision and Diagnostic and Statistical Manual of Mental Disorders, Fourth Edition*); DASS, Depression, Anxiety and Stress Scale; DSM-III, *Diagnostic and Statistical Manual of Mental Disorders, Fourth Edition*); DASS, Depression, Anxiety and Stress Scale; DSM-III, *Diagnostic and Statistical Manual of Mental Disorders, Third Edition*; EEG, electroencephalogram; ENT, ear, nose, and throat; HADS, Hospital Anxiety and Depression Scale (unless otherwise stated, >10); HRSD, Hamilton Rating Scale for Depression; KNHANES, Korea National Health and Nutrition Examination Survey; MDD, major depressive disorder; NHANES, National Health and Nutrition Examination Survey; PHQ-9, Patient Health Questionnaire-9; RDC/TMD, Research Diagnostic Criteria for Temporomandibular Disorders; SCI, Structured Clinical Interview; SCL 90-R, Symptom Checklist-90 R; WHO WMH-CIDI, World Health Organization World Mental Health Composite International Diagnostic Interview.

<sup>a</sup>Depression was evaluated with the question "Do you feel sad, depressed, or miserable?"

<sup>b</sup>Depression was evaluated with the question "Have you experienced a depressed mood for 2 or more continuous weeks during the previous year?"