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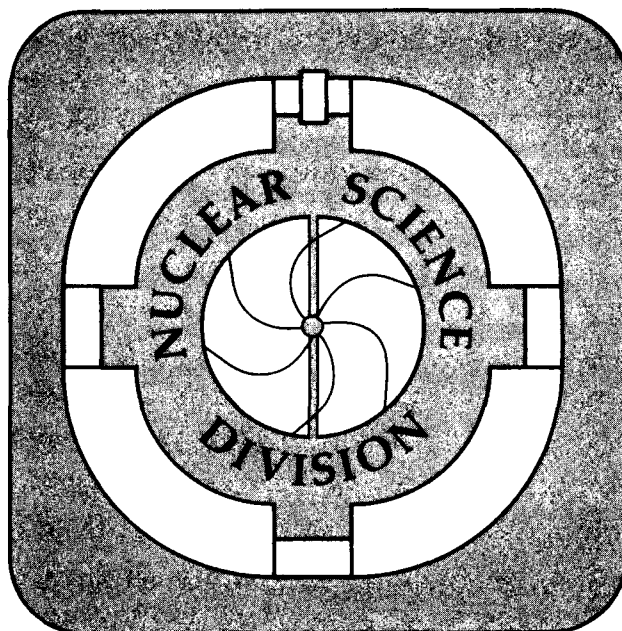
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THE RELATIVISTIC TREATMENT OF SYMMETRIC AND ASYMMETRIC NUCLEAR MATTER

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I. INTRODUCTION

In the last decades it was realized that certain features of the nuclear problem can only be described by going beyond the nonrelativistic approach. Relativistic treatments of the nuclear many-body problem have advantages in several respects, for instance^{1, 2}: An extremely useful Dirac phenomenology in the description of nucleon-nucleus scattering³; the shift of the equilibrium density from the so-called "Coester band" towards the "experimental" value via a new saturation mechanism^{4, 5}; the natural incorporation of the spin-orbit force^{1, 2} and the successful description of finite nuclei^{6, 7}, etc.

Naturally, there is a great desire to explore the relativistic many-body quantum field approach in many respects. Among them is the fundamental challenge to understand the properties of nuclei in terms of the interactions between its constituents. A reliable microscopic calculation of the equation of state would be a great benefit for many branches of physics, as for instance, in the physics of supernova explosions⁸, neutron stars⁹ and heavy-ion scattering¹⁰. One of the basic attempts in this direction is the relativistic treatment of symmetric and asymmetric nuclear matter in many-body approximations with dynamical two-body correlations with modern one-boson-exchange potentials adjusted to the two-nucleon problem. In the next sections we are going to address this problem in the frame of the Green's function approach

and discuss some problems as, for instance, consistence questions, predictive power, limitations etc.

II. GENERAL THEORY

In the model the forces between the nucleons are mediated by the exchange of mesons, hence the dynamics of the particle is governed by a Lagrangian density of the following form^{1, 2, 4, 5, 11-15}:

$$\mathcal{L} = L_N + \Sigma_M(L_M + L'_M).$$

\mathcal{L}_N denotes the Lagrangian density of noninteracting nucleons; similarly, \mathcal{L}_M describes the different free meson fields, which interact via \mathcal{L}'_M with the nucleons.

A suitable tool for the treatment of many-body systems is the Green's function scheme. The formulation of the problem in ladder-type approximation is an established procedure and resembles in its formal structure closely to the nonrelativistic treatment^{16, 17}. One obtains a coupled system of the Dyson equations for the G -function and the effective scattering matrix T in matter

$$\{(G^0)^{-1}(1, 2) - \Sigma(1, 2)\}G(2, 1') = \delta(1, 1'),$$

$$\langle 12|T|1'2' \rangle = \langle 12|V|1'2' - 2'1' \rangle + \langle 12|V|34 \rangle \Lambda(34, 56) \langle 56|T|1'2' \rangle.$$

We employ the convention to sum or to integrate over all doubly occurring variables. Here V denotes the OBE-potential

$$\langle 12|V|1'2' \rangle = \sum_{M=(\sigma, \omega, \dots)} \langle 12|V_M|1'2' \rangle,$$

and the self-energy is given by

$$\Sigma(1, 2) = -i \langle 14|T|52 \rangle G(5, 4).$$

The H- or HF-approximation are defined by $T = V(V_{AS})$, respectively.

For the intermediate p-p-propagator a standard choice is the Brueckner propagator (cf. Refs.^{4, 5, 11-13, 15}); but one also use the so-called Λ -approximations^{13, 14}, defined as (G^0 denotes the free propagator; $ij = 00, 01, 11$):

$$-i\Lambda^{ij}(12, 34) = \begin{cases} G^0(1, 3)G^0(2, 4) \\ \frac{1}{2}(G^0(1, 3)G(2, 4) + G(1, 3)G^0(2, 4)) \\ G(1, 3)G(2, 4) \end{cases}$$

which are obtained from the Martin-Schwinger approximation scheme¹⁸ by taking dynamical correlations into account, which are connected with the potential (for instance, $\langle 12|V|34 \rangle G(34, 1'2')$ is included but $\langle 12|V|34 \rangle G(1'4, 32')$ is replaced by $\langle 12|V|34 \rangle (G(1', 3)G(4, 2') - G(1', 2')G(4, 3))$, for more details and a comparison between the different approximations, see Refs.^{13, 17, 18, 19, 20}. It turns out that

all relativistic approximations give a shift towards the semiempirical values and the RBHF-results are located between the Λ^{00} - and the Λ^{01} -results (Λ^{00} gives the lowest values for E/A ¹³; for the treatment of the full ladder approximation see Ref.¹⁴).

A useful simplification can be achieved by utilizing the spectral representation of G , i.e.

$$G(p) = \int d\omega \frac{A(\vec{p}, \omega)}{(p_0 - \mu)(1 + i\eta) - \omega},$$

since all desired quantities can be determined by the self-energy Σ and the spectral function A alone^{13, 17}.

III. DISCUSSION

Despite the formal similarity to the nonrelativistic case the relativistic situation is much more complicated; due to, for instance:

1. Energy-dependent meson-potentials (retardation)
2. Bethe-Salpeter equation in four dimensions
3. Dirac algebra (T -matrix has in principle 256 elements with respect to spin); Σ (and A) has scalar, vector and time-like contributions with the following structure:

$$\Sigma(p) = \Sigma_s(p) + \Sigma_v(p)(\hat{p} \cdot \vec{\gamma}) + \gamma^0 \Sigma_0(p)$$

4. Self-consistent single-particle basis (spinors) are not a priori known; therefore the solution in the self-consistent basis in the full Dirac space is rather complicated^{13, 15}.

First of all one needs self-consistent spinors, since the relativistic saturation mechanism depends strongly on the lower parts of the spinors, and give a decreasing (increasing) contribution for the $\sigma - (\omega -)$ mesons with increasing density. This feature leads to a non-monotonic behaviour of the kinetic and potential part of the energy. If one uses free spinors one gets back the nonrelativistic features (see Fig. 1).

The consistency problem whether a quasi-particle picture is applicable depends on the energy-dependence of the self-energy. For obtaining a single-particle energy-momentum relation it is necessary, that $|\frac{\partial \Sigma}{\partial \omega}|$ is smaller than 0.5. This question is treated in more detail in Refs.^{13, 17}. For instance, the momentum baryon distribution in the relativistic case is given by:

$$\rho_B(\vec{p}) = \left\{ \frac{W(p)}{|W - [m^* \frac{\partial \Sigma_s}{\partial \omega} + \vec{k} \frac{\partial \Sigma_v}{\partial \omega} + W \frac{\partial \Sigma_0}{\partial \omega}]|} \right\}_{p_0 = \omega(\vec{p})},$$

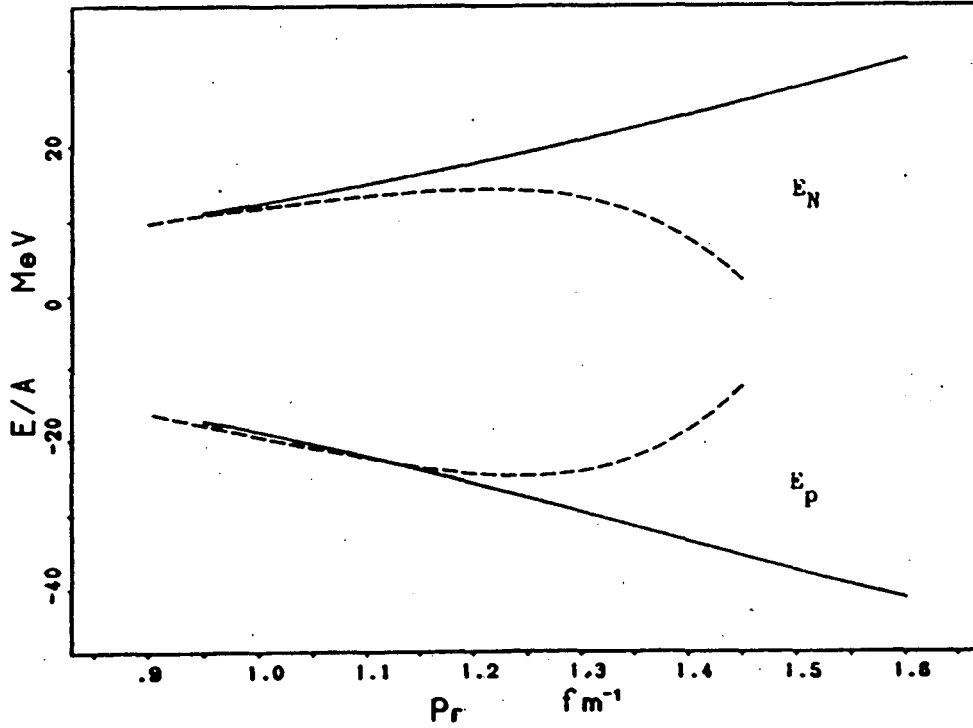


Figure 1. Illustration of the influence of the self-consistent basis (relativistic saturation mechanism): Kinetic (Dirac) and potential energy in RBHF-approximation for the OBE-potential $Ho2^{13}$. The dotted curves correspond to the self-consistent basis (i.e. non-monotonic behaviour); the solid curves give the outcome with free spinors (i.e. similar to the non-relativistic treatment).

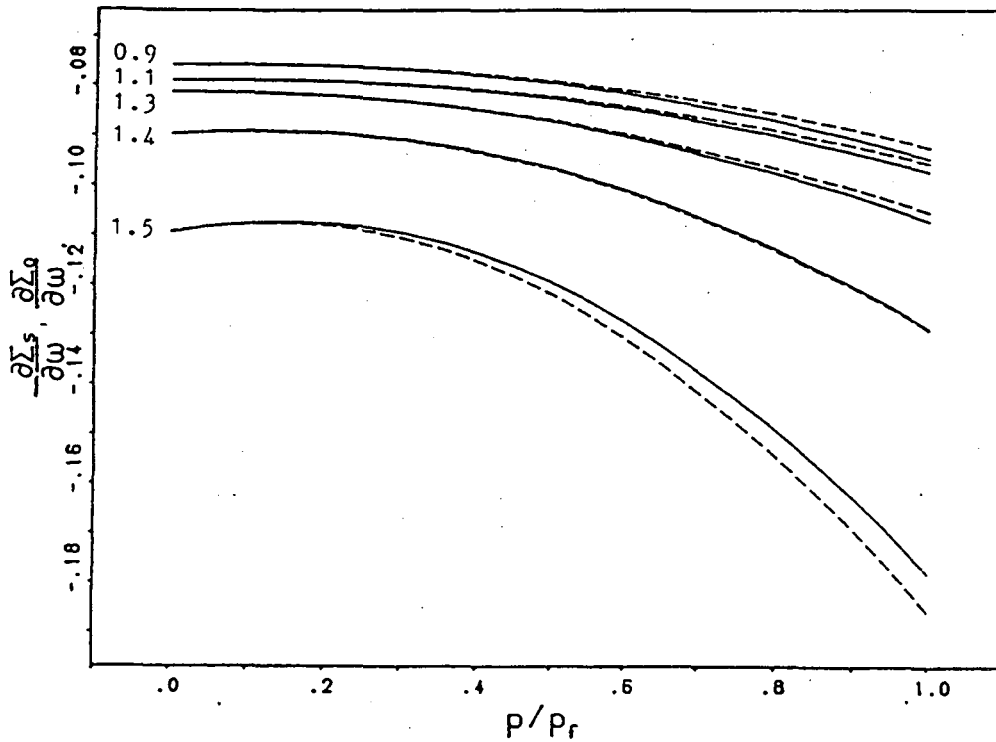


Figure 2. Energy derivatives $\frac{\partial \Sigma_s}{\partial \omega}$ (solid curves) and $-\frac{\partial \Sigma_0}{\partial \omega}$ (dashed curves) vs. p/p_F for different Fermi momenta in Λ^{00} -approximation (OBE-potential $Ho2^{13}$).

Table 1: Saturation properties of nuclear matter in different approximations (Brockmann potential B⁵): RBHF⁽¹⁾ (full basis; momentum dependent self-energy), RBHF⁽²⁾ (full basis; momentum averaged self-energy), RBHF⁽³⁾ (positive spinors only; momentum independent self-energy⁵); Λ^{00} (Λ^{00} - approximation). For comparison we also give the outcome of the relativistic HF-approximation, where ρ and E/A are adjusted²²: RHF⁽¹⁾ (σ -, ω - mesons only), RHF⁽²⁾ (σ -, ω -, π - and ρ - mesons; $f_\rho/g_\rho = 6.6$), RHF⁽³⁾ (σ -, ω -, π - and ρ - mesons; $f_\rho/g_\rho = 3.7$).

Method	E/A [MeV]	ρ [fm ⁻³]	K [MeV]	a_4 [MeV]
RBHF ⁽¹⁾	-14.8	0.170	263.7	33.9
RBHF ⁽²⁾	-15.7	0.172	248.9	32.8
RBHF ⁽³⁾	-13.6	0.174	249.0	
Λ^{00}	-21.9	0.210	259.6	33.8
RHF ⁽¹⁾	-15.75	0.148	610.0	28.9
RHF ⁽²⁾	-15.75	0.148	360.0	43.3
RHF ⁽³⁾	-15.75	0.148	460.0	38.6

which reduces to the step function for $\frac{\partial \Sigma}{\partial \omega} = 0$. It turns out that the energy-dependence is sufficiently weak for the applicability of the single-particle description (see Fig. 2).

In the pioneering work of the Brooklyn group¹¹ the problem was treated in the full Dirac space but the relativistic effect was only included in first-order perturbation theory, so avoiding the complicated self-consistency problem. Therefore a comparison with other treatments is rather difficult.

Due to the complexity of the problem one has tried in most treatments to avoid the solution in the full Dirac space.

The standard method, applied in Refs.^{4, 5, 12, 14}, makes a non-unique ansatz for the T -matrix in terms of five independent Fermi invariants (in Refs.^{4, 14} the pseudoscalar invariant is replaced by the pseudovector invariant)

$$T = T^S I^{(1)} I^{(2)} + T^v \gamma_\mu^{(1)} \gamma^{(2)\mu} + T^T \tau_{\mu\nu}^{(1)} \tau^{(2)\mu\nu} + T^{PS} \gamma_5^{(1)} \gamma_5^{(2)} + T^A \gamma_5^{(1)} \gamma_\mu^{(1)} \gamma_5^{(2)} \gamma^{(2)\mu}$$

and obtains the solution in the c.v. frame for positive spinors only. Afterwards they transform the T -matrix into the nuclear matter frame. Once a specific value of m^* is chosen and Lorentz boosting mixes only positive-energy helicity spinors themselves one determines only the positive-energy matrix elements. For that reason the full matrix structure of T , and hence of Σ , is not uniquely determined. It was shown that the results for Σ depend on the chosen decomposition²⁰.

The other method, used by Brockmann and Machleidt⁵, avoids this procedure by the assumption that the scalar and time-like parts of Σ are momentum independent and approximate the positive-energy matrix elements of Σ , obtained from the RBH-solution via

$$\langle \phi | \Sigma | \phi \rangle = \frac{m^*}{E^*} \Sigma_S + \Sigma_0.$$

Both approaches have been discussed and criticized in more detail in Ref.²¹. Despite the weak momentum dependence of Σ_0 for the whole range of $m^*(0.5 - 1.0 m_N)$ the absolute values for Σ_0 differ strongly (393-(-117) MeV)²¹ and there is no a priori reason to prefer a m^* -value with the smallest deviation from a constant; or otherwise expressed a direct calculation of $m^*(p_F)$ is necessary.

IV. RESULTS

In order to clarify the situation we have calculated for a modern OBE-potential (Brockmann B)⁵ the properties of nuclear and asymmetric matter in the full Dirac space. The results with comparison between the different approaches are shown in Table 1. It turns out that, at least for the chosen potential, the differences between the full Dirac space results and the Brockmann treatment are not very large. The Λ^{00} -approximation gives, as expected, a higher density and energy, respectively. Also the bulk symmetry energy a_4 agrees reasonable with the semiempirical value. The phenomenological HF-treatment, it seems, is not capable to reproduce the incompressibility K and a_4 ²². (A further increase in the ρ -meson tensor coupling f_ρ/g_ρ would decrease K). In Figs. 3 and 4 we show the EOS of state in the RBHF- and Λ^{00} -approximation for different asymmetry parameters $\delta = (\rho_n - \rho_p)/\rho$. Furthermore we tested the validity of the quadratic dependence of the energy upon the asymmetry parameter δ . Our results confirm this empirical law also for higher values of δ (see Fig. 5).

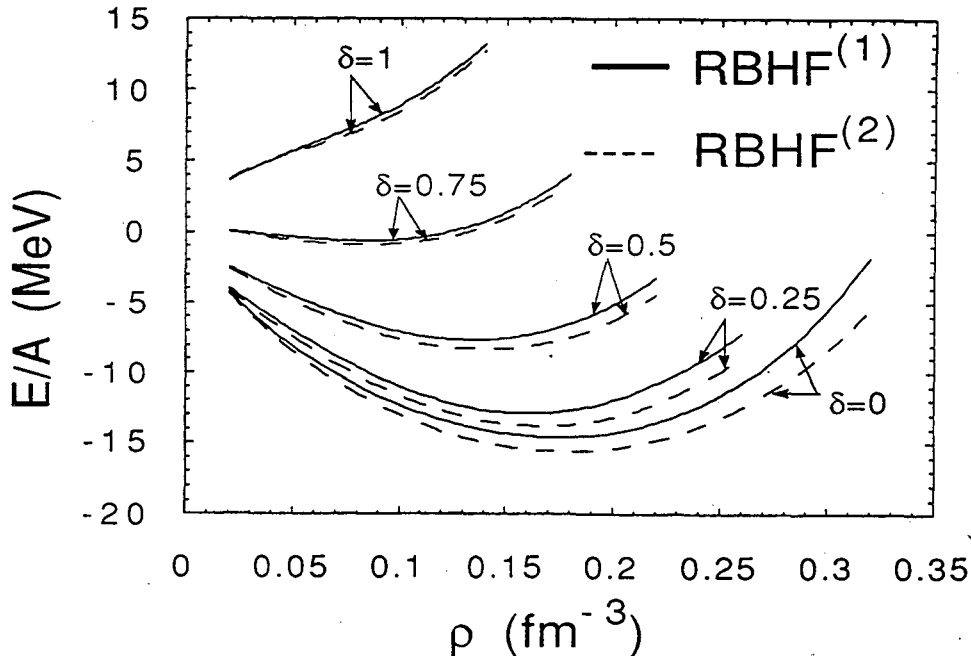


Figure 3. Binding energy per nucleon versus density for different asymmetries in the RBHF-approximation (Brockmann potential B⁵). The solid (dashed) curves correspond to the treatment with (or averaged) momentum dependency of the self-energies.

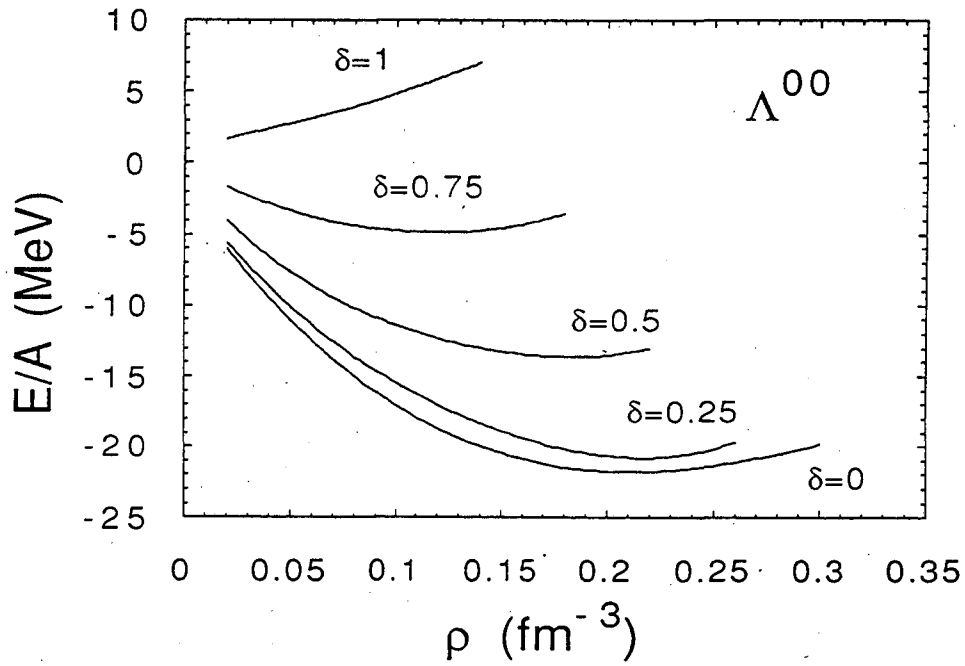


Figure 4. Binding energy per nucleon versus density for different asymmetries in the relativistic Λ^{00} -approximation.

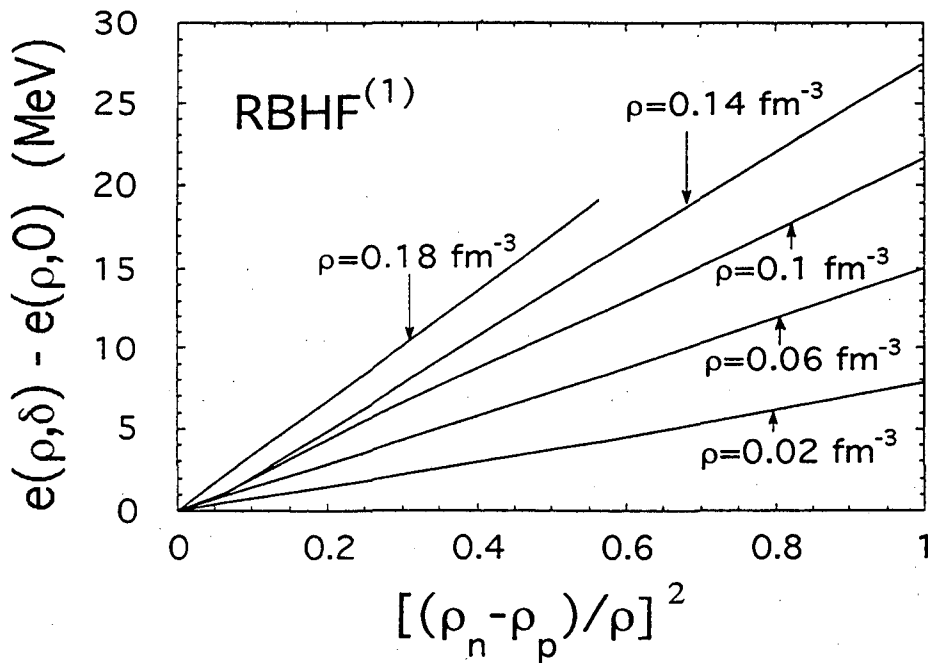


Figure 5. Asymmetry energy per nucleon in the RBHF⁽¹⁾-approximation in the range $0 \leq \delta^2 \leq 1$ at five densities. The slope of each curve gives the corresponding symmetry energy.

In conclusion, we have investigated the properties of symmetric and antisymmetric nuclear matter by solving self-consistently the relativistic problem with dynamical two-body correlations for a modern OBE-potential in the full Dirac space. It seems that the case of momentum averaged self-energies is applicable for densities equal or below the nuclear matter equilibrium density but for higher densities one should include the momentum-dependence of the self-energy.

V. SUMMARY

In the framework of relativistic nuclear field theory we discuss and compare the different approaches in the treatment of the nuclear-many-problem with inclusion of two-body correlations. The equations are solved self-consistently in the full Dirac space, so avoiding the ambiguities in the choice of the effective scattering amplitude. The results are compared with the standard method, where one only determines the scattering amplitude for positive energy spinors. Furthermore we tested the assumption of momentum independent self-energy. The results for asymmetric matter are in the structure similar to the outcome of the relativistic Hartree-Fock approximation, but differ from the nonrelativistic treatment. The agreement with the empirical values is quite satisfactory.

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