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### Title

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1 Ultrafast Intersystem Crossing in Acetylacetone via Femtosecond X-ray  
2 Transient Absorption at the Carbon K-edge

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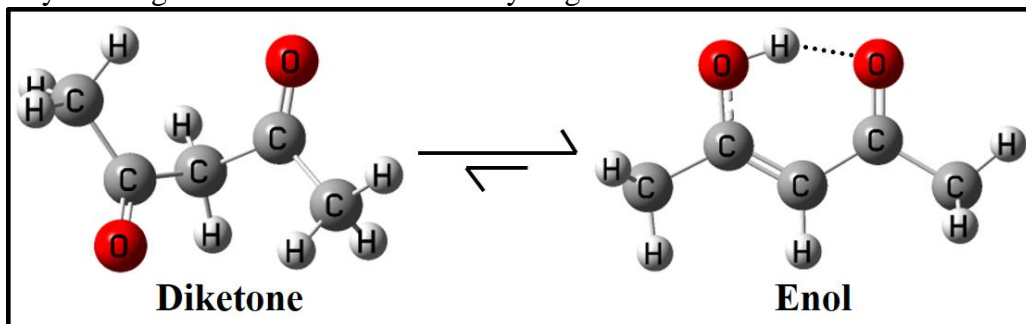
23 **Abstract:**

24 Molecular triplet states constitute a crucial gateway in the photochemical reactions of  
25 organic molecules by serving as a reservoir for the excess electronic energy. Here, we report the  
26 remarkable sensitivity of soft x-ray transient absorption spectroscopy for following the intricate  
27 electronic structure changes accompanying the non-adiabatic transition of an excited molecule  
28 from the singlet to the triplet manifold. Core-level x-ray spectroscopy at the carbon-1s K-edge  
29 (284 eV) is applied to identify the role of the triplet state ( $T_1$ ,  $^3\pi\pi^*$ ) in the ultraviolet-induced  
30 photochemistry of pentane-2,4-dione (acetylacetone, AcAc). The excited-state dynamics initiated  
31 at 266 nm ( $^1\pi\pi^*$ ,  $S_2$ ) is investigated with element- and site-specificity using broadband soft x-ray  
32 pulses produced by high harmonic generation, in combination with time-dependent density  
33 functional theory calculations of the x-ray spectra for the excited electronic singlet and triplet  
34 states. The evolution of the core-to-valence resonances at the carbon K-edge establishes an  
35 ultrafast population of the  $T_1$  state ( $^3\pi\pi^*$ ) in AcAc via intersystem crossing on a  $1.5 \pm 0.2$  ps  
36 timescale.

37 **Introduction:**

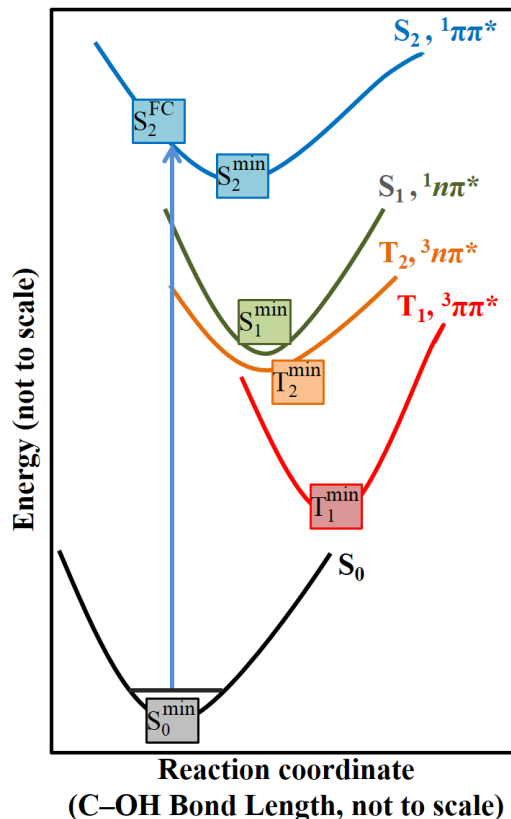
38 In a vast majority of organic chromophores, light-induced chemical reactions proceed  
39 from an intermediate electronic state that is different from the one that is directly excited. Rapid  
40 energy relaxation leads to the population of energetically low-lying electronic states, often with  
41 different spin multiplicities, which determine the final reaction outcome. For example, the  
42 photochemistry of unsaturated organic carbonyl compounds in the ultraviolet is complex with  
43 competing processes of internal conversion (IC) and intersystem crossing (ISC).<sup>1</sup> Besides  
44 providing an efficient pathway for energy relaxation, the rich and varied photochemistry in these  
45 molecules also encompasses rapid intramolecular rearrangement, Norrish-type cleavage and even  
46 elimination reactions.<sup>2-3</sup> Understanding the photochemistry of these molecules is important to  
47 elucidate key aspects of energy relaxation, photoisomerization, excited-state proton transfer,  
48 charge transfer, and coupled electronic-nuclear dynamics.

49 **Scheme 1:** Keto-enol tautomerism in Acetylacetone (AcAc). The enol form is stabilized in the  
50 gas phase by a strong intramolecular O-H...O hydrogen bond.



52 2,4-Pentanedione (or acetylacetone, abbreviated AcAc, Scheme 1) is a  $\beta$ -diketone that  
53 exhibits keto-enol tautomerism. The enolic (E) form of AcAc, the dominant tautomer in the gas  
54 phase (>93%),<sup>4</sup> is an  $\alpha,\beta$ -enone that is stabilized by a strong ( $\sim 12$  kcal mol<sup>-1</sup>) intramolecular  
55 hydrogen bond (Scheme 1).<sup>5</sup> The oscillator strength underlying the broad structureless absorption  
56 of AcAc in the ultraviolet that peaks at 263 nm is attributed to a  $S_0 \rightarrow S_2(\pi\pi^*)$  transition in the

57 enolic form.<sup>6</sup> Following preparation of this bright state, the molecule undergoes rapid internal  
58 conversion to a lower-lying  $^1n\pi^*$  ( $S_1$ ) state.<sup>7</sup> The dominant reaction at this excitation wavelength  
59 is the unimolecular dissociation reaction to generate hydroxyl and 3-penten-2-on-4-yl radicals  
60 via a series of excited electronic states.<sup>3, 8</sup> Experimentally, the lowest triplet state has been  
61 invoked to explain the rotational energy distribution of the product OH radical in the  
62 photodissociation of AcAc, which is probed by laser-induced fluorescence.<sup>8-9</sup> The  $T_1$  state is  
63 proposed to be a common intermediate in both the 266- and 248-nm photolysis of AcAc.<sup>10</sup> The  
64 theoretical reaction pathway proposed (depicted in Figure 1) for this reaction indeed reinforces  
65 the picture of non-adiabatic population transfer from the excited singlet to the triplet state  
66 following internal conversion, and calculations even reveal the possibility of a triple  $S_1/T_1/T_2$   
67 curve-crossing region.<sup>11</sup> However, the role of the triplet state in the ultraviolet-induced energy  
68 relaxation of AcAc lacks direct experimental evidence. Ultrafast electron diffraction studies  
69 suggest the involvement of a long-lived intermediate state ( $S_1, n\pi^*$ ), which decays with a time-  
70 constant of  $247 \pm 34$  ps to form the hydroxyl radical, presumably via slow intersystem crossing  
71 ( $S_1 \rightarrow T_1$ ).<sup>3</sup> A femtosecond photoelectron spectroscopy study investigated the internal conversion  
72 dynamics upon photoexcitation at 266 nm and characterized the time-constants for the initial  
73 departure from the Franck-Condon region on the  $S_2$  state ( $70 \pm 10$  fs) and the non-adiabatic  
74 population transfer from  $S_2$  to  $S_1$  ( $1.4 \pm 0.2$  ps).<sup>7</sup> A slow decay of the  $S_1$  state was reported (up to  
75 80 ps); however, the triplet state could not be observed due to a lack of an appropriate ionizing  
76 probe wavelength.



77

78 **Figure 1:** Schematic representation of the potential energy diagram of the ultraviolet-induced  
 79 photochemistry of E-AcAc along the reaction co-ordinate (C-OH bond extension) for hydroxyl  
 80 radical elimination (curve crossings are not shown). Multiple electronic states are involved and  
 81 the electronic characters of the excited states are shown. Representative Franck-Condon (FC)-  
 82 excited and stationary points (min) are indicated on the electronic state surfaces for which the x-  
 83 ray spectra are simulated. T<sub>1</sub> and T<sub>2</sub> are found to be purely  $\pi\pi^*$  and  $n\pi^*$ , respectively; however,  
 84 S<sub>1</sub> and S<sub>2</sub> change character on the potential energy surface (see Figure S5 for details). The  
 85 electronic energy provided by the pump pulse is redistributed over the vibrational modes of the  
 86 molecule such as the OH stretch, C-O-H bend, C=C-O-H torsion modes<sup>7</sup> during excited-state  
 87 relaxation (the one-dimensional schematic shown above does not reflect these normal modes).

88

89 Clearly, the inter-system crossing to the triplet-state manifold is a crucial element in the  
 90 photochemistry of E-AcAc that remains to be investigated experimentally. Triplet state  
 91 photochemistry is also common to a host of reaction systems such as fluorescence blinkers,<sup>12</sup>  
 92 nucleobases,<sup>13-14</sup> and light-harvesting complexes.<sup>15</sup> Recent progress in the generation of soft x-  
 93 ray pulses with table-top sources has opened up new avenues in soft x-ray absorption  
 94 spectroscopy (XAS) at unprecedented timescales.<sup>16-20</sup> Time-resolved x-ray spectroscopy is a

95 powerful probe of electronic and structural dynamics in molecules and molecular complexes.<sup>21-24</sup>  
96 Electronic transitions from localized core-levels with well-defined orbital symmetries and  
97 angular momenta are inherently element-specific and also related to the charge distribution/spin  
98 of the initial and core-excited states through transition dipole matrix elements and exchange  
99 correlation.<sup>25-26</sup> Thus, when combined with time-resolved detection, the evolving near-edge core-  
100 to-valence spectral features report accurately on the changes in the electronic charge  
101 distributions, oxidation states, chemical environments, and spin crossover of the photoexcited  
102 molecule with atomic site specificity.<sup>19, 27-41</sup> Further, the large energy separations between the  
103 absorption edges of different elements (tens to hundreds of eV) and the encoding of the near-  
104 edge spectral region by a few predominant core-to-valence transitions offer easy spectral  
105 elucidation in comparison to valence state photoionization spectroscopies, which may produce  
106 broad and overlapping spectral signatures from multiple photoionization channels.<sup>42</sup> The high  
107 photon energies and bandwidth of the x-ray probe allows monitoring large amplitude nuclear  
108 motions on multiple electronic states, making core-level spectroscopy generally sensitive to  
109 geometrical parameters and charge states.<sup>27, 43-45</sup> Here, we use femtosecond soft x-ray transient  
110 absorption spectroscopy to probe the ultrafast non-adiabatic population transfer into the triplet  
111 state after initial excitation of E-AcAc to the optically bright  $^1\pi\pi^*$  ( $S_2$ ) state. The sensitivity of  
112 the core-to-valence pre-edge resonances to the nature of the valence-excited states in polyatomic  
113 molecules provides valuable electronic structure insights into the photochemical reaction  
114 pathways and transition states.<sup>42, 46-47</sup> We report the photoexcited dynamics of AcAc by  
115 following the evolution of the key core-to-valence resonance peaks in the x-ray absorption  
116 spectra at the carbon K-edge and comparing them with TDDFT-simulated x-ray spectra of the

117 excited states. The results show that the non-adiabatic passage to the  $T_1$  state in AcAc is ultrafast  
118 and occurs much more rapidly than previously thought.

### 119 **Methods:**

120 A detailed description of the experimental and computational methodologies is included  
121 in the Supporting Information (Figure S1-S4). Briefly, 266 nm pulses (5-7  $\mu\text{J}$  per pulse,  
122 corresponding to pump intensities of  $1.2 \times 10^{11}$  to  $1.7 \times 10^{11}$   $\text{Wcm}^{-2}$ ) are used to excite the gas-  
123 phase AcAc molecules, which are then probed using temporally-delayed, broadband, soft x-ray  
124 pulses at the carbon K-edge (high harmonics of a 1320 nm optical parametric amplifier output  
125 pumped by a Ti:sapphire laser). The differential soft x-ray absorption spectra are acquired in  
126 shorter time intervals up to 10 ps, and at longer intervals between 10 to 150 ps. An *in-situ* cross-  
127 correlation of the pump and probe pulses is determined by the ponderomotive shift of the core-  
128 excited Rydberg states of Argon, which yields the time-zero and the instrument response  
129 function (IRF, 90 fs) of the apparatus.

130 Near-edge x-ray absorption fine structure (NEXAFS) spectra are calculated for fixed  
131 molecular geometries corresponding to the ground-state minimum, FC-excited and stationary  
132 points on the excited electronic state surfaces using a previously demonstrated methodology<sup>19</sup>  
133 that combines the restricted energy-window<sup>48</sup> linear-response time-dependent density functional  
134 theory (TDDFT) formalism<sup>49</sup> for core-excited states with the maximum overlap method  
135 (MOM)<sup>50-51</sup> for valence-excited states. The restricted energy-window TDDFT formalism has  
136 been widely applied over the past few years to study near-edge x-ray excitation spectra in  
137 molecules,<sup>48, 52</sup> including recent applications to corroborate transient x-ray absorption  
138 experiments.<sup>19, 53</sup> Simulations are carried out at the CAM-B3LYP/aug-cc-pvdz level for  
139 molecular geometries reported by Chen et al. using a Complete Active Space Self-Consistent

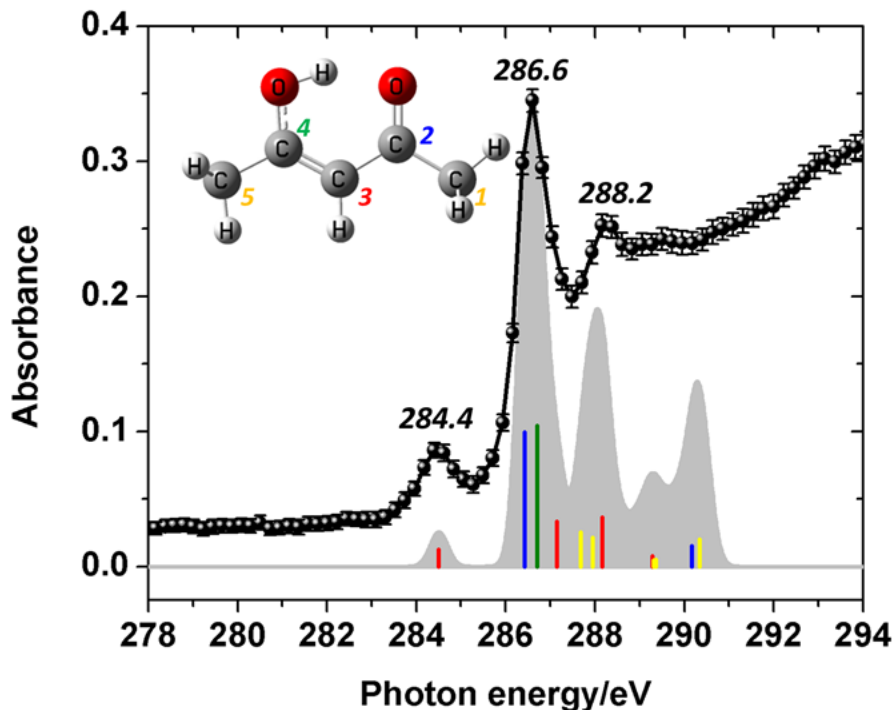


140 Field (CASSCF) calculation.<sup>11</sup> Calculations of the NEXAFS spectra do not account for any  
141 possible vibrational excitation; however, these single-point calculations serve as a reasonable  
142 starting point. The computed ground-state NEXAFS is uniformly offset by 10.3 eV to higher  
143 energies in order to align with the experimental NEXAFS spectrum. A constant blue-shift of 10.3  
144 eV is also applied to the computed spectra for all excited electronic states. In DFT functionals of  
145 the type we employ, the absolute binding energy of the C 1s core-state is underestimated (errors  
146 in the range of ~10 eV). The rigid energy shift therefore acts as a calibration parameter that  
147 simply corrects for (without affecting the relative positions of any peaks) a known systematic  
148 error in the energy of the C 1s core-states that are common to all x-ray transitions. The use of  
149 approximate exchange-correlation functionals and spin-contamination effects inherent to a  
150 single-determinant TDDFT treatment of excited states are expected to lead to uncertainties in the  
151 range of ~0.3 eV for the predicted transition energies.

## 152 **Results and Discussion:**

153 Figure 2 shows a comparison of the NEXAFS spectrum of AcAc ( $S_0$ ) at the carbon K-  
154 edge with the TDDFT-calculated result for the enol tautomer. The stick spectrum displays the  
155 prominent calculated core-valence transitions of the constituent carbon atoms ( $C_3$  in red,  $C_2$  in  
156 blue,  $C_4$  in green, and terminal methyl carbons in yellow) underlying the peaks in the observed  
157 NEXAFS spectrum (solid black line). The individual sticks are broadened with a Gaussian width  
158 of 0.2 eV to obtain the shaded-gray spectrum. The lowest energy peak in the experimental  
159 NEXAFS, observed at 284.4 eV, is assigned to a  $1s(C_3) \rightarrow \pi^*(LUMO)$  transition. The second  
160 peak, identified at 286.6 eV, is assigned to two energetically close-lying  $1s(C_2) \rightarrow \pi^*(LUMO)$  and  
161  $1s(C_4) \rightarrow \pi^*(LUMO)$  transitions. The greater core-LUMO resonance energies for the  $C_{2,4}$  atoms  
162 in comparison to  $C_3$  are due to a chemical shift of the binding energy of the core-1s electrons

163 from the proximity of the C<sub>2,4</sub> atoms to the more electronegative (oxygen) heteroatoms.<sup>54-55</sup> A  
164 third peak at 288.2 eV is discernible along the rising carbon K-edge, which corresponds to  
165 overlapping transitions of the 1s core-electrons of C<sub>1</sub>, C<sub>3</sub>, and C<sub>5</sub> to higher unoccupied valence  
166 orbitals (All computed peaks over 287 eV arise from transitions to higher unoccupied valence  
167 and Rydberg-type orbitals). The TDDFT-computed spectrum of the enol tautomer reproduces all  
168 three peaks in the experimental NEXAFS with remarkably good relative accuracy (within 0 to  
169 0.1 eV). The high energy peaks greater than 289 eV in the computed spectrum are not observed  
170 in the experiment due to the rising edge that results from ionization of the core-1s electrons. For  
171 comparison, the computed NEXAFS spectrum of the diketo tautomer is provided in Figure S6  
172 where the first core-valence resonance is expected to occur only at 286.5 eV, corresponding to a  
173 1s(C<sub>2,4</sub>)→π\*(LUMO) transition. Thus, the peak observed at 284.4 eV in the experimental  
174 NEXAFS spectrum confirms the existence of the enol tautomer in the gas phase. Because of the  
175 close similarity in the calculated energies and oscillator strengths of the core-valence transitions  
176 in the enol and dione tautomers at energies greater than 286 eV, it is not possible to extract a  
177 meaningful keto-enol ratio from the experimental NEXAFS spectrum. However, as the enolic  
178 tautomer is known to solely contribute to the 266 nm absorption peak in the UV (the diketone  
179 absorption lies above 290 nm),<sup>6</sup> all changes in absorbance observed in the pump-probe spectra,  
180 *vide infra*, are solely attributed to the enol tautomer. Therefore, the use of AcAc in the rest of the  
181 paper refers specifically to the enol tautomer.

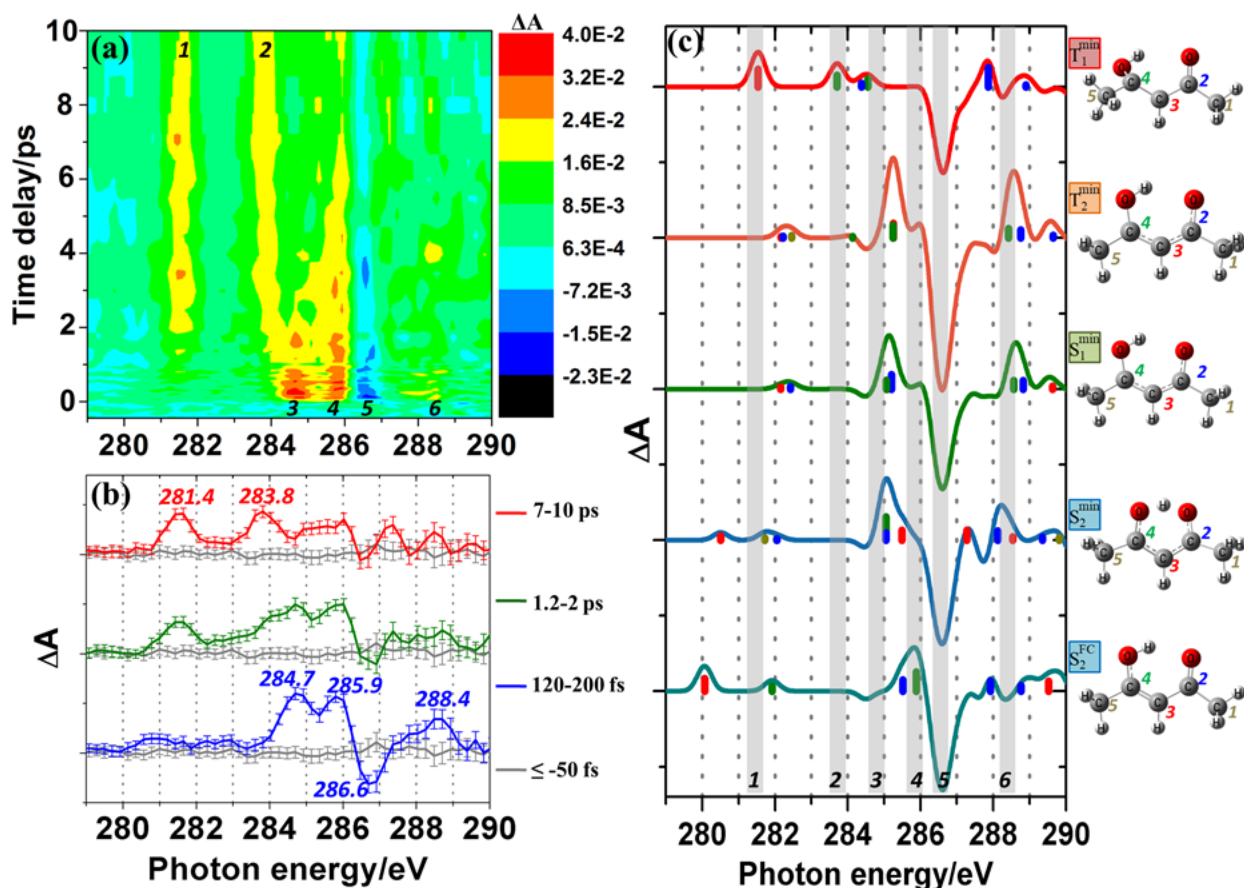


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183 **Figure 2:** Static near-edge x-ray absorption fine structure (NEXAFS) spectrum of AcAc in the  
 184  $S_0$  state (solid black line connecting the data points, error bars correspond to 95% confidence  
 185 interval over 64 spectra). The calculated (TDDFT) stick spectrum of the enolic tautomer  
 186 (uniformly shifted to higher energies by 10.3 eV to match the experimental spectrum) shows the  
 187 specific carbon atoms participating in the prominent core-valence transitions (inset shows the  
 188 atom numbering scheme; see text for assignment). A Gaussian broadening of 0.2 eV is applied to  
 189 the stick spectrum to obtain the shaded-gray spectrum. The intensity scale of the computed  
 190 spectrum is normalized to the strongest peak (286.6 eV) in the experimental NEXAFS. An  
 191 energy cut-off is imposed in the calculations at 291 eV.

192 Figure 3(a) shows a two-dimensional contour map of the transient x-ray absorption  
 193 spectrum of AcAc upon 266 nm excitation for time delays between -500 fs and 10 ps, where  
 194 yellow-red and blue-black contours denote positive and negative  $\Delta A$  features, respectively. The  
 195 averaged differential-absorption spectra over three representative time-windows (120-200 fs in  
 196 blue, 1.2-2 ps in green, and 7-10 ps in red; Figure 3b), each referenced against the  $\Delta A$  spectrum  
 197 at negative time-delays (-450 to -50 fs; gray line), further reveal the prominent features of the  
 198 time-dependent x-ray spectra (the complete binning of the differential absorption spectra over  
 199 distinct time-windows is provided in Figure S7). Six distinct features, numbered 1-6, are

200 identified in the near-edge over a 10-ps timescale. Up to  $\sim 1$  ps following photoexcitation,  
 201 depletion of the ground-state manifests at 286.6 eV (peak 5), and the concomitant rises of two  
 202 peaks at 284.7 eV (peak 3) and 285.9 eV (peak 4) are observed. Depletion of the  $1s(C_3)\pi^*$   
 203 ground-state resonance at 284.4 eV (see static-NEXAFS, Figure 2) is not observed because of  
 204 the overlapping positive  $\Delta A$  peak at 284.7 eV. A weak and broad absorption peak also appears at  
 205 288.4 eV (peak 6). All four features (peaks 3-6) start to decay after about one picosecond as two  
 206 new peaks begin to emerge at 281.4 eV (peak 1) and 283.8 eV (peak 2). The decay of peak 3 and  
 207 the concomitant appearance of peak 2 manifests as a gradual red-shift in the contour map in the  
 208 1-2 ps region. This is also evident from the appearance of a low-energy wing of the 284.7 eV  
 209 peak in the differential absorption spectrum in the 1.2-2 ps-timescale that transitions into the  
 210 283.8 eV peak at long time-delays (7-10 ps).



212 **Figure 3:(a)** Two-dimensional contour map of the experimentally measured 266 nm-induced  
213 excited-state dynamics in E-AcAc shows six notable features (labeled 1-6). The color key on the  
214 right provides the scale for the measured differential soft x-ray absorption ( $\Delta A$ ).**(b)** Experimental  
215 soft x-ray differential absorption spectra measured at different time-delays between the  
216 photoexcitation (266 nm pump) and soft x-ray (probe) pulses. Each spectrum represents the  
217 average differential absorption over the respective time-window and is referenced to the  
218 differential absorption measured at negative time-delays (gray line). Each division on the y-axis  
219 corresponds to 50 m $\Delta A$ . Features over and below the gray line denote positive and negative  $\Delta A$   
220 features, respectively. The major peaks observed and discussed in the text are annotated. Error  
221 bars denote a 95% confidence limit of 24 spectra. **(c)** Computed (TDDFT) soft x-ray differential  
222 absorption spectra corresponding to the Franck-Condon (FC,  $S_2$ ) and stationary state (min)  
223 structures of the excited-singlet and triplet states (each division on the y-axis corresponds to 50  
224 m $\Delta A$ ). The spectra are obtained by subtracting the computed ground-state NEXAFS spectrum  
225 from the computed excited-state NEXAFS spectra and scaling down the intensity scale  
226 uniformly by 10% (accounting for uncertainties in the percentage of excited molecules at our  
227 sample densities, pump fluence, and focusing conditions) to match the  $\Delta A$  scale of the  
228 experimental differential absorption spectra. The structures and atom-numbering are shown on  
229 the right.

230 To elucidate the multiple absorption features that appear in the transient absorption  
231 spectra at the carbon K-edge upon UV excitation of AcAc, the TDDFT-simulated differential-  
232 absorption spectra for representative geometries corresponding to the excited singlet ( $S_2$  and  $S_1$ )  
233 and triplet ( $T_2$  and  $T_1$ ) states of AcAc are shown in Figure 3c (see Figure S8 for the computed  
234 NEXAFS spectra of the excited electronic states). The underlying stick spectra reveal the  
235 particular carbon atoms involved in the core-valence transitions, as per the color scheme  
236 introduced earlier (Figure 2), and a Gaussian broadening of 0.2 eV is applied. The positions of  
237 peaks 1-6 observed in the experiment are also highlighted in Figure 3(c) by vertical gray bars to  
238 guide the spectral assignment. Comparison of the observed transient absorption spectra (Figure  
239 3b) with the TDDFT-simulated spectra (Figure 3c) reveals that the peak at 285.9 eV (peak 4) in  
240 the experimental differential-absorption spectrum likely originates from the FC region on the  $S_2$   
241 surface. In this region, the electrons are excited into a  $\pi\pi^*$  state, however, the nuclear geometry  
242 has not yet begun to respond to the electronic excitation. Photoexcitation into the  $^1\pi\pi^*$  excited-  
243 state opens up a new lower-energy, half-filled  $\pi$  orbital for transition from the carbon 1s core-

244 electrons, which gives rise to the peaks at 280.1 eV and 281.9 eV in the computed spectrum  
245 (note that these peaks appear to the lower-energy side of the computed carbon K-edge absorption  
246 peaks in the  $S_0$  state by approximately the UV excitation energy). Some weak absorption features  
247 between 280 and 283 eV can be noted in the experimental spectrum (120-200 fs), which appear  
248 smeared-out because of the finite experimental IRF (as the molecule exits the FC-region, the  
249 orbital character evolves from  $\pi\pi^*$  to  $n\pi^*$  which also leads to the broadening of these peaks, see  
250 Figure S5). Calculations reveal the peak at 285.9 eV in the FC-region corresponds to transitions  
251 of the 1s core-electrons of the  $C_2/C_4$  atoms into unoccupied orbitals with mixed  $\pi$ - and Rydberg  
252 character. Following the gradient of the potential energy surface along the C(4)-O bond  
253 extension co-ordinate, the excited molecule departs from the FC region and approaches the  
254 energy-minimum of the  $S_2$  state along the reaction co-ordinate. For this particular structure, two  
255 new peaks are predicted to occur at 285.1 eV and 288.2 eV. The former peak corresponds to  
256 overlapping transitions from the 1s-cores of  $C_4$  and  $C_3$  to unoccupied orbitals with mixed non-  
257 bonding and Rydberg character. The latter peak projects the 1s electrons of these carbon atoms  
258 onto higher unoccupied valence orbitals with partial Rydberg character. Meanwhile, the  
259 transitions of the core electrons into the frontier valence orbitals,  $\pi$  and  $\pi^*$ , predicted to occur at  
260 280.5 and 281.8 eV contribute to the weak and broad  $\Delta A$  peaks between 280 and 283 eV.

261         The simulations further reveal that the internal conversion of the excited molecule to the  
262  $S_1$  state is only characterized by minor spectral changes in the region between 284 and 289 eV.  
263 For example, in the simulated x-ray spectra of the  $S_1$  state, the peak at 285.1 eV (which projects  
264 the 1s electrons from the  $C_2$ ,  $C_3$ , and  $C_4$  atoms to unoccupied orbitals with mixed non-bonding  
265 and Rydberg character) remains, and a small blue-shift of the higher energy core-valence  
266 resonance peak to 288.6 eV is noted. Because of the significant spectral overlap of the predicted

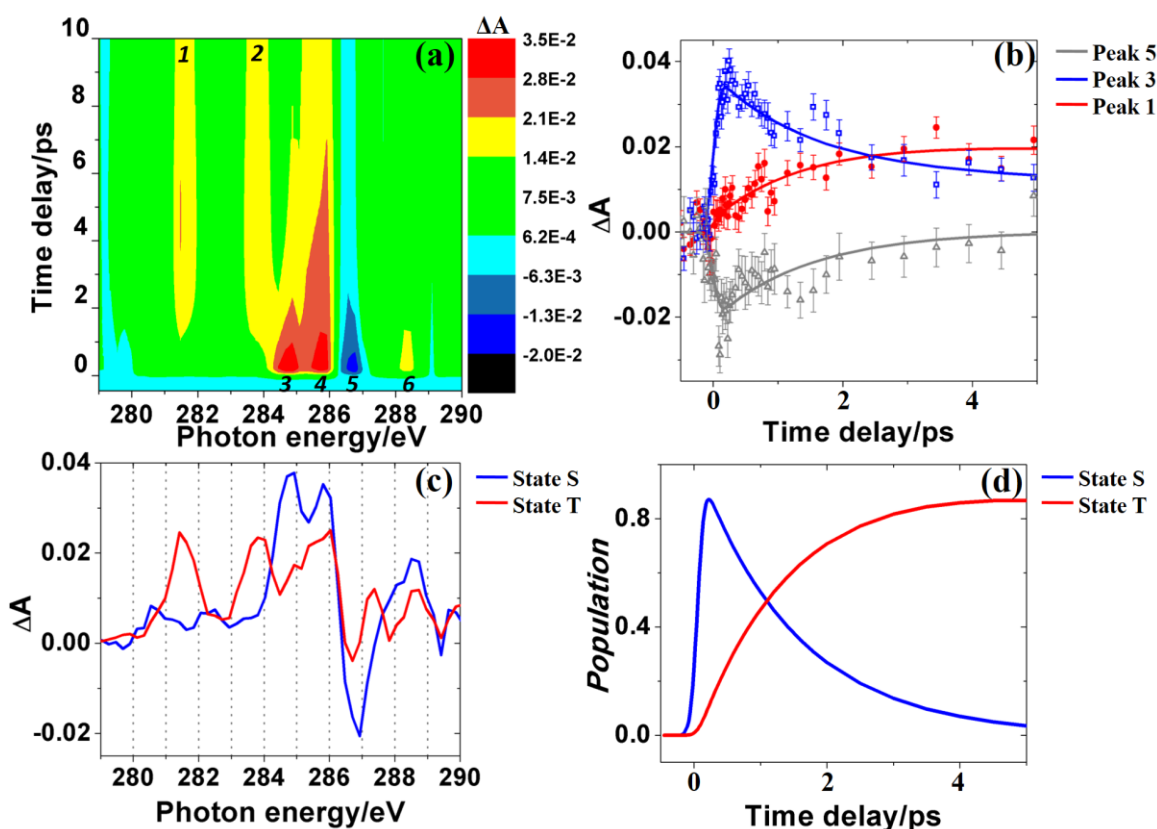
267 core-valence resonances of the  $S_2$  and  $S_1$  states between 285 and 289 eV, peaks 3 and 6 are  
268 jointly assigned to the  $S_2$  and  $S_1$  states. The slight discrepancy ( $\sim 0.4$  eV) of peak 3 with the  
269 theoretical position is on the order of the spectrometer resolution ( $\sim 0.3$  eV). Due to the IRF (90  
270 fs) of the apparatus and the overlapping nature of the computed x-ray peaks for the  $S_2$ -FC and  
271 relaxed  $S_{2,1}$  geometries between 285 eV and 286 eV, the twin peaks observed in the experiment  
272 at 284.7 eV (peak 3) and 285.9 eV (peak 4) are observed to rise and decay together. A shorter 266  
273 nm pulse duration might make it possible to observe differences in the rise-times of these peaks.  
274 It is worth pointing out that the  $S_2/S_1$  states possess  $\pi\pi^*/n\pi^*$  character, respectively; hence  
275 following the temporal evolution of the delocalized  $1s \rightarrow \pi$  and localized  $1s \rightarrow n$  core-valence  
276 transition at the oxygen K-edge (543 eV) in the future will allow confirming the internal  
277 conversion dynamics in AcAc, which has been well studied.<sup>7</sup> There is also significant spectral  
278 overlap of the computed core-valence resonances of the  $S_1$  and  $S_2$  states in the region between  
279 284 and 289 eV with the theoretical x-ray spectra of the  $T_2$  state and the product radical (Figure  
280 S9-S10), however, these are expected to rise in later and be much longer-lived than the singlet  
281 states. Hence their contribution to the peak amplitudes of peaks 3, 4 and 6 are expected to be  
282 negligible immediately after photoexcitation, although there might be some finite contribution at  
283 longer time-delays (perhaps explaining the residual differential absorption at these energies in  
284 the 7-10-ps window).

285         The  $\Delta A$  spectra measured in the long-delay limit (7-10 ps, Figure 3b) show characteristic  
286 new resonances at 281.4 eV (peak 1) and 283.8 eV (peak 2), which are not present at early times  
287 ( $< 500$  fs). The differential absorption spectrum in the 1.2-2 ps time-window marks their onset.  
288 These spectroscopic features are in good agreement with the TDDFT-calculated core-valence  
289 transition energies of the  $T_1$  state energy-minimum (Figure 3c). The calculated spectrum

290 indicates that the x-ray absorption peaks in the  $T_1$  state arise from electronic excitation of the  
291 core-1s electrons of  $C_3$  into the unoccupied valence ( $\pi$ ) and the core electrons from  $C_{2,4}$  into  
292 mixed  $\pi$ - and Rydberg-type orbitals. Chen et al. report that rotational isomerization can easily  
293 proceed on the  $T_1$  surface (presumably due to single-bond character of the  $C_3$ - $C_4$  bond), giving  
294 rise to five different conformers (CCT, CTC, CTT, TCC, and TCT).<sup>11</sup> Calculation of the x-ray  
295 spectra of these rotational isomers indicates the coalescence of the second (283.7 eV) and third  
296 peaks (284.5 eV) of the  $T_1$  spectrum into a single peak (284.1 eV, Figure S10), with a minor  
297 increase in oscillator strength. However, due to the large vibrational broadening of the  
298 experimentally observed x-ray absorption peaks (full-width-at-half-maximum of 0.9 eV for the  
299 283.7 eV feature), the presence of the rotational isomers in the experiment cannot be directly  
300 observed. Nonetheless, the agreement between the observed spectral positions of peaks 1 and 2  
301 with those of the  $T_1$  state leads to unambiguous identification of non-adiabatic population  
302 transfer into  $T_1$ . The sensitivity of peaks 1 and 2 to geometrical and electronic changes is  
303 independently verified by sampling different geometries on the  $T_1$  state (Figure S11) and for a  
304 different electronic structure at the  $T_1^{\text{min}}$  geometry (Figure S12). Both the peak positions and peak  
305 amplitudes are seen to significantly differ with variations in geometrical (up to  $\sim 1$  eV) and  
306 electronic changes (up to  $\sim 500$  meV), which reflects the general sensitivity of x-ray spectroscopy  
307 to geometrical parameters and orbital character. It must be noted that intersystem crossing from  
308 the  $S_1$  ( $^1n\pi^*$ ) state to the  $T_1$  ( $^3\pi\pi^*$ ) state is allowed according to El-Sayed's rules<sup>56</sup> since the spin-  
309 flip is accompanied by a change in orbital angular momentum; however, it is forbidden to the  $T_2$   
310 ( $^3n\pi^*$ ) state, as also noted for many other organic molecules.<sup>1, 56-58</sup> A new peak at 287.4 eV,  
311 although barely evident in Figure 3b, gains prominence at longer timescales (10-100 ps, Figure  
312 S13) and is possibly also arising from the  $T_1$  state; the computed spectrum of this state predicts



313 an absorption peak at 287.8 eV (within experimental resolution). In fact, it appears that the  
 314 seeming recovery of the ground-state bleach (peak 5) also owes its origin to an overlapping  
 315 resonance with the  $T_1$  state (which gives rise to peaks 1 and 2), as all three peaks are  
 316 characterized by similar temporal behavior. Although an  $S_2 \rightarrow T_2 \rightarrow T_1$  reaction pathway is also  
 317 allowed by El-Sayed's rules, an initial IC followed by ISC is expected to be kinetically favored  
 318 because of the lower  $S_2/S_1$  energy gap and a likely  $S_2/S_1$  conical intersection (as seen in the case  
 319 of malonaldehyde).<sup>3, 11, 59-60</sup> Previous ultrafast experiments<sup>3, 7</sup> and theoretical studies<sup>11</sup> point  
 320 towards IC as the predominant  $S_2$  relaxation pathway in AcAc. A sequential photophysical  
 321 process characterized by an ultrafast  $S_2 \rightarrow S_1$  relaxation followed by ISC is also noted for several  
 322  $\alpha,\beta$ -enones.<sup>1, 61</sup>



323  
 324 **Figure 4:**(a) Reconstructed 2D-contour map from a global fit of the transient absorption  
 325 spectrum using a sequential two-state ( $S \rightarrow T$ ) model. (b) Global fits (solid lines) to the time-  
 326 dependent amplitudes of representative peaks 1, 3, and 5 in the transient x-ray absorption spectra

327 (error bars correspond to 95% confidence interval of 24 measured  $\Delta A$  spectra) (c) Evolution  
328 associated spectra for the two states S and T in the model (d) Population evolution of states S  
329 and T.

330 A global fitting tool<sup>62</sup> based on a singular-value decomposition method reveals the  
331 kinetics of the ultrafast non-adiabatic population transfer into the  $T_1$  state of AcAc (Figure 4). A  
332 sequential two-state model ( $S_{2/1} \rightarrow T_1$ ) with a 90 fs IRF is applied. It yields an excellent match  
333 with the observed transient absorption spectra as seen from the reconstructed contour plot up to  
334 10 ps (Figure 4a) where all of the major peaks 1-6 can be identified. Representative kinetics  
335 traces of key resonance features observed at 281.4 eV (peak 1), 284.7 eV (peak 3), and 286.6 eV  
336 (peak 5) are shown in Figure 4(b) (see Figure S14 for kinetics traces of the other peaks). The  
337 evolution associated spectra for the two states in the model (combined  $S_2/S_1$  and  $T_1$ ) and the  
338 time-dependent populations are shown in Figures 4c and 4d, respectively (fits to the  
339 experimental differential absorption spectra at different time-delays are shown in Figure S15).  
340 The global fit identifies an ISC rate ( $1/k$ ) of  $1.5 \pm 0.2$  ps (one standard error calculated by the  
341 root-mean-square method), revealing fast intersystem crossing in AcAc. Other relevant studies of  
342 linear and cyclic enones indicate ISC rates ranging from  $\sim 1$  to 3 ps, consistent with the results  
343 here.<sup>1, 63</sup> Since the stationary point structures of the  $S_1$  and  $S_2$  states are seen to have close-lying  
344 core-valence resonances between 285 and 289 eV, the individual  $S_2 \rightarrow S_1$  IC step in the reaction  
345 pathway cannot be directly determined in the transient x-ray absorption data. Complementary x-  
346 ray absorption with compressed 266 nm pulses and/or x-ray photoemission/Auger spectroscopy  
347 experiments can be combined in the future to follow population transfer over multiple excited  
348 states.<sup>64</sup> Also, high harmonic probe energies spanning the 'water-window' region can provide a  
349 complementary picture of the photochemical reaction by monitoring the core-valence resonances  
350 at the oxygen K-edge (543 eV), especially for electronic states with  $n\pi^*$  character since the non-  
351 bonding orbital is localized on the O atom.<sup>65</sup>

352 **Conclusion:**

353 Time-resolved x-ray absorption spectroscopy using a broadband carbon K-edge high-  
354 harmonic probe reveals an ultrafast intersystem crossing in the 266 nm-photoexcitation of AcAc  
355 that populates the triplet ( $T_1$ ) state on a sub-2 ps time-scale. The nature of coupling between the  
356  $S_2/S_1/T_1$  states (vibronic or conical intersection) remains to be identified by high-level ab initio  
357 theory. Nonetheless, the ability of core-level spectroscopy to investigate the non-adiabatic  
358 dynamics in polyatomic molecules with multiple excited states is clearly revealed. This detection  
359 method is largely universal, being independent of frequently encountered experimental  
360 difficulties in pump-probe techniques such as the unfavorable ionization cross-sections of the  
361 triplets<sup>66</sup> or the presence of high-lying Rydberg states used to mediate the ionization pathway.<sup>67</sup>  
362 The method is able to directly distinguish between multiple electronic states by projecting  
363 localized core-electrons onto unoccupied valence orbitals in an element- and site-specific manner  
364 via chemical shifts. The detection of different spin states should be tractable by x-ray  
365 spectroscopy for other chromophores as well, as long as the energy separation between the states  
366 is greater than the experimental spectral resolution. Even for near-degenerate electronic states,  
367 which are characterized by different electronic structures (example,  $\pi\pi$  and  $n\pi^*$ ), complementary  
368 carbon and oxygen (or heteroatom) K-edge spectra can provide unique element- and orbital-  
369 specific spectral signatures to unambiguously identify the electronic states, and track the  
370 associated population/relaxation timescales. These results shed light on the applicability of time-  
371 resolved x-ray absorption spectroscopy as a powerful probe to elucidate complex non-adiabatic  
372 dynamics in photoexcited polyatomic molecules and pave the way for a universal detection  
373 scheme of reactive triplets and other metastable electronic states.

374 **Supporting Information:**

375 Experimental and computational methods; detailed NEXAFS and x-ray transient absorption  
376 spectra; kinetic and spectral fits to the experimental data from global fitting.

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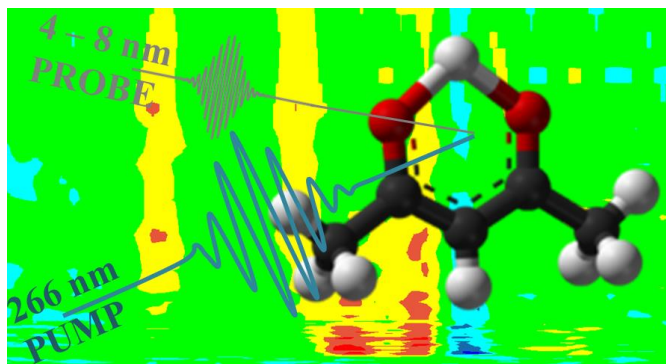
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515 **TOC Graphic**



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