

Lawrence Berkeley National Laboratory

LBL Publications

Title

Residual strain orientation in rolled titanium determined with synchrotron X-ray Laue microdiffraction

Permalink

<https://escholarship.org/uc/item/7r14v174>

Journal

Journal of Applied Crystallography, 56(Pt 1)

ISSN

0021-8898

Authors

Devoe, Michelle
Tamura, Nobumichi
Wenk, Hans-Rudolf

Publication Date

2023-02-01

DOI

10.1107/s1600576722011311

Copyright Information

This work is made available under the terms of a Creative Commons Attribution-NonCommercial-ShareAlike License, available at <https://creativecommons.org/licenses/by-nc-sa/4.0/>

Peer reviewed

1 **Residual strain orientation in rolled titanium determined** 2 **with synchrotron X-ray Laue microdiffraction**

3 Authors

4 **XMichelle Devoe^{a*}, Nobumichi Tamura^b and Hans-Rudolf Wenk^a**

5 ^aEarth and Planetary Science, University of California, Berkeley, CA, 94720, United States

6 ^bAdvanced Light Source, Lawrence Berkeley National Laboratory, Berkeley, CA, 94720, United
7 States

9 Correspondence email: mdevoe@berkeley.edu

10 **Funding information** U.S. Department of Energy, Office of Science (contract No. DE-AC02-
11 05CH1123 to Lawrence Berkeley National Laboratory; award No. DE-SC0014664); National Science
12 Foundation (grant No. EAR2054951; grant No. EAR2154351); U.S. Department of Energy (grant No. DE-
13 FG02-05ER15637).

14
15

16 **ynopsis** Synchrotron X-ray Laue microdiffraction is used to measure the residual stress in rolled
17 titanium, a metal with known deformation history, and determine the orientation of the residual stress
18 ellipsoid. Previously the method had only been used on naturally deformed quartzites with ambiguous
19 deformation histories.

20 **bstract** Previously, synchrotron X-ray Laue microdiffraction has been used to measure
21 magnitudes of residual strain in materials. Recently the method was advanced to determine the
22 orientation of the strain ellipsoid and applied to naturally deformed quartzites, however the
23 deformation history of these quartzites is ambiguous due to their natural origin. In this study,
24 synchrotron X-ray Laue microdiffraction (μ XRD) is used to measure the residual strain for the first
25 time in a sample with known stress history, rolled titanium. A deviatoric strain tensor is calculated
26 from each Laue diffraction image collected with two μ XRD scans of a rolled Ti sheet in different
27 sample orientations. The principal strain axes are calculated using an eigen decomposition of the
28 deviatoric strain tensors. The results show that the principal axis of compression is aligned with the
29 normal direction of the titanium sheet, and the principal axis of extension is aligned with the rolling
30 direction. Pole figures are used to represent the 3D distribution of residual strain axes.

31 **eywords: Residual stress; residual strains; stress and strain; X-ray**
32 **synchrotron radiation; Laue diffraction**

33

34 1. Introduction

35 Residual stress has been of interest to metallurgists and structural engineers for decades (e.g. Noyan &
36 Cohen, 1987). It affects the strength of metals and welds and is significant for the stability of ships,
37 pipelines, bridges (e.g. Hosford, 2005; Withers, 2005; Van Puymbroeck et al., 2019), and the strength
38 of thin films (e.g. Noyan et al., 1995; Ma et al., 2012). Residual stress can be introduced into a
39 material at any point during fabrication and processing from mechanical working or heat treatment,
40 and thus its characterization is key to understanding not only the quality of the material, but also the
41 effects of the manufacturing process on material properties.

42 Residual strain is a change to the lattice geometry of a crystalline material as a result of
43 deformation or thermal changes. Residual strain, which remains in the lattice after the external forcing
44 has been removed, can be categorized as plastic strain, which manifests as dislocations that disrupt the
45 periodicity of the crystalline lattice, or elastic strain, which describes the shape distortion of the
46 crystal lattice surrounding dislocations (Noyan & Cohen, 1987). These strains are related to stress
47 through Hooke's law. Many techniques have been developed to detect and measure strain in metals
48 (e.g. Schajer, 2013). Bulk properties can be measured with neutron diffraction due to its great
49 penetration depth (Krawitz & Holden, 1990; Robinson et al., 2017; Noyan et al. 2020; Wissink et al.,
50 2020). X-ray diffraction (XRD) has been used as a non-destructive method (Ungár & Borbély, 1996;
51 Ungár et al., 2001; Cauchois et al., 2014). Single crystal properties can be measured using
52 synchrotron X-ray microdiffraction (μ XRD) (Tamura et al., 2002; Levine et al., 2006; Renversade &
53 Borbély, 2017; Morawiec, 2018). μ XRD utilizes a micro-focused polychromatic X-ray beam from a
54 synchrotron X-ray source with a diameter of ~ 0.1 - $1 \mu\text{m}$ to raster scan a crystalline material over a
55 large area (mm) and collects 2D Laue images at each step. The subsequent analysis generates high-
56 resolution strain maps of polycrystalline materials (Spolenak et al., 2003; Ice et al., 2005; Hofmann et
57 al., 2010; Kwon et al., 2013; Jiang et al., 2014; Qian et al., 2017). If the grain size is larger than the
58 beam diameter and step size, strain differentials may be resolved across grain boundaries (Spolenak et
59 al., 2003).

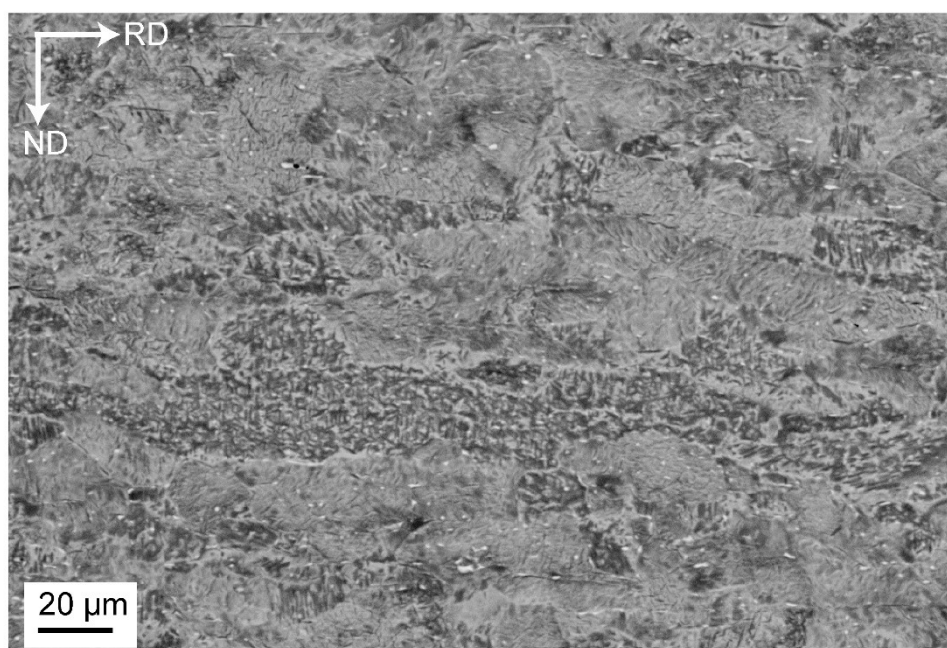
60 For the past 10 years, we have analyzed the orientation of macrostresses responsible for
61 residual strain in natural quartzite using μ XRD and have found results consistent with the stress
62 history presumed from geological events. We developed a methodology to represent the 3D
63 orientation of residual strain axes with pole figures generally used for crystal orientations or textures
64 (Chen et al., 2011; Chen et al., 2016; Li et al. 2020; Wenk et al., 2020). However, the stress history of
65 geological materials is complex, enduring a variety of stresses over long time scales, and thus there
66 remains some uncertainty about their presumed stress history. Therefore, we decided to test μ XRD

67 and our experimental and analytical approach on a simple metal with a known deformation history to
68 verify the accuracy of the results.

69 Studies looking at directionality of residual strain in metals have been primarily focused on
70 cubic metals (Spolenak et al., 2003; Shen et al., 2022 *in press*). In this study, we determine the
71 directionality of the residual strain tensor of a hexagonal metal, titanium, with μ XRD. The strength,
72 corrosion resistance, and other mechanical and chemical properties of Ti and its alloys make them
73 useful in a number of engineering sectors, including aerospace, nuclear, automotive, and
74 bioengineering (e.g. Lütjering et al., 2007; Mehta et al., 2007; Wang et al., 2020) and the
75 microstructures and textures of Ti and Ti alloys have been well-characterized (Patridge, 1967;
76 Blicharski et al., 1979; Zaefferer, 2003; Lonardelli et al., 2007; Britton et al., 2015; Guo et al., 2015).
77 We present strain maps and 3D orientation distributions of the residual strain tensor, equivalent stress
78 calculations, and the deformation texture of rolled Ti derived from μ XRD data.

79 2. Experimental Methodology

80 A 1.2 mm thick sheet of ASTM B 295 Grade 4 commercially pure rolled Ti was cut into a 1.8 x 1.4
81 cm piece used for analysis. The sample grain size ranges from 5 to 30 μ m. Grains appear to be
82 flattened with a long axis parallel to the rolling direction (RD) (Fig. 1).

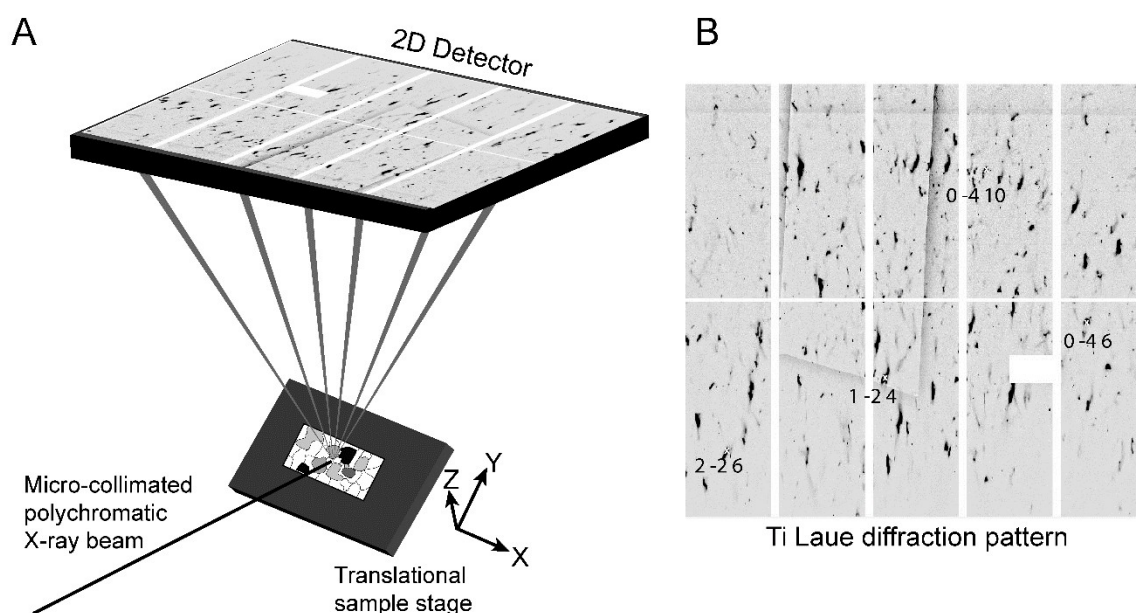


83
84 **Figure 1** Scanning electron microscope backscatter electron image of cross section of rolled
85 titanium. RD is rolling direction, ND is normal direction.

86

87 2.1. X-ray Laue Microdiffraction

88 X-ray Laue microdiffraction (μ XRD) measurements were conducted at beamline 12.3.2 of the
 89 Advanced Light Source at Lawrence Berkeley National Laboratory. The methodology has been
 90 previously described (Tamura, 2014; Chen et al., 2016; Li et al., 2020; Wenk et al., 2020). The 1.8 x
 91 1.4 x 0.12 mm Ti sample was loaded onto a translational stage. The stage was tilted 45° to the
 92 incident X-ray beam (Fig. 2A). A polychromatic X-ray beam with energy range of 5 to 24 keV was
 93 collimated to a spot size 1 μ m in diameter. The rolled surface, perpendicular to the normal direction
 94 (ND), of the Ti sample was placed at the focal point of the X-ray beam using a laser proxy. The
 95 translational stage then rastered across the X-ray beam at the user-set step size and scan dimensions
 96 which are programmed using the beamline control software. 2D diffraction images (Fig. 2B) were
 97 collected at each step by a DECTRIS Pilatus 1M detector positioned 90° to the incident beam.
 98 Maximum penetration depth is 100 μ m (source: CXRO.lbl.gov).



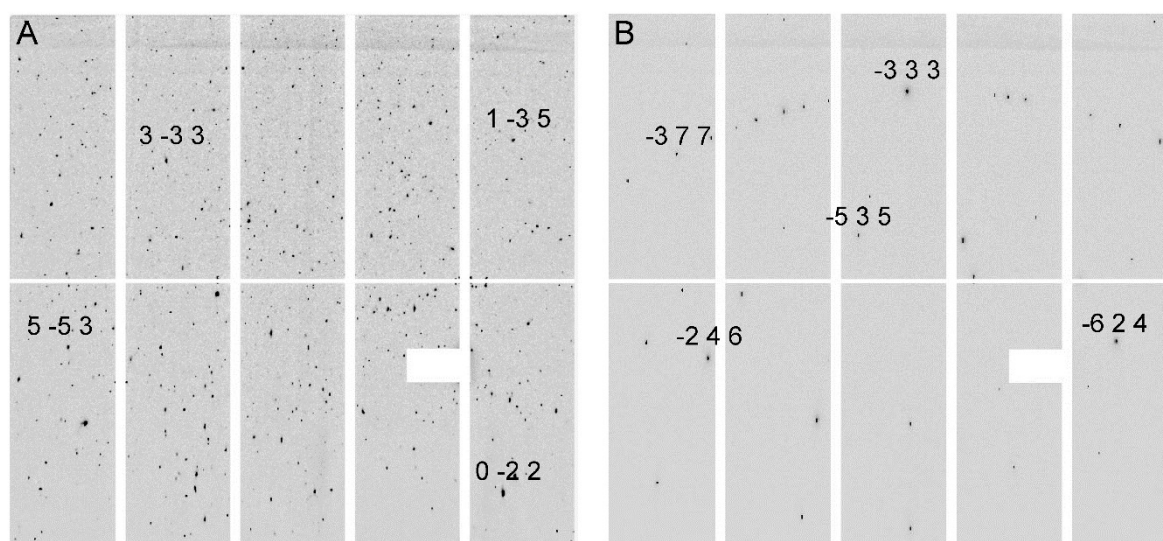
99
 100 **Figure 1** A) Schematic of beamline experimental geometry at ALS 12.3.2 showing polycrystalline
 101 Ti sample on translational stage.- DECTRIS Pilatus 1M Area detector located 90° above the incident
 102 X-ray beam collects a diffraction image. B) Example Ti X-ray Laue diffraction image. A few
 103 exemplary peaks have been assigned Miller indices. White lines are interstitial space between detector
 104 panels. White rectangle in bottom right is a defective submodule that has been removed from
 105 analysis.- Dark vertical shadow is the boundary of Kapton tape used to repair the detector.

106

107 A single crystal of silicon crushed into grains ranging in size from 0.05 to 2 mm were
 108 mounted on a glass slide with double-sided sticky tape and used as a calibrant. This is different from
 109 previous experiments which used a single crystal of silicon or synthetic quartz (Chen et al., 2016;

110 Wenk et al., 2020). The multiple peaks generated from a polycrystalline calibrant (Fig. 3A) eliminate
111 the orientation bias that is introduced into the strain analysis from the use of a single crystal calibrant,
112 which only has a few peaks on each detector panel (Fig. 3B). The calibration is used in data
113 processing and does not affect the data collection.

114 An area of 1500 x 2000 μm on the rolled surface of the Ti sample was scanned with the X-ray
115 beam in 50 μm steps to cover a large area for good grain statistics (scan Ti_6). While a 1 μm step size
116 is achievable at beamline ALS 12.3.2, the grain size of the sample ranged from 5 to 30 μm and thus
117 use of a 1 μm step size would sample a very limited number of grains during a reasonable collection
118 time period. Thus, a larger step size of 50 μm was chosen to measure a large number of grains and
119 collect data more representative of the whole sample. Exposure time was 1 s and the scan took
120 approximately 20 mins to complete. Since the maximum penetration depth of X-rays is 100 μm ,
121 approximately 1/12 of the depth profile of the rolled Ti sheet was sampled. The sample was then
122 rotated 90° and approximately the same area was re-scanned (scan Ti_6_90d). The purpose of this
123 repeated scan is to verify that the orientation of residual strain rotates with sample rotation,
124 demonstrating that the strain measured is not an artifact due to calibration geometry uncertainties and
125 other errors.



127 **Figure 2** X-ray Laue diffraction images of A) polysilicon calibrant and B) single crystal silicon
128 calibrant. A few exemplary peaks have been assigned Miller indices. White lines are interstitial space
129 between detector panels. White rectangle is a defective submodule that has been removed from
130 analysis.

131 1.1. Data Analysis Overview

132 A more detailed explanation on data analysis has been published by Tamura (2014) and Wenk et al.
 133 (2020). The total strain tensor (ε_{ij}) is a sum of the dilatational strain tensor (Δ_{ij}), which describes a
 134 change in volume of the unit cell, and the deviatoric strain tensor (ε'_{ij}), which describes a change in
 135 shape (Fig. 4A): $\varepsilon_{ij} = \Delta_{ij} + \varepsilon'_{ij}$

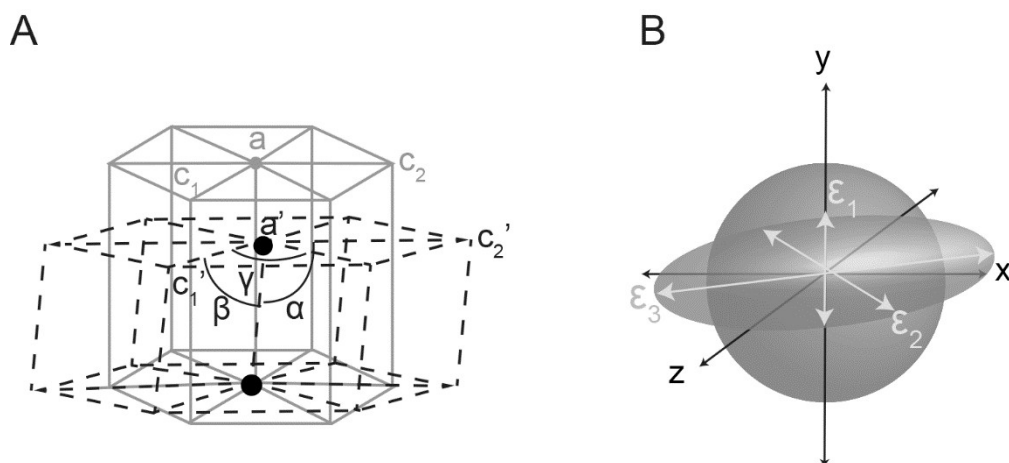
136 where $\Delta_{ij} = \begin{bmatrix} \delta & 0 & 0 \\ 0 & \delta & 0 \\ 0 & 0 & \delta \end{bmatrix}$

137 and $\varepsilon'_{ij} = \begin{bmatrix} \varepsilon'_{11} & \varepsilon'_{12} & \varepsilon'_{13} \\ \varepsilon'_{12} & \varepsilon'_{22} & \varepsilon'_{23} \\ \varepsilon'_{13} & \varepsilon'_{23} & \varepsilon'_{33} \end{bmatrix}$

138 In our analysis, the deviatoric strain tensor is first transformed from the coordinate system of the
 139 diffraction image, a Cartesian coordinate system attached to the unit cell, to the sample coordinate
 140 system (x, y, z) using the orientation matrix M and transformation $\varepsilon'_{xyz} = M \varepsilon'_{ij} M^T$. Such that

141 $\varepsilon'_{xyz} = \begin{bmatrix} \varepsilon'_{xx} & \varepsilon'_{xy} & \varepsilon'_{xz} \\ \varepsilon'_{yx} & \varepsilon'_{yy} & \varepsilon'_{yz} \\ \varepsilon'_{zx} & \varepsilon'_{zy} & \varepsilon'_{zz} \end{bmatrix}$

142 An eigen decomposition of ε'_{xyz} provides the geometry of the deviatoric strain ellipsoid in sample
 143 coordinates; the eigenvectors are the axes of the ellipsoid scaled by their associated eigenvalues (Fig.
 144 4B) (Noyan & Cohen, 1987; Tamura, 2014). Negative values are herein defined as compression, and
 145 positive values are defined as extension.



146
 147 **Figure 3** A) Hexagonal unit cell (solid lines, lattice parameters a, c_1, c_2) distorted into a new triclinic
 148 shape (dashed lines, lattice parameters $a', c_1', c_2', \alpha, \beta, \gamma$). B) A sphere (light gray) is distorted into a
 149 strain ellipsoid (yellow) to represent the distortion shown in (A). Strain ellipsoid axes ($\varepsilon_1, \varepsilon_2, \varepsilon_3$) are
 150 noted relative to sample coordinate axes (x, y, z).

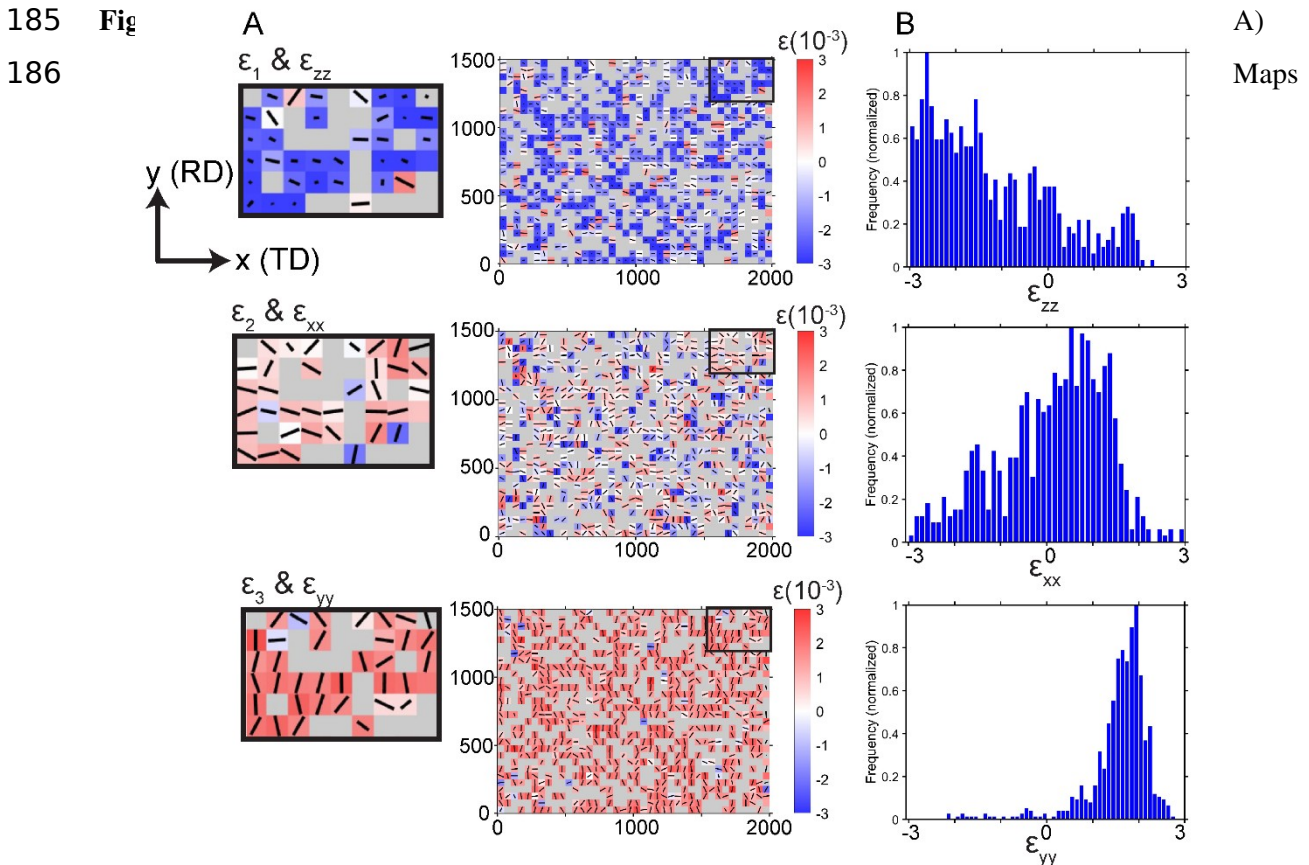
151 **1.2. How deviatoric strain is measured and principal stress is calculated**

152 The XMAS software determines the crystal orientation and calculates the deviatoric strain tensor for
153 each diffraction image. In this experiment, 1,200 diffraction images were collected for each scan. The
154 experimental geometry was refined using the polysilicon calibrant (Fig. 3A). The strain is refined
155 from the lattice parameters of the deformed crystal relative to those of unstrained Ti (Fig. 4A). The
156 diffraction images are preprocessed by removing bad pixels and background signal due to air
157 scattering and X-ray fluorescence. Next, the reflection positions are located by finding intensity
158 maxima above a certain threshold value, and peaks are fit with a 2D Gaussian function. Then, each
159 peak is “indexed” and assigned a corresponding *hkl* plane by triangulation of three peak positions.
160 The crystal orientation can then be derived from the indexation.

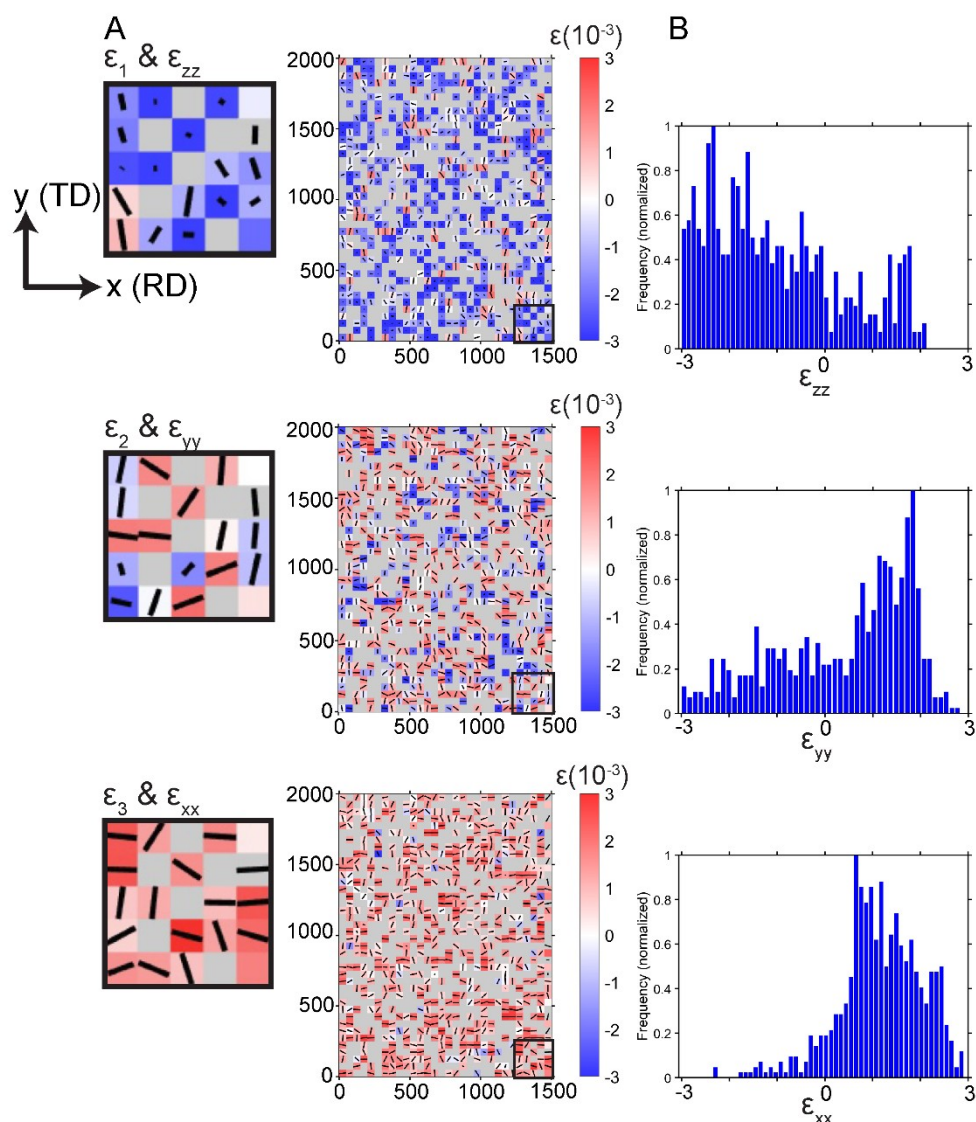
161 The deviatoric strain is calculated by measuring the difference between the observed position
162 of the measured diffraction peaks and the ideal position of peaks calculated for an unstrained lattice.
163 XMAS searches for three unique grain orientations per diffraction image using the “multigrain”
164 setting. If a diffraction image cannot be indexed by XMAS, the grain orientation and strain tensor
165 cannot be calculated and is thus excluded from the analysis. After the indexation parameters of a
166 representative Laue image have been optimized for accurate analysis, the rest of the Laue images are
167 automatically processed using the same parameters on a high-performance computing cluster.

168 The output from XMAS, a sequential list file which contains the crystal orientations and strain
169 tensor for every diffraction image indexed, is then loaded into the MATLAB code XtalCAMP (Li et
170 al., 2020). Orientation and magnitude of the principal strain axes are calculated for each diffraction
171 image using an eigen decomposition of the deviatoric strain tensor. Strain maps and other
172 visualizations are also plotted using this software. Stress (σ_{ij}) can be calculated from strain (ϵ_{kl}) by
173 applying Hooke’s law: $\sigma_{ij} = C_{ijkl} \epsilon_{kl}$ where C_{ijkl} is the fourth rank stiffness tensor. We used the
174 experimental stiffness tensor components of monocrystal hcp-Ti determined by Dumontet et al.
175 (2019). Prior to plotting the strain maps and calculating the principal strains, all diffraction images
176 with less than 10 indexed reflections were filtered out to eliminate strain measurements with low
177 confidence. Low indexation is likely due to peak distortion from high strain. After filtering, the
178 deviatoric and principal strains were calculated for each diffraction image and the strain maps of the
179 scan area were generated (Figs. 5 & 6). Normalized frequency distributions of equivalent strain and
180 stress were also plotted for each scan using XtalCAMP (Fig. 7).

181 From XtalCAMP the orientations of the crystal and the residual strain ellipsoid for each
 182 diffraction image, defined by three Euler angles relative to sample coordinates, were then exported
 183 and used by BEARTEX (Wenk et al., 1998) to generate 3D orientation distributions and plot
 184 corresponding pole figures (Figs. 8 and 9).

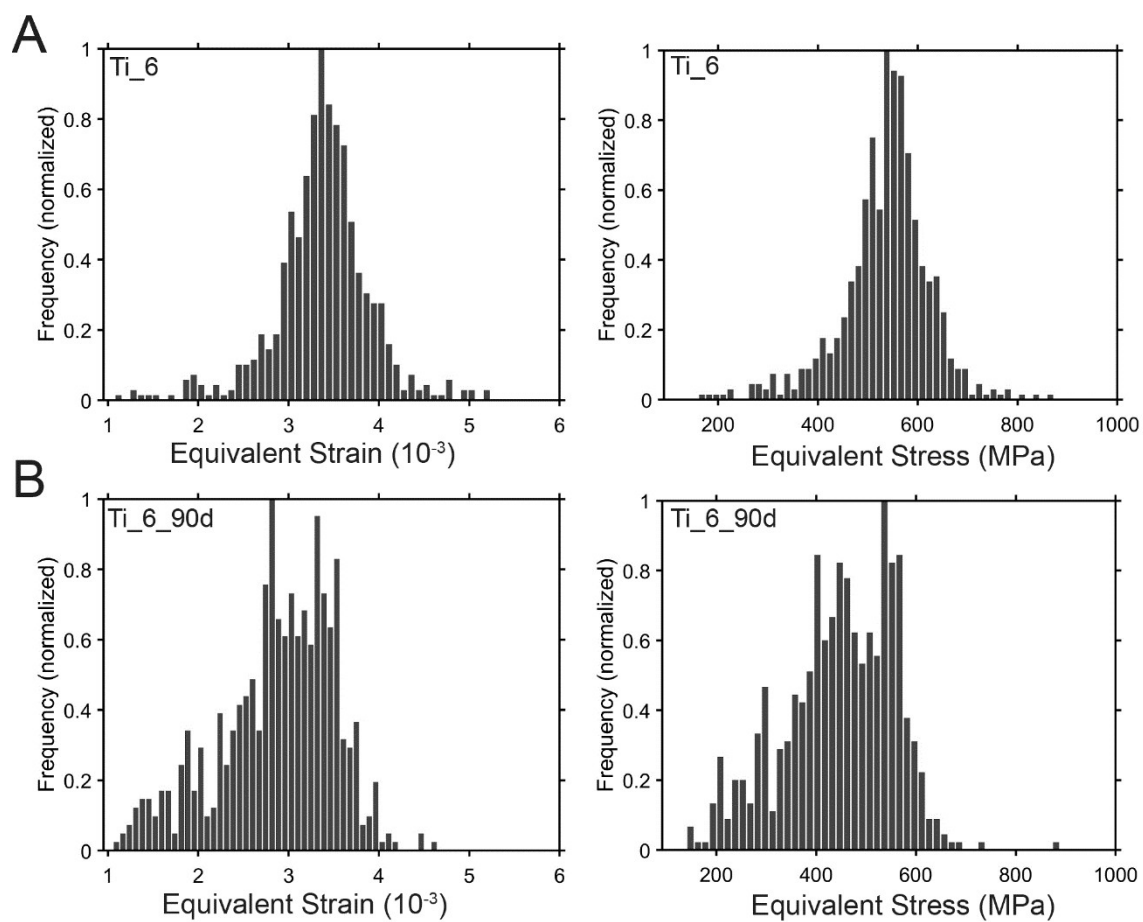


187 showing the magnitude of the ϵ_{xx} , ϵ_{yy} , ϵ_{zz} components of the deviatoric residual strain tensor in sample
 188 coordinates (x, y) for Ti_6 scan of dimensions 2000 x 1500 mm with step size of 50 μm . Projections
 189 of principal strain axes ϵ_1 , ϵ_2 , or ϵ_3 are overlain onto each pixel as a black line (enlarged inset on left).
 190 Each pixel represents one step and shows the data associated with the diffraction image collected at
 191 that step. The color of each pixel indicates the deviatoric strain magnitude at that position in
 192 millistrains (10^{-3}). Red color (positive values) indicates extension, blue color (negative values)
 193 indicates compression. Gray pixels are Laue diffraction images that had less than 10 indexed
 194 reflections and were thus removed from analysis. RD is rolling direction, TD is transverse direction.
 195 B) Normalized frequency distributions of residual strain values from plots in (A).



196

197 **Figure 5** A) Maps showing the magnitude of the ϵ_{xx} , ϵ_{yy} , ϵ_{zz} components of the deviatoric residual
 198 strain tensor in sample coordinates (x, y) for Ti_6_90d scan of dimensions 1500 x 2000 mm with step
 199 size of 50 μm . Projections of principal strain axes ϵ_1 , ϵ_2 , or ϵ_3 are overlain onto each pixel as a black
 200 line (enlarged inset on left). Each pixel represents one step and shows the data associated with the
 201 diffraction image collected at that step. The color of each pixel indicates the deviatoric strain
 202 magnitude at that position in millistrains (10^{-3}). Red color (positive values) indicates extension, blue
 203 color (negative values) indicates compression. Gray pixels are Laue diffraction images that had less
 204 than 10 indexed reflections and were thus removed from analysis. RD is rolling direction, TD is
 205 transverse direction. B) Normalized frequency distributions of residual strain values from plots in (A).

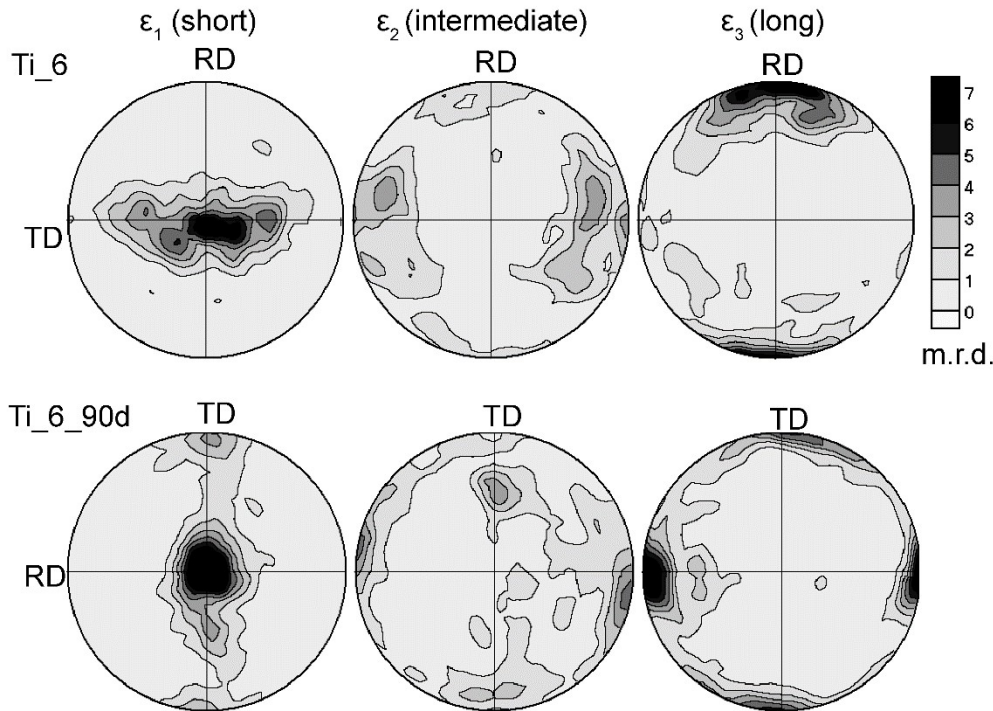


206

207 **Figure 6** Normalized equivalent strain and stress distributions for Ti_6 (A) and Ti_6_90d (B).

208

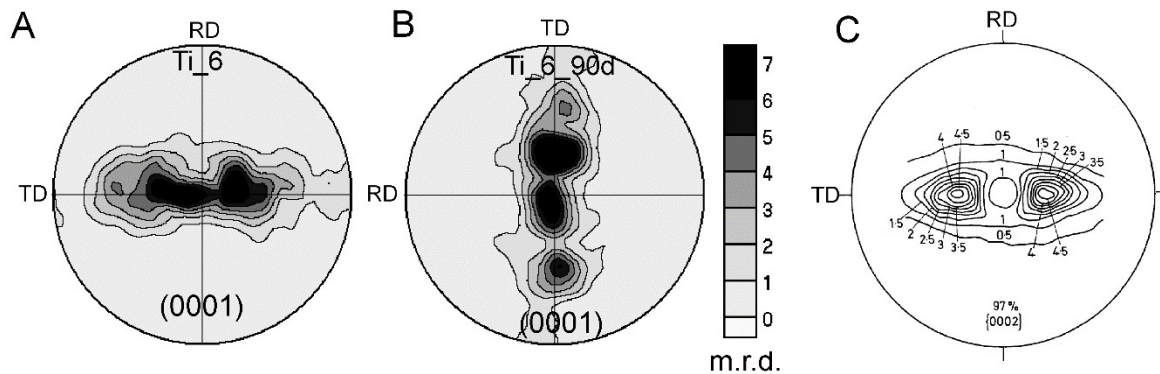
209



210

211 **Figure 7** Principal strain axes for Ti_6 (top) and Ti_6_90d (bottom) plotted as pole figures in equal
 212 area projection. Pole figures provide a 3D representation of the principal axes of compression (ϵ_1),
 213 intermediate strain (ϵ_2) and extension (ϵ_3) relative to sample coordinates. RD is rolling direction, TD
 214 is transverse direction. Contours are in multiples of random distribution (m.r.d.).

215



216

217 **Figure 8** Deformation textures of crystal (0001) poles plotted as equal area projection pole figures
 218 for Ti_6 (A) and Ti_6_90d (B). RD is rolling direction, TD is transverse direction. Contours are in
 219 multiples of random distribution (m.r.d.). C) Equal area projection of deformation texture for (0002)
 220 poles for rolled Ti reduced to 3% of its original thickness measured with an X-ray pole figure
 221 goniometer (Blicharski et al., 1979).

222

223 2. Results

224 The μ XRD data are most easily understood visually using maps to spatially resolve the data collected
225 from the scanned area. Because grain size of the sample ranged from 5 to 30 μm in diameter (Fig. 1),
226 and the beam raster step for each scan was 50 μm , grains cannot be resolved in the maps generated
227 (Figs. 5 & 6). Pole figures of strain ellipsoid axes (i.e. principal strain axes) are used to compile the
228 data into a 3D representation of residual strain orientation in sample coordinates (Fig. 8).

229 2.1. Strain

230 Strain maps for each scan are plotted in Figures 5 (Ti_6) and 6 (Ti_6_90d). Three maps are displayed
231 for each scan, one for each deviatoric strain component (ϵ_{xx} , ϵ_{yy} , and ϵ_{zz}) (Figs. 5A, 6A). ϵ_{xx} is
232 deviatoric strain along the x-axis, ϵ_{yy} along the y-axis, and ϵ_{zz} along the z-axis. The color of each pixel
233 corresponds to the magnitude of the deviatoric strain component; red indicates positive strain
234 (extension) and blue indicates negative strain (compression). Deviatoric strain values range from -3 to
235 3 millistrains (10^{-3}). The frequency distributions (Figs. 5B, 6B) of the deviatoric strain magnitudes
236 clearly indicate the direction of the most compressive (negative), and most tensile (positive) strain.
237 Strains are most negative in the direction normal to the sample surface ($z=\text{ND}$) for both Ti_6 and
238 Ti_6_90d. The scan for Ti_6 shows the most positive strain in the $y=\text{RD}$ direction, while strain in the
239 $x=\text{TD}$ direction spans both positive and negative strain values (Fig. 5). A 90° sample rotation
240 (Ti_6_90d, Fig. 6) shows a 90° rotation strain, with the most positive strain values now in the $x=\text{RD}$
241 direction, and strain in the $y=\text{TD}$ direction spans positive and negative values.

242 The projections of the principal strain axes are superposed as black lines onto the color maps.
243 The axis of compression (ϵ_1) is overlain onto the map showing the most negative deviatoric strain, and
244 the axis of extension (ϵ_3) is overlain onto the map showing the most positive deviatoric strain. Small
245 portions of each scan are enlarged (Figs. 5A left, 6A left) to more clearly show the relationship
246 between deviatoric strain magnitude and principal strain axis orientation.

247 For Ti_6 (Fig. 5), principal compressive strain (ϵ_1) show many axes displayed as dots which is
248 indicative of a large component in the z direction as the projection of the normalized principal axis
249 rotates toward the z-axis, reducing the visible length of the line to a dot. Other ϵ_1 axes are oriented
250 horizontally and thus dominated by a component in the x direction. The principal tensile strain (ϵ_3)
251 axes are mostly vertically oriented and thus parallel with the sample y-axis. The intermediate axes (ϵ_2)
252 are more or less aligned along the x-axis as shown by the horizontally oriented lines. For Ti_6_90d
253 (Fig. 6), the principal compressive strain axes also show a larger component in the z direction,
254 displayed by the short, dot-like projections. The principal tensile strain axes are primarily oriented
255 horizontally along the sample x-axis, and the intermediate axes are more or less vertically aligned
256 along the sample y-axis.

257 Equivalent strain has been used to estimate the magnitude of the deviatoric strain tensor (Liu,
258 2005, p. 15, eq. 1.29). For both scans, the equivalent strain was calculated to be around 3.25
259 millistrains (Fig. 7). The 90° rotated sample has some low equivalent strain values between 1 and 2
260 millistrains that are absent in the non-rotated sample. Equivalent stress maxima obtained from
261 equivalent strain using Hooke's law for Ti_6 are approximately 575 MPa. The equivalent stress for
262 Ti_6_90d shows a broader distribution with two maxima at approximately 450 and 575 MPa. These
263 fluctuations are likely due to heterogeneous stress distribution and slight variations in scan areas.

264 The orientation of principal residual strain axes ϵ_1 , ϵ_2 , and ϵ_3 relative to sample coordinates
265 have been plotted as pole figures using the BEARTEX software (Wenk et al., 1998) to provide a 3D
266 visualization of the principal strain axis orientations for each scan (Fig. 8). The pole figures display
267 the 3D distributions of each strain axis in equal area projection with respect to the sample reference
268 frame. For Ti_6, a concentration of principal axes of compression is parallel to the normal direction
269 (ND), and the principal axes of extension are aligned with the rolling direction (RD). For Ti_6_90d,
270 the principal axes of compression are also oriented parallel to ND, and the principal axes of extension
271 are aligned with RD, now rotated 90°.

272 2.2. Deformation texture

273 The deformation texture measured in the Ti sheet is displayed with (0001) pole figures (Fig. 9A, B).
274 The crystal orientation relative to sample coordinates is determined by XMAS for each indexed
275 diffraction image based on relative peak position. Pole figures are then plotted in equal area projection
276 using BEARTEX. The experimentally determined pole figure for Ti_6 shows a girdle of maxima
277 along the transverse direction with a concentration of (0001) poles aligned close to the normal
278 direction. The pole figure for Ti_6_90d shows a 90° rotation of the girdle, still located along the
279 transverse direction which is now oriented vertically. The measured deformation textures are
280 consistent with the deformation texture of rolled Ti reduced to 3% of its original thickness (Fig. 9C)
281 (Blicharski et al., 1979).

282 3. Discussion

283 3.1. Stress and strain

284 Maps and pole figures are used to express the directionality of residual strain measured by μ XRD for
285 the rolled Ti sample. The relative magnitude of the deviatoric strain tensor components (Figs. 5B, 6B)
286 suggest compression occurred in the direction normal to the sample surface (z), the normal direction
287 (ND), which agrees with the deformation conditions. The rolling direction (RD) is confirmed through
288 observation of a consistent direction of principal extension. In the non-rotated sample, this is the y-
289 axis, and in the 90° rotated sample it becomes the x-axis. Similarly, the orientation of the principal

290 strain axes show that the axis of maximum compression is parallel with the ND, and the axis of
291 maximum extension is parallel with the RD (Fig. 8).

292 These findings suggest that the residual strain measured by μ XRD is consistent with the
293 deformation conditions used to produce rolled Ti. Hooke's law states a direct correlation between the
294 orientation of strain to stress, thus confirming the directionality of the principal macrostress is
295 coincident with that of the principal strains.

296 Some diffraction images could not be indexed sufficiently, likely due to high local plastic
297 strain, and thus were not used in the analysis (gray pixels in Figs. 5, 6). Plastic strain distorts the shape
298 of the reflections, and if the reflections are too highly distorted, it is difficult to accurately assign
299 Miller indices. Additionally, recrystallization, another possibility, results in many small grains and
300 thus very small diffracting volumes that produce overlapping, low intensity Laue peaks with many
301 crystallites which are difficult to index. This technique is limited to samples with large enough crystal
302 size and which have also not been severely plastically deformed. To ensure accurate analysis, a
303 minimum number of indexed peaks per diffraction pattern is necessary, and this number is dependent
304 upon the material. Quartz can have upwards of 50 peaks per diffraction pattern from an undeformed
305 sample, other materials like titanium have around 15 peaks per diffraction pattern. Approximately two
306 thirds of the expected peaks should be indexed for strong confidence in the strain measurement. If
307 indexing is less satisfactory, it is likely due to small crystallite size or high plastic deformation for
308 which alternative techniques would be more successful such as high resolution EBSD measurements
309 (Qian et al., 2017), and for samples with high amounts of plastic deformation, equations have been
310 developed to estimate the magnitude of strain based on peak shape and size (Ungár and Groma, 1989;
311 Barabash et al., 2003; Ice et al., 2004)

312 The variation in stress orientation can be attributed to grain statistics and inhomogeneous
313 stress distribution amongst the grains in the polycrystalline sample; crystal orientation, grain boundary
314 geometries, and grain-grain interactions affect how each grain experiences stress (Wilkinson &
315 Dingley, 1992) (Fig. 1). Additionally, only a small portion of the overall sample was measured during
316 this experiment and, due to the large grain size, poor grain statistics could distort the representation of
317 strain in this sample. This is likely the cause for the broadness of strain distributions around their
318 maxima (Figs. 5B, 6B and 8).

319 The equivalent stress calculations show a maximum between 400 – 600 MPa (Fig. 7). This is
320 similar in magnitude to residual stress measured in a Ti-Al-V alloy (Fig. 10 in Wang et al., 2020) and
321 low-Fe Ti alloys (Fig. 7.25 in Schajer, 2013). The broadness of equivalent strain distributions (Fig. 7),
322 and the variation in ϵ_{zz} distribution (Figs. 5B & 6B) could be due to slight variations in the areas
323 scanned due to the manual 90° sample rotation and thus different grains were measured. This could be

324 ameliorated with the use of fiducial markers indicating scan start and stop points. A smaller raster step
325 size would also decrease the variability in strain measured due to slight differences in the scanned area
326 between sample rotations. Additionally there will be some variation in the strain measured (Figs. 5B
327 and 6B) because the diffraction images and the optimized indexation routine are unique for each scan.
328 Indexation parameters optimized for both scans could be averaged and applied such that the treatment
329 of Laue diffraction images was identical for both scans, which could eliminate any variation in stress
330 due to slight variations in indexation caused by optimization.

331

332 **3.2. Deformation texture**

333 The (0001) pole figures for both scans are consistent with the deformation texture of rolled Ti (Fig. 9).
334 Compared to Blicharski et al. (1979), our images are more irregular which we attribute to grain
335 statistics with relatively few grains compared with pole figure goniometry, and representative of a
336 deformation texture reflective of the sample surface rather than the bulk material, as well as
337 inhomogeneous strain distribution in a polycrystalline material (Wilkinson & Dingley, 1992). The
338 deformation textures produced have been attributed to basal and pyramidal slip and twinning
339 (Zaefferer, 2003).

340 **4. Conclusions**

341 The directionality of the residual strain agrees with the deformation conditions of the rolled Ti, and
342 the magnitudes of residual strain measured are in agreement with values measured in other studies.
343 This suggests that μ XRD and XtalCAMP are effective methodologies that could be used to determine
344 the directionality of residual stress reflective of the macroscopic deformation endured by crystalline
345 materials. Our new method of residual strain pole figures provides an approach for a three-
346 dimensional representation of residual strain, analogous to crystallographic pole figures in texture
347 analysis. It also concludes that our predictions of macrostress directionality for quartzite appear to be
348 reliable, thus making quartz a useful “paleopiezometer” to record geological deformation histories.
349 Future work should be done to develop the methodology for materials with different crystal
350 symmetries.

351 **cknowledgements** All opinions expressed in this paper are the author’s and do not necessarily
352 reflect the policies and views of DOE, ORAU, or ORISE. We would like to thank Kai Chen and
353 Jiawei Kou, both at Xi’an Jiaotong University, and Derek Gobeil from Yokogawa Fluid Imaging
354 Technologies, Inc., for their helpful discussions and feedback. We are also appreciative to comments
355 from the editor and two anonymous reviewers which helped improve the manuscript. This research

356 used Beamline 12.3.2 which is a resource of the Advanced Light Source, a U.S. DOE Office of
357 Science User Facility under contract no. DE-AC02-05CH11231.

358 References

- 359 Blicharski, M., Nourbakhsh, S. & Nutting, J. (1979). *Met. Sci.* **13**, 516–522.
- 360 Barabash, R.I., Ice, G.E. & Walker, F.J. (2003). *J. Appl. Phys.* **93**, 1457–1464.
- 361 Britton, T.B., Dunne, F.P.E. & Wilkinson, A.J. (2015). *Proc. Math. Phys. Eng.* **471**, 20140881.
- 362 Cauchois, R., Borbély, A., Gergaud, P., Saadaoui, M. & Inal, K. (2014). *Adv. Mat. Res.* **996**, 930–935.
- 363 Chen, K., Kunz, M., Li, Y., Zepeda-Alarcon, E., Sintubin, M. & Wenk, H.-R. (2016). *Geophys. Res.*
364 *Lett.* **43**, 6178–6185.
- 365 Chen, K., Kunz, M., Tamura, N. & Wenk, H.-R. (2011). *Eur. J. Mineral.* **23**, 169–178.
- 366 Dumontet, N., Connétable, D., Malard, B. & Viguier, B. (2019). *Scripta Mat.* **167**, 115–119.
- 367 Guo, Y., Collins, D.M., Tarleton, E., Hofmann, F., Tischler, J., Liu, W., Xu, R., Wilkinson, A.J. &
368 Britton, T.B. (2015). *Acta Mat.* **96**, 229–236.
- 369 Hofmann, F., Song, X., Jun, T.-S., Abbey, B., Peel, M., Daniels, J., Honkimäki, A.M., & Korsunsky,
370 A.M. (2010). *Mat. Lett.* **64**, 1302–1305.
- 371 Hosford, W.F. (2005). *Mechanical Behaviors of Materials*. United Kingdom: Cambridge University
372 Press.
- 373 Ice, G.E., Larson, B.C., Tischler, J.Z., Liu, W. & Yang, W. (2005). *Mat. Sci. Eng. A.* **399**, 43–48.
- 374 Ice, G.E., Larson, B.C., Yang, W., Budai, J.D., Tischler, J.Z., Pang, J.W.L., Barabash, R.I. & Liu, W.
375 (2004). *J. Synchrotron Rad.* **12**, 155–162.
- 376 Jiang, T., Wu, C., Tamura, N., Kunz, M., Kim, B.G., Son, H.-Y., Suh, M.-S. & Im, J. (2014). *IEEE*
377 *Trans. Device Mater. Reliab.* **14**, 698–703.
- 378 Krawitz, A.D. & Holden, T.M. (1990). *MRS Bull.* **15**, 57–64.
- 379 Kwon, E.P., Sato, S., Fujieda, S., Shinoda, K., Kajiwara, K., Sato, M. & Suzuki, S. (2013). *Mat. Sci.*
380 *Eng. A.* **570**, 43–50.
- 381 Levine, L.E., Larson, B.C., Yang, W., Kassner, M.E., Tischler, J.Z., Delos-Reyes, M.A., Fields, R.J.
382 & Liu, W. (2006) *Nat. Mater.* **5**, 619–622.
- 383 Li, Y. Chen, K., Dang, X., Zhang, F., Tamura, N., Ku, C.-S., Kang, H. & Wenk, H.-R. (2020). *J.*
384 *Appl. Cryst.* **53**, 1392–1403.
- 385 Liu, A. (2005). *Mechanics and Mechanism of Fracture: An Introduction*, Ohio, USA: ASM
386 International.
- 387 Lonardelli, I., Gey, N., Wenk, H.-R., Humbert, M., Vogel, S.C. & Lutterotti, L. (2007). *Acta Mat.* **55**,
388 5718–5727.
- 389 Lütjering, G. & Williams, J.C. (2007). *Titanium*, second ed., Heidelberg: Springer.

- 390 Ma, Z.S., Zhou, Y.C., Long, S.G. & Lu, C. (2012). *Surf. Coat. Technol.* **207**, 305–309.
- 391 Mehta, A., Gong, X.-Y., Imbeni, V., Pelton, A.R. & Ritchie, R.O. (2007). *Adv. Mater.* **19**, 1183–1186.
- 392 Morawiec, A. (2018). *J. Appl. Cryst.* **51**, 148–156.
- 393 Noyan, I.C., Bunn, J.R., Tippett, M.K., Payzant, E.A., Clausen, B. & Brown, D.W. (2020) *J. Appl.*
394 *Cryst.* **52**, 494-511.
- 395 Noyan, I.C & Cohen, J. B. (1987). *Residual Stress*. New York: Springer.
- 396 Noyan, I.C., Huang, T.C. & York, B. R. (1995). *Crit. Rev. Solid State and Mater. Sci.* **20**, 125-177.
- 397 Renversade, L. & Borbely, A. (2017). *J. Appl. Cryst.* **50**, 1144-1157.
- 398 Robinson, J.S., Truman, C.E., Pirling, T. & Panzner, T. (2017). *Mater. Sci. Forum* **905**, 31–39.
- 399 Schajer, G.S. (2013). *Practical Residual Stress Measurement Methods*. United Kingdom: Wiley.
- 400 Shen, H., Chen, K., Kou, J., Jia, Z., Tamura, N., Hua, W., Tang, W., Ehrenberg, H. & Doeff, M.
401 (2022). *Mater. Today* **57**, 180-191.
- 402 Spolenak, R., Brown, W.L., Tamura, N., MacDowell, A.A., Celestre, R.S., Padmore, H.A., Valek, B.,
403 Bravman, J.C., Marieb, T., Fujimoto, H., Batterman, B.W. & Patel, J.R. (2003). *Phys. Rev. Lett.* **90**,
404 096102.
- 405 Tamura, N. (2014). XMAS: a versatile tool for analyzing synchrotron microdiffraction data. In: G.
406 Ice, & B. Barabash, editors. *Strain dislocation gradients from diffraction*. Vol. 4. Singapore: World
407 Scientific, p. 125– 55.
- 408 Tamura, N., Celestre, R.S. & MacDowell, A.A. (2002). *Rev. Sci. Instrum.* **73**, 1369-1372.
- 409 Ungár, T. & Borbély, A. (1996). *Appl. Phys. Lett.* **69**, 3173-3175.
- 410 Ungár, T. & Groma, I. (1988). *J. Appl. Cryst.* **22**, 26-34.
- 411 Ungár, T., Gubicza, J., Ribárik, G. & Borbély, A. (2001). *J. Appl. Cryst.* **34**, 298-310.
- 412 Van Puymbroeck, E., Nagy, W., Schotte, K., Ul-Abdin, Z. & De Backer, H. (2019). *Appl. Sci.* **9**, 536.
- 413 Wang, F., Men, X., Liu, Y. & Fu, X. (2020). *Simul. Model. Pract. Theory* **104**, 102121.
- 414 Wenk, H.-R., Chandler, B.C., Chen, J., Li, Y., Tamura, N. & Yu, R. (2020). *Geophys. J. Int.* **222**,
415 1363–1378.
- 416 Wenk, H.-R., Matthies, S., Donovan, J. & Chateigner, D. (1998). *J. Appl. Cryst.* **31**, 262-269.
- 417 Wilkinson, A.J. & Dingley, D.J. (1992). *Acta Metall. Mater.* **40**, 3357–3368.
- 418 Wissink, M.L., Chen, Y., Frost, M.J., Curran, S.J., Rios, O., Sims, Z.C., Weiss, D., Stromme, E.T. &
419 An, K. (2020). *Proc. Natl. Acad. Sci. U.S.A.* **117**, 33061–33071.
- 420 Withers, P.J. (2007). *Reports on Progress in Physics* **70**, 2211–2264.
- 421 Zaefferer, S. (2003). *Mat. Sci. Eng.:A.* **344**, 20–30.