### **Strong Correlations and Orbital Texture in Single-Layer 1T-TaSe2**

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#### **Abstract:**

Strong electron correlation can induce Mott insulating behavior and produce intriguing states of matter such as unconventional superconductivity and quantum spin liquids. Recent advances in van der Waals material synthesis enable the exploration of Mott systems in the two-dimensional limit. Here we report characterization of the local electronic properties of single- and few-layer 38 1T-TaSe<sub>2</sub> via spatial- and momentum-resolved spectroscopy involving scanning tunneling microscopy and angle-resolved photoemission. Our results indicate that electron correlation 40 induces a robust Mott insulator state in single-layer  $1T-TaSe<sub>2</sub>$  that is accompanied by unusual orbital texture. Interlayer coupling weakens the insulating phase, as shown by reduction of the energy gap and quenching of the correlation-driven orbital texture in bilayer and trilayer 1T-43 TaSe<sub>2</sub>. This establishes single-layer  $1T-TaSe<sub>2</sub>$  as a useful platform for investigating strong correlation physics in two dimensions.



69 and interlayer coupling effects were not examined. The nature of the insulating phase in these 70 single-layer materials thus remains inconclusive.

71 Here we report a combined scanning tunneling microscopy/spectroscopy (STM/STS), angle-72 resolved photoemission spectroscopy (ARPES), and theoretical study of the electronic structure 73 of single-layer 1T-TaSe<sub>2</sub>. Our results show that in the absence of interlayer coupling single-layer 74 1T-TaSe<sub>2</sub> hosts a Mott-insulating ground state that exhibits a  $109 \pm 18$  meV energy gap and 75 unusual orbital texture. Bilayer and trilayer  $1T-TaSe_2$  with shifted stacking order exhibit 76 successively smaller energy gaps and show no signs of the unusual orbital texture seen in the 77 single-layer limit. The single-layer band structure and density of states of  $1T-TaSe<sub>2</sub>$  are found to 78 be consistent with DFT+U calculations, confirming its Mott insulator nature. The unusual single-79 layer orbital texture, however, is not captured by DFT+U, but is consistent with the behavior 80 expected for a weakly screened, strongly correlated 2D insulator. Reduction of the  $1T-TaSe<sub>2</sub>$ 81 bandgap and quenching of the unusual orbital texture by the addition of new layers shows that 82 the effect of interlayer coupling on shifted-stacked  $1T-TaSe<sub>2</sub>$  is to weaken the Mott behavior. 83 The single-layer limit of 1T-TaSe<sub>2</sub> is thus unique in that strong correlation effects here are most 84 pronounced, affecting both the energy gap and electron wavefunction symmetry.

#### 85 **Electronic structure of single-layer 1T-TaSe2 in the CDW phase**

86 Our experiments were carried out on 1T-TaSe<sub>2</sub> thin films grown by molecular beam epitaxy 87 on epitaxial bilayer-graphene-terminated (BLG) 6H-SiC(0001), as sketched in Fig. 1a. The 88 crystal structure of 1T-TaSe<sub>2</sub> consists of a layer of Ta atoms sandwiched between two layers of 89 Se atoms in an octahedral coordination. Fig. 1b illustrates the hexagonal morphology of our 1T-90  $\text{TaSe}_2$  islands, indicating high epitaxial growth quality. A triangular CDW superlattice is 91 observed on single-layer, bilayer, and trilayer 1T-TaSe<sub>2</sub>, as seen in Figs. 1b, c, and



2b) the flat band is much less visible and a manifold of highly dispersive bands near the Γ-point dominates the spectrum.



### **Experimental orbital texture of single-layer 1T-TaSe2**

135 To gain additional insight into the insulating ground state of single-layer 1T-TaSe<sub>2</sub>, we performed d*I*/d*V* spatial mapping of its energy-dependent orbital texture at constant tip-sample

separation (Figs. 3b-h). d*I*/d*V* maps measured at negative biases all display a similar pattern where high-intensity LDOS is concentrated near the center of each star-of-David (Figs. 3b, c, 139 and Supplementary Fig. 11). The experimental empty-state LDOS of single-layer 1T-TaSe<sub>2</sub>, however, exhibits a completely different orbital texture. This is most clearly seen in the d*I*/d*V* 141 map taken at the lowest conduction band peak  $C_1$  (Fig. 3d) where the center of each CDW supercell is observed to be dark (i.e., no LDOS intensity). At this energy the LDOS exhibits an unusual, interlocked "flower" pattern (circled by yellow dashed lines) consisting of six well-defined "petals" (i.e., bright spots) located around the outer rim of each star-of-David. This appearance is completely different from previous reports of conduction band LDOS in bulk 1T-146 TaSe<sub>2</sub> and 1T-TaS<sub>2</sub><sup>13,31</sup> (which show LDOS concentrated in the star-of-David centers), and is clearly not due to defects since it follows the CDW periodicity. The 6-fold petal structure has a different symmetry than the 3-fold arrangement of top-layer Se atoms in the spaces between each star-of-David, but it shares the 6-fold symmetry of the Ta atom arrangement (Fig. 3a inset and 150 Supplementary Fig. 12). Single-layer 1T-TaSe<sub>2</sub>/HOPG shows a similar  $d/dV$  map with the dominant LDOS intensity located near the outer rim of the stars-of-David at the lowest conduction band peak (d*I*/d*V* maps at other energies also look similar, see Supplementary Fig. 10 and Supplementary Note 2).

154 The  $d/dV$  map of single-layer 1T-TaSe<sub>2</sub>/BLG obtained at a slightly higher bias of  $V = 0.6$  V (C2) show LDOS that is related to the flower pattern in that it exhibits a nearly *inverse* flower 156 (i.e., dark areas at  $C_1$  are bright at  $C_2$ , see circled regions in Fig. 3e). At even higher energies the 157 single-layer 1T-TaSe<sub>2</sub> LDOS displays other intricate orbital textures. The map at 0.8 V (Fig. 3f), for example, shows quasi-triangular patterns with intensity distributed near the outermost Ta C-atoms (labeled according to the convention shown in Fig. 1d). This evolves into trimer-like

160 features at 1.1 V (Fig. 3g), and a network of "rings" with intensity near Ta B-atoms at  $V = 1.2$  V 161 (Fig. 3h) (a complete set of constant-height d*I*/d*V* maps is shown in Supplementary Fig. 11). 162 To help quantify the complex energy-dependent LDOS distribution of single-layer 1T-TaSe<sub>2</sub>, 163 we cross-correlated our d*I*/d*V* maps with a reference map taken at the maximum of the valence 164 band peak  $V_1$  (Fig. 3c), which exhibits LDOS dominated by inner Ta A- and B-atoms. The 165 resulting cross-correlation values are color-coded in Fig. 3a and show that occupied states (-1V < 166  $V < 0$  V) all have a strong, positive cross-correlation (blue) with the valence band map at V<sub>1</sub> (i.e., 167 the central Ta A- and B-atoms are bright at these energies and the C-atoms are darker). The 168 empty-state cross-correlation, however, is very different. At  $C_1$  (where the flower pattern is 169 observed) the LDOS map is strongly anti-correlated (red) with the valence band map since the 170 LDOS here is dominated by Ta C-atoms. At slightly higher energy  $(C_2)$  the cross-correlation 171 flips to blue. This is due to the LDOS inversion that occurs at this energy (i.e., the inverse flower 172 pattern) which creates intensity at the interior A- and B-atoms. At higher energy the cross-173 correlation flips again to red and stays red over a fairly wide energy range (~0.4 eV) before 174 flipping again to blue near  $C_3$ .

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#### 176 **Energy gap reduction and quenching of unusual orbital texture in few-layer 1T-TaSe2**

177 We examined the effect of interlayer coupling on 1T-TaSe<sub>2</sub> by studying the evolution in 178 electronic structure as 1T-TaSe<sub>2</sub> is stacked layer by layer. We first determined the star-of-David 179 CDW stacking order for bilayer and trilayer 1T-TaSe<sub>2</sub>. As seen in the STM images of Fig. 1b 180 and Supplementary Fig. 1, the CDW stacking order follows the shifted triclinic structure 181 whereby inner Ta "A-atoms" sit on top of outer Ta "C-atoms", similar to stacking observed in 182 bulk 1T-TaSe<sub>2</sub><sup>32</sup>. We observe that the energy gap for 1T-TaSe<sub>2</sub> narrows significantly when

183 interlayer coupling is added, as seen in the STM d*I*/d*V* spectra for bilayer and trilayer 1T-TaSe<sub>2</sub> 184 shown in Fig. 4a. The bilayer energy gap reduces to  $21 \pm 8$  meV while trilayer 1T-TaSe<sub>2</sub> shows a 185 reduction in LDOS at  $E_F$  that can be described as "semimetallic" but exhibits no true energy gap. 186 In addition to reducing the 1T-TaSe<sub>2</sub> energy gap, bilayer and trilayer formation also quench 187 the unusual orbital texture observed in the single-layer limit. As shown in the insets to Figs. 4b, c, 188  $dI/dV$  maps of the lowest conduction band in bilayer and trilayer 1T-TaSe<sub>2</sub> show LDOS intensity 189 concentrated near the center of each star-of-David, in stark contrast to the flower-like orbital 190 texture observed in single-layer  $1T-TaSe_2$  at  $C_1$ . This difference can also be seen in the color-191 coded cross-correlation values of bilayer and trilayer  $1T-TaSe_2$  (Figs. 4b, c). Using the valence 192 band LDOS shown in the insets as a reference (which is similar to the single-layer valence band 193 LDOS of Fig. 3c), the bilayer and trilayer cross-correlation remain strongly positive (blue) 194 throughout the lowest conduction band (thus emphasizing that the LDOS here is concentrated on 195 the interior Ta A- and B-atoms). The distinctive flower pattern seen in single-layer  $1T-TaSe<sub>2</sub>$  at 196 C1 (Fig. 3d) is never seen in bilayer or trilayer LDOS at any bias (Supplementary Figs. 13, 14).

#### 197 Theoretical electronic structure of single-layer 1T-TaSe<sub>2</sub> via DFT+U simulations

198 There are two main physical questions that we seek to answer regarding our measurements 199 of single- and few-layer  $1T-TaSe_2$ . First, what type of insulator is single-layer  $1T-TaSe_2$ ? And, 200 second, what is the effect of interlayer coupling on 1T-TaSe<sub>2</sub> electronic behavior as new layers 201 are added? To address these questions we first performed a conventional band structure 202 calculation for freestanding single-layer  $1T-TaSe_2$  using density functional theory (DFT). From 203 an intuitive perspective, single-layer  $1T-TaSe_2$  is expected to have metallic band structure since 204 there are an odd number of Ta ions in the star-of-David unit cell (13) and each  $Ta^{4+}$  ion has only 205 one *d*-electron (in principle substrate charge transfer could alter the electron counting and/or the

206  $\degree$  CDW behavior<sup>33</sup>, but in our case charge transfer effects from the graphene substrate are 207 negligible (Supplementary Fig. 15 and Supplementary Note 3)). As expected, the DFT band 208 structure of single-layer  $1T-TaSe_2$  in the CDW phase calculated using the PBE exchange 209 correlation functional shows a metallic half-filled band at  $E_F$  (Supplementary Fig. 16). This 210 theoretical result, however, strongly disagrees with our experimental data which shows 211 insulating behavior for single-layer  $1T$ -TaSe<sub>2</sub> (Figs. 2, 3). An explanation for this significant 212 discrepancy is that since the metallic band is so narrow (only  $\sim$  20 meV wide) it is unstable to 213 splitting into lower and upper Hubbard bands (LHB and UHB) due to a high on-site Coulomb 214 energy (*U*) (i.e., the condition that causes Mott insulators to arise from otherwise metallic 215  $phases)^{1}$ .

216 To test for Mott insulator formation in single-layer  $1T-TaSe<sub>2</sub>$  we modeled the effects of 217 electron correlation by performing DFT+U simulations. We find that the DFT+U band structure 218 for a ferromagnetic ground state with  $U = 2$  eV reproduces most of our experimentally observed 219 electronic structure for single-layer  $1T-TaSe_2$  (the DFT+U results were sensitive to neither the 220 magnetic ground state nor the structural optimization conditions, and our *U* value is consistent 221 with previous simulations of related systems<sup>31,34,35</sup> (see Supplementary Note 4 and 222 Supplementary Figs. 17-21)). The DFT+U band structure was first compared to our ARPES data 223 by unfolding it onto the Brillouin zone of an undistorted unit cell. As seen in Figs. 2c, d, and 224 Supplementary Fig. 22, it reproduces the gapped electronic structure and shows good overall 225 agreement with the ARPES spectra. In particular, DFT+U predicts that the LHB at -0.2 eV 226 originates mainly from Ta  $d_{z^2}$  orbitals, consistent with the higher ARPES intensity under *p*-227 polarized light (Fig. 2a) $^{36}$ .



### 249 **Unusual empty-state orbital texture at C<sub>1</sub> and C<sub>2</sub>**



Supplementary Note 2). Future theoretical treatments considering dynamical interactions could potentially provide more insight into this unusual strong correlation phenomenon.

275 The effect of interlayer coupling on the shifted-stacked  $1T-TaSe<sub>2</sub>$  electronic structure is to weaken the Mott insulator phase, both in view of the observed energy gap reduction with increased layer number as well as its effect on orbital texture. The bilayer and trilayer orbital textures, for example, show no signs of the correlation-induced spectral density shift seen in the 279 single-layer material at  $C_1/C_2$ . Such weakening of the Mott behavior likely arises from an increase in the effective inter-star-of-David hopping parameter (*t*) of the bilayer and trilayer due to interlayer coupling, as well as a reduction in Coulomb interactions due to increased electronic delocalization and screening.

#### **Outlook**

284 We have shown that single-layer  $1T-TaSe<sub>2</sub>$  is a strongly correlated 2D Mott insulator characterized by unusual orbital texture. Interlayer coupling weakens the Mott behavior, 286 consistent with the evolution of  $1T-TaSe<sub>2</sub>$  into a metal as its thickness is increased layer-by-layer. 287 The Mott insulator phase seen in single-layer  $1T-TaSe_2$  thus offers a highly-tunable 2D platform 288 for future exploration of metal-insulator transitions<sup>1</sup> where the Coulomb interaction might be 289 further modified by substrate screening<sup>21,37</sup>, the bandwidth by pressure<sup>38</sup>, or the carrier density by 290 electrostatic gating $6,8$ .

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#### **Author contributions**

Y.C., W.R., and M.F.C. initiated and conceived the research. Y.C., W.R., H.-Z.T., R.L.,

S.K., F.L., and C.J. carried out STM/STS measurements and analyses. M.F.C supervised

STM/STS measurements and analyses. S.T., H.R., H.X., and T.J. performed sample growth and

ARPES measurements. S.-K.M., Z.-X.S., J.A.S., and Z.L. supervised sample growth and ARPES

- measurements. M.W. performed DFT calculations and theoretical analyses. S.G.L. supervised
- DFT calculations and theoretical analyses. J.E.M. performed electrostatic modeling. O.R.A. and
- A.L. provided support for development of the CDW model. Y.C., W.R., and M.F.C. wrote the
- manuscript with the help from all authors. All authors contributed to the scientific discussion.



was monitored by reflection high-energy electron diffraction. After growth, the films were

transferred *in-situ* into the analysis chamber (base pressure  $3 \times 10^{-11}$  Torr) for ARPES and core-level spectra measurements. The ARPES system was equipped with a Scienta R4000 electron analyzer. The photon energy was set at 51 eV (unless specified otherwise) with energy and 343 angular resolution of 12 meV and  $0.1^{\degree}$ , respectively. *p*- and *s*-polarized light were used, as described elsewhere (ref. ). Before taking the films out of vacuum for STM/STS measurements, 345 Se capping layers with  $\sim$ 10 nm thickness were deposited onto the samples for protection. These 346 were later removed by UHV annealing at  $\sim$ 200 °C for 3 hours.

#### **STM/STS measurements**

STM/STS measurements were performed using a commercial CreaTec STM/AFM system at *T* = 5 K under UHV conditions. To avoid tip artifacts, STM tips were calibrated on a Au(111) surface by measuring its herringbone surface reconstruction and Shockley surface state before all STM/STS measurements. Both W and Pt-Ir STM tips were used and yielded similar results. STS d*I*/d*V* spectra were obtained using standard lock-in techniques with a small bias modulation at 401 Hz. The constant-height mode (i.e., feedback loop open) was used for collecting all d*I*/d*V* conductance maps. Before obtaining each set of maps the STM tip was parked near the sample surface for at least 8 hours to minimize piezoelectric drift effects.

#### **Electronic structure calculations**

First-principles calculations of single-layer 1T-TaSe<sub>2</sub> were performed using density functional

358 theory (DFT) as implemented in the Quantum ESPRESSO package<sup>40</sup>. The onsite Hubbard

- interaction was added through the simplified rotationally invariant approach using the same *U*
- 360 value for each Ta atom<sup>41,42</sup>. A slab model with 16 Å vacuum layer was adopted to avoid
- interactions between periodic images. We employed optimized norm-conserving Vanderbilt
- pseudopotentials (ONCVPSP) including Ta 5*s* and 5*p* semicore states (with a plane-wave energy

363 cutoff of 90 Ry)<sup>43-45</sup> as well as the Perdew-Burke-Ernzerhof (PBE) exchange-correlation 364 functional<sup>46</sup> in the generalized gradient approximation (GGA). The structure was fully relaxed at 365 the DFT-PBE level until the force on each atom was less than 0.02 eV/Å (unless specified 366 otherwise). The resulting relaxed single-layer 1T-TaSe<sub>2</sub> in the  $\sqrt{13} \times \sqrt{13}$  CDW phase has a 367 lattice constant of  $a = 12.63$  Å. Spin-orbit coupling was not taken into account in our calculations 368 since it has a negligible influence on the band structure given the inversion symmetry of this 369 system. The unfolding of the band structure from the CDW supercell to the undistorted unit cell 370 was calculated using the BandUP code<sup>47,48</sup> with band energies and wavefunctions obtained from 371 the Quantum ESPRESSO package. 372



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480 **Fig. 1. Structure of single-layer 1T-TaSe2 in the star-of-David CDW phase. a**, Top and side 481 view sketches of single-layer 1T-TaSe<sub>2</sub>, including substrate. Clusters of 13 Ta atoms in star-of-482 David CDW supercells are outlined, as well as the CDW unit cell. **b**, Large-scale STM 483 topograph of a typical 1T-TaSe<sub>2</sub> island shows monolayer and bilayer regions ( $V<sub>b</sub> = -0.5$  V,  $I<sub>t</sub> = 10$ 484 pA,  $T = 5$  K). **c**, A close-up STM image of single-layer 1T-TaSe<sub>2</sub>. Each bright spot corresponds 485 to a star-of-David supercell ( $V_b$  = -0.17 V,  $I_t$  = 3 nA,  $T$  = 5 K). Black and orange parallelograms 486 mark CDW and atomic unit cells, respectively. **d**, Labels for Ta atoms in the star-of-David CDW 487 supercell depend on radial distance from center. **e**, Reflection high-energy electron diffraction 488 pattern of a submonolayer 1T-TaSe<sub>2</sub> film. **f**, X-ray photoelectron spectroscopy shows 489 characteristic peaks of Ta and Se core levels for a submonolayer  $1T-TaSe<sub>2</sub> film$ .

# **Fig. 2. ARPES and DFT+U band structure of single-layer 1T-TaSe2.** ARPES spectra of 492 single-layer 1T-TaSe<sub>2</sub> acquired with **a**, *p*- and **b**, *s*-polarized light at  $T = 12$  K along the  $\Gamma$ -K' and Γ-M' directions defined in the undistorted (i.e., no CDW) unit cell Brillouin zone (yellow hexagon in Fig. 2b inset). ARPES spectra have little intensity at low binding energies except for 495 coexisting 1H-TaSe<sub>2</sub> bands that cross  $E_F$  at  $k \approx 0.5$  Å<sup>-1</sup> (white dashed lines). A strong flat band is seen under *p*-polarized light in the first CDW Brillouin zone (black dashed box in **a**). The full CDW Brillouin zone is sketched in the inset of **b** (black hexagon). **c**, DFT+U band structure (*U* = 498 eV) of single-layer 1T-TaSe<sub>2</sub> unfolded onto the undistorted unit cell Brillouin zone compared to ARPES spectrum under *p*-polarized light (from **a**). **d**, Same DFT+U band structure as in **c**

compared to ARPES spectrum under *s*-polarized light (from **b**).

## 503 **Fig. 3. Experimental energy-resolved unusual orbital texture of single-layer 1T-TaSe2. a**, 504 STS d*I*/d*V* spectrum of single-layer 1T-TaSe<sub>2</sub> shows a full energy gap bracketed by two STS 505 peaks labeled V<sub>1</sub> and C<sub>1</sub> ( $f = 401$  Hz,  $I_t = 50$  pA,  $V_{RMS} = 20$  mV). Color shows cross-correlation 506 of d*I*/d*V* maps at different energies with the reference map shown in **c**. Inset shows how the 507 unusual orbital texture in **d** compares to atomic site locations (the 6-fold petal structure is shaded 508 gray in the inset). **b**-**h**, Constant-height d*I*/d*V* conductance maps of the same area for different 509 bias voltages show energy-dependent orbital texture  $(f = 401 \text{ Hz}, V_{RMS} = 20 \text{ mV})$ . The same star-510 of-David CDW supercell is outlined in each map (orange line). Yellow dashed circles in **d**, **e**

511 highlight the unusual LDOS patterns at  $C_1$  and  $C_2$  and their relative spatial inversion.

## **Fig. 4. Energy gap reduction and quenching of unusual orbital texture in few-layer 1T-**514 **TaSe<sub>2</sub>.** a, STS d*I*/d*V* spectra for single-layer, bilayer, and trilayer 1T-TaSe<sub>2</sub> show how interlayer coupling reduces the energy gap with an increasing number of layers. Spectra are shifted 516 vertically for viewing (horizontal dashed lines mark  $dI/dV = 0$ ,  $f = 401$  Hz,  $V_{RMS} = 2$  mV).  $dI/dV$ maps of the valence and conduction band LDOS as well as larger energy-scale d*I*/d*V* spectra of 518 **b,** bilayer, **c**, trilayer 1T-TaSe<sub>2</sub> ( $f = 401$  Hz,  $V_{RMS} = 20$  mV). Spatial cross-correlation values are shown color-coded with references taken near the LDOS maximum of the valence band for 520 bilayer and trilayer  $1T-TaSe_2$ . In contrast to single-layer  $1T-TaSe_2$ , the lowest conduction band for both bilayer or trilayer show no unusual orbital texture, thus resulting in positive cross-correlation values (blue), indicating that LDOS is concentrated on the interior Ta A- and B-atoms.

#### 526 **Fig. 5. Theoretical orbital texture of single-layer 1T-TaSe<sub>2</sub> from DFT+U simulations. a**,

- 527 Theoretical density of states of single-layer 1T-TaSe<sub>2</sub> from DFT+U simulations ( $U = 2$  eV).
- 528 Color shows cross-correlation of LDOS maps at different energies with respect to the reference
- 529 map in **c** (-0.2 eV). **b-h**, Theoretical LDOS maps of single-layer 1T-TaSe<sub>2</sub> from DFT+U
- 530 simulations  $(U = 2$  eV). The same star-of-David supercell is outlined in each map (orange line).
- 531 Yellow dashed circles in **d**, **e** highlight two star-of-David clusters which show very different
- 532 theoretical conduction band orbital texture compared to experimental  $C_1$  and  $C_2$  features in Figs.
- 533 3d, e.









