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1 *Type of the Paper: Editorial*

2 **Editorial: Adaptation to Coastal Climate and Sea-Level Rise**

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7 **Abstract:** Reducing our vulnerability to the harmful effects of climate change is becoming increasingly
8 more pressing.

9 **Keywords:** sea-level rise; climate change; adaptation; coastal zone; managed retreat.
10

11 **1. Introduction and goal of this Special Issue**

12
13 Climate change is already affecting every inhabited region across the globe, with human
14 influence contributing to many observed changes in weather and climate extremes. In addition to
15 global efforts to reduce and halt emissions, the need to adapt to climate change and its impacts is
16 increasingly urgent, especially in coastal zones. Coastal communities face heightened risks to
17 coastal flooding and erosion due to sea-level rise, changing storminess patterns, and evolving
18 human development pressures. The recent IPCC report provides further alerts of the widespread
19 and rapid changes occurring in the atmosphere, ocean, cryosphere and biosphere and adds more
20 urgency to preparing adaptation actions and plans [1]. Global mean sea level has increased by
21 0.20m since 1901 but the rate has been increasing in recent decades. Mean sea level will continue
22 to rise over this century and could exceed 1 m by the end of the century. Relative sea-level rise
23 will contribute to increasing the frequency and severity of coastal flooding in low-lying areas and
24 to coastal erosion along most coasts. Coastal populations and their economies are particularly
25 vulnerable to these impacts of climate change.

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35 Climate change is a global issue but is felt on a local scale. Cities and municipalities are at
36 the frontline of adaptation and require measures, experience, policies, and strategies that can
37 integrate adaptation efforts into regional coastal policies and decisions (as well as plans for
38 sustainable economic development). Yet, coastal adaptation still lacks consistent procedures,
39 practices, and policies. This Special Issue's goal is to present experiences and examples of case
40 studies in different regions to illustrate how communities are effectively planning and
41 implementing coastal adaptation in different contexts and landscapes.

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40
41
42 This Special Issue includes one introductory (review) article on adaptation to climate change
43 in coastal zones. The review article by Griggs and Reguero [2] provides an introductory
44 description of some of the most important coastal hazards and their relevant timescales, including
45 sea-level rise (SLR), changes in wave energy and other extreme sea levels. It provides a
46 description of the main impacts on coastal areas but also an overview of potential solutions,
47 adaptation responses and the decision-making process. This overview can help communities and
48 readers in preparing individual strategies. The review article may also help to identify challenges
49 as it briefly outlines the main challenges to adaptation, which include technological, social
50 conflict, economic and financial barriers.

The research articles in the collection provide innovative insights into different aspects of
adaptation to climate change in coastal zones and include research on exposure to coastal hazards
and SLR; evaluation of adaptation solutions and strategies under uncertainty; factors influencing
effective adaptation, including communication and cultural biases. The highlights of these articles
are summarized below.

2. Summary of contributions

2.1. Exposure to coastal hazards

Gomez [3] provides critical insight in one impact of SLR that has been less examined in research. SLR hinders gravity flows of storm runoff discharge into the ocean, which may cause floodwater in low-lying depressions. This paper focuses on the influence of surface water inputs, geomorphology and such hydrological effects, such as the case presented in Mana Plain in Kauai, Hawaii, where the majority of storm runoff relies on gravity flow to the ocean. This example calls for attention to multiple mechanisms of flooding as increasingly imminent threats to islands and low-lying urban areas. The author uses hydrological modeling to estimate runoff volumes, drainage and pumping needs using different annual exceedance probabilities as well as validation against a recent 2020 storm. The critical variables controlling this process are the amounts of direct groundwater inflow and rainfall. The ~100-year-old drainage ditch system on the Mana Plain has helped prevent storm runoff from persistent ponding in low-lying areas, but SLR may compromise this system in the future. Estimates for this case study suggests the risk of flooding from surface water with 1 m of SLR will likely be extended to 5.45 km² of land. By the end of this century, 25% of the agricultural land on the Mana Plain may be exposed to flooding as an indirect operational consequence of SLR. The recent ponding in 2020 covered 3 km² of land and required 17 days of pumping to lower the water level in the ditch system to its pre-storm elevation. This study points to the need to carefully maintain drainage, increase pumping capacity and its operational ability, as well as diversion of storm water away from sensitive land use areas. Adaptation strategies may also create storage or retention areas on agricultural land and open floodable spaces as a nature-based option for mitigating multi-mechanism flooding events.

In order to plan for adaptation, national and local governments need to first assess their coastal vulnerability to climate change. However, less information on vulnerability and adaptation is usually available for developing regions. When assessing flood and inundation risks, some of the critical data are topography, bathymetry, and socio-economic data. Acosta et al [4] provide a review of datasets available for assessing exposure to coastal hazards in Jamaica in terms of resolution and costs. The article first compares available digital elevation models (DEM) for Jamaica considering spatial resolution (varying from 3cm to 90m), vertical accuracy (from 1 to 12m), and costs (see Table 1 in [4]). The spatial resolution and vertical accuracy of elevation models directly influences the modeled coastal inundation area and estimates of population and infrastructure affected. The study finds more than a three-fold difference between datasets in the estimates of people and property affected for a 3m flooding scenario. Information on socioeconomic exposure is also critical, as global datasets can greatly differ from locally sourced information. Such large differences emphasize the importance of the careful selection of appropriately scaled data for use in models that will inform climate adaptation planning, especially when considering SLR. This study also highlights the differences between Digital Surface Models and Digital Terrain Models (bare ground, with objects removed) when modeling flooding in low-lying coastal zones, especially in the presence of ecosystems, and reviews the recent attempts to remove vegetation in global elevation models. The article also describes how multiple scales of bathymetric data can be blended together, including global sources, nautical charts, local satellite-derived bathymetry; and the options available including coastal ecosystems.

The majority of the climate change-induced SLR vulnerability and adaptation studies have focused on highly urbanized and intensively developed coasts across the world. Yet, avoidance is a proactive approach that may prevent development or rebuilding in hazard zones such as flood plains or areas that would be inundated by SLR. Davar et al [5] present a case study in Southern Iran, along the Gulf of Oman, which is a coastal area with a low level of development. The study uses types of lands exposed to the high-end estimates of SLR by 2100 as the primary criteria for determining adaptation approaches and ways to develop the coast in the future, identifying areas that could be developed but would be threatened by SLR, and including principles of spatial land management such as land evaluation, suitability and planned use.

These articles demonstrate that, without proper understanding of data and limitations, project developers and decision-makers may overvalue investments in adaptation and, as result, science may not necessarily translate into effective adaptation implementation. Acosta et al demonstrate that precise digital elevation mapping (DEM) data are needed for targeted local-level decisions, but cost-effective, national data can be used by planners in the absence of high-resolution data to support adaptation action planning (e.g. as in [5]), possibly saving critical funding for project implementation.

2.2. Evaluating solutions and uncertainties

Baseline information on vulnerability and exposure to coastal hazards can help prepare adaptation strategies and compare solutions. However, research has been more limited on how to evaluate strategies and uncertainties related to performance, timescales, and future pathways. Two articles in this collection, Mills et al and Revell et al, provide important insight and scalable methodologies that identify and evaluate adaptation options in coastal communities.

First, Mills et al [6] use a spatially explicit, agent-based modeling platform for different climate change scenarios to examine interactions between climate, human and adaptation policy factors in Tillamook County, OR (USA). The article explores strategies that may reduce exposure to coastal hazards combining probabilistic simulation of coastal hazards with policy drivers such as individual decisions and management policies. The study also compares the relative contribution and uncertainty from climate change and policy factors using three stakeholder-relevant performance metrics: flooding, erosion, and recreational beach access. Uncertainty was addressed considering climate drivers (i.e., wave height, sea-level rise), human adaptation factors (i.e., development restrictions, construction of backshore protection structures) and future scenarios of climate change. The approach allows for direct comparison of strategies under uncertainty.

Mills et al determine that, in general terms, policy decisions introduced greater variability and uncertainty to the impacts of coastal hazards than the uncertainty sources associated with climate change. However, the case study illustrates a way to drive more robust and informed implementation of policies, as it highlights that some options provide more certain outcomes across scenarios and, therefore, may be more recommendable than others that do not provide consistent benefits across metrics and climate scenarios.

Revell et al [7] present a holistic framework for evaluating adaptation approaches to coastal hazards and SLR in a case study for Imperial Beach, California (USA). The article considers coastal flooding, erosion and king tide flooding to develop a vulnerability assessment and compares five adaptation approaches—armoring, nourishment, living shorelines, groins, and managed retreat. The vulnerability assessment uses information on hazards and SLR scenarios to identify flooding and erosion risks, including estimates of direct damages to structures and how beach recreational, non-market values change with beach width. Adaptation solutions, identified by a steering committee and stakeholders, were modeled through physical responses to the public beach and private assets over time by linking physical changes in widths and water depths to damages, economic costs, and benefits from beach recreation and nature. The study provides a comprehensive benefit–cost framework based on project lifecycle costs and benefits that include: (i) flood damage prevention to property and infrastructure (public and private), (ii) recreation and (iii) ecological value of beaches, measured as non-market and replacement cost values, respectively. The approach, therefore, assesses economic impacts associated with public trust recreation and ecosystem services over time, which represents a novel approach for assessing cost and benefits of adaptation strategies. Often, short-term adaptation armoring responses protect assets at the expense of the long-term health of public trust resources such as beach recreation and coastal ecosystems. Valuing public trust ecosystem services along with other adaptation benefits has been less evaluated in research thus far. However, this study for Imperial Beach also uses replacement cost as a proxy for ecosystem services, assigning economic values of development and infrastructure, recreation, and ecosystem services to each beach width. These estimates of replacement cost for loss of beach services, previously used on wetlands, is innovative and relevant for quantifying and tracking adaptation benefits of projects. This approach also allows for the inclusion of a managed retreat policy approach using a public buyout and rent-back option.

In Imperial beach, coastal armoring provides the least public benefits over time, while a cobble beach and a dune, in the form of a living shoreline approach, shows the greatest public benefits among the protection strategies. Yet, the study shows that managed retreat, through a leaseback or long-term rental option, provides the best long-term adaptation strategy. Results from the physical analysis of beach width versus upland property also show that upland property would be maintained into the future, while the beach is eventually lost, but between nine and eleven nourishment cycles would be required by 2100 to maintain a recreational beach to accommodate 2m of SLR and maintain beach width and protect upland property.

2.3. From science to action: developing actionable adaptation

173 Information on hazards and solutions may facilitate progress for the coast's sustainable and
174 resilient future, but effective adaptation requires careful consideration of many important aspects
175 that intersect between sectorial activities, policies, public and private property, and even
176 communication. This special issue also presents some fresh perspectives from California, Florida
177 and Mexico on barriers, experiences, lessons, cultural views, and effective communication that
178 influence effective adaptation.

179
180 First, communicating SLR and other coastal risks is not a simple task. Communicating
181 adaptation needs is challenging because SLR is a phenomenon that is abstract to many people;
182 climate change is a slow and temporally distant process; and the benefits of adaptation will only
183 materialize in the future and may not always be tangible to everyone today. Calil et al [8] shows
184 that visualizing SLR simulations using Virtual Reality (VR) technology may offer a way to
185 overcome some of these challenges, as it enables users to learn key principles related to climate
186 change and coastal risks in an immersive, interactive, and safe learning environment. The article
187 shows three key experiences of how VR has served to effectively facilitate new ways to engage
188 with communities, communicate and visualize the impacts, and inform local action through
189 multidisciplinary collaborations between scientists and communities. The article also reviews the
190 literature on communication of environmental issues, which suggest that the context as much as
191 the environmental issue is critical to promote pro-environmental behavior and attitudes. Calil et al
192 demonstrate that VR can play an important role in facilitating climate change science in coastal
193 zones but also to effectively engage communities in adaptation planning. The recent technological
194 advancements and decreases in cost of the technology elevate VR as a prime tool that could be
195 mainstreamed in the future in adaptation efforts to engage communities in planning processes.

196
197 Similarly, managed retreat has often faced steady resistance in many communities. Managed
198 retreat may represent a cost-effective option in the long term (e.g., as in Imperial Beach), but is
199 challenged by societal perceptions and the large cost in terms of private property loss. Bragg et al
200 [9] revised the process of seven California communities at imminent risk of SLR and categorized
201 whether they were receptive or resistant to managed retreat as an adaptation strategy. Three
202 prominent themes distinguished the two groups: (1) inclusivity, timing, and consistency of
203 communication, (2) property ownership, and (3) stakeholder reluctance to change. Based on these
204 cases, the authors provide recommendations for communicating managed retreat more effectively
205 so that it does not stymie inclusion in adaptation plans.

206
207 Yet, findings in Stolz et al [10] suggest that that adaptation views can be mediated by age,
208 attachment to place, and worldviews. Stolz et al evaluated fishing industry perspectives on SLR
209 exposure and adaptation in three Florida coastal communities. In Florida, SLR stands to produce
210 a significant impact on coastal communities, but the state's fishing industry will be affected in
211 vulnerable areas through disruption of established patterns of fishery and marine resource uses.
212 Florida boasts an abundance and diversity of saltwater fisheries along its 1,920km coastline,
213 valued at over \$12 billion between recreational and commercial fishing. This important industry
214 is uniquely vulnerable to SLR and other effects of climate change given its physical exposure and
215 high dependence on the resource. Using a semi-structured interview approach, the Stolz et al
216 study evaluated fishing industry perspectives on SLR risk and adaptation in three Florida coastal
217 communities. The study shows that adaptation responses vary across industry sectors and
218 communities and are strongly influenced by experience, community dynamics, and age.
219 Generally, older fishers were found to be less willing to relocate due to social factors and strong
220 place attachment compared to younger fishers, who are more likely to retreat and/or work from a
221 less vulnerable location.

222
223 Escudero and Mendoza [11] bring a perspective from Mexico, where the coastline combines
224 high population densities with economic dependence of coastal activities. The coast of Mexico is
225 not only important for the economy, but it also hosts a great diversity of ecosystems, which are
226 threatened by anthropogenic and hydrometeorological stressors. The population is becoming
227 progressively aware of the urgent need to adapt to the consequences of climate change. Escudero
228 and Mendoza review population perception to climate change and adaptation strategies in Mexico
229 and highlight critical institutional and social barriers that have impeded effective implementation
230 thus far. There are different examples of social, institutional and physical adaptation activities.
231 These activities also include successful ecosystem-based projects, especially on mangrove and
232 coral reef restoration, which are of essential importance to consider for progressing on the path of
233 a successful coastal adaptation in Mexico. The main difficulties encountered for effective
234 implementation, however, include: institutional discrepancies in the implementation of strategies

at the national and local level; weak governance structures that impede informed and effective participation of society; subordination of climate change strategies to economic growth objectives; overexploitation of natural resources; lack of information on hazards and monitoring; and challenges in resources and effective communication to society of the adaptation strategies. Strategies to climate change in Mexico may consider steps to address them, including economic resources, involvement of civil society and cultural values, effective regulation of land use, addressing environmental degradation, and developing information and communication to advance local adaptation actions.

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