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Leap frog in slow motion: Divergent responses of tree species and life stages to climatic warming in Great Basin subalpine forests

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1Title: Leap-frog in slow-motion: divergent responses of tree species and life stages to climatic
2warming in Great Basin sub-alpine forests

3

4Running Head: Treeline species shift in the Great Basin

5

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16

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23Abstract:

24In response to climate warming, subalpine treelines are expected to move up in elevation since
25they are generally controlled by growing season temperature. Where treeline is advancing,
26dispersal differences and early life stage environmental tolerances are likely to affect how
27species expand their ranges. Species with an establishment advantage will likely colonize newly
28available habitat first, potentially dominating species that have slower establishment rates. Using
29a network of plots across five mountain ranges, we described patterns of upslope elevational
30range shift for the two dominant Great Basin sub-alpine species, limber pine and Great Basin
31bristlecone pine. We found that the Great Basin treeline for these species is expanding upslope
32with a mean vertical elevation shift of 19.1 m since 1950, which is lower than what we might
33expect based on temperature increases alone. The largest advances were on limber pine-
34dominated granitic soils, on west aspects, and at lower latitudes. Bristlecone pine juveniles
35establishing above treeline share many environmental associations with bristlecone adults.
36Limber pine above-treeline juveniles, in contrast, are prevalent across environmental conditions
37and share few environmental associations with limber pine adults. Strikingly, limber pine is
38establishing above treeline throughout the region without regard to site characteristic such as soil
39type, slope, aspect, or soil texture. Though limber pine is typically not dominant, and often rare,
40at treeline where it coexists with bristlecone pine, limber pine juveniles dominate above treeline
41even on calcareous soils that are core bristlecone pine habitat. Limber pine is successfully “leap-
42frogging” over bristlecone pine, probably because of its strong dispersal advantage and broader
43tolerances for establishment. This early-stage dominance indicates the potential for the species
44composition of treeline to change in response to climate change. More broadly, it shows how

45species differences in dispersal and establishment may result in future communities with very
46different specific composition.

47

48**Introduction:**

49 In response to climatic warming, species are generally expected to expand their ranges to
50higher latitudes and elevations and contract at lower latitude and elevational range margins
51(Parmesan & Yohe, 2003; Hayhoe *et al.*, 2004; Loarie *et al.*, 2008). The upslope leading range
52edge is at treeline in sub-alpine forests, an ecotone considered a sensitive biological indicator of
53climatic warming due to the strong, world-wide association between growing season temperature
54and treeline (Körner, 1998, 2012; Holtmeier, 2003; Körner & Paulsen, 2004; Millar *et al.*, 2004).
55Future treeline is generally expected to shift higher in elevation by as much as 700m by the year
562100 (Moen *et al.*, 2004; Kullman & Öberg, 2009). Despite these predictions, there is substantial
57variability in the speed of treeline response to warming in mountains around the world
58(Camarero *et al.*, 2017). This variation may be due to many factors, such as varying
59geomorphology, past human disturbance, lags in population dynamics, dispersal limitation, and
60biological interactions (competition, herbivory) (Grace *et al.*, 2002; Holtmeier, 2003; Harsch *et*
61*al.*, 2009; Speed *et al.*, 2010; Holtmeier & Broll, 2017). Variability in treeline advance hints that
62in addition to warming temperature, there are other factors influencing treeline advance, most of
63which remain unexplored.

64 Biotic interactions, such as competition, facilitation, and/or priority effects, are likely to
65have particularly strong effects on how range shifts occur (Baumeister & Callaway, 2006; Aitken
66*et al.*, 2008; Maestre *et al.*, 2009; Ettinger *et al.*, 2011; Kroiss & HilleRisLambers, 2014). In sub-
67alpine forests immediately below treeline, competitors from further downslope could displace

68sub-alpine species through direct competition or through indirect mechanisms such as increased
69exposure to disease or changing disturbance regimes associated with downslope species
70(Flannigan *et al.*, 2000; Tomback & Resler, 2007). Alternatively, in harsh conditions like those
71found in sub-alpine forests, species can have a “nurse effect,” facilitating the establishment of
72other species by positively modifying the microclimate through greater water retention, wind
73blocking, creation of shade, or other ameliorating effects (Malanson *et al.*, 2007; Maestre *et al.*,
742009; Pyatt *et al.*, 2016). Interspecific differences in dispersal ability and establishment success
75are likely to play major roles in how newly available habitat is colonized with priority effects
76possibly trumping other responses to climate change. Species distribution models can predict the
77future climate envelopes of species, but often ignore how other limitations affect species range
78shifts under climatic warming. For example, in trees establishing outside of current forest range
79limits, the lack of soil development or protection from harsh weather or ineffective dispersal may
80preclude successful establishment.

81 Climate envelope models are often based on matching adult presence with climatic
82conditions. Young individuals, however, not only encounter different micro-climates but often
83have different climatic tolerances than do adults (Jackson *et al.*, 2009; Warren & Bradford, 2011;
84Bell *et al.*, 2014; Kueppers *et al.*, 2016; Máliš *et al.*, 2016). Juvenile trees frequently occupy a
85narrower range of climatic conditions, especially in water-limited areas (Zhu *et al.*, 2012;
86Monahan *et al.*, 2013; Dobrowski *et al.*, 2015; Moyes *et al.*, 2015). Given this narrower filter for
87juvenile survival, changes in recruitment are likely a major component of species range shifts
88(Walck *et al.*, 2011). When propagules are plentiful, dispersal and range shift can happen rapidly,
89but within slow-growing or slow-dispersing species, lags in range shifts can be decades to
90centuries (Kroiss & HilleRisLambers, 2014). The narrow range of conditions suitable for

91 establishment in sub-alpine trees and unforeseen biotic interactions complicate our ability to
92 project how species' ranges will respond to increasing temperatures (Millar *et al.*, 2004, 2015;
93 Körner, 2012; Conlisk *et al.*, 2017).

94 Treeline trees have shown recent dramatic stem growth increases in the mountains of the
95 western US Great Basin, suggesting that temperature increases have recently improved growing
96 conditions at the trees' upper elevation limit (Salzer *et al.*, 2009). The Great Basin is a region of
97 low elevation basins and high elevation ranges, on which sparse montane forests occur at upper
98 elevations. While the ranges of the Great Basin are generally arid, there is a substantial southwest
99 to northeast gradient in the amount (low to high) and timing (Mediterranean to monsoonal) of
100 precipitation with large daily and seasonal temperature ranges throughout the region. Above-
101 treeline recruitment has increased in the western Great Basin, with evidence for increases in
102 recruitment pulses in the last 130 years (Millar *et al.*, 2015). Great Basin sub-alpine forests are
103 largely made up of Great Basin bristlecone pine (*Pinus longaeva* DK Bailey) and limber pine
104 (*Pinus flexilis* James) with stands of Engelmann spruce (*Picea engelmannii* Parry) and quaking
105 aspen (*Populus tremuloides* Michaux) on wetter slopes in the eastern Great Basin, and whitebark
106 pine (*Pinus albicaulis* Engel) a treeline species in parts of the northern and eastern Great Basin.
107 Great Basin bristlecone pine is notable for individual longevity with known trees over 5000 years
108 old making them the oldest living nonclonal organisms on earth (Schulman, 1954).

109 Tree species with higher densities at treeline have range margins that better track
110 warming temperatures in establishing above treeline (Kroiss & HilleRisLambers, 2014). Where
111 bristlecone pine is present, it typically dominates at treeline with limber pine mixed in at lower
112 elevations (Millar *et al.*, 2015). While there is overlap in the elevational ranges of the two
113 species, there are range differences at both upper and lower elevations. At the upper extreme,

114bristlecone pine is found at higher elevations (3535m) than limber pine (3505m) in Nevada
115(Charlet, 1996). That seemingly slight difference reflects limber pine's much lower treeline
116density relative to bristlecone pine, and its absence from many treeline sites. The elevation
117difference is especially acute on dolomitic soil where the upper limit of limber pine (3030m) is
118considerably lower than that of bristlecone pine (3485m) (Wright & Mooney, 1965). For the
119lower range margin, limber pine is found considerably lower (1830m) than bristlecone pine
120(2060m) in Nevada (Charlet, 1996).

121 Recent establishment of young trees above the historical treeline in the western Great
122Basin has been observed, but much of that upslope establishment was typically downslope
123limber pine "leap-frogging" over bristlecone pine (Millar *et al.*, 2015). Shade-intolerant
124bristlecone pine encounters minimal competition from other tree species due to the harsh abiotic
125conditions of its habitat. There is minimal impact from pathogens in these forests and the
126sparseness of the vegetation limits the occurrence of fire (North *et al.*, 2009; Van de Water &
127Safford, 2011). The recent appearance of regeneration at and above treeline is evidence that
128climatic conditions have changed sufficiently at treeline in the last 50 years to allow for
129establishment. Given the observed treeline advance in the Great Basin, the few species involved,
130and limited human disturbance, Great Basin treelines provide a rare opportunity to examine the
131climate change-induced dynamics surrounding a discrete range margin.

132 It remains to be seen whether bristlecone pine will be able to track warming climate to
133higher elevations. Upper treeline is often a product of inhospitable upslope geomorphology
134limiting the ability of species to advance upslope under favorable climatic conditions (Grace *et*
135*al.*, 2002; Ernst *et al.*, 2003; Butler *et al.*, 2007). Even allowing for upslope migration, the rate of
136changing temperatures may be fast enough that species with long regeneration times like

137bristlecone pine are unable to migrate fast enough to avoid local extirpation (Neilson *et al.*, 2005;
138Van de Ven *et al.*, 2007; Aitken *et al.*, 2008; Loarie *et al.*, 2009). Minimum temperatures in the
139western Great Basin have increased an average of 1°C between 1910-2013 (Millar *et al.*, 2015),
140and regional temperatures are expected to rise an additional 2 - 4 °C by the late 21st century
141(Scalzitti *et al.*, 2016). Aside from the rate of climate warming, bristlecone pine may also be
142exposed to greater interspecific competition from other tree species, especially the better-
143dispersing limber pine, which could establish rapidly in newly available habitat at and above the
144current treeline.

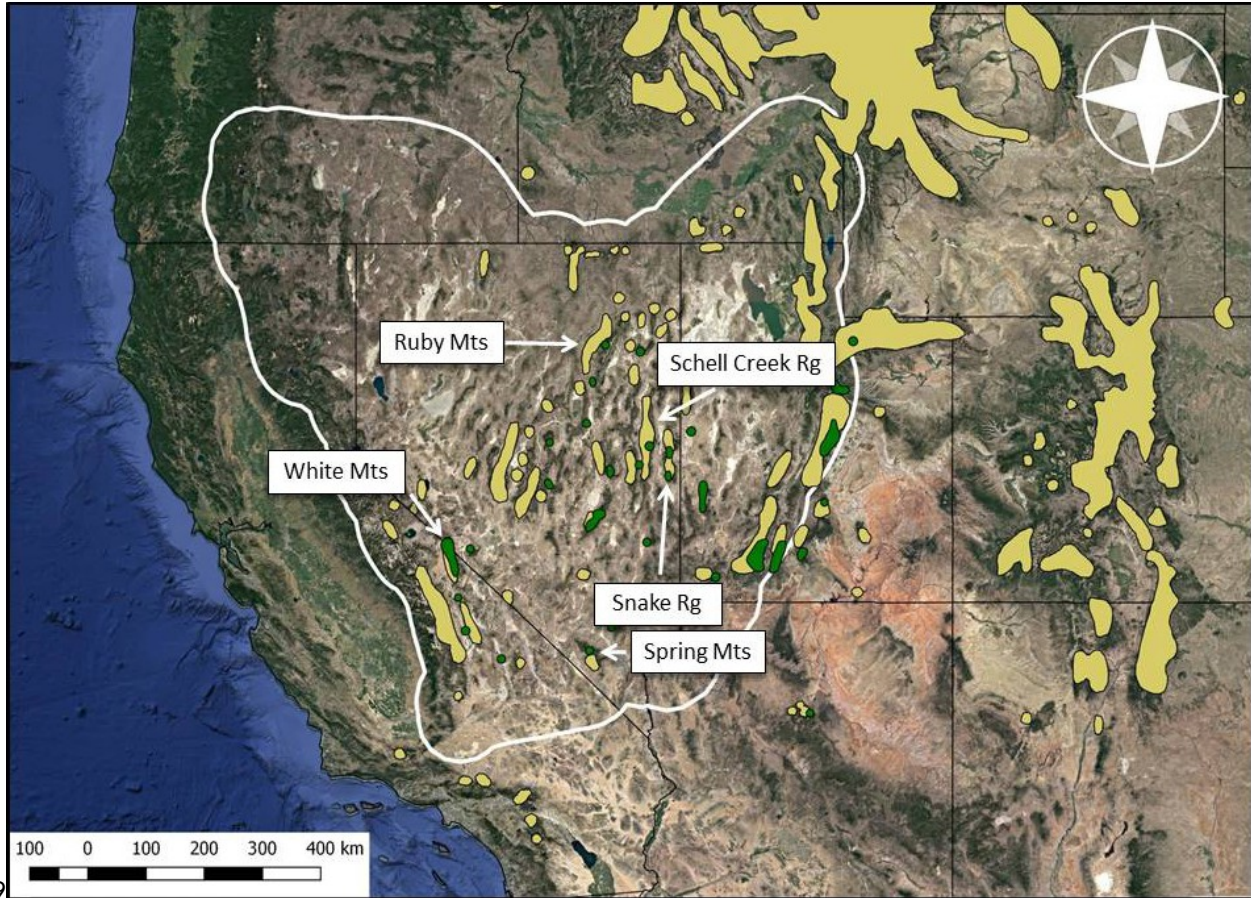
145 We know little about the regeneration dynamics of sub-alpine trees and even less about
146these dynamics in dry forests like those found in the Great Basin (Barber, 2013; Conlisk *et al.*,
1472017). Part of this ignorance stems from the fact that in the last 500 years, treeline regeneration
148in the Great Basin appears to have been limited to the last 50 years. Surveys in the California
149White Mountains in the 1950s showed an absence of young trees indicating that adult treeline
150trees established in a period of more favorable, likely warmer, climatic conditions (Billings &
151Thompson, 1957). The lack of relict wood from smaller trees, which tends to persist on the
152surface for 100s to 1000s of years, suggests that there had been little regeneration at treeline for
153at least hundreds of years. While juvenile trees may have established at or above treeline in the
154past and then died before reaching maturity, once bristlecone pine and limber pine have survived
155to an age of 3-4 years, these small trees have extremely low mortality (Elliott, 2012; Barber,
1562013; Conlisk *et al.*, 2017). Most juvenile trees old enough to be observed in field surveys are
157very likely to persist into adulthood.

158 This study examines the species dynamics surrounding treeline in the Great Basin by
159specifically examining the following questions: 1) What are the major abiotic predictors of

160treeline advance, 2) What are the predictors of below-treeline adult basal area and above-treeline
161establishing juveniles and how consistent are the environmental associations of adult trees and
162juveniles of each species, and 3) How does the species composition of mature forest compare
163with the species composition of establishing juveniles below and above treeline? This research
164will examine whether differences exist between two key subalpine tree species in the US Great
165Basin and whether those differences have long-term consequences for the persistence of Great
166Basin bristlecone pine under rapidly warming treeline climate conditions.

168**Materials and Methods:**

169**Study Area:** The Great Basin of the interior United States West is defined by having internal
170drainage, Basin and Range geomorphology, and/or by its floristic composition. It extends from
171the crest of the Sierra Nevada in California in the west to the Uinta Mountains of Utah in the
172east, and with the Columbia River and Colorado River watershed divides forming the north and
173south boundaries, respectively (Figure 1). In the southern and central Great Basin, treeline is
174largely made up of Great Basin bristlecone pine (abbreviated PILO) and limber pine (PIFL). In
175the northern Great Basin, whitebark pine is the dominant treeline species. Occasional treeline
176stands of Englemann spruce (PIEN) and quaking aspen are also found throughout the Great
177Basin, especially in the cooler or wetter parts of the region.



179

180**Figure 1:** The Great Basin, outlined in white, is centered on the state of Nevada which has most of the
181highest ranges in the bioregion. Sampling occurred in the mountain ranges labeled. Limber pine (yellow)
182and Great Basin bristlecone pine (green) range maps show their ranges in the Great Basin. This is the
183entire extent of the Great Basin bristlecone pine's range. Limber pine's range extends to the north into
184Canada. Species distribution vectors were downloaded from the USGS vegetation-climate modeling
185study (<https://esp.cr.usgs.gov/data/little/>). The background satellite image is a Google Earth image
186(www.earth.google.com) accessed via QGIS.

187

188

189 Soil type plays a large role in Great Basin tree species distributions. Bristlecone pine is
190mostly restricted to high-elevation carbonate (calcareous) soils, especially dolomite in the White

191Mountains (Schulman, 1954; Wright & Mooney, 1965), and limestone pockets throughout the
192Great Basin. On these soils, it is the dominant tree species with limber pine being rare or absent.
193Other major soil types include quartzite, a metamorphic sandstone, on which a mix of tree
194species generally coexist or different species can be locally dominant, and granite on which
195limber pine typically dominates and bristlecone pine is rare.

196 We sampled treeline in Great Basin mountain ranges in 2015 and 2016 that were of
197sufficient elevation to contain climatic treeline and supported both limber and bristlecone pine.
198Climatic treeline, as we use it, is treeline that is a function of temperature constraints as opposed
199to treeline determined by geology, disturbance, or other non-climatic effect (Körner, 2012). Since
200there is no reliable field method for distinguishing limber pine and whitebark pine juveniles
201(Hendrick, WH & Lotan, JE, 1971), we avoided sampling where those two species co-exist,
202eliminating an area in the northern Ruby Mountains from our study. These criteria allowed for
203sampling in the Snake Range, Schell Creek Range, southern Ruby Mountains, and Spring
204Mountains in Nevada and the White Mountains in California (Figure 1). Within each range, we
205sampled individual mountains or ridges that contained climatic treeline on all aspects that
206allowed safe access and where treeline appeared to result solely as a climatic effect, excluding
207avalanche chutes, cliffs, unstable scree, and recent landslides (Körner, 2007). This allowed for
208sampling on 13 distinct mountains or ridges in the five mountain ranges.

209

210**Plot placement and measurements:** At each location, we identified treeline as the line
211connecting the uppermost forest patches of at least three trees growing to at least 3 m in height.
212This line is a generally recognized construct representing the upper margin of the treeline
213ecotone and is not associated with a particular elevation contour (Supplementary Figure 1)

214(Körner & Paulsen, 2004; Körner, 2007). We placed plots at the furthest distance upslope of
215treeline where juvenile tree densities were sufficient (i.e., clumps of three or more individuals)
216that upon reaching maturity the clump will represent future treeline. Plots were placed on all
217slopes and aspects that contained climatic treeline and met our criteria. We placed sequential
218plots measuring 30 m x 10 m (300 m²) to form a modified 10 m wide belt transect upslope from
219and parallel to current treeline. Each 30 m length plot was placed parallel to and upslope from
220treeline but could be located up or down slope relative to the previous plot to follow the
221maximum elevation of juvenile tree clumps. Since we sought to maximize the number plots per
222peak or ridge aspect, the number of plots per peak or ridge aspect varied from 5-24. In each plot,
223we tallied all conifer individuals, identified individual trees to species, and aged them by
224nondestructively counting above-ground terminal bud scars, which is well-correlated with ring
225counts (Parent *et al.*, 2000; Millar *et al.*, 2004, 2015). These ages were binned into age classes to
226account for errors in whorl aging. We considered all trees < 100 years old to be a juvenile tree,
227although trees 50 – 100 years in age were very rare. With few exceptions, all trees encountered
228were either <50 years or were at least hundreds of years old. We excluded trees <5 years old to
229limit the effect of very young trees dying before reaching maturity (Barber, 2013). From each
230juvenile tree, vertical distance to current treeline was measured using a Laser Technology
231TruePulse 200 laser rangefinder. To avoid pseudoreplication, individual distances to treeline were
232averaged to give a plot-level distance to treeline. The elevation, slope, aspect, dominant soil type,
233and horizontal and vertical slope shape were recorded at the midpoint (15 m) of each plot.

234 To examine the surface characteristics in each plot, we took a point sample at 0.5 m
235intervals along a 30 m transect (N=60) recording substrate conditions that a seed would
236encounter if it landed or was buried there. At each point we recorded whether the point landed on

237soil subdivided into size class (0=bare soil, 1=gravel, 2=large rock or 3=bedrock), litter, downed
238wood, or vegetation identified to species. To evaluate abundance of adult trees as a
239representation of relative seed sources, we used basal area as a proxy for relative abundance. We
240estimated basal area of cone-producing adults for each tree species using a basal area prism
241(BAF=1M) at the two end points of the transect line which were averaged to give plot-level basal
242area for each species. We compared the demographics of upslope establishment with current sub-
243alpine adult demographics by pairing the above-treeline plots with plots selected in the closed
244forest below treeline in which the same plot-level and demographic data were collected. Since
245there were very few juvenile trees in the closed canopy, closed-forest plots were selected
246haphazardly where the aspect and relative position on the landscape matched its above-treeline
247pair.

248

249**Plot-level DEM predictors:** For other potential model predictors of treeline advance, we used a
25030 m Digital Elevation Model (DEM) in QGIS (QGIS Development Team, 2016) to extract plot-
251level metrics. The DEM was used to calculate monthly total solar radiation ($\text{Wh}/(\text{m}^2\text{d})$) and solar
252insolation time (h) for each plot using the QGIS GRASS plugin (GRASS Development Team,
2532016). For each month, we used the 15th as the target day to serve as an “average” day. We
254included an annual and a growing season (June-September) parameter for both solar radiation
255and insolation time. A DEM was also used to extract plot-level values of topographic water
256accumulation by using the Watershed (topographic convergence index) feature in ArcGIS
257(*ArcGIS Desktop*, 2016). Due to inconsistencies in field elevation measurements using a hand-
258held GPS, we used the DEM values for plot elevation.

259 These predictors (Table 1) were chosen because we believed that they were most likely to
260 affect successful establishment of young trees and therefore treeline advance. While the potential
261 predictors is a long list, we know that successful establishment of young trees, especially trees
262 establishing in the harsh conditions at treeline, is a result of many factors, both abiotic and biotic
263 (Kueppers *et al.*, 2016; Conlisk *et al.*, 2017).

264

265 **Table 1:** Potential predictors tested for treeline advance (distance from the above-treeline plot to
266 treeline), adult basal area, and juvenile density. *Basal areas were excluded from the treeline
267 advance models since there is essentially no adult basal area above treeline.

268

Potential model predictors

Mountain range

Ruby Mountains

Schell Creek Range

Snake Range

Spring Mountains

White Mountains

Soil type

Dolomite (calcareous)

Granite

Limestone (calcareous)

Quartzite

Elevation

Latitude

Longitude

Slope

Northness = $\cos(\text{aspect})$ Eastness = $\sin(\text{aspect})$

Topographic water accumulation

Annual insolation

Annual radiation

Growing season insolation

Growing season radiation

Percent bare soil

Percent gravel

Percent large rocks

Percent bedrock
Percent litter
Percent vegetation cover
Bristlecone pine (PILO) basal area*
Limber pine (PIFL) basal area*
Englemann spruce (PIEN) basal area*

269

270Data Analysis: This design gave us a large number of plots and juvenile trees within plots, which
271would likely result in a common problem with large sample sizes in which almost all predictors
272are found to be “significant” (i.e. likely to have nonzero effect), but most with a very small effect
273size and effects often correlated with each other (MacElreath, 2016). This presents a problem
274with using standard statistical regression in that the resulting models are likely overfitted. In
275addition, the large number of potential predictors make analysis with standard regression or
276linear mixed effects models problematic. To avoid these problems of parameter selection, we
277used the elastic net regularized regression method that combines the Least Absolute Shrinkage
278and Selection Operator (LASSO) and ridge regression (Tikhonov regularization) to produce
279regularized models that include only strong predictors, while shrinking weak predictor variables
280toward zero (Guisan & Zimmermann, 2000; Friedman *et al.*, 2010). elastic net models have been
281successfully used in a variety of ecological studies when dealing with a large number of potential
282predictors, especially when those predictors have low predictive power (Prospere *et al.*, 2014;
283Holdo & Nippert, 2015; Lemoine *et al.*, 2016). It is a feature of elastic net regularization that
284choosing a model via shrinking coefficients towards zero will result in a model with most
285coefficients very near zero. Because of this shrinkage, proximity to zero does not mean that a
286predictor is unimportant—any predictor with a non-zero coefficient can be seen as important, in
287that its inclusion in the model improves out-of-sample prediction of the response variable (Zou &

288Hastie, 2005). Specifically, we fit generalized linear models using penalized maximum
289likelihood (Glmnet) for the response variables of treeline advance (distance from the above-
290treeline plot to treeline), specific adult tree basal area, specific upslope juvenile density, the ratio
291of adult limber pine to bristlecone pine basal area, and the ratio of limber pine to bristlecone pine
292juvenile density. Models of the ratio of limber pine to bristlecone pine (PIFL:PILO) adult basal
293area and juvenile density were used to examine how the two species respond to climatic
294predictors in relation to each other. While elastic net models do not currently allow for using
295mixed effects, when we ran standard regression models, including spatial random effects did not
296improve the models. To fit these models, we used the R package glmnet (Friedman *et al.*, 2016),
297using the elastic net penalty and selecting values for the regularization parameter (λ) that
298minimize the mean cross-validation error over 100 runs of `cv.glmnet`.

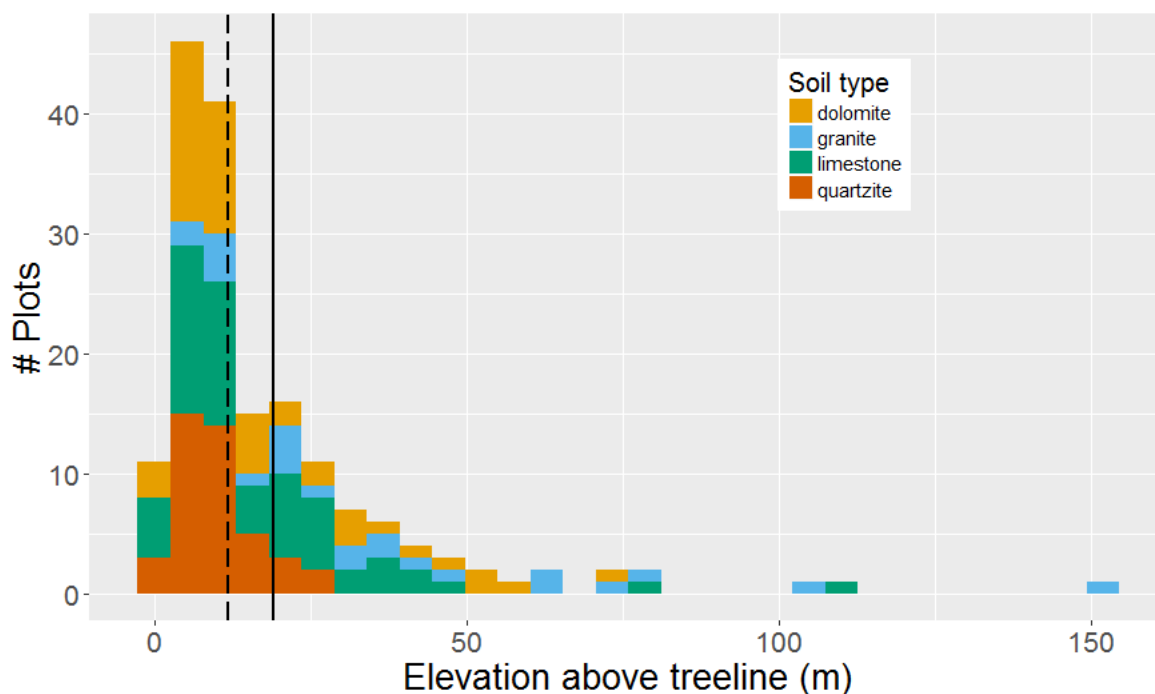
299 For all models, coefficient values were plotted by nonzero (model-included) parameters
300using the library `ggplot2` (Wickham, 2009) to show their relative effects on the response variable.
301Error bars were generated by nonparametric bootstrapping, using 1000 iterations. From the
302resulting regression parameters, we constructed 95% confidence intervals by taking the 2.5 and
30397.5 percentiles of the bootstrap estimates. These confidence intervals are provided only to show
304a visual estimate of parameter variability. They are not to be viewed as having statistical
305“significance.” Parameters that are not shrunk to zero via elastic net by definition improve the
306model and so are included (Friedman *et al.*, 2010; Goeman *et al.*, 2016).

307

308**Results:**

309**Treeline Advance:** We surveyed 173 pairs of above and below treeline plots for a total of 346
310plots. Using the presence of at least three established juvenile trees within the plot as a measure

311of treeline advance, median vertical advance across all Great Basin sites including both species
 312was 11.9 m (mean = 19.1 m) upslope with a maximum of 153 m (Figure 2). The majority of plots
 313(62%) showed a potential treeline advance of between 5-25 m upslope. Given our measured
 314slopes of 9 – 78%, this amounts to a ground distance of 8 – 279 m over which seeds dispersed.



315

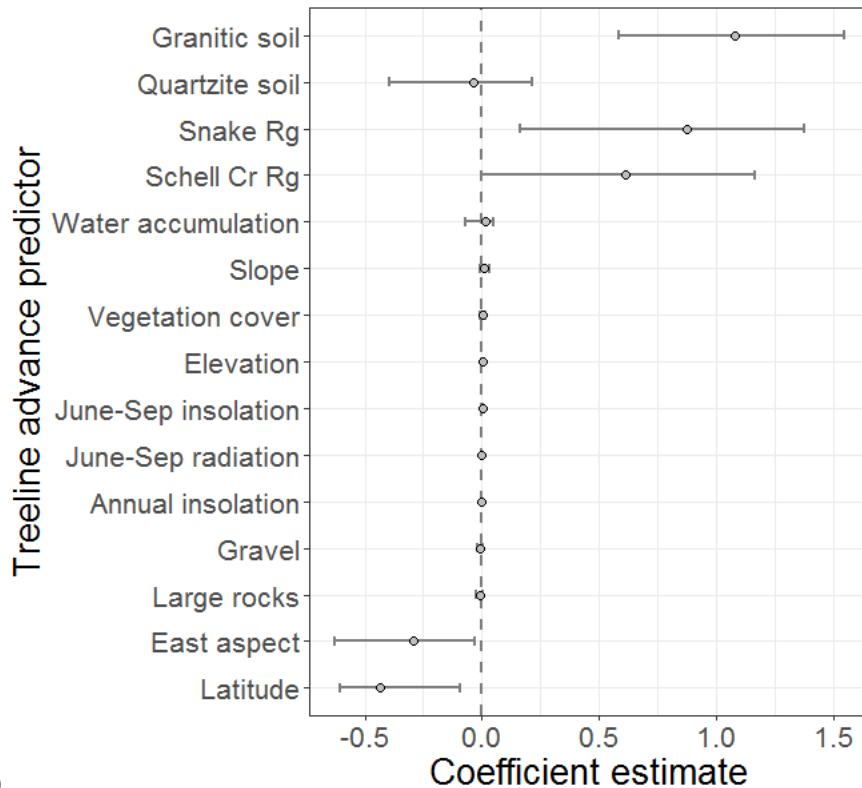
316**Figure 2:** The number of plots sampled by soil substrate and their vertical elevation above
 317current treeline representing potential treeline advance. Median (11.9 m) and mean (19.1 m)
 318elevations above treeline are shown with the dashed and solid lines, respectively.

319

320

321Soil was a factor in predicting potential advance with granitic soil showing larger advances of
 32222.0 m compared with 9.6 m on carbonate dolomitic soil (Figures 2 and 3). Treeline advance was
 323slightly, but insignificantly, higher (10.7 m) on carbonate limestone soil. Latitude, east aspect,
 324and north aspect were the most negatively associated predictors implying that potential treeline

325advance is higher on south and west aspects and in mountain ranges further south. Quartzite soil
326is negatively associated with treeline advance relative to dolomitic soil (Figure 3), but still
327showed a median treeline advance equal to that of dolomite at 9.6 m. There was an effect of
328mountain range with treeline advance being higher in the Snake Range and the Schell Creek
329Range, but treeline was found to be advancing in all mountain ranges.

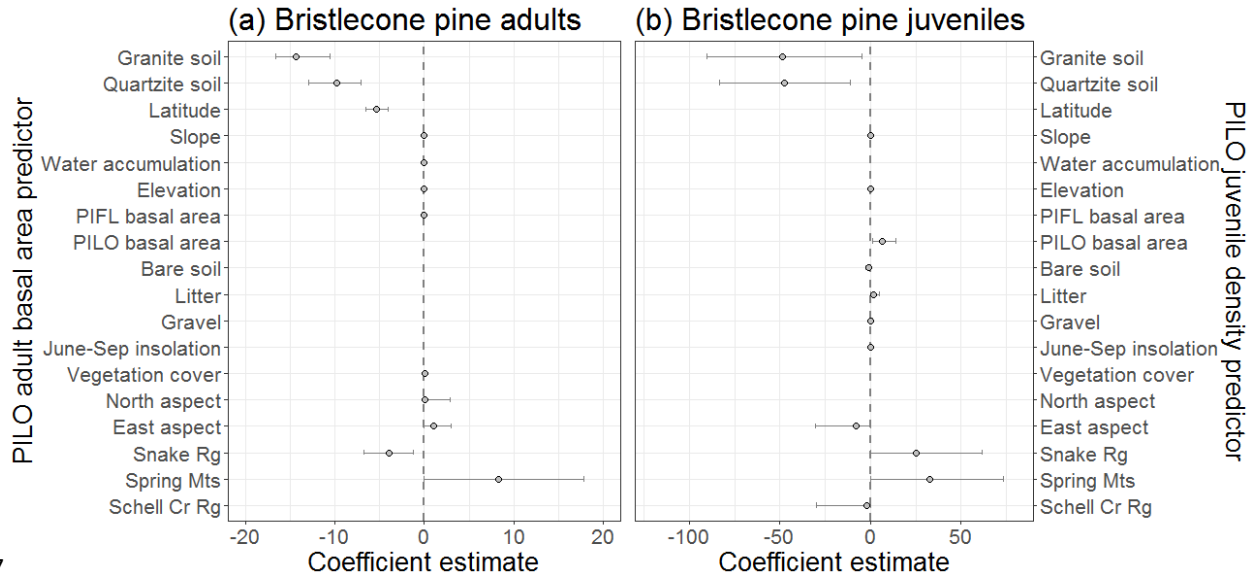


330

331**Figure 3: Treeline advance predictors**

332Rank of coefficients that predict treeline advance and the estimate of each coefficient relative to
333dolomite soils (which by default is assigned a coefficient of 0 to which the other soil types are
334compared). All points are non-zero coefficients chosen by glmnet using the elastic net penalty.
335Confidence intervals (95%) are included only as a visual estimate of parameter variability and
336are not meant to indicate the statistical significance of a parameter. Glmnet includes all
337parameters that improve a model and so are included in the model if they have a non-zero value.

340**Life stage predictors:** Models indicate that both bristlecone pine (Figure 4a) and limber pine
341(Figure 5a) adult basal area is largely explained by soil type. Since dolomite is set as the
342intercept by elastic net regularization, and since limestone has a similar effect as dolomite, they
343do not show up with a coefficient on the figures below. In the case of bristlecone pine, granite
344and quartzite soil both have a large negative effect relative to dolomite which would also make
345the inverse true: dolomite soils have a large positive effect relative to granite and quartzite.
346Mountain range also strongly predicted bristlecone pine adult basal area, having a strong positive
347association with the Spring Mountains and a negative association with the Snake Range. Adult
348bristlecone pine basal area was positively associated with cooler north-facing and east-facing
349slopes and negatively associated with latitude. As expected, adult limber pine basal area is
350positively associated with granitic and quartzite soils, thus indicating niche differentiation from
351bristlecone pine, which is positively associated with calcareous soils. Limber pine adult basal
352area was only moderately associated with mountain range but had a strong positive association
353with latitude indicating higher basal areas in the northern part of the study area. It also had a
354negative association with east aspect indicating higher treeline advance on warmer west-facing
355slopes. Both species had a number of other small, but non-zero, associations with basal area
356(Figures 4a and b).



357
358

359 **Figure 4: Bristlecone pine predictors**

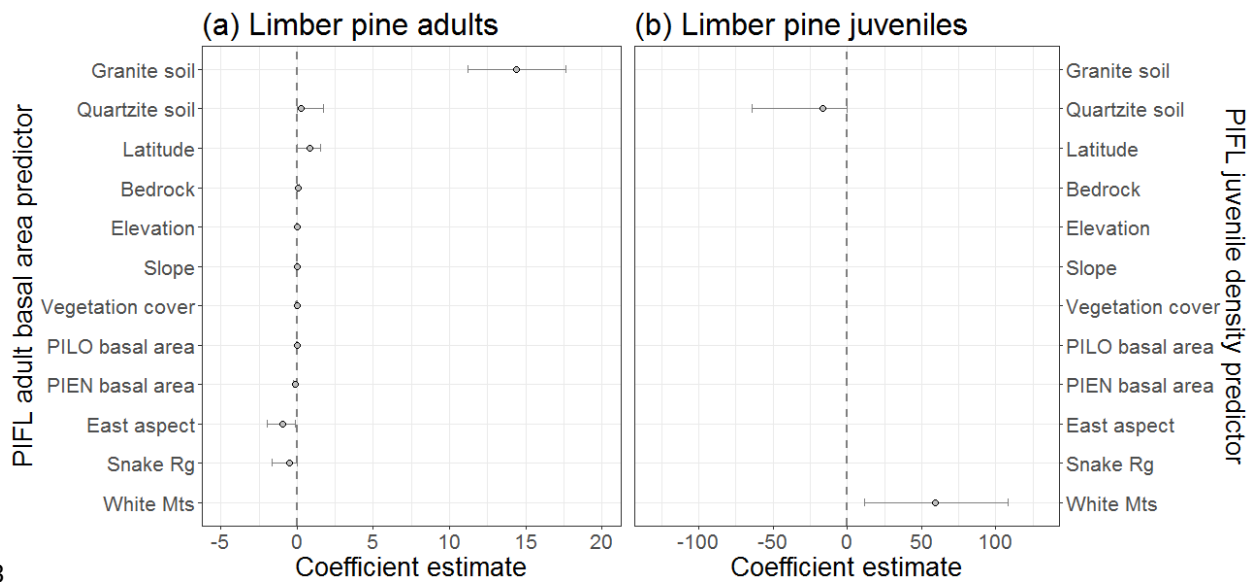
360 Slope coefficients for predictors of (a) adult bristlecone pine basal area below treeline and (b)
 361 established bristlecone pine juveniles above treeline. All points are non-zero coefficients chosen
 362 by glmnet using the elastic net penalty. Predictors without a point were not found to improve the
 363 model and so, while not included, are shown here for comparison purposes. Confidence intervals
 364 (95%) are included only as a visual estimate of parameter variability and *are not* meant to
 365 indicate the statistical significance of a parameter. Glmnet includes all parameters that improve a
 366 model and so are included in the model if they have a non-zero value.

367

368

369 Models for above-treeline density of bristlecone pine juveniles had predictors that were
 370 similar to, but not the same as, those for adult basal areas (Figure 4b). Most of the corresponding
 371 regression coefficients have the same coefficient sign for both life stages, suggesting that
 372 bristlecone pine seedling environmental tolerances somewhat match those of adults. Exceptions
 373 include the Snake Range (negative association for adults, positive for juveniles) and east aspect

374(positive for adults, negative for juveniles) indicating that while adults and juveniles share some
 375predictors, they do not share all of them and for at least some predictors, respond oppositely.
 376Models of limber pine above-treeline juvenile density included very few predictors, and shared
 377only one of those predictors with the model for limber pine adults (Figure 5). Further, the only
 378shared coefficient, quartzite soil, has an opposite sign for adults and juveniles. Most strikingly,
 379while adult limber pine density was strongly associated with granitic soils, above-treeline
 380juvenile density had no association with granitic soil. Limber pine juveniles responded to far
 381fewer and different parameters than limber pine adults suggesting that limber pine juveniles have
 382different and broader tolerances than adults.



383
384**Figure 5: Limber pine predictors**

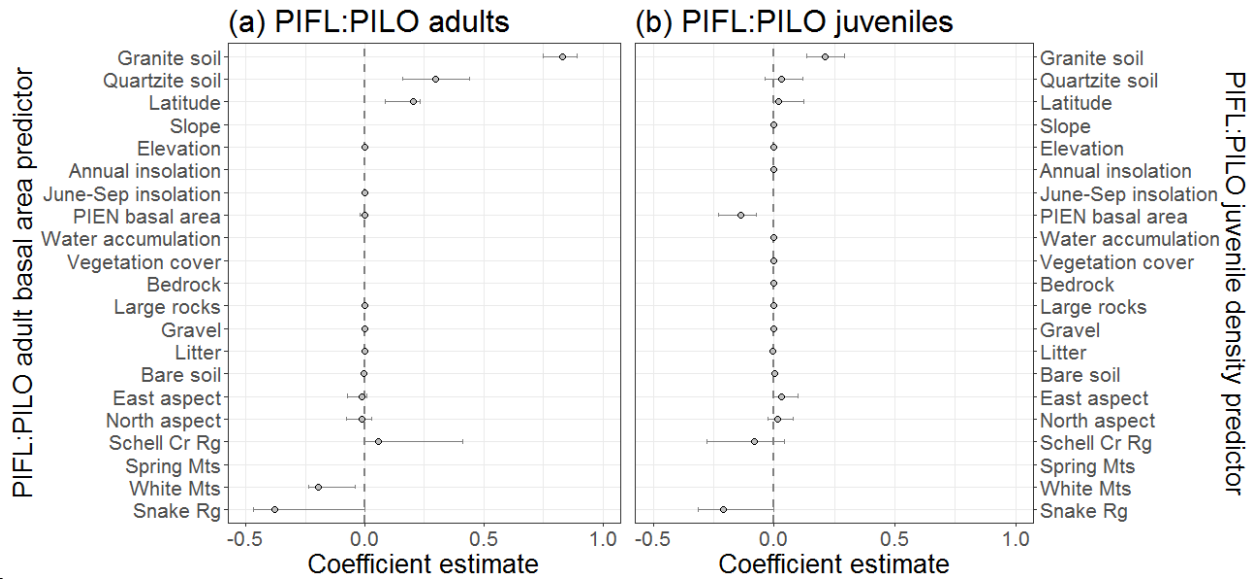
385Slope coefficients for predictors of (a) adult limber pine basal area below treeline and (b)
 386established limber pine juveniles above treeline. All points are non-zero coefficients chosen by
 387glmnet using the lasso penalty. Predictors without a point were not found to improve the model
 388and so, while not included, are shown here for comparison purposes. Confidence intervals (95%)
 389are included only as a visual estimate of parameter variability and *are not* meant to indicate the

390 statistical significance of a parameter. Glmnet includes all parameters that improve a model and
391 so are included in the model if they have a non-zero value.

392

393 A positive coefficient for the ratio of limber pine to bristlecone pine adult basal area
394 adults or juvenile density (PIFL:PILO) indicates a predictor that favors limber pine over
395 bristlecone pine. For adult basal area there is a strong positive effect of granite soil on
396 PIFL:PILO as expected (Figure 6a). There is also a strong positive association between latitude
397 and adult basal area indicating a gradient of more bristlecone pine further south and more limber
398 pine further north as well as positive and negative effects of individual mountain ranges. For
399 juvenile density, models identify the same positive association of PIFL:PILO with granitic soil,
400 due mostly to juvenile bristlecone pine's near absence on granite (Figure 6). While there are
401 some shared predictors for adult and juvenile PIFL:PILO, many are unique and some of the
402 predictors with smaller coefficients, such as those for north and east aspects, switch from
403 negative (adults) to positive (juveniles) associations. A switch like this would indicate that for
404 example on east aspects, adult bristlecone pines have a higher basal area than adult limber pine,
405 but that for juveniles, bristlecone pines have a lower density than limber pines.

406 There is also a relationship between PIFL:PILO and substrate size. In adults, PIFL:PILO
407 is positively associated with large rocks and gravel and negatively associated with bare soil and
408 litter. In juveniles, PIFL:PILO is positively associated with bare ground, large rocks and bedrock
409 while being negatively associated with gravel and litter. This would indicate that there is more
410 limber pine relative to bristlecone pine on substrates composed of larger rock sizes.



411
412

413 **Figure 6: Limber pine : bristlecone pine predictors**

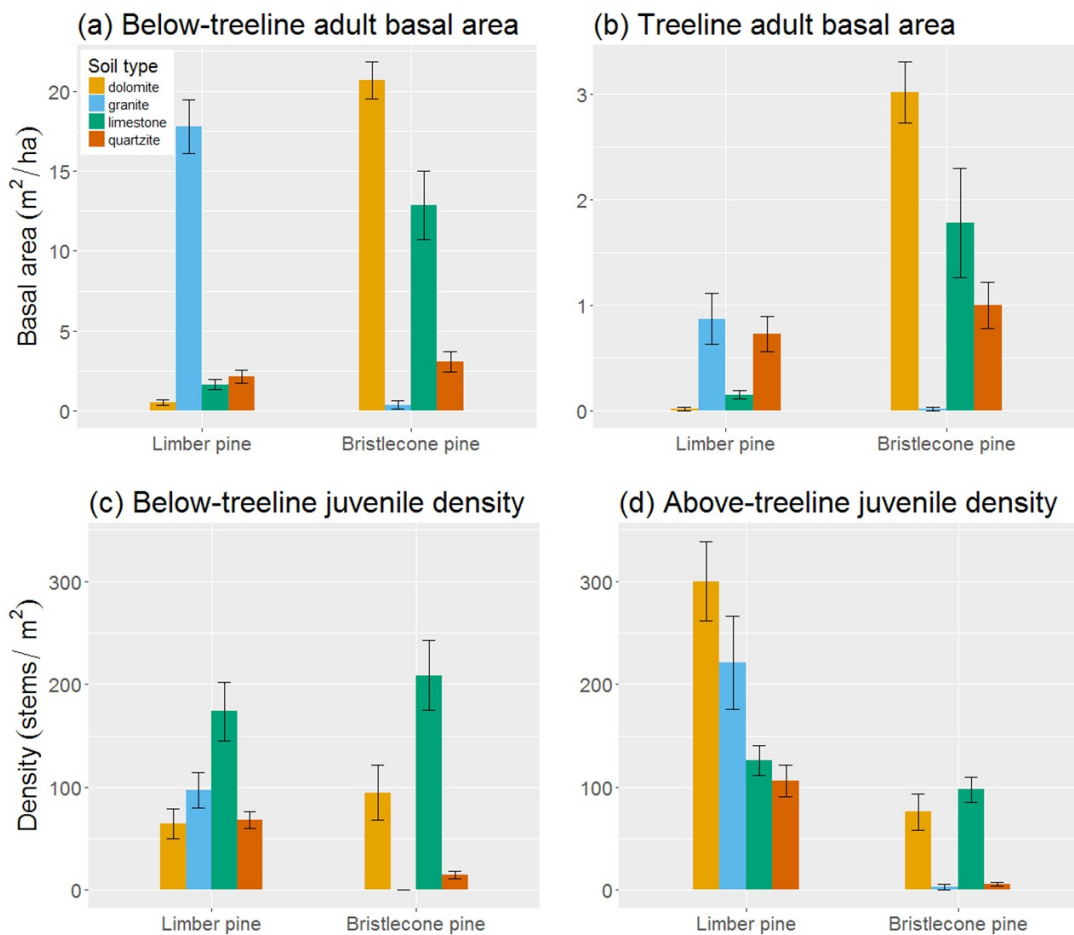
414 Slope coefficients for predictors of the (a) relative limber pine to bristlecone pine adult basal area
 415 ratio and (b) relative limber pine to bristlecone pine juvenile density ratio above treeline. All
 416 points are non-zero coefficients chosen by glmnet using the lasso penalty. Predictors without a
 417 point were not found to improve the model and so, while not included, are shown here for
 418 comparison purposes. Confidence intervals (95%) are included only as a visual estimate of
 419 parameter variability and *are not* meant to indicate the statistical significance of a parameter.
 420 Glnet includes all parameters that improve a model and so are included in the model if they
 421 have a non-zero value.

422

423

424 **Adult basal area and upslope juvenile density:** Below-treeline adult basal area follows expected
 425 patterns with respect to soil type. Limber pine basal area was higher on granitic soil while
 426 bristlecone pine basal area was higher on carbonate soils like limestone and dolomite (Figure
 427 a). Treeline adult basal area generally followed the same pattern, but there were two key

428 differences (Figure 7b). First, basal areas were lower at treeline as the trees become less dense at
 429 the edge of their tolerable range. Second, with the exception of granitic soils, limber pine basal
 430 area was considerably lower at treeline than that of bristlecone pine. This finding is consistent
 431 with general forest composition patterns in the Great Basin: when bristlecone pine is present in a
 432 stand, it is generally the dominant tree species at treeline, with some notable exceptions,
 433 especially on granitic soil.



434
435

436 **Figure 7:** Comparisons of adult basal areas for limber pine and bristlecone pine in (a) the mid-
 437 stand below treeline forest and (b) at treeline and juvenile density (c) below treeline and (d)
 438 above treeline. Below-treeline and treeline basal areas are shown on different scales to allow
 439 visualization of the soil preference relationship. All error bars are 1 SE of the mean.

442 Below-treeline juvenile density of both species followed a similar pattern to adult basal
443area (Figure 7a,c). Bristlecone pine adults dominated on calcareous dolomite and limestone but
444had low basal area on granite. Below-treeline juvenile bristlecone pine had the same relationship
445with higher juvenile density on limestone and dolomite soils than limber pine. Where adult
446limber pine dominates on granite, the juvenile density is also high, unlike bristlecone pine
447juveniles which are almost entirely absent on granite (Figure 7c). However, limber pine juvenile
448density was higher generally on all soil types than the adult basal area relationship with soil type
449would imply. These relationships break down entirely above treeline where limber pine juveniles
450dominated on every soil type (Figure 7d). This is especially true on dolomite, a soil that is core
451bristlecone pine habitat, and on which very few adult limber pines were found (Figure 7b,d).
452Above-treeline bristlecone pine juvenile densities maintained the expected soil relationships
453based on adult basal area and soil type, but on every soil type, bristlecone pine juvenile density
454was considerably lower than limber pine juvenile density above treeline.

456**Discussion:**

457 Results from this study suggest three key findings. The first is that treeline is advancing
458in Great Basin mountains where bristlecone pine and limber pine are present. So far, the juvenile
459establishment would predict a region-wide increase for both species with a vertical mean of 19.1
460m since approximately 1950 (Billings & Thompson, 1957). The major predictors for higher
461advancing establishment relative to treeline are forest stands on granitic and limestone soils on
462south and west aspects and in more easterly mountain ranges like the Snake Range. However, we

463 found upslope establishment in all mountain ranges included in the study. The second finding is
464 that while bristlecone pine adults and above-treeline juveniles share some environmental
465 predictors for their abundances, limber pine adults and above-treeline juveniles do not. The third
466 finding is that juvenile limber pine density dominates that of bristlecone pine above treeline,
467 especially on soils like dolomite where adult bristlecone pine dominates in currently established
468 stands below treeline. Limber pine appears to have “leap-frogged” over bristlecone pine to
469 dominate the newly expanding treeline in forests of the Great Basin, as found in a study of
470 smaller extent (Millar *et al.*, 2015).

471 While these findings point to interesting potential changes in tree species composition
472 above treeline, some caution is required in interpreting these results. We designated treeline as a
473 line based on generally accepted criteria, but treeline is more accurately an ecotone between the
474 sub-alpine forest and the alpine zone. Since it is an area of transition, determining this line can
475 seem subjective. For the sake of consistency and clarity, experts have accepted a definition of
476 treeline as being the line connecting clumps of trees at least 3 m in height (Körner, 1998, 2012;
477 Paulsen *et al.*, 2000). We have adhered to this definition in measuring treeline advance distances
478 while understanding that this measurement includes a certain amount of variance. Also, this
479 study uses juveniles to project what treeline is likely to look like in the future since the juveniles
480 (<100 years old) used for this projection are all under 3 m in height and do not meet the accepted
481 definition of a “tree.” Our findings rest on the premise that most established juvenile trees will
482 survive to 3 m height in order to constitute a higher treeline. There is strong evidence in favor of
483 this assumption, as previous studies have found very high (up to 99%) survival of bristlecone
484 pine and limber pine once they become established after the first five years (Elliott, 2012;
485 Barber, 2013; Conlisk *et al.*, 2017). Since we excluded juveniles under 5 years of age, it is likely

486that the vast majority of the juveniles measured will survive to adulthood. A pulse stress event
487that produced differential mortality between the two species might mean that the juvenile
488demographics seen today will not match the adult demographics of the future treeline.

489

490*Treeline advance:*

491 Studies predicting or showing treeline advance are common in the literature (Lescop-
492Sinclair & Payette, 1995; Grace *et al.*, 2002; Lloyd & Fastie, 2003; Truong *et al.*, 2007;
493MacDonald *et al.*, 2008; Elliott, 2011), as are studies showing a lack of treeline advance
494(Camarero & Gutiérrez, 2004; Gehrig-Fasel *et al.*, 2007; Payette, 2007; Harsch *et al.*, 2009;
495Dolanc *et al.*, 2013). Where treeline advance was not found, other treeline responses to warming
496are often seen such as sub-alpine infilling of trees (Millar *et al.*, 2004; Dolanc *et al.*, 2013),
497vertical release from the krummholz growth form (Lescop-Sinclair & Payette, 1995; Millar *et al.*,
4982004; Cairns, 2005), and growth changes (Wilmking *et al.*, 2004; Salzer *et al.*, 2009). Studies
499quantifying treeline advance have shown variable results given the difficulty in determining
500exact elevations for historic and current treeline. An even bigger problem is teasing apart the
501effects of recent anthropogenic warming on treeline advance from other human disturbance
502effects such as logging or grazing (Gehrig-Fasel *et al.*, 2007; Harsch *et al.*, 2009).

503 Compared with other studies that have quantified treeline advance, our mean vertical
504treeline advance of 19 m is relatively small. In the European Alps, treeline was found to advance
505115 m since 1900 in response to a 1.7°C temperature increase (Leonelli *et al.*, 2011). Studies in
506the Ural Mountains have documented a 40-80 m treeline advance in response to increased winter
507temperatures (Kammer *et al.*, 2009; Hagedorn *et al.*, 2014). The Scandes Mountains have seen a
50870-90 m increase in treeline elevation (Kullman & Öberg, 2009). There may be several reasons

509for this disparity. For example, the 1.7°C increase in the Alps is almost double the temperature
510increase documented in the western Great Basin (Millar *et al.*, 2015). In the Scandes Mountains,
511it is unclear whether that treeline advance response is a function of recent temperature increases
512or recent land abandonment (Gehrig-Fasel *et al.*, 2007). A recent study in the western Great
513Basin documented pine regeneration as much as 225 m from forest boundaries, which would
514equate to vertical distance of 35 – 220 m when factored with our measured range of slopes
515(Millar *et al.*, 2015). However, this study included other forest boundaries in addition to treeline
516possibly skewing their results.

517 One possibility for our lower treeline advance relative to other ranges may be the extreme
518longevity of bristlecone pine and limber pine, with adult trees on the landscape establishing
519during a warmer climatic period, perhaps during the Medieval Warm Period (950-1250_{CE}) but at
520least before the first climatic minimum of the Little Ice Age (1650_{CE}). Given the documented 1°C
521Great Basin temperature increase in the last century, the dry adiabatic lapse rate would project a
522100m increase in treeline. Our mean of 19.1 m is considerably less than this predicted value
523which suggests one of two options: 1) there is still significant upslope area that is climatically
524available for regeneration pointing to at least decades long lags in climate change response
525(Kroiss & HilleRisLambers, 2014), or 2) the climatic zone where trees were able to regenerate in
526the most recent regeneration period, the ‘regeneration line’, was historically well below the adult
527treeline and, as temperatures have increased, has only recently moved upslope through adult
528treeline to its current above-treeline location. If the current regeneration line is at the upper
529extent of climatically available habitat, the adiabatic lapse rate would estimate the historical
530regeneration line at 100 m below our “new” treeline, or 80 m below current adult treeline. This
531theory fits well with observations of a lack of regeneration near treeline in the mid-1900s and a

532current lack of recent treeline relict wood on the landscape, which tends to persist for 100s-1000s
533of years (Billings & Thompson, 1957; Wright & Mooney, 1965; LaMarche Jr, 1973). Other
534climatically extreme systems have seen similar lags (Payette, 2007).

535 There are other confounding factors concerning recent warming-induced treeline
536advance. For example, while temperature ultimately limits tree distributions, establishment filters
537such as water availability are more proximate limiters to range shifts (Lloyd & Graumlich, 1997;
538Daniels & Veblen, 2004; Moyes *et al.*, 2015; Conlisk *et al.*, 2017). We found soil type to be a
539strong predictor of higher treeline advance, especially on granitic soil. While granite is a
540relatively drier soil owing to its low water-holding capacity (Wright & Mooney, 1965), it is the
541only soil type in the study area dominated by limber pine at treeline. Other notable predictors
542include a positive association of treeline advance with topographic water accumulation and a
543negative association with large rocks and gravel. These responses indicate that treeline advance
544is likely facilitated by better water-holding soils and areas that accumulate more water. The large
545negative effects of latitude and east aspect are interesting in that they appear to indicate that
546treeline advance is greater in southern ranges on west-facing slopes. Taken together, treeline
547advance was highest in warmer areas where limber pine is the dominant treeline tree.

548

549*Life stage predictors:*

550 Species distribution models using climate niche are very common, especially those which
551use models to project future species distributions under climate change (Hijmans & Graham,
5522006). However, species distribution models are often based on matching adult distributions to
553climatic conditions, while not taking into account biotic interactions, dispersal effects, and life
554stage differences in climatic tolerances (Pearson & Dawson, 2003; Guisan & Thuiller, 2005;

555Heikkinen *et al.*, 2006; Araújo & Luoto, 2007). Mechanistic models which use knowledge of a
556species' physiology, ecological roles, population trends, and/or life stage differences can perform
557better than climate envelope models that rely only on climatic parameters (Hijmans & Graham,
5582006; Kueppers *et al.*, 2016; Ralston *et al.*, 2017). By comparing predictors for adults and young
559trees, we can learn about environmental filters for multiple life stages that will influence range
560shifts.

561 For bristlecone pine, predictors are similar for adults and above-treeline established
562juveniles. Both are positively associated with the Spring Mountains, the most southerly range.
563They are both negatively associated with granite and quartzite soils. Granite is a very porous soil
564and has a poor water holding capacity. Quartzite is usually darkly colored and so is warmer than
565white dolomite or light grey limestone, both being carbonate soils (Wright & Mooney, 1965).
566This would have the effect of increasing drought stress, especially on juvenile trees. Soil particle
567size also appears to have an effect on bristlecone pine establishment (but not on adult basal area).
568We found a small negative effect of increased bare soil (<1 cm particle size) and a small positive
569effect of gravel (1-20 cm particle size). Bristlecone pine seeds are small and generally gravity-
570dispersed with the seeds landing on the soil surface. These seeds are almost entirely consumed
571by seed predators. Seeds not consumed but on the soil surface also fail to germinate, likely due to
572the lack of surface moisture (Barber, 2013). Soils with larger particle size, however, may allow
573some seeds to fall between the soil particles, providing both protection from predation and a
574better microclimate for germination. Sub-alpine trees are often found growing from under “nurse
575rocks” suggesting that seeds protected from predators and desiccation are more likely to
576establish.

577 Limber pine juveniles do not appear constrained by the same environmental factors
578affecting limber pine adults. While adults have relatively small responses to a number of
579predictors, they are largely predicted by granitic soil. Juveniles are not well predicted by soil
580type, and in fact though limber pine juveniles were prevalent in almost every plot, few
581environmental variables were associated with juvenile density. Even high adult limber pine basal
582area failed to predict juvenile density. Since we observed high overall limber pine regeneration
583(Figure 7), as have other studies in the Great Basin (Millar *et al.*, 2015), this finding strongly
584suggests that limber pine juveniles have relatively wide environmental tolerances. Therefore,
585while there are strong environmental or dispersal filters operating on bristlecone pine juveniles,
586above-treeline limber pine juveniles appear to have less constrictive filters.

587 The relative ratios between limber pine and bristlecone pine (PIFL:PILO) and substrate
588size suggests a relative preference for limber pine in areas with larger rocks and exposed
589bedrock. This appears to be the case for both adults and juveniles. The difference in the limber
590pine to bristlecone pine ratio points either to a relative difference in limber pine's ability to
591establish on larger substrate sizes or to a difference in its ability to disperse to microsites with
592preferred substrates. Either way, the ratio indicates an important role for 'nurse rocks' whereby
593larger rocks provide more mesic microsites than low-texture surfaces for establishing seedlings
594by moderating temperature, blocking wind, and/or maintaining soil moisture (Resler *et al.*, 2005;
595Pyatt *et al.*, 2016). Both species would likely benefit from establishing near nurse rocks, thus a
596higher relative abundance of limber pine in larger substrates suggests that limber pine is better
597able to disperse to these preferred nurse rock microsites. While there is much less topographic
598texture in the Great Basin relative to the Rocky Mountains where many abiotic facilitation
599studies have taken place, it would appear that this nurse effect is still an important driver of

600species distributions. Interestingly, with limber pine and bristlecone pine in the Great Basin,
601there is no evidence of biotic facilitation wherein an individual above-treeline tree creates a more
602mesic microsite under which a tree island can form, as seen in whitebark pine treelines (Resler *et*
603*al.*, 2014; Tomback *et al.*, 2014).

604

605

606*Adult basal area and upslope juvenile density:*

607 Above-treeline limber pine juveniles established in higher densities than bristlecone pine
608throughout the Great Basin, especially on dolomite, a soil type that is known to be strongly
609associated with bristlecone pine (Wright & Mooney, 1965; Charlet, 1996). The assumption has
610been that bristlecone pine is dominant on dolomite because other species do not tolerate the high-
611pH, high-magnesium, and low-phosphorus soil (Wright & Mooney, 1965; Butler *et al.*, 2007;
612Maher *et al.*, 2015). In these stands, adult cone-producing limber pines are rare. However, it is on
613these soil types that limber pine regeneration is highest (Figure 7d). One reason for high
614regeneration likely has to do with water limitation. While deep-soil water is typically not in short
615supply for adult trees in Great Basin treelines (Salzer *et al.*, 2009), studies examining tree
616recruitment at and above treeline show that water is often limiting at the surface where young
617trees access it (Moyes *et al.*, 2013; Kueppers *et al.*, 2016; Conlisk *et al.*, 2017). Relative to
618quartzite and granite, carbonate soils (like dolomite and limestone) are lighter in color which
619reduces the surface temperature, and thus evaporative demand, while also having a finer grain
620and better water-holding capacity (Wright & Mooney, 1965). It is likely the improved water
621retention in dolomite and limestone make it more tolerable to juvenile trees. And despite the low

622 numbers of adult limber pine on dolomite and limestone (Figure 7a and b), these soil types
623 appear to be an amenable substrate for juveniles.

624 This contrast of many juvenile limber pines but few adults on dolomite soils raises an
625 obvious question: how are all of these limber pine seeds getting there? Small-seeded bristlecone
626 pine seeds are primarily dispersed by wind with the majority of regeneration occurring near adult
627 trees (Benkman, 1995; Coop & Schoettle, 2009, but see Lanner *et al.*, 1984). The vast majority
628 of these seeds are consumed by rodent seed predators (Barber, 2013; Maher *et al.*, 2015). Limber
629 pine seeds are large and nutritious and so are targeted by Clark's nutcracker (*Nucifraga*
630 *columbiana*), a high-elevation corvid that tears apart limber pine cones, removes the seeds and
631 then travels up to 22 km away to cache the seeds for future consumption (Vander Wall, 1988;
632 Lanner, 1996; Tomback *et al.*, 2005; Siepielski & Benkman, 2008). Since seeds are buried at an
633 optimal depth for germination, are hidden from other seed predators, and at least some of the
634 cached seeds are not consumed, limber pine seeds are "planted" throughout the landscape. This
635 is also likely to provide an advantage for seeds which are cached (limber pine) near nurse
636 objects over wind-dispersed seeds (bristlecone pine) (Malanson *et al.*, 2007; Tomback & Resler,
637 2007)

638 Even among those seeds that are not consumed, successful establishment is rare, often
639 occurring in pulses coinciding with multiple years of higher summer and autumn precipitation
640 and lower summer temperatures (Barber, 2013; Millar *et al.*, 2015). Since no colonization above
641 treeline was apparent in the mid-20th century, it is likely that the climatic conditions have
642 changed enough in the last 50 years for what was climatically inhospitable habitat at and above
643 treeline to recently become a large area of suitable seedling habitat. Ongoing research is
644 examining the local and broad-scale genetic population structure between the two species to

645 assess how differences in dispersal strategies might be resulting in genetic differentiation among
646 populations. If limber pine does have a dispersal advantage, it may be more able than bristlecone
647 pine to take advantage of a rapidly warming climate.

648 There is an interesting paradox concerning the elevational and latitudinal distributions of
649 adult limber and bristlecone pines. While in bristlecone pine's range it is found at higher
650 elevations than limber pine (Charlet, 1996; Millar *et al.*, 2015), limber pine's range extends far to
651 the north relative to bristlecone pine (Figure 1). If cold tolerance were the only driver for these
652 distributions, we would expect bristlecone pine to be found both higher up and further north.
653 There are a number of possible explanations for this, although most are outside the scope of this
654 paper. While limber pine's range extends far to the north relative to bristlecone pine, it also
655 extends relatively further to the south, hinting that limber pine generally has either more genetic
656 variation or phenotypic plasticity allowing it to live in a greater diversity of climatic conditions.
657 Limber pine is known to grow well across an unusually wide range of elevations and conditions
658 and while the high gene flow of this bird-dispersed species results in little genetic differentiation
659 among populations, phenotypic plasticity with regard to stomatal density across elevational
660 gradients has been noted which may account for this greater distribution of limber pine
661 (Schoettle & Rochelle, 2000). A final speculative reason for this difference in elevational and
662 latitudinal ranges may be that while temperature certainly limits both species' ranges, water
663 limitation is an especially acute limiting factor in the Great Basin relative to much of limber
664 pine's range (Moyes *et al.*, 2013; Kueppers *et al.*, 2016). While our ongoing research will seek to
665 examine the relative effects of water stress on limber and bristlecone pine, we currently lack an
666 understanding of the relative importance of water limitation on these species at treeline.

668 *Significance:*

669 This study highlights the importance of recognizing differences in life stage tolerances to
670 climatic conditions. At treeline, adults are exposed to the extreme cold and wind of winter while
671 juveniles are protected under insulating snow. During the summer, adult trees can tap readily
672 available water deep in the soil that is unavailable to seedlings facing summer drought. Matching
673 adults to climatic conditions, such as in a species distribution model, is likely to be insufficient to
674 predict species distributions since filters may differ significantly among life stages. This study
675 also highlights that there are interspecific differences in the relationship between adult and
676 juvenile responses to climatic parameters. Here, bristlecone pine adults and juveniles share some
677 predictors while limber pine adults and juveniles do not, even though climatic conditions that
678 support increased limber pine growth in adults have been shown to be the same that support
679 limber pine recruitment (Millar *et al.*, 2015).

680 Treeline is advancing throughout the Great Basin, primarily through downslope limber
681 pine “leap-frogging” over treeline bristlecone pine. This is happening even on soils types like
682 dolomite that have historically been dominated by bristlecone pine adults. These forests are low-
683 density stands, likely due to water limitation, shade intolerance, and limited suitable
684 establishment micro-sites. If a species is able to establish first, it might be able to pre-empt other
685 tree species, at least in the short term, which in these forests can extend to thousands of years.
686 Priority effects can only occur when the initial colonizer is able to exclude subsequent species
687 from establishing. Whether this is occurring with limber and bristlecone pine remains to be seen,
688 but in these harsh conditions where both light and water limitations are acute and establishment
689 microsites are rare, initial establishment may cause a priority effect even in the event of low-
690 density establishment. While sub-alpine trees have been documented expanding their range

691 downslope in some unusual slope conditions such as downslope cold air pooling (Millar *et al.*,
692 2015), on most slopes, treeline advance upslope is also possibly happening in conjunction with
693 contraction at the lower range margins (Kueppers *et al.*, 2016). If bristlecone pine is contracting
694 at its lower range margin and unable to advance upslope because it is blocked by limber pine,
695 bristlecone pine would face overall range contraction and possibly local extirpations. With
696 individual bristlecone pines being the oldest known non-clonal individuals on earth, a loss of
697 some of these populations would be a loss of great cultural significance.

698

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707

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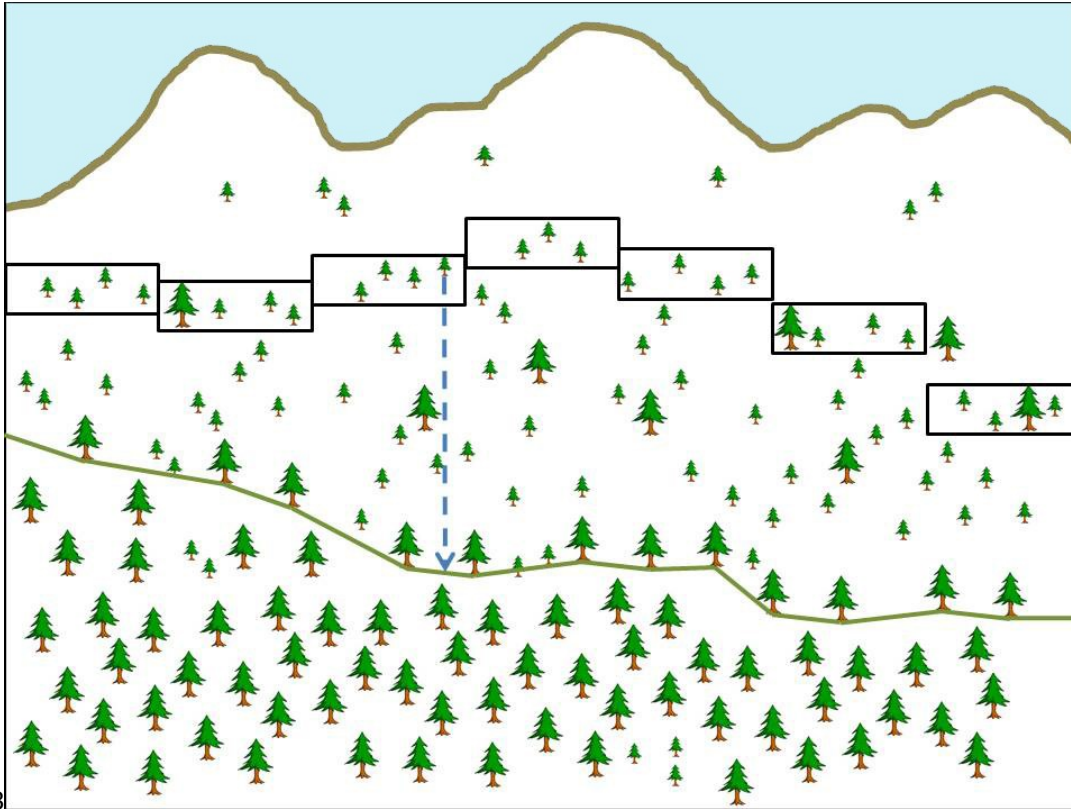
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943

944Supplement 1: Above-treeline Plot Placement

945Plots were placed as a modified belt transect in which the plots (black rectangles) were
946connected but allowed to move up and down in elevation each 30 m to meet our above-treeline
947criteria. Vertical distance to treeline (blue dashed line) was measured from each tree in the plot
948down the vertical fall-line to the line connecting the highest continuous adult trees (green line).