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# Electric field Monte Carlo simulations of focal field distributions produced by tightly focused laser beams in tissues

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The focal field distribution of tightly focused laser beams Abstract: in turbid media is sensitive to optical scattering and therefore of direct relevance to image quality in confocal and nonlinear microscopy. A model that considers both the influence of scattering and diffraction on the amplitude and phase of the electric field in focused beam geometries is required to describe these distorted focal fields. We combine an electric field Monte Carlo approach that simulates the electric field propagation in turbid media with an angular-spectrum representation of diffraction theory to analyze the effect of tissue scattering properties on the focal field. In particular, we examine the impact of variations in the scattering coefficient  $(\mu_s)$ , single-scattering anisotropy (g), of the turbid medium and the numerical aperture of the focusing lens on the focal volume at various depths. The model predicts a scattering-induced broadening, amplitude loss, and depolarization of the focal field that corroborates experimental results. We find that both the width and the amplitude of the focal field are dictated primarily by  $\mu_s$  with little influence from g. In addition, our model confirms that the depolarization rate is small compared to the amplitude loss of the tightly focused field.

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#### 1. Introduction

The image contrast in laser scanning microscopy of biological samples depends critically on the ability to form a tightly focused spot in the specimen. The presence of scattering and absorption in biological materials generally affects the laser focus quality [10]. Scattering, in particular, is significant in biological tissues, and decreases the amount of radiation available for the formation of the focal spot at greater depths. Moreover, tissue scattering introduces both spatial distortions of the focal volume and a depolarization of the incident light. While some of the negative effects of tissue scattering can be mitigated by using higher incident light intensities [20], longer excitation wavelengths [30], or adaptive optics techniques [450, 0], the understanding of the fundamental mechanisms that link tissue properties and focus quality can offer clues towards the development of better image correction schemes.

A predictive model that connects the electric field characteristics in the focal volume to tissue parameters would be quite valuable. Generally, light propagation in complex media involves solving Maxwell's equations of electromagnetic radiation with appropriate boundary conditions. This approach is taken when applying perturbation theory to calculate the scattered electric field [60], or when numerically solving Maxwell's equations using finite-difference time domain (FDTD) methods [7809, 0, 0]. FDTD methods have been applied recently to focused beam geometries [100], and used to study focal field distortions introduced by cellular structures [110]. However, direct solutions for the electric field is very computationally intensive when applied to tissue scattering problems, and such calculations are not easily implemented beyond specific deterministic structures of the scattering material.

An alternate approach is found in the radiative transfer equation (RTE), which models the incoherent propagation of light through scattering media [12130, 0]. The RTE can be solved by direct numerical integration [140] or by Monte Carlo based methods [1516017, 018, 0, 0]. The Monte Carlo (MC) method is particularly attractive, as it allows the simulation of light propagation through the tissue using probability density functions (pdf) that govern the probability that a photon interacts with the tissue as it propagates. These pdfs are parameterized by experimentally-accessible tissue parameters such as the scattering coefficient  $\mu_s$ , the absorption coefficient  $\mu_a$  and the single-scattering phase function  $p(\theta)$ . The MC method has been

very successful at predicting light propagation in tissues based on general material parameters, in particular in the transport and diffusive regimes of propagation [190]. Nonetheless, because the wave character of light is generally ignored in this approach, diffraction of light is not included in MC models and the simulation of the diffraction-limited focal volume is intrinsically problematic. Several models have been introduced that provide effective solutions to this problem. Such models generally adopt initial distribution functions for the photon particles that mimic the light distribution of a Gaussian-shaped focal volume, while the light propagation still proceeds in an incoherent manner [2021022, 023, 024, 025, 026, 027, 028, 029, 0, 0]. In addition, some MC studies have incorporated effective phase retardation functions in focused light geometries to calculate the loss of interference efficiency in optical coherence tomography (OCT) [3031032, 033, 0, 0].

While MC models that incorporate effective focal volume geometries have reproduced some experimentally-observed trends, they fail to make a general connection between tissue parameters and the electric field characteristics in the vicinity of the focal volume, including its amplitude, phase, and polarization state. The characterization of the focal volume in terms of the electric field, as opposed to incoherent photon particles, is crucial for modeling the imaging properties of coherent imaging techniques such as OCT, harmonic generation microscopy, and coherent Raman microscopy. Several MC techniques have been developed that model the amplitude and phase of the electric field as it propagates through the medium. Fisher and coworkers modeled light propagation in terms of Huygens wavelets, which evolve through MC sampling [340]. Another approach is based on the decomposition of wavefronts into an angular spectrum of plane waves, which are subsequently propagated in a Monte Carlo fashion. Daria and co-workers have used such an approach to study light propagation in tissues [350]. A more formal implementation of this technique was developed by Xu, who applied the plane wave electric field Monte Carlo (EMC) method to study the spatial coherence of light in back-scattered geometries [3637038, 0, 0].

The angular spectrum representation of diffraction theory can be integrated with the plane wave EMC method to simulate the propagation of a focused wavefront in scattering media. This is possible because the amplitude, phase, and polarization state are retained in the plane wave EMC model which allows for diffraction effects to be included in the description of the focal fields. This hybrid approach has recently been used to study the amplitude and phase of focal fields as a function of depth in scattering media [390]. In this work, we apply this method to establish general trends between experimentally accessible tissue parameters and the amplitude loss, spatial distortion, and polarization loss of focal fields. Within the random scattering approximation, we examine the effects of  $\mu_s$  and the scattering anisotropy g on the quality of the focal volume as a function of focusing depth and the numerical aperture (*NA*) of the lens.

#### 2. Theory

We use angular spectrum representation of diffraction theory in combination with electric field Monte Carlo (EMC) to model the formation of the focal volume in the sample. Scattering effects in the turbid medium are implemented by propagating the plane waves of the angular spectrum through an EMC simulation. The EMC simulation accounts for amplitude, phase, and polarization state changes of the electric field introduced by scattering and absorption events in the medium.

#### 2.1. Angular spectrum representation of diffraction theory

In the angular spectrum representation the wavefront is decomposed into a spectrum of plane waves, each of which is characterized by a wave vector  $\mathbf{k}$ . The angular spectrum representation



Fig. 1. Schematic of the diffraction geometry. The wavefront of the initial field  $\mathbf{E}_{far}$  is modified to  $\mathbf{E}^{\mathbf{d}}_{far}$ , which captures the effects of a given medium. Waves launched from a Lambertian source (symbolized by semi-circle) with a wave vector *k* are allowed to scatter in a medium of thickness *T*, and the amplitude and phase at each exit wave vector *k'* is determined.

of **E** in the vicinity of the focal volume is [40410, 0]:

$$\mathbf{E}_{f}(x, y, z) = \frac{\mathbf{i} f \mathbf{e}^{-\mathbf{i} k f}}{2\pi} \int_{(k_{x}^{2} + k_{y}^{2}) \le k^{2}} \mathbf{E}_{far}^{d}(k_{x}', k_{y}') \mathbf{e}^{\mathbf{i} (k_{x}' x + k_{y}' y + k_{z}' z)} \frac{1}{k_{z}'} \mathbf{d} k_{x}' \mathbf{d} k_{y}'$$
(1)

where f is focal length of the lens and  $\mathbf{E}_{far}^{d}$  is the refracted field at the lens surface. In cylindrical coordinates for the focal field, Eq. (1) is written as

$$\mathbf{E}_{f}(\rho, \boldsymbol{\varphi}, z) = \frac{\mathbf{i}kf \mathbf{e}^{-\mathbf{i}kf}}{2\pi} \int_{\phi=0}^{2\pi} \int_{\theta=0}^{\theta_{\text{max}}} \mathbf{E}_{\text{far}}^{d}(\theta', \phi') \mathbf{e}^{\mathbf{i}kz\cos\theta'} \mathbf{e}^{\mathbf{i}k\rho\sin\theta'\cos(\phi'-\phi)}\sin\theta' d\theta' d\phi'.$$
(2)

We incorporate turbidity by introducing a response function that represents the amplitude decay and phase delay:

$$\mathbf{E}_{\rm far}^{d}(\theta',\phi') = \int_{\phi=0}^{2\pi} \int_{\theta=0}^{\pi/2} G(\theta,\phi\to\theta',\phi') \, \mathbf{E}_{\rm far}(\theta,\phi) \, \sin\theta d\theta \, d\phi \tag{3}$$

where  $G(\theta, \phi \rightarrow \theta', \phi')$  is called the coherent angular dispersion function (CADF), and  $\mathbf{E}_{\text{far}}$  is the unperturbed field at the lens surface:

$$\mathbf{E}_{\text{far}}(\theta,\phi) = \left(\frac{n_1}{n_2}\right)^{\frac{1}{2}} \sqrt{\cos\theta} \left(\begin{array}{c} \cos\phi\cos\theta\cos(\phi-\gamma) + \sin\phi\sin(\phi-\gamma)\\ \sin\phi\cos\theta\cos(\phi-\gamma) - \cos\phi\sin(\phi-\gamma)\\ \sin\theta\cos(\phi-\gamma) \end{array}\right) |\mathbf{E}_{\text{inc}}(\theta,\phi)|.$$
(4)

Here the unrefracted field incident at the lens aperture is written as  $|\mathbf{E}_{inc}(\theta, \phi)|$ .

The EMC determines  $G(\theta, \phi \rightarrow \theta', \phi')$ , that is, the amplitude loss and phase retardation associated with the scattering of an incident wave vector **k** to an exiting wave vector **k'** (see Fig. 1). For a transparent sample, no scattering would occur and thus waves incident in **k** would exit at  $\mathbf{k}' = \mathbf{k}$  without attenuation resulting in a *G* equivalent to the identity matrix.

For turbid samples, scattering produces nonzero off diagonal elements in the CADF. The resulting G matrix describes how the incident wavefront is altered due to the effects of scattering. This response function is then inserted into the diffraction equation, Eq. (3), to provide a full description of the resulting electric field that incorporates the effects of sample turbidity.

#### 2.2. Monte Carlo simulations

We use an electric field Monte Carlo simulation to determine the CADF  $G(\theta, \phi \rightarrow \theta', \phi')$ . A Lambertian source launches plane waves at the slab surface and the initial wave vector  $\mathbf{k} = (k_x, k_y)$  is noted. The initial local coordinate system is described by  $(\hat{\mathbf{m}}, \hat{\mathbf{n}}, \hat{\mathbf{s}})$  where  $\hat{\mathbf{s}}$  is the propagation direction of the plane wave and  $\hat{\mathbf{m}}$  and  $\hat{\mathbf{n}}$  are unit vectors collinear with the parallel and perpendicular components of the electric field, respectively. We set the incident electric field to be linearly polarized along  $\hat{\mathbf{m}}$ , i.e.,  $\mathbf{E} = E_{\parallel} \hat{\mathbf{m}} + E_{\perp} \hat{\mathbf{n}}$  where  $E_{\parallel} = 1 + 0i$  and  $E_{\perp} = 0 + 0i$  and set the wave weight to W = 1. Each plane wave with wave vector  $\mathbf{k}$  is launched and allowed to propagate and scatter in the medium. After each scattering/absorption event, the coordinate system is updated according to [360]:

$$\begin{pmatrix} \hat{\mathbf{m}}'\\ \hat{\mathbf{n}}'\\ \hat{\mathbf{s}}' \end{pmatrix} = \mathbf{M}(\theta, \phi) \begin{pmatrix} \hat{\mathbf{m}}\\ \hat{\mathbf{n}}\\ \hat{\mathbf{s}} \end{pmatrix}$$
(5)

where the coordinate transformation is given as:

$$\mathbf{M}(\theta, \phi) = \begin{pmatrix} \cos\theta \cos\phi & \cos\theta \sin\phi & -\sin\phi \\ -\sin\phi & \cos\phi & 0 \\ \sin\theta \cos\phi & \sin\theta \sin\phi & \cos\theta \end{pmatrix}.$$
 (6)

Here  $\theta$  is the scattering angle and  $\phi$  the azimuthal angle. Interactions between the plane waves and the turbid medium has the effect of altering the propagation direction  $\hat{s}$  of the plane wave and its corresponding projections on  $\hat{m}$  and  $\hat{n}$ .

**Intercollision distances** Discrete absorption weighting [420] is used to model absorption which determines intercollision distances based on exponential distribution a mean length  $1/\mu_t$  and attenuates the wave at each interaction by  $(\mu_s/\mu_t)$ , where  $\mu_t = \mu_a + \mu_s$ .

**Scattering angles** The scattering angles  $(\theta, \phi)$  are determined from a joint distribution phase function  $p(\theta, \phi)$  using a method from Xu [360]:

$$p(\theta, \phi) = \frac{F(\theta, \phi)}{\pi x^2 Q_{\text{sca}}}$$
(7)

where  $Q_{\text{sca}}$  is determined from Mie scattering calculations [430] and x is the size parameter  $\equiv (2\pi na/\lambda)$ , with n as the refractive index of the medium and a as the particle radius. In Eq. (9),  $F(\theta, \phi)$  is given by

$$F(\theta,\phi) = (|S_2|^2 \cos^2 \phi + |S_1|^2 \sin^2 \phi) |E_{\parallel}|^2 + (|S_2|^2 \sin^2 \phi + |S_1|^2 \cos^2 \phi) |E_{\perp}|^2 + (8)$$
  
 
$$2(|S_2|^2 - |S_1|^2) \cos \phi \sin \phi \operatorname{Re}[E_{\parallel}(E_{\perp})^*],$$

where  $S_1(\theta)$  and  $S_2(\theta)$  are defined by Mie scattering calculations. The scattering angle  $\theta$  is sampled from

$$p(\theta) = \int_0^{2\pi} p(\theta, \phi) d\phi = \frac{|S_1(\theta)|^2 + |S_2(\theta)|^2}{x^2 Q_{\text{sca}}}.$$
(9)

The azimuthal angle  $\phi$  is determined using rejection sampling from the conditional probability  $p(\phi|\theta) = p(\theta, \phi)/p(\theta)$  where  $p(\theta)$  given by Eq. (9). After each scattering event, the coordinate system is updated according to Eq. (5) and the electric field is updated according to:

$$\begin{pmatrix} E'_{\parallel} \\ E'_{\perp} \end{pmatrix} = \mathbf{L}(\boldsymbol{\theta}, \boldsymbol{\phi}) \begin{pmatrix} E_{\parallel} \\ E_{\perp} \end{pmatrix}$$
(10)

with

$$\mathbf{L}(\theta,\phi) = \frac{1}{\sqrt{F(\theta,\phi)}} \begin{pmatrix} S_2(\theta)\cos\phi & S_2(\theta)\sin\phi \\ -S_1(\theta)\sin\phi & S_1(\theta)\cos\phi \end{pmatrix}.$$
 (11)

**Tallies** The propagation of the wave continues until it exits the top of the slab at the focal plane. Upon crossing the focal plane, we determine the exit angle with respect to  $\theta$  subdivisions or bins in  $[0, \pi/2]$ . The resulting wave weight  $W_j$  for each wave j is added to the appropriate bin to determine the wave tally for bin p. The phase delay is determined from path length information. At the exit, we convert the path length into time using,  $t_j = (d_j - d_b)/(c/n)$ , where  $d_b$  is the "ballistic" distance from the wavefront launch position/angle to the focal plane,  $d_j$  is the actual wavefront path length upon arrival at the focal plane, c is the speed of light, and n is the refractive index of medium. To calculate the phase delay we define  $t_{cycle} = \lambda n/c$  to denote the time of a full cycle  $(2\pi)$ . Each wave that enters angular bin p at time  $t_j$  has a phase  $\phi_j$ 

$$\phi_j = \left[ (t_j / t_{\text{cycle}}) - \text{floor}(t_j / t_{\text{cycle}}) \right] * 2\pi.$$
(12)

To determine the change to the electric field coordinate system, we must determine the change to the exiting wave coordinates relative to the initial coordinates. Let  $(\hat{\mathbf{m}}, \hat{\mathbf{n}}, \hat{\mathbf{s}})$  denote the initial electric field coordinates and  $(\hat{\mathbf{m}}_f, \hat{\mathbf{n}}_f, \hat{\mathbf{s}}_f)$  the final wave coordinates. After *n* scattering events, the local coordinate system is:

$$\begin{pmatrix} \hat{\mathbf{m}}_{f} \\ \hat{\mathbf{n}}_{f} \\ \hat{\mathbf{s}}_{f} \end{pmatrix} = \mathbf{M}(\theta_{p}, \phi_{p})\mathbf{M}^{f} \begin{pmatrix} \hat{\mathbf{m}} \\ \hat{\mathbf{n}} \\ \hat{\mathbf{s}} \end{pmatrix}$$
(13)

with  $\mathbf{M}^f = \prod_{i=1}^n \mathbf{M}(\theta_i, \phi_i)$  and  $\mathbf{M}(\theta_p, \phi_p)$  representing the transformation back onto the original coordinate system with rotation angles  $\theta_p = \arctan\left(M_{13}^f/M_{33}^f\right)$  and  $\phi_p = \arctan\left(M_{23}^f/M_{13}^f\right)$ . The final electric field,  $\mathbf{E}^f = E_{\parallel}^f \hat{\mathbf{m}}_f + E_{\perp}^f \hat{\mathbf{n}}_f$ , is then written as:

$$\begin{pmatrix} E_{\parallel}^{f} \\ E_{\perp}^{f} \end{pmatrix} = \begin{pmatrix} \cos\phi_{p} & \sin\phi_{p} \\ -\sin\phi_{p} & \cos\phi_{p} \end{pmatrix} \mathbf{L}^{f} \begin{pmatrix} E_{\parallel} \\ E_{\perp} \end{pmatrix}$$
(14)

where  $\mathbf{L}^f = \prod_{i=1}^n \mathbf{L}(\theta_i, \phi_i)$  contains all the scattering-induced coordinate transformations. Note that in the EMC simulations, the electric field components  $E_{\parallel}$  and  $E_{\perp}$  are complex and are thus characterized by both an amplitude and a phase. For each wave, the phase change is determined at each scattering event and the propagation phase in between scattering events is calculated, from which the final phase of the wave upon exiting the slab is determined. In this fashion, the amplitude and phase wavefront can be calculated by reassembling the angular spectrum of all waves  $\mathbf{k}$  after traversing the material. The focal volume is subsequently calculated by evaluating the diffraction integral in Eq. (1) with the modified wavefront.

For a fixed object expressed in angular frequency space, the EMC method allows a direct calculation of the distorted wavefront, and thus the simulation of the perturbed electric field in the vicinity of the focal volume. However, since different objects produce different wavefronts, the resulting focal volumes can vary broadly as a function of the shape, density, position, and refractive index properties of the scattering objects in the sample. The separate evaluation of each particular arrangement of scattering objects is not a convenient approach to distill the general

trends of changes to the focal volume due to scattering. Nonetheless, the EMC method can be used in an approximate approach for determining the effects of scattering on the focal fields. To this end, the wavefront is determined by considering the effects of random scattering events on the amplitude and phase of the angular spectrum. Instead of evaluating fixed scattering objects, each wave **k** is randomly scattered in the medium through Monte Carlo sampling of uncorrelated scattering events. The effective electric field is then found by the coherent superposition of the scattered waves. Such a coherent summation approach has been used to calculate the effective field at selected points in the focal volume [350]. Here we use a similar calculation to determine the effective field of a given wave vector **k** in the angular spectrum representation. The amplitude and phase of the field associated with the plane wave exiting at a given angle  $\theta_p$  is then calculated by taking the coherent sum of the contributions with the same exit angle:

$$\operatorname{Re}\left(E_{\parallel p}\right) = \frac{1}{\sqrt{N_p S_p}} \sum_{j=1}^{N_p} \operatorname{Re}\left(E_{\parallel p,j}\right)$$
(15)

$$\operatorname{Im}\left(E_{\parallel p}\right) = \frac{1}{\sqrt{N_p S_p}} \sum_{j=1}^{N_p} \operatorname{Im}\left(E_{\parallel p,j}\right)$$
(16)

where  $N_p$  is the number of waves detected in bin p,  $S_p$  is the surface area of bin p, and  $E_{\parallel p,j}$  is the *j*th wavefront detected in bin p whose amplitude is normalized by the total number of waves launched. The final amplitude is determined by  $E_{\parallel p} = \sqrt{\text{Re} \left(E_{\parallel p}\right)^2 + \text{Im} \left(E_{\parallel p}\right)^2}$ . Similar expressions are used to calculate  $E_{\perp p}$ . Note that the coherent sum acts as a coherent filter, i.e., angular components that exhibit a large phase variation, due to random scrambling of the phase, have smaller final amplitudes than angular components where the phase is more conserved [350]. Thus we will refer to  $E_{\parallel p}$  as the coherent amplitude. Consequently, the random scattering approximation allows the calculation of an effective wavefront with an amplitude and phase resulting from the summation over many random scattering events. We use the procedure outlined above to extract general trends in the amplitude and phase of the focal fields in media with different scattering properties.

#### 3. Methods

We examine tissue slabs with optical absorption and scattering coefficients of  $\mu_a = 0.02/\text{mm}$ and  $\mu'_s = 2/\text{mm}$  which are representative of human dermis at  $\lambda = 800 \text{ nm}$  [44450, 0]. Detailed scattering characteristics were determined using Mie theory [430] with spherical scatterers with a relative refractive index of 1.035. Spheres of radius  $a = 0.2961 \,\mu\text{m}$ , 0.1873  $\mu\text{m}$ , and 0.001  $\mu\text{m}$ were used to produce anisotropy coefficients g = 0.8, 0.6, and 0, respectively. The number density of scatterers was adjusted to provide a transport mean free path of  $l^* = 1/(\mu'_s + \mu_a) =$ 495  $\mu\text{m}$  for all samples.

We modeled slab thicknesses of  $T = 0-1.5l^*$ . The Monte Carlo simulations generated  $G(\theta, \phi \rightarrow \theta', \phi')$  by launching  $10^9-2 \times 10^{10}$  wavefronts for each slab thickness which produced relative errors of <0.1% in the wave count tallies. The focal volume was discretized into  $61 \times 61 \times 121$  voxels (x,y,z), over a focal volume that measures  $3 \mu m$  laterally and  $6 \mu m$  axially for capturing the focal field. The wave vector of each initial wavefront was selected from a Lambertian distribution sampled over 31 angular bins, while the exiting wavefront was tallied in 31  $\theta$  bins in accordance with the solid angle of the objective lens. All simulations launched wavefronts of *x*-polarized light defined by  $E_{\parallel} = 1$  and  $E_{\perp} = 0$  into the slab. The EMC simulation provides the transfer function  $G(\theta, \phi \rightarrow \theta', \phi')$  by providing the effective field for a particular exiting angle  $(\theta', \phi')$  given all incident angles  $(\theta, \phi)$ . The focal volumes were constructed by computing Eqs. (1)–(3) numerically for numerical apertures of NA = 0.81, 1.16, and 1.31.

#### 4. Results and Discussion

#### 4.1. Spatial broadening of the focal volume

We first examine the effect of slab thickness on the spatial dispersion and strength of the focal field. We considered three slabs types all of which have a fixed transport mean free path  $l^* = 495 \,\mu$ m but have varying single-scattering anisotropy coefficients of g = 0, 0.6, 0.8. Holding  $l^*$  constant for these three slab types resulted in  $\mu_s$  values of 2.0, 5.0, 10.0 mm<sup>-1</sup>, respectively. In Fig. 2 we plot the variation of the (a) lateral and (b) axial dimensions of the focal field i.e., the measured full width at half maximum (FWHM), with the slab thickness for a numerical aperture (*NA*) of 1.16. These values are normalized to those predicted by diffraction alone in the absence of scattering.

These results demonstrate that for turbid media with equivalent values for  $l^*$ , increases in g produce much stronger axial and lateral dispersion of the focal field. This occurs even for slab thicknesses exceeding  $4l^*$ ; a thickness where one might expect diffusive light transport to be operative. However, because the focal field is formed primarily by the wavefront components that remain 'in-phase' after propagating through the material, the larger single-scattering coefficients  $\mu_s$  associated with higher g result in a more pronounced spatial broadening of the focal volume. Although the single scattering phase function is more forward-directed for higher g, these plots suggest that the broadening of the focal fields may be governed predominantly by  $\mu_s$  rather than the scattering direction. In addition, comparison of Fig. 2a with Fig. 2b reveals a stronger dispersion along the axial dimension. This is an expected result, as it is known from diffraction theory that the field confinement in the axial dimension is more sensitive to field aberrations than the field distribution in the lateral dimension [46470, 0].



Fig. 2. (a) Lateral and (b) axial dimension of the focal field (FWHM) as a function of slab thickness *T* in units of  $l^*$  for anisotropy coefficients g = 0, 0.6, 0.8 for a numerical aperture NA = 1.16.  $l^* = 495 \,\mu$ m in all samples.

To illustrate the primacy of single-scattering in governing the spatial dispersion of the focal field, in Figs. 3(a) and (b), we plot the lateral and axial widths (FWHM) of the focal field versus the slab thickness *T* expressed in multiples of the single-scattering mean free path,  $l_s = 1/\mu_s$  for three numerical apertures: 0.81, 1.16, and 1.31. When plotted in this fashion, the broadening characteristics of the focal field for a given numerical aperture in all three slabs fall onto a single curve. This confirms that the broadening of the focal field is governed solely by the expected number of scattering interactions independent of the angular distribution of the single-scattering phase function. Hence, for fixed values of  $l^*$ ,  $\mu_a$ , and *NA*, the depth-dependent broadening of the focal field is given by a single 'master curve' when expressing the slab thickness in multiples

of the single-scattering mean free path  $l_s$ .

Figures 3(a) and (b) also show the dependence of focal field broadening on the *NA* of the focusing lens. As one might expect, the relative broadening of the focal field is more significant for larger numerical apertures with increasing material thickness. This is because the formation of a diffraction-limited focal volume at higher numerical apertures relies on the unimpaired propagation of larger  $\theta$  (off-axis) components of the wavefront which, due to their longer mean propagation distance through the slab, are more vulnerable to scattering or phase delay as they traverse the slab [250]. This observed *NA* dependence of focal volume dispersion is in line with experimental results [480] and incoherent MC calculations [270].

Note that although broadening of the focal fields is observed in these simulations, the overall effect of scattering on the confinement of the focal field distribution is modest. For instance, for a focusing depth corresponding to two single-scattering mean free paths ( $l_s = 2$ ) and a numerical aperture of 1.16, the lateral broadening is less than 10%. This modest broadening agrees with reported multiphoton microscopy experiments that examine the quality of tightly focused excitation volumes in scattering media. These studies suggest that although the loss of the excitation amplitude deeper into the medium can be severe, the corresponding broadening of the focal excitation volume is generally modest [25490, 0].



Fig. 3. (a) Lateral and (b) Axial FWHM as a function of slab thickness in terms of  $l_s$  for anisotropy coefficients g = 0, 0.6, 0.8 and numerical apertures NA = 0.81 ( $\circ$ ), 1.16 ( $\triangle$ ), 1.31 ( $\Box$ ).

#### 4.2. Loss of coherent amplitude

We next examine the attenuation of coherent amplitude with slab thickness. In Figs. 4(a) and (b) we plot the maximum amplitude in the focal volume as a function of slab thickness normalized with respect to the (a) transport mean free path  $l^*$  and (b) single scattering mean free path  $l_s$ , respectively. Here the electric field amplitude is normalized relative to the maximum amplitude in the focal volume in the absence of scattering. Figure 4(a) demonstrates that for slabs of equivalent thickness (since  $l^* = 495\mu$ m in all the slabs), the attenuation of the focal field is more severe for slabs with higher g which, accordingly, have a higher scattering coefficient  $\mu_s$ .

Moreover, we find that when comparing amongst slabs with a fixed value of g, the attenuation becomes more significant for higher NAs. This latter observation can be rationalized by the more pronounced scattering-induced amplitude loss of field components traveling with larger  $\theta$  when focusing with a higher NA lens. These features indicate, as was the case for the spatial dispersion of the focal field, that single scattering governs the attenuation of the focal field. Similarly, when plotting these results in terms of the single-scattering mean free path  $l_s$  in Fig. 4(b),

the depth dependent field attenuation effectively falls onto a single curve with relatively small variations due to differences in g and NA.



Fig. 4. Normalized maximum amplitude as a function of slab thickness in terms of (a)  $l^*$  and (b)  $l_s$  for numerical apertures NA = 0.81, 1.16, 1.31 and anisotropy coefficients  $g = 0(\circ), 0.6(\triangle), 0.8(\Box)$ .

Recall that in the cases studied here, we compute the CADF by launching a Lambertian distribution of wavefronts with parallel polarization and capture the angular dispersion of each electric field component that is produced as a result of propagation through the slab. In Fig. 5 we plot the amplitude of the CADF for slab thicknesses of 1, 3, and 5  $l_s$  for a single scattering anisotropy of g = 0.8. These plots clearly show increased attenuation and angular dispersion of the coherent amplitude with increasing angle of incidence and slab thickness.



Fig. 5. CADF for slab thicknesses of (a)  $l_s$ , (b)  $3l_s$ , and (c)  $5l_s$  for a single scattering anisotropy of g = 0.8.  $\theta$  and  $\theta'$  represent the incident and exiting angle of the wavefront, respectively. The color bar represents a logarithmic scale.

However, the formation of the focal field is governed solely by the amplitude, phase, and angular distribution of the wavefronts that exit the slab independent of the incident angles of the wavefront. In Fig. 6 we plot the normalized amplitude of the parallel component of the electric field  $E_{\parallel}$  as a function of the exiting angle for slab thicknesses T = 1, 3, and  $5l_s$  and fixed single-scattering anisotropy g = 0.8. These results are generated by integrating the CADF over  $\theta$ . This plot reveals the overall attenuation of  $E_{\parallel}$  with slab thickness. Moreover, this plot shows that the effect of increasing the normalized slab thickness is akin to a low-pass angular coherence filter. It is this low-pass filtering effect that is the origin of the spatial dispersion of the focal volume with depth. Hence, analysis of the CADF has allowed us to link the observed attenuation and spatial broadening of the focal fields to the angular distribution of the exiting wavefront as predicted by the EMC simulations.



Fig. 6. Normalized  $E_{\parallel}$  amplitude versus exiting angle  $\theta'$  for slab thicknesses of  $T = 1l_s(\circ), 3l_s(\bigtriangleup), 5l_s(\Box)$  for a single scattering anisotropy g = 0.8.

#### 4.3. Depolarization of the focal field

An important feature of this EMC approach is the ability to examine the effects of slab thickness and scatterer type on the depolarization that results from wavefront propagation to the focal volume. One motivation for this work is to provide a computational framework to predict the resolution and depth of linear and nonlinear optical microscopy techniques currently in use for imaging of thick tissues. A question relevant to polarization-sensitive methods such as harmonic generation microscopy and the co-registration of images relative to methods such as multiphoton microscopy is how the amplitude loss of incident field component  $E_{\parallel}$  might relate to the appearance/generation of the  $E_{\perp}$  components i.e., to the depolarization of the incident light.

To examine the interrelationship between loss of coherent amplitude and depolarization, in Fig. 7(a) we plot the normalized electric field component  $E_{\parallel}$  as a function of the exit angle  $\theta'$ for slab thicknesses T = 1, 3, and  $5l_s$  and single-scattering anisotropy g = 0, 0.6, and 0.8. As was the case in Figs. 3-5, we find a similar behavior for transmitted electric field in slabs with different g but identical thickness relative the the single-scattering length  $l_s$ . As in Fig. 6 we see the low pass filter effect of slabs with larger thickness. To compare, we plot in Fig. 7(b) a measure of the depolarization,  $D = 1 - (E_{\perp}/E_{\parallel})$ , as a function of  $\theta'$  in these same slabs. Figure 7(b) displays characteristics similar to Fig. 6 in that increasing slab thicknesses act as a low pass filter, this time for polarization as opposed to coherent amplitude. This agrees with experimental measurements of media with tissue-like properties which showed that the rate of depolarization of the incident radiation appears to be relatively small over length scales relevant to focused light [500]. However, comparison of the Figs. 7(a) with 7(b) reveals that propagation through a turbid slab of a fixed thickness consistently provides a more stringent filter for coherent amplitude as compared to polarization. This suggests that, for the scattering media examined, when using linearly-polarized incident light, the focal volume is formed predominantly by light with the same polarization state.

#### 5. Conclusion

In this work we have used plane wave electric field Monte Carlo (EMC) simulations combined with an angular spectrum representation diffraction theory for focused fields to gain insight in the effects of tissue scattering on the formation of a tightly focused laser spot in turbid media. Unlike incoherent Monte Carlo models, the EMC approach preserves the wave properties of the focused radiation and is thus better suited to study the influence of scattering on the diffraction limited focal volume. We used this model in the random scattering limit to establish a fundamental link between the macroscopic tissue scattering parameters and the relative amplitude,



Fig. 7. (a)  $E_{\parallel}$  normalized by maximum value, and (b)  $(1 - E_{\perp}/E_{\parallel})$ , as a function of the wave's exiting angle ( $\theta'$ ) for anisotropy coefficients g = 0, 0.6, 0.8 and slab thicknesses of  $T = 1l_s(\circ), 3l_s(\triangle), 5l_s(\Box)$ .

spatial broadening, and depolarization of the focal field.

Our results indicate that the loss of focal field amplitude with focusing depth is governed predominantly by the scattering coefficient  $\mu_s$  rather than by the scattering anisotropy g. This implies that the properties of the focal volume are dictated primarily by the number of scattering events and that the direction of scattering plays a relatively minor role. The major effect of tissue scattering is the increased amplitude loss and phase delay for wavefront components **k** with large propagation angles. This modifies the angular spectrum, in that the low angle **k** components gain more importance relative to the high angle components. Effectively, the scattering medium acts as a low pass filter of the angular spectrum, and results in a broadening of the focal fields in both the lateral and axial dimensions. This low pass filtering mechanism also explains why effective broadening of the focal fields is less severe for lower *NA* objective lenses, as the angular spectrum of a low *NA* lens intrinsically encompasses only lower angular components. Although effective broadening of the focal volume results directly from tissue scattering, our model confirms quantitatively that spatial distortions of the focal fields are relatively minor.

In addition, the full vectorial nature of the EMC approach allows a direct assessment of the depolarization rate of the focal fields. Our simulations indicate that while the depolarization of higher angular components can be substantial for large slab thicknesses  $l^*$ , this effect is subordinate to the corresponding coherent amplitude loss. We conclude that for the scattering media examined, the loss of coherent amplitude due to phase scrambling is much more severe than depolarization within the focal field of a tightly focused laser beam in turbid media.

All of the trends predicted by the simulations presented here are in good agreement with experimental observations. The EMC model for focused light in the limit of the random scattering approximation thus provides a reliable prediction of the excitation field in turbid media based on macroscopic tissue scattering parameters. We expect this approach to be particularly relevant to predict the performance of coherent imaging techniques applied to turbid media, which crucially relies on a full assessment of the amplitude and phase of the focal volume.

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