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by

Ryan Ziffra

This work is dedicated to my wife Amanda and son Jay, who provide me with the support and motivation to persevere through the most challenging times.

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The journey to complete my doctoral work has been an unexpected adventure spanning the full range of human emotion. Throughout my time at UCSF, there have been moments when I have felt productive, burned-out, exhilarated, defeated, joyful, and depressed. In hindsight, it is clear to me that, despite the ups and downs, the experience has overall been extremely valuable and fundamentally changed me as a person for the better. It is also clear that, without the support of a whole team of loving and caring individuals behind me, there is no way I would have been able to make it through to the end.

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In every scientist's career, there is that one moment when you discover something novel and, for that one precious moment in time, you are the only person in the world with that piece of knowledge. The prospect of attaining such uniquely personal knowledge is one of the motivators that excited me about scientific research and drove me to pursue a PhD. Coming out the other end of my graduate training, it is not the attainment of knowledge that drives me, but rather, it is the responsibility to share that knowledge with the rest of the world to advance our collective understanding of the truth. Thank you to all the scientists who came before me and chose to share those personal truths with the world.

CONTRIBUTIONS

All work described in this dissertation was carried out under the direct supervision of Drs. Tomasz Nowakowski and Nadav Ahituv. Chapter 2 is adapted from a research article that has been peer-reviewed, accepted, and is in press at *Nature*, of which Ryan is the sole first author. Additional author contributions to this article are described in the Contributions section of Chapter 2.

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Characterizing the epigenomic landscape of the developing human cortex at single-cell resolution

Ryan Ziffra

ABSTRACT

During mammalian development, chromatin state differences coincide with cellular differentiation and reflect changes in the gene regulatory landscape. In the developing brain, cell fate specification and topographic identity play important roles in defining cell identity and confer selective vulnerabilities to neurodevelopmental disorders. To identify cell type specific chromatin accessibility patterns in the developing human brain, we used a single cell assay for transposase accessibility by sequencing (scATAC-seq) in primary human forebrain tissue samples. We applied unbiased analyses to identify genomic loci that undergo extensive cell type- and brain region-specific changes in accessibility during neurogenesis and an integrative analysis to predict cell type specific candidate regulatory elements. We found that cerebral organoids recapitulate most putative cell type-specific enhancer accessibility patterns but lack many cell type specific open chromatin regions found *in vivo*. Systematic comparison of chromatin accessibility across brain regions revealed an unexpected diversity among neural progenitor cells in the cerebral cortex and implicate retinoic acid signaling in the specification of prefrontal cortex neuronal lineage identity. Together, our results reveal the important contribution of chromatin state to the emerging patterns of cell type diversity and cell fate specification and provide a blueprint for evaluating the fidelity and robustness of cerebral organoids as a model for cortical development.

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CHAPTER 1

Introduction

The cerebral cortex is the largest region of the human brain, representing roughly 80% of the total brain mass, and is responsible for higher order cognitive functions, such as language, decision making, and sensory perception¹. The cortex underwent great expansion during human evolution, and its larger size in humans relative to other mammals is thought to be responsible for our extraordinary cognitive abilities². Since the times of Santiago Ramon y Cajal, it has been appreciated that the cerebral cortex contains an astonishing diversity of cell types distributed across dozens of anatomically distinct areas^{3,4}. These distinct cortical areas can be distinguished on the basis of sharply bordered patterns of cytoarchitecture, including differences in cell density, lamination, and morphology, and often correspond with functional specialization⁵⁻¹⁰. Despite the vast body of research establishing and characterizing the differences between cortical areas in adult brains, few of these differences are apparent during development, and the specific mechanisms and timing by which they attain their distinct anatomical and functional identities is still largely unknown⁴.

Hypotheses of cortical development

During embryonic development, the neuroepithelial tissue that gives rise to the majority of cortical cells originates as a homogeneous layer of neuroepithelial stem cells. Over the past few decades, neurobiologists have circled around two main hypotheses which explain how this initially uniform layer of progenitors gives rise to the vast diversity of cell types and functionally specialized areas found in the adult human cortex. The “protomap”

hypothesis suggests that the cortical progenitors are pre-programmed to give rise to area-specific cell types based on intrinsic developmental programs^{11–13} while the “protocortex” hypothesis suggests that the cortex is initially homogenous and takes on area-specific architecture following differential extrinsic signals, such as input from the thalamus^{14–16}.

Early forebrain patterning

Much has already been established as relates to the patterning of the forebrain during prenatal development. Morphogen gradients of FGF, WNT, BMP, SHH, and TGF α are established early on and induce the expression of patterning transcription factors (TFs), including Pax6, Sp8, Emx2, and COUP-TFI^{3,17}. These patterning TFs are expressed in gradients along the anterior-posterior and lateral-medial axes of the developing forebrain and induce the expression of additional TFs that initiate area-specific developmental programs controlling the cell fate specification of molecularly distinct neuronal subtypes. Due to the fact that these TFs are expressed in graded patterns, it is clear that they must work in concert with additional mechanisms in order to give rise to the sharply bordered cortical areas found in the fully developed adult cortex.

The Epigenetic Landscape

Over 60 years ago, Conrad Waddington introduced the concept of an epigenetic landscape to account for the emergence of distinct cell fates¹⁸. In particular, chromatin state defines the functional architecture of the genome by modulating the accessibility of gene regulatory elements (GREs), such as enhancers and promoters, which serve as binding sites for transcriptional regulators that enable the assembly of the transcriptional

machinery. Together with the expression of unique combinations of graded TFs, chromatin state is believed to represent the *cis*-regulatory ‘vocabulary’ of gene expression, which is a fundamental determinant of cell identity^{19,20}. However, studies of chromatin state in developing brain have been limited because established methods for discovering GREs, such as the Assay for Transposase-Accessible Chromatin with Sequencing (ATAC-seq)²¹ or Chromatin Immunoprecipitation with Sequencing (ChIP-seq)²², lacked cellular resolution. To gain insight into cell type specific patterns of chromatin state changes during development, few studies have been able to enrich for, or isolate, broad cell classes and perform measurements of chromatin state or other epigenetic assays^{23–29} and revealed changes in chromatin state broadly correlated with regional patterning and neuronal differentiation. For example, many mouse brain enhancers, are developmentally regulated²⁷ and exhibit sharp regional boundaries of activity in the subpallium (which gives rise to the basal ganglia and cortical interneurons)^{19,20}. These sharply bordered patterns of enhancer activity suggest that enhancers may play an important role in the specification of anatomically defined areas of the cortex. However, the cell type specific nature of many enhancers makes them difficult to study in the context of heterogeneous tissues, such as the brain, using bulk assays that provide an average signal of the sample. Future studies of the epigenomic landscape of the developing brain will benefit greatly from approaches that are able to profile the regulator landscape of discrete cell types.

Molecular diversity of the developing cortex

The diverse cell types of the cortex have historically been classified based on a handful of morphological, anatomical, and physiological features. However, during developmental stages, many of these features are indistinguishable across cortical areas. More recent studies, which have examined the molecular profiles of cells in the developing cortex, have begun to identify and characterize distinctions between cells in different cortical areas. One of the first studies to systematically assess molecular differences between cortical areas during development using exon arrays found hundreds of genes differentially expressed between rostral and caudal areas³⁰. While bulk transcriptomic studies such as this made it clear that molecular differences between cells from different cortical areas were detectable, their ability to elucidate the expression programs that lead to the divergence of closely related cell types was limited by the nature of bulk assays, which provide an average profile of the sampled region. Recent innovations in single cell genomics, such as single cell mRNA sequencing (scRNA-seq), have enabled massively parallel profiling of thousands of molecular features in every cell, uncovering the remarkable molecular diversity of cell types previously considered homologous, such as excitatory neurons located in different areas of the cerebral cortex^{31–34}. While single cell transcriptomic studies have been invaluable in redefining the molecular diversity of cell types in the cortex, they have not answered the question of how distinct gene expression patterns are established through the modulation of transcriptional regulators.

The promise of single cell epigenomics

Recently, the advances in single cell genomics have been extended to epigenomic assays, such as scATAC-seq^{35,36}, enabling the profiling of the epigenomic landscape at single cell resolution. Studies using these methods have revealed many cell type specific patterns of enhancer activity in the developing and adult mouse brain, as well as adult human brain^{37–39}. GREs often contain binding sites for transcriptional regulators, enabling the discovery of regulatory programs that control the specification of specific cell types. To understand the regulatory landscape of the developing human cortex, it will be important to characterize GREs in their native context of developing human tissue directly, rather than drawing inferences from mouse and adult human tissues, as growing evidence suggests that human genome sequence evolution is accelerated in putative neurodevelopmental enhancer regions^{40–42} and many enhancers exhibit transient patterns of activity²⁷.

Non-coding variation in neuropsychiatric disease

Mutations in non-coding genomic regions, as well as *de novo* loss of function mutations in chromatin modifiers have been implicated in a wide range of neurodevelopmental and psychiatric disorders, including schizophrenia⁴³ and autism spectrum disorder (ASD)^{44–46}. Cellular-resolution datasets of chromatin state across developmental stages and differentiation states may provide an important link between these mutations and selective vulnerabilities among the diverse cell types of the developing human brain, as was seen with recent studies using single cell transcriptomic data^{46,47}. A recent study was able to use scATAC-seq data from the adult human brain to fine map disease-associated

genomic loci to pinpoint the likely causal variants associated with Parkinson's disease⁴⁸. Similar datasets in the developing human brain may enable similar fine-mapping of neurodevelopmental disease-associated loci, shedding light on the cell type specific vulnerabilities and causal mechanisms by which common genetic variants may contribute the poorly understood heritability of neurodevelopmental diseases.

Cerebral organoids as a model for human cortical development

Due to the scarcity of primary human tissue, studies of human neural development critically require suitable *in vitro* models, such as cerebral organoids, a 3D cell culture system in which cells self-organize and recapitulate many macro-scale phenomena of neurodevelopment⁴⁹. Previous studies emphasized the similarities between cerebral organoid cells and their *in vivo* counterparts using single cell transcriptomics^{50,51} and bulk epigenomics^{26,28,52}. However, others have noted the shortcomings of cerebral organoids, such as elevated signatures of cellular stress, which may compromise their integrity as a model of healthy developmental states⁵³. Importantly, no studies have yet assessed the fidelity of cerebral organoids as a model for human epigenomic signatures with cellular resolution.

At the time this dissertation work was undertaken, there were no publicly available cellular resolution epigenomic datasets from the prenatal human cortex or cerebral organoid models. With this dissertation work, we sought to generate such datasets and use them to characterize the regulatory landscape of human cortical development, with specific

focus on understanding the mechanisms that underlie cell fate specification and arealization.

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CHAPTER 2

**At the time of submission of this dissertation, this article was in press at Nature.*

Single cell epigenomics reveals mechanisms of human cortical development

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SUMMARY

During mammalian development, chromatin state differences coincide with cellular differentiation and reflect changes in the gene regulatory landscape¹. In the developing brain, cell fate specification and topographic identity play important roles in defining cell identity² and confer selective vulnerabilities to neurodevelopmental disorders³. To identify cell type specific chromatin accessibility patterns in the developing human brain, we used a single cell assay for transposase accessibility by sequencing (scATAC-seq) in primary human forebrain tissue samples. We applied unbiased analyses to identify genomic loci that undergo extensive cell type- and brain region-specific changes in accessibility during neurogenesis and an integrative analysis to predict cell type specific candidate regulatory elements. We found that cerebral organoids recapitulate most putative cell type-specific enhancer accessibility patterns but lack many cell type specific open chromatin regions found *in vivo*. Systematic comparison of chromatin accessibility across brain regions revealed an unexpected diversity among neural progenitor cells in the cerebral cortex and implicate retinoic acid signaling in the specification of prefrontal cortex neuronal lineage identity. Together, our results reveal the important contribution of chromatin state to the emerging patterns of cell type diversity and cell fate specification and provide a blueprint for evaluating the fidelity and robustness of cerebral organoids as a model for cortical development.

BACKGROUND

Cell types of the cerebral cortex (Figure 2.1a) have traditionally been classified based on a handful of morphological, anatomical, and physiological features. Recent innovations in single cell transcriptomics (scRNA-seq) have enabled massively parallel profiling of thousands of molecular features in individual cells and uncovered novel distinctions among closely related cell types, such as excitatory neurons located in different areas of the cerebral cortex^{2,4}. Despite these advances, the developmental mechanisms underlying the emergence of distinct neuronal lineages in the human cerebral cortex remain largely unknown⁵.

Chromatin state defines the functional architecture of the genome by modulating the accessibility of gene regulatory elements, such as enhancers, which serve as binding sites for transcriptional regulators. During development, sequential cascades of transcription factors progressively remodel and refine differential patterns of chromatin accessibility across distinct cell types^{6,7}. Identifying the highly dynamic and cell type specific patterns of enhancer activity could provide critical insights into the molecular mechanisms that govern cell fate specification. Although chromatin accessibility represents a fundamental feature of cell identity, relatively few studies have profiled chromatin state changes during brain development^{1,8-11}. Recently, the innovations in single cell genomics have enabled scalable profiling of chromatin state with cellular resolution using scATAC-seq¹². In the developing mouse brain¹³, scATAC-seq has revealed highly dynamic changes in chromatin accessibility underlying neurodevelopmental processes. Extending these studies to human primary tissue will be

needed to better understand how mutations in non-coding regulatory elements, including human-specific neurodevelopmental enhancers, interfere with normal developmental processes and contribute to genetic burden in psychiatric neurodevelopmental disorders^{14,15}.

RESULTS

Chromatin states of the developing brain

To characterize the chromatin state landscape of the developing human brain at single cell resolution, we performed scATAC-seq on primary samples of the human forebrain at mid-gestation (n = 6 individuals), including samples of dorsolateral prefrontal cortex (PFC), primary visual cortex (V1), primary motor cortex (M1), primary somatosensory cortex, dorso-lateral parietal cortex, temporal cortex, insular cortex, and the medial ganglionic eminence (MGE) (Figure 2.1b, Supplementary Table 1).

We generated data from 77,354 cells passing quality control criteria (Methods, Figure S2.1a-c). Aggregated signal from single cell libraries correlated strongly with bulk ATAC-seq libraries generated in parallel (Figure S2.1d), and biological replicates were highly correlated (Figure S2.1e-f). To reduce the dimensionality of the dataset, we performed latent semantic indexing followed by singular value decomposition (Methods). Batch correction was performed using the deep neural network-based scAlign¹⁶ to correct for technical sources of variance, including individual variation and processing method (Figure S2.1g-k, Figure S2.2, Methods). We identified 25 distinct clusters using the Leiden

community detection algorithm (Figure 2.1c, Figure S2.1l-m, Methods). This analysis robustly separated cortical and subcortical cells (MGE) (Figure 2.1d).

To infer the identity of cell clusters, we calculated ‘gene activity scores’, which represents a proxy for gene expression¹³, by summing fragments in the gene body and promoter regions (Methods). We identified the major cell classes, including radial glia (RG), intermediate progenitor cells (IPCs), deep layer (cortical layers V-VI) excitatory neurons (dIENs), upper layer (cortical layers II-IV) excitatory neurons (uIENs), MGE (IN-MGE) and CGE derived cortical interneurons (IN-CGE), insular neurons, progenitors from the MGE, microglia, oligodendrocyte progenitor cells (OPCs), endothelial cells, and mural cells (Figure 2.1e-f, Figure S2.3a). In addition, we used CellWalker¹⁷ to assign cell type labels to scATAC-seq cells based on previously published scRNA-seq data (Methods, Figure S2.3b-c). CellWalker identified cell types at a finer resolution, including subtypes of broader cell classes. For example, radial glia form a single cluster, but multiple radial glia subtypes (‘dividing’, ‘ventricular’, ‘outer’, and ‘truncated’) are identifiable as sub-clusters using CellWalker (Figure S2.3d). Furthermore, we were able to identify differentially accessible peaks between two subclasses, tRGs and oRGs, that are distinguishable based on their expression of *CRYAB* and *HOPX*, respectively², suggesting that scATAC-seq is sensitive enough to distinguish cellular subtypes at high resolution (Figure S2.3e-f, Supplementary Tables 12-13,22).

Identifying cell type specific enhancers

To identify candidate gene regulatory elements, we called peaks on aggregate single cells from each broad cell class (Methods). Overlapping peaks were subsequently merged to a total union set of 459,953 peaks (Supplementary Tables 2-3). Annotation of our peak set in genomic features shows enrichment in intronic and distal intergenic regions and in the flanking regions of transcription start sites, suggesting an enrichment of gene regulatory elements, such as enhancers (Figure S2.4a-b). We intersected our peak set with the imputed 25-state chromatin model from Roadmap Epigenomics¹⁸, finding strong enrichment for promoter and enhancer states and a depletion of transcribed, heterochromatin, and quiescent states (Methods, Figure S2.4c, Supplementary Table 14). Cell type specific differentially accessible peaks were identified for each cell type, resulting in a set of 265,123 peaks, with most cell types having on the order of thousands of specific peaks (Fisher's Exact, FDR<0.05, Figure 1g, Figure S2.4d-e, Supplementary Tables 4,6-7). In addition, we identified peaks that are differentially accessible between the eight brain regions used in this study (Figure S2.3g, Supplementary Table 8) In order to identify putative enhancers in our dataset, we integrated our ATAC-seq peaks with H3K27ac CUT&Tag data generated from similar samples (Methods), Hi-C data generated from developing human cortex¹⁹, and gene expression data², using the Activity-by-contact algorithm²⁰ to predict enhancer-gene interactions (Methods) for all cortical cell types. In total, we predicted 25,659 gene-linked enhancers across the whole dataset (Figure S2.4d,f, Supplementary Table 5). We intersected our peaks with promoter-interacting regions identified using H3K4me3 PLAC-seq on sorted cells from developing human cortex²¹, and found 67,493 peaks and 10,050 predicted enhancers with physical evidence

of promoter interaction (Figure S2.4d, Supplementary Table 15). Genes linked to predicted cell type specific enhancers were enriched for biological processes strongly associated with cell type identity (Methods, Figure S2.4g-h).

To further support our annotations, we intersected our peak set with publicly available datasets generated from human cortical tissue samples^{9,22,23} (Figure S2.5a-c, Supplementary Table 15). We found that scATAC-seq recovered most of the peaks annotated using bulk tissue datasets, and also recovered many putative cell type specific peaks that are not captured in bulk datasets, especially those enriched in rarer cell populations, such as microglia and endothelial cells (Supplementary Table 4). We intersected our predicted enhancers with other enhancer predictions derived from previously published datasets^{11,23,24}. Surprisingly, we did not find strong concordance between predicted enhancers from these studies (Figure S2.5d). Among functionally validated forebrain enhancers²⁵, the vast majority (304/319) overlapped chromatin accessibility peaks, but only 67 overlapped enhancers predicted using activity-by-contact (Figure 2.1h, Figure S2.5e). Together, these analyses suggest that scATAC-seq is a robust method for detecting chromatin accessibility patterns from heterogeneous tissue samples. However, limited overlap of predicted enhancers with previously published studies indicates that a better understanding of the relevant feature set to computationally predicting regulatory potential is urgently needed.

To characterize the regulatory 'grammar' of cell types, we calculated enrichment of known transcription factor binding motifs in cell type specific peak sets (Methods, Figure 2.1g,

Supplementary Table 20). We observed a strong association between transcription factor motif enrichments and cell type annotations from marker gene body enrichments. To examine transcription factor motif enrichments at the single cell level, we used ChromVAR²⁶ (Methods) and found substantial agreement with top motif enrichments for each cluster (Figure 2.4i). Together, these findings ascertain that scATAC-seq identifies chromatin accessibility patterns consistent with known transcription factor expression patterns across cell types and provides a roadmap towards discovery of transcription factor ‘code’ underlying cell lineage and cell fate specification.

Disease risk in the regulatory landscape

Mutations in non-coding genomic regions, as well as *de novo* loss of function mutations in chromatin regulators have been implicated in a wide range of neurodevelopmental and psychiatric disorders, including schizophrenia²⁷ and autism spectrum disorder (ASD)^{3,28,29}. Cellular-resolution datasets of chromatin state across developmental stages and differentiation states may provide an important link between these mutations and selective vulnerabilities among the diverse cell types of the developing human brain, as was seen with recent studies using single cell transcriptomic data^{3,22}. Towards that end, we intersected cell type specific ATAC-seq peaks, and putative enhancers, with disease-linked common and rare non-coding variants (Methods). We first intersected cell type specific peak sets, predicted enhancers, and peaks overlapping promoter-interacting regions²¹ with genomic regions enriched for copy number variants in cases with developmental delay³⁰, identifying enrichment in dIEN, endothelial/mural, and microglia specific peaks, as well as peaks overlapping promoter-interacting regions in interneurons

(Figure 2.1i, Figure S2.6f). Because such regions do not provide specificity with respect to individual regulatory elements or genes, we next tested for enrichment of cell type specific peaks, predicted enhancers, and peaks overlapping promoter-interacting regions in the flanking regions of genes associated with ASD and NDD and identified peak sets significantly enriched and depleted in these regions for most cell types (Figure 2.1j, Figure S2.6a-c). We also intersected our cell type-specific peak sets and predicted enhancers with *de novo* non-coding mutations (DNMs) identified from ASD and neurodevelopmental delay (NDD) cases, however, no peak sets were significantly enriched for the currently annotated DNMs in probands compared to sibling controls (Fig S2.6d-e). In addition, we intersected predicted enhancers with topological associated domains that contain neurodevelopmental disease associated genes^{24,31}, identifying significant colocalization in TADs in several cell types (Figure S2.6g). Finally, we sought to assess the enrichment of common variants associated with neuropsychiatric disease risk in our predicted enhancers for each cell type. To do this we performed a partitioned heritability LD score regression analysis using summary statistics from large-scale genome-wide association studies of schizophrenia^{27,32}, ASD³³, major depressive disorder³⁴, and bipolar disorder³⁵ (Methods). We found that excitatory and inhibitory neuron putative enhancers were enriched (FDR < 0.05) for common variants associated with schizophrenia, confirming previous findings of neuronal involvement²⁷ (Figure 2.1k). Together, our prenatal cell type specific chromatin state data has the potential to identify specific regulatory programs during cortical development that confer the greatest risk for neurodevelopmental disorders, particularly as improved disease associated variant annotations become available.

Dynamic chromatin states of neurogenesis

To better understand how transcriptomic and epigenomic changes may regulate cell fate decisions during neurogenesis, we co-embedded scRNA-seq and scATAC-seq datasets for the relevant cell types generated from the visual cortex (Figure 2.2a-c, Methods). Projections of gene expression and gene activity scores in the co-embedded space reveals clustering of distinct cell types is preserved irrespective of the profiling modality (Figure 2.2d). To identify trajectories of chromatin accessibility underlying excitatory neuron differentiation and maturation, we performed pseudotemporal ordering of cells in the co-embedded space, which recovered the known developmental sequence of cell types underlying excitatory neuron differentiation (Figure 2.2e, Methods). We identified >25,000 peaks with transient accessibility across pseudotime, including >5,000 predicted enhancers, many of which are predicted to interact with genes linked to cell type identity (Figure 2.2f-h, Supplementary Table 9).

Consistent with recent reports^{36,37}, we find that, for genes with variable expression across pseudotime, gene activity scores derived from chromatin accessibility in the *cis*-regulatory region around genes are highly correlated with gene expression (Fig 2.2i, Figure S2.7, Methods). Finally, by calculating transcription factor binding site enrichment across peaks that show dynamic changes in accessibility along pseudotime, we reconstructed the known hierarchy of transcription factors involved in cortical neurogenesis, including sequential enrichment for PAX6, EOMES, and MEF2C binding sites among transiently accessible loci (Figure 2.2j). Together, these results underscore highly dynamic states of chromatin accessibility during human cortical neurogenesis.

Area specific chromatin states

Area-specific cortical excitatory neuron types emerge during early neurogenesis, but only limited transcriptomic differences have been found among progenitors from different regions². Given that changes in the accessibility of regulatory elements often precede gene expression, we examined whether epigenomic signatures could foreshadow the emergence of area-specific excitatory neurons. Specifically, we compared scRNA-seq and scATAC-seq profiles of excitatory lineage cells sampled from the extremes of the rostral-caudal axis, PFC and V1 (Figure 2.3a-b, Figure S2.8a-h). For each modality, we ordered the cells in pseudotime to approximate the differentiation trajectory and identified the 'branch' point along this trajectory at which transcriptomic or chromatin state differences between PFC and V1 lineages become apparent (Figure 2.3c-f, Methods). In contrast to transcriptomic data, which has revealed area-specific clusters of excitatory neurons (Figure 2.3h), chromatin state signatures reveal a striking divergence between PFC and V1 intermediate progenitor populations (Figure 2.3g, Figure S2.8i-j). Transcriptomically, PFC and V1 IPCs differentially expressed only a handful of genes, including *NR2F1* (Supplementary Table 24), while chromatin accessibility analysis identifies over 1,800 differentially accessible peaks between these cell types (Figure S2.8k-l, Supplementary Table 25).

Next, to identify putative regulatory programs that could underlie the divergence of PFC and V1 lineages, we performed transcription factor binding site enrichment analysis on peaks that were differentially accessible between PFC and V1 cells (Fisher's Exact, two-sided, FDR<0.05, Figure 2.3i-k, Figure S2.9a-d, Supplementary Tables 10-11). This

analysis recovered several transcription factors predicted based on transcriptomic studies^{2,38}, including enrichment of POU3F2, MEIS1, TBR1, NEUROD1, NEUROG2, and TBX21 binding motifs among PFC cells. Interestingly, this analysis also identified components of the Retinoic Acid (RA) signaling pathway, including RXR, RAR and TGIF1, among PFC cells, consistent with a recent report that suggested increased levels of RA activity in the PFC during mid-gestation³⁹ (Figure 2.3j-k, Supplementary Table 21).

Retinoic acid in cortical arealization

RA signaling plays an important role in patterning of the neural tissue during mammalian brain development^{39,40}. To test if RA may promote the differentiation of the human PFC lineages, we cultured cortical organoids in the presence, or absence, of Vitamin A, the precursor for RA synthesis and, in parallel, we treated a organoids that were cultured with Vitamin A with 4-diethylamniobenzaldehyde (DEAB), a potent inhibitor of RA synthesis⁴¹ (Methods, Figure 2.3l). At week 10 of differentiation, which corresponds to deep layer neurogenesis, organoids were profiled using scRNA-seq. We found that excitatory forebrain neurons (*FOXP1/NEUROD2* double positive) cultured in the presence of Vitamin A clustered separately from those derived from organoids cultured without Vitamin A or in the presence of DEAB (Fig 2.3m-n, Figure S2.9e). Among the top differentially expressed genes, we found signatures that distinguish PFC and V1 cortical neurons, including *SATB2*, *NR2F1*, and *NR2F2*² (Figure 2.3n, Figure S2.9h-i). We applied a previously developed classifier for annotating PFC and V1 neuronal identities among organoid neurons⁴², and found consistently higher proportion of neurons classified as PFC-like among organoids cultured with Vitamin A compared with those cultured without

Vitamin A or treated with DEAB (Figure S2.9f-g, Chi-square test, one-sided, *p-value < 0.00001). Differentially expressed genes, including co-expression among excitatory neurons of *SATB2/CTIP2* and *AUTS2* in prefrontal cortex, and enriched expression of *NR2F1* in the visual cortex^{2,43-45}, were also confirmed by immunostaining and found to be consistent with a PFC-like identity of organoids cultured in the presence of Vitamin A (Figure 2.3n-o, Figure S2.9j). Together, these findings suggest a role for the retinoic signaling pathway in the specification of the PFC neuronal lineage during human cortical development, and further studies are required to determine how the RA pathway interfaces with other signaling pathways, such as fibroblast growth factor, to promote this neuronal fate⁴⁶.

Benchmarking cerebral organoids

Due to the scarcity of primary human tissue, studies of human neural development critically require suitable *in vitro* models, such as cerebral organoids. Previous studies emphasized the similarities between cerebral organoid cells and their *in vivo* counterparts using single cell transcriptomics^{47,48} and bulk epigenomics^{10,11,49}. We generated scATAC-seq data for 23,555 cells from cortical organoids derived via directed differentiation from three genetically normal individuals^{47,50} at three time points of differentiation (Figure 2.4a-c, Figure S2.10a-e, Extended Data Table 1, Methods). To validate our organoid lines, we also generated scRNA-seq data from organoids derived from the same lines and cultured in parallel and showed that all lines expressed *FOXP1* and markers of major cell types (Figure S2.10j-n). Using gene activity scores, we identified the major cell classes of cell types among scATAC-seq data, including radial glia, IPCs, interneurons, and excitatory

neurons, although individual clusters contained fewer cell type specific peaks than clusters derived from primary cells (Figure 2.4d, Supplementary Table 17).

Next, we quantified chromatin accessibility among organoid cells across peaks defined from primary cells (Methods, Figure 2.4e) and found organoid cells homologous to radial glia, IPCs, interneurons, and excitatory neurons. Across organoid cells, we identified 377,448 peaks (Supplementary Table 16), and intersected them with the primary cell peaks, as well as a down-sampled set to match the cell abundance of organoid dataset (Methods, Figure 2.4f). Of the 459,953 peaks in the full primary dataset, 239,661 were also called in the organoid dataset (Figure 2.4g, Figure S2.10f, Supplementary Table 18). The set of peaks not detected in organoids was strongly enriched for peaks specific to cell types not found in significant numbers in our organoids, including microglia, endothelial cells, astrocytes, and OPCs (Figure S2.10h). After removing these peaks from analysis, the remaining peaks not found in organoids were enriched among V1 excitatory neurons. While cell type specific peaks identified in primary cells maintain cell type specificity in homologous organoid cell types, many of the cell type specific peaks were not detected (Figure 2.4h-i). However, the vast majority (>80%) of predicted enhancers were also identified in organoids, except for microglial enhancers (Fig 2.4i, Figure S2.10h). We also identified 109,960 organoid peaks not found in the primary cell dataset (Figure 2.4f, Figure S2.10g). Transcription factor motif enrichments analysis revealed that these organoid-specific peaks were enriched for HIF1A, HIF1B, and p53, which is consistent with the reported higher levels of cellular stress⁴² (Supplementary Table 23). To further explore the robustness of our findings, we integrated our data with recently

published epigenomic datasets generated from organoids^{11,49}. This integrative analysis revealed that 20,066 out of the 77,573 peaks found in organoids, but not primary tissue, were also detected in other published datasets (Figure S2.10f, Supplementary Table 19), suggesting that our findings can be independently validated.

DISCUSSION

In this study we profiled chromatin state of single cells of the developing human brain and found thousands of transiently accessible loci that track with neuronal differentiation. These states may reveal mechanisms governing the establishment of cell fate during neurogenesis, and intersecting them with comparable datasets from adult human brain may enable the complete reconstruction of the epigenomic neurodevelopmental trajectory⁵¹. Consistent with previous studies⁵², intersection of chromatin state landscape with disease variants implicates post-mitotic, developing cortical excitatory neurons in the etiopathogenesis of neuropsychiatric disorders^{9,22,53}. Future studies are needed to probe how disease-associated variants in these regulatory regions modify cell fate decisions in the developing cortex. By comparing the regulatory landscape of different cortical areas during development, we found distinct sets of transcription factor binding motifs differentially enriched between these two lineages. Our findings extend the well-established role of RA signaling in forebrain development^{39,45} and suggest that RA signaling contributes to the specification of excitatory neurons of the human prefrontal cortex. Dysregulation of RA signaling has been implicated in a range of neurodevelopmental and psychiatric disorders⁵⁴, and therefore our findings may have implications for studies of these disorders.

METHODS

Tissue Source

De-identified tissue samples were collected with previous patient consent in strict observance of the legal and institutional ethical regulations. Protocols were approved by the Human Gamete, Embryo, and Stem Cell Research Committee (institutional review board) at the University of California, San Francisco.

Nuclei isolation from fresh primary tissue

Cortical areas were microdissected from 3 specimens of mid-gestation human cortex, in addition to 3 specimens of non-area-specific mid-gestation human cortex. Tissue was dissociated in Papain containing Deoxyribonuclease I (DNase) for 30 minutes at 37C and samples were triturated to form a single cell suspension. 10^6 Cells were pelleted and lysed for 3 minutes in 100uL chilled Lysis Buffer (10mM Tris-HCl pH7.4, 10mM NaCl, 3mM MgCl₂, 0.1% Tween-20, 0.1% Igepal CA-630, 0.01% Digitonin, 1% BSA). Lysed cells were then washed with 1mL chilled Wash Buffer (10mM Tris-HCl pH7.4, 10mM NaCl, 3mM MgCl₂, 0.1% Tween-20, 1% BSA) and nuclei were pelleted at 500rcf for 5 minutes at 4C.

Nuclei isolation from frozen primary tissue

Tissue sections were snap frozen and stored at -80C. Nuclei were isolated from frozen tissues using the protocol published in Corces MR et al., 2017⁵⁵. Briefly, frozen tissue samples were thawed in 2mL chilled Homogenization Buffer (10mM Tris pH7.8, 5mM CaCl₂, 3mM Mg(Ac)₂, 320 mM Sucrose, 0.1mM EDTA, 0.1% NP40, 167uM β -

mercaptoethanol, 16.7uM PMSF) and lysed in a pre-chilled dounce. Cell lysates were then centrifuged in an Iodixanol gradient for 20 minutes at 3000rcf at 4C in a swinging bucket centrifuge with the brake turned off. The nuclei band was then carefully pipetted and nuclei were diluted in Wash Buffer.

Cortical organoid differentiation protocol

Cortical organoids were cultured using a forebrain directed differentiation protocol^{47,56}. Briefly, 2 genetically normal human induced PSC lines H28126 (Gilad Laboratory, University of Chicago) and 13234 (Conklin Laboratory, Gladstone Institutes), which were previously authenticated⁴⁷, and the embryonic stem cell line H1 (WiCell, authenticated at source), were expanded and dissociated to single cells using accutase. Cells tested negative for mycoplasma. After dissociation, cells were reconstituted in neural induction media at a density of 10,000 cells per well in 96 well v-bottom low adhesion plates. GMEM-based neural induction media includes 20% Knockout Serum Replacer (KSR), 1X non-essential amino acids, 0.11mg/mL Sodium Pyruvate, 1X Penicillin-Streptomycin, 0.1mM Beta Mercaptoethanol, 5uM SB431542 and 3uM IWR1-endo. Media was supplemented with 20uM Rock inhibitor Y-27632 for the first 6 days. After 18 days organoids were transferred from 96 to six well low adhesion plates and moved to an orbital shaker rotating at 90rpm and changed to DMEM/F12-based media containing 1X Glutamax, 1X N2, 1X B27 without Vitamin A and 1X anti-anti. At 35 days, organoids were moved into DMEM/F12-based media containing 1X N2, 1X B27 with Vitamin A and 1x anti-anti. Throughout culture duration organoids were fed every other day.

Nuclei isolation from cerebral organoids

Cerebral organoids were dissociated in Papain containing Deoxyribonuclease I (DNase) for 30 minutes at 37C and samples were triturated to form a single cell suspension. 10^6 Cells were pelleted and lysed for 3 minutes in 100uL chilled Lysis Buffer (10mM Tris-HCl pH7.4, 10mM NaCl, 3mM MgCl₂, 0.1% Tween-20, 0.1% Igepal CA-630, 0.01% Digitonin, 1% BSA). Lysed cells were then washed with 1mL chilled Wash Buffer (10mM Tris-HCl pH7.4, 10mM NaCl, 3mM MgCl₂, 0.1% Tween-20, 1% BSA) and nuclei were pelleted at 500rcf for 5 minutes at 4C.

Cortical Organoid Arealization Experiment

Two genetically normal iPSC lines (1323-4 & H28126) were differentiated into cortical organoids following the above protocol up to day 35. At day 35, organoids from each line were split into 3 different conditions: 1) normal media conditions for day 35 and beyond as described above (with Vitamin A), 2) normal media conditions for day 35 and beyond (with Vitamin A) plus 100uM 4-Diethylaminobenzaldehyde (DEAB), an inhibitor of retinoic acid synthesis, 3) normal media conditions for day 35 and beyond as described above except using B27 *without* Vitamin A. DEAB treatment was ended after one week, and culture conditions remain otherwise the same until day 70, at which time organoids were processed for scRNA-seq and fixed for immunohistochemistry. 13234 organoids were used for scRNA-seq (one for each of the three conditions) and both 13234 and H28126 organoids were used for immunostaining. Organoids processed for scRNA-seq were multiplexed using Multi-seq oligonucleotide barcoding⁵⁷ and pooled for library prep and sequencing to reduce potential batch effects.

Single Cell RNA-seq Library Preparation and Sequencing

Single cell RNA-seq libraries were generated using the 10x Genomics Chromium 3' Gene Expression Kit. Briefly, single cells were loaded onto chromium chips with a capture target of 10,000 cells per sample. Libraries were prepped following the provided protocol and sequenced on an Illumina NovaSeq with a targeted sequencing depth of 50,000 reads per cell. BCL files from sequencing were then used as inputs to the 10X Genomics Cell Ranger pipeline.

Bulk ATAC-seq Library Preparation and Sequencing

Bulk ATAC-seq libraries were generated using the protocol outlined in Corces MR et al., 2017⁵⁵. Briefly, 50,000 nuclei were permeabilized and tagmented. Tagmented chromatin libraries were generated and sequenced on an Illumina NovaSeq with a target sequencing depth of 50 million reads per library. Sequencing data was used as an input to the ENCODE ATAC-seq analysis pipeline (<https://github.com/ENCODE-DCC/atac-seq-pipeline>).

Bulk H3K27ac CUT&Tag Library Preparation and Sequencing

H3K27ac CUT&Tag libraries were prepared as previously described in Kaya-Okur et al., 2019⁵⁸, with modifications to the protocol. Briefly, cells were dissociated from human developing cortical tissue as described above. 50,000 cell aliquots were pelleted at 600xg in a swinging bucket rotor centrifuge and washed twice in 200 μ L CUT&Tag wash buffer (20 mM HEPES pH 7.5; 150 mM NaCl; 0.5 mM Spermidine; 1 \times Protease inhibitor cocktail (Roche)). Nuclei were isolated by resuspending cell pellets in 200 μ L Dig-wash buffer

(CUT&Tag wash buffer supplemented with 0.05% digitonin and 0.05% IGEPAL CA-630). Nuclei pellets were washed twice in 200 μ L Dig-wash buffer before resuspending in 100 μ L Dig-wash buffer supplemented with 2mM EDTA and a 1:50 dilution of H3K27ac primary antibody (Cell Signaling 8173) and incubated over night at 4°C on an overhead rotator. Excess primary antibody was removed by pelleting the nuclei at 600xg and washing twice in 200 μ L Dig-wash buffer. Secondary antibody (Novex A16031) was added at a dilution of 1:50 in 100 μ L Dig-wash buffer and nuclei were incubated at room temperature for 30 minutes rotating. Excess secondary antibody was removed by pelleting the nuclei at 600xg and washing twice in 200 μ L Dig-wash buffer. pA-Tn5 was added at a dilution of 1:100 in 100 μ L of Dig-med buffer (0.05% Digitonin, 20 mM HEPES, pH 7.5, 300 mM NaCl, 0.5 mM Spermidine, 1 \times Protease inhibitor cocktail), and nuclei were incubated at room temperature for 1 hour rotating. Unbound pA-Tn5 was removed by pelleting the nuclei at 300xg and washing twice in 200 μ L Dig-med buffer. Nuclei were resuspended 100 μ L tagmentation buffer (10 mM MgCl₂ in Dig-med Buffer) and incubated for 1 hour at 37°C. After tagmentation, nuclei were lysed with the addition of 100 μ L DNA binding buffer (Zymo Research), and tagmented DNA was purified with a 1.5:1 ratio of AMPure XP beads (Beckman) following the manufacturer's instructions. Purified DNA was eluted in 21 μ L EB and mixed with 2 μ L each 10 μ M indexed i5 and i7 primers and 25 μ L NEBNext HiFi 2 \times PCR Master mix. Libraries were amplified with the cycling conditions: 72 °C for 5 min; 98 °C for 30 s; 12 cycles of 98 °C for 10 s and 63 °C for 30 s; final extension at 72 °C for 1 min and hold at 4 °C. Libraries were purified with a 1:1 ratio of AMPure XP beads and eluted in 15 μ L EB. CUT&Tag libraries were quantified by Agilent Bioanalyzer,

and sequenced paired-end to a depth of 15 million reads on a Illumina NovaSeq 6000 system, with read lengths 50x8x8x50.

Single Cell ATAC-seq Library Preparation and Sequencing

Nuclei were prepared as outlined in the 10X Genomics Chromium single cell ATAC-seq solution protocol (v1.0 kit was used). Nuclei were loaded with a capture target of 10,000 nuclei per sample. scATAC-seq libraries were prepared for sequencing following the 10X Genomics single cell ATAC-seq solution protocol. scATAC-seq libraries were sequenced using PE150 sequencing on an Illumina NovaSeq with a target depth of 25,000 reads per nucleus (Extended Data Table 1).

Single Cell ATAC-seq Analysis Pipeline

Cell Ranger

BCL files generated from sequencing were used as inputs to the 10X Genomics Cell Ranger ATAC pipeline. Briefly, FASTQ files were generated and aligned to GRCh38 using BWA. Fragment files were generated containing all unique properly paired and aligned fragments with MAPQ > 30. Each unique fragment is associated with a single cell barcode.

SnapATAC

Fragment files generated from the Cell Ranger ATAC pipeline were loaded into the SnapATAC⁵⁹ pipeline (<https://github.com/r3fang/SnapATAC>) and Snap files were generated. A cell-by-bin matrix was then generated for each sample by segmenting the

genome into 5-Kb windows and scoring each cell for reads in each window. Cells were filtered based on $\log(\text{reads passed filters})$ between 3-5 and fraction of reads in promoters between 10-60% to obtain cells with high quality libraries. Bins were then filtered, removing bins overlapping ENCODE blacklist regions (<http://mitra.stanford.edu/kundaje/akundaje/release/blacklists/>). This matrix was then binarized and coverage of each bin was calculated and normalized by $\log_{10}(\text{count} + 1)$. Z-scores were calculated from normalized bin coverages and bins with a z-score beyond ± 2 were filtered from further analysis. Cells with coverage of <500 bins were removed from the downstream analysis. A cell-by-cell similarity matrix was generated by calculating the Latent Semantic Index (LSI) of the binarized bin matrix. Singular value decomposition (SVD) was performed on the log TF-IDF matrix. The top 50 reduced dimensions were used for batch correction through scAlign.

scAlign Batch Correction

Multiple batches were integrated using the scAlign package¹⁶ (<https://github.com/quontitative-biology/scAlign>). The ATAC batches were first merged together to calculate the Latent Semantic Index (LSI) with the TF matrix log-scaled for input into SVD. The 50 reduced dimensions of LSI were used as inputs to the encoder. The latent dimension was set at 32 and ran with all-pairs alignment of all batches. The input dimension to the encoder was set to 50 to match the input dimensions and trained to 15,000 iterations using the small architecture setting with batch normalization (BN). The 32 dimensions were used for downstream analysis for finding neighbors. The scRNA-seq were processed using Seurat and computed the top 15 components from CCA for input into

scAlign, and the latent dimension was set to 20 using the small architecture with BN and 15,000 iterations. All alignments were unsupervised.

Clustering and Visualization

In order to visualize the high dimensionality dataset in 2D space, the latent dimensions for the ATAC and RNA data from scAlign were used to construct UMAP (<https://arxiv.org/abs/1802.03426>) graphs from Seurat. A K-nearest neighbor graph was constructed from the latent dimensions from scAlign using k=15. The leiden algorithm was then used to identify 'communities', or clusters, in the sample, representing groups of cells likely to be of the same cell type using resolution 0.8.

Calculating Gene Activity Scores

To create a proxy for gene expression, ATAC-seq fragments in the gene-body plus promoter (2Kb upstream from transcription start sites) of all protein-coding genes were summed for each cell to generate 'Gene Activity Scores'. A matrix was constructed for all gene activity scores by all cells. Due to the sparsity of scATAC-seq data, the MAGIC⁶⁰ imputation method was used, as implemented in the SnapATAC package, to impute gene activity scores based on the K-nearest neighbor graph.

Assigning Cell Type Labels to scATAC-seq Cells

Broad cell type classes were assigned to cells based on the gene activity scores of previously described cell type marker genes² (Figure S2.2a). To identify cell types at a higher resolutions, we assigned cell type labels to using the CellWalker¹⁷ method, as

implemented in CellWalkR (v0.1.7). Briefly, we used CellWalker to integrate scRNA-seq derived labels from Nowakowski et al., 2017² with scATAC-seq data by building a network of label-to-cell and cell-to-cell edges and diffusing label information over this combined network to compensate for data sparsity in single cell data. We calculated cell-to-cell edge weight using the Jaccard similarity between cells. Label-to-cell weight was calculated as the sum of the products of the gene activity scores for that cell and the log fold change in expression level of each marker for that cell label. We tuned label edge weight using cell homogeneity as described in the CellWalker paper¹⁷. Diffusion resulted in a vector of influence scores of each label for each cell. We then smoothed these vectors for each cell by taking a weighted average of its scores with those of each of its ten closest neighbors (weighted such that each neighbor contributes one fifth as much as the cell in question) in UMAP space. Finally, we assigned cell type labels to each cell based on the label with the highest influence.

Peak Calling

Fragments from cells were grouped together by broad cell class (RG, IPC, uIEN, dIEN, EndoMural, AstroOligo, nEN, IN-MGE, IN-CGE, MGE progenitor, Insular, Microglia) and peaks were called on all cluster fragments using MACS2 (<https://github.com/taoliu/MACS>) with the parameters ‘--nomodel --shift -37 --ext 73 --qval 5e-2 -B --SPMR --call-summits’. Peaks from each cell type were then combined, merging overlapping peaks, to form a master peak set and a cell-by-peak matrix was constructed. This matrix was binarized for all downstream applications.

Determination of Differentially Accessible Peaks

Differentially accessible peaks for each cell type were determined by performing a two-sided Fisher's exact test and selecting peaks that had log fold change >0, and FDR-corrected p-value < 0.05, using the built in function in snapATAC 'findDAR'.

Visualizing Cluster Signal in Peaks

The deeptools suite⁶¹ (<https://deeptools.readthedocs.io/en/develop/>) was used to visualize pileups of cluster-specific ATAC-seq signal (output from MACS2) in DA peak sets.

Intersection with 25 Chromatin State Model

To comprehensively categorize our peaks in genomic features genome-wide, we intersected our peak set with the 25-state model from the Roadmap Epigenomics Project¹⁸, specifically using the data generated from sample E081, which was a sample of developing human brain. Enrichment of peaks within annotated regions of the genome was calculated using the ratio between the (#bases in state AND overlap feature)/(#bases in genome) and the [(#bases overlap feature)/(#bases in genome) X (#bases in state)/(#bases in genome)] as described previously¹⁸.

Intersection with Epigenomic Datasets

We intersected our peak sets with several epigenomic datasets including ATAC-seq peaks from de la Torre-Ubieta et al., 2018⁹ (GEO: GSE95023), ATAC-seq peaks from Markenscoff-Papadimitriou et al., 2020²³ (GEO: GSE149268), H3K4me3 PLAC-seq

promoter-interacting regions, generated from ENs, INs, IPCs, and RGs sorted from samples of developing human cortex⁶², that were graciously provided by the author, H3K27ac peaks from Amiri et al., 2018¹¹ (taken from supplementary tables of publication), ATAC-seq peaks from Trevino et al., 2020⁴⁹ (GEO: GSE132403), H3K27ac peaks from Li et al., 2018²² (obtained from <http://development.psychencode.org>), and high confidence enhancer predictions from Wang et al., 2018²⁴ (obtained from <http://resource.psychencode.org/>). Any peaks not already mapped to hg38 were lifted over using the UCSC LiftOver tool. Overlaps between peak sets were determined using the 'findOverlaps' function in R.

Transcription Factor Motif Enrichment Analysis

The findMotifsGenome.pl tool from the HOMER suite⁶³ (<http://homer.ucsd.edu/homer/>) was used to identify TF motif enrichments in peak sets. The ChromVAR²⁶ R package was used to identify TF motif enrichments at the single cell level in scATAC-seq data. Briefly, the peak-by-cell matrix from the snap object was used as an input, filtering for peaks open in at least 10 cells. Biased-corrected TF motif deviations were calculated for the set of 1,764 human TF motifs for each cell.

Enhancer-Gene Predicted Interactions

The Activity-by-Contact (ABC) model²⁰ (<https://github.com/broadinstitute/ABC-Enhancer-Gene-Prediction>) was used for prediction of enhancer-gene interactions from scATAC-seq data. Cell type specific ATAC-seq signal and peak outputs from MACS2 were used as inputs. Bulk H3K27ac CUT&Tag libraries generated from similar samples (see 'Bulk

H3K27ac CUT&Tag Library Preparation and Sequencing' section above) were used as a mark for active enhancers. Publicly available Hi-C data generated from similar samples¹⁹ was used to demarcate regulatory neighborhoods, using the highest resolution available for each chromosome. Cell type specific gene expression profiles were generated from publicly available scRNA-seq data generated from similar samples² by averaging expression across each cell type. The default threshold of 0.02 was used for calling enhancer-gene interactions.

VISTA Enhancer Intersections

VISTA Enhancers were taken from the VISTA Enhancer Browser²⁵ (<https://enhancer.lbl.gov/>) and filtered for human sequences found to be active in the forebrain. Enhancers were lifted over to Hg38 using the UCSC LiftOver tool (<https://genome.ucsc.edu/cgi-bin/hgLiftOver>) and overlapping regions were merged, resulting in 319 unique regions. These regions were intersected with the peak set from all primary scATAC-seq cells and 304 peaks overlapping VISTA forebrain enhancer regions were identified.

Genomic Feature Annotations

The `ChIPSeeker` R package⁶⁴ (<https://bioconductor.org/packages/release/bioc/html/ChIPseeker.html>) was used to annotate all peak sets in genomic features.

Gene Ontology

Identification of enriched biological processes in the genes nearby sets of cell type specific enhancer predictions was performed using the GREAT algorithm⁶⁵. For each cell type, peaks that were both predicted enhancers and cell type specific were identified and enrichment of biological processes in the flanking genes of the regions relative to a background set of the full primary peak set was identified.

Calculating sample correlations

Correlation between samples was determined using the 'multiBamSummary' function from the deeptools python suite⁶¹ on sample bam files. Bam file comparison was limited to the genomic space of the merged primary peak set (n=459,953 peaks), ignoring duplicates and unmapped reads. Heatmaps were then generated using the 'plotCorrelation' function.

scRNA-seq/scATAC-seq Coembedding

To anchor mRNA expression and chromatin state profiles in the same map of cell diversity, we applied scAlign on datasets where we profiled scRNA-seq and scATAC-seq in parallel in the same sample. This was achieved by linking gene expression data to gene activity scores derived from chromatin accessibility data. The gene activity scores were logRPM values derived from gene activity scores generated by the SnapATAC pipeline. Then the gene expression and gene activity scores were processed using Seurat, and then split into batches for input into scAlign. The encoder space was

computed using multi CCA of the 10 dimensions with latent dimensions at 20 using the 'small' architecture.

Pseudotime Analysis

The Monocle 3 R package⁶⁶ (<https://cole-trapnell-lab.github.io/monocle3/>) was used for pseudotime calculation of the co-embedded RNA and ATAC dataset. The radial glia cells were set as the root cells. The minimum branch length was 9 in the graph building. Monocle 3 was also used for the pseudotime calculation of the scRNA-seq PFC/V1 dataset. The Cicero package⁶⁷ (<https://cole-trapnell-lab.github.io/cicero-release/>) was used for the pseudotime calculation of the scATAC-seq PFC/V1 dataset.

Identification of Temporally Dynamic Peaks in the Excitatory Neuronal Lineage

scATAC-seq cells from V1 samples used in the co-embedding analysis were divided into ten equal bins by pseudotime. Average accessibility for each peak for each bin was determined. Peaks were considered temporally dynamic if they met the following criteria: accessible in a minimum of 10% of cells in the bin with the highest accessibility, accessible in a maximum of 20% of cells in the bin with the lowest accessibility, at least a difference of 10% in proportion of cells where the peak was accessible between the lowest and highest accessibility bins, and had an increase in proportion of accessibility in cells of at least 3x between the lowest and highest accessibility bins. In total 25,415/459,953 peaks met these criteria and were deemed to be temporally dynamic in the cortical excitatory neuronal lineage.

Comparison of Accessibility, Gene Expression, and TF Motif Enrichment Across Pseudotime

Since pseudotime was calculated on the co-embedded space of ATAC and RNA cells, we can directly compare temporal changes in gene expression, gene activity scores calculated from open chromatin, and transcription factor motif enrichment. For each of the genes, we calculated gene activity scores using Cicero⁶⁷ and calculated a 1,000 cell moving average across pseudotime from the ATAC cells. This value was normalized to represent a proportion of the maximum value. For gene expression, we calculated a 1,000 cell moving average across pseudotime from the RNA cells. This value was normalized to represent a proportion of the maximum value. For TF motif enrichment, using Z-scores from ChromVAR, we calculated a 1,000 cell moving average of the motif enrichment across pseudotime from the ATAC cells. LOESS regression lines were fit to the moving average data. For the generation of heatmaps, a similar approach was used, except values were averaged within 20 equally sized bins of pseudotime and normalized the maximum value.

Branchpoint Analysis

URD⁶⁸ (<https://github.com/farrellja/URD/>) was used to compare the branchpoint of ATAC and RNA independently. Deep-layer neurons weren't considered during this analysis due to obfuscating identities, and the batch corrected values were used as input to the diffusion map calculations to combat batch effects. Diffusion parameters were set to 150 nearest neighbors, and sigma was auto calculated from the data. The tree was

constructed using 200 cells per pseudotime bin, 6 bins per pseudotime window, and branch point p-value threshold of 0.001.

Identification of Homologous Cell Types in Primary and Organoid Samples

In order to identify homologous cell types between primary and organoid scATAC-seq datasets, reads from organoid cells were counted in peaks defined in the primary dataset, providing matching peak by cell matrices for primary and organoid datasets. DA peaks were then identified in each dataset for each cluster as described above, and the intersection of this DA peak set was used to calculate correlation between primary and organoid clusters after averaging peaks accessibility across all cells in each cluster. Homologous cell types were then determined based on the highest correlation values for each cluster.

Single Cell RNA-seq Analysis

Seurat

For primary samples used in Figs. 2-3, preprocessing of scRNA-seq data was conducted using a minimum of 500 genes and 5% mitochondrial cutoff was used and Scrublet⁶⁹ for doublet removal. The SCTransform⁷⁰ workflow in Seurat⁷¹ were run separately on each batch. canonical component analysis (CCA) on the Pearson residuals from SCTransform was used as input into scAlign for batch correction. Dimensionality reduction and clustering were performed using PCA and leiden, respectively, using the default parameters of the Seurat pipeline. For organoid samples used in the arealization experiment in Figure 3, libraries from different conditions were demultiplexed using the

Multi-seq pipeline (<https://github.com/chris-mcginnis-ucsf/MULTI-seq>). The normal SCTransform workflow was then applied, as described above. Differentially expressed genes between conditions were identified using the 'FindMarkers' function with 'MAST' selected as the method. For organoid samples used for validation, scRNA-seq data were integrated following the Seurat SCTransform integration workflow using default parameters.

Classification of Area Identity of Organoid Cells

In order to systematically determine whether organoid cells had a transcriptomic identity more closely aligned with human PFC or V1 cells, we implemented a classifier method described in Bhaduri et al., 2020⁴². Briefly, area gene modules defined based on area-associated gene expression patterns^{2,42} were generated and module eigengene values were determined for each organoid excitatory neuron using the 'moduleEigengenes' function from the WGCNA R package⁷². Organoids cells were then assigned an identity of 'PFC' or 'V1' based on the higher module eigengene value for each module. Significance of differences in proportions of identity labels between treatments were determined using a two-sided Chi-square test ($p < 0.05$).

Disease Intersection

De novo mutation (DNM) enrichment

Peak sets were intersected DNMs from 2,708 probands and 1,876 siblings using bedtools v2.24.0. DNMs were identified by an in-house pipeline. Briefly, variants from whole-genome sequencing data were called using four independent callers: GATK v3.8,

FreeBayes, Strelka, and Platypus. Variant calls from each caller were intersected, and filtered for read depth (> 9), allele balance (> 0.25), absence of reads supporting the mutation in parents, and identified by at least three of the four callers.

Sets of cell type specific peaks and peaks overlap PLAC-seq promoter-interacting regions were tested for an enrichment of DNMs in probands as compared to a background peak set which contained all primary peaks. We used a Fisher's exact test to compare the number of peaks with one or more DNMs between the cell type-specific peak set and the background peak set. We also performed a Wilcox rank sum test comparing the number of DNMs per peak in the cell type-specific set to the background peak set. We applied a Bonferroni multiple test correction to all p-values.

ASD/NDD gene set enrichment

We created gene plus upstream regulatory regions using bedtools v2.24.0, where we defined the upstream regulatory region as the 100Kb region upstream of the gene transcription start sites. Gene regions were defined using Gencode V27. The total number of peaks in each gene plus upstream regulatory region was quantified per gene for each cell type and compared to the number of peaks in the merged peak set for each gene set using a Fisher's exact test. The peaks in the remaining gene plus promoter regions were used as background. Gene sets from Coe et al., 2014³⁰ (COE253), Kaplanis et al., 2020⁷³ (DDD299) and SFARI gene (SFARI854) were used for enrichment testing. P-values were Bonferroni corrected for multiple tests (# of peak sets).

Morbidity map CNV enrichment

CNVs enriched in NDD cases from Coe et al., 2014 (n=70) were intersected with peak sets using bedtools 2.24.0; peaks were required to have a 50% overlap with the CNV region. The total number of peaks overlapping a CNV were compared to the number of peaks that did not overlap with a CNV for each cell type. The full primary peak set was used as background and compared by Fisher's exact test. P-values were Bonferroni corrected for multiple tests (# of peak sets).

Cell type-specific GWAS enrichment testing

We retrieved GWAS summary statistics for schizophrenia (Ripke et al., 2014²⁷), bipolar disorder (Stahl et al., 2019³⁵), and autism (Grove et al., 2019³³) from the Psychiatric Genomics Consortium data portal (<https://www.med.unc.edu/pgc>). We also obtained GWAS summary statistics for schizophrenia (Pardiñas et al., 2018³²) from <http://walters.psychm.cf.ac.uk/>. GWAS summary statistics for major depression (Howard et al., 2019³⁴) were obtained from the authors under the auspices of a Data Use Agreement between 23AndMe and the University of Maryland Baltimore. We applied stratified LD score regression (LDSC version 1.0.1; Finucane et al., 2018⁷⁴; Finucane et al., 2015⁷⁵) to these summary statistics to evaluate the enrichment of trait heritability in each of 10 predicted enhancer sets. These associations were adjusted for the union of the peak sets as well as for 52 annotations from version 1.2 of the LDSC baseline model (including genic regions, enhancer regions and conserved regions; Finucane et al., 2015). Associations that met a cutoff of FDR < 0.05 were considered significant.

TAD Enrichment

Odds ratios were calculated as the likelihood of a TAD containing an ATAC peak if it also contained a gene from the set denoted by the subplot title, with significance identified using a Fisher's exact test. The magenta dotted line indicates a significance threshold of $p < 0.05$. Gene sets were obtained from <http://resource.psychencode.org/>^{24,31}. TAD sets were from human brain, germinal zone (GZ) and cortical plate (CP) from Won et al., 2016¹⁹.

Immunohistochemistry

Samples used for immunostaining were fixed in 4% PFA for 45 min, washed out with PBS, and incubated overnight in a 30% sucrose solution at 4c. Samples were then embedded in a 1:1 solution of OCT and 30% sucrose and frozen at -80c until ready for sectioning. Cryosections were prepared at a thickness of 16uM. Heat induced antigen retrieval was performed in 10mM sodium citrate (pH=6.0) for 15 min. Permeabilization was performed in PBS (pH=7.4) supplemented with 2% Triton X-100. Primary and secondary antibodies were diluted and incubated in PBS (pH=7.4) supplemented with 10% donkey serum, 2% Triton X-100, and 0.2% gelatin. Primary antibodies used in this study included: mouse anti-AUTS2 (1:200, Abcam ab243036), rabbit anti-NR2F1 (1:100, Novus Biologicals NBP1-31259), mouse anti-SATB2 (1:250, Santa Cruz Biotechnology SC-81376), rat anti-CTIP2 (1:500, abcam AB18465), rabbit anti-FOXG1 (1:500, Abcam ab196868), and rabbit anti-PAX6 (1:200, Biolegend 901301). Secondary antibodies used were AlexaFluor secondary antibodies. Images were collected using Leica SP5 confocal system and processed using ImageJ/Fiji.

AUTHOR CONTRIBUTIONS

R.S.Z. and T.J.N. designed the experiments. R.S.Z. and J.M.R. performed all experiments. D.B. generated CUT&TAG data. D.S. assisted in organoid differentiation. R.S.Z. and C.N.K. performed the majority of data analysis. P.F.P., K.C.K., and K.S.P. contributed to study design and performed data analysis. A.W., T.N.T., A.K., A.M.C., S.A.A., and E.E.E. performed the disease intersection analyses. R.S.Z., C.N.K., N.A, and T.J.N. wrote the paper with input from all authors. M.H. built the cell browser interface. T.J.N. and N.A. supervised the project.

COMPETING INTERESTS

We declare no competing financial interests related to this article.

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DATA AVAILABILITY

scATAC-seq and scRNA-seq data derived from primary human samples are available on the NeMO archive (<https://assets.nemoarchive.org/dat-gnot1gb>) and the psychENCODE Knowledge Portal (<https://www.synapse.org/#!/Synapse:syn21392931>). scATAC-seq and scRNA-seq data from derived from cortical organoids are also available on the psychENCODE Knowledge Portal (<https://www.synapse.org/#!/Synapse:syn21392931>) and GEO (GSE163018). Peak level scATACseq primary and organoid ATAC-seq data is available through the UCSC Cell Browser (<https://cortex-atac.cells.ucsc.edu/>) and UCSC Genome Browser (https://urldefense.proofpoint.com/v2/url?u=https-3A_genome.ucsc.edu_s_Max_cortex-2Data&d=DwIBaQ&c=iORugZIs2LIYyCAZRB3XLg&r=wIGwA13tJ0H_yBH_8fGR_aHDv_Lb9BdBvaGRmKuMfC8&m=C-AKivMuKdU2JxBfFMikS53e2NDAh9SJrG2tdmW5_MU&s=Sg2BoS6TTUoAMLyXiaM6hGHhNtG9LqaUBpXoPQxWBUQ&e=)).

CODE AVAILABILITY

Custom codes used in this study are available at the following GitHub repository:

<https://github.com/NOW-Lab/scATACcortex>.

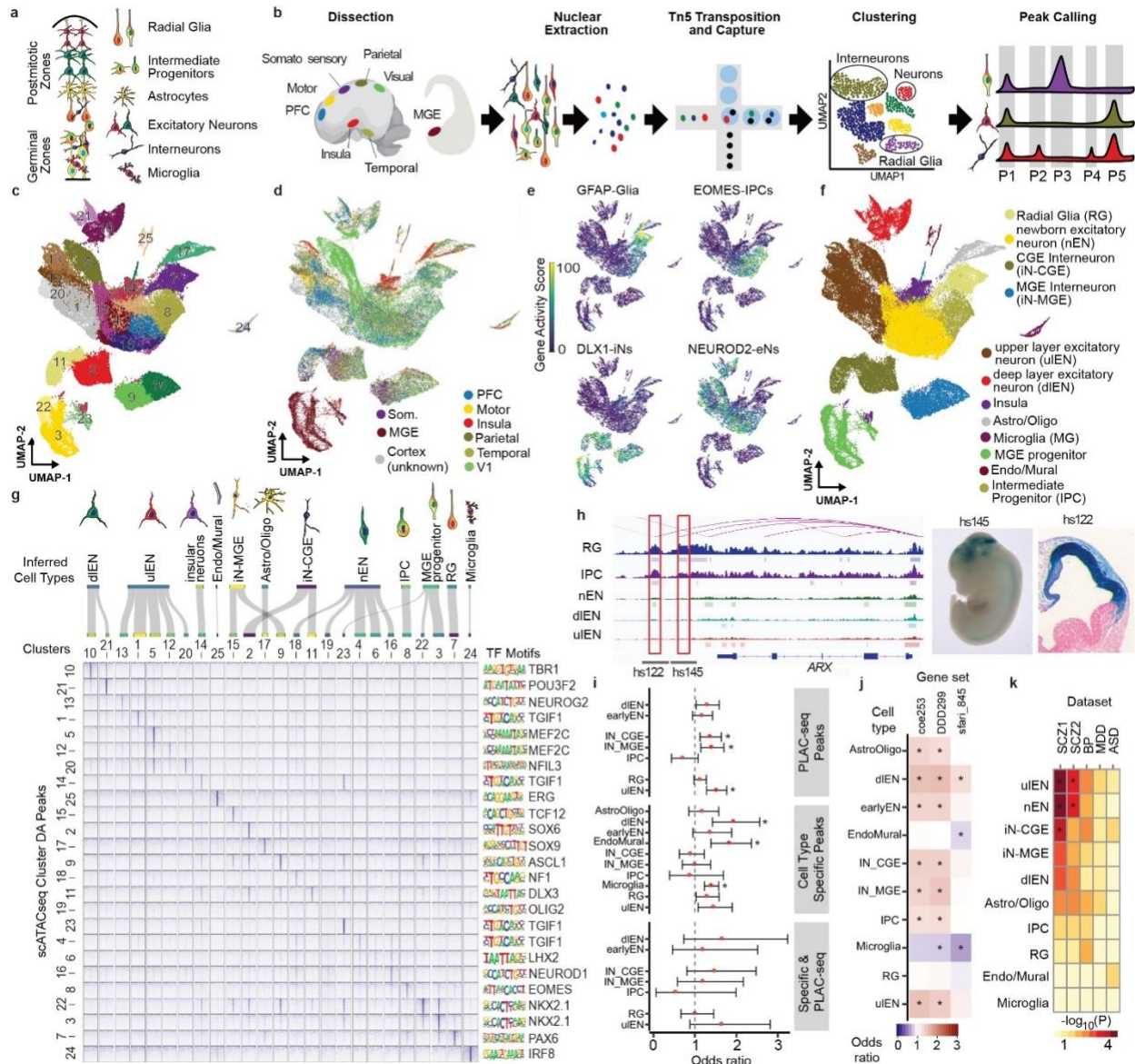


Figure 2.1: Single cell chromatin state atlas of the developing human brain. **a)** Schematic cross-section of developing cortex highlighting major cell types. **b)** Schematic depicting experimental workflow. PFC – prefrontal cortex, MGE – medial ganglionic eminence. **c)** UMAP projection of primary scATAC-seq cells (n = 6 individuals, 77,354 cells) colored by clusters. **d)** UMAP projection of primary scATAC-seq cells colored by brain region. Som. – somatosensory cortex, V1 – primary visual cortex. **e)** UMAP projections of gene activity scores for *GFAP* marking glia, *EOMES* marking intermediate progenitors, *DLX1* marking cells in the interneuron lineage, and *NEUROD2* marking cells in the excitatory neuron lineage. **f)** UMAP project of primary scATAC-seq cells colored by broad cell type. **g)** Top, sankey plot linking scATAC-seq clusters and cell type predictions. Bottom left, Pileups of ATAC-seq signal for each cluster within sets of the top 1000 enriched peaks for each cluster (Fisher’s Exact, two-sided). Pileups are centered on peak centers and the +/-10Kb flanking region is depicted. Bottom right, significantly enriched TF motifs for each cluster specific peak set (Hypergeometric test, one-sided). **h)** Left,

predicted enhancer-gene interactions for radial glia highlighting predicted enhancers of ARX that overlap with validated VISTA forebrain enhancers²⁵. Right, LacZ staining marking regions of enhancer activity for the enhancer candidates hs122 and hs145 in mouse embryos, depicting activity in the forebrain (Images taken from VISTA Enhancer Browser, <https://enhancer.lbl.gov/>) **i**) Enrichment and depletion of peaks that overlap with promoter interacting regions²¹, cell type-specific peaks, and peaks that meet both criteria in copy number variant (CNV) regions enriched in pediatric cases of neurodevelopmental delay (NDD)³⁰ (n=70 NDD-associated CNVs) (Fisher's Exact, two-sided). Asterisks indicate Bonferroni corrected significance. Error bars represent 95% confidence interval. **j**) Enrichment and depletion of peaks that overlap with predicted enhancers in promoter and gene regions of genes associated for autism and NDD including genes enriched in *de novo* non-coding mutations (SFARI845, DDD299⁷³, COE253³⁰) (Fisher's Exact, two-sided). Asterisks indicate tests that pass Bonferroni significance. **k**) Heatmap of heritability enrichment based on LD score regression analysis of GWAS summary statistics in cell type specific peak sets colored by $-\log_{10}(P)$. Asterisks indicate significance at FDR < 0.05. From left to right, Psychiatric Genomics Consortium (PGC) schizophrenia (SCZ) GWAS²⁷, an additional PGC schizophrenia GWAS³², PGC bipolar (BIP) disorder³⁵, PGC major depressive disorder (MDD) GWAS³⁴, PGC autism spectrum disorder (ASD) GWAS³³.

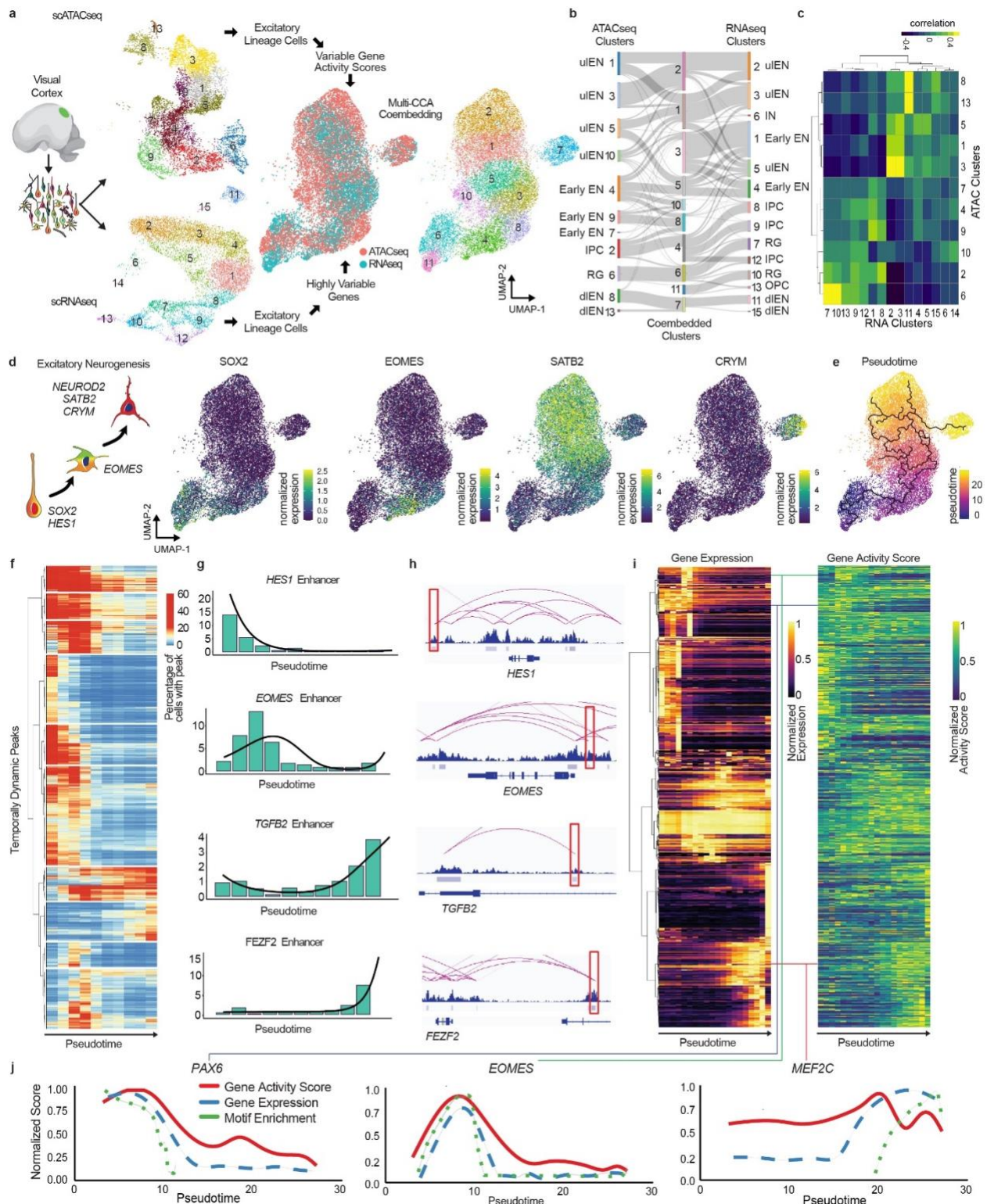


Figure 2.2: Dynamic changes in chromatin accessibility during human cortical neurogenesis. **a)** Schematic depicting workflow for co-embedding scATAC-seq and scRNA-seq data from the same samples. Left, schematic depicting experimental workflow. Top middle-left, UMAP projection of scATAC-seq cells from samples of visual cortex ($n = 3$ individuals) colored by leiden clusters. Bottom middle-left, UMAP projection

of scRNA-seq cell from samples of visual cortex ($n = 2$ individuals) colored by leiden clusters. Middle-right, UMAP projection of co-embedded cells colored by assay. Right, UMAP projection of co-embedded scATAC-seq & scRNA-seq cells colored by leiden clusters. **b)** Sankey plot depicting the mappings between scATAC-seq clusters, scRNA-seq clusters, and co-embedded clusters. **c)** Heatmap of correlations between scATAC-seq and scRNA-seq clusters based on a set of cell type marker genes. (Methods) **d)** Left, schematic depicting cell type marker genes in the cortical excitatory neuronal lineage. Right, projection of log normalized gene expression and gene activity scores in co-embedded space for *SOX2* (RGs), *EOMES* (IPCs), *SATB2* (Upper-layer ENs), and *CRYM* (Deep-layer ENs). **e)** UMAP projection of co-embedded cells colored by pseudotime with principal graph overlaid. **f)** Heatmap depicting the average proportion of cells with peaks that are differentially accessible across pseudotime ($n=25,415$). Cells are binned by pseudotime into 10 equally sized bins. **g)** Barplots of peak accessibility for 4 individual peaks across 10 pseudotime bins with regression line overlaid. **h)** Predicted enhancer-gene interactions for each of the four peaks, peaks highlighted in red. **i)** Heatmap depicting gene expression (left) and gene activity scores derived from open chromatin (right) for 615 cell type marker genes. Values are averaged within 20 equally sized bins of pseudotime. **j)** Comparison of moving averages of normalized gene activity scores (red), gene expression (blue), and motif enrichment (green) across pseudotime for *PAX6* (left), *EOMES* (middle), and *MEF2C* (right).

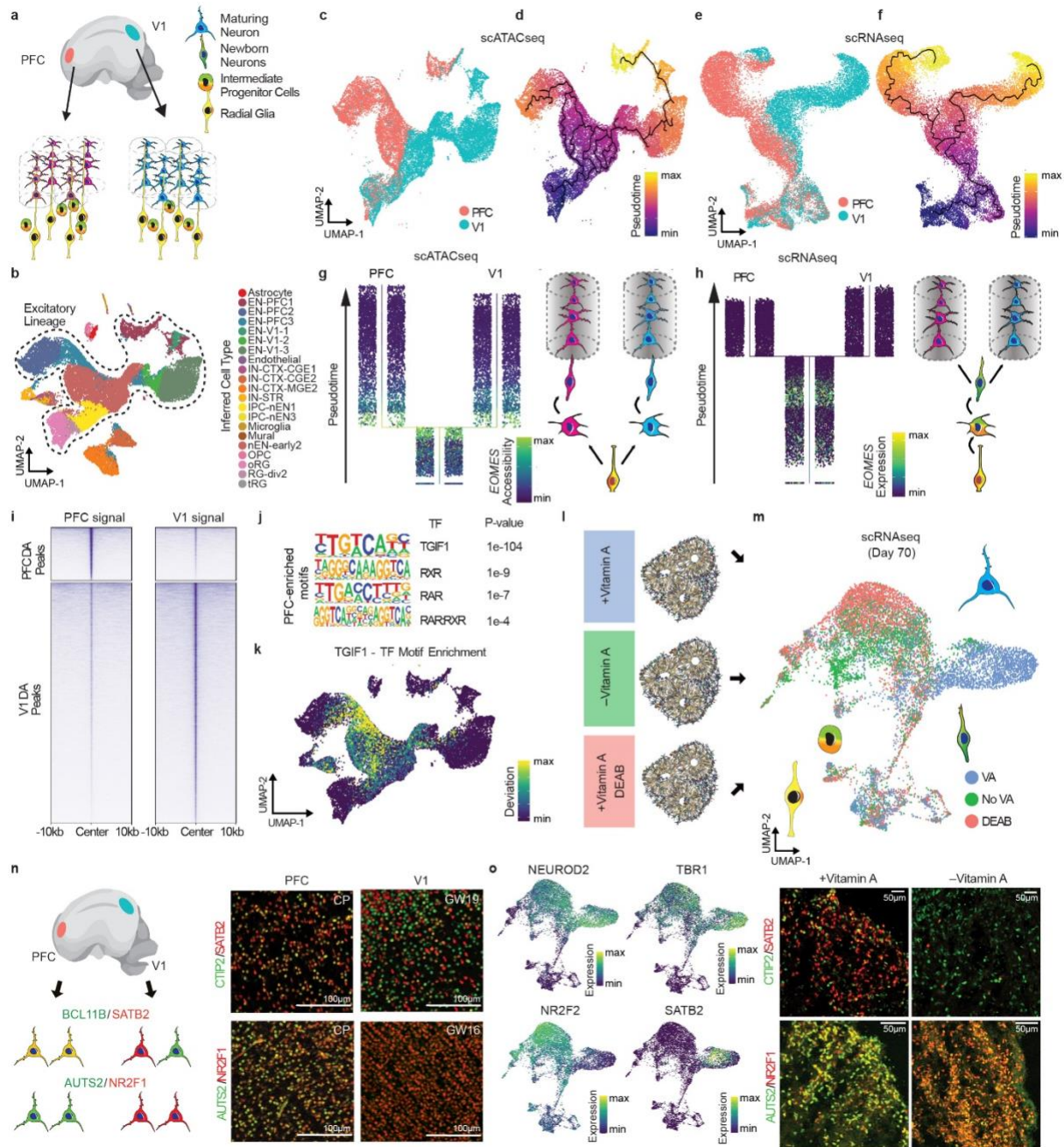


Figure 2.3: Areal differences in chromatin state of progenitor cells foreshadow the emergence of area-specific excitatory neuron types. **a**) Schematic depicting differentiation trajectories for excitatory neurons from the PFC (left) and V1 (right). **b**) UMAP projection of PFC & V1 scATAC-seq cells (n = 3 individuals) colored by cell type predictions. Cells from the excitatory lineage are outlined. **c**) UMAP projection of PFC & V1 scATAC-seq excitatory lineage cells colored by area of origin. **d**) UMAP projection of PFC & V1 scATAC-seq excitatory lineage cells colored by pseudotime value. **e**) UMAP projection of PFC & V1 scRNA-seq excitatory lineage cells (n = 2 individuals) colored by area of origin. **f**) UMAP projection of PFC & V1 scRNA-seq excitatory lineage cells colored

by pseudotime value. **g)** Left, PFC & V1 scATAC-seq excitatory lineage cells ordered from bottom to top by pseudotime value with PFC/V1 divergence branch point displayed (Methods). Cells colored by gene activity score of *EOMES*, highlighting IPCs. Right, schematic illustrating the excitatory neuron differentiation trajectory based on chromatin accessibility, in which PFC/V1 divergence becomes apparent at the level of IPCs. **h)** Left, Projection of PFC & V1 scRNA-seq excitatory lineage cells ordered from bottom to top by pseudotime value with PFC/V1 divergence branch point displayed (Methods). Cells colored by expression of *EOMES*, highlighting IPCs. Right, schematic illustrating the excitatory neuron differentiation trajectory based on gene expression, in which PFC/V1 divergence is not apparent in IPCs. **i)** Pileups of PFC and V1 signal in PFC and V1 specific peak sets. Pileups are centered on peaks showing +/-10Kb flanking regions. **j)** TF motif enrichments of retinoic acid-related TFs in set of 4,176 PFC specific peaks (Fisher's Exact, two-sided, FDR<0.05). **k)** UMAP projection of deviation scores of motif enrichment for TGIF1. **l)** Schematic of experimental design to test role of RA in organoid area identity. **m)** UMAP projection of scRNA-seq data from day 70 organoids (n=11,415 cells). Cells colored by treatment. **n)** Left, schematic of expected expression patterns of *BCL11B*, *SATB2*, *AUTS2*, and *NR2F1* in primary human cortex. Right, images of primary developing human cortex from the PFC (left) and V1 (right) stained for CTIP2/SATB2 (top) and AUTS2/NR2F1 (bottom). Representative images shown from n=2 specimens. **o)** Left, UMAP projection of cells colored by expression of *NEUROD2*, *TBR1*, *SATB2*, *NR2F2* (clockwise, from top left). Right, images of organoids cultured without Vitamin A (left) and with Vitamin A (right) stained for CTIP2/SATB2 (top) and AUTS2/NR2F1 (bottom). Representative images shown from n=3 lines.

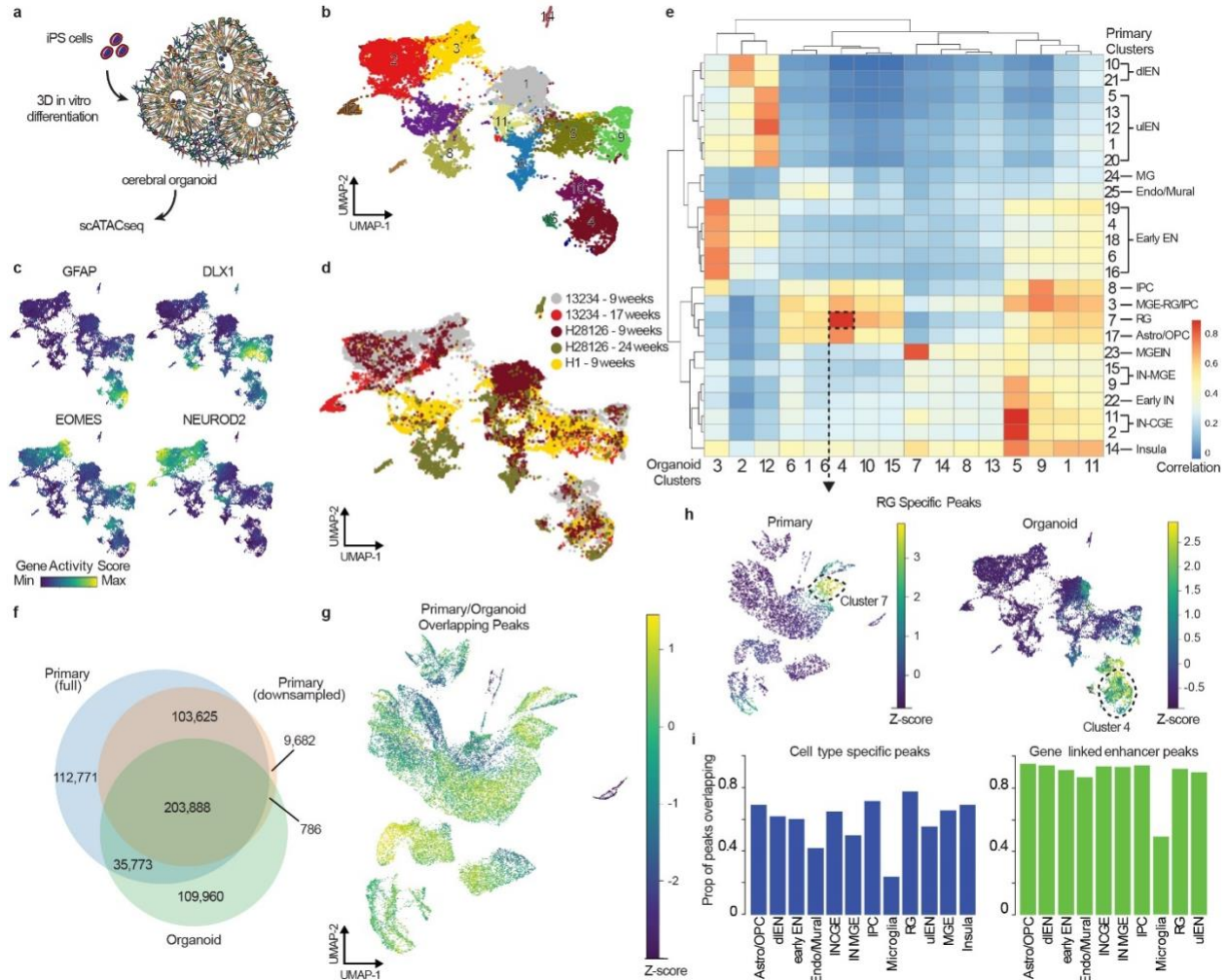


Figure 2.4: Cell type-specific differences in chromatin accessibility between cerebral organoids and the developing human brain. **a)** Schematic depicting experimental workflow. PSCs – pluripotent stem cells. **b)** UMAP projection of all organoid scATAC-seq cells (n = 5 organoids from 3 different lines and 3 different time points, 23,555 cells) colored by leiden clusters. (Cluster 16 not depicted, see Extended Data Figure 10e) **c)** UMAP projections of gene activity scores for *GFAP* marking radial glia, *EOMES* marking intermediate progenitors, *DLX1* marking interneurons, and *NEUROD2* marking excitatory neurons. **d)** UMAP projection of all organoid scATAC-seq cells colored by sample. **e)** Heatmap of Pearson correlations between primary and organoid scATAC-seq clusters based on a common peak set. **f)** Venn diagram of overlap between the full primary peak set (red), a down-sampled primary peak set (blue), and the organoid peak set. **g)** UMAP project of enrichment Z-score of peaks that overlap between primary and organoid datasets on the primary scATAC-seq dataset **h)** Left, UMAP projection of enrichment Z-scores of radial glia specific peaks (Fisher's Exact, two-sided, FDR < 0.05) in all primary scATAC-seq cells. Right, UMAP projection of Z-scores of enrichment of the same radial glia specific peaks in all organoid scATAC-seq cells. **i)** Left, proportion of cell type specific primary peaks present in the organoid peak set. Right, proportion of gene-linked enhancers for each cell type present in the organoid peak set.

Figure S2.1: Batch correction and quality control metrics for primary scATAC-seq data. **a)** Density curve of fragment size distribution for deduplicated, uniquely mapped fragments passed quality filters for each sample. **b)** Plot of promoter ratio vs $\ln(\text{read depth})$ for all cell barcodes detected. Cells were included in downstream analysis that had a promoter ratio between 10-60% and $\ln(\text{read depth})$ between 3-5. Red lines indicate upper and lower thresholds. **c)** Histogram of cellular coverage in 5kb genomic bins for all cells. Cells with reads in <500 bins were removed from downstream analysis **d)** Heatmap of all-by-all pearson correlations between all samples that had bulk libraries prepared in parallel. Bulk and aggregate single cell libraries for each sample are included. Correlation was calculated in the space of the merged primary peak set ($n=459953$ peaks). **e)** Heatmap of all-by-all pearson correlations between all aggregate single cell libraries. Correlation was calculated in the space of the merged primary peak set ($n=459953$ peaks). **f)** Heatmap of all-by-all correlations between primary single cell data aggregated by area of origin. Correlation was calculated in the space of the merged primary peak set ($n=459953$ peaks). **g)** UMAP projection of all primary scATAC-seq cells before batch correction colored by sample. **h)** UMAP projection of all primary scATAC-seq cells after batch correction colored by sample (Methods). **i)** UMAP projection of $\log(\text{read depth})$ for deduplicated, uniquely mapped reads passed quality filters on all primary scATAC-seq cells **j)** UMAP project of fraction of fragments in peaks on all primary scATAC-seq cells. **k)** UMAP projection of all primary scATAC-seq cells colored by condition (fresh/frozen). **l)** Barplot depicting the proportion of cells from each brain region for each leiden cluster for all primary scATAC-seq cells. **m)** Heatmap of all-by-all pearson correlations between clusters. Correlation is calculated in the space of all primary peaks ($n = 459953$).

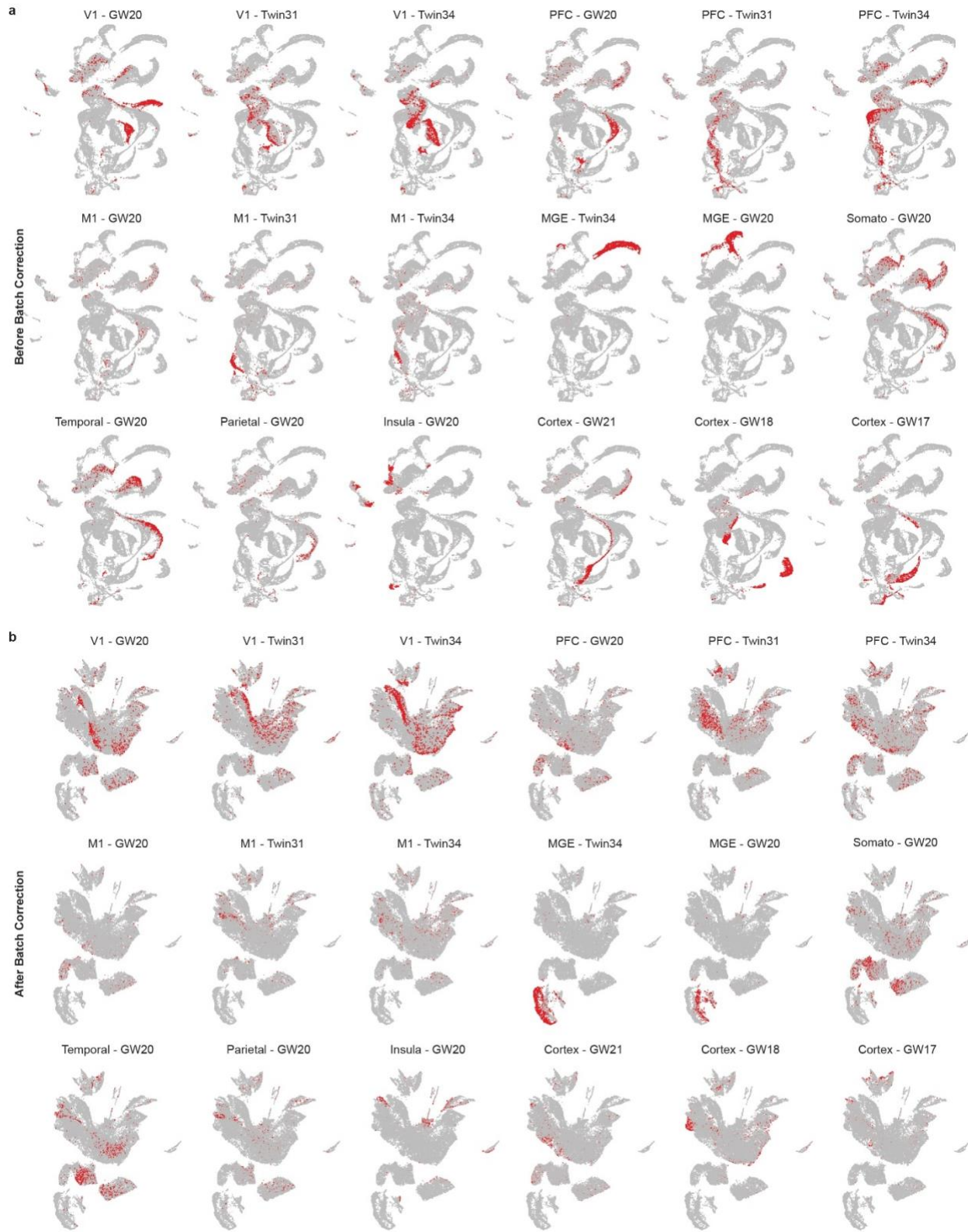


Figure S2.2. Batch Correction of Primary scATAC-seq Samples. **a)** UMAP projection of all primary scATAC-seq cells passed QC before batch correction with all cells from each sample colored in red. **b)** UMAP projection of all primary scATAC-seq cells passed QC after batch correction with all cells from each sample colored in red.

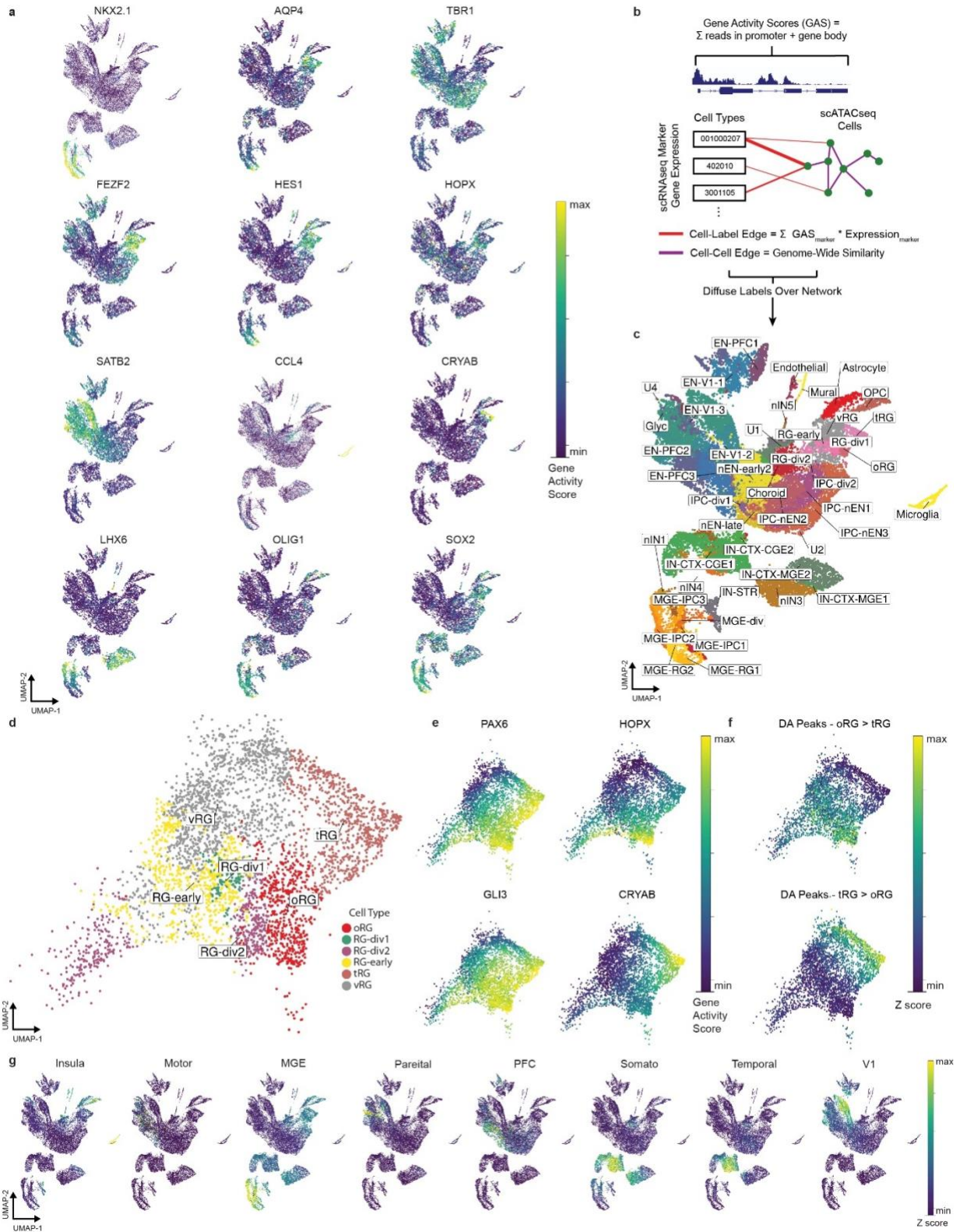


Figure S2.3: Gene activity scores correlate with cell type-specific expression of marker genes. **a)** UMAP projections of all primary scATAC-seq cells colored by gene activity score. From top left to bottom right, *NKX2.1* marking MGE cells, *AQP4* marking glia/astrocytes, *TBR1* marking excitatory neurons, *FEZF2* marking deep layer excitatory neurons, *HES1* marking radial glia, *HOPX* marking outer radial glia, *SATB2* marking upper layer excitatory neurons, *CCL4* marking microglia, *CRYAB* marking truncated radial glia, *LHX6* marking MGE-derived interneurons, *OLIG1* marking oligodendrocyte precursors, and *SOX2* marking radial glia. **b)** Schematic of the CellWalker algorithm¹⁷ used to assign cell type labels to scATAC-seq cells based on integration with scRNA-seq data. **c)** UMAP projection of cell type labels assigned by CellWalker. **d)** UMAP projection of radial glia with cell type assignments from CellWalker. **e)** UMAP projections of gene activity scores for *PAX6* and *GLI3*, genes ubiquitously expressed in radial glia, *HOPX*, an oRG specific gene², and *CRYAB*, a tRG specific gene². **f)** UMAP projection of Z scores of enrichment of oRG and tRG specific peaks (Fisher's Exact, two-sided test, P-value < 0.05). **g)** UMAP projections of Z-scores of enrichment of area specific peaks for each area in all primary scATAC-seq cells (Fisher's Exact, two-sided test, P-value < 0.05).

Figure S2.4: Annotation of primary scATAC-seq peaks. **a)** Distribution of primary scATAC-seq peaks in genomic features. **b)** Distribution of primary scATAC-seq peaks are transcription start sites. **c)** Barplot of log(fold enrichment) of primary scATAC-seq peaks in chromatin states. Chromatin states defined by the 25-state model from Roadmap Epigenomics¹⁸ (Methods). **d)** Intersection of cell type specific peaks (Fisher's Exact, two sided, FDR < 0.05), predicted enhancer peaks (Methods), and peaks overlapping promoter-interacting regions identified by H3K4me3 PLAC-seq²¹. **e)** UMAP projection of Z-scores of enrichment of cell type specific peaks (Fisher's Exact, two-sided, FDR < 0.05) for each broad cell type. **f)** Browser tracks highlighting cell type specific predicted enhancers. Left, highlighting predicted enhancers linked to *SOX2* in RGs that are not present in uENs. Right, highlighting a predicted enhancer for *GRIN2B* that is present in uENs and not RGs. **g)** Barplot of $-\log_{10}(P)$ of Gene ontology biological processes that are enriched in cell type specific predicted enhancers of MGE-derived interneurons (Methods). **h)** Barplot of $-\log_{10}(P)$ of Gene ontology biological processes that are enriched in cell type specific predicted enhancers of RGs (Methods). **i)** Heatmap of Z-scores of transcription factor motif enrichments of key lineage-associated TFs in each cluster.

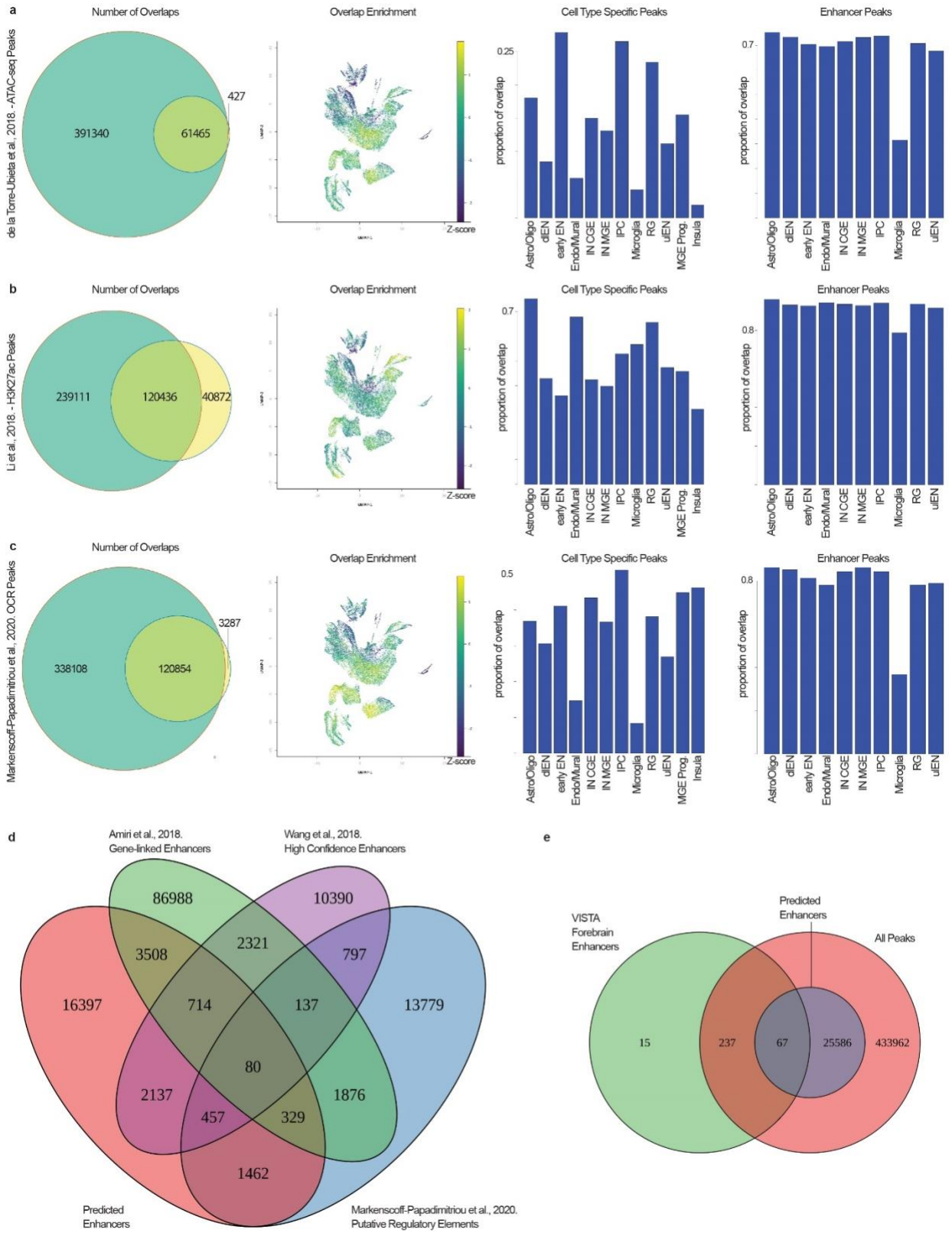


Figure S2.5: scATAC-seq peaks overlap with previously annotated bulk ATAC-seq peaks and validated forebrain enhancers. a) Overlap of all primary peaks with the peak set from de la Torre-Ubieta et al., 2018⁹. (Left to right) Venn diagram of overlaps, UMAP projection of Z-scores of enrichment of overlapping peaks in all primary scATAC-seq cells, barplot of proportions of cell type specific peaks (Fisher's Exact, two-sided, FDR < 0.05) present in overlapping set, barplot of proportions of predicted enhancers for each cell type (Methods) present in the overlapping set. **b)** Overlap of all primary peaks with the peak set from Li et al., 2018²². (Left to right) Venn diagram of overlaps, UMAP projection of Z-scores of enrichment of overlapping peaks in all primary scATAC-seq cells, barplot of proportions of cell type specific peaks (Fisher's Exact, two-sided, FDR < 0.05) present in overlapping set, barplot of proportions of predicted enhancers for each cell type (Methods) present in the overlapping set. **c)** Overlap of all primary peaks with the peak set from Markenscoff-Papadimitriou et al., 2020²³. (Left to right) Venn diagram of overlaps, UMAP projection of Z-scores of enrichment of overlapping peaks in all primary scATAC-seq cells, barplot of proportions of cell type specific peaks (Fisher's Exact, two-sided, FDR < 0.05) present in overlapping set, barplot of proportions of predicted enhancers for each cell type (Methods) present in the overlapping set. **d)** Venn diagram of overlap of all predicted enhancers (Methods) with gene-linked enhancers from Amiri et al., 2018¹¹, high confidence enhancers from Wang et al., 2018²⁴, and putative regulatory elements from Markenscoff-Papadimitriou et al., 2020²³. **e)** Venn diagram of overlaps of VISTA forebrain enhancers²⁵ with all primary scATAC-seq peaks, and all predicted enhancers (Methods).

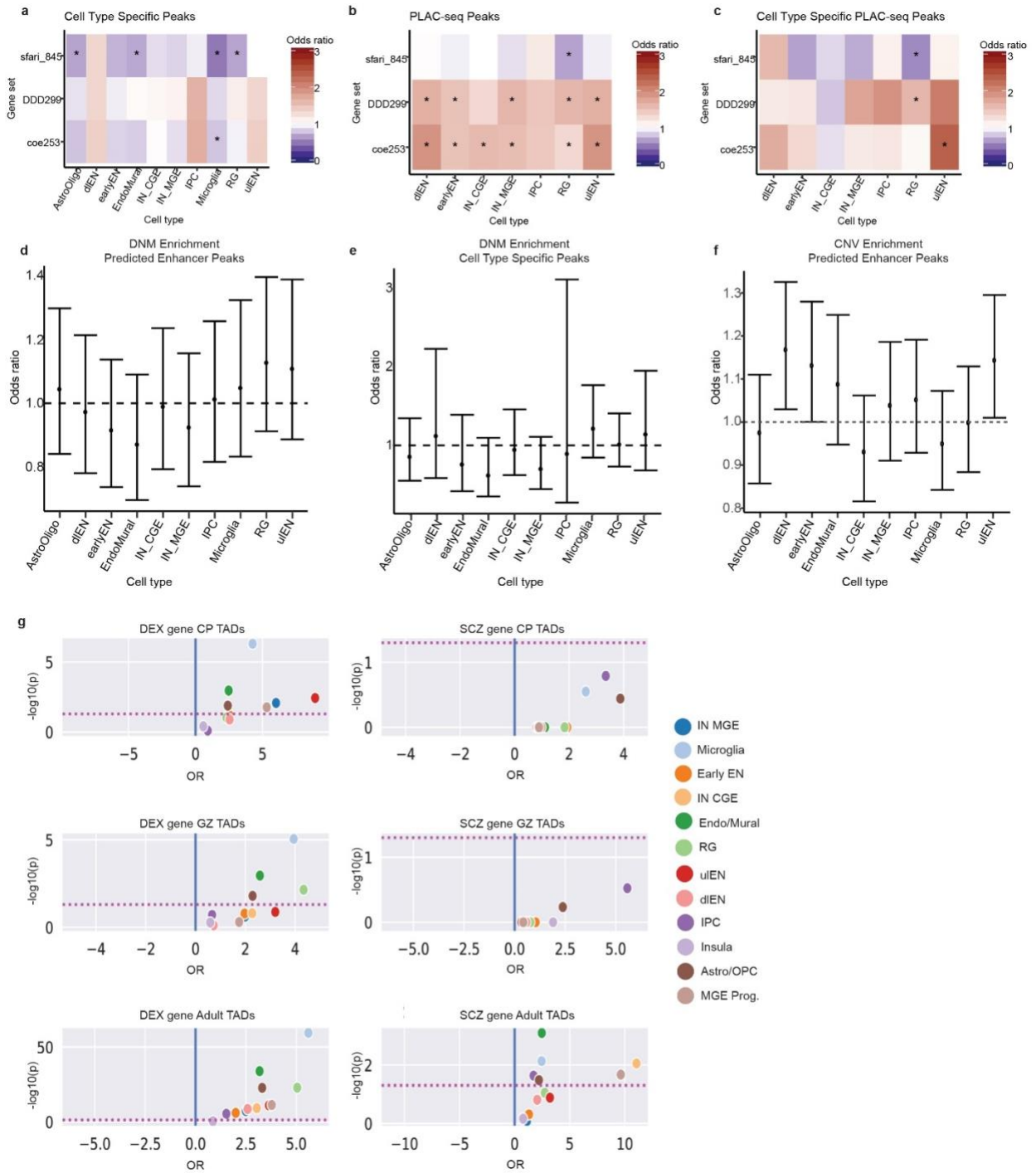


Figure S2.6. Enrichment and depletion of disease associated variants in scATAC-seq peaks. **a)** Enrichment and depletion of cell type specific peaks (Fisher's Exact, two-sided, FDR < 0.05) in promoter and gene regions of genes associated for autism and NDD including genes enriched in *de novo* non-coding mutations (DNM) (SFARI845, DDD29960⁷³, COE25361³⁰). Stars indicate tests that pass Bonferroni significance. **b)** Enrichment and depletion of peaks that overlap with H3K4me3 PLAC -seq interactions²¹ (Fisher's Exact, two-sided, FDR < 0.05) in promoter and gene regions of genes associated for autism and NDD including genes enriched in *de novo* non-coding mutations (DNM) (SFARI845, DDD29960⁷³, COE25361³⁰). Stars indicate tests that pass Bonferroni significance. **c)** Enrichment and depletion of cell type specific peaks that overlap with H3K4me3 PLAC -seq interactions²¹ (Fisher's Exact, two-sided, FDR < 0.05) in promoter and gene regions of genes associated for autism and NDD including genes enriched in *de novo* non-coding mutations (DNM) (SFARI845, DDD29960⁷³, COE25361³⁰). Stars indicate tests that pass Bonferroni significance. **d)** Enrichment and depletion of DNMs in predicted enhancer peaks for each cell type in ASD probands compared with unaffected siblings. DNM data from a total of 2767 probands and 1855 unaffected siblings were included in the analysis. No tests reached Bonferroni significance. Bars represent 95% confidence interval. **e)** Enrichment and depletion of DNMs in cell type specific peaks for each cell type in ASD probands compared with unaffected siblings. DNM data from a total of 2767 probands and 1855 unaffected siblings were included in the analysis. No tests reached Bonferroni significance. Bars represent 95% confidence interval. **f)** Enrichment and depletion of predicted enhancer peaks in copy number variant (CNV) regions enriched in pediatric cases of neurodevelopmental delay (NDD)³⁰ (n=70 NDD-associated CNVs). No tests reached Bonferroni significance. Bars represent 95% confidence interval. **g)** Enrichment of cell type specific enhancers located in TADs with neurodevelopmental disease associated genes³¹ (left) and schizophrenia associated genes²⁴ (right). Three distinct sets of TADs were used (top to bottom): TADs defined in the cortical plate (CP) of developing human cortex, TADs defined in the germinal zone (GZ) of developing human cortex, and TADs defined in adult cortex¹⁹.

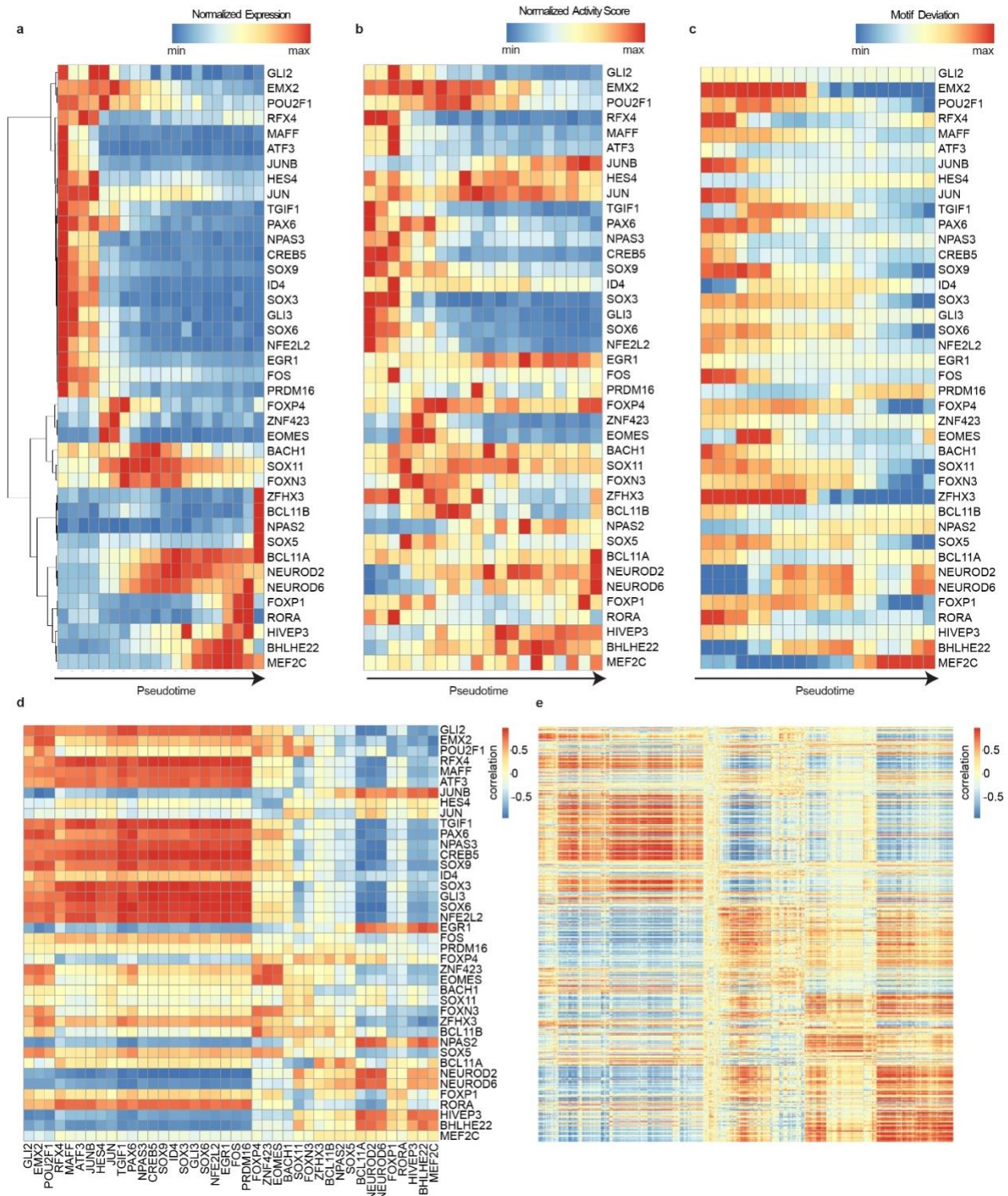


Figure S2.7: Dynamic patterns of gene expression, chromatin accessibility, and transcription factor motif enrichment across pseudotime. **a)** Heatmap of normalized expression of 40 key lineage transcription factors across pseudotime. Pseudotime is binned into 20 equally sized bins and accessibility is averaged across all cells in each bin. **b)** Heatmap of normalized gene activity scores for 40 key lineage transcription factors across pseudotime. Pseudotime is binned into 20 equally sized bins and activity scores are averaged across all cells in each bin. **c)** Heatmap of deviation scores of motif enrichments for 40 key lineage transcription factors across pseudotime. Pseudotime is binned into 20 equally sized bins and deviations are averaged across all cells in each bin. Deviation scores determined using ChromVAR²⁶ (Methods). **d)** Heatmap of correlations between gene expression and gene activity scores for 40 key lineage transcription factors. **e)** Heatmap of correlations between gene expression and gene activity scores for 615 cell type marker genes.

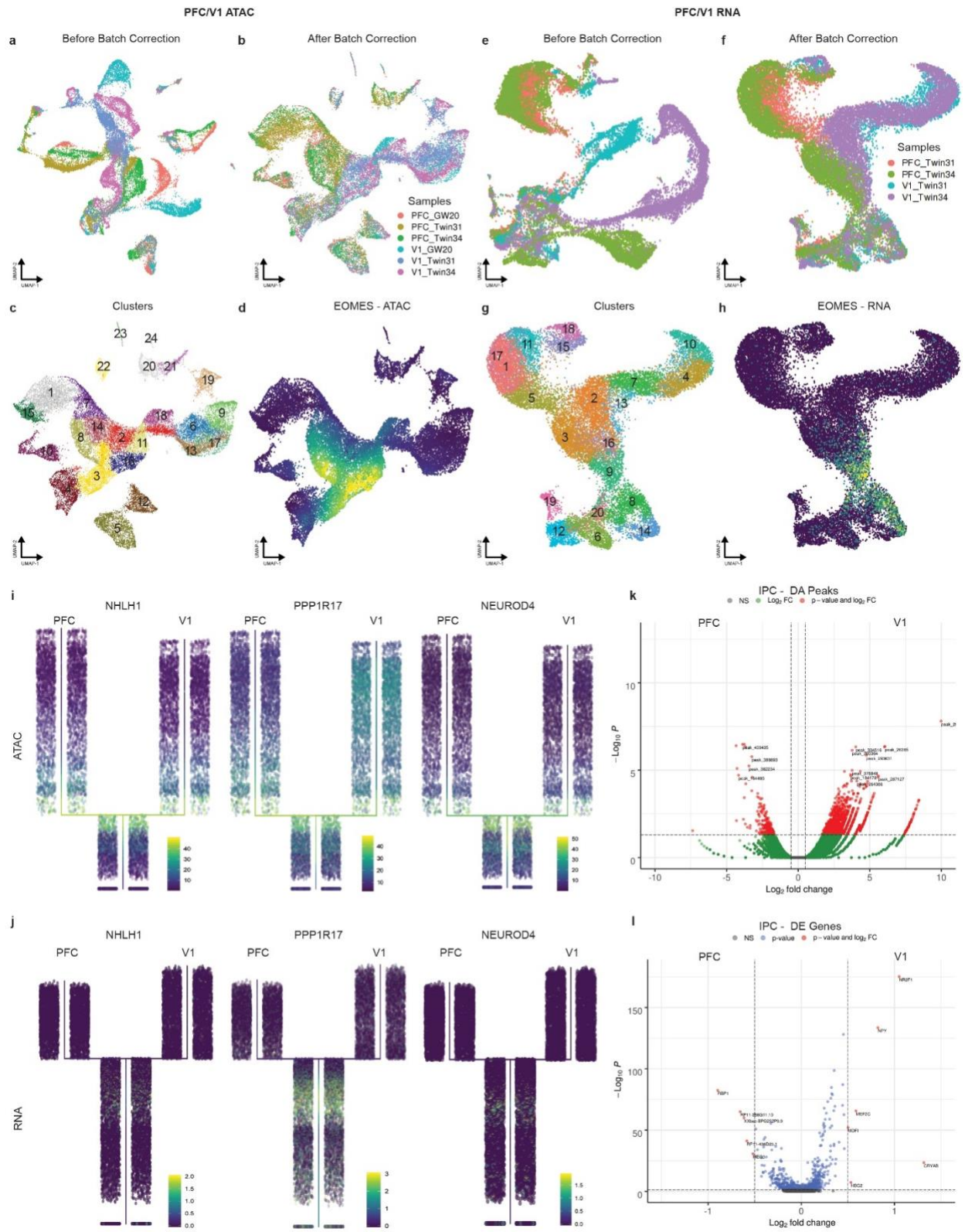


Figure S2.8: Chromatin state profiling reveals divergence of PFC and V1 excitatory lineages. **a)** UMAP projection of scATAC-seq cells from PFC and V1 samples before batch correction colored by sample. **b)** UMAP projection of scATAC-seq cells from PFC and V1 samples after batch correction colored by sample. **c)** UMAP projection of scATAC-seq cells from PFC and V1 samples colored by leiden cluster. **d)** UMAP projection of scATAC-seq cells from PFC and V1 samples colored by gene activity score for *EOMES*, a marker of IPCs. **e)** UMAP projection of scRNA-seq cells from PFC and V1 samples before batch correction colored by sample. **f)** UMAP projection of scRNA-seq cells from PFC and V1 samples after batch correction colored by sample. **g)** UMAP projection of scRNA-seq cells from PFC and V1 samples colored by leiden cluster. **h)** UMAP projection of scRNA-seq cells from PFC and V1 samples colored by expression of *EOMES*, a marker of IPCs. **i)** Projection of *NHLH1*, *PPP1R17*, and *NEUROD4* gene activity scores on PFC & V1 scATAC-seq cells ordered by pseudotime with PFC/V1 divergence branch point displayed. **j)** Projection of *NHLH1*, *PPP1R17*, and *NEUROD4* gene expression on PFC & V1 scRNA-seq cells ordered by pseudotime with PFC/V1 divergence branch point displayed. **k)** Volcano plot of peaks that are differentially accessible between PFC and V1 IPCs. Peaks highlighted in red have $\log_{2}FC > 0.5$ and $FDR < 0.05$. (n = 1819). **l)** Volcano plot of differentially expressed genes between PFC and V1 IPCs. Genes highlighted in red have $\log_{2}FC > 0.5$ and $FDR < 0.05$. (n = 11).

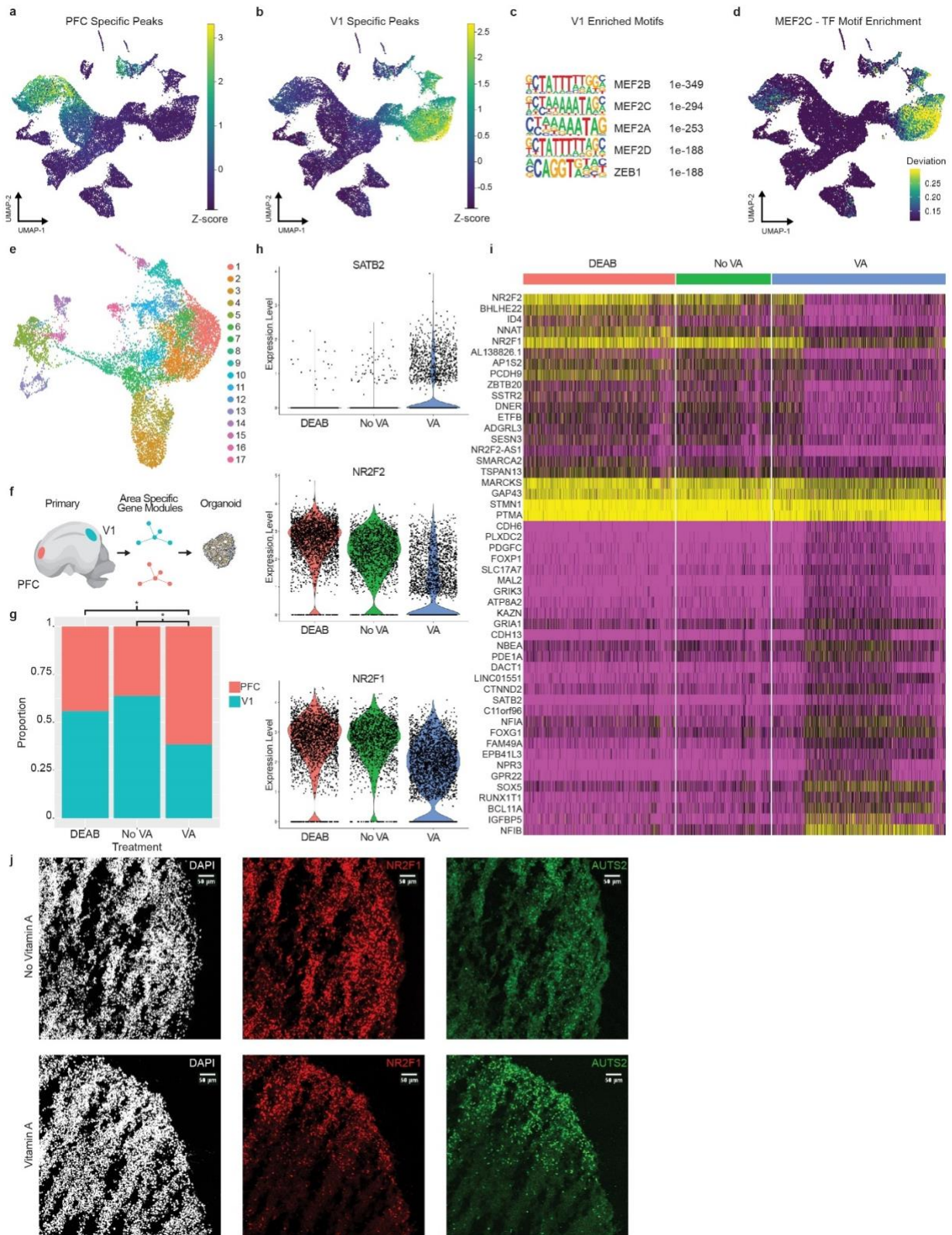


Figure S2.9: Modeling the PFC/V1 split in the developing cortex. **a)** UMAP projection of Z-scores of enrichment of PFC specific peaks ($n = 4,176$) in all PFC & V1 scATAC-seq cells (Fisher's Exact, two-sided, $FDR < 0.05$). **b)** UMAP projection of Z-scores of enrichment of V1 specific peaks ($n = 21,030$) in all PFC & V1 scATAC-seq cells (Fisher's Exact, two-sided, $FDR < 0.05$). **c)** Top enriched transcription factor motifs in V1 specific peak set as determined by HOMER (Hypergeometric Test, one-sided). **d)** UMAP projection of ChromVAR deviation scores of motif enrichment of MEF2C in all PFC & V1 scATAC-seq cells. **e)** UMAP projection of scRNA-seq data from organoids ($n=3$) cultured in the presence of Vitamin A, without the presence of Vitamin A, and in the presence of DEAB. Cells colored by cluster. **f)** Schematic depiction of classifier method used to assign area identity to organoid cells based on defined area-specific gene modules. **g)** Barplot depicting proportion of excitatory neurons from each treatment group classified as more PFC-like or more V1-like based on calculation of module eigengene values for area-specific modules (Methods). Asterisks indicate significant differences in proportions (DEAB – PFC: 1160/2622, V1: 1462/2622; NoVA – PFC: 563/1556, V1: 993/1556; VA – PFC: 1831/2976, V1: 1145/2976) (Chi square test, one-sided, VA vs noVA: $pval = 3.209e-59$, VA vs DEAB: $pval = 2.79e-38$). **h)** Violin plots depicting expression levels of SATB2, NR2F1, and NR2F2 for excitatory neurons from each treatment group. **i)** Heatmap gene expression of differentially expressed genes between excitatory neurons cultured with and without Vitamin A. **j)** Images of organoids cultured with and without Vitamin A stained with DAPI and immunostained for NR2F1 and AUTS2. All images taken at 10x resolution. Representative images shown from $n=3$ lines.

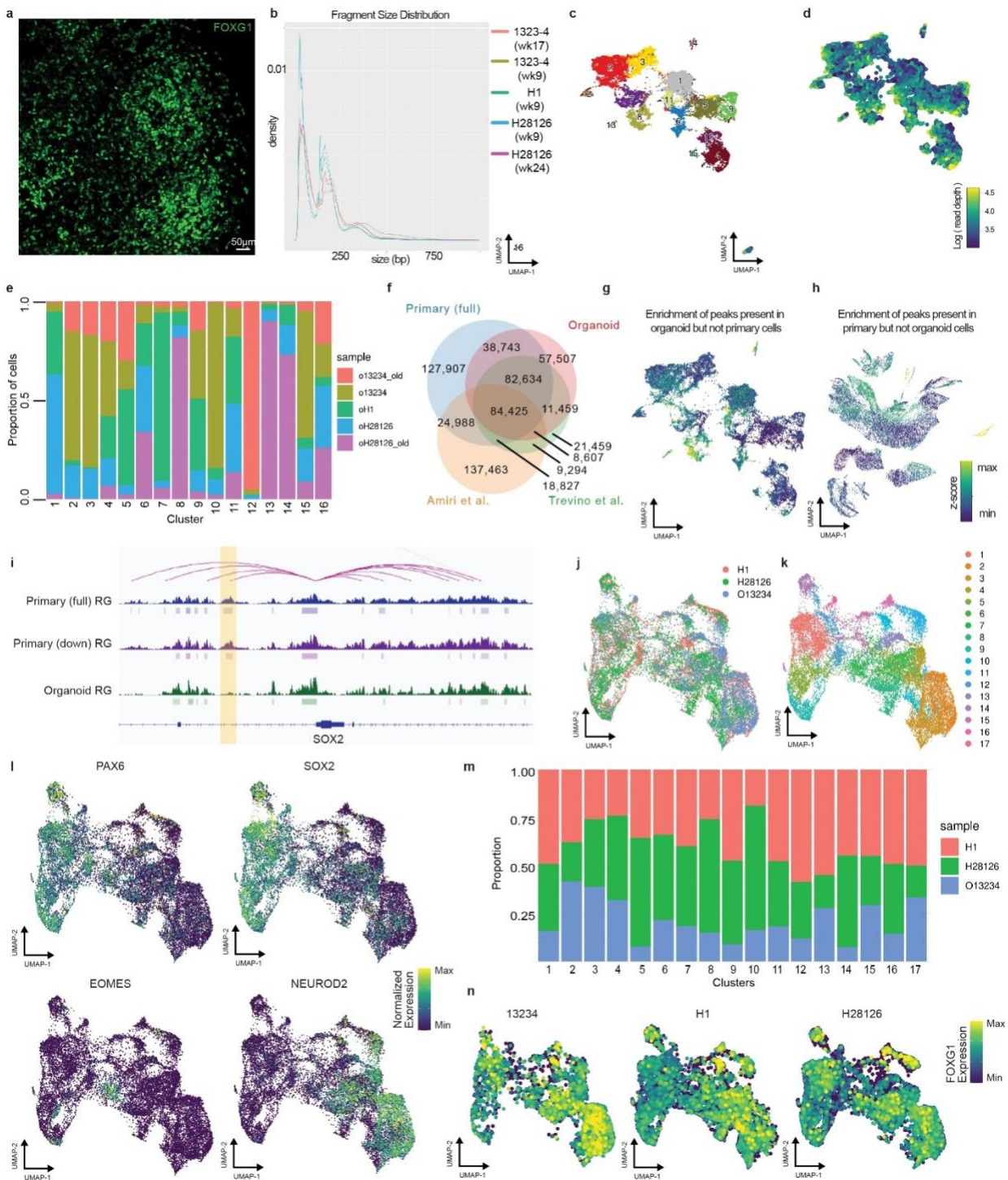


Figure S2.10: Comparison of organoid and primary peaks reveal significant differences in the chromatin landscapes. **a)** Representative image of organoid (13234 line depicted) immunostained for FOXP1, a marker of cortical identity. Image taken at 10x resolution. Representative image shown from n=3 lines. **b)** Density curves of fragment size distributions for each organoid sample. Fragments are deduplicated, uniquely mapped fragments that have passed quality filters (Methods). **c)** UMAP projection of all organoid scATAC-seq cells colored by cluster. **d)** UMAP projection of log(read depth). Fragments are deduplicated, uniquely mapped fragments that have passed quality filters (Methods). **e)** Barplot depicting the proportions of cells in each cluster from each organoid sample. **f)** Venn diagram of overlaps of all primary scATAC-seq peaks, all organoid scATAC-seq peaks, all H3K27ac peaks from Amiri et al., 2018¹¹, and all ATAC-seq peaks from Trevino et al., 2020⁴⁹. **g)** UMAP projection of Z-scores of enrichment of peaks that are present in the organoid scATAC-seq dataset but not in the primary scATAC-seq dataset. **h)** UMAP projection of Z-scores of enrichment of peaks that are present in the primary scATAC-seq dataset but not in the organoid scATAC-seq dataset. **i)** Genome browser tracks depicted a predicted enhancer of SOX2 that is present in both the full and down-sampled primary RGs but not detected in organoid RGs. **j)** UMAP projection of scRNA-seq data from the same three organoid lines used for scATAC-seq analysis colored by line (n = 19,509 cells). **k)** UMAP projection of scRNA-seq data colored by cluster. **l)** UMAP projection of normalized gene expression of *PAX6*, *SOX2*, *EOMES*, and *NEUROD2*. Maximum value was set at the 99th quantile. **m)** Barplot depicting the proportions of cells in each cluster from each organoid sample. **n)** UMAP projection of normalized gene expression of *FOXP1* split by organoid line. Maximum value was set at the 99th quantile.

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CHAPTER 3

Conclusion

With the work described in this dissertation, we were able to generate one of the first single cell resolution epigenomic datasets for human cortex during prenatal developmental stages and use these data to make novel biological findings related to the process of cortical arealization. Using our dataset, we nominated thousands of cell type specific enhancer candidates active during human cortical development, we showed dynamic changes occur in the chromatin landscape that correspond with the process of neurogenesis, we benchmarked cerebral organoids as an epigenomic model for human cortical development, and we identified a novel role for retinoic acid in specification of prefrontal cortical identity. Excitingly, this finding linking increased retinoic acid signaling to prefrontal identity in the cortex was also found independently in a separate study around the time the manuscript represented in chapter 2 was submitted for publication¹. This work merely represents the tip of the iceberg for how this dataset can be used to further our understanding of human cortical development and neurodevelopmental disease. I am hopeful that the research community will continue to use the data we generated to uncover deeper mechanistic understanding of the critical role that the chromatin landscape plays in the processes of cell fate specification and arealization and build better models for cortical development when primary tissues are inaccessible. Additionally, I am hopeful that this dataset will continue to be useful for those studying the genetic underpinning of neurodevelopmental disease. While we were able to find significant enrichment of neurodevelopmental disease-associated variants in predicted enhancers of excitatory and inhibitory neurons, better-powered genetic studies will enable

the use of single cell epigenomic data for further fine mapping of disease-associated variants to pinpoint the causal mutations, as seen in a recent study of neurodegenerative diseases².

As I write this dissertation, there is ongoing work in the Ahituv and Nowakowski labs to follow up on some of the findings from the chapter 2 manuscript. We are currently conducting massively parallel reporter assays (MPRAs)^{3,4} in primary developing human cortical cells to characterize the enhancer activity of the cell type specific enhancer candidates we identified. Defining a set of enhancers with activity in discrete cell types will aid in the development of molecular tools that can achieve targeted cell type specific expression, enabling the study of specific cell types in a way that was previously unattainable^{5,6}. In addition, we are testing the enhancer activity of candidates that intersect neurodevelopmental disease-associated variants to better understand the mechanism by which genetic variants may mediate disease via disruption of gene regulation during development. Lastly, we are following up on the finding that increased retinoic acid signaling plays a role in prefrontalization of the cortex with further experiments to understand the mechanism by which this fate specification occurs. In summary, though I will be moving on to the next phase of my career, I am hopeful that the modest contribution I have been able to make during my doctoral work will be useful to other scientists in the field and enable them to continue to unlock the secrets of the extraordinary human brain.

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