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## Ischemic stroke/transient ischemic attack events and carotid artery disease in the absence of or with minimal coronary artery calcification: Results from the Multi-Ethnic Study of Atherosclerosis

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### Abstract

**Background and aims**—The association between minimally elevated coronary artery calcification (CAC) and cerebrovascular disease is not well known. We assessed whether individuals with minimal CAC (Agatston scores of 1–10) have higher ischemic stroke or transient ischemic attack (TIA) frequencies compared with those with no CAC. We also investigated the relative prevalence of carotid atherosclerosis in these two groups.

**Methods**—A total of 3924 participants from the Multi-Ethnic Study of Atherosclerosis (MESA) without previous cardiovascular events, including stroke, and with baseline CAC scores of 0–10

**Conflict of interest** 

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Matthew J. Budoff: NIH, General Electric Healthcare. The other authors have nothing to disclose.

Author contributions

KO, RN, RLM, WB, IC, NN, SR, HQ, MK and MJB designed the study, and KO and RN and RLM wrote the initial draft of the manuscript. RLM, JFP and RLS contributed to the analysis and interpretation of data and assisted in the preparation of the manuscript. All authors have contributed to data collection and interpretation and critically reviewed the manuscript.

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**Results**—During a median follow-up of 13.2 years, 130 participants developed incident ischemic stroke/TIA. There was no significant difference in the ischemic stroke/TIA incidence between those with minimal CAC and no CAC (3.7 *versus* 2.7 per 1000 person-years). In participants with minimal CAC, we observed a significant association of the condition with an internal carotid artery (ICA) that had a greater-than-average IMT (ICA-IMT;  $\beta = 0.071$ , p = 0.001) and a higher odds ratio (OR) for carotid artery plaques (OR 1.46; with a 95% confidence interval [CI] of 1.18–1.80; p < 0.001).

**Conclusions**—A CAC score of 0–10 is associated with a low rate of ischemic stroke/TIA, and thus a minimal CAC score is not a valuable predictive marker for ischemic stroke/TIA. A minimal CAC score may, however, provide an early and asymptomatic sign of carotid artery disease.

#### Keywords

Ischemic stroke/Transient ischemic attack; Coronary artery calcification; Carotid artery lesion; Carotid artery intima-media thickness

#### 1. Introduction

Coronary artery calcification (CAC) is an established marker of subclinical atherosclerosis and an independent predictor of future coronary heart disease  $(CHD)^{1-3}$ , cardiovascular disease (CVD)<sup>4</sup> and all-cause mortality<sup>5</sup>. Individuals with even minimal CAC scores may have a higher risk of CHD and atherosclerotic cardiovascular disease including stroke compared with those without CAC, which suggests that patients with minimal CAC represent a distinct risk group  $^{6-9}$ . In contrast, the negative predictive value of the absence of CAC is also well established for major adverse cardiovascular events <sup>10</sup>, and individuals with an absence of CAC have a low mortality rate regardless of risk factors.<sup>3, 11</sup> Similar associations with ischemic stroke/transient ischemic attack (TIA) have been reported for coronary atherosclerosis as assessed by CAC.<sup>12-17</sup> A recent publication from the Multi-Ethnic Study of Atherosclerosis (MESA) showed an increase in ischemic stroke/TIA risk in individuals with a low CAC score of 1-99 compared with those without CAC.<sup>15, 18</sup> In contrast, the prognostic value of a minimal CAC score of 1–10, compared with no CAC, relative to the incidence of ischemic stroke/TIA has not been fully investigated. We hypothesize that individuals with minimal CAC could have a higher risk of ischemic stroke/TIA compared with those without CAC. Moreover, carotid artery atherosclerosis, which potentially causes ischemic stroke/TIA, could be greater in individuals with minimal CAC relative to those without CAC because of a positive association of CAC and carotid artery atherosclerosis.<sup>19, 20</sup>

In this study, we assessed whether individuals with minimal CAC (corresponding to an Agatston score of 1–10) have similar or higher ischemic stroke/TIA event rates when compared with those without CAC. We also investigated the prevalence of carotid artery atherosclerosis in individuals with minimal or no CAC.

### 2. Materials and methods

#### 2.1. Study population

The MESA design has been described in detail.<sup>21</sup> The MESA is a prospective observational cohort of 6814 men and women who, at baseline, were free of clinical cardiovascular disease and were 45–84 years old. Patient history of clinical cardiovascular disease was assessed by self-reported information of a physician-diagnosed heart attack or angina or the prescribed use of nitroglycerin; physician-diagnosed stroke or TIA; physician-diagnosed heart failure; current atrial fibrillation or having undergone procedures related to cardiovascular disease (coronary artery bypass graft surgery, angioplasty, valve replacement, pacemaker or defibrillator implantation, any surgery on the heart or arteries). The participants were recruited from six field centers in Baltimore, Maryland; Chicago, Illinois; Forsyth County, North Carolina; Los Angeles, California; New York, New York and St. Paul, Minnesota. The specific racial/ethnic groups recruited were Caucasian, African-American, Hispanic and Chinese. Participants were enrolled from July 2000 through September 2002. The study was approved by the Institutional Review Boards at each site, and all participants gave written informed consent. In this study, we included 3924 MESA participants with baseline CAC scores of 0–10.

#### 2.2. Risk factors

As part of the baseline examination, staff at each of the six centers collected information about cardiovascular risk factors. Medical history, anthropometric measurements and laboratory data were collected during the first examination of individuals from the MESA cohort (July 2000 to August 2002). Information about age, gender, ethnicity and medical history was obtained through questionnaires. "Current smoking" was defined as cigarette smoking in the last 30 days, whereas "former smoker" was defined as an individual who had not smoked in the last 30 days but had smoked 100 cigarettes in his/her lifetime. Diabetes was defined as fasting glucose of 7.0 mmol/l (126 mg/dl) or use of hypoglycemic drugs. Hypertension was defined as a systolic blood pressure of 140 mm Hg, diastolic blood pressure of 90 mm Hg or use of antihypertensive medication. Total cholesterol, high-density lipoprotein (HDL) cholesterol and triglyceride levels from blood samples obtained after a 12-h fast were measured at the Collaborative Studies Clinical Laboratory at Fairview-University Medical Center (Minneapolis, Minnesota). Low-density lipoprotein (LDL) cholesterol was calculated with the Friedewald equation.<sup>22</sup>

#### 2.3. CAC measurements

The MESA methods for computed tomography (CT) scanning and interpretation have been published.<sup>23</sup> CAC was assessed at all six MESA sites during the first examination of individuals from the MESA cohort by using either a cardiac-gated electron-beam CT scanner (Chicago, Los Angeles and New York field centers) or a multi-detector CT system (Baltimore, Forsyth County and St. Paul field centers). We used the average Agatston score from the two scans in all analyses, and the results were calibrated against a phantom containing known densities of calcium hydroxyapatite. All CT scans were read by a trained radiologist or cardiologist at a central reading center (Los Angeles Biomedical Research

Institute at Harbor UCLA), who were blinded to all clinical and demographic patient information.

#### 2.4. Carotid artery assessments

At the first examination, B-mode ultrasonography was used to image the near and far walls of the right and left distal CCA, carotid bulb and proximal ICA using a Logiq 700 ultrasound system (General Electric Medical Systems, 13 MHz transducer). The carotid bifurcations and ICAs were examined thoroughly at 9 MHz from both longitudinal and transverse approaches to identify the thickest regions. Images were stored on super-VHS videotape and digitized on a workstation at the Tufts Medical Center Ultrasound Reading Center. Methods for measuring and interpreting carotid intima-media thickness (IMT) have been reported.<sup>24</sup> Carotid artery assessments were made by blinded researchers. The mean maximal IMTs of the CCA (CCA-IMT) and carotid bulb + internal carotid artery (ICA) (ICA-IMT) were obtained by averaging the bilateral maximal measurements from the near and far walls at each projection. Carotid lesions were screened in either the CCA or ICA/ carotid bulb. Carotid lesions was classified into six categories, numbered 0-5, with a 0 50-74%; 4, 75-99%; 5, 100%) and, moreover, we further subdivided these categories into those with and without stenotic lesion, which were defined as the presence or absence of carotid artery plaques, respectively.<sup>25</sup>

The inter-scan reproducibility was obtained by having the same reader blindly read a baseline scan and a separate repeat ultrasound examination. The correlation coefficients were 0.92 (95% CI: 0.89 to 0.94) for the CCA-IMT (n = 143) and 0.90 (95% CI: 0.86–0.95) for the ICA-IMT (n = 140). Moreover, for carotid plaque presence/absence, intra-reader reproducibility was  $\kappa$ =0.89 (95% CI, 0.85–0.92).

#### 2.5. Stroke/TIA

Participants were followed from baseline examination (2000–2002) through 2016. They were contacted by telephone every 9–12 months to inquire about interim hospital admissions, cardiovascular outpatient diagnoses and deaths. To verify self-reported diagnoses, information was collected from death certificates and medical records for all hospitalizations and outpatient cardiovascular diagnoses.<sup>26</sup> Stroke was defined as the rapid onset of documented focal neurological deficit lasting 24 h or until death, or, if the deficit lasted <24 h, a diagnosis of stroke was confirmed if there was a clinically relevant lesion on brain imaging (typically CT or magnetic resonance imaging) and no nonvascular cause. TIA was defined as a focal neurological deficit lasting <24 h without detection of stroke by brain imaging. Patients with focal neurological deficits secondary to brain trauma, tumor, infections or other non-vascular cause were excluded. Stroke/TIA events were adjudicated by a MESA committee that included cardiologists, physician-epidemiologists and neurologists. The reviewers were blinded to the study data. If a disagreement between reviewers persisted after review and adjudication, the case was discussed and a consensus reached. A detailed description of the adjudication process has been published.<sup>21</sup>

#### 2.6. Statistical analysis

The association of CAC score subsets with the presence of carotid artery plaque was assessed using multivariable-adjusted logistic regression, and with CCA-IMT and ICA-IMT data using multivariable linear regression analysis. The values were adjusted for age, gender, race, body mass index (BMI), diabetes mellitus, systolic/diastolic blood pressure, total cholesterol, HDL cholesterol, cigarette smoking status, use of blood pressure–lowering agents, family history of heart attack, family history of stroke and statin use. Event rates were estimated by dividing the number of events by the number of person-years at risk. Only the first stroke/TIA event for each participant was included. The multivariable-adjusted Cox proportional hazards model was used to assess the hazard ratio (HR) of minimal CAC with the incidence of stroke/TIA. The model was adjusted for age, gender and race/ethnicity.

#### 3. Results

This study population consisted of 3924 asymptomatic individuals without known ischemic stroke/TIA at baseline (mean age: 58.4±9.3 years; 38.2% males). Of the 3924 individuals, 3416 (87%) had a CAC score of zero and 508 (13%) individuals had minimal CAC (CAC score of 1–10). As shown in Table 1, individuals with a minimal CAC score were more likely to be male, older and Caucasian and to have hypertension, dyslipidemia and a family history of heart attack. Overall, 130 ischemic stroke/TIA events (3.3%) including 99 ischemic strokes and 31 TIAs were observed in the total study population over a median 13.2-year follow-up. In 111 (3.3%) individuals with a CAC score of zero and 19 (3.7%) with a minimal CAC score, ischemic stroke/TIA occurred with annual incident rates per 1000 persons of 2.70 and 3.22, respectively (Fig. 1). Although individuals with a minimal CAC score had a slightly higher incidence of ischemic stroke/TIA compared with those with a CAC score of zero, the association was not significant in either an unadjusted model (HR 1.20, 95% CI 0.74–1.95, p=0.46), or in a model adjusted for age, gender and race/ethnicity (HR 1.05, 95% CI 0.64–1.73, p=0.84). In addition, using ischemic stroke alone as the outcome, the unadjusted HR for minimal CAC was 1.25 (95% CI 0.72-2.17, p=0.422) and the HR adjusted for age, gender and race was 1.06 (95% CI 0.61–1.86, p=0.83).

A comparison of maximum CCA-IMT and ICA-IMT values between the subjects with a CAC score of zero and those with a minimal CAC score is shown in Fig. 2. Compared with individuals with a CAC score of zero, those with a minimal CAC score had significantly higher values for the ICA-IMT ( $0.89\pm0.42$  mm *vs.*  $1.03\pm0.54$  mm, *p*<0.001) and CCA-IMT ( $0.82\pm0.17$  mm *vs.* $0.86\pm0.20$  mm, *p*<0.001), and a higher prevalence of carotid artery plaques (27.2% *vs.* 41.0%, *p*<0.001) (Table 1).

Multivariable-adjustment analysis showed no correlation between a minimal CAC score and a greater-than-average CCA-IMT (coefficient 0.009, 95% CI –0.005 to 0.023, p=0.223). In contrast, compared with those with a CAC score of zero, individuals with a minimal CAC score showed a greater-than-average ICA-IMT (coefficient 0.071, 95% CI 0.031–0.111, p=0.001) and higher odds of having a carotid artery plaque (OR 1.457, 95% CI 1.182–1.795, p<0.001) (Tables 2 and 3).

#### 4. Discussion

This large, population-based, multi-ethnic study with over a decade of follow-up demonstrated a low rate of ischemic stroke/TIA among individuals with either the absence of CAC or minimal CAC scores. Meanwhile, there was no significant difference in the ischemic stroke/TIA incidence between those two groups, suggesting that a minimal CAC score is not suitable for identifying individuals at high risk of ischemic stroke and TIA, even though those individuals are potentially at higher risk for CHD/CVD when compared with individuals with CAC scores of zero. Meanwhile, individuals with minimal CAC scores had significantly higher ICA-IMT and CCA-IMT values, which was consistent with our previous findings.<sup>6</sup> Moreover, the presence of a minimal CAC score showed a significant correlation with an increased probability of having carotid artery plaques and larger ICA-IMT values after adjusting for conventional cardiovascular risk factors, suggesting that a minimal CAC score may provide an early and asymptomatic sign of carotid artery disease.

In the current study, we focused on ischemic stroke/TIA and showed a non-significant association between the CAC score and ischemic stroke/TIA incidence. The association was not significant even in an unadjusted analysis, and there was only a 5% increase in the HR in a model adjusted for age, gender and race/ethnicity. Potentially, CAC may not be the best marker to assess ischemic stroke/TIA. An increased CAC score may, therefore, be a stronger predictor of CHD than of ischemic stroke/TIA, which may vary simply due to the extent of the vascular territory that is affected. Whereas several studies have shown a positive association of the CAC score with the occurrence of ischemic stroke/TIA <sup>14, 15, 18, 27</sup>, there are no available data concerning the prognostic value of CAC for ischemic stroke/TIA in those with a minimal CAC score. We observed annualized ischemic stroke/TIA rates of 2.70 per 1000 persons for individuals with a zero CAC score and 3.22 per 1000 persons for those with a minimal CAC score. Both rates are comparable to those observed in the general population.<sup>28</sup> These results support a classification scheme in which individuals with minimal CAC score of zero.

Our study showed a significant association between a minimal CAC score and a prevalence of carotid artery plaque and a higher ICA-IMT. These results support a previous investigation that found an increase in incident CHD among subjects with a minimal CAC.<sup>6</sup> Several studies have shown the robustness of the relationship between carotid artery plaque/ ICA-IMT and CHD/CVD.<sup>17, 29–31</sup> A recent MESA study confirmed the predictive value of the presence of carotid artery plaque for CHD/CVD as compared with conventional risk factor models only.<sup>17</sup> Moreover, recent studies have shown a positive association between ICA-IMT and CHD/CVD and confirmed the predictive, reclassifying or discriminative value of this measurement for the risk of CHD/CVD. <sup>25, 32, 33</sup> Our data suggest that individuals with minimally increased CAC scores might have a higher risk for CHD/CVD but apparently do not have an increased risk of ischemic stroke/TIA. A carotid artery evaluation even in low-risk individuals with CAC scores of 0–10 may provide predictive value for the early detection of subclinical CHD/CVD.

The strengths of our study include its large sample size, multi-ethnic nature of the cohort, adjudicated ischemic stroke/TIA, and the long duration of follow-up. Some limitations of the present report should, however, be addressed. First, the number of ischemic stroke/TIA was relatively small— there were only 19 ischemic stroke/TIA events in the minimal CAC score group, so these results must be interpreted cautiously. The numbers were also inadequate to evaluate the ethnic-specific risk of minimal CAC. In addition, 63% of the individuals with CAC score of zero and 51% of those with minimal CAC were female. The observation that females are overrepresented in the overall sample (62%) may have affected the lower event rate in the study. Second, as in other similar studies, the analysis did not consider the effect of any change of preventive medical therapy during the follow-up, and this may have affected our event rate and results. Third, a carotid artery assessment was not performed on all individuals with a CAC score of 0–10. The completion rate for carotid assessment was 97% (3807/3924). Another limitation is possible residual confounding as this was an observational study.

Combining CAC with other measures of subclinical atherosclerosis such as carotid IMT or plaque presence may be more promising for discriminating ischemic stroke/TIA.<sup>3435, 36</sup> Potentially, increased carotid artery IMT and the presence of carotid plaque are associated with intracranial atherosclerosis<sup>37</sup>, which is strongly associated with ischemic stroke/TIA.<sup>38</sup> However, carotid artery IMT has not been routinely recommended for atherosclerotic cardiovascular disease risk assessment due to a lack of sufficient evidence in the current guidelines.<sup>39</sup> The available data were based on a single meta-analysis that concentrated on IMT measurements made using different techniques and locations in the CCA.<sup>40</sup> Even among individuals with a CAC score of 0–10, the number of adverse events showed a slight tendency to increase given a higher carotid artery burden.<sup>41</sup> Given the positive association of the atherosclerotic burden between IMT and CAC as described in the current study, CAC may be an effective tool to identify individuals with minimal CAC scores who would benefit from carotid IMT examination. Further research for evaluating the clinical usefulness of combining minimal CAC and carotid artery measurements to predict ischemic stroke/TIA is clearly warranted, and our group is currently working on such investigations.

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- Risk of cerebrovascular events in individuals with a CAC score of 0–10 is unknown.
- CAC score of 0–10 indicated a low rate of ischemic stroke/transient ischemic attack (TIA) events.
- Ischemic stroke/TIA risk did not differ between those with minimal CAC and no CAC.
- Carotid artery disease was significantly associated with a minimal CAC score.
- CAC score may provide an early and asymptomatic sign of carotid artery disease.



#### Fig. 1.

Kaplan-Meier failure curve showing time to first occurrence of an ischemic stroke/TIA event during the follow-up period of 13.2 years.

Data were analyzed for individuals with a CAC score of zero and for those with a CAC score of 1–10.



#### Fig. 2.

Box plots representing the CCA-IMT and ICA-IMT measurements for groups with different CAC scores.

The upper edge of the box indicates the 75th percentile, the lower edge indicates the 25th percentile and the middle line indicates the 50th percentile; whiskers (lines extending from the box top and bottom) indicate the highest and the lowest values that are not outliers or extreme values, and circles represent outliers.

#### Table 1

Baseline demographics and traditional risk factors between individuals with a CAC score of zero and those with a minimal CAC score

Variable	All individuals (n=3924) mean±SD <sup>a</sup> or N (%)	CAC score=0 (n=3416) mean±SD <sup><i>a</i></sup> or N (%)	CAC score=1–10 (n=508) mean±SD <sup>a</sup> or N (%)	<i>p</i> -value
Age (years)	58.4±9.3	58.0±9.1	61.6±9.9	< 0.001
Male	1498 (38.2)	1249 (36.6)	249 (49.0)	< 0.001
Race/ethnicity				0.001
Caucasian	1336 (34.1)	1126 (33.0)	210 (41.3)	
Chinese	463 (11.8)	400 (11.7)	63 (12.4)	
African American	1203 (30.7)	1072 (31.4)	131 (25.8)	
Hispanic	922 (23.5)	818 (24.0)	104 (20.5)	
Hypertension	1425 (36.3)	1200 (35.1)	225 (44.3)	< 0.001
LDL cholesterol (mg/dl)	116.7±31.1	116.0±30.7	120.9±33.0	0.001
HDL cholesterol (mg/dl)	52.2±15.0	52.5±15.0	50.4±14.5	0.003
Lipid-lowering medication	463 (11.8)	362 (10.6)	101 (19.9)	< 0.001
Smoking status				0.073
Never	2161 (55.3)	1905 (56.0)	256 (50.6)	
Former	1220 (31.2)	1045 (30.7)	175 (34.6)	
Current	526 (13.5)	451 (13.3)	75 (14.8)	
Diabetes	375 (9.6)	318 (9.4)	57 (11.2)	0.181
Family history of heart attack	1414 (38.0)	1203 (37.2)	211 (43.5)	0.008
Carotid lesions				< 0.001
0, no lesion	2747 (71.0)	2449 (72.8)	298 (59.0)	
1, 1–24%	885 (22.9)	728 (21.6)	157 (31.1)	
2, 25–49%	224 (5.8)	181 (5.4)	43 (8.5)	
3, 50–74%	5 (0.13)	3 (0.09)	2 (0.4)	
4, 75–99%	7 (0.18)	4 (0.12)	3 (0.6)	
5, 100%	3 (0.08)	1 (0.03)	2 (0.4)	

<sup>a</sup>SD: standard deviation.

#### Table 2

Unadjusted and multivariable linear regression analysis of CAC score and maximum CCA-IMT and ICA-IMT values.

Model	Univariate $\beta$ (SE) <sup><i>a</i></sup> , <i>p</i> -value	Age, gender and race/ethnicity adjusted $\beta$ $(SE)^{a}$ , <i>p</i> -value	Multivariable-adjusted <sup>c</sup> $\beta$ (SE) <sup><i>a</i></sup> , <i>p</i> -value			
Maximum CCA-IMT						
CAC score=0	Reference	Reference	Reference			
CAC score=1-10	0.044 (0.008), <0.001	0.017 (0.007), 0.023	0.009 (0.007), 0.223			
Maximum ICA-IMT						
CAC score=0	Reference	Reference	Reference			
CAC score=1-10	0.144 (0.021), <0.001	0.102 (0.021), <0.001	0.071 (0.020), 0.001			

 $^{a}\beta$  (SE): beta coefficient (standard error);

## <sup>b</sup>NA: not applicable;

<sup>C</sup>Multivariable-adjusted: adjusted for age, gender, race, BMI, diabetes mellitus, systolic blood pressure, total cholesterol, HDL cholesterol, cigarette smoking status, blood pressure–lowering agent use, family history of stroke/myocardial infarction (MI) and statin use.

#### Table 3

Unadjusted and multivariable logistic regression analysis of the presence of carotid lesions and CAC scores.

Model	Univariate OR <sup>a</sup> (95% CI <sup>b</sup> ), p- value	Age, gender and race/ethnicity adjusted OR <sup><i>a</i></sup> (95% CI <sup><i>b</i></sup> ), <i>p</i> -value	Multivariable-adjusted $^c$ OR $^a$ (95% ${ m CI}^b), p ext{-value}$
Carotid lesion			
CAC score=0	Reference	Reference	Reference
CAC score=1-10	1.87 (1.55–2.27), <0.001	1.62 (1.32–1.98), <0.001	1.46 (1.18–1.80), <0.001

<sup>a</sup>OR, odds ratio;

<sup>b</sup>CI, confidence interval.

 $^{c}$ Adjusted for age, gender, race, BMI, diabetes mellitus, systolic blood pressure, total cholesterol, HDL cholesterol, cigarette smoking status, use of blood pressure–lowering agents, family history of stroke/MI and statin use.